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Calculation of absolute molecular entropies and heat capacities made simple†

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We propose a fully-automated composite scheme for the accurate and numerically stable calculation of molecular entropies by efficiently combining density-functional theory (DFT), semi-empirical methods (SQM), and force-field (FF) approximations. The scheme is systematically expandable and can be integrated seamlessly with continuum-solvation models. Anharmonic effects are included through the modified rigid-rotor-harmonic-oscillator (msRRHO) approximation and the Gibbs–Shannon formula for extensive conformer ensembles (CEs), which are generated by a metadynamics search algorithm and are extrapolated to completeness. For the first time, variations of the ro-vibrational entropy over the CE are consistently accounted-for through a Boltzmann-population average. Extensive tests of the protocol with the two standard DFT approaches B97-3c and B3LYP-D3 reveal an unprecedented accuracy with mean deviations $<1 \text{ cal mol}^{-1} \text{ K}^{-1}$ (about $<1\text{--}2\%$) for the total gas phase molecular entropy of medium-sized molecules. Even for the hardship case of extremely flexible linear alkanes ($\text{C}_{14}\text{H}_{30}$ – $\text{C}_{16}\text{H}_{34}$), errors are only about $3 \text{ cal mol}^{-1} \text{ K}^{-1}$. Comprehensive tests indicate a relatively strong variation of the conformational entropy on the underlying level of theory for typical drug molecules, inferring the complex potential energy surfaces as the main source of error. Furthermore, we show some application examples for the calculation of free energy differences in typical chemical reactions.

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1 Introduction

A main goal of computational chemistry is to realistically model various chemical reactions and predict their products. While those reactions are usually carried out at room temperature in solution, quantum mechanical (QM) calculations are primarily conducted for isolated molecules at absolute temperature zero. In order to compare theory with experiment, additional corrections and computational steps are required. Calculations of thermodynamic properties at finite temperatures are essential and if we neglect here the issue of solvation, the basic problem is an efficient computation of the molecular entropy.^{1,2}

As for most other thermodynamic properties, QM computations of the entropy are commonly based on frequency calculations in the harmonic oscillator (HO) approximation. This is then usually extended by the rigid-rotor model, giving rise to the rigid-rotor-harmonic-oscillator (RRHO) approach. A comparison of entropies calculated in this way to experimental values for small molecules reveals an insufficient accuracy already for relatively rigid molecules mainly due to anharmonicity effects.^{3–6} Because RRHO errors are often systematic, a common strategy is linear or multi-parametric scaling of the

HO vibrational frequencies to mimic the effect of anharmonicity.^{7–13} However, even frequency scaling is unable to account for all of the missing contributions to the entropy.

Approaches that compute the absolute entropy can be roughly categorized into two major classes. The first go beyond the HO approximation and explicitly account for anharmonicities in the description mainly for low-frequency, torsional normal modes. For example, this can be done by construction of one-dimensional (1D) potential energy surfaces (PES) along the respective normal modes, as in the uncoupled normal mode approach of Sauer and coworkers.^{14–16} This scheme was later adapted by Head-Gordon *et al.*⁶ to include a separate treatment of vibrational and torsional modes (UM-VT). Advances have also been made for approaches that investigate coupled torsional motions.^{17–19} Another method that includes the torsional anharmonicity *via* 1D-PES and takes multiple structures into account is the MS-T approach (and its variants), developed by Truhlar and coworkers.^{20–22} Good results can be achieved with all of the above schemes, but in practice the construction of the PES and the relevant modes is technically involved, often only possible for relatively small molecules and unfeasible for routine computational chemistry workflows.

A stronger focus on multiple minima (molecular configurations/conformers) leads to the second class of approaches. Here, thermodynamic properties are approximated only by considering the *unique* minima on the PES, which in the molecular case are the different conformations. In the context

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In this study, we introduce an improved scheme that is developed from the minima mining approach and is designed to work in an almost “black box” fashion in combination with modified RRHO calculations. Herein, for the calculation of conformational entropies the recently developed GFN2-xTB^{46,47} tight-binding MO and GFN-FF⁴⁸ force-field methods are employed to keep computational cost under control and improve the PES description in comparison to many standard FFs. Both methods are consistently available for all elements in the periodic table up to radon ($Z = 86$). Below, we will first start with a general overview of the partitioning of entropies and heat capacities, followed by a description of technical novelties and the automated procedure used for the conformational part. After discussing general observations with regard to entropy calculations, benchmark results for entropies and heat capacities are presented in comparison with experimental gas phase values. In the last section we apply our scheme to some biochemically relevant systems (drug molecules) and discuss a few prototypical chemical applications.

The absolute molecular entropy in the Born–Oppenheimer approximation consists of translational (trans), rotational (rot), and vibrational (vib, also termed internal) parts

The most complicated vibrational contribution can be further decomposed according to

where HO denotes the harmonic oscillator value, S_{anharm} its anharmonic correction and S_{conf} is the conformational entropy arising from the population of different conformational minima. This last term is relevant for many chemically important and often non-rigid molecules like alkanes or typical drugs. Its efficient computation is the main point of this work. The corresponding partitioning and formulas can be derived analogously for the heat capacity C_p for which only the finally used equation is reported below (see eqn (13)).

$$S = S_{\text{RRHO}} + S_{\text{conf}}, \quad (3)$$

A well-known problem of RRHO-based entropy calculations is that S_{vib} tends to infinity for vibrational frequencies approaching zero. In actual calculations for larger, flexible molecules, many low-frequency vibrational modes appear which are often better characterized by internal rotations of functional groups rather than by stretching or bending vibrations. They are in a typical range of 5–50 cm^{-1} and can spoil the computed entropy due to artificial numerical errors and their strong anharmonicity components. Correction schemes exist which explicitly treat such modes anharmonically in a coupled or uncoupled form.^{6,22} These methods require the costly computation of one-dimensional (1D) PES as well as definition of special internal coordinates. In our opinion, while such methods can be beneficial and accurate for small to medium sized and not too flexible molecules (≈ 20 –30 atoms), they are not viable for a robust and rather general treatment for systems with hundreds of atoms.

In 2012, one of us proposed to modify the treatment of the low-frequency part of the vibrational spectrum by taking a so-called rotor-approximation and continuously interpolating between a rigid-rotor and vibrational description for each

mode.⁵² Herein, the vibrational entropy of a harmonic oscillator with frequency ν at temperature T is given by

$$S_V = R \left[\frac{h\nu}{kT} \frac{e^{-h\nu/kT}}{(1 - e^{-h\nu/kT})} - \ln(1 - e^{-h\nu/kT}) \right]. \quad (4)$$

