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## Supramolecular control of transition metal complexes in water by a hydrophobic cavity: a bio-inspired strategy

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Supramolecular chemistry in water is a very challenging research area. In biology, water is the universal solvent where transition metal ions play major roles in molecular recognition and catalysis. In enzymes, it participates in substrate binding and/or activation in the heart of a pocket defined by the folded protein. The association of a hydrophobic cavity with a transition metal ion is thus a very appealing strategy for controlling the metal ion properties in the very competitive water solvent. Various systems based on intrinsically water-soluble macrocyclic structures such as cyclodextrins, cucurbiturils, and metallo-cages have been reported. Others use calixarenes and resorcinarenes functionalized with hydrophilic substituents. One approach for connecting a metal complex to these cavities is to graft a ligand for metal ion binding at their edge. Early work with cyclodextrins has shown Michaelis-Menten like catalysis displaying enhanced kinetics and substrate-selectivity. Remarkable examples of regio- and stereo-selective transformation of substrates have been reported as well. Dynamic two-phase systems for transition metal catalysis have also been developed. They rely on either water-transfer of the metal complex through ligand embedment or synergistic coordination of a metal ion and substrate hosting. Another strategy consists in using metallo-cages, which provide a well-defined hydrophobic space, to stabilize metal complexes in water. When the cages can host simultaneously a substrate and a reactive metal complex, size- and regio-selective catalysis was obtained. Finally, construction of a polydentate coordination site closely interlocked with a calixarene or resorcinarene macrocycle has been shown to be a very fruitful strategy for obtaining metal complexes with remarkable hosting properties. For each of these systems, the synergism resulting from the biomimetic association of a hydrophobic cavity and a metal ion is discussed within the objective of developing new tools for either selective molecular recognition (with analytical perspectives) or performant catalysis, in water.

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## 1. Introduction

Molecular recognition and catalysis in water are attractive goals for both economical and environmental reasons. On the one hand, water is a cheap, non toxic and readily available solvent. On the other hand, it is important to develop efficient analytical tools for identifying, quantifying or even extracting objects from water for environmental purpose or for biology and development of therapeutics. Hence, this topic faces problems of selectivity, affinity, and analytical reporting. For catalysis, water can be involved in the reactivity, which needs to be controlled.

An infinite source of inspiration for the design of molecular receptors and catalysts is Nature. Interestingly, *ca.* 30% of enzymes present one or several metal ions in their active site.<sup>1</sup> The metal ion participates either in the enzyme-substrate molecular recognition process or in the chemical transformation itself, or both. In the active site, neither the metal ion nor the substrate does sit in an aqueous environment. Instead, the folded protein provides an organic medium constituted of the amino-acid residues defining the hydrophobic pocket, which however remains accessible to a definite number of water molecules. This pocket confers well-defined and controlled first and second coordination spheres to the metal ion(s) and thus participates in the formation of reactive species. It also provides a third coordination sphere (and further...) that allows substrate binding and pre-organization next to the metal center and ensures product release. These two points are essential for selectivity (regio- and stereo-), efficiency, and catalysis with high turnovers.

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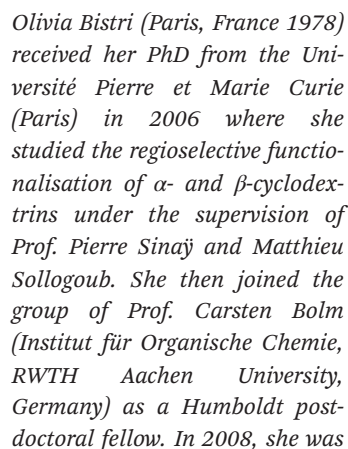
exacerbating its reactivity, or activate a reactant in the vicinity of the guest-substrate, in a protected microenvironment.

Artificial systems presenting one or several synergistic aspects mentioned above are the subject of this review. Early studies report systems built on a readily available water-soluble cavity, namely cyclodextrins. Various strategies were developed: one consists in grafting a ligand (for metal ion binding) at the edge of the cavity; another relies on dynamic two-phase systems for transition metal catalysis. More recently, metallo-cages have been used as water-soluble receptors for hosting simultaneously a substrate and a TM catalyst, or stabilizing a complex in water. Efforts directed at the construction of a polydentate coordination site closely interlocked with a macrocyclic scaffold (calixarenes) led to metal complexes with very unusual properties. The most significant and representative efforts devoted to the development of such systems, associating a hydrophobic cavity to a transition metal ion, with the perspective of either selective molecular recognition or performant catalysis (ultimately both) in water will be presented.

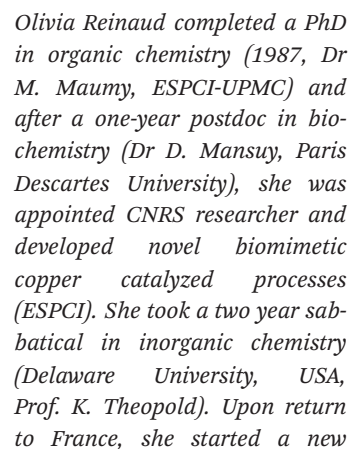
2. A ligand connected at the edge of a water-soluble cavity

### 2.1. One cavity for one ligand and one metal ion

The covalent linkage of one donor ligand at the edge of a water-soluble cavity constituted the first step in the design of metal complexes in the proximity of a cavity. The first cavity used for this purpose was the enzymatically produced  $\beta$ -cyclodextrin macrocycle ( $\beta$ -CD) because of its natural availability, its solubility in water and its possible mono-functionalization. Indeed, this macrocycle displays a truncated cone shape open at both extremities to the solvent. The inside of the cavity is paved with C-H bonds, which makes it non-polar and prone to



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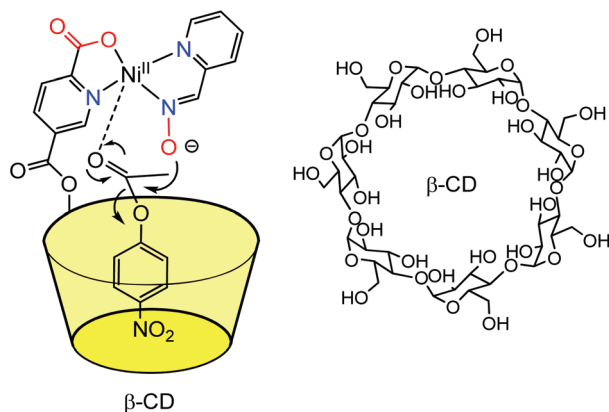


Fig. 1 Early example of a  $M(\text{II})$  complex in the proximity of a  $\beta$ -CD cavity reported by Breslow *et al.*<sup>4</sup>

benefit from hydrophobic effect upon guest binding. In contrast, the edges present hydroxyl groups that ensure water-solubility. Thanks to the selective mono-acylation of a secondary hydroxyl group of the native  $\beta$ -CD, Breslow *et al.*, in the early 70s, reported a water-soluble cavity substituted by a pyridine-2-carboxylic group, which can bind a metal ion (Fig. 1).<sup>4</sup> The  $\text{Ni}(\text{II})$  complex obtained in the presence of one equivalent of the CD-based ligand and one equivalent of pyridine-carboxaldoxime was used as a catalyst for the hydrolysis of *p*-nitrophenylacetate (*p*NPA). It was found that the first step of the reaction, corresponding to the nucleophilic attack of the ester substrate by the carboxaldoxime group, was four times faster than when an equivalent nickel complex deprived of cavity was used. This corresponds to a  $10^3$  rate increase over the uncatalyzed reaction. The reaction was competitively inhibited by the addition of cyclohexanol, which displays a good affinity for the CD cavity. With a substrate that does not fit into the CD cavity, no rate increase was observed. These results are consistent with a pre-association between the catalyst and the *p*NPA substrate thanks to the embedment of the *p*-PNP group into the  $\beta$ -CD cavity. As a result, the ester bond is preorganized next to the nucleophile bound to the metal ion. The analogous  $\text{Cu}(\text{II})$  complex of the CD-ligand was also found to increase by a factor of six the rate of hydrolysis of the *p*-nitrophenylester of glycine compared to the same complex deprived of cavity. As a result, this system was coined “an artificial metallo-enzyme”.

