

## PAPER

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## Stability and synthesis across barium tin sulfide material space†

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Barium tin sulfide (Ba–Sn–S) is a ternary phase space with interesting material candidates for optoelectronic and thermoelectric applications, yet its properties have not been explored in-depth experimentally, and no thin films have been synthesized. This study uses combinatorial sputtering and theoretical calculations to survey the phase space of Ba–Sn–S materials. We experimentally find that at deposition temperatures up to 600 °C, phases of rocksalt-derived BaS structures (*Fm $\bar{3}m$* ), layered SnS derived structures (*Aem2*), and heavily distorted rocksalt solid solutions (possibly *P12<sub>1</sub>/m*) dominate phase space, with amorphous films crystallizing in the middle of the composition space (Sn=Ba). Upon annealing with a capping layer, ternary phases of Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*) and Ba<sub>7</sub>Sn<sub>5</sub>S<sub>15</sub> (*P6<sub>3</sub>cm*) are observed. However the theoretically predicted 0 K thermodynamically stable phase of BaSnS<sub>2</sub> (*P2<sub>1</sub>/c*) does not crystallize. These differences are explained with temperature-dependent computed phase diagrams, which show that BaSnS<sub>2</sub> becomes unstable at high temperatures while Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*) becomes stabilized. Lastly, we compute electronic and optical absorption properties of selected observed and predicted Ba–Sn–S phases, showing band gaps ranging from 1.67–2.5 eV, electron effective masses from 0.5–1 *m*<sub>0</sub>, and hole effective masses from 0.6–1.3 *m*<sub>0</sub>. These findings motivate future research into materials within this chemical space for solar energy harvesting and other semiconductor applications.

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## Introduction

As scientists search for new materials to design our modern world, exploring multinary material phase spaces (consisting of three or more elements) has become a promising avenue to yield a diverse array of stable and metastable phases.<sup>1</sup> One such complex ternary material system with promise for solar energy conversion applications is barium tin sulfide (Ba–Sn–S), with many stable competing phases of various structures and compositions, yet these phases and their properties have not been explored in-depth experimentally. Barium, tin, and sulfur are each relatively abundant in the earth's crust<sup>2</sup> with

moderately abundant reserves as determined by the reserve-to-production ratio,<sup>3</sup> so designing materials within this phase space could mitigate material supply chain challenges.‡

The binary endpoints of this system are rocksalt BaS (*Fm $\bar{3}m$* ), a wide band gap insulator with a very low hole effective mass, and SnS, which crystallizes in a variety of experimentally observed p-type semiconductor polymorphs (with band gaps *E*<sub>G</sub> > 1 eV) including *Pnma*, *Cmcm*, *Fm $\bar{3}m$* , and *Aem2*.<sup>5</sup> The first Ba–Sn–S compound, a perovskite phase of BaSnS<sub>3</sub> (*Pnma*), was synthesized in 1970 using a high-pressure bulk synthesis method.<sup>6</sup> Several more bulk crystalline phases have been grown since, including Ba<sub>3</sub>Sn<sub>2</sub>S<sub>7</sub> (*P2<sub>1</sub>/c*),<sup>7,8</sup> Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*),<sup>9,10</sup> BaSnS<sub>2</sub> (*P2<sub>1</sub>/c*),<sup>11,12</sup> and BaSn<sub>2</sub>S<sub>3</sub> (*P12<sub>1</sub>/m*).<sup>13</sup> In the 2010s Ba–Sn–S was studied for nonlinear optics applications, yielding compounds with more complicated stoichiometries and structures: Ba<sub>6</sub>Sn<sub>7</sub>S<sub>20</sub> (*C2/c*), Ba<sub>7</sub>Sn<sub>5</sub>S<sub>15</sub> (*P6<sub>3</sub>cm*), BaSn<sub>2</sub>S<sub>5</sub> (*Pccn*), Ba<sub>8</sub>Sn<sub>4</sub>S<sub>15</sub> (*Pca2<sub>1</sub>*), Ba<sub>7</sub>Sn<sub>3</sub>S<sub>13</sub> (*Pnma*), and Ba<sub>12</sub>Sn<sub>4</sub>S<sub>23</sub> (*P2<sub>1</sub>/c*).<sup>14–16</sup> Additional Ba–Sn–S crystal structures have been computationally predicted as thermodynamically stable or metastable – such as Ba<sub>7</sub>Sn<sub>3</sub>S<sub>13</sub> (*Pnma*), Ba<sub>3</sub>SnS<sub>5</sub> (*I4/mcm*), and BaSn<sub>3</sub>S<sub>4</sub> (*P1m1*) – but to our knowledge these have yet to be synthesized or characterized.<sup>17,18</sup>

In addition to the quantity of unique ordered phases, another compelling aspect of the Ba–Sn–S system is the large unit cells of synthesized and predicted thermodynamically

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‡ Barium ore, barite, has been added to the European Union's critical raw materials list as of 2017.<sup>4</sup>

stable structures; notably,  $\text{Ba}_8\text{Sn}_4\text{S}_{15}$  ( $Pca2_1$ ) has 216 atoms in its crystal structure, yet is thermodynamically stable (for reference, only 0.1% of compounds on the Materials Project that lie on the convex hull have over 200 atoms). We suspect that these features are due to the diverse bonding preferences of Ba, Sn, and S atoms, which can result in structures with high coordination numbers. These low symmetry structures could lead to interesting polar or scattering properties. Recently, Ba–Sn–S compounds such as  $\text{BaSnS}_2$  have been predicted as candidates for optoelectronic applications such as photovoltaics and thermoelectrics.<sup>19</sup> This  $\text{BaSnS}_2$  phase space is similar to Cu–Ba–Sn–S, which has been studied as a solar absorber.<sup>20</sup> Notably, photovoltaic devices with over 5 percent efficiency have been demonstrated with a  $\text{Cu}_2\text{BaSn}(\text{S},\text{Se})_4$  absorber using earth-abundant elements for photovoltaic and photoelectrochemical applications.<sup>21,22</sup> However, reports of synthesis and properties of ternary Ba–Sn–S are rare. Moreover, optoelectronic applications usually require thin films with non-stoichiometric compositions, however to our knowledge thin film Ba–Sn–S crystals have not yet been grown nor has off-stoichiometry been explored.

Here, we survey the thin film phase space of Ba–Sn–S using combinatorial sputter synthesis, varying composition and deposition temperature. Cation ratio is varied along approximately the  $\text{Ba}_x\text{Sn}_{1-x}\text{S}$  tieline, with binary endpoints of BaS and SnS. We identify a range of disordered and distorted RS-derived and layered phases of Sn-substituted BaS and Ba-substituted SnS, and an amorphous region. To access high-temperature phases, we use a capping layer to anneal as-deposited thin films, and identify  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  and  $\text{Ba}_2\text{SnS}_4$ . Our initial assumption was the that the computationally predicted stable phases near Ba : Sn 1 : 1 – namely,  $\text{BaSnS}_2$  ( $P2_1/c$ ) and  $\text{Ba}_6\text{Sn}_7\text{S}_{20}$  ( $C2/c$ ) – would crystallize, and these phases are referred to for reference throughout the manuscript. However, to our knowledge neither  $\text{BaSnS}_2$  nor  $\text{Ba}_6\text{Sn}_7\text{S}_{20}$  was synthesized under any growth condition. A series of computational phase diagrams at various temperatures are analyzed, which support these experimental findings. Lastly, we compute band gaps, effective masses, and optical absorption spectra of compelling Ba–Sn–S compounds to guide future research. We find low electron and hole effective masses (0.5–1 and 0.6–1.3  $m_0$ , respectively; subsequently, effective mass is reported as unitless) as well as relatively wide band gaps (1.67–2.5 eV) suggestive of photoelectrochemical applications of these materials.

