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Strategies for determining heteroaggregation attachment efficiencies of engineered nanoparticles in aquatic environments

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Heteroaggregation of engineered nanoparticles (ENPs) with suspended particulate matter (SPM) ubiquitous in natural waters often dominates the transport behaviour and overall fate of ENPs in aquatic environments. In order to provide meaningful exposure predictions and support risk assessment for ENPs, environmental fate and transport models require quantitative information about this process, typically in the form of the so-called attachment efficiency for heteroaggregation α_{hetero} . The inherent complexity of heteroaggregation—encompassing at least two different particle populations, various aggregation pathways and several possible attachment efficiencies (α values)—makes its theoretical and experimental determination challenging. In this frontier review we assess the current state of knowledge on heteroaggregation of ENPs with a focus on natural surface waters. A theoretical analysis presents relevant equations, outlines the possible aggregation pathways and highlights different types of α . In a second part, experimental approaches to study heteroaggregation and derive α values are reviewed and three possible strategies are identified: i) monitoring changes in size, ii) monitoring number or mass distribution and iii) studying indirect effects, such as sedimentation. It becomes apparent that the complexity of heteroaggregation creates various challenges and no single best method for its assessment has been developed yet. Nevertheless, many promising strategies have been identified and meaningful data can be derived from carefully designed experiments when accounting for the different concurrent aggregation pathways and clearly stating the type of α reported. For future method development a closer connection between experiments and models is encouraged.

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Environmental significance

Heteroaggregation has been identified as a key process for the fate of engineered nanoparticles (ENPs) in aquatic environments. However, experimental approaches to determine attachment efficiencies for heteroaggregation (α_{hetero}) remain comparatively limited and often lack specification of the type of attachment efficiency (α) that is reported. Here we provide a thorough review of both the theoretical background and current state of experimental approaches for studying heteroaggregation. By clarifying the possible heteroaggregation pathways and types of α values as well as critically assessing the strengths and weaknesses of different experimental strategies, we provide a strong basis for the future development of approaches to study heteroaggregation and to generate reliable data to predict exposure and enable a stronger risk assessment of ENPs.

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Introduction

Upon release to natural surface waters, engineered nanoparticles (ENPs) will undergo a variety of transformation and transport processes, affecting their ultimate fate and hazard potential.¹ Natural waters already contain a multitude of natural particles in the nano- and micrometre size range, which can be composed of aggregates of minerals and organic substances of various origins and can be loosely referred to as suspended particulate matter (SPM). A new generation of ENP-specific multimedia fate and transport models^{2–7} has demonstrated that heteroaggregation, the attachment of ENPs with naturally occurring SPM, is one of the most important processes driving the overall fate of ENPs in natural waters. Once the ENPs are attached to SPM, their transport (floating, sedimentation, resuspension *etc.*) is dominated by the transport behaviour of the SPM itself, commonly resulting in sediments being final or transient sinks for waterborne ENPs.^{6,8} SPM-bound ENPs are also likely to display differences in bioavailability and toxicity compared to free ENPs.^{2,9,10} Additionally, other fate processes, such as dissolution, might be altered by heteroaggregation.¹¹ It is therefore crucial for ENP exposure and risk assessment to understand and quantify heteroaggregation processes.

The attachment efficiency (α) is a common fate descriptor for aggregation processes.¹² It has been employed as an input parameter to describe ENP heteroaggregation in spatially explicit regional-scale fate and transport models^{6,7,13,14} as well as in unit-world type multimedia models.^{3–5} These models operate at very different temporal and spatial scales, at levels of detail ranging from modelling individual particle collisions to predicting fate at a systems scale, and often address different scientific and or regulatory questions. Nevertheless, implications of model scale towards input parameter needs for heteroaggregation are rarely discussed. It is important to be aware that ENPs heteroaggregating with SPM in natural waters represent a system of two or more different particle populations interacting with one another. This can result in a complex combination of different aggregation processes and attachment efficiencies (α values) and the formation of various homo- and heteroaggregates. Consequently, different types of α values may be required for different applications. In order to ensure that selected α values are adequately describing the system at hand, it is critical to improve our theoretical understanding of the complexities of heteroaggregation.

A better mechanistic understanding of heteroaggregation is also needed to develop adequate experimental protocols for its assessment and generate solid data to parameterize environmental fate models and inform risk assessment. Currently, most experimental studies on the aggregation behaviour of ENPs have focused on homoaggregation, *i.e.* aggregation between ENPs of the same nature.^{15–18} However, this process is likely less relevant in most natural waters than heteroaggregation, due to the relatively low expected ENP concentrations, generally in the ng L⁻¹ or μ g L⁻¹ range,^{19,20}

and the large available SPM surface area. Often, experimental approaches used to study homoaggregation cannot be directly applied to heteroaggregation due to the inherently higher system complexity and studies on heteroaggregation are still comparatively scarce.

In this contribution we carefully review the underlying theory relevant to describe heteroaggregation together with existing experimental approaches to measure aggregation processes. A focus is placed on heteroaggregation of ENPs with SPM in natural surface waters, *e.g.* rivers, lakes, estuaries, oceans; systems that are most relevant for exposure and risk assessment. We identify the most promising strategies for determining different types of α values and discuss their application in different fate and transport models.