The rigid-rotor entropy for a free rotor is given by

$$S_R = R \left[\frac{1}{2} + \ln \left\{ \left(\frac{8\pi^3 \mu' kT}{h^2} \right)^{1/2} \right\} \right], \quad (5)$$

where μ' describes the dependence on the average molecular moment of inertia B_{av} and the frequency of the normal mode

$$\mu' = \frac{\mu B_{av}}{\mu + B_{av}}, \quad (6)$$

with $\mu = \frac{h}{8\pi^2\nu}$. In eqn (4)–(6), h is Planck's constant, R is the gas constant, and k is Boltzmann's constant. The final continuously interpolated S_{mRRHO} entropy ("m" for modified) is then given by a sum over all normal modes

$$S_{mRRHO} = S_{trans} + S_{rot} + \sum_i^{modes} \left[\frac{S_V}{1 + \left(\frac{\tau}{\nu_i} \right)^\alpha} + \left(1 - \frac{1}{1 + \left(\frac{\tau}{\nu_i} \right)^\alpha} \right) S_R \right], \quad (7)$$

with $\alpha = 4$ (introduced with the damping function in ref. 53). This does not involve any computational overhead compared to a standard HO calculation and merely requires the definition of a vibrational energy threshold τ below that the rotor entropy instead of the vibrational one is continuously taken. A related (but discontinuous) treatment has been proposed by Truhlar.⁵⁴ A typical value used by us since years in standard thermochemical studies is $\tau = 50 \text{ cm}^{-1}$. In this work, we consider τ for the first time as an adjustable parameter to account for part of the non-conformational anharmonicity effects. Furthermore, calculated harmonic frequencies are linearly scaled by a factor ν_{scal} , as is common practice^{7–9} to account for deficiencies of the underlying method employed for the PES calculation and further anharmonicity effects mainly in the high-frequency part. The only two empirical parameters included are adjusted to reproduce experimental entropies for a benchmark set of mostly rigid molecules (see below). For better distinction this modified RRHO treatment is in the following denoted by S_{msRRHO} ("s" for scaled).

The major aim of this work was to find a robust approximation to S_{conf} which is already significant for medium flexible molecules (see Section 4.4). We build upon the original idea of Gilson and co-workers²⁹ termed "minima mining" or "mixture of conformers" strategy, which has later been applied to organic molecule entropy calculations by DeTar³¹ and Guthrie.³² The basic formula reads

$$S_{conf} \approx S_{mix} = -R \sum_i^{conf} p_i \ln p_i \quad (8)$$

and approximates S_{conf} by the conformer mixing entropy S_{mix} summed over a conformer ensemble. The thermal populations p at absolute temperature T are given by

$$p_i = \frac{g_i e^{-E_i/\beta}}{\sum_j g_j e^{-E_j/\beta}}, \quad (9)$$

where $\beta = \frac{1}{kT}$, E_i is the energy of the equilibrium structure of conformer i , and g_i is a general state degeneracy. The conformational entropy depends on the level of theory through the calculated populations entering the Gibbs–Shannon entropy formulation in eqn (8), which in turn depend directly on the equilibrium (free) energies. But also for other configurational entropy approaches, that are usually cited as being *purely informational*,^{33,42} there exists a bias towards the underlying method used for the generation of molecular structures, for example by MD simulations. This is especially problematic for very crude approximations of the conformational entropy, e.g., based only on the number of conformers N_{conf} according to $S_{conf} \approx R \ln(N_{conf})$. This approximation is used in some studies^{32,55} and is appealing due to its simplicity. However, while this formulation may be used for very simple molecules, it breaks down for more complex PES. Further discussion of this point is given in the ESI.†

The sum in eqn (8) is taken over all significantly populated, *distinguishable* structures representing a so-called generalized Boltzmann distribution.²⁸ The problem of this procedure (also termed Gibbs–Shannon entropy based procedure) is that not only an almost complete conformer ensemble has to be found but additionally, it should be "pure", i.e., free of so-called rotamers. In this case for molecules with non-degenerate electronic ground states, all g_i are unity. Rotamers are structures indistinguishable by any nuclear spin-independent quantum mechanical observable. They arise from rotation around covalent chemical bonds (or other inversion-type processes) that interchange nuclei belonging to the same group of nuclides, as for example the interchange of protons at a methyl group by rotation.

In this work, we propose and implement for the first time an automatic algorithm that generates a theoretically proper ensemble of unique conformer structures required for the accurate computation of S_{conf} . For the conformer search problem, we employ our recently described CREST program⁵⁶ (abbreviated from Conformer-Rotamer Ensemble Sampling Tool), which is based on metadynamics simulations employing on-the-fly computed quantum mechanical tight-binding PES.^{56,57} We assume at this point that the conformer-rotamer ensembles (CRE) obtained from CREST are sufficiently complete and the energies E_i are accurate. If this is really the case for very flexible molecules (e.g. long alkanes) can be tested by comparison of computed and experimental entropies and heat capacities (see Sections 4.2 and 4.3). Note that our approach works with any (on-the-fly computed) PES and hence, at least in principle, the errors introduced by the underlying method for the PES and the other approximations to the entropy problem could be decomposed.

The CREST algorithms were originally developed to generate rotamer containing ensembles and the related nuclei-exchange information for the simulation of NMR spectra.²³ Hence, it seems straightforward not only to identify rotamers, but to extend the algorithm to automatically compute the proper



degeneracy number g_i . However, as mentioned above, conformer ensembles (CE) must be free from the indistinguishable rotamers to be compatible with entropy calculations. Therefore, g_i are treated as unity in the usual case.

The only exception here are symmetrical molecules that can form “enantiomeric” (*i.e.*, in principle distinguishable) conformers through rotation of bonds. A typical case is the gauche conformer of *n*-butane. These geometrical enantiomers are degenerate and would be falsely classified as rotamers in our previous implementation. Effectively, this introduces a factor of $g'_i = \{1, 2\}$ instead of g_i in the degeneracy, depending on if the formation of a geometrical enantiomer is possible. Our new approach considers this problem for the first time in a correct and automated way. Inserting this into the standard entropy expression for degenerate states⁵⁸ leads to

$$S'_{\text{conf}} = R \left[\ln \sum_i g'_i e^{-E_i\beta} + \frac{\sum_i g'_i (E_i\beta) e^{-E_i\beta}}{\sum_i g'_i e^{-E_i\beta}} \right]. \quad (10)$$

The correct S_{msRRHO} entropy is a population average over the CE, analogously to other physical observables. Unfortunately, the many costly DFT geometry optimizations and frequency calculations will quickly become the computational bottleneck for moderately sized systems. Therefore, as a further approximation, we compute S_{msRRHO} at the DFT level for the lowest conformer and add the respective ensemble contribution as a thermostatical average over all populated conformers at a less computationally demanding, lower theoretical level. The arising $\bar{S}_{\text{msRRHO}}$ term is given by

$$\bar{S}_{\text{msRRHO}} = (\sum_i p_i S_{\text{msRRHO},i}) - S_{\text{msRRHO,ref}}, \quad (11)$$

where $S_{\text{msRRHO},i}$ is the absolute msRRHO entropy of the conformer calculated at the low force-field or SQM level to avoid very many (high level/DFT) HO calculations. $S_{\text{msRRHO},i}$ and the free energies (G_i) are only explicitly calculated for the lowest $\geq 90\%$ populated (based on initial total energies E_i) conformers while for all others, the average is taken. The populations p_i refer to eqn (9) and are calculated using G_i from the corresponding msRRHO calculations. For convenience, we subtract the entropy of a reference structure $S_{\text{msRRHO,ref}}$ in eqn (11) such that $\bar{S}_{\text{msRRHO}}$ can be added directly taken as a further correction to the S_{msRRHO} result taken from any standard quantum chemistry code. $S_{\text{msRRHO,ref}}$ typically refers to the DFT reference structure, for which vibrational frequencies are calculated at the SQM or FF level. To avoid changes to the geometry and appearance of imaginary vibrational modes, we here additionally make use of a new procedure called Single Point Hessian (SPH),^{59,60} for which some details are given in the ESI.† Note that if $\bar{S}_{\text{msRRHO}}$ is calculated at the same level as S_{msRRHO} , one would arrive at the correct population average because S_{msRRHO} and $S_{\text{msRRHO,ref}}$ exactly cancel each other. The treatment would then be exact.