## Methods

### Experimental methods

Thin film synthesis was performed with the combinatorial method, using RF sputter deposition with sulfide targets of BaS and SnS and EXG glass substrates, as depicted in Fig. 1(a). Sputter power was kept constant at 40 W for the BaS target and varied between 7 and 40 W for the SnS target to achieve compositional gradients with varying stoichiometries. Powers were varied to target the composition range of Ba : Sn = 1 : 1. The substrate heating element temperature was varied between ambient temperature and 600 °C, and deposition temperature  $T_{\text{dep}}$  was calibrated as described previously. Each film is

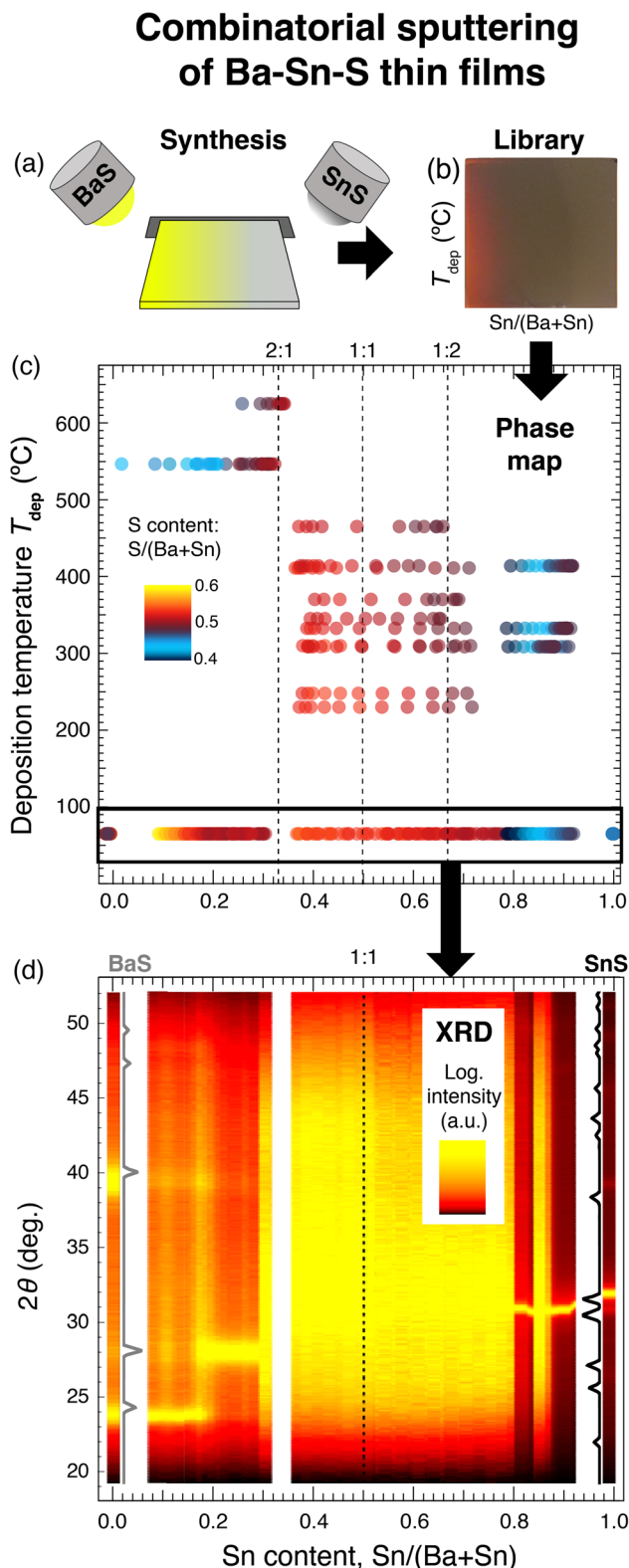


Fig. 1 (a) Deposition setup, (b) a photograph of a combinatorial library, (c) combinatorial phase space of Ba–Sn–S, and (d) XRD heat map of the ambient temperature ( $T_{\text{dep}} \approx 60$  °C) region of phase space in (c).

measured to be approximately 200–300 nm thick by profilometry, depending on the position within the “combinatorial library”. Fig. 1(b) is a photograph of one of the combinatorial



libraries, depicting a semitransparent region on the left (Ba-rich), an opaque region on the right (Sn-rich), and a phase change in the upper left corner (high temperature and Sn-rich).

Anneals were performed in an evacuated quartz tube on Ba-Sn-S library rows deposited at ambient temperatures in the composition range of interest,  $0.4 < \text{Sn}/(\text{Ba} + \text{Sn}) < 0.6$ , with anneal temperatures of 400 °C and 500 °C. Anneals with a capping layer of BaS were performed by simply turning off the sputter gun with the SnS target after deposition and growing ~20 nm of BaS on top of each sample, and then annealing the stack for one hour at 300–600 °C.

After synthesis, the films were measured using mapping style X-ray fluorescence (XRF) on a Fisher XUV-SDD to determine composition and thickness. Structural property mapping was performed with X-ray diffraction (XRD) on a Bruker D8 Discover with a  $\theta$ - $2\theta$  geometry, Cu K $\alpha$  radiation, and a proportional 2D detector. Measurements were complemented for 11 libraries of interest at beam line 1–5 at the Stanford Synchrotron Radiation Lightsource (SSRL) with Wide Angle X-ray Scattering (WAXS). 2D scattering was collected with a Rayonix 165 CCD Camera at grazing incidence at an incident energy of 12.7 keV. Analysis was conducted using the customized COMBignor software package.<sup>23</sup> The files were automatically harvested using Research Data Infrastructure at NREL,<sup>24</sup> and the resulting data is available through High Throughput Experimental Materials Database (HTEM DB).<sup>25</sup>

### Computational methods

Density functional theory (DFT) calculations were performed using the projector augmented wave (PAW) method<sup>26,27</sup> as implemented in the Vienna *Ab Initio* Simulation Package (VASP),<sup>28,29</sup> first within the Perdew–Burke–Erzerhof (PBE) Generalized Gradient Approximation (GGA) formulation of the exchange–correlation functional.<sup>30</sup> Cutoff, convergence, and correction criteria are described elsewhere.<sup>18,31</sup> Thermodynamic stability is assessed using the proxy convex hull construction such that materials in which energy above convex hull ( $E_{\text{hull}}$ ) is zero are considered thermodynamically stable. Temperature-dependent phase diagrams were assembled with Materials Project data using methodology from Bartel *et al.*'s machine-learned vibrational energy estimates.<sup>32</sup> Materials Project data is from database version v2021.11.10 which uses the GGA(+U) level of theory. Optical absorption spectra were computed with GGA calculations using the independent-particle approximation (IPA), in which frequency-dependent dielectric matrix elements are calculated following the formalism of Gajdoš *et al.*<sup>33</sup> Direct allowed band gaps ( $E_{\text{G}}^{\text{da}}$ ), representing the energy at which band-to-band transitions become significant, were computed from the optical transition matrix elements following the literature approach.<sup>34</sup> The screened hybrid functional HSE06 (ref. 35) was used as a scissor shift to correct gaps, direct allowed band gaps, and absorption spectra (with the exception of BaSnS<sub>2</sub> and *Pnma* SnS, in which corrections are from PBE-sol and the absorption coefficients are from the MPContribs dataset from Fabini *et al.*<sup>34</sup>). Electron and hole effective masses were computed using the BoltzTraP2 package.<sup>36</sup>