Theoretical background

Aggregation theory

Particle aggregation has been well-described in colloid theory (*e.g.* Elimelech *et al.*,²¹ Li & Logan²² or Lyklema²³). The formation of aggregates from two colliding entities i and j can be broken down into two steps: collision and attachment, which are described by the collision rate constant, $k_{\text{coll}}^{i,j}$, and the attachment efficiency, α_{ij} , respectively (eqn (1)). A quantitative measure of the aggregation process is the aggregation rate constant, $k_{\text{agg}}^{i,j}$:

$$k_{\text{agg}}^{i,j} = \alpha_{ij} k_{\text{coll}}^{i,j} \quad (1)$$

When $\alpha_{ij} = 1$, *i.e.* every collision results in aggregate formation, the aggregation rate constant between particles i and j , is equal to the collision rate constant $k_{\text{coll}}^{i,j}$ and depends on the relative particle sizes and densities of the colliding particles as well as on the physical properties of the surrounding medium. The collision rate constant, assuming rectilinear collision pathways, can be calculated based on the following equation:^{6,14,21}

$$k_{\text{coll}}^{i,j} = \frac{2k_{\text{B}}T_{\text{w}}}{3\mu_{\text{w}}} \frac{(r_i + r_j)^2}{r_i r_j} + \frac{4}{3} G (r_i + r_j)^3 + \pi (r_i + r_j)^2 |v_{s,i} - v_{s,j}| \quad (2)$$

where k_{B} is the Boltzmann constant; T_{w} and μ_{w} are the temperature and viscosity of the medium (here: water); r_i and r_j are the radii of particles i and j , respectively; G is the shear rate and $v_{s,i}$ and $v_{s,j}$ are the settling velocities of particles i and j , respectively, often calculated from particle size and density using Stokes law²⁴ or variations thereof.²⁵ The first term in eqn (2) describes collisions due to Brownian motion (perikinetic aggregation) and the second term describes collisions as a result of shear forces (flow or stirring of the liquid), also referred to as orthokinetic aggregation. The last term in the equation represents a collision mechanism as a result of differential sedimentation, due to the different settling velocities of the particles.^{6,21} The different contributions to the collision rate constant are largely



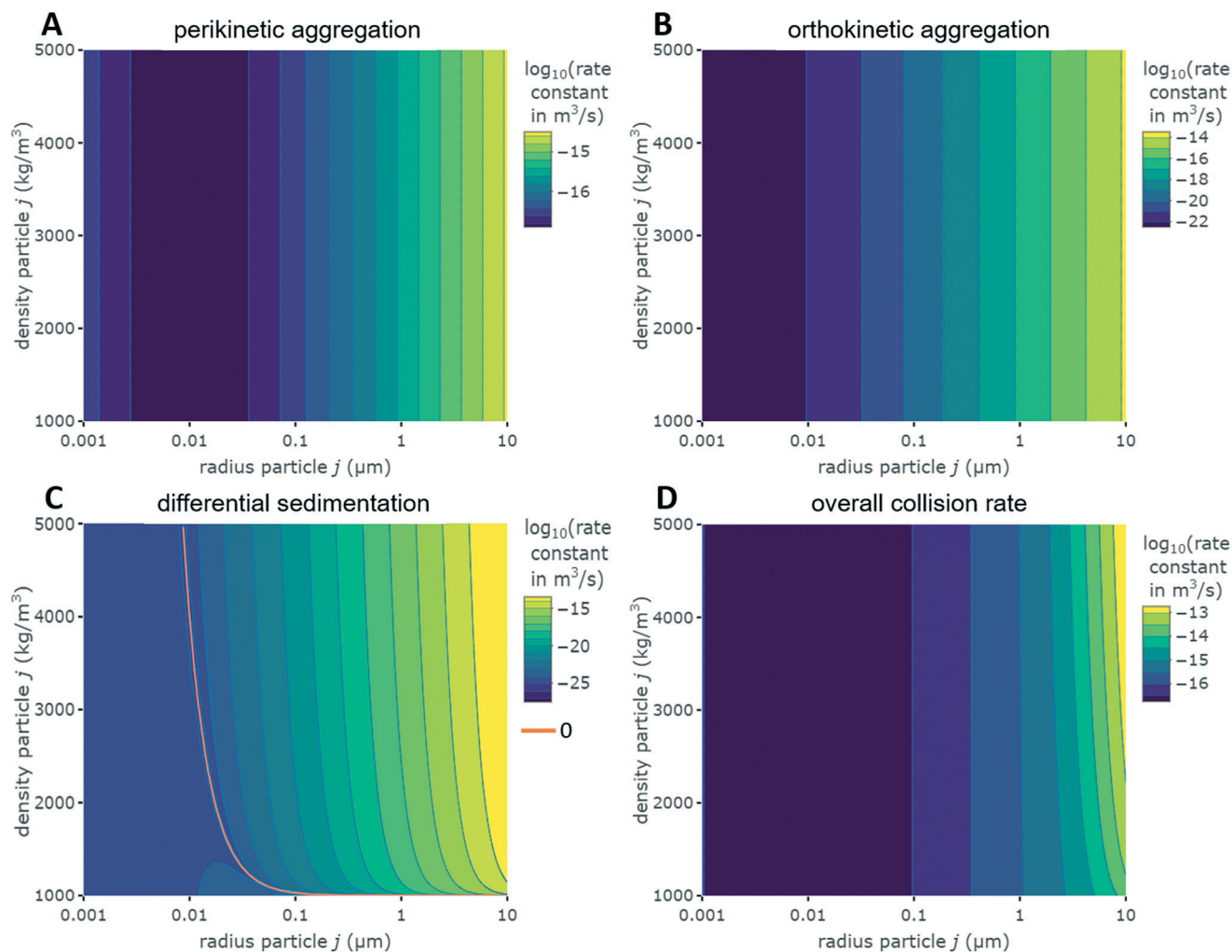


Fig. 1 Effect of relative size and density on collision rate constants of two heteroaggregating particles assuming the simplest case of a rectilinear collision model. The size and density of one particle i is fixed at 10 nm and 4000 kg m⁻³, respectively (representative e.g. of a TiO₂ ENP), while size and density of particle j are varied from 1 nm to 10 μm and from 1000 to 5000 kg m⁻³, respectively. A temperature of 293 K and a shear rate G of 10 s⁻¹ were assumed for the calculations. The influence of relative size and density between two colliding particles on the individual terms in eqn (2), namely perikinetic (A) and orthokinetic (B) collisions and differential sedimentation (C), as well as on the collision frequency as the sum of all three contributions (D), is shown. Settling velocities $v_{s,i}$ and $v_{s,j}$ are calculated from Stoke's law: $v_{s,ij} = \frac{2(\rho_{ij} - \rho_w)g r_{ij}^2}{9\mu_w}$, where ρ_{ij} and ρ_w are the density of particle i (or j) and of water, respectively, and g is the gravitational acceleration on earth.[‡]

dependent on the relative sizes and densities of the aggregating particles (Fig. 1).