Thus, our final working equation for the molecular entropy is given by

$$S_{\text{conf}} = S'_{\text{conf}} + \bar{S}_{\text{msRRHO}}. \quad (12)$$

The corresponding formula for the heat capacity at constant pressure is

$$C_{\text{p,conf}} = R \left(\frac{\sum_i g_i (E_i\beta)^2 e^{-E_i\beta}}{\sum_i g_i e^{-E_i\beta}} \right) - R \left(\frac{\sum_i g_i (E_i\beta) e^{-E_i\beta}}{\sum_i g_i e^{-E_i\beta}} \right)^2, \quad (13)$$

and the enthalpy is

$$[H(T) - H(0)]_{\text{conf}} = RT \frac{\sum_i g_i (E_i\beta) e^{-E_i\beta}}{\sum_i g_i e^{-E_i\beta}}. \quad (14)$$

Note that g_i is used in C_p and $H(T) - H(0)$ instead of g'_i . In our opinion, basing S_{conf} (and related properties) directly on a given level of theory *via* the Gibbs–Shannon entropy of an ensemble (eqn (8) and (10)) provides a genuine understanding of the quantity in accordance with chemical intuition. Furthermore, it can be very well coupled to automated conformational search tools, which are anyway necessary for accurate computation of other physical observables.

3 Implementation and computational details

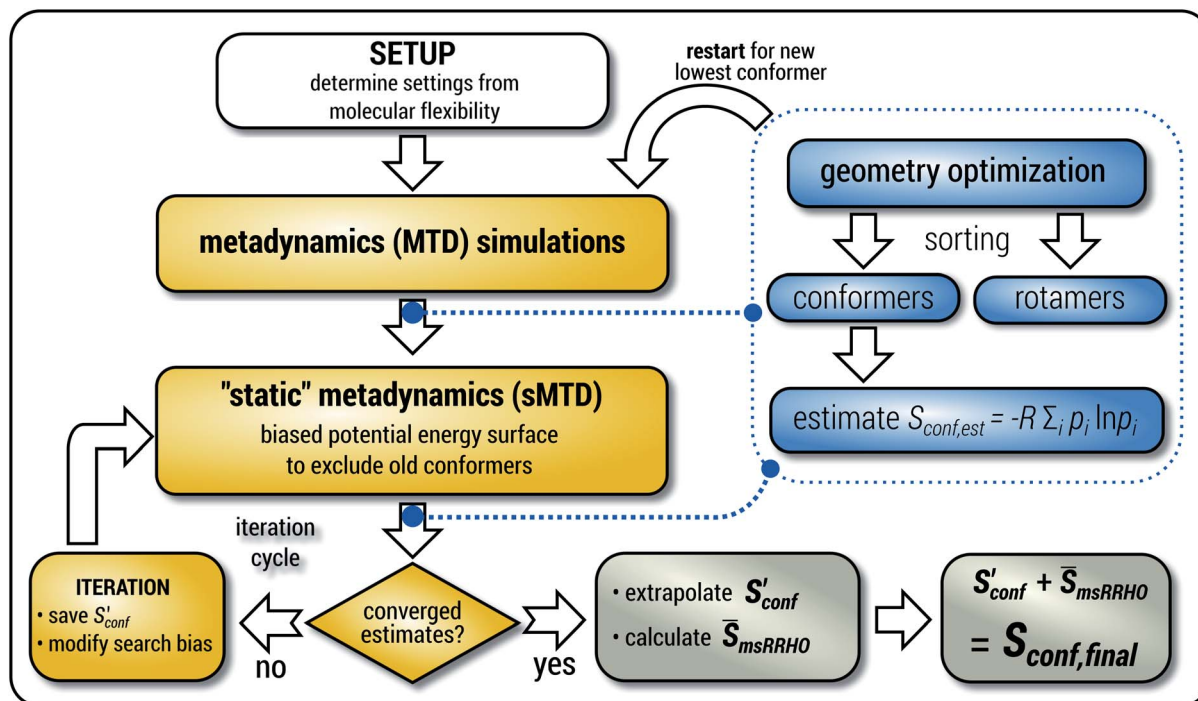
3.1 Extrapolation to ensemble completeness

For very flexible systems (*e.g.* long alkanes), the number of accessible conformers Ω is roughly proportional to $\Omega \approx 3^R$, where R is the number of freely rotatable bonds (commonly associated with the number of sp^3 – sp^3 carbon single bonds).⁵⁵ In principle, all conformers, *i.e.*, the complete ensemble and the respective energies are required for the calculation of S_{conf} but even for only moderately sized systems this number is prohibitively huge.

Practically, the obtained ensemble quality depends mostly on the run time t of the (biased) molecular dynamics (MD) in CREST. Basically, it is the number of optimized snap-shot structures gathered over all runs and will converge to a complete CE with the length of the conformational search. On the other hand, the conformational entropy also exhibits predictable behavior with regard to increasing ensemble completeness. If the lowest energy conformer is known, adding higher-lying conformers to the ensemble can only increase the entropy. If many of the low-energy structures are already found, the entropy increase for additional states is smooth and it seems possible to extrapolate to completeness without explicit knowledge of all conformers. The pre-requisite for this is the generation of enough intermediate points, *i.e.*, consecutive conformational ensembles with systematically improved quality. A smooth and continuous convergence of the entropy to its maximum value can only be observed if conformers are added consistently from all regions of the PES (see Section 4.2 for examples).

In the implementation of the algorithm, information from incomplete CEs of consecutive iterations is used for an extrapolation of the entropy according to





Ideally, the PES employed for the initial conformational search and the one used for automatic S_{conf} calculation should be the same. Here, we employ the GFN2-xTB tight-binding method⁴⁶ and the recent general force-field GFN-FF⁴⁸ and compare the results. The latter speeds-up the CREST calculations by a factor of 10–30 for typical cases with 50–100 atoms. The S_{msRRHO} value is always computed with B97-3c and a frequency scaling factor ν_{scal} of 0.97, or B3LYP-D3/def2-TZVP with a frequency scaling factor ν_{scal} of 0.98. Test calculations employing GFN2-xTB in this step yield somewhat less accurate results and, because the calculation of S_{conf} is the computational bottleneck, do not reduce the overall computational times significantly. In all frequency calculations, a S_{msRRHO} cut-off value of $\tau = 25 \text{ cm}^{-1}$ was employed. τ and ν_{scal} (for the DFT methods) were adjusted to perform equally well in combination with both GFN-FF and GFN2-xTB. CREST is essentially a driver for the xtb program⁷⁶ which is used for all GFN calculations. For the DFT calculations, TURBOMOLE 7.4 (ref. 77 and 78) is used throughout.