## Results

### Mapping experimental combinatorial phase space

First, we report composition trends of the 20 thin film Ba-Sn-S sample libraries and resulting 880 data points, as measured with XRF. Fig. 1(c) plots cation composition  $\text{Sn}/(\text{Ba} + \text{Sn})$  on the x-axis, calibrated  $T_{\text{dep}}$  on the y-axis, and anion-to-cation ratio  $\text{S}/(\text{Ba} + \text{Sn})$  as the color scale. At ambient temperatures (the lowest  $T_{\text{dep}}$  values), films are grown across the full cation phase space. At  $200\text{ °C} < T_{\text{dep}} < \sim 475\text{ °C}$ , cation composition spans the targeted range for BaSnS<sub>2</sub> and Sn-rich compositions. At  $T_{\text{dep}}$  values higher than 500 °C, compositions are all Ba-rich; this is because at these high temperatures, Sn is ejected from the growing crystalline film, likely due to its lower vapor pressure than Ba.<sup>37</sup> The region of  $\text{Sn}/(\text{Ba} + \text{Sn}) = 0.5$  (*i.e.* Ba : Sn = “1 : 1”), the composition at which the thermodynamically stable phase of BaSnS<sub>2</sub> would be expected to crystallize, is highlighted with a dashed line.

Anion-to-cation ratio  $\text{S}/(\text{Ba} + \text{Sn})$  ranges from 0.4 to 0.6, and analysis of S content yields insights into phase stability. First, we observe trends in the “1 : 1” region. Stoichiometric crystals of BaSnS<sub>2</sub> would have an anion-to-cation ratio of 0.5, but we observe that across all temperatures the “1 : 1” cation region is S-rich with respect to this stoichiometric value. Second, we observe trends in the ambient temperature samples, the boxed region in Fig. 1(c). Some samples are near stoichiometric with  $\text{S}/(\text{Ba} + \text{Sn}) \approx 0.5$ , while others are S-poor. As Sn is incorporated into the samples, S content jumps up around  $\text{Sn}/(\text{Ba} + \text{Sn}) = 0.1$ , decreases somewhat between  $0.1 < \text{Sn}/(\text{Ba} + \text{Sn}) < 0.3$ , remains S-rich between  $0.35 < \text{Sn}/(\text{Ba} + \text{Sn}) < 0.65$ , then decreases slightly between  $0.65 < \text{Sn}/(\text{Ba} + \text{Sn}) < 0.75$ . At  $\text{Sn}/(\text{Ba} + \text{Sn}) = 0.8$  samples abruptly become S-poor, and remain S-poor until Sn is the only cation (Sn-S). Lastly, at elevated temperatures it is observed that S content deviates more dramatically from stoichiometric expectations. Both the high temperature Ba-rich regions and Sn-rich regions are S-poor. These trends indicate that sample composition in our films does not perfectly trend along the phase diagram tielines between binary endpoints BaS and SnS.

To assess the structural variety within ambient temperature samples, a corresponding heat map of XRD patterns is plotted in Fig. 1(d). This diagram indicates a wide amorphous region of phase space in the middle of the BaS–SnS compositions. This region spans from approximately  $0.28 < \text{Sn}/(\text{Ba} + \text{Sn}) < 0.8$ , though amorphous samples are also observed at  $\text{Sn}/(\text{Ba} + \text{Sn}) \approx 0.85$ . On the Ba-rich side of this amorphous region, XRD reflections suggest a rocksalt (RS) BaS phase (grey), with a shift to (100) oriented RS at approximately  $\text{Sn}/(\text{Ba} + \text{Sn}) = 0.18$ . No significant peak shift is observed, which could indicate that samples in this region are composites of RS BaS and an amorphous SnS, or Ba-Sn-S phase, rather than solid solutions. On the Sn-rich side, experimental XRD patterns correspond to a layered structure of SnS, although it is unclear which phase of SnS has formed (*Pnma* is plotted in black). As Sn content  $\text{S}/(\text{Ba} + \text{Sn})$  decreases from 1.0 to 0.9, a strong peak shift to lower values of  $2\theta$  is observed, likely resulting from either a phase change, change in texturing, or solid solution. This shift is reasonable since Ba cations are larger



than Sn cations (ionic radii of  $\text{Ba}^{2+}$  and  $\text{Sn}^{2+}$  are 135 and 118 pm, respectively). Indeed, a  $\text{Ba}_{1-x}\text{Sn}_x\text{S}$  solid solution should induce an XRD peak shift in the same direction as is observed, however the shift could also be related to the changing S content. In summary, at ambient temperature crystal formation is prohibited in the middle of composition space; therefore, higher temperature growths have been explored.

Our combinatorial survey results at elevated deposition temperatures ( $T_{\text{dep}}$ ) are summarized in Fig. 2, in which crystal structures across this phase space are identified using XRD measurements (b) and approximate regions of the phase space are labeled accordingly (a). The data at  $\sim 60^\circ\text{C}$  corresponds to the ambient temperature samples shown in Fig. 1. The light red region in the Ba-rich side of the phase map corresponds to RS (derived from  $Fm\bar{3}m$  BaS), as shown in the first set of diffraction patterns (dark red) in Fig. 2(b). Unidentified peaks or peak splitting may arise from a secondary phase present in some of these films (possibly  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$ ; see next section), or slight distortions or disordering in the RS structure. As Sn content is increased in these films, an amorphous region emerges for  $T_{\text{dep}} > 200^\circ\text{C}$  for  $0.3 \leq \text{Sn}/(\text{Ba} + \text{Sn}) < 0.5$ ; this is narrower than the amorphous region for ambient temperature samples.

In Sn-rich samples, no phases crystallized at  $T_{\text{dep}} > 500^\circ\text{C}$  for  $\text{Sn}/(\text{Ba} + \text{Sn}) \approx 0.5$ , however two different phases crystallize in this region at lower temperatures. First, samples in the range  $350^\circ\text{C} \leq T_{\text{dep}} \leq 465^\circ\text{C}$  yield XRD patterns represented by the dark blue trace ( $T_{\text{dep}} = \sim 410^\circ\text{C}$ ) in Fig. 2(b). The closest sensible XRD standard we could match to this phase is the *Aem2*

SnS structure. These reflections are spaced regularly in a manner that resemble superlattice peaks, indicative of a layered structure, though more structural analysis should be performed to confirm this. As Sn increases across the films in this region, the *Aem2* peaks shift to higher  $2\theta$  values, indicative of a solid solution. For example, the dominant  $2\theta$  peak is at  $\sim 30.6^\circ$  for  $\text{Sn}/(\text{Ba} + \text{Sn}) = 0.5$ , and shifts monotonically to  $\sim 31.7^\circ$  when  $\text{Sn}/(\text{Ba} + \text{Sn}) = 0.8$ . Second, in samples in the range  $200^\circ\text{C} < T_{\text{dep}} < 350^\circ\text{C}$ , a single strong peak is present at approximately  $2\theta = 31^\circ$  (teal trace,  $T_{\text{dep}} = \sim 310^\circ\text{C}$ ), with only a negligible shift as Sn content increases. Our best guess is that these samples are heavily distorted RS structures. The heavily distorted RS  $\text{BaSn}_2\text{S}_3$  ( $P12_1/m$ ) is simulated in Fig. 2(b) as a representative ordered standard, and is the closest matching XRD standard we could find for this region.  $\text{BaSn}_2\text{S}_3$  ( $P12_1/m$ ) has been previously reported in the experimental literature<sup>13</sup> and is predicted to be thermodynamically stable at 0 K. However, in our experimental samples only the (033) and (105) peaks are observed, which could be due to strong orientation along the (033) direction. Alternatively, crystals in this region may be better simulated using a disordered model such as special quasirandom structures (SQS), or perhaps correspond to another phase that we have been unable to identify. For comparison, the simulated XRD pattern of  $\text{BaSnS}_2$  ( $P2_1/c$ ) is also plotted in gold at the bottom of Fig. 2(b). Each of the crystal structures represented in this plot (as well as  $\text{BaSnS}_2$  for comparison) are depicted in Fig. 4(a); each consist of related structures derived from the RS structure.