Structural effects and short-range forces

As defined in eqn (2), the collision rate constant considers two spherical particles i and j in a rectilinear collision mode.^{26,27} However, this ignores short-range forces and changes in fluid motion as particles (solid spheres) approach one another. To account for short-range effects, such as hydrodynamic forces and particle attraction (van der Waals and electrical double layer forces), in particle collisions, corrections to the rectilinear collision frequency function for each mechanism have been proposed *via* a curvilinear

approach.^{26–30} For example, Lawler and co-workers^{28,29} have numerically developed a set of corrections for solid spheres, which have been recently updated to include nanoparticle interactions.³¹

In the case of aggregates, their internal structure can strongly affect hydrodynamics around colliding entities and lead to non-linear collision trajectories requiring different corrections than single (spherical) particles.^{27,30} The fractal concept³² is widely accepted to provide insights into the influence of aggregation mechanisms on aggregate structure by giving a simple mathematical and quantitative measure of the aggregate mass distribution in space. In simple terms, the fractal dimension, d_f , provides a measure of aggregate structure: aggregates of low d_f have a loose, rather open structure, whereas a d_f closer to the maximum value of 3 represent more dense aggregates (a solid sphere has a d_f

[‡] The code to create Fig. 1 is available online: https://github.com/apraetorius/collision_mechanisms.



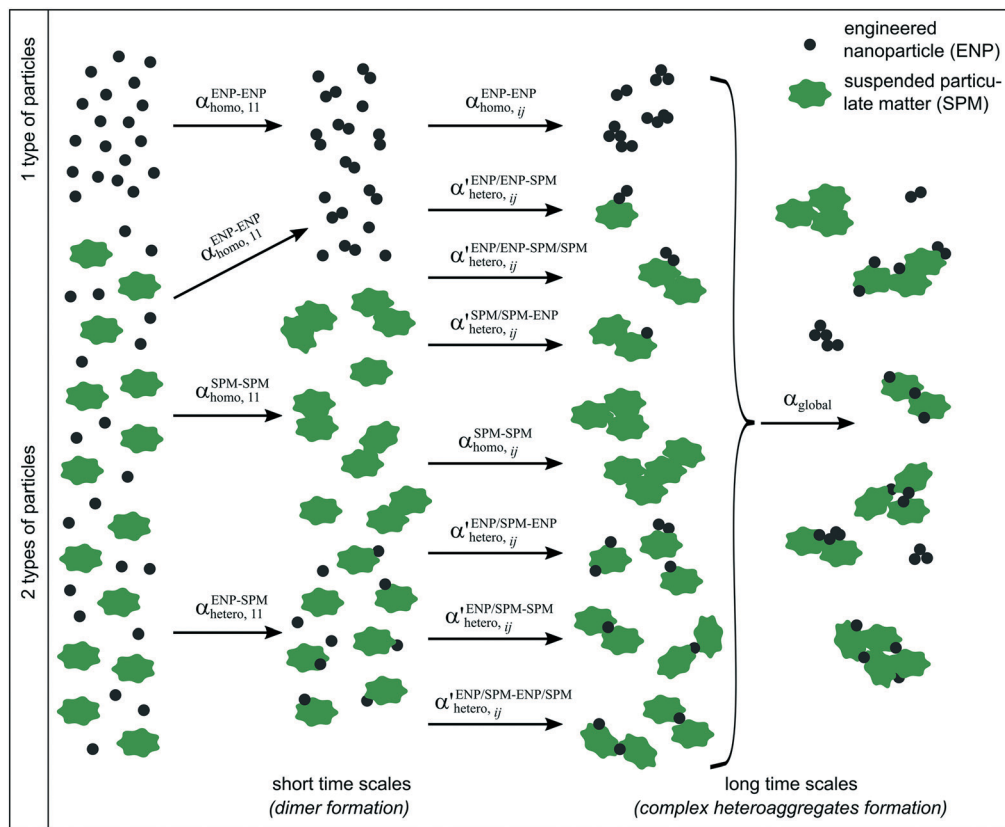


Fig. 2 Schematic representation of increased complexity of possible homo- and heteroaggregation pathways with the corresponding α values in a system containing two types of particles versus one particle type.

(particles i and j are of the same nature, which simplifies the equations above). During heteroaggregation, two or more distinct particle populations are interacting and various aggregation processes are taking place simultaneously.⁴⁵ For example, in a system with one ENP and one SPM population several different aggregation pathways occur at the same time (Fig. 2).

As can be seen in Fig. 2 the aggregation process initiates with dimer formation at short time scales, described by $\alpha^{\text{ENP-ENP}}_{\text{homo},11}$ or $\alpha^{\text{SPM-SPM}}_{\text{homo},11}$ in the case of homoaggregation and by $\alpha^{\text{ENP-SPM}}_{\text{hetero},11}$ when ENPs and SPM are forming ENP-SPM heteroaggregates. Immediately after the dimer formation step, a multitude of more complex aggregation processes occur, where different combinations of monomers, dimers and bigger aggregates form larger structures. Each aggregation step can, in theory, be described by a specific attachment efficiency value. In the case of homoaggregation, the α values describing the aggregation between same particle types, consisting of different amounts of monomers i and j , are described by $\alpha^{\text{ENP-ENP}}_{\text{homo},ij}$ or $\alpha^{\text{SPM-SPM}}_{\text{homo},ij}$. Although aggregate size and structure can affect the attachment efficiency, in the case of homoaggregation the surface chemistry of the aggregating particles is often assumed to remain unchanged and the $\alpha_{\text{homo},11}$ and $\alpha_{\text{homo},ij}$ will in most cases not vary substantially if the aggregate size remains limited.⁴⁶ For the heteroaggregation process, however, the relative amounts of

ENPs and SPM in a heteroaggregate will strongly affect the heteroaggregate's surface characteristics⁴⁷ and consequently the subsequent heteroaggregation steps.^{48,49} For example, at low ENP to SPM volume ratios, the surface properties of the heteroaggregates will resemble those of the bare SPM, whereas high volume ratios of ENP to SPM may lead to an almost full coverage of the SPM surface with ENPs and the surface properties will be largely dominated by the ENP characteristics. $\alpha'_{\text{hetero},ij}$ values are therefore expected to vary based on different combinations of i and j . In real environmental systems the situation will often be even more complex than depicted in Fig. 2, for example when the presence of additional aquatic components such as NOM affects the various α values.⁵⁰