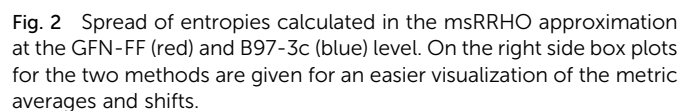
Furthermore, in Section 4.4 we present a case study for 25 pharmaceutical (clinical drug) molecules, denoted CD25. There are no experimental entropy values available for this set, but differences between the ensembles (*e.g.*, gas phase *versus* implicit solvation) and different PES employed to calculate the entropy can be studied theoretically. We suggest this set also as a challenging test for other approaches.

4.1 General considerations

The largest portion of the entropy results from the vibrational, rotational, and translational degrees of freedom (DOF), as commonly obtained by standard quantum mechanical frequency calculations employing the RRHO approximation. Contributions from translational and rotational DOF have the same order of magnitude (about 30–40 cal mol⁻¹ K⁻¹ in Table 1) for all chemical systems of about this size (mass). In contrast, vibrational contributions quickly exceed several hundred cal mol⁻¹ K⁻¹ for molecules >100 atoms. In the important drug-size regime, the vibrational entropy is clearly the largest contribution and hence its accuracy depends also on how good anharmonicities are described. As defined in Section 2, the effect of anharmonicities can be estimated from the difference between the entropy calculated by the new msRRHO and standard RRHO scheme (*i.e.*, without modifying τ and frequency scaling). Looking at the two example molecules, decane shows only a relatively small RRHO-msRRHO difference of 0.9 cal mol⁻¹ K⁻¹ while tamiflu exhibits a much higher anharmonic contribution of 4.4 cal mol⁻¹ K⁻¹. This is in line with chemical intuition, as one would expect many more anharmonic rovibrational modes for a complicated drug molecule like tamiflu than for a rather simple linear structure composed of only CH and CC bonds. In any case, the anharmonicity is non-negligible and must be accounted for by either τ and ν_{scal} or some more elaborate, explicit scheme. With increasing flexibility of the molecule the configurational contribution increases drastically and in fact, S_{conf} can be taken as a molecular flexibility measure (see Section 4.4).

Another novelty of our approach is the extrapolation of S'_{conf} to the ensemble completeness as discussed in Section 3.1. The corresponding procedure requires systematically and smoothly improving CE quality in each iteration. In practice, the required

		S (cal mol ⁻¹ K ⁻¹)	
		<i>n</i> -Decane	Tamiflu
RRHO		116.4	169.0
msRRHO		117.3 (89.9%)	173.4 (91.6%)
	vib.	47.2	95.4
	rot.	29.4	34.9
	trans.	40.8	43.1
Anharm. (msRRHO-RRHO)		0.9	4.4
S'_{conf}		12.5 (9.6%)	13.7 (7.2%)
$\bar{S}_{\text{msRRHO}}$		0.7 (0.5%)	2.3 (1.2%)
Sum		130.5 (100.0%)	189.4 (100.0%)
Exptl.		130.4	—





^a Values taken from ref. 6.

The experimental entropy values^{79,80} show a strict linear increase with the number of carbon atoms and the reproduction of this relation represents a challenging task for theoretical methods. Both the RRHO as well as the msRRHO models increasingly underestimate the entropy with growing system

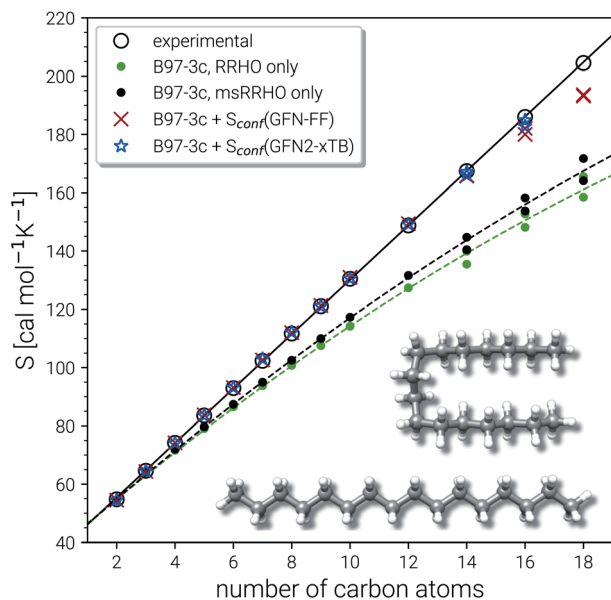


Fig. 5 Parity plot for calculated and experimental entropies for n -alkanes from ethane to octadecane. All values correspond to B97-3c S_{msRRHO} , either combined with GFN2-xTB or GFN-FF S_{conf} , or without the conformational contribution. For $\text{C}_{14}\text{H}_{30}$ up to $\text{C}_{18}\text{H}_{38}$ two values are shown each, which correspond to the competing linear and folded global minima (see text for details). As example the folded and linear minimum energy conformers for hexadecane are depicted.

size leading to a strongly non-linear behavior and errors of more than 20% for the largest alkanes considered. The major part of this difference can be accounted for by S_{conf} . In fact, up to tetradecane ($\text{C}_{14}\text{H}_{30}$), the computed values are all still within chemical accuracy of $3 \text{ cal mol}^{-1} \text{ K}^{-1}$ upon adding the conformational term. However, other effects start to come into play at this system size. The global minimum of $\text{C}_{14}\text{H}_{30}$ and of smaller n -alkanes in the gas-phase always correspond to a linear (unfolded) structure. As intramolecular interactions, in particular London dispersion, become stronger with increasing system size, other conformers will be favored eventually. For $\text{C}_{14}\text{H}_{30}$ up to $\text{C}_{18}\text{H}_{38}$, a competing folded conformer (in which dispersion interactions are maximized) is observed.^{82,83} The folded conformers are energetically similar to the respective linear structure but differ strongly in their msRRHO entropy. Depending on the applied theoretical level, either conformation could be the global gas-phase minimum, which makes the choice of S_{ref} in eqn (11) ambiguous and could introduce errors. In the ideal case, the variations between different reference conformers in $\bar{S}_{\text{msRRHO}}$ and S_{msRRHO} would cancel and lead to the same conformational entropy regardless of the chosen global minimum. This is observed for $\text{C}_{18}\text{H}_{38}$ and S_{conf} calculated at the GFN-FF level and would always be the case if $\bar{S}_{\text{msRRHO}}$ (see eqn (11)) is calculated at the same level as S_{msRRHO} . For $\text{C}_{16}\text{H}_{34}$ variations between the different theory levels are larger and only the GFN2 conformational entropy for the folded conformer as reference is still within chemical accuracy. Nevertheless, accurate entropies of extremely flexible large alkanes have been consistently obtained for the first time and

this can be considered as a major achievement even though some issues for $\text{C}_{18}\text{H}_{38}$ remain. The detailed reasons for the deviations for the “worst cases” $\text{C}_{16}\text{H}_{34}$ and particularly $\text{C}_{18}\text{H}_{38}$ are not fully clear at this point but originate tentatively from the S_{conf} part.

