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Theoretical study of covalent organic frameworks and heterojunctions for the oxygen reduction reaction†

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In this study, the catalytic potential of two representative covalent organic frameworks (COFs), COF-366 and Pc-PBBA COF, was investigated in the oxygen reduction reaction (ORR). Transition metal atoms (Fe or Co) were incorporated into the center of porphyrin or phthalocyanine rings to form Fe(Co)-N-C single-atom catalysts, which can catalyze the ORR with overpotentials between 0.49 V and 0.69 V. In order to improve the catalytic activity, we introduced graphene or C₃N₄ layers to build heterojunctions with the COFs. Charge density difference and Bader charge analysis demonstrated that the introduction of a graphene (or C₃N₄) layer increases the population of electrons on the Fe and Co ions, which facilitates the flow of electrons to the intermediates and promotes the ORR catalytic reaction. For COFs@graphene heterojunctions, the overpotential decreases by 10.9–20.3% for Fe-based active sites and 30.3–36.4% for Co-based active sites. For COFs@C₃N₄ heterojunctions, the overpotential decreases by 7.3–39.1% for Fe-based active sites and 15.116.3% for Co-based active sites. The analysis of the volcano plot reveals that the COF-366@graphene heterojunction is positioned at the apex of the volcano plot with an overpotential of 0.31 V.

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1. Introduction

With the increase in social needs, the excessive consumption of fossil fuels has triggered an energy crisis, climate change, and environmental pollution. Hydrogen is a clean energy source, and its combustion product is only water. Fuel cells are the best way to utilize hydrogen energy. The oxygen reduction reaction (ORR) occurring at the cathode is a sluggish reaction, which is one of the critical bottlenecks in fuel cells.^{1,2} Platinum group metals (*e.g.*, platinum and palladium) are widely used as catalysts in the ORR due to their excellent catalytic performance. The high cost has prompted researchers to actively seek more cost-effective alternatives.^{3,4}

Covalent organic frameworks (COFs) are a type of crystalline porous polymer designed and synthesized by condensation reactions in 2005.⁵ In recent years, it has been reported that COFs have certain electrocatalytic ability due to their ligands containing N-C sites or Fe/Co-N-C sites.^{6,7} For instance, Hu *et al.* conducted a systematic study on the catalytic performance

of Fe/Co-N-C with various active sites (FeCoN₆, FeCoN₇, and FeCoN₈) for the ORR. They found that the top side of the Co atom is the primary active center for the ORR. By adjusting the atomic structure of the active sites, an extremely low overpotential of only 0.22 V can be achieved.⁸ Liu *et al.* developed two COF-based catalysts, CoTAPP-PATA-COF and CoTAPP-BDTA-COF, which both exhibited good ORR performance in basic media.⁹ Fang *et al.* prepared a large-area, self-supporting CoP-TOB COF, which showed excellent performance with a half-wave potential of 0.82 V in the ORR process.¹⁰ You *et al.* constructed two additional types of COFs (MBD-COF and DAF-COF) by introducing methyl groups (MGs). They confirmed that the carbon adjacent to the MGs serves as the active center for the ORR, which improves the kinetics of oxygen reduction.¹¹

In recent years, many theoretical studies have been carried out to explore the electrocatalytic properties of COFs. Jin *et al.* performed first-principle calculations to investigate the electrocatalytic performance of two-dimensional M-COFs (M = Sc–Zn, Ru, Rh, Pd, Ag, Ir). They reported that Co-COF is a perfect ORR catalyst with a low overpotential (η^{ORR}) of 0.23 V.¹² The binding energy of the key intermediate species on the Co-porphyrin active center is optimal due to the suitable metal d bands, resulting in good ORR catalytic activity. Six homonuclear (2TM-COF) and fifteen heteronuclear (TM₁TM₂-COF) dual-atom catalysts (DACs) are designed by the Zhou group, among which RhIr-COF exhibited the best OER catalytic activity with an

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overpotential (η^{ORR}) value of 0.29 V.¹³ It also revealed that the charge of the embedded dual-metal atoms is the most important factor governing the catalytic activity.