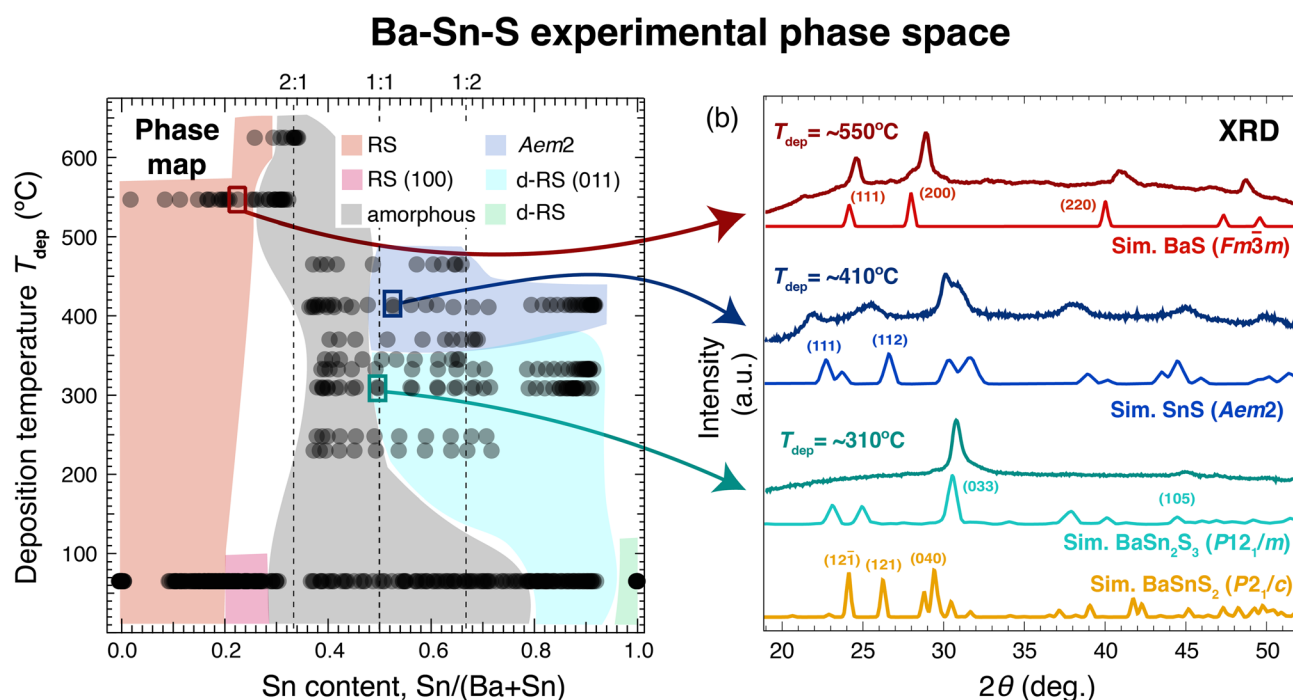


Fig. 2 (a) Map of over 800 samples in the Ba–Sn–S experimental phase space, with cation composition  $\text{Sn}/(\text{Ba} + \text{Sn})$  on the x-axis and calibrated deposition temperature on the y-axis. Samples are grouped approximately by the dominant crystal structure of each region. (b) Representative experimental ("Exp.") XRD patterns for 3 samples marked with rectangles in (a), with simulated ("Sim.") patterns and indices from crystal structures in the ICSD using a pymatgen Scherrer peak-broadening algorithm. For the Sn-rich low-temperature region, a heavily distorted ordered rocksalt (RS) structure  $\text{BaSn}_2\text{S}_3$  ( $P12_1/m$ ) is plotted for reference, but this region most likely corresponds a distorted and disordered RS.



### Annealing with a capping layer

An additional annealing step enables exploration of Ba–Sn–S phase space at a higher temperature than sputtering alone. With 400 °C anneals for one hour, Sn-rich samples crystallize in the layered *Aem*2 phase again, similarly to as-deposited films at these temperatures, but are still amorphous at  $\text{Sn}/(\text{Ba} + \text{Sn}) \approx 0.5$ . Thickness is reduced in annealed films, indicating either surface evaporation or a phase change to a somewhat denser phase. However, increasing anneal temperature to 500 °C resulted in the evaporation of most of the Sn, and led to Ba-rich phases (possibly  $\text{Ba}_8\text{Sn}_4\text{S}_{15}$ ). This observed Sn volatility is likely a result of the low vapor pressure of Sn compared to Ba and S.<sup>37</sup> As temperature increases, low vapor pressure should reduce the likelihood of Sn to stick to the film. We did not perform rapid thermal annealing and expect it would also lead to vaporizing

Sn, but we encourage follow-up work to explore this and other methods to access phase space.

In order to keep Sn incorporated in the lattice while annealing to high temperatures, selected as-deposited Ba–Sn–S thin film libraries have been coated with a capping layer of BaS, as depicted in Fig. 3(a). At 500 °C anneal temperatures with a capping layer, the previously unreachable Ba-rich region in Fig. 2 has been accessed; namely, films crystallize in the region  $0.3 < \text{Sn}/(\text{Ba} + \text{Sn}) < 0.5$ . The opacity of a given sample increases as Sn increases, as shown in Fig. 3(b) going from region “D” to region “A,” with a small semitransparent region emerging at the Sn-rich side of the library (“B”). A heatmap of the XRD reflections for four representative samples in this region is plotted in Fig. 3(c), clearly yielding a crystalline region rather than the amorphous region found in as-deposited samples.