Modelling the impact of α

Assessing the impact of α on the aggregation kinetics is not trivial and straightforward, in particular for collisions beyond initial dimer formation and/or for particles with heterogeneous surface properties. To illustrate the complexity of aggregation processes, a theoretical model for describing the initial stages of the aggregation of spherical and partially coated particles was developed.⁵¹ To capture the model complexity, three types of attachment efficiencies, related to collisions between bare particle surfaces, between coated and



bare parts and between two coated surface patches, were introduced. Finally, the model enabled an exact analytical expression for the dimer formation rate constant as a function of the degree of surface coverage. More recently, a cluster-cluster Monte Carlo model was developed for heteroaggregation and tested on a two-component system of ENPs and fulvic acid monomers.⁴⁸ Different combinations of α values for dimer formation for ENP and fulvic acid homo- and heteroaggregation were used as input into the model, demonstrating a significant effect on predicted α_{hetero} values.

Expanding upon the Smoluchowski model, Therezien *et al.*⁵² proposed a computer model describing the heterogeneous aggregation between ENPs and natural SPM particles over a range of sizes and concentrations to explore the effects of α values on heteroaggregation. As heteroaggregation progresses, this model accounts for changes in heteroaggregate density and porosity, as well as in collision frequency and attachment efficiency. The model was tested with different attachment efficiency values. It was shown that $\alpha_{\text{homo},ij}^{\text{ENP-ENP}}$, $\alpha_{\text{homo},ij}^{\text{SPM-SPM}}$ and $\alpha_{\text{hetero},ij}^{\text{ENP-SPM}}$ affect the complexity of ENP behaviour in aqueous systems and influence the persistence of free ENPs in the water column.

Experimental approaches

Based on the underlying heteroaggregation theory described above, we review existing experimental approaches to study heteroaggregation of ENPs with SPM. Measuring heteroaggregation and extracting individual $\alpha_{\text{hetero},ij}$ values, related to dimers formed by one ENP and one SPM, from experiments is very challenging due to the large number of simultaneously occurring competing processes (Fig. 2). Additionally, it is possible that the heteroaggregate dimers further aggregate to rapidly form larger, more complex, heteroaggregates.⁵³ At longer time scales, it therefore often makes more sense to describe the overall heteroaggregation behaviour of the system with a global attachment efficiency value α_{global} .⁴⁸ The value of α_{global} is very system-specific compared to individual $\alpha_{\text{hetero},ij}$ values and will depend on the relative properties and concentrations of ENPs and SPM.

For natural environments, a simplified but realistic assumption is that most ENP-SPM interactions will not go beyond the dimer formation step. Even if ENPs encountered ENP-SPM dimers, the larger surface area of the SPM would result in a high probability of the ENPs colliding with bare SPM surfaces and the interaction could be considered almost equivalent to that of true dimer formation. Consequently, $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ is most relevant input parameter for most environmental fate models. However, when experimentally determining heteroaggregation, ENP concentrations will typically be orders of magnitude higher than expected in the environment, to remain above instrument detection limits. As a result, concurrent homoaggregation of ENPs cannot be excluded and may often need to be accounted for when analysing and interpreting experimental results.

Additionally, necessary increased concentrations in laboratory experiments likely lead to heteroaggregation much further than dimer formation towards more complex heteroaggregates composed of several ENPs and SPM at intermediate time scales (Fig. 2).^{49,53,54} This has implications for the types of α values that can be derived experimentally. It is often challenging to determine concentration-independent $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ and reported attachment efficiencies commonly correspond to the more system specific α_{global} . It is therefore important to be aware of the types of heteroaggregation processes occurring in a given system and to be coherent about the meaning of the α values reported.

In the following we present and discuss different approaches applied to or suggested for studying ENP-SPM heteroaggregation. Special emphasis is placed on the ability to determine $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ and/or α_{global} . Additionally, where applicable, the following criteria are examined: i) applicability to fast and slowly aggregating systems; ii) ability to perform under low (*i.e.* environmentally relevant) ENP concentrations; iii) possibility to distinguish and assess both homo- and heteroaggregation; iv) suitability for studying complex SPM and heteroaggregates in the micrometre size range; and v) minimisation of experimental artefacts.

Strategy 1: monitor size increase

The most common approach for studying aggregation kinetics is to measure changes in the average size of the aggregating particles, which has been widely applied to investigate homoaggregation.¹⁸ The attachment efficiency $\alpha_{\text{homo},11}$ can be determined by considering early aggregation, where dimer formation of initially monodisperse particles is dominant. Aggregation rates of the monomers are measured under diffusion limited aggregation (DLA) conditions ($k_{\text{homo},11,\text{DLA}}$), where there is no significant energy barrier as defined by the DLVO theory and aggregation runs at its maximum rate. This condition is typically achieved by increasing the ionic strength above the critical coagulation concentration (CCC).¹⁸ After repeating the experiment under reaction limited aggregation (RLA) conditions to obtain $k_{\text{homo},11,\text{RLA}}$, $\alpha_{\text{homo},11}$ can be obtained from the ratio of $k_{\text{homo},11,\text{RLA}}$ and $k_{\text{homo},11,\text{DLA}}$, which is related to the changes in size (normally hydrodynamic radius, r_{H}) during the initial aggregation stage.^{18,55} For dynamic light scattering (DLS) measurements the following relation is generally accepted:⁵⁶

$$\alpha_{\text{homo},11} = \frac{k_{\text{homo},11,\text{RLA}}}{k_{\text{homo},11,\text{DLA}}} \approx \frac{\frac{1}{n_{0,\text{RLA}}} \left(\frac{dr_{\text{H}}(t)}{dt} \right)_{t \rightarrow 0,\text{RLA}}}{\frac{1}{n_{0,\text{DLA}}} \left(\frac{dr_{\text{H}}(t)}{dt} \right)_{t \rightarrow 0,\text{DLA}}} \quad (4)$$

where n_0 is the initial particle concentration. Typically, RLA and DLA experiments are performed at a constant n_0 , making it possible to simply compare the initial slopes of



the r_H increase. It is important to focus on the early stages of aggregation where dimer formation dominates. This requires a high time resolution of size measurements and a minimal time lag between mixing the components and starting the measurement, which can be challenging for rapidly aggregating systems.