Combining density functional theory (DFT) with machine learning (ML) approaches, several TM atoms embedded on a 2D COF as single-atom catalysts are investigated, and the results demonstrated that the geometric configuration and the electronic properties mainly controlled the catalytic activity.^{14,15} Hu *et al.* found that the spin state of the active sites has a significant impact on catalytic performance. By appropriately adjusting the external field (such as adsorbed species) to change the spin state of transition metals, catalytic performance can be optimized, achieving the maximization of catalytic efficiency.¹⁶

However, pure COFs face some limitations in catalysis, such as active site deactivation, instability problems in aqueous solutions, and simple functionality, which may not meet the requirements of applications. Heterojunctions^{17,18} provide the advantages of synergistic effects, enhanced catalytic activity, and tailored electronic properties, which may address the limitations of pure COFs. Liu *et al.* designed a catalyst composed of core-shell carbon frameworks derived from COFs and metal-organic (MOFs). The synthetic COF@ZIF800 exhibited excellent bifunctional catalytic performance, and the half-wave potential for the ORR was 0.85 V in a 0.1 M KOH solution.¹⁹ Chen *et al.* utilized carbon nanotubes (CNTs) as the core and two-dimensional COFs as the shell to synthesize a novel one-dimensional van der Waals heterostructure, with an optimal half-wave potential of 0.83 V in the ORR.²⁰

Herein, we selected two typical COFs (COF-366 and Pc-PBBA COF) to explore their potential for ORR catalytic applications.^{21,22} COF-366 is a porphyrin-derived COF that features a two-dimensional layered architecture with a pore diameter of about 20 Å and a Langmuir surface area of 506 m² g⁻¹. The Pc-PBBA COF has a square lattice made up of phthalocyanine macrocycles that are interconnected by phenylene bis(boronic acid) linkers. The pore width is approximately 20 Å, and the interlayer distance is 3.34 Å. Its Langmuir surface area and pore volume are 506 m² g⁻¹ and 0.258 cm³ g⁻¹, respectively. The structural diagrams of COF-366 and PC-PBBA COF are shown in Fig. 1. A transition metal (Fe or Co) atom was embedded in the center of the porphyrin or phthalocyanine ring to form a Fe-N-C single-atom catalyst, and its ORR catalytic mechanism has been systematically investigated. Then we constructed the COFs_Fe(Co) heterostructures with graphene or C₃N₄ layers and explored their impact on the catalytic performance.

2. Method

In this study, we performed all computational analyses utilizing spin-polarized DFT implemented within the Vienna *Ab initio* Simulation Package (VASP).²³ The electron-nucleus interactions are precisely described using the projector augmented wave (PAW) method.²⁴ For the exchange and correlation functional, we employed the Perdew-Burke-Ernzerhof (PBE) functional within the framework of the generalized gradient approximation



Fig. 1 The structural diagrams of (a) Pc-PBBA COF and (b) COF-366. The gray, white, blue, red, pink, and purple spheres represent C, H, N, O, B, and X (X = Fe, Co) atoms, respectively.

(GGA).^{25,26} Regarding the description of valence electrons, we employed the plane wave basis set with an energy cutoff of 500 eV. For geometric optimization, the Brillouin zone integration is performed with a $1 \times 1 \times 1$ gamma K-point²⁷ mesh. The convergence criteria of electronic and geometric optimization were determined as 1×10^{-5} eV for energy and 0.03 eV Å⁻¹ for force, respectively. The computational details of the adsorption-free energies and reaction-free energies can be seen in the ESI.† The DFT-D3 method²⁷ of Grimme with Becke-Jonson damping²⁸ was employed to accurately describe the strong vdW interaction between the two counterparts of the heterostructure and the long-range electrostatic interactions of ORR species with catalysts. Meanwhile, the solvation effect was considered in the study, which was described by the implicit solvation model embedded in VASPsol, and the dielectric constant of water was set to 80.²⁹ The projected density of states (PDOS) was calculated using the HSE06 hybrid functional,^{30,31} and a $3 \times 3 \times 1$ *k*-point sampling was used. In the calculations of the spin moment, the DFT+*U* method was applied to the 3d orbital of Fe and Co to describe the strong Coulomb interaction.³² For iron and cobalt, we use $U = 4$ eV, $J = 1$ eV, and $U = 3.3$ eV, $J = 0$ eV, respectively, which is consistent with previous studies.^{33,34}