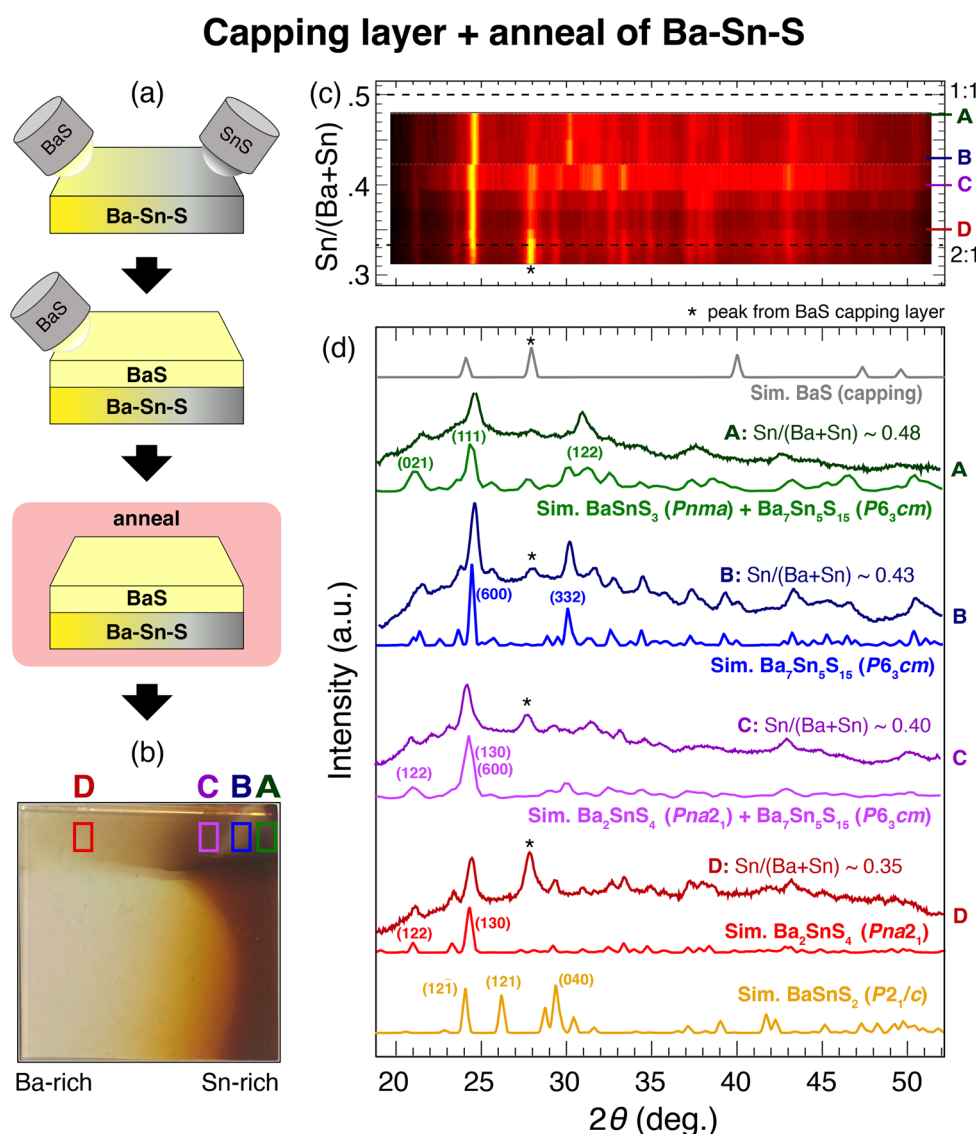


Fig. 3 (a) A schematic of the three-step anneal plus capping layer process for combinatorial Ba–Sn–S thin films. (b) A photograph of a representative sample with the regions of interest boxed. (c) A heatmap of XRD patterns in the top row of the annealed sample. (d) Representative experimental XRD patterns for the four regions (A–D) marked with rectangles in (b), with simulated (“Sim.”) patterns and indices from crystal structures in the ICSD using a pymatgen Scherrer peak-broadening algorithm.



Crystalline regions are identified and compared to standard XRD patterns in Fig. 3(d), and corresponding crystal structures of each standard are depicted in Fig. 4. First, region D (red) corresponds to  $\text{Ba}_2\text{SnS}_4$  ( $Pna2_1$ ), which has been experimentally synthesized previously and has a  $E_{\text{hull}}$  value on the Materials Project of 0.005 eV per atom (the  $P2_1/c$   $\text{Ba}_2\text{SnS}_4$  polymorph is on the thermodynamic hull, but not observed here). The XRF measurement of the  $\text{Sn}/(\text{Ba} + \text{Sn})$  ratio is similar to the expected stoichiometric value, with some tolerance to off-stoichiometry, so this structure identification seems reasonable. We note that  $\text{Ba}_2\text{SnS}_4$  lies on more S-rich tieline than  $\text{Ba}_x\text{Sn}_{1-x}\text{S}$  (see Fig. 5) such that  $\text{S}/(\text{Ba} + \text{Sn}) > 1$ . Region B (blue), which is semi-transparent, appears to crystallize as  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  ( $P6_3cm$ ), an experimental compound similar to  $\text{Ba}_8\text{Sn}_4\text{S}_{15}$  that is also more S-rich than  $\text{Ba}_x\text{Sn}_{1-x}\text{S}$ . Its band gap has been demonstrated experimentally as approximately  $\sim 2.29$  eV, which is within the visible regime, and therefore corroborates our observed semi-transparency.<sup>14</sup> In between B and D, region C appears to be a mixed-phase, likely consisting of  $\text{Ba}_2\text{SnS}_4$  ( $Pna2_1$ ) and  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  ( $P6_3cm$ ), and thus a simulated XRD pattern of the two phases is plotted below the measured XRD pattern. Lastly, region A also appears to be a mixed phase of  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  ( $P6_3cm$ ) and another crystal structure, as it contains peaks not present in B (e.g., at  $\sim 31$  deg.). We have plotted a simulated XRD pattern that mixes  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  ( $P6_3cm$ ) with  $\text{BaSnS}_3$  ( $Pnma$ ), which could explain the observed pattern, although it is possible there is another crystal structure here that we have been unable to identify.  $\text{BaSnS}_3$  is also more absorbing than  $\text{BaSnS}_2$  and  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$ , with a computed PBE gap of 0.857 eV (compared to 1.996 eV for  $\text{BaSnS}_2$ ; see Table 1). For comparison,  $\text{BaSnS}_2$  ( $P2_1/c$ ) is again plotted at the bottom of Fig. 3(d), and it appears that none of the measured data corresponds to this structure. Our hypothesis is that our annealed samples are too S-rich for  $\text{BaSnS}_2$  to grow; S content would need to be further reduced during growth or post-processing to achieve this predicted thermodynamically stable phase.

The crystal structures observed from annealing are depicted in Fig. 4(b); these consists of more complex structures than in

(a) (the three left-most structures with  $>50$  atoms per unit cell). To assess optical properties a few preliminary UV-Vis-NIR measurements were performed, but are not reported here because many of the films decomposed in the presence of oxygen over time, and it was difficult to parse due to absorption from the capping layer. An additional challenge with using an insulating capping layer on these films is that their transport properties cannot be easily measured. It is recommended that follow-up work fabricate contacts with the Ba–Sn–S layer sandwiched between the substrate and the BaS capping layer in order to measure conductivity and mobility. We note that anneals have been performed in a shared space with selenization for CdTe solar cells, and films were exposed to oxygen, so there is a possibility of selenium contamination or oxide formation.

### Computed phase diagram

Computed Ba–Sn–S ternary phase diagrams from the Materials Project database are constructed in Fig. 5, with panel (a) depicting the 0 K phase diagram and (b–d) depicting temperature-dependent phase diagrams using the machine-learned SISSO method.<sup>32</sup> Green circular markers designate the phase on the convex hull, while shaded diamonds designate “unstable” phases in which formation energies lie above the convex hull. The energy above convex hull ( $E_{\text{hull}}$ ) at 0 K and 1000 K are both added to Table 1 for guidance. Similar qualitative results are observed with  $r^2\text{SCAN}$ <sup>38</sup> and the GGA+U- $r^2\text{SCAN}$  mixing procedure from Kingsbury *et al.*,<sup>39</sup> as shown in the ESI† We caution that in the following analysis, thermodynamic temperature should not be compared quantitatively to sputter deposition temperature  $T_{\text{dep}}$ ; rather, it is a guide to inform qualitative trends in phase stability.