For heteroaggregation, monitoring the increase of average size of a system can provide valuable insights into the progression of heteroaggregation. However, the increased complexity of a heteroaggregating system (Fig. 2) requires consideration of several limitations and challenges for deriving quantitative information from experiments. For example, since size determination techniques typically operate in a specific size range, the relative initial sizes of the aggregation partners will affect the applicable measurement method and the information that can be obtained. If ENPs heteroaggregate with SPM of similar initial size, the aggregation curves will look similar as they would for ENP homoaggregation (Fig. 3A). In the absence of ENP–ENP and SPM–SPM homoaggregation, the initial linear portion of the r_H increase corresponds to ENP–SPM dimer formation and may be used to derive $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$. However, if SPM are orders of magnitude larger than ENPs (e.g. μm -range versus nm-range), the formation of ENP–SPM dimers will likely not result in a measurable increase in average size (Fig. 3B). Only the subsequent formation of more complex heteroaggregates consisting of two or more SPM and several ENPs would lead to a significant increase in size. Consequently, insights into the initial heteroaggregation step are limited and $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ cannot be determined directly.⁵³ In either case, concurrent homoaggregation of ENPs and/or SPM is a very likely source of measurement artefacts and needs to be carefully verified. Furthermore, heteroaggregation kinetics are highly dependent on relative ENP and SPM concentrations in the system.⁴⁵ It is therefore recommended to perform experiments at several concentration combinations to ensure the reported α values are concentration independent.

Typical size determination methods based on light scattering or laser diffraction are ensemble techniques providing size averages of the system studied. Measurements are strongly biased towards larger particles, especially in the

Raleigh range (particles are much smaller than the incident light wavelength), where the light scattering intensity is proportional to the 6th power of the diameter. The transformation of light scattering intensities to volume or mass distributions requires detailed information on optical and physical material properties; which are not available in most cases.⁵⁷ While this might be feasible for homoaggregation studies, heteroaggregation studies and non-homogeneous optical properties are limited in using this approach. Further research is needed to improve sizing techniques of complex (hetero-)aggregates. Additionally, differences in material properties between ENPs and SPM, such as different refractive indices, can affect the sensitivity of the analytical method towards one or the other particle population and thus impact the relative “visibility” of certain particle fractions.

Various analytical methods exist to measure particles sizes at sufficiently high time resolution to study aggregation processes. The most commonly applied and most suited methods are discussed below. Criteria for the selection of an adequate method include the type of materials that can be detected by a given method and the size range that can be resolved.

Time-resolved dynamic light scattering. Dynamic light scattering (DLS) is a technique to determine size distributions of nm-sized particles in suspension. The principles of DLS are described elsewhere.^{58,59} Time-resolved DLS has become a standard technique to determine aggregation rates and attachment efficiencies for homoaggregation of ENPs by following the increase of the particles' ensemble average hydrodynamic radius, r_H , over time (eqn (4)).¹⁸ It has also been applied to study heteroaggregation by several authors.^{49,60–67} The determination of $\alpha_{\text{homo},11}$ using time-resolved DLS follows eqn (4) and is described in more detail in Chen and Elimelech,⁵⁵ Holthoff *et al.*,⁵⁶ Kretzschmar *et al.*⁶⁸ or Petosa *et al.*¹⁸

The main advantages of DLS are its relative ease of use and the wide availability of the instrument. However, DLS relies on particle motion being solely driven by diffusion. Consequently, size records are biased by settling, which occurs as aggregates grow or simply due to heterogeneous particle sizes and densities in multiple component systems. This results in a serious limitation of DLS for heteroaggregation studies: its practical upper size limit of $\sim 1 \mu\text{m}$ for many low-density materials, or even smaller for materials with a higher density. This is too low for investigating heteroaggregation with much of environmentally relevant SPM, typically present in the μm size range. Additionally, heterogeneity in particle size and in material properties (e.g. refractive index) influences the light scattering intensity and thus affects the relative “visibility” of certain particle fractions. For example, small numbers of large particles greatly influence the derived z-averaged diameter masking the smaller particles due to the very strong dependency of light intensity with size. Therefore, DLS is



Fig. 3 Measurable changes in hydrodynamic radius, r_H , as function of time for heteroaggregation of ENPs (black circles) and SPM (green clouds). A: ENPs and SPM of similar size. B: SPM orders of magnitude larger than ENPs.



Nanoparticle tracking analysis (NTA). Nanoparticle tracking analysis (NTA) is a technique for measuring individual particle size (~ 20 nm to <1 μm) and number concentration of particles in suspension. A laser beam is directed into the particle suspension and particles scatter light that is detected at an angle of 90 degrees with a microscope and recorded with a charge-coupled device (CCD) camera. Thereby the trajectories of the geometric centroids for each individual point are tracked frame by frame, as long as they remain within the laser beam area. The software calculates the diffusion coefficient of individual particles and, in turn, the hydrodynamic diameter using the Stokes–Einstein equation.^{70,71} The method has been successfully applied to measure homoaggregation rates by comparing the increase of the number-averaged hydrodynamic diameter obtained with NTA with a theoretical maximum aggregation rate⁷² and to evaluate the effects of natural SPM from lake water on the stability of TiO₂ NPs, although at relatively high ENP concentrations (1 mg L⁻¹).⁷³ With regards to heteroaggregation under environmentally relevant conditions, this technique is also challenged by the required simultaneous measurement of particles with large size differences. Larger particles diffuse more slowly in aqueous media and may not enter the laser beam area as frequently as smaller particles and their presence in the field of view reduces the ability to detect the smaller particles.⁷⁴

An interesting feature of NTA is that for each particle the scattering intensity is recorded along with its size, enabling the discrimination between particles with significantly different scattering intensities, such as, for example, gold and clay particles.⁶³ Additionally, the detection threshold can be adjusted so that only particles with high scattering intensity are measured.⁷⁵ A fluorescence optical filter can be used for measuring fluorescence in parallel to scattering intensity, and using the extended dynamic range mode two parallel videos can be analyzed with different optical settings.⁷⁰ The simultaneous measurement of particle trajectories with scattering intensity and/or fluorescence could be utilized for determining heteroaggregation rates between particles with different scattering intensities and/or fluorescence properties. For example, if a suspension containing small ENPs with high scattering intensity is mixed with a suspension of large SPM with low scattering intensity, then as aggregation proceeds, heteroaggregates with a particle size close to the SPM size and a scattering intensity close that of the ENPs will dominate the signal. However, this combination of strongly scattering ENPs and weakly scattering SPM in the appropriate size ranges may not be available for many relevant ENP and SPM combinations in practice.

