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## Gold Nanocatalysts Supported on Carbon for Electrocatalytic Oxidation of Organic Molecules including Guanines in DNA

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### Abstract

Gold (Au) is chemically stable and resistant to oxidation. Although bulk Au is catalytically inert, nanostructured Au exhibits unique size-dependent catalytic activity. When Au nanocatalysts are supported on conductive carbon (denoted as Au@C), Au@C becomes promising for a wide range of electrochemical reactions such as electrooxidation of alcohols and electroreduction of carbon dioxide. In this mini-review, we summarize Au@C nanocatalysts with specific attention on the most recent achievements including findings in our own laboratories, and show that Au nanoclusters (AuNCs, < 2 nm) on nitrated carbon are excellent electrocatalysts for oxidation of organic molecules including guanines in DNA. State-of-the-art synthesis and characterization of these nanomaterials are also documented. Synergistic interactions among Au-containing multicomponents on carbon supports and their applications in electrocatalysis are discussed as well. Finally, challenges and future outlook for those emerging and promising nanomaterials are envisaged.

**Keywords:** Gold nanocatalysts, “soft” nitriding, electrocatalysis, DNA oxidation

## 1. Introduction

Gold (Au) is chemically stable and resistant to oxidation. When it has a particle size in the low nanometer range, nanostructured Au with unique size-dependent catalytic properties that differs from those of bulk counterpart has attracted considerable attention in catalysis.<sup>1-4</sup> Relevant to this review, early examples reported by Haruta and others showed small Au nanoparticles (AuNPs, < 5 nm) supported on a number of metal oxides were extremely active for low-temperature CO oxidation,<sup>5-7</sup> CO<sub>2</sub> hydrogenation,<sup>8</sup> catalytic combustion of methanol,<sup>9</sup> NO reduction,<sup>10</sup> selective epoxidation of propene<sup>11</sup> and low-temperature water gas shift reactions,<sup>12</sup> etc. All those results point out that AuNPs with small size (< 5 nm) are usually more catalytically active compared to larger ones (>10 nm).<sup>13</sup> Atomically precise alkylthiol-protected Au nanoclusters (AuNCs, e.g., Au<sub>25</sub>, Au<sub>38</sub> and Au<sub>144</sub>) with excellent size control have been synthesized later via wet-chemical reduction. This further allows quantifying size-dependent catalytic performance.<sup>14, 15</sup>

In addition to the intrinsic size effect, the activity of Au nanocatalysts can be influenced by the nature and morphology of supports.<sup>16, 17</sup> The primary role of the support is to anchor nanoparticles (NPs) onto its surface, preventing the NPs from sintering and agglomerating during catalytic reactions. Supported Au nanocatalysts can be very stable and resistant from corrosion in the harsh environments like strong acid/base and high temperature. There are many different types of supports used for adsorption and stabilization of AuNPs, such as metal oxide powder,<sup>18-21</sup> magnetic microspheres,<sup>22, 23</sup> polymer nanospheres or nanofibers,<sup>24-26</sup> mesoporous silica<sup>27, 28</sup> and carbonaceous supports,<sup>29-33</sup> etc. Low-cost carbon supports have shown to be superior compared to other supports in terms of their conductivity, the cost of raw materials, resistance to strong acid or base and the possibility to control porosity and surface chemistry.<sup>34, 35</sup> A good example of the influence of the support was shown by Benkó *et al.*, where carbon-supported Au nanocatalysts (denoted Au@C) outperformed other Au nanocatalysts supported on TiO<sub>2</sub>, SiO<sub>2</sub> and CeO<sub>2</sub> in CO oxidation and glucose oxidation reactions.<sup>35</sup>

Various kinds of carbon materials have been extensively studied in electrocatalysis,<sup>36, 37</sup> including carbon nanotubes (CNTs)<sup>38</sup> and carbon nanofibers,<sup>39</sup> two-dimensional graphene oxide/reduced graphene oxide (GO/RGO),<sup>40</sup> mesoporous carbon and hollow carbon nanospheres (HCNS),<sup>41, 42</sup> and metal-organic framework (MOF)-derived carbonaceous materials,<sup>43</sup> etc. The merits of simple synthesis and superior conductivity have enormously enriched the practical applications of carbon supported noble metal nanocatalysts in electrocatalysis.<sup>44-46</sup> On the other hand, due to the relatively inert nature of carbon and the weak interaction between Au and support, AuNPs tend to overgrow and aggregate. Thus, the modification and functionalization of the carbon surface, such as the introduction of hydroxyl groups and heteroatom doping, become useful tools to enhance the interaction of Au and carbon and thus to stabilize AuNPs supported on carbon. In addition, the synergistic effect of Au/support may vary the charge state of AuNPs, resulting in the electron-rich NP surface. In a recent study, we showed that electron-rich AuNCs supported on nitrated carbon supports favors the adsorption of electrophile reactants like CO<sub>2</sub>; therefore, this can promote the activity and selectivity for CO<sub>2</sub> electroreduction in comparison to AuNCs on pristine carbon, due to the strong electronic interaction of Au/support.<sup>47</sup> This will be discussed in detail in Section 2.3.