Based on this strategy, many cyclodextrins incorporating functional groups have been synthesized and their catalytic properties evaluated. These works have already been carefully reviewed elsewhere.<sup>5,6</sup> In 1999, Tabushi *et al.* reported a study describing a  $\beta$ -CD mono-functionalized by a polyethylene triamine, and coordinating various metal ions such as  $\text{Zn}(\text{II})$ ,  $\text{Cu}(\text{II})$  and  $\text{Mg}(\text{II})$  in the vicinity of the hydrophobic cavity.<sup>7</sup> The resulting metallo-hosts bind anionic guests containing a hydrophobic moiety (*e.g.* adamantan-2-one-1-carboxylate, 8-Anilidonaphthalene-1-sulfonate, and *p*-nitrophenate) more strongly than a simple  $\beta$ -CD deprived of metal complex at its edge. A representative example is displayed in Fig. 2, which depicts a  $\text{Zn}(\text{II})$  complex that binds 2-oxo-1-adamantane car-

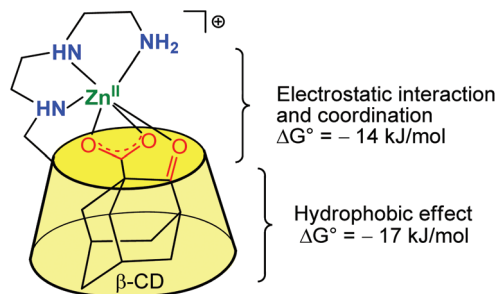


Fig. 2 Early example of a  $\beta$ -CD  $\text{Zn}(\text{II})$  complex associating the hydrophobic effect for guest inclusion and the interaction of the ligand with a metal center.<sup>7</sup>

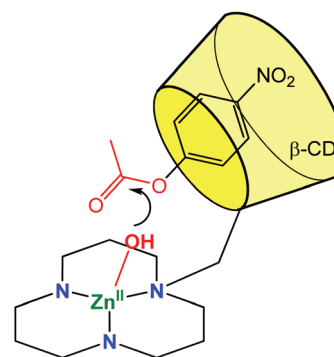


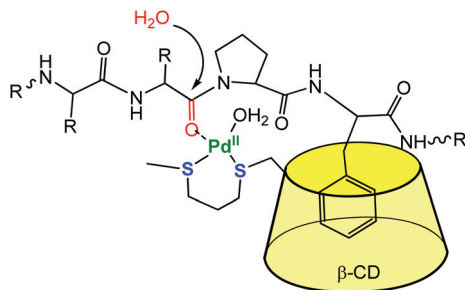
Fig. 3 Biomimetic model using a CD cavity for substrate encapsulation in proximity of a (tacd) $\text{Zn}(\text{II})$  complex.<sup>9</sup>

boxylate 330 times stronger than a simple  $\beta$ -CD. It emphasizes the positive cooperativity between metal–ligand interaction (at the level of the  $\alpha$ -keto-carboxylate function) and cavity–guest interaction (with the adamantyl group), which benefits from the hydrophobic effect.

A metal ligand with a stronger chelating property (triazacyclododecane, tacd) was also grafted to the small rim of the  $\beta$ -CD in order to strengthen  $\text{Co}(\text{III})$ <sup>8</sup> and  $\text{Zn}(\text{II})$ <sup>9</sup> coordination (Fig. 3). These complexes were used as mimics of zinc protease at pH = 7 and were shown to enhance the rate of hydrolysis of *p*-nitrophenylacetate by 900 and 300-fold compared to simple metal complexes based on the tacd ligand. Various studies drove Kim *et al.* to conclude that the ester substrate is CD-bound but not  $\text{Zn}(\text{II})$ -coordinated. The rate increase is then proposed to be a consequence of substrate positioning in close proximity to the reactive metal center. In other words, the mere thermodynamic effect of substrate binding in the CD cavity has a kinetic impact on the activity of the metal catalyst.

The CD capacity of hosting, and thus recognizing a hydrophobic core has also been exploited for obtaining regioselective catalysts. An elegant example of selective peptide hydrolysis was reported by Kostić *et al.*<sup>10</sup> They combined the ability of  $[\text{Pd}(\text{H}_2\text{O})_4]^{2+}$  to residue-selectively hydrolyze X-Pro bonds in peptides at  $6 \leq \text{pH} \leq 9$ , with the ability of  $\beta$ -CD to recognize aromatic side chains. The conjugate reagent presents a propanedithiol ligand grafted at the small rim of the





**Bradykinine sequence:** Arg-Pro-Gly-Phe-Ser6-Pro7-Phe-Arg

Selective cleavage

Fig. 4 Selective cleavage of an amino-acid sequence by a  $\beta$ -CD palladium(II) complex in water.<sup>10</sup>

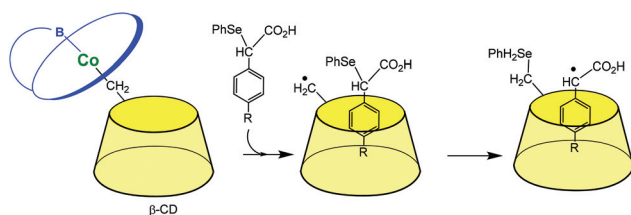


Fig. 5 A mimic of vitamin B-12 (schematized in blue) enzymes.<sup>11</sup>

CD (Fig. 4). The corresponding Pd(II) complex acts as a sequence-specific peptidase cleaving the Ser6-Pro7 amide bond in bradykinin at pH 7. ROESY  $^1\text{H}$  NMR spectra gave evidence for inclusion of the side chain of Phe8 in the  $\beta$ -CD cavity, the interaction that makes the cleavage sequence specific.

The last herein-selected example of transition metal complexes associated to a single CD illustrates this time a completely different chemistry. Breslow *et al.* reported in the 90s vitamin B-12 mimics. In a first study (Fig. 5),<sup>11</sup> the CD itself is used as a ligand for a Co(III) ion through its primary methylene group. When it dissociates from B-12, it gives rise to a carbon radical that is able to abstract a phenylselenide group from a substrate bound in the CD cavity. Such a reaction mimics the deoxyadenosine cofactor abstracting an H atom from a substrate bound in the enzyme active site. In another study, the CD was attached to the propionic side chain of vitamin B-12. It was found that this species mediates some rearrangements related to those catalyzed by the enzyme and with a preference for substrates that bind in the CD cavity.<sup>12</sup>

In summary, the selected examples described above have highlighted several important aspects that can be exploited when associating a transition metal complex to a host cavity in water:

- In water, the hydrophobic effect is at work for substrate binding, thus allowing its positioning in the proximity of the catalyst grafted at the edge of the cavity. This leads to faster kinetics.
- Substrate binding in the cavity can benefit from its coordination to the metal ion that strengthens the host-guest link

and polarizes the substrate (in the case of metal-catalyzed hydrolysis).

- Host-guest recognition also allows substrate-selective transformations, and regio-selective reactions when using multifunctional substrates.

It is worth noting that in most of the above-mentioned examples, the metal complex was formed *in situ* in aqueous solution and, as a consequence, little is known about the exact nature of the metal environment. Finally, an important problem that most of these systems face is the associated low substrate conversion levels. This stems from the fact that substrate and product have often similar affinities for the CD cavity, which leads to product inhibition as the reaction progresses. Systems based on ditopic recognition of substrates with dimers of CDs rendered it possible to circumvent this obstacle in the case of hydrolytic catalysis, which will be presented below.

## 2.2. Several cavities for one ligand and one metal ion

CD monomers classically bind good guests with affinity constants of *ca.*  $10^4 \text{ M}^{-1}$  in water. In order to improve substrate binding and preorganization next to the catalyst, dimers of CDs connected through a ligand were synthesized. High affinity constants for ditopic substrates (having two moieties prone to CD embedment) were expected with both, enthalpic and entropic gains. The corollary of this strategy is that guests presenting a single binding group would display lower affinities for the dimer of CD. Hence, for reactions leading to the cleavage of ditopic substrates, high turnovers are expected.

An early example, reported in 1992 by Breslow *et al.*, consisted in two CD cavities connected through a bipyridine ligand (Fig. 6). In the presence of various metal ions ( $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$ ,  $\text{Zn}^{2+}$ ), the hydrolysis of ester substrates presenting two hydrophobic groups prone to CD embedment was studied in aqueous media.<sup>13</sup>

They observed a Michaelis-Menten kinetic behavior for the hydrolysis of esters [in 80% (v/v) DMSO/20 mM HEPES (pH 7) at 25 °C], with a  $K_m$  value of 50  $\mu\text{M}$  consistent with the strong double binding of the ditopic substrate. The  $k_{\text{cat}}$  value of  $0.45 \text{ s}^{-1}$  corresponds to a rate enhancement ( $k_{\text{cat}}/k_{\text{uncat}}$ ) of  $1.5 \times 10^7$ , which is *ca.* 100 times higher than that obtained with the monomer. This example further illustrates the impact

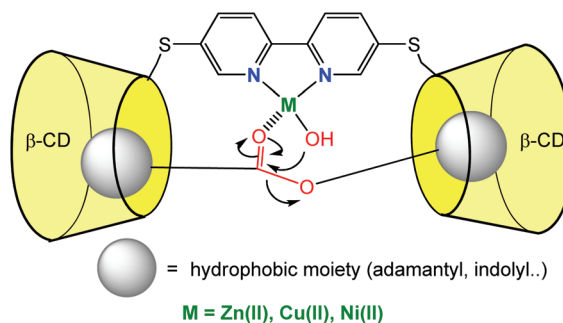


Fig. 6 CD dimer with a catalytic binding group leading to fast ester hydrolysis.<sup>13</sup>