Technical size limitations of our approach should also be noted. The computational cost increases strongly with molecule size at high flexibility and can make the conformational entropy calculation unfeasible for larger molecules. At the GFN2 level, the S_{conf} calculation for $\text{C}_{16}\text{H}_{34}$ already takes a few hundred hours of computation time, and hence, we did not attempt to calculate $\text{C}_{18}\text{H}_{38}$ at this level of theory. With the much cheaper GFN-FF method, on the other hand, the entropy for both $\text{C}_{16}\text{H}_{34}$ and $\text{C}_{18}\text{H}_{38}$ can still be computed roughly “over night” on a standard CPU node with 14 cores. Somewhat larger (up to 100–200 atoms) but less flexible molecules (e.g., typical drugs, see Section 4.4) are also feasible at the GFN-FF level due to the shorter MD run times required. Neither of these system sizes can routinely be treated by DFT based MF approaches. In summary, the combination of S_{msRRHO} calculations with the specialized conformational sampling procedure for S_{conf} , and the $\bar{S}_{\text{msRRHO}}$ averaging performs excellently and is on par with or even better than complicated and computationally demanding mode based approaches. Improvements of our approach may be necessary for molecules with a very large number of internal rotors at least if absolute values are considered and hence, a beneficial error compensation is not given.

4.3 Benchmarking heat capacity

Heat capacities and enthalpies (see eqn (13) and (14)) depend less strongly on the ensemble partition function than the entropy. Hence, it is sufficient to calculate C_p and enthalpies $[H(T) - H(0)]$ only for a single converged ensemble without extrapolation. The performance of our approach was evaluated on a subset of the LBH benchmark with 44 experimental heat capacities for linear and branched alkanes at different temperatures between 300 and 500 K. For reference, we again compare with the UM-VT results provided in ref. 6. Parity plots for the comparison with experimental data are shown in Fig. 6 and the corresponding statistical data are given in Table 3.

Excellent performance is achieved for all assessed methods with RMSDs and SDs (much) smaller than $0.7 \text{ cal mol}^{-1} \text{ K}^{-1}$. In Fig. 6, virtually all data points are within an error range of $1 \text{ cal mol}^{-1} \text{ K}^{-1}$. The choice of the theoretical level used for the msRRHO calculations seems to be less important as both B97-3c and B3LYP-D3 perform well. Looking at the corresponding mean deviations B97-3c tends to slightly overestimate C_p while B3LYP-D3 shows the opposite trend. This is attributed to the choice of the frequency scaling factor and the cut-off value τ , which were adjusted for the computation of entropies. Accordingly, the results could be seen as further evidence for the conceptional validity of this treatment. At ambient temperature absolute values of heat capacities are smaller than absolute values for entropies. The corresponding conformational contributions are mostly not the accuracy bottleneck for the heat capacities but can be significant at lower temperatures.



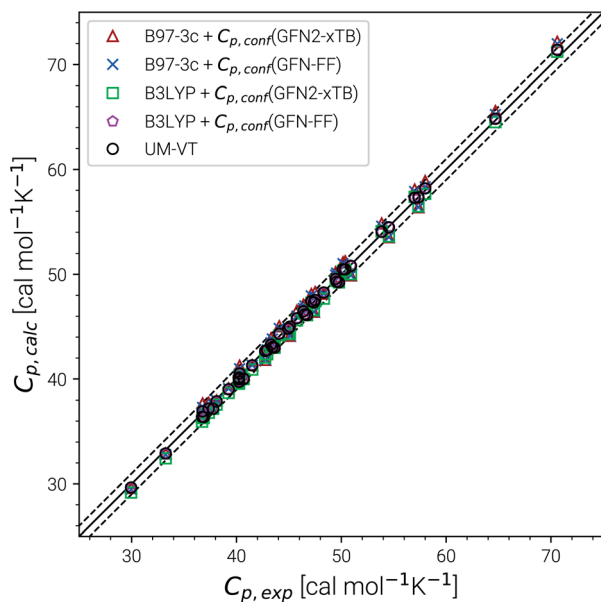


Fig. 6 Parity plots for calculated and experimental heat capacities for a subset of the LBH set. Method combinations of B97-3c and B3LYP-D3/def2-TZVP $C_{p,\text{msRRHO}}$ values with GFN2-xTB and GFN-FF $C_{p,\text{conf}}$ values are shown. UM-VT values were taken from ref. 6.

Table 3 Mean deviation (MD), mean average deviation (MAD), root-mean-square deviation (RMSD) and standard deviation (SD) for heat capacities obtained at different theoretical levels in comparison to experimental data. All values are given in $\text{cal mol}^{-1} \text{K}^{-1}$

$C_{p,\text{RRHO}}$	B97-3c		B3LYP-D3/TZ		
$C_{p,\text{conf}}$	GFN-FF	GFN2-xTB	GFN-FF	GFN2-xTB	UM-VT ^a
MD	0.05	0.17	−0.39	−0.11	−0.05
MAD	0.47	0.57	0.47	0.25	0.68
RMSD	0.58	0.69	0.54	0.32	0.78
SD	0.58	0.68	0.38	0.31	0.79

^a Values taken from ref. 6.

For example in the LBH subset, the largest $C_{p,\text{conf}}$ values are obtained only for the most flexible systems (*n*-heptane, *n*-octane) and even then it accounts only to about 2–3 $\text{cal mol}^{-1} \text{K}^{-1}$. However, it should be noted that the errors in the standard RRHO treatment will quickly exceed the desired 3 $\text{cal mol}^{-1} \text{K}^{-1}$ range.

Temperature dependence of the heat capacity. As $C_{p,\text{conf}}$ converges to zero with increasing temperature (all conformers are equally populated for $T \rightarrow \infty$), the accuracy of the calculated heat capacity for large T depends mostly on the underlying frequency calculation. *n*-Octane is shown as an example in Fig. 7a, in comparison with experimentally derived⁸⁴ heat capacities for in the temperature range from 300 to 1500 K. For temperatures below 500 K, the RRHO approach systematically underestimates the C_p values, which is improved by the msRRHO treatment. To reach chemical accuracy for this temperature regime, adding the conformational contribution is

mandatory. With increasing temperature the unmodified RRHO value starts to overestimate the experimental C_p . Because the msRRHO treatment always increases the heat capacity in comparison to the RRHO value, no improvement is obtained with our approach for very high temperatures. For *n*-octane at 1500 K this leads to an overestimation of 7 $\text{cal mol}^{-1} \text{K}^{-1}$ in comparison to experiment. However, it should be noted that the high temperature reference values in Fig. 7 are derived indirectly from low temperature experimental data^{84,85} and hence these data points may have a larger uncertainties than the low temperature ones. In fact, other references can be found that differ from the here shown data and are slightly closer to the computed values.⁸⁶

In the chemically important temperature regime of up to 500 K, where our approach is very accurate, a significant conformational contribution to the total C_p value is obtained (for a few examples see Fig. 7b). The temperature dependence of $C_{p,\text{conf}}(T)$