Au-based multicomponent nanocatalysts have attracted much attention and benefited from the controllable synthesis in bimetallic or multimetallic NPs. So, a second metal, such as Ag, Co, Cu, Ni, Pd, Pt and Sn, etc., have been introduced in the syntheses to form Au-based binary or multicomponent nanocatalysts. It has been an efficient strategy to reduce noble metal loading and show remarkable enhancement of electrocatalytic activity due to the multifunctionality synergistic effects.<sup>48, 49</sup> As briefly summarized in **Table 1**, a variety of heterogeneous reactions can be catalyzed by using multicomponent nanocatalysts.<sup>30, 32, 50-65</sup> For example, incorporation of Pt and Pd with Au usually can

promote electrochemical reactions like methanol oxidation and oxygen reduction. Meanwhile, the presence of Au could retain effective CO tolerance during the catalytic reaction.<sup>57, 64</sup>

**Table 1** Summary of catalytic reactions using carbon-supported Au-based multicomponent nanocatalysts.

Catalyst composition	Carbonaceous type	Catalytic reaction	Ref.
AuCs	activated carbon	acetylene hydrochlorination	50
Pd <sub>x</sub> Au <sub>1-x</sub>	Vulcan XC-72	borohydride oxidation	30
AuPd	Vulcan XC-72	CO and H <sub>2</sub> oxidation	51
AuPd	activated carbon	toluene oxidation	52
AuPd	RGO	O <sub>2</sub> reduction	53
AuPd	Vulcan XC-72	H <sub>2</sub> , CO oxidation	54
Pd <sub>1</sub> Au <sub>24</sub> (SC <sub>12</sub> H <sub>25</sub> ) <sub>18</sub>	CNTs	benzyl alcohol oxidation	55
AuPd <sub>4</sub>	Vulcan XC-72	ethanol oxidation	58
Pd@Au	Vulcan XC-72	ethanol oxidation	62
AuPt	graphene	O <sub>2</sub> reduction, methanol oxidation	32
AuPt	Vulcan XC-72	methanol oxidation	56
AuPt	Vulcan XC-72R	O <sub>2</sub> reduction	57
AuPt	Vulcan XC-72R	CO oxidation	61
AuPt	carbon black	methanol oxidation	64
Pd-Co-M (M=Pt, Au, Ag)	Vulcan XC-72R	O <sub>2</sub> reduction	59
Au-Co(III)-Cu(II)	spherical activated carbon (SAC)	acetylene hydrochlorination	60
NiPdAu	Vulcan XC-72R	methanol oxidation	63
Pt <sub>x</sub> M <sub>y</sub> Au <sub>z</sub> (M=Ni, Cu, Co)	Vulcan XC-72	O <sub>2</sub> reduction	65

The remainder of this review will discuss typical synthetic routes for Au@C nanocatalysts. Next, we will focus on their fascinating applications for various electrocatalytic oxidative reactions. At the end of the article, we analyze current problems and future perspectives in this area. We hope that this review will help readers to gain insight into the design and applications of well-defined Au nanocatalysts for electrocatalysis.

## 2. Synthesis of AuNPs supported on carbon materials

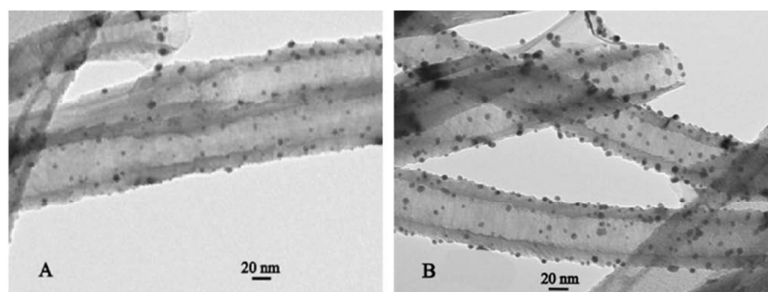
The intrinsic physicochemical properties of AuNPs are largely influenced by their size and morphology. Considerable effort has been devoted to the precise control over size, shape, stability, and functionality of monodispersed AuNPs supported on carbon through the following two methods. First, one can synthesize AuNPs primarily through wet-chemistry reduction of precursors, then assembling pre-synthesized AuNPs onto carbon surface. This is also known as “self-assembly” method. It allows to predesign the size, shape and chemical composition of AuNPs using well-developed colloidal synthesis method. While the catalytic performance and stability of these prepared AuNPs often suffer from: i) surface ligands that block the electron transfer for electrocatalysis; and ii) the weak interaction with carbon support that destabilizes AuNPs and thus leads to sintering during reaction. Later on, the “direct growth” method has been developed as a means to solve those problems. However, the direct growth of AuNPs on carbon does not offer any control over the size of AuNPs. To resolve the large dispersity of AuNPs in the “direct growth” method, the doping techniques of carbon with various heteroatoms is developed to enhance the interaction between AuNPs and carbon. For example, “soft nitriding” method which effectively modifies the surface of carbon with N emerged recently as demanded. As such, the nucleation rate of Au is significantly enhanced on nitrated carbon resulting in the formation of ultrasmall AuNCs with diameter < 2 nm. The doping techniques to modify the carbon