The overall measurement uncertainty in relation to size, composition, shape, and position in the laser volume *etc.* needs to be considered for the determination of α values using NTA. Other effects from light scattered from odd-shaped or poorly scattering particles on the measurement of particle size using NTA have to be carefully addressed.⁵⁷ Finally, the similar upper size limit as for DLS, represents a serious limitation for employing NTA to study heteroaggregation in environmentally relevant systems.

Fluorescence correlation spectroscopy (FCS). Fluorescence correlation spectroscopy (FCS) can be used to determine diffusion coefficients and determine changes in size of fluorescently-labelled ENP as a measure for heteroaggregation as shown by Maillette *et al.*⁷⁶ However, due to relatively long acquisition times (100 s) heteroaggregation kinetics and α values could not be determined in that study.



Fig. 4 Evolution of number or mass of free ENPs (solid line) versus ENPs bound to SPM (dashed line) as a function of time.

Strategy 2: monitor distribution of free versus SPM-bound ENPs

Rather than measuring size increase, it is also possible to study heteroaggregation by monitoring the relative amounts of freely dispersed ENPs *versus* ENPs attached to SPM as a function of time (Fig. 4). As heteroaggregation proceeds the number or mass of ENPs freely dispersed in suspension decreases and the fraction of ENPs attached to SPM increases. However, also with this approach concurrent ENP homoaggregation can interfere with the analysis, since a decrease in the number concentration of ENPs can be a result of either homo- or heteroaggregation. Furthermore, losses, *e.g.* by attachment to vessel walls or tubing, might be mistaken for losses *via* heteroaggregation. Ideally, changes in both number and mass concentrations are monitored to account for homo- and heteroaggregation and close the overall mass balance. Alternatively, control experiments without SPM can be performed to rule out or confirm the degree of homoaggregation under equivalent conditions.

Similar to the size monitoring approach, α values can be derived by studying aggregation under DLA and RLA conditions employing the following relation:^{77,78}

$$\ln\left(\frac{n_{\text{ENP},0}}{n_{\text{ENP}}}\right) = \alpha k_{\text{coll}} C_{\text{SPM}} t \quad (5)$$

where n_{ENP} is the residual free ENP concentration in suspension, $n_{\text{ENP},0}$ is the initial ENP concentration and C_{SPM} is the SPM concentration. The plot of $\ln\left(\frac{n_{\text{ENP},0}}{n_{\text{ENP}}}\right)$ for the early stages of aggregation is expected to yield a linear slope that is proportional to α . Keeping C_{SPM} constant, α can be derived from the observed value of $\alpha k_{\text{coll}} C_{\text{SPM}}$ by normalizing it with the $\alpha k_{\text{coll}} C_{\text{SPM}}$ obtained under conditions of favorable attachment (*i.e.* DLA where $\alpha = 1$). This eliminates the need to determine k_{coll} separately.⁷⁸ To obtain true $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ is often challenging since it is difficult to clearly assign changes in mass or number to a single aggregation pathway (Fig. 2). In most experimental set-ups using this approach the obtained α values are more likely equivalent to α_{global} .

A main challenge when studying heteroaggregation by monitoring ENP distribution between the dispersed and SPM-bound phase is its sensitivity to the efficient separation of the SPM phase. Phase separation can be achieved by taking advantage of the differences in ENP and SPM size and settling velocities, *e.g.* *via* centrifugation. This is challenging in cases where there is an overlap between (some) ENPs and the SPM phase, such as a small and light fraction of the SPM distribution, which would behave similarly to the ENPs or in cases where homoaggregated ENPs settle at a similar speed as larger SPM or ENP-SPM heteroaggregates. Some approaches are able to avoid a separation step by employing analytical methods able to simultaneously monitor free and SPM-bound ENPs.

Methods for monitoring mass and/or number. Various methods exist to quantify ENP mass and/or number and the adequate selection will be driven by the types of ENPs



studied as well as the experimental matrix. Methods not specific to (nano)particle analytics, which can be employed to follow heteroaggregation kinetics by quantifying changes in total ENP mass, include for example inductively-coupled plasma optical emission spectrometry (ICP-OES),⁷⁷ inductively-coupled plasma mass spectrometry (ICP-MS) and UV-vis spectrophotometry.^{78,79}

NTA, described in more detail under Strategy 1, offers the possibility to count each particle individually. Combined with size monitoring, conducting a series of experiments varying the number concentration ratios between two dissimilar suspensions (size and/or other scattering properties) could potentially provide information about the different aggregation pathways and attachment efficiencies presented in Fig. 2 by counting the number of homo- and heteroaggregates as a function of time. By using the appropriate detector, the fluorescence signal would shift from particles with small size to aggregates of larger size. However, this is limited by the measurable size range and typical μm -size heteroaggregates cannot be followed. Another obstacle for NTA, as with any particle counting technique, is the fact that the data collected are dependent on the number abundance of each particle type in the sample, so multiple and longer measurements need to be made for heterogeneous samples, which increases the duration of measurements. The measurement error thus increases, due to the fact that the aggregation process (and possibly settling) continues during the measurements.