Our experimental observations can be contextualized with these calculations. At low temperature, several ternary phases are on the convex hull, including  $\text{BaSnS}_2$ ,  $\text{Ba}_2\text{SnS}_4$  and  $\text{Ba}_2\text{SnS}_3$ , as highlighted in (a). We show in the ESI† that increasing the chemical potential of the sulfur reference state does not lead to

**Table 1** Computed properties of Ba–Sn–S phases discussed in this study (for large unit cell materials  $\text{Ba}_8\text{Sn}_4\text{S}_{15}$  and  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$ , experimental gaps from the literature are reported instead of computed values)

| Formula                               | $\text{Sn}/(\text{Ba} + \text{Sn})$ | $\text{S}/(\text{Ba} + \text{Sn})$ | Space group  | ID          | # of sites | $E_{\text{hull}}^{\text{OK}}$<br>(eV per at.) | $E_{\text{hull}}^{\text{1000K}}$<br>(eV per at.) | $m_e^*$           | $m_h^*$           | $E_G$ (eV) | $E_G^d$ (eV) | Gap method              | Grown here?      |
|---------------------------------------|-------------------------------------|------------------------------------|--------------|-------------|------------|-----------------------------------------------|--------------------------------------------------|-------------------|-------------------|------------|--------------|-------------------------|------------------|
| BaS                                   | 0                                   | 1                                  | $Fm\bar{3}m$ | mp-1500     | 2          | 0.000                                         | 0.000                                            | 0.42              | 0.80              | 3.08       | 3.25         | HSE                     | Yes              |
| $\beta$ - $\text{Ba}_2\text{SnS}_4$   | 0.33                                | 1.33                               | $Pna2_1$     | mp-540689   | 56         | 0.005                                         | 0.000                                            | 5.88 <sup>c</sup> | 0.93 <sup>c</sup> | 2.31       | 2.31         | PBESol <sup>18,40</sup> | Yes              |
| $\text{Ba}_8\text{Sn}_4\text{S}_{15}$ | 0.33                                | 1.25                               | $Pca2_1$     | mp-1195594  | 216        | 0.000                                         | 0.000                                            | —                 | —                 | 2.31       | —            | Exp <sup>15</sup>       | No               |
| $\text{Ba}_7\text{Sn}_5\text{S}_{15}$ | 0.42                                | 1.25                               | $P6_3cm$     | COD-4331670 | 168        | —                                             | —                                                | —                 | —                 | 2.29       | —            | Exp <sup>14</sup>       | Yes              |
| $\text{BaSnS}_2$                      | 0.5                                 | 1                                  | $P2_1/c$     | mp-12181    | 16         | 0.000                                         | 0.040                                            | 0.96              | 0.90              | 2.50       | 2.55         | HSE                     | No               |
| $\text{BaSnS}_3$                      | 0.5                                 | 1.5                                | $Pnma$       | mp-1183370  | 20         | 0.016                                         | 0.035                                            | 0.75              | 1.25              | 1.67       | 1.92         | HSE                     | yes <sup>a</sup> |
| $\text{BaSn}_2\text{S}_3$             | 0.67                                | 1                                  | $P12_1/m$    | mp-27802    | 36         | 0.000                                         | 0.091                                            | 0.54              | 0.64              | 1.88       | 1.88         | HSE                     | Yes <sup>b</sup> |
| SnS                                   | 1                                   | 1                                  | $Pnma$       | mp-2231     | 8          | 0.000                                         | 0.147                                            | 0.20              | 0.53              | 1.30       | 1.59         | HSE                     | Yes              |
| SnS                                   | 1                                   | 1                                  | $Aem2$       | mp-8781     | 4          | 0.046                                         | 0.000                                            | 0.19              | 0.19              | 2.07       | 2.07         | HSE                     | Yes              |

<sup>a</sup> A mixed phase of  $\text{BaSnS}_3$  with  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  may have been synthesized upon anneal; see Fig. 3. <sup>b</sup> We synthesized a heavily distorted rocksalt; this  $\text{BaSn}_2\text{S}_3$  phase has been selected as an ordered representative, but may not have been synthesized. <sup>c</sup> From Ricci *et al.* BoltzTraP calculations.<sup>41</sup>



## Observed and predicted crystal structures

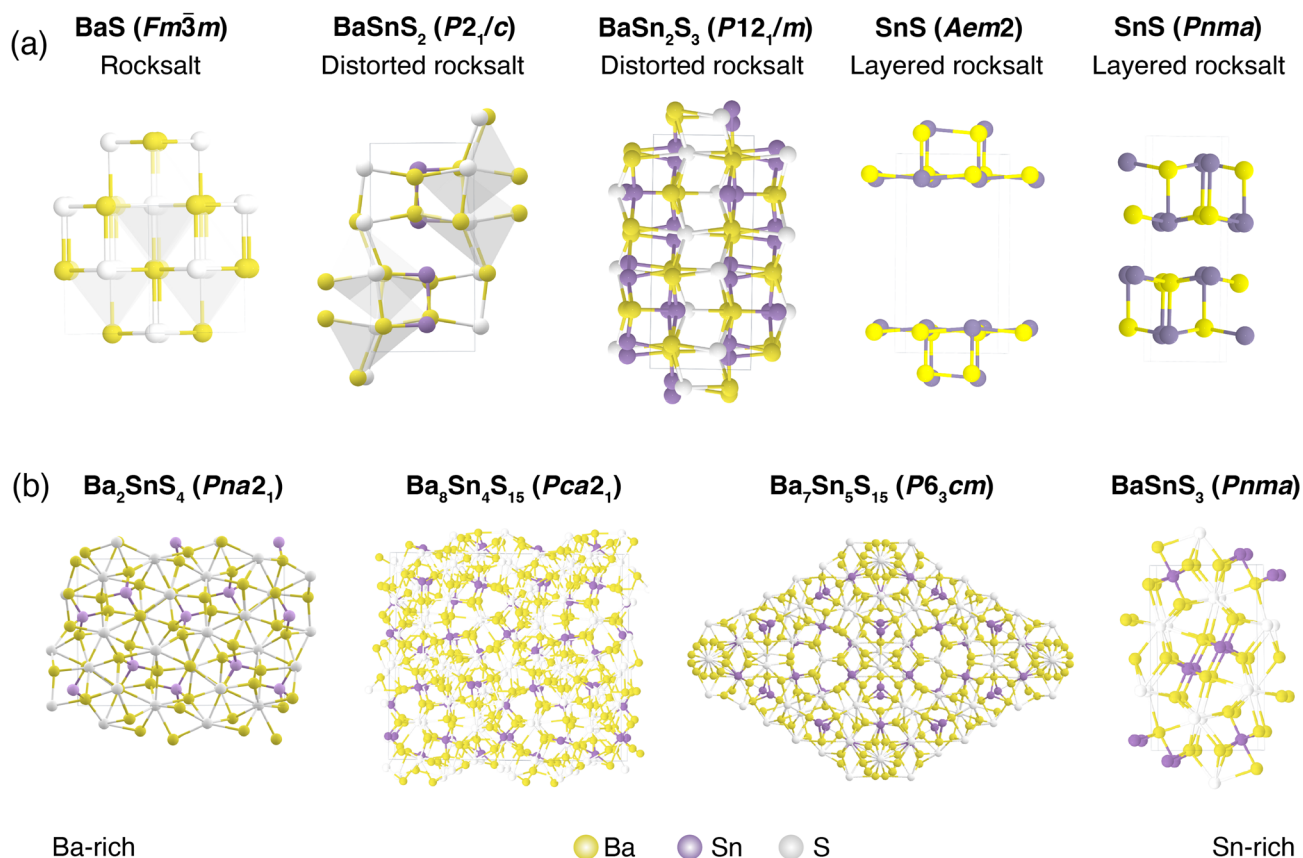


Fig. 4 Experimentally observed crystal structures in the Ba–Sn–S phase space, as well as the predicted thermodynamically stable BaSnS<sub>2</sub> (*P2<sub>1</sub>/c*) phase. (a) Rocksalt-derived crystal structures. (b) More structurally complex crystal structures observed experimentally upon annealing.

stabilization of additional S-rich metastable phases. However, as temperature increases using the SISSO descriptor, the phase stability shifts and several of the stable phases at 0 K become metastable. First, the BaSn<sub>2</sub>S<sub>3</sub> (*P12<sub>1</sub>/m*) phase that we may have observed experimentally appears on the 0 K phase diagram alongside other stable phases, however with the SISSO approximation this phase becomes metastable at elevated temperatures as shown in Fig. 5(b). This could explain the possible observation of this phase or other highly distorted RS phases at lower temperatures in Fig. 2, and its disappearance upon annealing. Similarly, SnS (*Pnma*) becomes highly metastable at non-zero temperatures (with an  $E_{\text{hull}}$  of 0.147 eV at 1000 K) in favor of SnS (*Aem2*); this corroborates the appearance of the *Aem2* phase at deposition temperatures above 300 °C in Fig. 5 (dark blue).