surface also ensure the strong interaction between Au and carbon to stabilize AuNPs in the course of electrocatalysis. In this section, we will summarize and discuss the synthetic strategies of Au supported on carbon nanomaterials.

### 2.1. Self-assembly method

The self-assembly of AuNPs on carbon supports involves synthesis of Au colloids in solution and subsequent assembly of as-resultant AuNPs on functionalized carbon support. It allows to predesign the nanostructures of AuNPs using various synthetic methods developed up to date. The self-assembly of AuNPs on carbon is often triggered by the non-covalent interactions, such as electrostatic attraction, hydrophobic interactions, hydrogen-bonding interaction and coordination. For example, CNTs or GO treated with concentrated HNO<sub>3</sub> or a H<sub>2</sub>SO<sub>4</sub>-HNO<sub>3</sub> mixture can produce carboxylic acid and hydroxyl groups on the surface of carbon.<sup>66</sup> The negatively charged carbon surface is able to physically adsorb AuNPs capped with positively charged ligands through electrostatic attraction. This method has been widely used to assemble AuNPs on GO due to the negatively charged GO surface.<sup>67-70</sup> Through the self-assembly approach, AuNPs with size of 3.5, 9 and 25 nm prepared via the chemical reduction of NaBH<sub>4</sub> can uniformly self-assemble on GO sheets.<sup>69</sup> Surface charge of carbon can be introduced through layer-by-layer technique. For instance, positively charged AuNPs (a zeta potential of +32.4 mV, pH 7) can self-assemble on functionalized multiwall carbon nanotubes (MWCNTs) coated with negatively charged poly(diallyldimethylammonium chloride)/poly(sodium 4-styrenesulfonate) (PDAC/PSS) bilayer (a zeta potential of -46.2 mV, pH 7) via the electrostatic interaction as reported by Bumsu *et al.*<sup>71</sup> By tailoring the surface of carbon to be positively charged by adsorbing cationic polyelectrolytes, negatively charged AuNPs can be adsorbed on the CNTs as well.<sup>72-74</sup>

Another example by Han and co-workers shows the pre-synthesized 2 nm AuNPs capped with decanethiol (DT) monolayer shell assembled on the CNTs in the presence of mediating linker 11-mercaptoundecanoic acid/1,9-nonanedithiol.<sup>75</sup> A combination of hydrophobic interaction and hydrogen bonding interactions between the alkyl chains/carboxylic groups of capping/linking agents and surface functional groups of CNTs drove the assembly of AuNPs on CNTs.

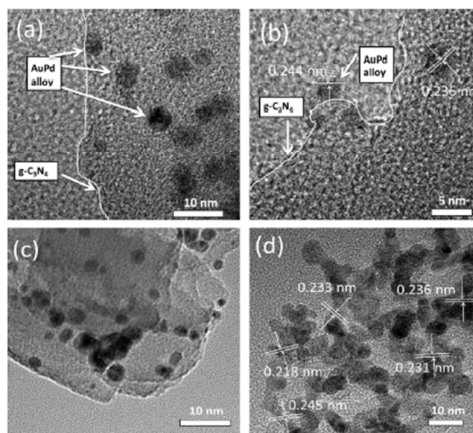


**Fig. 1** Transmission electron microscopy (TEM) images of Au@CNTs with loading ratio of (A) 5 wt% and (B) 10 wt%. Reprinted with permission from ref 77. Copyright 2009, Elsevier.

Huang and co-workers demonstrated high-density self-assembly of AuNPs on the surface of MWCNTs without any pretreatment of carbon support.<sup>76</sup> They used 1-pyrenemethylamine as the linker where the alkylamine substituent of the pyrene bound to AuNPs; meanwhile, the pyrene fluorophore bound to MWCNTs via  $\pi$ - $\pi$  stacking. Shi *et al.* established a simple method to disperse AuNPs on CNTs in aqueous solution by sonication without any acid oxidation or functionalization of CNTs (**Fig. 1**). A small amount of ethanol was added into the aqueous suspension of CNTs to reduce the interfacial tension between CNTs and water, thereby changing the wettability of hydrophobic CNTs and

