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Vesicle–micelle transitions driven by ROS, light and heat

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Vesicles are self-assembled nanocontainers (size ~100 nm) in which solutes such as drugs can be encapsulated. There is great interest in triggering vesicle–micelle transitions (VMTs) because such transitions will result in the release of encapsulated solute. Here, we focus on *reactive oxygen species* (ROS) as a trigger for VMTs. ROS arise in our body within cells, and ROS levels are known to be high near a tumor. Thus, ROS-responsive vesicles are of interest. We make such vesicles by combining the cationic amphiphile (4-phenylthiophenyl)diphenyl-sulfonium triflate (PDST), and the anionic surfactant sodium dodecylbenzene sulfonate (SDBS). By simply mixing these two commercially available molecules in water, we prepare 'catanionic' vesicles in an easy, low-cost, and scalable way. When exposed to ROS such as hydrogen peroxide (H₂O₂), the thioether in the PDST tail gets oxidized to a hydrophilic sulfoxide. As a result, the vesicles are transformed into spherical or short, cylindrical micelles. Evidence for the VMT comes from turbidity, light scattering, and cryo-TEM measurements. The same vesicles are also sensitive to other stimuli, specifically light and temperature: *i.e.*, a VMT can also be induced by irradiation with UV light or heating above a critical temperature. We explain the origin of the VMT in each case based on changes in the driving forces for amphiphile assembly.

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Introduction

The self-assembly of amphiphilic molecules (surfactants and lipids) in water can lead to different nanoscale structures.^{1,2} This paper is concerned with two such nanostructures, *viz.* vesicles and micelles (Fig. 1). Vesicles have sizes ~100 nm with an aqueous core surrounded by a bilayer of the amphiphiles (~5 nm thick). Micelles, specifically spherical micelles, have sizes ~5 nm and have a hydrophobic core. Amphiphiles that form vesicles generally have a cylindrical geometry (Fig. 1A).^{1,2} The hydrophilic heads of the amphiphiles are in contact with water on either side of the bilayer, whereas the hydrophobic tails are all pointed towards the center of the bilayer. On the other hand, amphiphiles that form spherical micelles have a cone-shaped geometry (Fig. 1B).^{1,2} Their tails are directed towards the center of the micelle, which is why the micelle core is hydrophobic. The focus of this paper is on spontaneous vesicle–micelle transitions (VMTs). Vesicles can encapsulate solutes such as drugs, cosmetic agents, or agrochemicals in

their aqueous core.^{3–5} If vesicles are converted to micelles, the solutes in their cores will be released in a burst. This ability to switch on the release of solute is why researchers are interested in VMTs.

Historically, VMTs have been shown to be induced by stimuli such as pH,^{6–8} temperature,^{9–12} and light.^{13,14} The underlying idea has been to use these stimuli to alter the geometry of the surfactant. If the surfactant is initially shaped like a cylinder and a stimulus changes this shape to a cone, then the surfactants will spontaneously rearrange from vesicles to micelles (see the schematics in Fig. 1).^{1,2} The focus of this paper is on an additional stimulus that is gaining importance, which is reactive oxygen species (ROS).^{15–18} ROS are species that contain oxygen in a reactive form and include the hydroxyl radical ([•]OH), superoxide (O₂^{•−}), and singlet oxygen (¹O₂). In living organisms, ROS are routinely generated at low levels in all cells. They mediate physiological processes including the pathways for cell-survival and cell-death (apoptosis).^{15,16} Increased levels of ROS are often observed locally in tumor microenvironments compared to normal tissues. Consequently, materials that respond to ROS could be useful for targeting tumors and thereby for cancer treatment.^{17,18} In the lab, ROS can be generated in solution by chemicals such as hydrogen peroxide (H₂O₂) or by irradiation of photosensitizers.

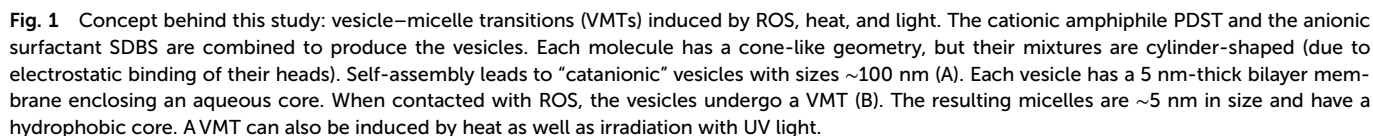
How to create ROS-responsive vesicles? To make molecules or structures responsive to ROS, researchers have exploited