As temperatures increase further in the computed phase diagrams as shown in Fig. 5(c), BaSnS<sub>2</sub> is next to leave the convex hull at temperatures greater than ~600 K. The fact we have not synthesized BaSnS<sub>2</sub> could be due to insufficient temperature sampling under appropriate thermodynamic conditions. Below 900 K, Ba<sub>2</sub>SnS<sub>4</sub> (*P12<sub>1</sub>/c1*) is on the convex hull, though this phase is not observed experimentally. However, at around 900 K the phase that we do observe upon annealing – Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*) – overtakes *P12<sub>1</sub>/c1* as the most stable

polymorph on the hull. Just above 1000 K Ba<sub>7</sub>Sn<sub>5</sub>S<sub>15</sub>, which we observe experimentally upon annealing, becomes destabilized. As temperatures rise, Ba<sub>6</sub>Sn<sub>7</sub>S<sub>20</sub> leaves the hull next, followed by Ba<sub>3</sub>Sn<sub>2</sub>S<sub>7</sub>, and at temperatures greater than 1400 K only Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*) remains on the convex hull. This could explain the predominance of Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*) at high annealing temperatures and Ba-rich conditions (see red marker and XRD patterns in Fig. 3). Therefore, since Ba<sub>2</sub>SnS<sub>4</sub> (*Pna2<sub>1</sub>*) and Ba<sub>7</sub>Sn<sub>5</sub>S<sub>15</sub> appear at the same temperature under the same anneal, it is likely that the annealing conditions accessed a sweet spot of temperature space corresponding to ~900–1000 K (~625–725 °C) in our calculations: high enough such that the *Pna2<sub>1</sub>* phase of Ba<sub>2</sub>SnS<sub>4</sub> was stabilized but low enough such that Ba<sub>7</sub>Sn<sub>5</sub>S<sub>15</sub> was still accessible.

We show in the ESI† that it is likely the volume-dependent terms lead to temperature instabilities, according to the SISSO framework. We also show in the ESI† using this approach combined with a Pourbaix methodology, that increased temperature may help stabilize Ba–Sn–S materials in the presence of moisture and air. These plots only account for effects of a machine-learned high-throughput vibrational entropy, rather than first principles computed vibrational entropy, and therefore the trends and temperatures observed should be



## Computed phase diagrams

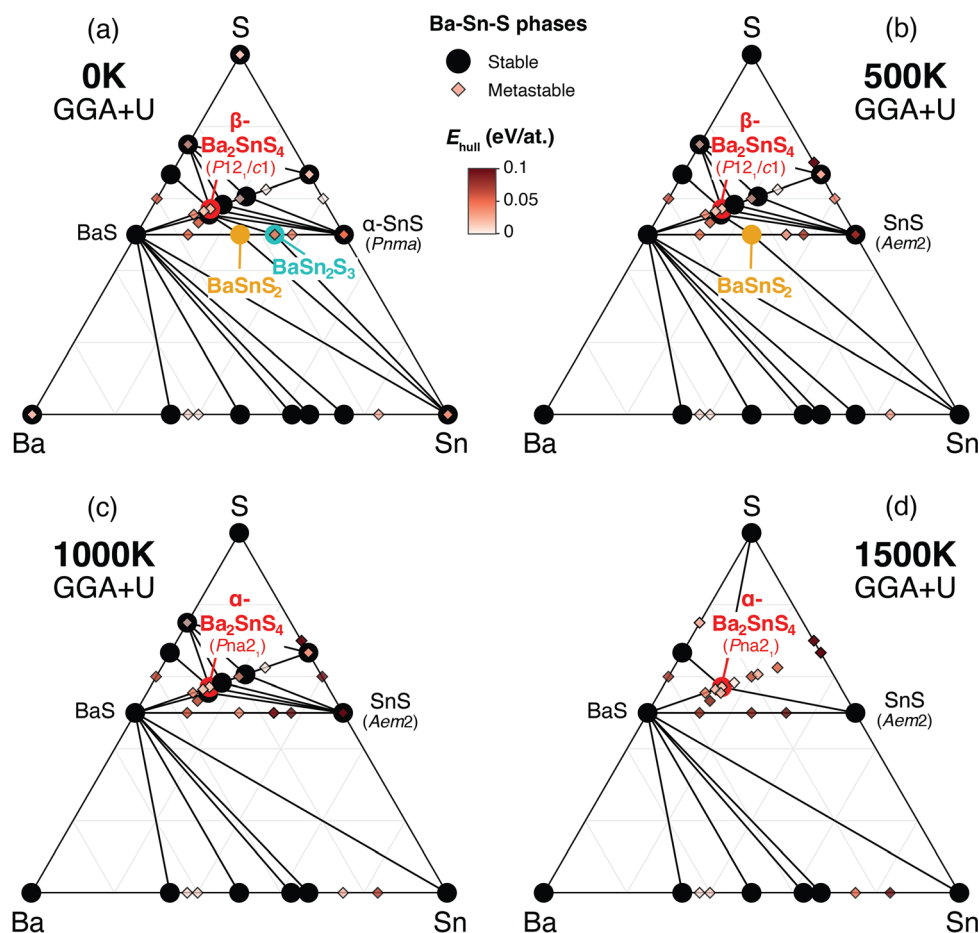


Fig. 5 Computed ternary phase diagrams for Ba–Sn–S (a) at 0 K and (b–d) as a function of temperature using the high-throughput vibrational energy approximation from Bartel *et al.*, as implemented in the Materials Project.<sup>32</sup> Phases of interest are labeled.

interpreted as an estimate. In particular, the method used to compute these diagrams has been benchmarked on phases of different composition across a phase diagram, but the authors claim it is not a great descriptor for predicting relative polymorph ordering at a particular composition.<sup>32</sup> Additionally, these calculations do not account for configurational entropy or surface-stabilization effects than may come into play in thin film synthesis. However, computed phase diagrams do corroborate some of the phase stability trends of combinatorial experiments, in particular of the annealed samples, and provide insight to a pathway to future stabilization of thin films.

### Computed optoelectronic properties

Lastly, we compute optical and electronic properties of representative Ba–Sn–S compounds and summarize properties in Table 1. Compounds are sorted by their fractional Sn cation content,  $\text{Sn}/(\text{Ba} + \text{Sn})$ , and their MP identifier (mpid) is reported.  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  is not present in the MP database so  $E_{\text{hull}}$  values are not reported, but we include the corresponding Crystallography Open Database (COD) identifier.<sup>42</sup> Columns  $E_{\text{hull}}^{0\text{K}}$  and

$E_{\text{hull}}^{1000\text{K}}$  denote the energy above convex hull at 0 K and 1000 K as a proxy for stability, as discussed in Fig. 5. Average effective masses and computed band gaps are reported for all compounds except  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  and  $\text{Ba}_8\text{Sn}_4\text{S}_{15}$ , since they have unit cells with over 200 atoms (experimental band gaps are included in Table 1 instead<sup>14,15</sup>).