enhancing the interaction between CNTs and AuNPs.<sup>77</sup>

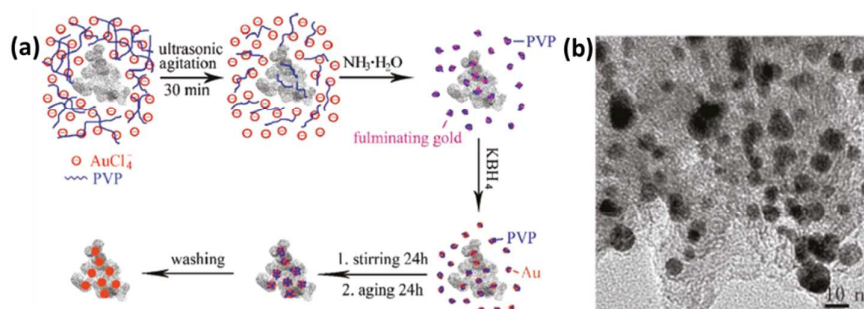
In addition to monometallic AuNPs, Au-based bimetallic NPs were reported by many groups to self-assemble on the surface of carbon. For example, Han *et al.* reported that Au-Pd alloy NPs capped by poly(vinyl alcohol) (PVA) adsorbed on g-C<sub>3</sub>N<sub>4</sub> by simple mixing process (Fig. 2).<sup>78</sup> Lin's group reported the loading of 3.3 nm Au-Pt alloy NPs on graphene nanosheets and XC-72 carbon black with the assistance of poly(diallyldimethylammonium chloride) (PDDA).<sup>79</sup> As-synthesized Ag@Au and Fe@Au NPs attached onto *p*-aminothiophenol (PATP)-functionalized GO sheets to obtain the bimetal-graphene nanocomposites were also prepared by Gupta and coworkers.<sup>80, 81</sup>



**Fig. 2** High-resolution TEM images of the as-prepared AuPd/g-C<sub>3</sub>N<sub>4</sub> (a–c) and unsupported AuPd NPs samples (d). Reprinted with permission from ref 78. Copyright 2015, Elsevier.

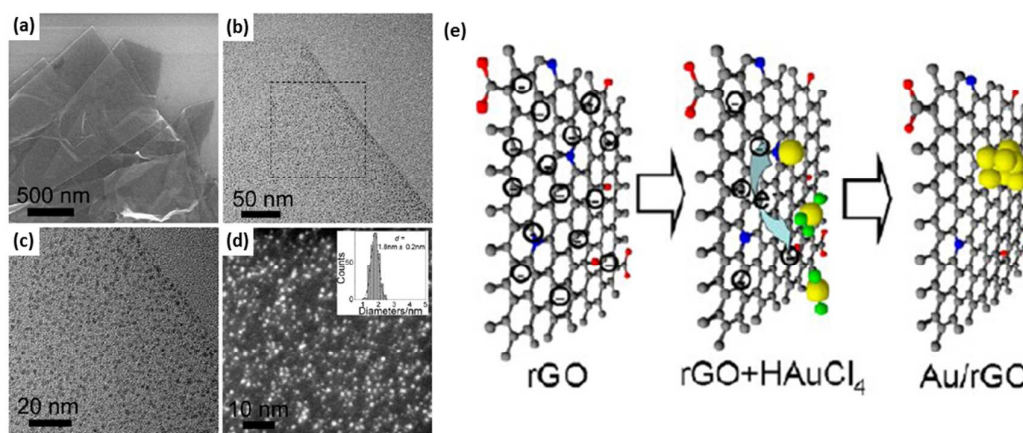
## 2.2. Direct growth method

The direct growth of metal NPs or nanoclusters on supports is a classical route to highly dispersed supported nanocatalysts. Taking AuNPs supported on GO as example, *in situ* reduction of gold salts on support materials by reducing agents like BH<sub>4</sub><sup>-</sup>, sodium citrate and ascorbic acid can result in the growth of AuNPs attached on GO. The growth of AuNPs on graphene has been reported by Goncalves<sup>82</sup> and Pocklanova<sup>83</sup> where sodium citrate was used as a reductant for AuCl<sub>4</sub><sup>-</sup> in the presence of RGO. However, the produced AuNPs usually have a broad size distribution and relatively large size (a few to tens of nanometers). Zhang and co-workers developed a one-pot synthesis of 7 nm AuNPs on RGO using sodium citrate as reductant and stabilizer simultaneously.<sup>84</sup> Sodium citrate can reduce both GO and Au precursors, and prevent the formation of agglomerates/overgrowth of AuNPs. Other than graphene nanosheets, activated carbon is also a choice for the *in situ* growth of AuNPs. Yan *et al.* reported that AuNPs in the range of 2 to 16 nm supported on activated carbon were prepared by rapid reduction of AuCl<sub>4</sub><sup>-</sup> on the surface of activated carbon with KBH<sub>4</sub> in the presence of polyvinylpyrrolidone (PVP) (Fig. 3).<sup>85</sup>



**Fig. 3** (a) Schematic illustration of the synthesis of Au@C nanocatalyst; (b) TEM image of Au@C nanocatalyst. Reprinted (adapted) with permission from ref 85. Copyright 2011, American Chemical Society.