3. Results and discussion

3.1 Exploration of the ORR Mechanism on COFs

In this work, the ORR mechanism was explored on Pc-PBBA COF and COF-366 embedded with Fe and Co atoms, which were labeled as Pc-PBBA COF_Fe, Pc-PBBA COF_Co, COF-366_Fe and COF-366_Co. To evaluate the stability of the materials, we calculated the binding energy of Fe and Co embedded in COF-366 and Pc-PBBA COF by the formula:

$$E_b = E_{M-X} - E_M - E_X \quad (1)$$

M denotes Pc-PBBA COF or COF-366. The symbol X represents transition metals of Fe and Co. E_{M-X} , E_M , and E_X refer to the total energy of these structures in their most stable configurations. The calculated binding energies of the four materials Pc-PBBA COF_Fe, COF-366_Fe, Pc-PBBA COF_Co, and COF-366_Co are -4.62 eV, -3.50 eV, -8.68 eV and -8.50 eV,

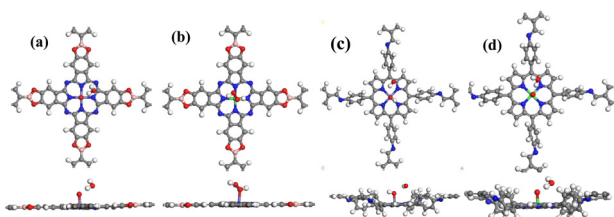


Fig. 2 The adsorption model of H_2O_2 on (a) Pc-PBBA COF_Fe, (b) Pc-PBBA COF_Co, (c) COF-366_Fe and (d) COF-366_Co. The gray, white, blue, red, pink, purple and green spheres represent C, H, N, O, B, Fe and Co atoms, respectively. The top one is the top view, and the bottom one is the side view.

respectively. The binding energies are negative, indicating that the structures are stable. The total density of states (TDOS) of Pc-PBBA COF_Fe, COF-366_Fe, Pc-PBBA COF_Co, and COF-366_Co as shown in Fig. S1 (ESI†), and their bandgaps were calculated to be 1.20 eV, 1.79 eV, 1.44 eV, and 1.90 eV, respectively. These values are within the semiconductor range (0.1–3 eV), indicating that these materials have electrical conductivity and can be utilized as catalysts for fuel cells.

In the ORR reaction, it is acknowledged that there are two main pathways: a 2-electron path and a 4-electron path. The 2-electron path involves the reduction of O_2 into H_2O_2 and its further reduction to H_2O , while the 4-electron path directly reduces O_2 into H_2O . We studied the H_2O_2 adsorption on the COF surface, and the most stable adsorption configurations are shown in Fig. 2. Interestingly, it was found that H_2O_2 could only be adsorbed on Pc-PBBA COF_Co (Fig. 2b), which indicates that the ORR may occur along a 2-electron pathway. When loaded on the other three materials, it will be decomposed into an oxygen and water molecule, indicating that the formation of H_2O_2 can be inhibited. ORR is performed *via* the 4-electron pathway.