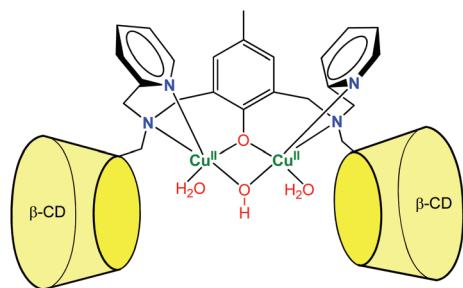


Fig. 7 A dinuclear copper- $\beta$ -cyclodextrin dimer complex exhibiting phosphodiesterase activity at acidic pH.<sup>15</sup>

of good substrate positioning in front of a catalytic site. But also importantly, thanks to a better affinity for the ditopic substrate compared to the monotopic reaction products, no sign of product inhibition was observed after 50 turnovers. The same system could be exploited for phosphoester cleavage at pH 7 and 25 °C in the presence of  $\text{La}^{3+}$  metal cation and hydrogen peroxide.<sup>14</sup>

More recently, Mao *et al.* described a dinuclear copper complex of a dimeric  $\beta$ -CD ligand (Fig. 7).<sup>15</sup> Interestingly, they showed that, in addition to achieving substrate binding, the proximity of the CDs tunes the nature of the metal cofactor. Indeed, the dinuclear  $\text{Cu}(\text{II})$  complex displays a hydroxo bridging ligand associated to a surprisingly low  $\text{pK}_a$  value (4.3). As a result, the complex exhibits good phosphodiesterase activity at acidic pH, which is rare among synthetic complexes. The dramatic  $\text{pK}_a$  decrease was related to the local non-polar sphere inside the CDs and hydrogen bonding to CD hydroxyl groups. They also showed that bound cavities considerably improved the water tolerance of cerium(IV) complexes, owing to steric and hydrophobic interactions with the CDs.<sup>16</sup> As a result, the second-order rate constant reached  $2.3 \times 10^2 \text{ M}^{-1} \text{ s}^{-1}$  at pH 6.5, which is one of the highest non-enzymatic rate of bis(4-nitrophenyl)phosphate cleavage under mild conditions. These cases reveal that CDs can participate in the catalysis by controlling the micro-environment around the metal center.

Zhao *et al.* reported recently a photo-switchable version of the  $\beta$ -CDZn(II) catalyst (Fig. 8).<sup>17</sup> They associated a dimethylaminopyridine-bridge  $\beta$ -CD dimer to gold nanoparticles presenting azobenzene units attached to their surfaces. Under visible light, the azobenzene units are in *trans* configuration and bind tightly to the CDs. This leads to reduced hydrolytic catalysis with *p*-nitrophenylacetate as a substrate. Under UV light, the azobenzene units are *cis* and bind loosely to the dimer. This allows substrates to get in and hydrolysis to occur with a 30-fold rate increase. With the ditopic substrate bis(*p*-nitrophenyl)carbonate, such a photo-switchable behavior was not observed because the binding affinity of the ditopic substrate for the CD dimer is too strong compared to the *trans*-azobenzene units of the gold nanoparticles.

In the field of cytochrome P450 mimics, Kuroda *et al.* reported an iron-porphyrin complex sandwiched between two  $\beta$ -CD cavities. They compared its activity to a water-soluble porphyrin (P) deprived of cavity for alkene epoxidation in aqueous

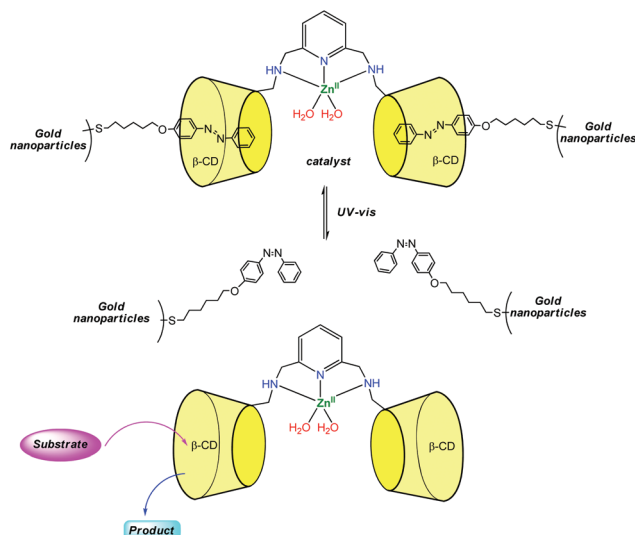


Fig. 8 Design of a photo-switchable supramolecular catalyst.<sup>17</sup>

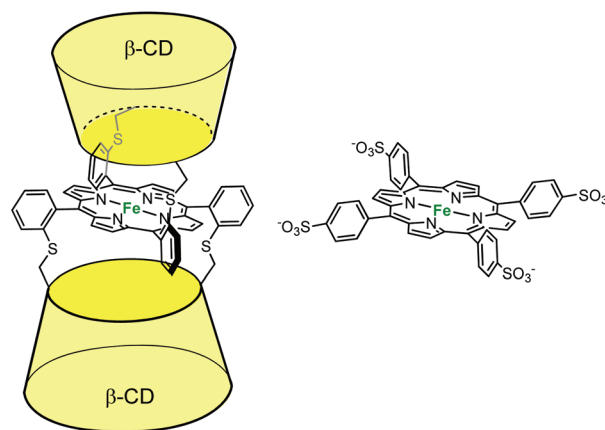


Fig. 9  $\beta$ -CD sandwiched iron-porphyrin catalyst (left) and its water-soluble analog deprived of cavity (right).<sup>18</sup>

phosphate buffer in the presence of PhIO (Fig. 9).<sup>18</sup> A 55% yield (based on consumed PhIO) was reported for the epoxidation of cyclohexene in the presence of the CD dimer, whereas less than 2% yield were obtained in the absence of cavity (*i.e.* with P). By contrast, with the much more reactive 2,3-dimethylbut-2-ene substrate, the same yield was obtained with or without cavity (*ca.* 30%). Here, the authors propose that the major role of the CDs is to protect the reactive oxene species,  $\text{P-Fe}^{\text{IV}}=\text{O}$ . With a good substrate, the iron oxo species reacts faster with the substrate than it decomposes, whatever the porphyrin. With a substrate of low reactivity, it self-decomposes before it reacts with the substrate, unless it is protected by CD cavities. Indeed, it is well-known that unprotected iron oxo species are readily trapped by the iron-porphyrin precursor in its reduced state through the formation of dinuclear species. Hence, the CDs prevent the trapping of the reactive oxo species by another iron complex while maintaining the access to the metal center open for substrate epoxidation.



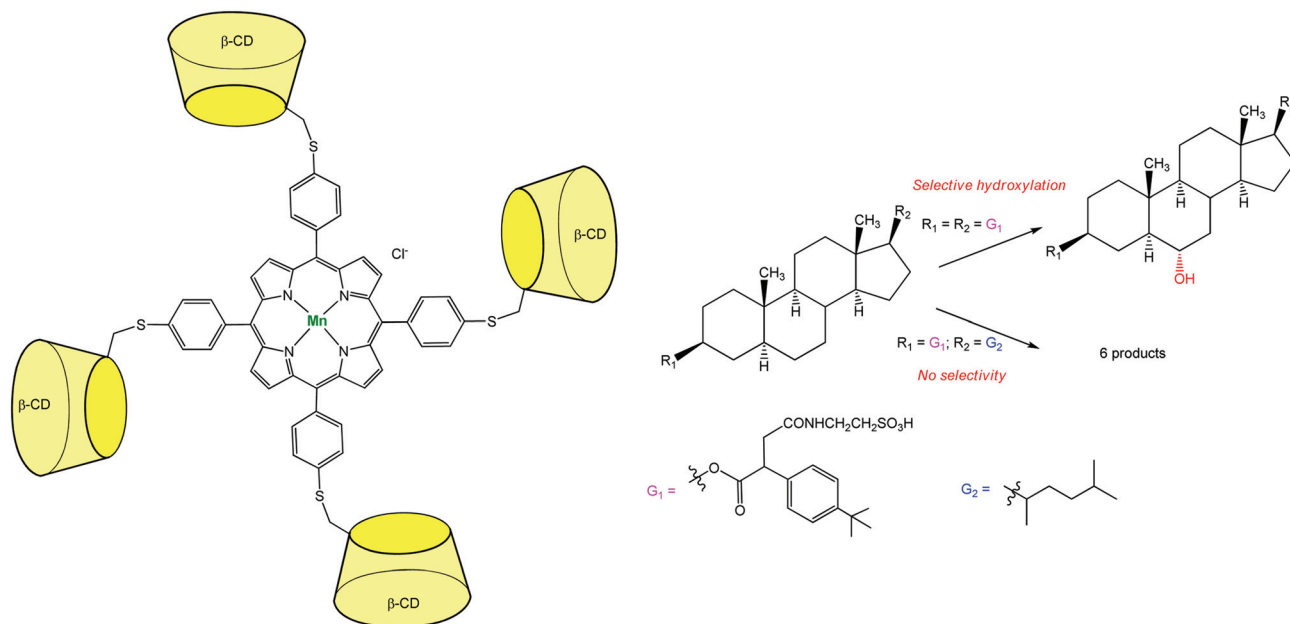


Fig. 10 Highly selective Mn-porphyrin catalyst based on a  $\beta$ -CD tetramer for steroid hydroxylation.<sup>19</sup>