Those direct growth syntheses suffer from poor control over the Au size and morphology, and particularly limit the applications of Au@C as nanocatalysts, since AuNPs with size  $> 5$  nm are less active. To control the size of Au, various stabilizers and surfactants are required to precisely control the size and shape of AuNPs, enhance the interaction between the NPs and supports, and prevent overgrowth of AuNPs.<sup>2, 86, 87</sup> Huang *et al.* developed an efficient synthetic method for *in situ* growth of 1-octadecanethiol (ODT) capped AuNCs on GO nanosheets by photoirradiation.<sup>88</sup> AuNCs with a size of  $1.2 \pm 0.3$  nm were distributed in an ordered pattern where the distance between the particle chains was  $\sim 4.4$  nm. This was attributed to the linear thiol ODT self-assembled along the  $\langle 100 \rangle$  direction on graphene surfaces.



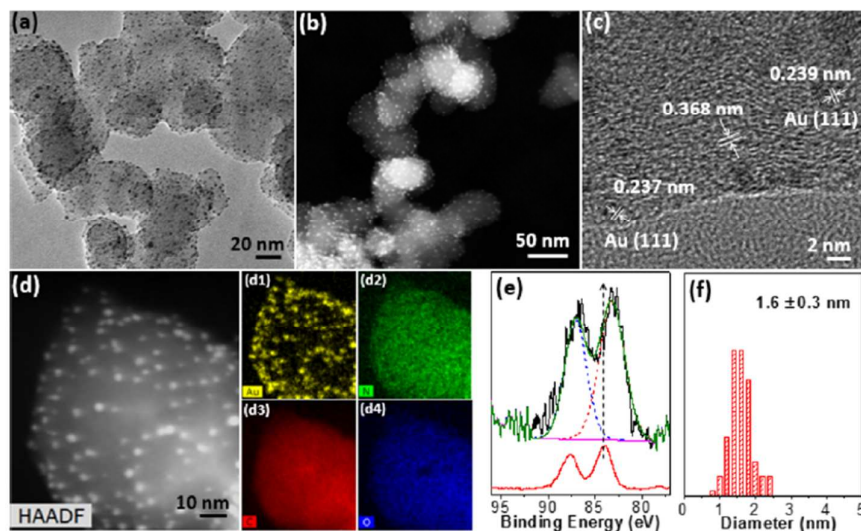
**Fig. 4** Electron microscopy characterization of as-synthesized Au/RGO (a-d). Scheme of the formation mechanism of Au/RGO hybrids (e). Reprinted (adapted) with permission from ref 93. Copyright 2012, American Chemical Society.

Surfactants, however, cover the surface of AuNPs that inevitably blocks the surface catalytically active sites and essentially slows or shuts down the electron transfer. This is detrimental for electrocatalytic performance.<sup>89, 90</sup> Therefore, surfactant-free synthetic methods are highly desirable to overcome such challenge. For example,  $12.8 \pm 2.5$  nm AuNPs on GO sheets can be synthesized using hydrothermal reduction of H<sub>2</sub>AuCl<sub>4</sub> in an aqueous NaOH/GO mixture at 180 °C for 12 h.<sup>91</sup> Another example by Shao *et al.* showed well-dispersed AuNPs on GO with a mean size of  $5.2 \pm 0.2$  nm via H<sub>2</sub> reduction of H<sub>2</sub>AuCl<sub>4</sub> and GO, even though some aggregates of AuNPs were also seen.<sup>92</sup> Tang's group developed a "clean" method to grow Au and other metal (Pt, Pd) nanoclusters with average diameter of

1.8 nm on RGO using sonication without any additional surfactants (**Fig. 4**).<sup>93</sup> The tunable reduction of GO sheets by adding various amount of hydrazine was the key to give the possibility for the reduction of AuNCs by sonication. Similar result was reported that single-wall carbon nanotubes (SWNTs) could reduce  $\text{HAuCl}_4$  to generate 7 nm AuNPs in the absence of additional reductants.<sup>94</sup>

### 2.3. Soft nitriding method

Although numerous effort has been devoted to decorating ultrafine metal NPs on carbon supports, the weak interaction between noble metals and carbon surface is still problematic. This may lead to the leaching of metal NPs in the catalytic process, resulting in the loss of catalytic performance. According to previous studies, through doping electron-rich heteroatoms like N,<sup>95,96</sup> P,<sup>97,98</sup> and S<sup>99</sup> elements into carbon nanomaterials, the electronic characteristics can be altered, thus producing more active sites and unexpected electrical and catalytic properties, such as high stability.<sup>100</sup> Zhang *et al.* proposed the synthesis of nanoporous carbon materials derived from glucose with N-containing additives by hydrothermal carbonization (HTC) process, which was used as supports to confine Pd NPs of 5.9 nm.<sup>101</sup> They indicated that the incorporated N atoms in the carbon contributed to more structure defects which enhanced the adsorption of Pd. The interaction of surface N atoms and Pd also altered the electronic density of Pd species, which improved the reaction rate. A number of studies by Yin,<sup>93</sup> Koo<sup>102</sup> and Xie<sup>103</sup> showed that surface N atoms embedded in GO could act as initial nucleation sites for metal NPs. Nitrided carbon materials not only serve as reducing agents for metal precursors, but also increase the numbers of anchoring force to adsorb metal ions and NPs.