Because the four-electron reduction is widely regarded as the most efficient route for the ORR, we focused on exploring the feasibility of ORR reactions occurring in this pathway. Within a stepwise hydrogenation mechanism, an in-depth assessment of the adsorption characteristics of the key intermediates (*O_2 , *OOH , *OH , and *O) has been conducted. The adsorption configurations and the adsorption enthalpies (ΔH) are depicted in Fig. 3, which confirms the structural stability and provides valuable insights into the catalytic process. The adsorption enthalpy (ΔH) at $T = 0$ K was calculated from

$$\Delta H = \Delta E + \Delta \text{ZPE} \quad (2)$$

where ΔE denotes the adsorption energy of intermediates and ΔZPE denotes zero-point correction energy. A negative ΔH corresponds to exothermic adsorption. The adsorption energy was calculated using the following formula:

$$\Delta E = E_{\text{M}_X\text{*}n} - E_{\text{M}_X} - E_n \quad (3)$$

where M represents the COF structure (Pc-PBBA COF and COF-366), X refers to the transition-metal atoms (Fe and Co), and n represents the intermediate in the reaction process (OOH, O, OH and O_2). The zero-point correction energy was calculated by

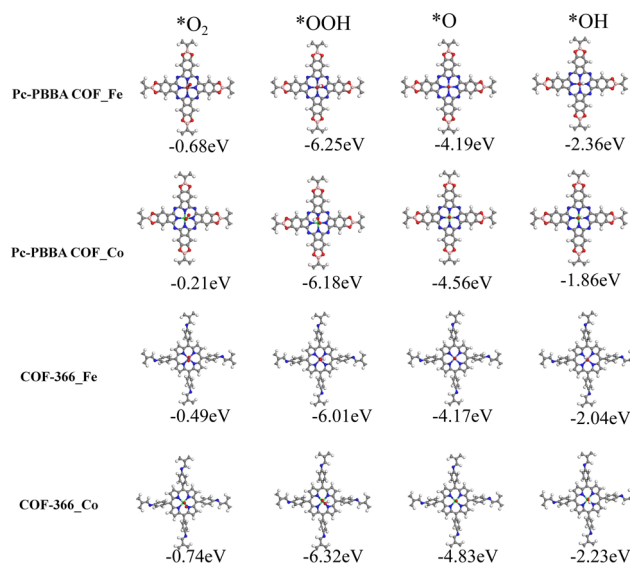


Fig. 3 The stable adsorption configurations of four intermediate (*O_2 , *OOH , *O , *OH) on Pc-PBBA COF_Fe (Co) and COF-366_Fe (Co), respectively. The gray, white, blue, red, pink, purple and green spheres represent C, H, N, O, B, Fe and Co atoms, respectively. The numbers below the structure indicate the adsorption enthalpies.

$$\Delta \text{ZPE} = \text{ZPE}_{\text{M}_X\text{*}n} - \text{ZPE}_{\text{M}_X} - \text{ZPE}_n \quad (4)$$

where $\text{ZPE}_{\text{M}_X\text{*}n}$, ZPE_{M_X} , and ZPE_n are the zero-point energy of $\text{M}_X\text{*}n$, M_X , and n systems, respectively.

The adsorption free energies of the four pivotal intermediates are shown in Fig. 4. The reaction steps are downhill at the electrode potential of $U = 0$ V, indicating the spontaneity of the ORR. At $U = 1.23$ V, the initial electron and proton transfer step for the Pc-PBBA COF_Co, COF-366_Fe, and COF-366_Co sites is notably sluggish, which indicates that the formation of *OOH intermediates predominantly constrains the ORR process. At these three active sites, the first reaction step is identified as the rate-determining step, which significantly influences the catalytic efficacy of the electrocatalyst. For Pc-PBBA COF_Fe site, the third step exhibits the most pronounced deceleration, which is the rate-determining step for this catalyst. The over-potential values for Pc-PBBA COF_Fe, COF-366_Fe, Pc-PBBA COF_Co, and COF-366_Co are 0.55, 0.69, 0.66, and 0.49 V, respectively. These values are still higher than the previous results reported for platinum-based catalysts, which are approximately 0.25 V.³⁵



Fig. 4 The free energy diagrams of the ORR pathways on Pc-PBBA COF_Fe (Co) and COF-366_Fe (Co) sites were calculated at (a) $U = 0$ V and (b) $U = 1.23$ V.