An additional breakthrough in the field of oxidation chemistry was achieved with a catalyst capable of regio-selectively hydroxylating a polyfunctional substrate. Breslow *et al.* synthesized a Mn-porphyrin bearing at its meso position four  $\beta$ -CDs (Fig. 10). This P-450 mimic was tested for the selective hydroxylation of a steroid. Androstenediol was first converted to a diester derivative which presents *t*Bu-phenyl binding groups and a water-solubilizing moiety. This diester was submitted to the action of iodosylbenzene in the presence of the catalyst. Quite remarkably, a single methylene group of the steroid was regio- and stereo-specifically hydroxylated with 3–5 turnovers before oxidative destruction of the catalyst.<sup>19</sup> Two *t*Bu groups of the substrate serve as guests for two diametrically opposed  $\beta$ -CDs. This double anchoring positions the steroid in such a way that one C–H bond is preferentially exposed to the Mn=O species and thus selectively hydroxylated. When changing the *t*Bu guest substituents for alkyl chains with lower affinity for  $\beta$ -CDs, a mixture of six oxidation products was obtained.

From the above-described studies involving polytopic recognition thanks to the association of several CDs to a metal complex, several conclusions can be drawn:

- Double embedment of the substrate strengthens its pre-association next to the metal complex and better preorganizes its reactive moiety in front of the catalyst. This yields faster kinetics.
- In the case of hydrolytic processes that split ditopic substrates into two pieces, monotopic products displaying lower affinities for the CD dimer are produced. This prevents product inhibition and gives rise to higher conversion rates.
- In the case of oxidative processes, multipoint recognition allows regio- and stereo-selectivities for the hydroxylation of the substrate.

- The CD cavities may also be used as a protective group around the metal center, which prevents its deactivation (*e.g.* through dimerization), while maintaining an access to the substrate.

In order to increase the variety of catalysts grafted to artificial cavities, the formation of polynuclear complexes was explored. The strategy consisted in the covalent grafting of several ligands to a macrocyclic scaffold for the coordination of several metal ions in the vicinity of a cavity.

### 2.3. One cavity for several ligands and several metal ions

One difficulty of employing CDs is the difficult control of their functionalization. While methods allowing their monofunctionalization were early developed, mastering their polyfunctionalization appeared very difficult. Therefore, chemists turned to a different scaffold, namely calixarenes. These macrocycles are much easier to selectively functionalize. A drawback, however, lies in their high lipophilic character that makes them insoluble in water, unless they are decorated by several hydrophilic substituents. Gutsche, and later Reinhoudt, have taken advantage of the straightforward functionalization of the calix[4]arene macrocycle for the preorganization of multiple catalytic groups in the vicinity of each other.<sup>20</sup> These systems mimic polynuclear metallo-enzyme active-sites. Thanks to the functionalization at the small rim of the calix[4]-arene scaffold by four ethoxyethyl groups, the inversion of the aromatic units was prevented and the calixarene constrained in the cone conformation. Large rim functionalization by two or three 2,6-bis[(dimethylamino)methyl]pyridine ligands allowed the design and study of dinuclear and trinuclear phosphodiesterase models with Zn(II) cations. However, all studies had to be conducted in aqueous-organic solvents, due to two problems relative to water as a solvent: (i) the low solubility of





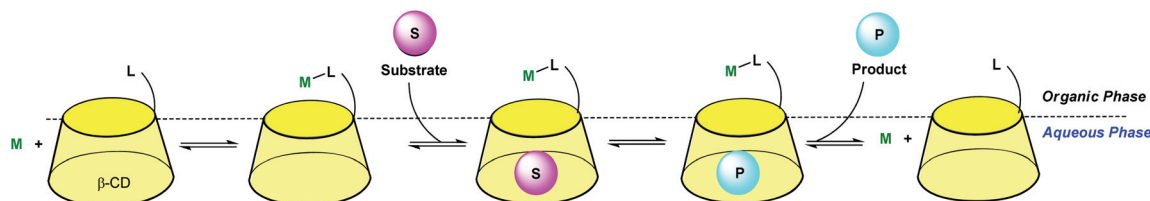


Fig. 12  $\beta$ -CD as a mass-transfer promoter in aqueous organometallic catalysis.

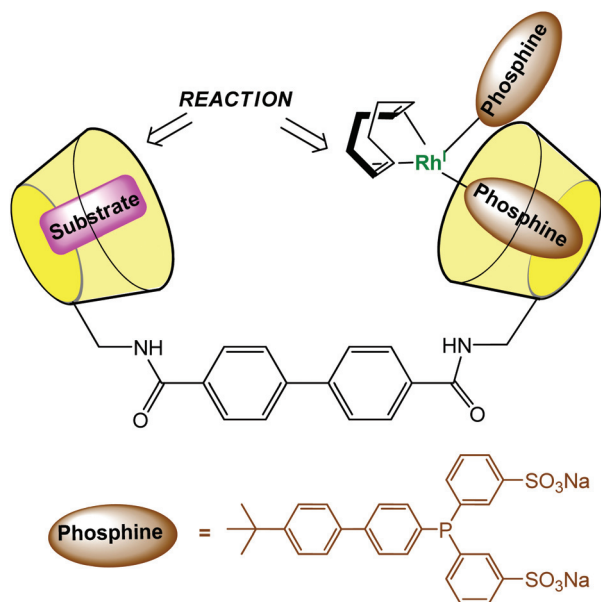


Fig. 13 Cyclodextrin dimer as a platform for aqueous organometallic catalysis.<sup>31</sup>

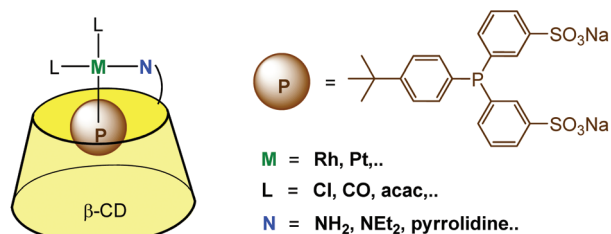


Fig. 14 *P,N*-bidentate ligands used for the water-solubilization of low-coordinate metal complexes.

provide a supramolecular bidentate ligand offering a *P,N* mixed donor environment for the metal catalyst (Fig. 14). NMR and ITC studies confirmed the 1 : 1 stoichiometry of the metal complexes, with the phosphine ligand entering the CD cavity through its primary rim. Coordination of these *P,N*-bidentate ligands to platinum and rhodium in water was evidenced by NMR.<sup>32,33</sup> The water-soluble platinum complex of this bidentate ligand was used as a catalyst for the hydrogenation of water-soluble allylic alcohols (2-methyl-3-buten-2-ol or 2-methylbutan-2-ol).

In the above reported systems, efficient biphasic supramolecular catalysis was obtained with functionalized cyclodextrins.

Three different strategies were explored: (i) the CD allows simultaneous shuttling of the substrate into water and binding of the catalyst through a grafted donor interacting with the metal ion, (ii) the host shuttles both the substrate and the catalyst through embedment of a hydrophobic group grafted onto the ligand that holds the metal complex (iii) supramolecular bidentate ligands for the metal catalyst are obtained in the water phase thanks to a CD functionalized covalently by one donor and hosting a second one the cavity.

Other dynamic systems have been obtained with other macrocycles, leading to different behaviors described below.