As Sn content increases, both electron effective mass ( $m_e^*$ ) and hole effective mass ( $m_h^*$ ) increase between BaS and  $\text{Ba}_2\text{SnS}_4$ , and then decrease nearly monotonically between  $\text{Ba}_2\text{SnS}_4$  and SnS. All computed phases have  $m_h^*$  values of approximately 1 or less, notably low for sulfides. Fundamental gap ( $E_G$ ) and direct gap ( $E_G^d$ ) are reported in Table 1.  $E_G^d$  decreases from 2.25 eV in BaS to 1.59 eV in SnS (Pnma), but jumps to 2.07 eV in the SnS (Aem2) polymorph. Previous studies have reported  $\text{BaSnS}_2$  HSE gap of 2.4 eV,<sup>43</sup>  $\text{BaSnS}_2$  experimental gap of 2.4 eV,<sup>12</sup>  $\text{BaSnS}_3$  HSE gap of 2.62 eV;<sup>44</sup> each of these are similar to our reported values. To our knowledge, this is the first HSE gap report for  $\text{BaSnS}_3$ .

In Fig. 6, the computed optical absorption spectra is plotted for a representative set of Ba–Sn–S compounds. Rainbow shading corresponds the visible spectrum ("vis."), and the  $E_G$ ,  $E_G^d$ , and direct allowed gap ( $E_G^{\text{da}}$ ; a proxy for absorption edge, as



## Computed absorption coefficient

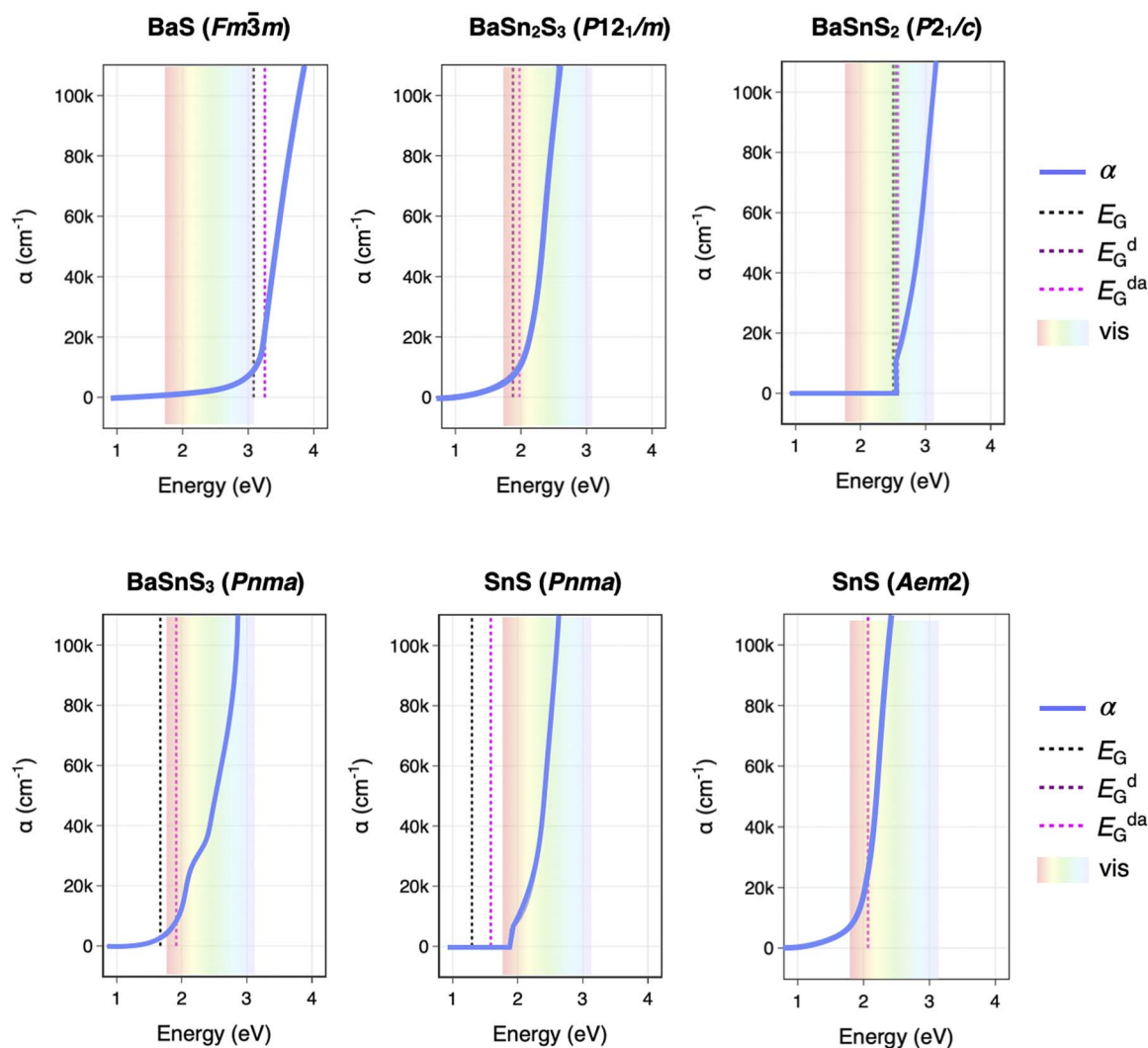


Fig. 6 Computed absorption coefficient for representative Ba–Sn–S phases, plotted in blue. The band gap  $E_G$  is plotted in black, the direct gap  $E_G^d$  in dark purple, and the direct allowed gap  $E_G^{da}$  in light purple.

defined elsewhere<sup>34,45</sup>) are depicted with dotted lines. Computed absorption coefficient  $\alpha$  is plotted as a function of photon energy. As expected, the energy of the absorption edge decreases as Sn concentration increases. It is observed that in  $\text{BaSnS}_2$  and  $\text{SnS}$  ( $Pnma$ ), optical transitions at the direct band gap are weak or forbidden such that the absorption edge is somewhat higher in energy.

## Conclusion

In this study, thin films in the Ba–Sn–S phase space have been synthesized using combinatorial sputter deposition, and several of these compounds in the Ba–Sn–S chemical family have been assessed with computational approaches. Experimentally crystallized phases include  $Fm\bar{3}m$  RS-derived phases in Ba-rich regions, distorted RS (possibly  $P12_1/m$ ) and layered (likely  $Aem2$ ) phases in Sn-rich regions, and amorphous phases

throughout. Using a capping layer plus annealing approach, thin films of  $\text{Ba}_2\text{SnS}_4$  ( $Pna2_1$ ) and  $\text{Ba}_7\text{Sn}_5\text{S}_{15}$  ( $P6_3cm$ ) have been synthesized, as well as possible mixed phases of  $\text{BaSnS}_3$  ( $Pnma$ ). Computed temperature-dependent phase diagrams qualitatively support our experimental results, in particular that distorted RS phases such as  $\text{BaSnS}_3$  form at lower temperatures and  $\text{Ba}_2\text{SnS}_4$  ( $Pna2_1$ ) becomes the dominant phase upon annealing. The theoretically-predicted destabilization of  $\text{BaSnS}_2$  at high temperatures likely explains why  $\text{BaSnS}_2$  does not form in our experiments, but we expect that reducing S content during growth may enable suitable synthesis conditions to crystallize this phase. The absorption spectra and electronic properties of several experimentally realized phases have been estimated using DFT calculations, resulting in relatively wide (1.67–2.5 eV) band gaps as well as relatively low hole effective masses (0.6–1.3, respectively). This combined experimental and computational study motivates future work on Ba–Sn–S materials to attain



single-phase thin films and to evaluate their optical and electronic properties. More generally, by combining experimental and computational methods to investigate emerging materials within a ternary phase space, we hope that this approach can serve as an impetus and a framework for further exploration of new promising multinary material spaces.

## Conflicts of interest

There are no conflicts to declare.

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