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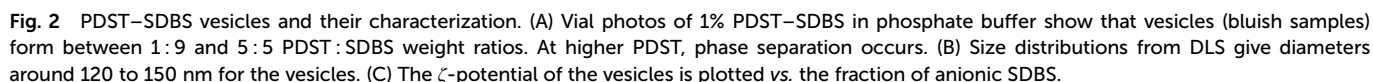
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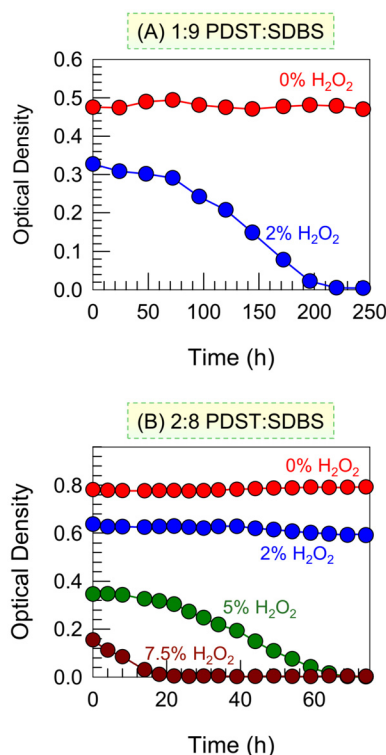
PDST and SDBS, being single-tailed amphiphiles, do not form vesicles on their own—they either remain insoluble or form micelles. To obtain vesicles, we exploit the idea that mixtures of single-tailed cationic and anionic amphiphiles can assemble into ‘catanionic vesicles’ when combined in certain ratios.^{26–28} While PDST and SDBS each have a cone shape, their combinations (*e.g.*, 20/80 PDST/SDBS) can have a net

The bluish appearance of PDST:SDBS samples from 1:9 to 5:5 provides an initial indication for the presence of vesicles with sizes around 100 nm in these samples. We used Dynamic



For the rest of our studies, we focus on the PDST:SDBS vesicles at the 1 : 9 and 2 : 8 ratios (with the total PDST + SDDBS = 1 wt%). These are the samples that exhibit low polydispersities in their sizes. We further analyzed these samples over a period of six months at room temperature and found that both the average vesicle size and the optical density remain stable over this time. Such long-term stability is rare for vesicles made from lipids (*i.e.*, liposomes), which tend to destabilize unless they are maintained at a low temperature (*e.g.*, 4 °C).³

We now discuss the effect of ROS on PDST-SDBS vesicles. The thioether group in the tail of PDST is expected to be oxidized to hydrophilic sulfone or sulfoxide by ROS.^{15–18} A simple way to generate ROS in the lab is by using hydrogen peroxide (H_2O_2). We added H_2O_2 at different concentrations to vesicle samples at room temperature and monitored the samples both visually and by UV-Vis spectroscopy. Fig. 3A shows photos of 1:9 PDST:SDBS vesicles taken immediately after H_2O_2 addition. As the H_2O_2 concentration is increased, the turbidity (bluish tinge) decreases, and beyond 6% H_2O_2 , the sample turns colorless and clear. This is indicative of a VMT: when vesicles (~ 100 nm) are transformed into micelles (~ 5 nm), the smaller structures will scatter light to a much lower extent, which is why the sample appears clear.^{9,29} To quantify the turbidity change, we measured the optical density (OD) at a wavelength of 500 nm by UV-Vis spectroscopy. Fig. 3B plots the OD of the 1:9 and 2:8 PDST-SDBS samples as a function of



in Fig. 3, we had measured the OD (turbidity) right after adding H_2O_2 ($t = 0$) However, for a given H_2O_2 addition, the turbidity changes over time t , which is now our focus. Turning first to the 1 : 9 sample (Fig. 5A), a plot of the OD vs. t is shown for 2% H_2O_2 . The OD at $t = 0$ (right after addition) is lower than that of the baseline (0% H_2O_2)—i.e., the H_2O_2 has an

immediate effect, albeit small. But as time progresses, the OD curve shows a sigmoidal decay down to an OD ~ 0 in about 3 h. This means that just 2% H_2O_2 is enough to completely convert vesicles into micelles in this sample over 3 h. Next, the kinetics are shown for the 2 : 8 sample (Fig. 5B). In this case, with 2% H_2O_2 , there is a small initial drop in OD but no further change. However, with 5% H_2O_2 , we see a sigmoidal curve similar to that in Fig. 5A and the OD drops to ~ 0 in ~ 60 min. Increasing the H_2O_2 to 7.5% causes an immediate large decrease in OD and then a steep drop to OD ~ 0 in 20 min.