### 3.4. Ternary dynamic systems

In biochemistry, cooperative effects occur when the binding properties of the protein toward a ligand changes as a consequence of the interaction with another ligand. This cooperative effect has also been evidenced in an artificial system combining cavity, guest and metal ion, thus creating supramolecular metallo-enzyme models. Nau *et al.* have mixed *p*-sulfonatocalix[4]arene,  $\text{Zn}^{2+}$  or  $\text{Co}^{2+}$  and a bicyclic diazoalkane in water at pH = 7 (Fig. 15).<sup>34</sup> The fluorescent guest is a very weak ligand for the metal ion [ $K_{\text{met}} = 4 \text{ M}^{-1}$  for  $\text{Zn}(\text{II})$ ] and presents an association constant with the cavity of  $K_{\text{cav}} = 1000 \text{ M}^{-1}$ . However, the metal cavity complex binds the guest with a higher association constant of  $K = 4000 \text{ M}^{-1}$ . In this synergistic

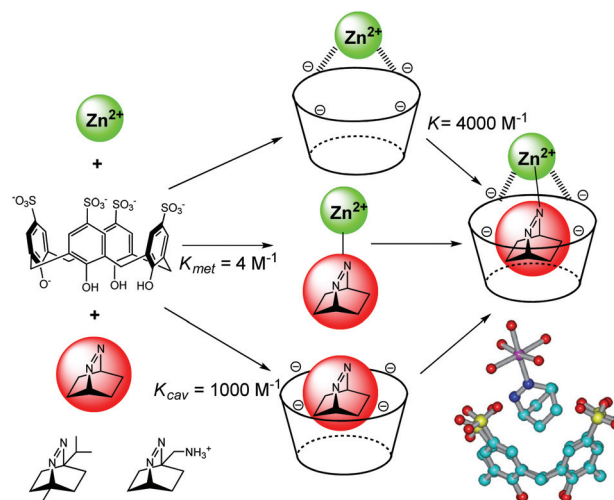
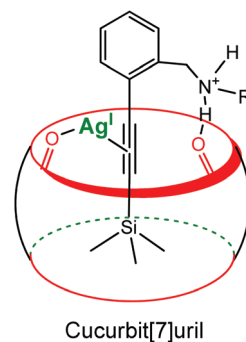


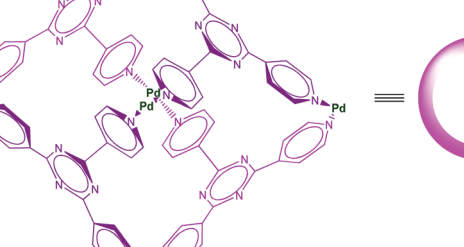
Fig. 15 Selected binding constants in ternary complexes (left); other bicyclic diazoalkanes tested (bottom left); crystal structures of the  $\text{Zn}(\text{II})$  complex (bottom right). (Reprinted with permission from ref. 34 Copyright 2006 John Wiley and Sons).





**Pd** = 

$12^+$   $12(\text{NO}_3^-)$



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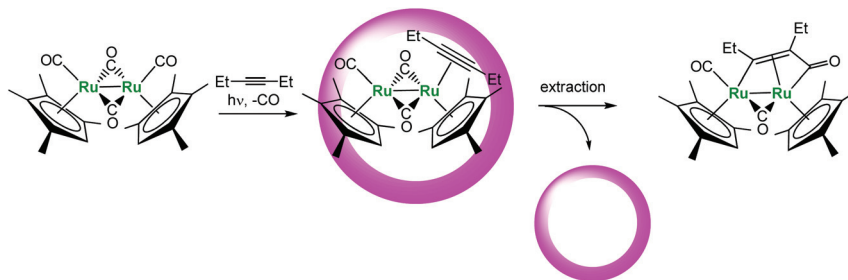


Fig. 19 Photo-substitution reaction in a molecular vessel.<sup>42</sup>

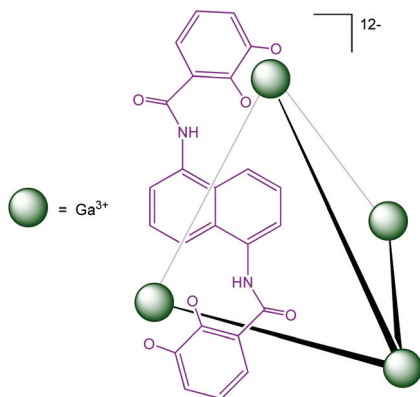


Fig. 20  $M_4L_6$  tetrahedral assembly developed by Raymond *et al.*<sup>43</sup>

the presence of a strongly competitive guest.<sup>40</sup> The metallo-cage obtained with the capping tetramethylethylenediamine ligand was shown later to readily host two equivalents of linear  $\omega$ -alkenol, the extremities of which sit next to the Pd(II) corners of the cage. Interestingly, upon heating, the alkenol was catalytically converted into the acetyl derivative in the absence of additional free Pd(II). This suggests that, in this case, the oxidation is promoted by the cage itself thanks to the favorable geometry of the guest substrate relative to the Pd(II) ions situated at the cage corners.<sup>41</sup>

Another interesting example recently reported by Fujita *et al.* is the cage-encapsulated  $[(Me_4Cp)Ru(CO)_2]_2$  complex (Fig. 19). This complex displays a much higher photo-stability in water in the presence of the cage. Under irradiation, it undergoes photo-substitution of one CO ligand by an alkyne without Ru–Ru bond cleavage usually observed. Here, the supramolecular assembly helps stabilizing the reactive metal center and improving the lifetime of the organometallic complex.

Another water-soluble cage (Fig. 20) published by Raymond *et al.* has demonstrated its ability to encapsulate organometallic complexes and their subsequent catalytic activities have been studied.

The encapsulation of complex  $[Cp(PMe_3)Ir(Me)(C_2H_4)]$  in the  $Ga_4L_6$  cage was observed by  $^1H$  and  $^{31}P$  NMR spectroscopy and electrospray mass spectrometry in  $D_2O$ .<sup>44</sup> This encapsulated complex was shown to stoichiometrically activate aldehydic CH-bonds in water. The cage imposes a size-controlled

selectivity: acetaldehyde, propionaldehyde and butyraldehyde undergo CH-bond activation, whereas no reaction occurs with larger aldehydes such as valeraldehyde and benzaldehyde. Later, size- and shape-selectivities were observed in water for the catalytic isomerization of allylic alcohols by an encapsulated rhodium catalyst.<sup>45</sup> Finally, gold-phosphine complexes were also encapsulated in this same cage in water. The embedment of  $Me_3PAu^+$  led to an enhancement in the catalytic activity in the hydroalkoxylation of allenes in pure water and demonstrated a high selectivity.<sup>46</sup> Interestingly, the supramolecular host is also able to stabilize reactive organometallic intermediates, whereas they usually decompose within minutes in water.<sup>47</sup> The encapsulation of a Ru(II) catalyst in water by the same cage allowed the protection from decomposition of the latter and yielded high-turnover catalysis (TON = 1070) for allylic alcohol isomerization.<sup>48</sup>

Hence, the use of molecular cages allows creating a hydrophobic microenvironment in water around the transition metal complex. These cages can act as a protective envelop for stabilizing metal species that would otherwise be destroyed in water. They also have an insulating role preventing two reactive species to interact with each other or stabilizing unusual ligand/metal and metal/metal complexes. Most interestingly, when capable of hosting simultaneously a reactive metal complex and a substrate, very selective transformations are obtained. This is obviously due to the well-defined but restricted space provided by these hosts, which on the other side limits their scope of application.

The last strategy presented in this review concerns systems providing interlocked cavity and ligand. A macrocycle is used to create a ligand of specific geometry while exogenous ligand binding to the coordinated embedded metal ion, and/or redox properties, are under the control of the cavity itself.

## 4. Control of the micro-environment – interlocked cavity/ligand

### 4.1. Tuning the metal ion properties; protection of reactive species

Native cyclodextrins are able to encapsulate small organometallic complexes in their hydrophobic cavity in water. For example, ferrocene forms a (1 : 1) inclusion complex with  $\beta$ -CD in water and its association constant with  $\beta$ -CD was found to



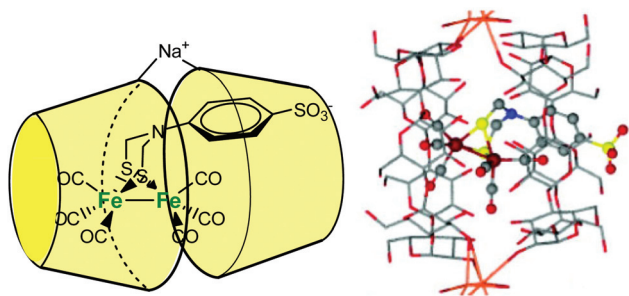


Fig. 21 Schematic representation of the CD-encapsulated model of [FeFe]-dihydrogenase (left) and corresponding X-ray crystal structure of the clathrate (right).<sup>53</sup>

be  $1.65 \times 10^4 \text{ M}^{-1}$  by solubility measurements.<sup>49</sup> The resulting encapsulated complex presents modified chemical, electrochemical and photochemical properties.<sup>50</sup> Likewise, Rebek and Kaifer reported the inclusion of ferrocene derivatives into a water-soluble “deep-cavitand”. As previously noticed with other cavities,<sup>51</sup> the electrochemical behavior of this complex is different from that of the free ferrocene derivatives in aqueous solution. The host–guest complex, in that case, is not electroactive,<sup>52</sup> which suggests that electron transfer from the inclusion complex is hindered.