A *Coulter Counter Multisizer* instrument has been applied to study heteroaggregation of TiO_2 NPs and micron-sized latex particles.⁴⁷ The influence of TiO_2 adsorption and concentration on the aggregation kinetics of latex suspensions was investigated by monitoring the decrease of the number of non-aggregated latex monomer particles as a function of time. No α values were reported but if the system is well-known and -controlled in terms of medium properties and particle concentrations, $\alpha_{\text{hetero},ij}^{\text{ENP/SPM} - \text{ENP/SPM}}$ or even $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ might be derived with this approach. However, this technique only operates in a defined size range and does not detect particles below $1\ \mu\text{m}$. Therefore, its applicability to study the details of heteroaggregation processes involving ENPs is limited.

*Single-particle inductively-coupled plasma mass spectrometry (spICP-MS)*⁸⁰ might be considered as another useful tool for studying heteroaggregation and determining α values. In addition to deriving particle size from conversion of measured element mass, spICP-MS can act as a particle counting technique. Currently spICP-MS can be used as routine analysis for measuring only one element at a time, so distinguishing between ENP and ENP-SPM signals is of utmost importance. Multi-element single particle measurements, as possible with a more specialised *inductively-coupled plasma time-of-flight mass spectrometer (ICP-TOFMS)*^{81–84} have the potential to further improve the differentiation between free and SPM-bound ENPs. This enables monitoring multiple elements simultaneously, making it possible to distinguish between ENP-specific and

SPM-specific element signals. As an emerging technique for ENP analysis spICP-TOFMS has been successfully applied for distinguishing between naturally occurring particles and ENPs^{83,84} and analysing colloids in environmental samples, demonstrating its promise for heteroaggregation investigations. However, spICP-MS and spICP-TOFMS analysis requires low concentrations (in sub-ppb range), which complicates the use of this technique directly for environmentally relevant set-ups with high SPM contents. Another disadvantage of this approach is that dissolved metal species adsorbed on SPM may be mistakenly identified as nanoparticles attached on the SPM.

Reaction techniques. The choice of the experimental setup or reaction technique used will affect the possible aggregation pathways (Fig. 2) within one experiment; for example, if the ENP-SPM dimers were removed from the system upon formation, further heteroaggregation to more complex structures could be prevented and the determination of $\alpha_{\text{hetero},11}^{\text{ENP-SPM}}$ might be more accurate.

One of the most straightforward reaction techniques is the batch reaction, where all components are mixed and left to react in one reaction vessel with small aliquots removed for sampling at set time intervals. To minimise artefacts from loss in reaction volume through sampling, parallel identical batches are often prepared, each serving for sampling at one time point. Some of the measurement techniques for following size or number distributions over time mentioned above are a form of batch reactors (*e.g.* DLS, NTA), since the suspension as a whole is left in the cuvettes or cells and then measured continuously *in situ*. A more general approach for quantifying heteroaggregation in a batch method was introduced by Barton *et al.*⁷⁷ and further developed by Geitner *et al.*^{78,79} In this so-called functional assay⁸⁵ ENPs are mixed with SPM (referred to as “background particles”) in water. Aliquots are taken at different time points and after separation of the SPM phase the residual concentration of ENPs in the supernatant, n_{ENP} , is determined (Fig. 5)^{77–79} to derive α values according to eqn (5). Depending on the density of the SPM (which have included activated sludge, algae, glass beads, kaolinite) phase separation is achieved either by gravitational settling^{77,78} or centrifugation.⁷⁹ Since the individual aggregation steps cannot be separated in the set-up presented here, the α values derived with the batch mixing method are equivalent to α_{global} (Fig. 2).

The Barton *et al.*⁷⁷ and Geitner *et al.*^{78,79} method is sensitive to the efficient separation of the SPM phase. In the case of gravitational settling, aggregation might continue during the settling period required for separation of free and heteroaggregated ENPs. Furthermore, settling SPM may “trap” free ENPs (differential settling) leading to increased removal of free ENPs and consequently to an overestimation of α_{global} . Very fast aggregation is difficult to study reliably with this set-up since the need to take aliquots and separate the phases limits the possible time resolution. Important challenges have been identified when applying the method to





Fig. 5 Schematic representation of the experimental set-up of the batch method as described in Barton *et al.*⁷⁷ and Geitner *et al.*^{78,79}

real freshwaters and lower ENP concentrations.⁵⁰ Nevertheless, the relative simplicity of the batch method in terms of experimental set-up makes it appealing and it has already been applied to determine α_{global} equivalents also for nano- and microplastics.¹³

In addition to batch reactors as those mentioned above, other approaches can include i) semi-batch reactors, when a significant fraction compared to the total volume is removed for analysis, ii) plug flow reactors where components are perfectly mixed in a T-junction (usually specially designed for this, *e.g.* stopped-flow devices) and the reaction time is determined by the length of tubing before measurement and iii) completely stirred tank reactors (CSTR) which are containers with a fixed volume for reaction with permanent inputs and outputs.

Strategy 3: indirect assessment of heteroaggregation via sedimentation

Another strategy is to monitor effects resulting from heteroaggregation instead of measuring the heteroaggregation processes directly. As ENPs aggregate to larger and larger particles, the gravitational force on the aggregates increases compared to the kinetic energy of the system. This leads to increased settling of aggregates. This settling or sedimentation can be measured using different techniques and has been used to quantify the rate of ENP homo- and heteroaggregation. To follow the sedimentation of ENPs one can either take a sample from the supernatant at specific time points and measure the residual ENP concentration^{63,86,87} or follow the sedimentation dynamics *in situ* using, for example, a UV-vis spectrophotometer.^{17,61,88–90} *In situ* measurements are superior for measuring fast aggregation due to a better time resolution of measurements.

The approach of following sedimentation of ENPs was first used to assess stability of ENP suspensions against homoaggregation under different conditions, ranging from simple to more complex aquatic conditions, including NOM in artificial media and natural waters.^{17,86,88} Several studies

have reported sedimentation rates of ENPs in natural waters that include natural colloids,^{17,63,88,91,92} which means that the observed settling was at least partially due to heteroaggregation of ENPs with natural colloids. However, heteroaggregation was not explicitly indicated or measured, neither were α values derived. Mesocosm experiments were used to correlate field-observed ENP sedimentation rates to α values obtained for heteroaggregation using a batch method in the laboratory.^{93,94} These studies indicated a strong influence of heteroaggregation on ENP removal from the water column, but also highlighted the importance of other processes, such as homoaggregation or deposition on plant surfaces.