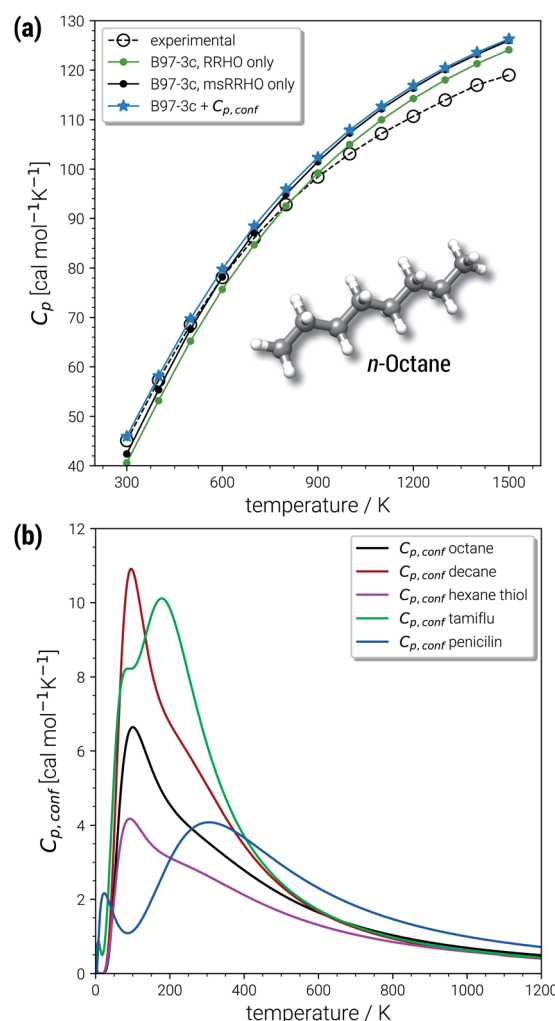


Fig. 7 (a) Heat capacities calculated for *n*-octane in the temperature range 300 to 1500 K and (b) temperature dependence of the conformational heat capacity shown for octane and other example molecules from the AS23 and CD25 sets. (ms)RRHO values correspond to the B97-3c level and CE were obtained at the GFN2-xTB level.

is very characteristic for each molecular structure and may contain maxima/minima in the curves. Extrema of $C_{p,conf}(T)$ can be associated with large changes of the individual conformer populations and may be interpreted as conformational phase transitions. For a more general review of interpretations of PES related heat capacity features see the work of Wales (ref. 25). The linear chain-like molecules in Fig. 7b (decane, octane and hexanethiol) only have a single maximum in the range 100–200 K. Around 200 K, many folded, higher energetic conformations start to be populated, while at lower temperatures only very linear structures are obtained. The global maximum of $C_{p,conf}$ depends on the molecule specific energetic distribution of the conformers within a given energy window. For example, the CE of hexanethiol and octane consist of about the same number of conformers (150 and 152 structures respectively within 6 kcal mol⁻¹), but differ with regard to their relative conformational energies. Molecular characteristics become even more pronounced for complicated molecules, *e.g.*, tamiflu and penicillin, where often multiple extrema are obtained for $C_{p,conf}(T)$ (see Fig. 7b).

4.4 Case studies

Drug molecules. After demonstrating the excellent performance of the presented approach to calculate absolute entropies in Section 4.2, we now turn our attention to biochemically more important systems. The CD25 set is introduced, containing 25 commercial drug molecules with 28 to 98 atoms. For these molecules no experimental entropy and C_p values are available to compare with. Nonetheless also a purely theoretical investigation of the CE and respective entropies may yield important insights. Note that a comprehensive evaluation of the entropy for such important molecules with a highly accurate method is missing in the chemical literature.

Due to their similar size and elemental composition, similar S_{conf} values may be expected for typical drugs. This is not the case as can be seen from the entropies calculated for the CD25 set, shown for the GFN2-xTB and GFN-FF levels in Fig. 8. Conformational entropies in the CD25 set range from close-to-zero to over 20 cal mol⁻¹ K⁻¹. The reason for this is rooted in the very diverse and complicated PES of the molecules. Compared

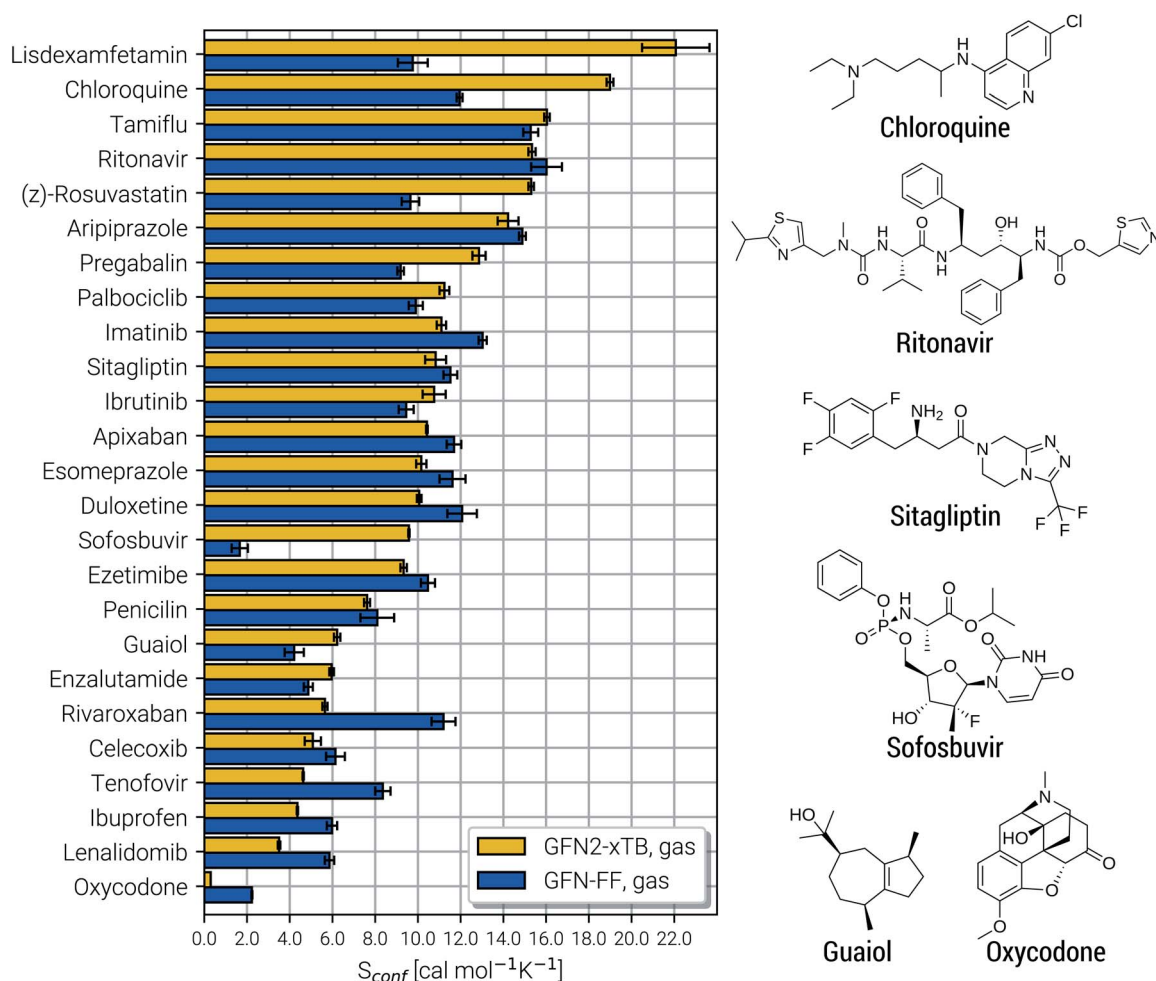
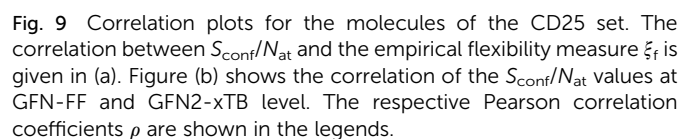


Fig. 8 Calculated S_{conf} values for a set of 25 clinical drug molecules at the GFN2-xTB and GFN-FF levels of theory sorted according to increasing value. Averaged values (shown as horizontal bars) and their standard deviations (shown as errors) have been determined by multiple executions of the above described algorithm, as described in the text below. On the right side Lewis structures of some of the molecules are shown (see the ESI† for all molecules).