Darensbourg *et al.* described a cyclodextrin host/guest approach to mimic a hydrogenase active site cavity.<sup>53</sup> For this purpose, they prepared a 2Fe<sub>2</sub>S synthetic cluster mimicking the active site, outfitted with an aryl sulfonate moiety to promote inclusion into a β-CD. As schematized in Fig. 21, the self-assembly associates two β-CDs wrapping the diiron center into their hydrophobic cones and bridged by a sodium cation. The X-ray crystal structure of the self-assembled structure shows an increased torsion angle between the apical CO ligands, indicating that the supramolecular cage destabilizes the eclipsed geometry typical of diiron model complexes.

Based on NMR studies, the authors note that the complex is exchanging rapidly in and out of the cyclodextrin dimer. However, despite this fast exchange, the encapsulated model displays modified electrochemical properties compared to its

free form. In the free form, the  $\text{Fe}^{\text{I}}\text{Fe}^{\text{I}} \rightarrow \text{Fe}^{\text{I}}\text{Fe}^0$  couple reduces at  $-1.2 \text{ V}$  vs. Ag/AgCl (sat. KCl), whereas, in the presence of β-CD, the same reduction potential is shifted *ca.* 80 mV towards the more negative end. Proton reduction by the complex encapsulated in the β-CD dimer also occurs at a more negative potential ( $-1.4 \text{ V}$ ). These results demonstrate how the hydrophobic environment provided by the β-CD cavity has a strong impact on redox processes.

Likewise, Kano *et al.* have used two permethylated β-CD bridged by a pyridyl group to encapsulate an iron porphyrin (Fig. 22). In order to favor the encapsulation, the porphyrin was substituted by arylsulfonate groups at its *meso* positions. The pyridyl bridge serves also as an axial ligand for the iron center. The O<sub>2</sub> adduct of this encapsulated porphyrin complex ( $\text{Fe}^{\text{III}}\text{--OO}^{\bullet}$ ) was found to be stable for up to 30 h at 25 °C.<sup>54</sup> By reaction between the encapsulated  $\text{Fe}^{\text{III}}\text{--OH}$  and H<sub>2</sub>O<sub>2</sub>, the hydroperoxo adduct  $\text{Fe}^{\text{III}}\text{--OOH}$  was observed for the first time, under physiological conditions. This adduct further evolved to yield a ferryl species ( $\text{Fe}^{\text{IV}}\text{=O}$  coined compound II in heme biochemistry) that displayed also remarkable stability (7 h at 25 °C).<sup>55</sup> It was proposed that the presence of the β-CD dimer creates a hydrophobic environment from which water molecules are excluded, thus protecting the reactive metal center.

#### 4.2. The cavity participates in the ligand design – the ligand is “built-in” at the edge of the cavity

The systems described in this part get structurally closer to metallo-enzyme active sites. The cavity is considered as a real platform on which the first coordination sphere of the metal is constructed. Thanks to an adequate spacer length, several *N*-donors are positioned at the edge of the cavity, and this proximity allows the metal ion to sit in a controlled micro-environment.

An early example was reported in 1984 by Tabushi *et al.*, who functionalized a β-CD cavity at its primary rim by two histamine groups (Fig. 23).<sup>56</sup> The complexation constant of Zn<sup>II</sup> to this bidentate ligand was determined to be  $4.5 \times 10^2$  in water, at pH = 7.5. The corresponding Zn<sup>II</sup> complex was used as a carbonic anhydrase model. Kinetic analyses of CO<sub>2</sub>

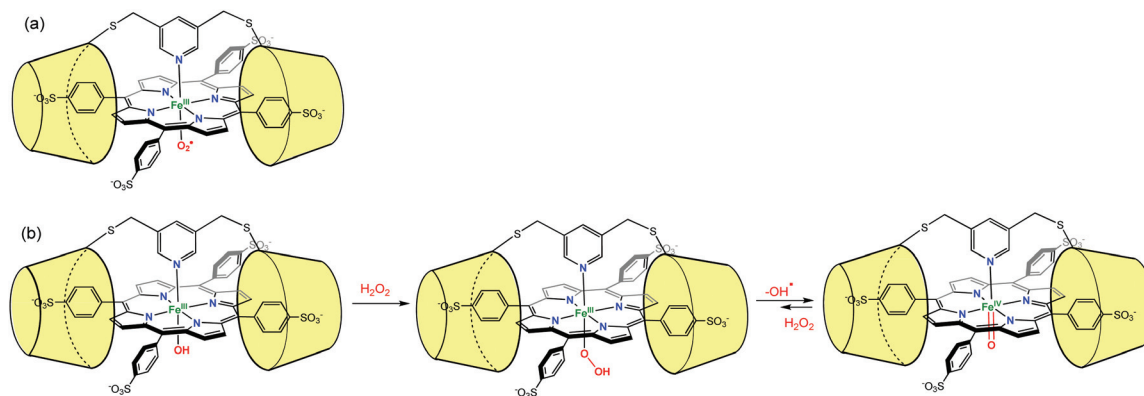


Fig. 22 Protection of reactive species by their supramolecular environment: (a) porphyrin  $\text{Fe}^{\text{III}}\text{--superoxo}$  species in a β-CD dimer environment; (b) formation and stabilization of  $\text{Fe}^{\text{III}}\text{--OOH}$  and  $\text{Fe}^{\text{IV}}\text{=O}$  species.<sup>54</sup>



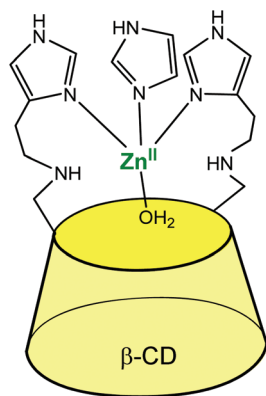


Fig. 23 Bis(histamine)- $\beta$ -CD  $\text{Zn}^{\text{II}}$  complex.<sup>56</sup>

hydration in imidazole buffer showed that a  $\text{Zn}^{\text{II}}$  ion coordinated to two histidine ligands of the CD and to one exogenous imidazole from the buffered aqueous solution was the active species with a catalytic constant of *ca.*  $10^3 \text{ M}^{-1} \text{ s}^{-1}$  at pH 7.5–7.8. It is proposed that the hydrophobic environment enhances the  $\text{CO}_2$  hydration activity since the analogous  $\text{Zn}^{\text{II}}$  complex deprived of cavity was much less active. Dehydration, on the other hand, was not appreciably catalyzed by the complex, which is attributed to bicarbonate coordination inhibiting the catalyst.

For the past decade, Reinaud *et al.* have been developing biomimetic receptors based on calix[6]arenes functionalized by three *N*-donors at the small rim.<sup>57,58</sup> The resulting polydentate ligands mimic the first coordination sphere (a poly-histidine core) encountered in many metallo-enzyme active sites.<sup>59</sup> Upon binding a metal ion, the conformational freedom of the calixarene is restricted and the cone conformation is stabilized. In these so-called “funnel complexes”, the geometry of the system favors *endo*-binding of a guest ligand and as a result behave as remarkable biomimetic receptors for neutral molecules in organic solvents.

For a few years, the water-solubility issue of these systems has been tackled. The strategy consists in the functionalization of the large rim of the calix cavity by hydrophilic substituents in order to maintain the same first coordination sphere around the metal center at the small rim. The first success was obtained with a tris(sulfonato)-tris(imidazolyl)-calixarene that provided the first example of a Cu(I) complex stable in pure water under air despite the presence of a free valence. (Fig. 24, top).<sup>60</sup> In this system, the calixarene hydrophobic cone protects the metal center (preventing disproportionation and autoxidation), while allowing coordination of a small guest molecule such as CO in the concave area of a hydrophobic cavity. Hence, this complex reproduces not only the first coordination sphere encountered in many copper-enzymes and the frequently hydrophobic micro-environment of the active site, but also the aqueous macro-environment of a physiological medium.