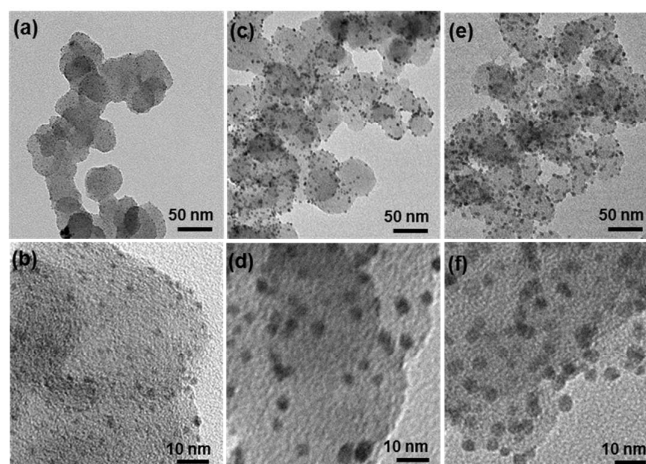
**Fig. 5** Morphology characterization of AuNCs@NC: (a) bright-field TEM; (b) high-angle annular dark-field scanning TEM (HAADF-STEM); (c) high-resolution TEM images and (d) scanning TEM-energy dispersive X-ray spectroscopy mappings of AuNCs@NC: Au, N, C, and O were given in (d1–d4), respectively; (e) high-resolution X-ray photoelectron spectrum (XPS) Au 4f spectrum of AuNCs@NC (top) and Au thin film (bottom); (f) the average diameter and distribution of AuNCs. Reprinted with permission from ref 104. Copyright 2016, American Chemical Society.

Recently, *in situ* growth of ligand-free ultras-small (< 2 nm) noble metal nanoclusters (e.g., Au, Pd and Pt) onto carbon supports was developed by our group (**Fig. 5**).<sup>104-106</sup> N-doped carbon supports with abundant nitrogen sites were synthesized by annealing with urea at 300 °C. Urea decomposed into  $\text{NH}_3$



and HCNO, efficient to integrate surface N atoms on nearly any commercial carbon. In our synthesis, the surface N content can reach 19 at% in the form of pyridine/graphitic N into the graphene framework and ureido groups on the surface of carbon, respectively. The presence of those surface N species enhanced the affinity to metal ions and prevented aggregation or overgrowth of Au. AuNCs of 1.6 nm with narrow size distribution were synthesized on the surface of nitrated carbon (denoted as AuNCs@NC) through a rapid chemical reduction of  $\text{HAuCl}_4$  with  $\text{NaBH}_4$ . Moreover, the generality of the soft nitriding was confirmed using seven different carbonaceous supports where the growth of AuNCs was independent of the initial properties of carbon supports. For soft nitriding method, the size of AuNCs can be readily tuned by pH of the solution. Larger AuNPs with an average diameter of 6-10 nm were grown at  $\text{pH} < 4$  or  $> 10$ . The faster nucleation of  $\text{AuCl}_4^-$  occurred at lower pH. AuNCs nucleated and grew in aqueous solution rather than on carbon surface at  $\text{pH} < 4$ . At  $\text{pH} > 10$ , the insufficient affinity of  $\text{AuCl}_4^-$  to the carbon led to the overgrowth of AuNPs.

More recently, we extended this synthetic method to grow Au-Pd alloy NPs on nitrated carbon using AuNCs@NC as seeds (Fig. 6a, b).<sup>107</sup> When employing ascorbic acid and 4-mercaptobenzoic acid (4-MBA) as mild reductant and surfactant, respectively, Au-Pd core-shell NPs (2-5 nm) with different compositions of Au and Pd formed firstly (Fig. 6c-f), then converted to Au-Pd alloy NPs after thermal activation at 250 °C for 1 h. The size of Au-Pd alloy NPs is uniform and highly controllable depending on the amount of the second precursors during the growth of the shell. Remarkably, the Au-Pd alloy NPs retained the uniform size without any aggregation or sintering after calcination. This confirms the strong affinity of Au-Pd alloy NPs on nitrated carbon support.