Based on the above results, we can outline a mechanism for the ROS-induced VMT in PDST-SDBS mixtures. For this, we invoke the critical packing parameter (CPP) for molecular self-assembly. The CPP = $a_{\text{tail}}/a_{\text{hg}}$ is the ratio of the tail area (a_{tail}) to the effective area of the head group (a_{hg}) in the amphiphile.^{1,2} Note that a_{hg} includes contributions from electrostatic and/or steric repulsions. A CPP $\sim 1/3$ implies that the geometry of the amphiphile favors spherical micelles, whereas a CPP $\sim 1/2$ implies cylindrical micelles and a CPP ~ 1 results in vesicles or bilayers.^{1,2} Fig. 6A shows the CPP values expected for PDST and SDBS as well as their mixtures. As was already noted under Fig. 1, we expect PDST to be a cone-shaped molecule (CPP $\sim 1/3$) due to its cationic head. Likewise, SDBS is also cone-shaped (CPP $\sim 1/3$) due to its anionic head. Note that the PDST tail of aromatic rings is very hydrophobic: the sulfur (S) in the tail (thioether functionality) is not bonded to other electronegative atoms. Because of its hydrophobic tail, PDST is insoluble in water (or buffer) at room temperature (see photo in Fig. 6A where PDST particles are seen to be suspended in the water). However, PDST does get solubilized in the presence of SDBS. When PDST and SDBS are combined, the molecules will pair up as shown in Fig. 6A—this will be mainly driven by the electrostatic affinity between the oppositely charged heads. A secondary interaction

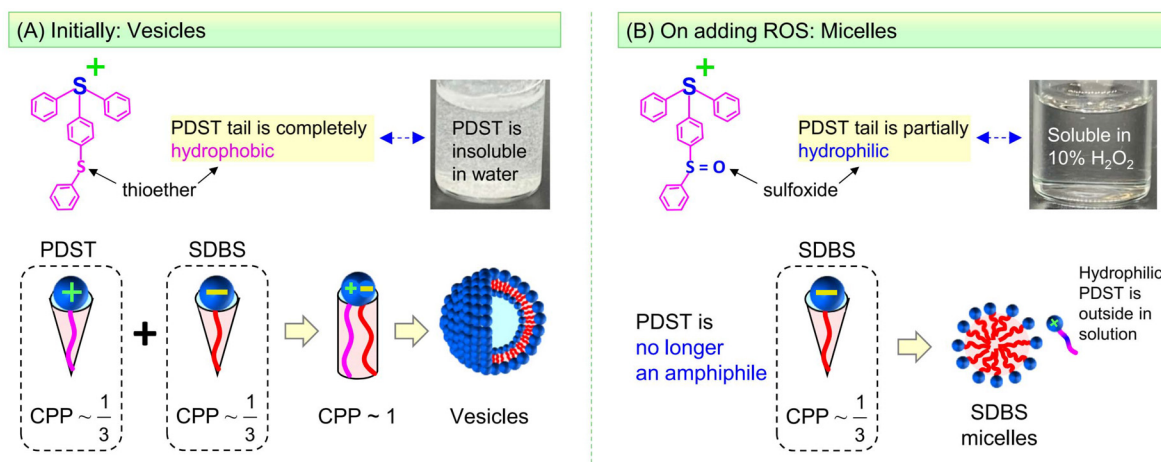


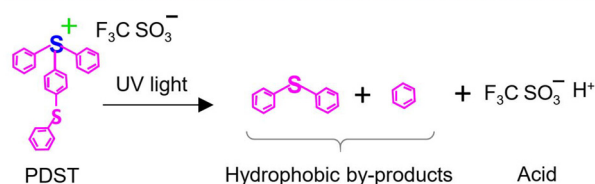
Fig. 6 Mechanism for the ROS-induced VMT. (A) Initially, PDST and SDBS, each of which are cone-shaped ($\text{CPP} \sim 1/3$) pair up to give a cylinder-shaped amphiphile, which forms vesicles. PDST on its own is insoluble in water, as shown by the photo. (B) ROS (H_2O_2) convert the thioether in the PDST tail to hydrophilic sulfoxide, and PDST hence becomes soluble. However, it is no longer an amphiphile and will simply exist as unimers in solution. This leaves SDBS to form micelles. The net result is a VMT, *i.e.*, a transition from PDST–SDBS vesicles to SDBS micelles.

structures in Fig. 6). The plateau in the OD indicates that this reduction proceeds to completion.