Very recently, a water-soluble copper(I)-selective ligand based on the calix[4]arene scaffold was obtained. The design

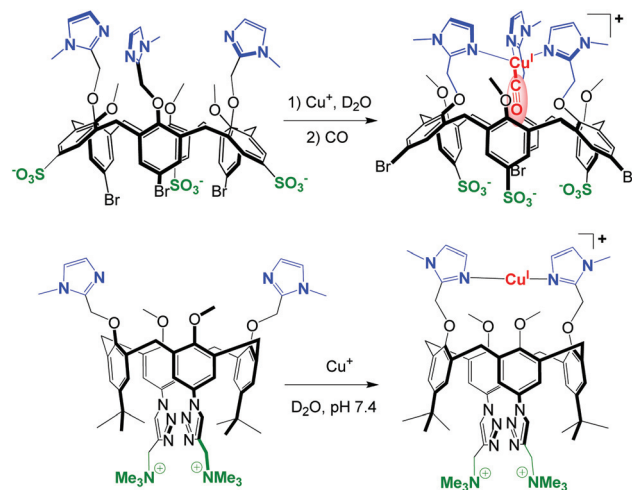


Fig. 24 Water-soluble and air-stable  $\text{Cu}^{\text{I}}$ -calixarene complexes. Top: model of a Cu-enzyme active site with the selective binding of CO in the hydrophobic pocket.<sup>60</sup> Bottom:  $\text{Cu}^{\text{I}}$ -selective ligand that ensures strong  $\text{Cu}^{\text{I}}$  binding at pH = 7.4.<sup>61</sup>

of Cu(I)-selective chelators is of particular interest not only for the creation of therapeutic objects but also as useful tools to gain insights into the coordination of Cu(I) in a biological medium. In this system, the water-solubility of the calixarene macrocycle is ensured by the introduction of two ammonium groups at its large rim (Fig. 24, bottom). The linear bis-imidazole binding motif at the small rim allows strong coordination of Cu(I) in water at physiological pH ( $K = 10^{14} \text{ M}^{-1}$  at pH = 7.4), and prevents autoxidation as well as disproportionation reactions. Furthermore, this ligand appears to be selective *vs.* other biologically relevant metal ions ( $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Mg}^{2+}$ ,  $\text{Ca}^{2+}$ ,  $\text{Zn}^{2+}$ ), as well as *vs.*  $\text{Cu}^{2+}$ .<sup>61</sup> In this case however, the smaller calix[4]arene scaffold does not allow embedment of guest ligands as in the case of calix[6]arene-based complexes.

Coordination of  $\text{Zn}^{\text{II}}$  to the above-mentioned water-soluble tris(sulfonato)-tris(imidazole)-calix[6] ligand (Fig. 24, top) led to precipitation of the complex in water. This was attributed to charge neutralization. Therefore, a tricationic version of this ligand was synthesized. However, no coordination was observed in water whatever the pH, although in organic solvents (MeCN, chloroform), stoichiometric coordination was observed at the same concentration. This was attributed to the competitive protonation of the ligand (favored under acidic conditions) and to the formation of  $\text{Zn}^{\text{II}}$ -hydroxo species of lower Lewis acidity (favored under basic conditions). Quite remarkably however, the addition of a hydrophobic primary amine led to the formation of a ternary complex (calix/ $\text{Zn}^{\text{II}}$ /amine) at physiological pH. This ternary complex is formed in a highly synergistic and allosteric manner and stabilizes the neutral form of the amino guest. It constitutes the first example of molecular receptor that efficiently and selectively binds primary amines in water, in spite of the high solvation energy associated to the corresponding ammonium ion present at this pH (Fig. 25).<sup>62</sup> As previously observed in organic solvents,<sup>63</sup> the tris-imidazolyl ligand coordinates  $\text{Zn}^{\text{II}}$



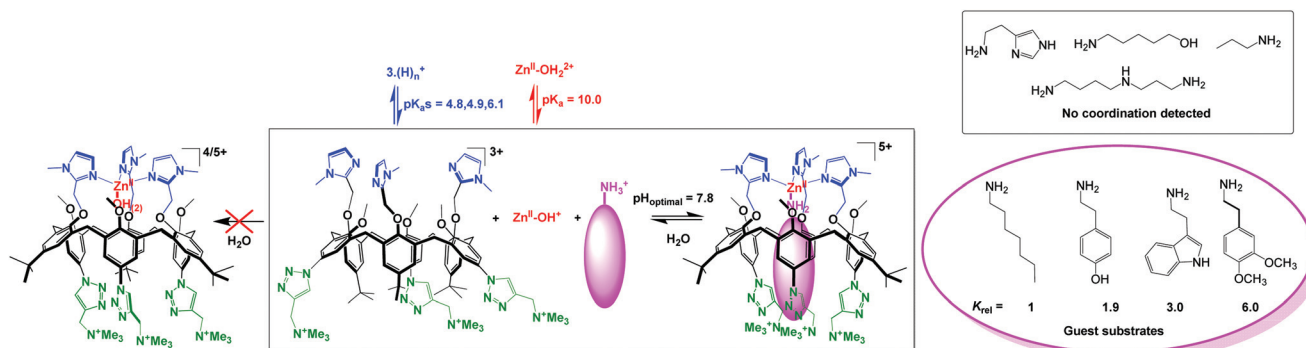


Fig. 25 Water-soluble calix[6]arene ligand and Zn<sup>II</sup> coordination properties in water (left) and in the presence of hydrophobic primary amines (right).<sup>62</sup>

in a tetrahedral environment, with the amino guest strongly embedded in the hydrophobic cavity of the macrocycle.

Hence, the molecular design of this ligand confers a micro-environment to the metal center that favors the formation of a mononuclear complex and prevents its precipitation. It also takes advantage of the hydrophobic effect as a key driving force for the formation of the ternary complex since the smaller propylamine guest is not recognized. The system thus behaves as an efficient and selective receptor for neutral lipophilic primary amines in water. The resulting pseudo-*pK*<sub>a</sub> shift of 7 units for the amine associated to the formation of the ternary complex is quite remarkable. Such an impressive stabilization of the basic form of the amine at neutral pH is obviously the result of both the coordination to Zn(II) and the micro-environment provided by the calixarene pocket.

Very recently, Reinaud *et al.* started developing another family of cavity-complexes. The so-called “bowl ligand” presents a resorcin[4]arene equipped with a tris(imidazole) core similar to those of the calix[6]arene-based *funnel* system. With M(II) ions, the bowl-ligand leads to 5-coordinate complexes presenting two different sites open for an interaction with exogenous donors: one is in *endo* position, under the supra-molecular control of the bowl cavity, whereas the other is exposed to the solvent, in *exo* position relative to the cavity.<sup>64</sup> The water-soluble version of this bowl ligand was obtained by introducing four quaternary ammonium groups at its “legs” (Fig. 26).<sup>65</sup> Interestingly, and in strong contrast with the calix ligand, the bowl ligand readily binds Zn(II) ion in water within a relatively large pH window (pH = 6.5 to 9.5) in the absence of

guest (other than water). The stronger ability to bind Zn(II) is attributed to the higher rigidity of the resorcinarene macrocycle (compared to calix[6]arene), which allows better pre-organization of the tris(imidazole) core for Zn(II) coordination. The Zn(II) complex is reported to bind acetate at an optimum pH value of 7.4 (*K* = 600 ± 100 mol<sup>−1</sup> L). Guest binding further stabilizes the metal complex as evidenced by an increase of the pH window at which it can be detected (at *ca.* 5 mM, pH 3.7 to 10.6).

Hence, this study represents one step further in biomimetic: a biomimetic coordination core (three imidazole residues) able to coordinate a bio-relevant metal ion, Zn(II), presenting *two* labile sites in *cis*-position relative to each other. It is important to note that this feature is key for hydrolytic metallo-enzyme mimicry, since the mechanism is known to proceed *via* a five-coordinate intermediate.

#### 4.3. Full control of the metal environment and guest binding

The substitution of three *t*Bu groups of the large rim of a calix[6]azacryptand by three trimethylammonium groups enabled its water-solubilization. In this system, a TMPA unit [TMPA = tris(2-pyridylmethyl)amine, see Fig. 27] is covalently capping the calixarene cone. This provides a more rigid ligand with strong chelating properties. As a result, the corresponding copper complex is stable in water in its two oxidation states. The spectroscopic and electrochemical data recorded in pure water indicate that the host–guest properties of the funnel complex are retained at both oxidation states of the copper cation. The presence of a vacant coordination site shielded

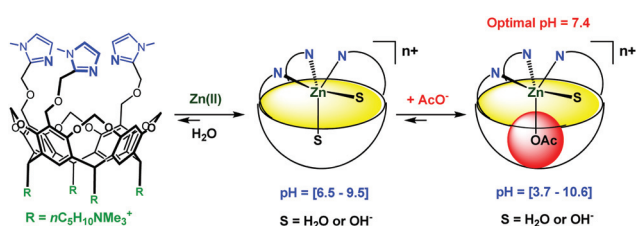


Fig. 26 Schematic representation of the bowl ligand and its coordination properties in water.<sup>65</sup>

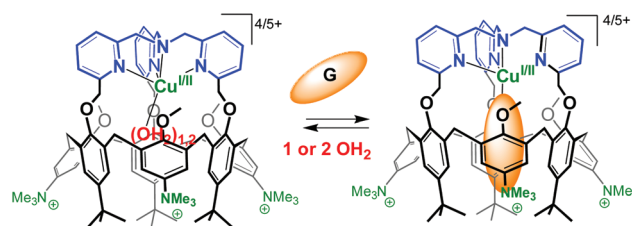


Fig. 27 Water-soluble Cu<sup>I</sup> and Cu<sup>II</sup> complexes of TMPA-capped calix[6]arene. G stands for a guest molecule such as MeCN or PrCN.<sup>66</sup>