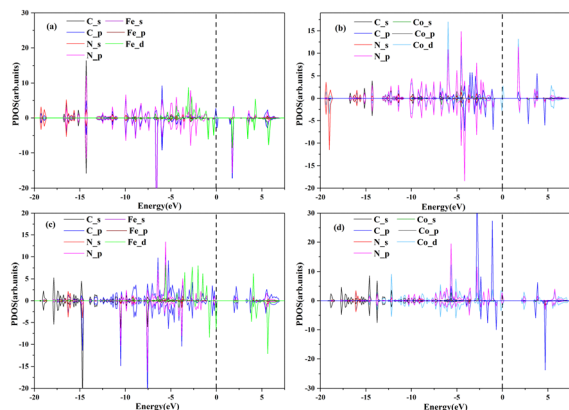


Fig. 5 The PDOS of (a) Pc-PBBA COF_Fe, (b) Pc-PBBA COF_Co, (c) COF-366_Fe and (d) COF-366_Co structures. The dashed line indicates the Fermi level.

The ORR catalytic activity mainly derives from electrons close to the Fermi level (E_f), which can be efficiently transported from the active site to the intermediate product.³⁶ Fig. 5 shows the PDOS of these four materials and demonstrates that the electronic states near E_f are mainly contributed by the 3d orbital of Fe or Co atoms. This indicates that the catalytic activity mainly originates from the central Fe or Co element.

3.2 Heterostructures comprised of the COFs_Fe(Co) with graphene

Graphene (GP), a versatile two-dimensional carbon nanomaterial, is composed of carbon's sp^2 hybrid orbitals in a hexagonal honeycomb lattice. Remarkably, it serves as an exemplary catalyst carrier.³⁷ Given that the catalytic ability of COFs_Fe(Co) is not yet up to the level of Pt-based catalysts, heterostructures were constructed by combining with graphene to explore the design method of catalysts with higher activity.

Based on the primary cell of graphene, we first constructed a tetragonal supercell structure with a cell parameter around 22 Å, and then compounded it with the COF structure to construct a bilayer heterojunction structure. The lattice mismatch rates for the heterojunctions Pc-PBBA COF_Fe@GP, Pc-PBBA COF_Co@GP, COF-366_Fe@GP, and COF-366_Co@GP are 2.63%, 1.56%, 2.51%, and 1.97%, respectively. These all fall within the range of low mismatch rates, which are considered favorable for forming stable heterostructures, helping to reduce lattice defects at the interfaces and thereby enhancing the catalytic performance of the materials. A vacuum layer of 15 Å was added to remove the influence of periodic boundary conditions on the surface model. The stable heterojunction structures were then obtained based on DFT optimization without any symmetry constraints. The heterostructures comprised of COFs_Fe(Co) with graphene are shown in Fig. 6.

Table 2 lists the adsorption free energies of the intermediates on each heterojunction, and the free energy diagrams of the ORR pathways are shown in Fig. 7. All reaction steps display a downward trend at $U = 0$ V, indicating spontaneous ORR reactions. Comparison with Table 1 reveals that the adsorption

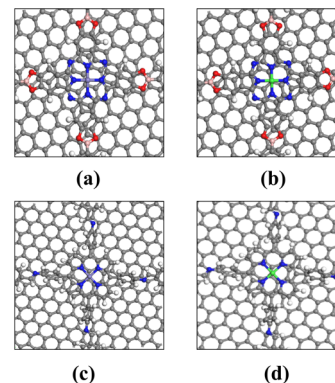


Fig. 6 The heterojunction structure diagram of (a) Pc-PBBA COF_Fe@GP, (b) Pc-PBBA COF_Co@GP, (c) COF-366_Fe@GP, and (d) COF-366_Co@GP. The gray, white, blue, red, pink, purple and green spheres represent C, H, N, O, B, Fe and Co atoms, respectively.