Finally, the CD25 set was employed to evaluate the robustness and reproducibility of the presented approach. As discussed above the stochastic nature of the MD runs leads to slightly varying results for different runs started on the same



Chem. Sci., 2021, 12, 6551–6568 | 6563

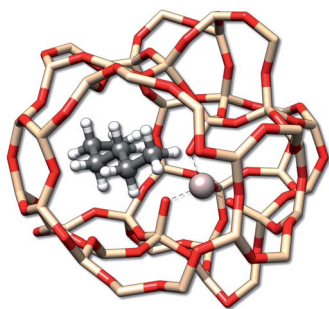


Fig. 10 The *n*-hexane molecule adsorbed by a H-ZSM-5 zeolite. Hydrogen atoms used for the saturation of the zeolite have been omitted for better visibility.

Chemical applications. In this last section we give a few chemical examples, where absolute entropies are used to compute reaction entropies and Gibbs free energies.

Adsorption processes are important for a variety of applications, such as heterogeneous catalysis⁸⁷ where the entropy change can be measured *via* calorimetric experiments. Here, a rather well studied class of reactions is the adsorption of *n*-alkanes onto zeolites.⁸⁸ As an example the adsorption entropy of *n*-butane, *n*-pentane, and *n*-hexane (Fig. 10) in a H-ZSM-5 zeolite cut-out was calculated with GFN-FF.

For a given zeolite structure cut-out (*e.g.*, obtained from a crystal structure and saturated with hydrogen atoms) thermodynamic properties can be obtained with the (ms)RRHO approach. Sampling of the configurations in CREST then simply requires some additional geometrical constraints, as was discussed in previous work.^{56,89} This is necessary because the zeolite chunk shall mimic a solid and its structure would be strongly deformed or even broken by the metadynamic simulations and geometry optimizations at GFN level. The configurational problem is of course complicated by the combinatorial nature of different conformers at different adsorption sites, but in the present case the total system size is small enough to not pose major problems. Adsorption entropies are directly calculated from absolute entropies by $\Delta S = S_{\text{alkane/zeolite}} - S_{\text{alkane}} - S_{\text{zeolite}}$ (see Table 4) and assessed with respect to experimental values.

The final calculated $\Delta S_{\text{ads,calc.}}$ shows deviations of only 4.2 to 6.4 cal mol^{−1} K^{−1} compared to experiment and show the same qualitative trend of adsorption strength (butane < pentane < hexane). While this trend is also reproduced already by S_{msRRHO} , it is important to notice that the configurational contribution accounts for roughly 10% of the overall adsorption entropy and

Table 4 Adsorption entropies (in cal mol^{−1} K^{−1}) for small linear alkanes on H-ZSM-5 zeolite cut-outs, calculated fully at the GFN-FF level of theory. Experimental adsorption entropies were obtained from ref. 88

Adsorbed molecule	ΔS_{msRRHO}	ΔS_{conf}	$\Delta S_{\text{ads,calc.}}$	$\Delta S_{\text{ads,exp.}}$
<i>n</i> -Butane	−34.1	3.1	−31.0	−24.9
<i>n</i> -Pentane	−36.5	4.1	−32.4	−28.2
<i>n</i> -Hexane	−38.1	2.8	−35.3	−28.9

furthermore shifts ΔS_{msRRHO} in the direction of the experimental value. Because the zeolite is identical for all structures and configurations, all msRRHO entropies are similar and the term $\bar{S}_{\text{msRRHO}}$ consequently is $\ll 1$ cal mol^{−1} K^{−1}. Therefore the main part of ΔS_{conf} can be attributed to S'_{conf} and qualitatively interpreted. Here, *n*-butane has the smallest amount of conformers but many configurations (adsorption orientations) in the zeolite while it is *vice versa* for *n*-hexane, leading to a similar contribution of $\Delta S_{\text{conf}} \approx 3$ cal mol^{−1} K^{−1} in both cases. For *n*-pentane on the other hand, both the conformational and configurational space are large and hence it shows the largest ΔS_{conf} value of the three systems. The calculated $\Delta S_{\text{ads,calc.}}$ are in very good agreement with experiment, considering that all results were obtained at a cost efficient force-field level and none of the values exceed a deviation of 2 kcal mol^{−1} at 298 K. Note that the full calculation for each of the final ΔS_{ads} values only took about 1.5–2 h on a standard desktop computer (4 cores on a Intel i7-7700K 4.2 GHz CPU).

A more common usage for S_{conf} is to improve the calculation of reaction free energies. The conformational entropies and enthalpies are converted to ensemble free energies G_{conf} *via* the usual relation $G = H - TS$ and can be added directly to the G_{msRRHO} values of all reactants and products of the reaction. In general, a significant change of the DOF in the course of the reaction can cause significant entropic effects and a non-negligible effect on the reaction free energy.

Three examples (A, B, and C) are shown in Fig. 11 and the corresponding reaction energy differences are shown in Table 5.

Reaction A is the cyclization of a 1,5-diene into the perfume molecule β -georgywood.⁹⁰ Ring-closure reactions are often associated with a decrease of DOF, and hence an entropic destabilization is expected. This view is supported by the computed free energies, where the addition of ΔG_{conf} decreases

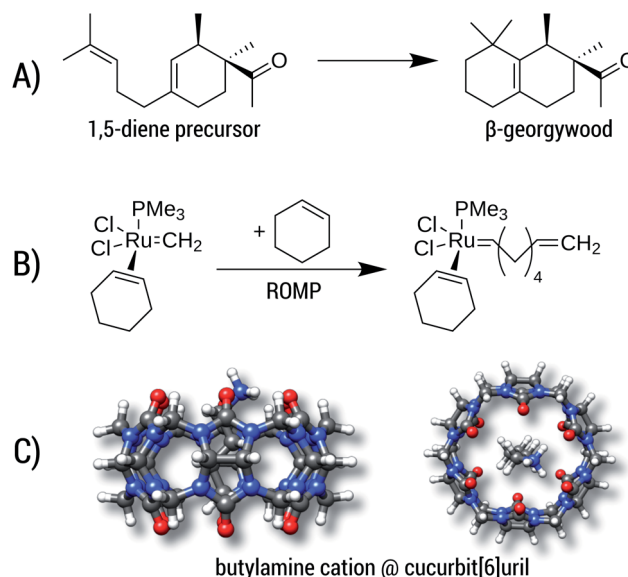


Fig. 11 Example reactions with large entropic contributions. (A) Cyclization of a 1,5-diene to the β -georgywood compound, (B) simplified catalytic reaction of a ring-opening metathesis polymerization (ROMP), (C) complexation of butylammonium in cucurbit[6]uril.