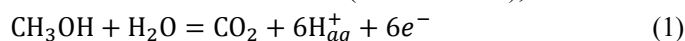


**Fig. 6** Representative TEM images of Au-2@NC (a–b), Au@Au-5@NC (c–d) and Au@Pd-5@NC (e–f). Reprinted (adapted) with permission from ref 107. Copyright 2018, Elsevier.

### 3. Electrocatalytic properties of Au@C nanocatalysts

#### 3.1. Catalytic oxidation of methanol

Methanol as a feedstock for direct methanol fuel cells (DMFCs) is promising for clean energy technology alternative to fossil fuels. DMFCs have higher energy density and less pollutant/byproducts when using new anode catalysts.<sup>108, 109</sup> The complete methanol oxidation reaction (MOR) involves six electrons and one water molecule or adsorbed residue (adsorbed OH<sup>-</sup>), as follows:<sup>110</sup>



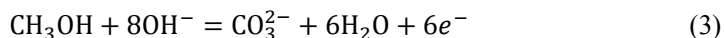
However, the six-electron reaction is slow and relatively complex, involving the formation of

several accumulated intermediates such as CO, formaldehyde and formic acid other than CO<sub>2</sub> as the final product. The default highly efficient anode catalysts are Pt and Pt-based nanomaterials. However, those Pt-containing anode catalysts are expensive and susceptible to poisons like adsorbed CO and acid intermediates that potentially cause the fast decay in activity. Those factors largely limit the lifetime and overall cost in DMFCs.

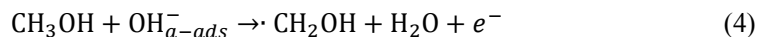
Being strongly resistant to the adsorption of CO-like intermediates, the reactivity of Au catalysts has attracted great attention in the applications of DMFCs, especially in alkaline media.<sup>111-113</sup> Several studies have suggested that AuNPs are excellent anode catalysts for electrocatalytic MOR.<sup>114-117</sup> Previous literatures suggest that the MOR pathway catalyzed by Au is independent in two potential regions.<sup>118,119</sup> At lower potentials before the formation of Au oxide layer, the chemical adsorption of OH<sup>-</sup> and possible preoxidation of Au surface occurs. Methanol is mainly oxidized to formates with four-electron exchange, as given:<sup>115, 120</sup>



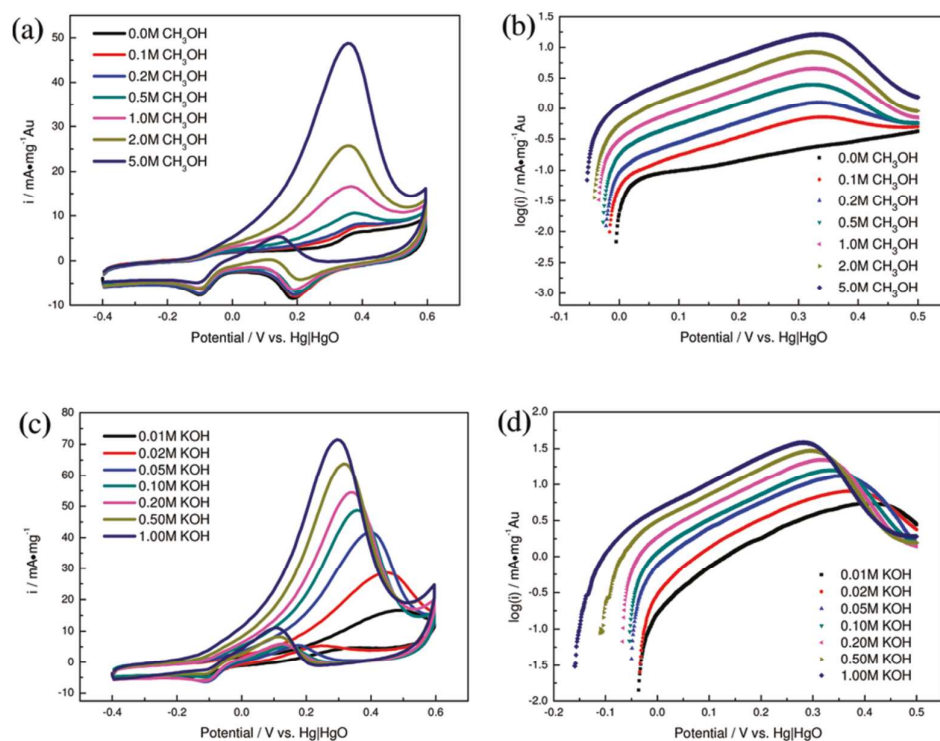
At higher potentials, surface gold oxide monolayer restrains the chemiadsorption of OH<sup>-</sup>, thus inhibiting the formation of formates. Methanol is oxidized to carbonates with six electrons directly, as given below.<sup>104, 107</sup>