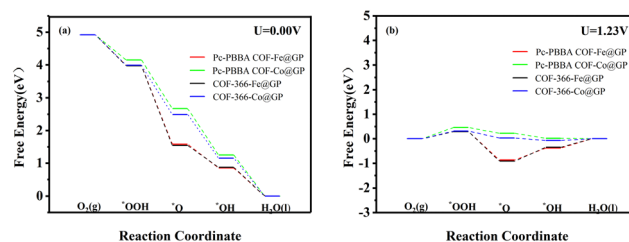


Fig. 7 The free energy diagrams of the ORR pathways on Pc-PBBA COF_X@GP and COF-366_X@GP (X = Fe, Co) sites were calculated at (a) $U = 0$ V and (b) $U = 1.23$ V.

Table 1 Adsorption free energies (eV) of the key ORR intermediates ($*O_2$, $*OOH$, $*O$, and $*OH$) and overpotential η^{ORR} (V) on the active sites of the COFs

Structures	ΔG_{*O_2}	ΔG_{*OOH}	ΔG_{*O}	ΔG_{*OH}	η^{ORR}
Pc-PBBA COF_Fe	4.49	4.25	1.75	1.02	0.55
COF-366_Fe	4.59	4.35	2.53	1.48	0.69
Pc-PBBA COF_Co	4.70	4.37	2.89	1.52	0.66
COF-366_Co	4.39	4.18	2.65	1.15	0.49

Table 2 Adsorption free energies (eV) of the key ORR intermediates ($*O_2$, $*OOH$, $*O$, and $*OH$) and overpotential η^{ORR} (V) on the active sites of COFs@GP heterojunctions

Structures	ΔG_{*O_2}	ΔG_{*OOH}	ΔG_{*O}	ΔG_{*OH}	η^{ORR}
Pc-PBBA COF_Fe@GP	4.39	3.99	1.58	0.84	0.49
COF-366_Fe@GP	4.47	3.97	1.54	0.87	0.55
Pc-PBBA COF_Co@GP	4.62	4.15	2.67	1.25	0.46
COF-366_Co@GP	4.49	4.28	2.68	1.36	0.31

free energy is reduced at Pc-PBBA COF_Fe and Pc-PBBA COF_Co active sites. In particular, the reaction energy of the first electron transfer step is reduced, which results in a much lower overpotential for the reaction.

Subsequently, we explored the effect of graphene layers on the charge distribution in the vicinity of the Fe (Co) active sites.



Fig. 8 Charge density difference of (a) Pc-PBBA COF_Fe@GP, (b) COF-366_Fe@GP, (c) Pc-PBBA COF_Co@GP, and (d) COF-366_Co@GP. The yellow and cyan colors represent electron accumulation and depletion regions, respectively. The isosurface level is set to 0.007 e Bohr⁻³.

Fig. 8 represents the calculated charge density difference on the heterojunction. It can be seen that the electrons are transferred from graphene to the Fe- (or Co-) active site. Bader charge analysis³⁸ reveals that about 1.05–1.29 electrons were transferred to Fe ions, resulting in the conversion of the active site from Fe²⁺ to Fe⁺. About 0.18–0.26 electrons are transferred to Co ions, so the valence state of Co⁺ remains essentially unchanged. The introduction of a graphene layer increases the population of electrons on the Fe and Co ions, which facilitates the flow of electrons to the intermediates and can promote the ORR catalytic reaction. Meanwhile, Bader charge analysis shows that only 0.01–0.13 electrons were transferred from graphene to the COF layer after the heterojunction was constructed, which demonstrates that some electrons are also transferred from the COF layer to the graphene layer. Charge density difference also reveals that, at the periphery of the active sites, electrons are transferred from the COF layer to the graphene layer.