Table 5 Energy differences for the reactions shown in Fig. 11. All values are given in kcal mol^{−1} and were obtained at the B97-3c level with conformational contributions calculated at GFN2-xTB level. Free energies correspond to 298.15 K

Reaction	Reaction energies		
	ΔE	ΔG	$\Delta G + \Delta G_{\text{conf}}$
A	−15.0	−10.3	−8.7
B	−8.1	4.6	2.8
C	−82.0	−64.8	−64.3

the reaction free energy from −10.3 kcal mol^{−1} to −8.7 kcal mol^{−1}. For the typical “chemical accuracy” of 1 kcal mol^{−1}, adding the conformational term would therefore be necessary. Note, that ring-closures are common in many syntheses and biochemical processes (e.g. terpene chemistry,⁹¹ or, as an example from a previous section, the synthesis of oxycodone⁹²) and therefore will profit from a better description by our method.

Reaction B is a simplified catalytic reaction of a ring-opening metathesis polymerization (ROMP).⁹³ ROMP was pioneered by the groups of Chauvin, Grubbs and Schrock and are among the most important catalytic reactions in industrial chemistry.^{94,95} The reaction free energy balance of B is positive as a result of the sterically undemanding PMe₃ ligand, but nonetheless the influence of G_{conf} is nicely demonstrated. Here, due to a loss of DOFs (two reactants form one product molecule), ΔG becomes initially positive, which is counteracted by a DOF gain in G_{conf} of the product. The effect of the ensemble treatment has the same origin as in the ring-opening reaction A, but in this case favors the formation of the product by about 1.8 kcal mol^{−1}. This example furthermore shows the capability of GFN2-xTB (and GFN-FF), which can be routinely be applied to transition-metal containing systems.

The influence of configurational entropy can also be studied for non-covalent associations. Reaction C shows the binding of butylammonium in cucurbit[6]uril.^{96,97} Binding affinities for small cations in cucurbiturils are well studied,⁹⁸ but for more flexible guest molecules such as butylammonium, entropic effects may become important. The association free energy changes from −64.8 kcal mol^{−1} to −64.3 kcal mol^{−1} upon addition of ΔG_{conf} in the gas phase. On first sight, the increase of about 0.5 kcal mol^{−1} seems negligible compared to the large overall value of about −64 kcal mol^{−1}. However, the latter value is quenched in solution^{96,97} to about −6.9 kcal mol^{−1} indicating that under more realistic conditions ΔG_{conf} is indeed relevant.

All the examples discussed in this subsection have been modelled in the gas-phase, but the extension to solutions is easily possible by using implicit solvation models. Inclusion of solvation effects will modify the PES and therefore produce different ensembles (and conformational entropies) than in the gas-phase. A direct impact of this would be noticeable, e.g., for phase-partition coefficients like log K_{ow} , which strongly depend on the respective ensemble.⁹⁹ Technically, such calculations are straightforward and are investigated currently in our laboratory.

5 Conclusions

An automated workflow for the calculation of absolute molecular entropies is presented. The molecular entropy is a fundamental thermodynamic quantity necessary for a complete understanding of molecular interactions. The main component of the absolute entropy is usually obtained from vibrational frequency calculations in the RRHO approximation, which for medium sized molecules (50–100 atoms) often underestimates anharmonicities for low-frequency modes and is missing configurational contributions arising from many accessible low-energy conformations. In the presented approach both sources of error are treated by a separation of the molecular entropy into a configurational (conformational) part and the entropy arising from translational, rotational, and vibrational degrees of freedom. For the latter, vibrational frequencies were obtained at the B97-3c and B3LYP-D3/def2-TZVP DFT level, employing a modified and scaled RRHO approximation (termed msRRHO) with two adjustable parameters τ and ν_{scal} . The conformational entropy is calculated from an ensemble of conformers using the well known Gibbs–Shannon entropy formula (S'_{conf}) and an population average over individual msRRHO contributions of the conformers ($\bar{S}_{\text{msRRHO}}$). We here make use of the fast and accurate GFN-FF and GFN2-xTB methods for the generation and energetic ranking of structures, driven by the recently introduced CREST program. The entire procedure is designed to work with only a few simple steps and minimal user input, which makes it routinely applicable to a broad range of systems.

The presented workflow was tested on a set of 62 experimental molecular gas phase entropies. An excellent performance (better than the chemical accuracy of 3 cal mol^{−1} K^{−1}) was observed with MADs ranging from 0.73 to 0.92 cal mol^{−1} K^{−1} and SDs from 1.08 to 1.30 cal mol^{−1} K^{−1} respectively, depending on the combination of the DFT method with either GFN2-xTB or GFN-FF. Heat capacities were assessed on a set of linear and branches alkanes at different temperatures. The MAD and SD values are with 0.5 cal mol^{−1} K^{−1} even smaller than for absolute entropies but increase at very high temperatures >800 K. The presented method performs better than related yet computationally significantly more costly approaches and to our knowledge provides the smallest errors for molecular entropies ever reported in the literature. This includes large, extremely flexible *n*-alkanes up to octadecane for which an unprecedented accuracy for the absolute entropy in comparison to experiment of about 5% was obtained.

Biochemically important systems and chemical applications were discussed on the basis of set of 25 drug molecules and four reaction examples, including the calculation of adsorption entropies, two reaction free energies and a non-covalent association free energy calculation. For the drug molecules, a correlation of molecular flexibility and the entropy was observed. The examples revealed a significant contribution of the configurational terms to the overall free energy, often exceeding the magnitude of chemical accuracy. In the future, a more thorough study of these effects across a wide range of chemical reactions is desirable.



In general, GFN2-xTB was found to provide (as expected) a more consistent description of the PES and hence the conformational entropy than GFN-FF. However, as calculations of S_{conf} tend to get very expensive for larger systems at GFN2-xTB or higher theoretical levels, GFN-FF is strongly recommended as the standard approach in routine treatments on common desktop computers. In theory, the basic components of the proposed scheme are systematically improvable by a better description of the PES. The modular partition of the absolute value into ro-vibrational and configurational parts enables a convenient replacement of the different methods, which provides a starting point for future studies. This also includes the extension to implicit solvation models that will allow to investigate molecular entropy differences between the gas-phase and solution or between different solvents.

Availability

The employed conformational search algorithm including the above described workflow for the calculation of molecular entropies was implemented in the recently published CREST program, version 2.11. The program (Linux/Unix compatible only) is available free of charge from GitHub (<https://github.com/grimme-lab/crest>). CREST requires access to the xtb binary, also available from GitHub (<https://github.com/grimme-lab/xtb>). Input geometries for the above calculations are available from <https://github.com/grimme-lab/mol-entropy>.

Author contributions

Both authors contributed equally to the development of the theory, the software development, the conducted calculations and the writing of the manuscript.

Conflicts of interest

There are no conflicts of interest to declare.

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- ## Author contributions
- Both authors contributed equally to the development of the theory, the software development, the conducted calculations and the writing of the manuscript.
- ## Conflicts of interest
- There are no conflicts of interest to declare.
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