VMT induced by UV light

Taking a closer look at the mechanism, once PDST is photolyzed, there is no amphiphile to complex with the anionic SDBS and form catanionic vesicles. Thus, the SDBS will simply form micelles on its own (as was the case earlier in Fig. 6B) and the net result will be a VMT. Note that the photolysis is a

(A) PDST cleavage by UV light



(B) VMT induced by UV light

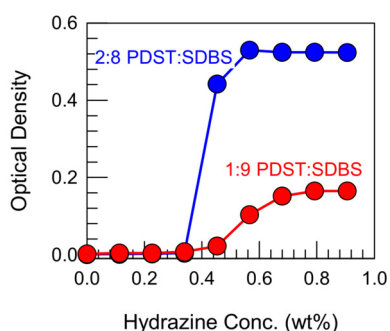
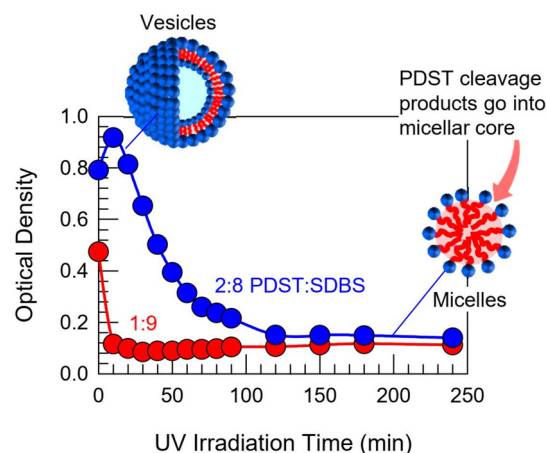


Fig. 8 Light-induced VMT in PDST–SDBS samples. (A) UV light cleaves PDST into hydrophobic by-products and acid. Thus, PDST is no longer an amphiphile after this cleavage. (B) Optical density (OD) vs. UV irradiation time for 1 : 9 and 2 : 8 PDST : SDBS vesicles. The OD decays to zero, indicating a UV-induced VMT.

ligible release is because the dye remains electrostatically bound to the vesicles, which are too large (~100 nm) to pass through the dialysis membrane, as indicated by the schematic. Conversely, at 60 °C, 95% of the dye is released from the dialysis bag over the same 18 h period. In this case, the VMT has occurred, and so the sample contains micelles of about 5 nm size. These are small enough to escape out of the pores in the dialysis membrane. The results prove that vesicles can keep solutes *encapsulated for extended periods, while rapid release can be triggered by a transition to the micelle state, i.e., by a VMT*. These findings show how a VMT could be exploited for the delivery of solutes such as drugs, agrochemicals or cosmetics.

Conclusions

In this work, we have designed vesicles that are sensitive to ROS (and additionally to light and heat). Key points from our study are:

1. Simple formulation: The vesicles are created by combining a commercially available cationic photoinitiator PDST with the anionic surfactant SDBS. The binding of their ionic head-groups leads to catanionic vesicles. Stable vesicles are obtained at PDST : SDBS ratios of 1 : 9 and 2 : 8.
2. Sensitivity to ROS: When exposed to ROS (H_2O_2), the thioether in the PDST tail is oxidized to a sulfoxide. In turn, the originally hydrophobic tail becomes partially hydrophilic and thus PDST ceases to be an amphiphile. The PDST then unbinds from the SDBS, leaving the SDBS to form small micelles. Thus, a vesicle to micelle transition (VMT) ensues in response to ROS. This can be reversed by a reducing agent.
3. Sensitivity to light and heat: A VMT is also observed when the sample is irradiated with UV light. In this case, the PDST is cleaved by UV to hydrophobic byproducts—thus, it again stops behaving as an amphiphile. A VMT also arises when the sample is heated. In this case, heat increases the solubility of PDST in water, which may allow it to unbind from the SDBS. This transition is reversible, *i.e.*, the vesicles revert to micelles upon cooling.
4. Utility and outlook: Overall, ‘smart’ vesicles that respond to stimuli could be exploited for the delivery of solutes in many practical applications. We have shown how a VMT could enable the release of payloads to be triggered.