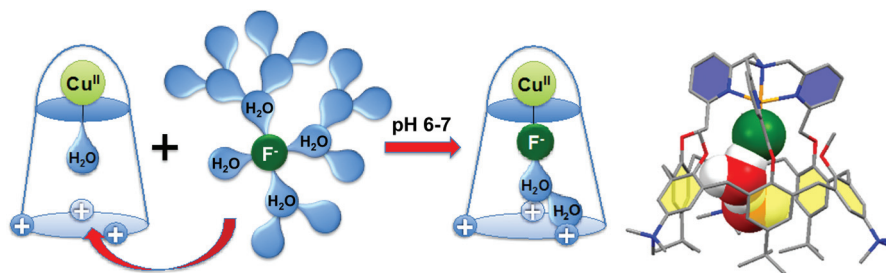


Fig. 28 Fluoride binding in water by a water-soluble tmpa-capped calix[6]arene; DFT optimized structure of the solvated fluoride Cu(II) complex.<sup>67</sup>

from the aqueous environment by the calixarene core, makes it highly reminiscent of the active site of copper monooxygenases.<sup>66</sup>

An interesting observation is the decrease of  $E_{1/2}$  when switching from an organic solvent ( $\text{CH}_2\text{Cl}_2$  or  $\text{CH}_3\text{CN}$ ) to water ( $\Delta E_{1/2} = 350$  mV and 290 mV, respectively). It likely results from the better stabilization of a dicationic *vs.* monocationic state as the medium polarity increases. On the other hand, the values measured in water for the TMPA-capped calix[6]arene and the TMPA-Cu complex deprived of cavity differ by more than 500 mV ( $E_{1/2} = +0.53$  V and  $-0.04$  V, respectively). This nicely illustrates the insulating effect of the calixarene cavity from the media and highlights the spectacular ability of a hydrophobic embedment to tune the redox potential of metal ions in water. This water-soluble calix[6]arene-based ligand is a very rare example (if not the first) of an artificial structure capable of stabilizing in pure water a copper ion featuring a coordinative unsaturation in both +I and +II oxidation states. Remarkably, the major structural and functional properties observed in organic solvents are maintained: mononuclear embedment, exogenous ligand-binding, host-guest exchange, impressive affinity for small nitriles despite the highly competitive medium, protection from auto-oxidation.

Interestingly, this  $\text{Cu}^{\text{II}}$ -complex demonstrated a very strong and selective affinity for fluoride anion in buffered water at pH = 6–7 (Fig. 28).<sup>67</sup> The binding constant at pH 5.9 is 85 000, which corresponds to one of the highest values ever reported in water at this pH. Molecular modeling suggests that the species recognized by the host is a cluster  $[\text{F} \cdot \text{H}_2\text{O} \cdot \text{H}_2\text{O}]^-$ , stabilized by OH– $\pi$  interactions with the aromatic walls of the calixarene, which facilitates fluoride extraction from water by decreasing its dehydration cost.

Hence, while some examples of intricate cavity/ligand systems have been reported with cyclodextrins, the use of the more readily functionalizable calix[6]arene core allows the construction of a variety of polydentate donors. A careful design can give rise to the formation of metal complexes, the binding properties of which are under the supramolecular control of the cavity. The above reported examples show that these complexes behave as selective funnels for a variety of guest ligands, either lipophilic thanks to the hydrophobic effect associated to their embedment, or hydrophilic thanks to the hosting of water solvates. It is also shown that the redox properties of the metal ion can be tuned by the supramolecular environment

provided by the cavity that participates in 2<sup>nd</sup> (and further) coordination sphere. Interestingly, as well, the resorcinarene core gives rise to bowl-shape complexes presenting two labile coordination sites in *cis* position relative to each other, thus prone to reactivity. One drawback of these systems is the associated important synthetic work since several donors must be regioselectively grafted on one edge of the macrocycle, while water-solubilizing groups must be introduced on the other side of the structure.

## 5. Conclusion

The design, synthesis and study of cavity-appended metal complexes is a rich research area where creativity and bio-inspiration play a major role.

### Different kinds of macrocyclic structures have been employed for playing the role of a cavity

Some of them are intrinsically water-soluble. The naturally available cyclodextrins were first exploited, whereas the synthetic cucurbiturils were more recently developed. Their respective truncated-cone and cylinder shape provides a hydrophobic space open to the solvent on both sides. Cages constituted by aromatic walls self-assembled by metal ions offer completely different systems, coined molecular flasks. Their communication with the guests relies on the size of the holes separating the organic units and on the lability of the coordination bonds that seal them together. One problem encountered with all these compounds is the difficult introduction of functional groups, namely a ligand, allowing the association of a well-defined metal complex with chemical activities in a controlled environment. Calixarenes and resorcinarenes offer an appealing alternative since synthetic methodologies for their selective functionalization have been largely developed and are now well-mastered. However, these macrocycles are not water-soluble and must be equipped with hydrophilic substituents. The double task of appending ligands for metal ion control and polar substituents for water-solubility makes the design and synthesis of the systems very challenging. Among them, calix[4]arenes appear too small to play the role of a host, whereas calix[6]arenes face problems related to their size: their increased conformational mobility must be mastered if the cone shape is desired to play the role of an efficient host.



Resorcin[4]arenes offer a more rigid bowl-shape cavity, but are open to the solvent by one side only. Their small size also restricts their scope as receptors.

**The major outcomes of the herein-described studies of water-soluble cavity-complexes are very different when using one macrocyclic scaffold or another, and appear actually complementary.**

- Early work with cyclodextrins evidenced Michaelis-Menten like catalysis in water with a transition metal ion as the reactive species. Like in enzymatic catalysis, the synergy of the association of a metal complex and a cavity is illustrated by enhanced kinetics, thanks to substrate embedment and pre-organization of its reactive site next to the catalyst. It is associated to substrate selectivity based on host-guest relative affinities, which leads to substrate differentiation (*i.e.* competition), or regio-selective transformation of polyfunctional substrates. It also allows inhibition by competitive host binding with non-substrate guests, which opens doors for controlling the activity of the catalyst. Remarkable regio- and stereo-selectivity are obtained through multi-point recognition strategies involving several cavities associated to the catalyst. In the case of hydrolytic catalysis, ditopic recognition of substrates was exploited to circumvent problems related to product inhibition.

- Cyclodextrins but also cucurbiturils have been used to perform biphasic catalysis with TMs. Strategies relying on either water-transfer of the metal complex through ligand embedment or synergistic coordination of a metal ion and substrate embedment, or both, lead to increased performance of TM catalysts. Interesting cases of host-assisted establishment of a metal-ligand bond also allows for the formation of ternary complexes displaying very high selectivity, a characteristic that is frequently encountered in biology.

- The presence of a cavity next to the metal ion also affects the properties of the metal ion itself. Indeed, the macrocycle provides a microenvironment that can protect reactive species against the solvent or any undesired process. This is illustrated by TM complexes formed at the edge of cyclodextrins or partially embedded into them. Even more spectacular, self-assembled metallo-cages provide a supramolecular hydrophobic environment that allows isolation of reactive species from water, and protection against decomposition. Interesting cases of co-encapsulation of a TM catalyst with its substrate give rise to efficient, size-selective transformations, very much like natural systems that work in water, while providing a hydrophobic environment around their active site.

- Functionalizing the calix[6]arene scaffold allowed building new ligand systems, that very much like proteins, fold around the metal ion to provide metal complexes with a labile site closely interlocked with the macrocyclic structure. This gives rise to induced-fit phenomena, highly cooperative formation of ternary systems leading to selective recognition of small molecules. In these cases, the multitopic recognition process benefits from: (i) coordination of the guest to the metal ion, (ii) further stabilization within the second coordination sphere providing hydrogen bonding sites, (iii) hydrophobic effect

through embedment of a lipophilic guest residue, and (iv) flexibility of the host that adapts to its guest. The interests in these systems also lie in the possible characterization of the metal species in its microenvironment at the atomic level. This allows comprehensive analyses of the tuning of the metal properties by the surrounding non-aqueous, well-defined microenvironment. Hence, redox properties and ligand exchange can be adjusted, which is a fundamental aspect of biological systems.

Several difficulties in these bio-inspired quests remain to be overcome. Indeed, water-soluble cavities appended with the desired functionalities often represent a synthetic challenge. Moreover, the construction of a scaffold allowing the interlocking of a metal ion coordination site within a macrocycle open to the solvent is a real challenge from a design point of view. These are key aspects for controlling guest ligand binding (for recognition), substrate positioning and exchange (for catalysis). More biomimeticism is desirable but it faces the difficult construction of a system with a specific first and second coordination sphere for the TM that are often ill-controlled, whereas they should be ideally tunable at will.

The major, middle and long term, perspectives are to obtain new receptors leading to new devices efficient in water, and new catalysts, selective, performant, with high turnovers and displaying new reactivity patterns leading to new reactions! This is a very challenging and exciting area of research.

## Acknowledgements

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