Only a few studies explicitly reported heteroaggregation rates.^{87,95} Deriving heteroaggregation rates of ENPs with natural colloids based on the measurements of sedimentation rates in filtered and unfiltered natural waters (*i.e.* in absence or presence of SPM) helps separating settling solely due to heteroaggregation from settling due to homoaggregation. To obtain α_{global} values from sedimentation experiments the possibility of further aggregation during settling and effects of differential settling need to be accounted for. Furthermore, measuring sedimentation rates instead of free and SPM-bound ENP concentrations may add additional uncertainties to the system as several assumptions, *e.g.* related to SPM *versus* heteroaggregate settling velocities, are required to infer heteroaggregation behaviour from sedimentation.

SPM analogues for heteroaggregation experiments

An important aspect to consider when designing heteroaggregation experiments is the selection of adequate SPM analogues. Natural SPM is complex: concentrations, sizes and compositions are dynamic and depend on water flow regime (*e.g.* storm or base flow), season (*e.g.* snowmelt, primary production), catchment geology and vegetation, photo- and hydro-chemistry (*e.g.* pH, electrolyte/DOM concentrations





Fig. 6 Natural SPM from (A) the river Rhine (Germany), scale bar 1 μm ; and (B) Lake Bret (Switzerland), scale bar 250 nm. Reprinted with permission from Buffle *et al.*⁹⁶ Copyright (1998) American Chemical Society.

and compositions, organic and inorganic redox couples) and human impact (*e.g.* dams, pollution). Not all these factors can be considered when selecting SPM analogues for studying heteroaggregation. To date, most heteroaggregation studies have been performed either in very simple systems, with single mineral compounds (*e.g.* SiO_2 or clays) as SPM analogues^{53,54,62,66,78} or using unfiltered natural waters.^{50,95} For heteroaggregation experiments aiming at providing relevant input data for environmental fate models, selected SPM analogues would ideally fall between these two extremes and be of sufficient complexity to represent the characteristics of SPM that drive the heteroaggregation processes, while being simple enough to be reproducible under controlled laboratory conditions.

The composition of natural riverine SPM appears to be most relevant for SPM analogue selection to derive data for fate models and exposure assessment, since rivers are the most likely receiving waters of ENPs and rivers transport large amounts of SPM over long distances. Imaging of SPM usually reveals floc-like structures (Fig. 6), consisting of mineral and organic components.^{96–100} Studies on the mineral and molecular composition of SPM are rather rare and mainly qualitative or semi-quantitative in nature, as sampling and analytical techniques are not straightforward^{101,102} and great variation can be expected depending on the water chemistry.¹⁰³

The major mineral fractions found in riverine SPM are phyllosilicates (clay minerals, chlorite & micas), feldspars, quartz, carbonates (calcite, dolomite) and oxides. The dominant clay minerals are illite, kaolinite and smectite, and identified micas include muscovite and biotite.^{98,100,104–108} Except for the iron oxyhydroxides most inorganic colloids will be negatively charged around neutral pH.⁹⁶ Organic matter in SPM ranges from the molecular up to the organism level, including microorganisms, soil- or aquagenic refractory material and labile large organic polymers. It can be autochthonous (bioproduction-based carbohydrates, proteins and refractory aquagenic organics) or allochthonous (mainly soil-derived fulvics or humics).^{96,109} Organics in the particulate fraction have been found to be mainly microbial

in nature and the importance of extracellular polymeric substances (large organic fibrils and networks) in SPM was underlined.^{99,107}

Since the attachment of ENPs to SPM (and between SPM inner components) is governed by surface physiochemistry, the composition and surface properties of SPM are of major interest. Geitner *et al.*⁹³ therefore chose to employ glass beads previously homogenized with water from a mesocosm, to obtain a surface coated with components from this system. Slomberg *et al.*¹⁰⁸ tried to generate SPM analogues on the basis of the mineralogical composition of SPM in the Rhône river catchment. However, they did not include organic components (despite dissolved organics, <20 nm, present in the river water) and the mineral fractions used were all negatively charged under the given conditions. The composition of riverine SPM described above indicates that organic macromolecules of microbial origin as well as positively charged oxyhydroxide minerals play an important role in the SPM formation.¹¹⁰ Consequently, they may be crucial constituents coining SPM surface characteristics relevant for heteroaggregation processes.

In seawater systems, aggregation and settling are favored by the high salinity. Consequently, riverine particles are removed from the water column in the estuarine mixing zone and inorganic particles are less frequently observed in marine systems. However, oceans and seas play a major role in the global carbon cycle¹¹¹ and the particulate fraction of abiotic carbon in these waters comprises a significant fraction of the global carbon and is dominated by polysaccharide fibrils and microgels.^{112,113} Ranging from small particles, such as transparent exopolymeric particles (TEP) and their precursors, to micron-sized aggregates, *e.g.* marine snow, these particles, together with the high salinity, favor aggregation and are likely to play an important role in the fate of ENPs in marine waters.¹¹⁴

Overall, it is challenging to identify SPM characteristics relevant for heteroaggregation and to choose SPM analogue constituents representing those major features. Additionally, the dynamics of the composition of the organic and inorganic components of SPM along its transport pathways need to be accounted for when selecting the components of a heteroaggregation experiment. Some of these dynamic processes can lead to a restricted range of combinations being relevant for a specific water type and this should be considered in the selection process in the case of using artificial test media or to interpret results when using natural waters.

Conclusions and outlook

Heteroaggregation between ENPs and SPM in natural waters is inherently complex. The selection of adequate methods to assess heteroaggregation therefore requires a careful balance of environmental relevance with practicality and reproducibility. It is essential that especially the selected SPM analogues used in laboratory experiments are sufficiently



JAGU, AG, MH, HW wrote the SPM section. AP & AC made Fig. 1, AP made Fig. 2–4, AP & AB made Fig. 5. All authors edited the text and commented on all parts of the manuscript, revised and approved the final version.

Conflicts of interest

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