Experimental section

Materials

(4-Phenylthiophenyl)diphenylsulfonium triflate (PDST), cetyl trimethylammonium tosylate (CTAT), hydrogen peroxide (30 wt% in water), and hydrazine hydrate (50–60%) were purchased from Sigma-Aldrich. *p*-Octyloxydiphenyliodonium hexafluoroantimonate (ODPI) was from Gelest. Sodium dodecyl benzenesulfonate (SDBS) was from TCI and glacial acetic acid from Fisher Chemical. Sodium phosphate dibasic heptahydrate and sodium phosphate monobasic monohydrate were

used to prepare phosphate buffer solutions and were purchased from Sigma-Aldrich. Ultrapure deionized (DI) water was used in all experiments. Spectra-Por Float-A-Lyzer G2 dialysis inserts (with a molecular weight cut-off or MWCO of 100 kDa) and Sephadex G-50 beads (fine) were purchased from Sigma-Aldrich.

Sample preparation

Stock solutions of SDBS were prepared first in 50 mM phosphate buffer. Next, PDST powder and the above SDBS solution were mixed in appropriate ratios and stirred for 24 h at room temperature to ensure equilibration. The total concentration of PDST + SDBS was maintained at 1% by weight in all samples. Sample vials were stored in the dark at room temperature until use.

Sample response to ROS

Known amounts of 30 wt% H_2O_2 solution were added to PDST–SDBS vesicles and mixed using a vortex mixer. Glacial acetic acid at 1% (w/v) was then added to enhance the rate of conversion of thioether to sulfoxide groups.⁴⁴ Samples were analyzed for turbidity by UV-Vis spectroscopy.

Sample response to light

1.5 mL of PDST–SDBS vesicles were placed in a polystyrene cuvette and irradiated with broadband UV light (280–400 nm) from an Oriel 200 W Mercury arc lamp, which incorporated a dichroic beam turner to emit light in the specified range. Note that PDST has a peak absorption at 300 nm.³⁸ Samples were analyzed periodically for turbidity by UV-Vis spectroscopy.

Sample response to temperature

PDST–SDBS vesicle samples were placed in a water bath equipped with a Julabo heater. Samples were analyzed at different temperatures for turbidity by UV-Vis spectroscopy. Measurements were done during both heating and cooling cycles.

UV-Vis spectroscopy

A Varian Cary 50 UV-Vis spectrophotometer was used to determine the optical density (OD), *i.e.*, the absorbance of the vesicle solutions over a 1 cm path length, at a wavelength of 500 nm. The OD is a measure of sample turbidity. For the OD measurements as a function of temperature, a Peltier-controlled cell was used to maintain the temperature.

Dynamic light scattering (DLS) and zeta potential measurements

Vesicle sizes and zeta potentials were determined using a Malvern Zetasizer Nano ZS90 instrument. 1 mL of a given sample was loaded into a polystyrene cuvette for size measurements and into a disposable folded capillary cell (DTS1070, Malvern) for zeta-potential measurements. Samples were equilibrated at 25 °C before taking the measurements.



Cryo-TEM

Samples were prepared using a FEI Vitrobot. 5 μL of a sample was pipetted onto the surface of a 200-mesh lacey carbon-coated copper grid (from Ted Pella, Inc). Excess solution was then removed by blotting the grid using a filter paper attached to the arms of the Vitrobot for 2 s, leaving behind a thin sample film. This film was then frozen quickly by plunging the grid into liquid ethane, followed by liquid nitrogen. The rapid cooling ensures that the water in the sample vitrifies instead of forming ice crystals. The vitrified specimen was then transferred onto a single-tilt cryo-specimen holder for imaging. All the images were taken below -170°C with a FEI G2 F30 Tecnai TEM operated at 100 kV.

Solute release experiments

2 : 8 PDST-SDBS vesicles were first loaded with 1 mM of the cationic dye Rhodamine 6G. The dye binds to the anionic vesicle bilayers by electrostatic interactions. This was confirmed by passing a vesicle-dye mixture through a size-exclusion chromatography (SEC) column packed with Sephadex G50 beads. Negligible separation was observed in the SEC column because most of the dye was bound to the vesicles. Release experiments with the above mixture were done as follows. First, 1.5 mL samples were injected into the dialysis inserts, which were then placed in two 200 mL beakers, each with 150 mL of 50 mM phosphate buffer. One beaker was maintained at 25°C (below the VMT) and the other at 60°C (above the VMT). The cut-off molecular weight (100 kDa) of the dialysis membranes was chosen such that micelles could leak out into the external solution, but not vesicles. As time progressed, 1 mL samples were taken periodically from the external solution and analyzed for dye concentration by UV-Vis spectroscopy (the samples were then returned to the external solution). Cumulative dye release (%) was calculated for Fig. 10 by normalizing the dye concentration with that in the solution after equilibration for 2 days at 60°C .

Data availability

Data are available from the corresponding author upon reasonable request.

Conflicts of interest

There are no conflicts to declare.

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