Due to the transfer of charge between the bilayer materials, a built-in electric field is formed between the heterojunctions. We calculated the electrostatic field in the vicinity of the active site of Fe(Co) based on the distribution of electrostatic potentials. Since the intermediate species of the ORR adsorbed at 1.5–3.5 Å from the active site, Fig. 9 shows the electric field distribution profile in this region. The electric field strength is between 2.0×10^9 V m⁻¹– 7.0×10^{10} V m⁻¹. The formation of a built-in electric field promotes the transfer ability of electrons and facilitates the catalytic reaction, which is consistent with the past study.³⁹



Fig. 9 The electric field distribution profile in the vicinity of the active site of Fe(Co). The inset shows an isosurface map of the electric field distribution inside the Pc-PBBA COF_Fe@GP heterojunction.

3.3 Heterostructures composed of the COFs_Fe(Co) with C₃N₄

Carbon nitride (C₃N₄) has shown significant potential in photocatalysts, fuel cell electrodes, light-emitting devices, and chemical sensors, which has sparked our interest. We jointly constructed a heterojunction with COFs_Fe(Co) and C₃N₄, and its structural models are shown in Fig. 10. The lattice mismatch rates for Pc-PBBA COF_Fe@C₃N₄, Pc-PBBA COF_Co@C₃N₄, COF-366_Fe@C₃N₄, and COF-366_Co@C₃N₄ are 2.17%, 1.78%, 2.05%, and 1.73%, respectively. The adsorption-free energies of the intermediates are shown in Table 3, and the free energy diagrams of the ORR pathways are depicted in Fig. 11. It's evident from the table that all the activation-free energies of the intermediates are positive, indicating endothermic reactions. All the reaction steps exhibit a decreased trend at $U = 0$ V, revealing the spontaneous nature of the ORR reactions for these structures. At $U = 1.23$ V, for COF-366_Co@C₃N₄, the notable fourth electron transfer step influences the catalyst's performance the most. This step directly determines the electrocatalysts' catalytic ability. Compared to the pure

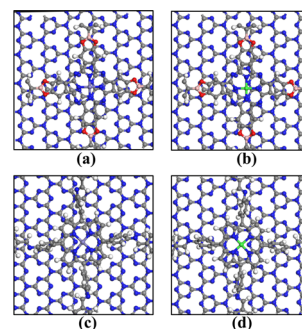


Fig. 10 The heterojunction structure diagram of (a) Pc-PBBA COF_Fe@C₃N₄, (b) Pc-PBBA COF_Co@C₃N₄, (c) COF-366_Fe@C₃N₄ and (d) COF-366_Co@C₃N₄. The gray, white, blue, red, pink, purple and green spheres represent C, H, N, O, B, Fe and Co atoms, respectively.

Table 3 Adsorption free energies (eV) of the key ORR intermediates (*O₂, *OOH, *O, and *OH) and overpotential η^{ORR} (V) on the active sites of the COFs@C₃N₄ heterojunctions

Structures	ΔG_{*O_2}	ΔG_{*OOH}	ΔG_{*O}	ΔG_{*OH}	η^{ORR}
Pc-PBBA COF_Fe@C ₃ N ₄	4.32	4.20	3.16	1.49	0.51
COF-366_Fe@C ₃ N ₄	4.50	4.11	2.65	1.38	0.42
Pc-PBBA COF_Co@C ₃ N ₄	4.37	4.25	3.30	1.46	0.56
COF-366_Co@C ₃ N ₄	4.27	4.10	2.65	1.39	0.41



Fig. 11 The free energy diagrams of the ORR pathways on Pc-PBBA COF_X@C₃N₄ and COF-366_X@C₃N₄ (X = Fe, Co) sites were calculated at (a) $U = 0$ V and (b) $U = 1.23$ V.

Conflicts of interest

There are no conflicts to declare.

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