A typical example is the demonstration of methanol electrooxidation by AuNPs supported on activated carbon (6.7 nm) by Yan *et al.*<sup>85</sup> The synthesis was described in Section 2.2. AuNPs stabilized by PVP showed high activity for MOR in 0.1 M KOH with 5 M CH<sub>3</sub>OH, reaching 48.6 mA mg<sup>-1</sup><sub>Au</sub> at 0.355 V vs. Hg/HgO (**Fig. 7a, b**). In the low potential range (0.025-0.4 V), the catalytic activity of Au@C depended on the amount of adsorbed OH<sup>-</sup> anions (OH<sup>-</sup><sub>a-ads</sub>), thus the onset potential of MOR shifted negatively with the increasing concentration of methanol and/or KOH (**Fig. 7c, d**). Yan and coworkers proposed that the OH<sup>-</sup><sub>a-ads</sub> species were beneficial to the acceleration of the reaction rate on the Au@C catalysts. The hydrogen-bonding interaction between adsorbed OH<sup>-</sup> and methanol was suggested as the rate-determining step, as depicted:

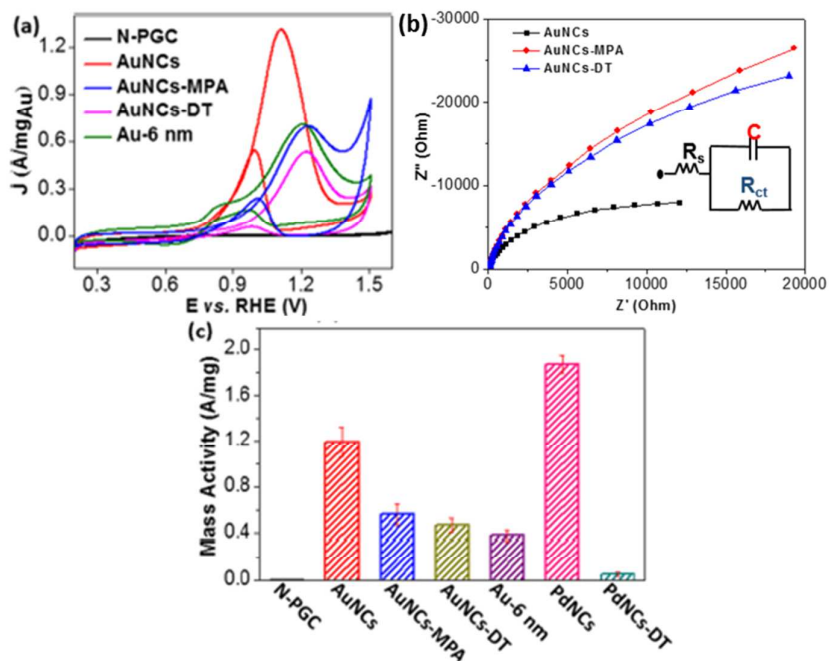


Subsequently, the same group further investigated the effect of the size and loading amount of AuNPs on MORs. They suggested that the better activity was obtained on smaller AuNPs with more active sites on the corners and edges.<sup>121</sup>



**Fig. 7** Electrocatalytic oxidation of methanol in deoxygenated KOH solution: (a) Cyclic voltammograms (CVs) on Au@C nanocatalyst in 0.1 M KOH with different concentration of methanol; (b) Tafel plots for electrooxidation of methanol on Au@C nanocatalyst as a function of methanol concentration; (c) CVs on Au@C nanocatalyst in deoxygenated 5 M CH<sub>3</sub>OH with different concentrations of KOH solution; (d) Tafel plots for electrooxidation of methanol on Au@C nanocatalyst as a function of KOH concentration. Reprinted with permission from ref 85. Copyright 2011, American Chemical Society.

Given the slower mass and electron transfer caused by surface ligands and the unique size-dependent catalytic activity of Au, our group demonstrated the efficient oxidation of methanol using ligand-free ultrasmall AuNCs ( $1.6 \pm 0.3$  nm) supported on nitrated carbon.<sup>104</sup> The mass activity (current normalized to unit mass) was  $1.2 \text{ A/mg}_{\text{Au}}$  for AuNCs@NC at 1.11 V vs. RHE comparable with  $1.9 \text{ A/mg}_{\text{Pd}}$  at 0.8 V vs. RHE for Pd nanoclusters supported on nitrated carbon (**Fig. 8a, c**). The ultrasmall AuNCs expectedly exhibited much higher electroactivity compared to Au-6 nm@NC (obtained at pH 3). In the absence of surface ligands or surfactants, a low charge transfer resistance ( $1291 \Omega$ ) and a fast electron transfer rate ( $\sim 0.02 \text{ cm/s}$ ) for ligand-free AuNCs@NC were revealed in **Fig. 8b**. Ligand-free AuNCs@NC were threefold more active than that for AuNCs@NC capped with 3-mercaptopropionic acid (MPA) and dodecanethiol (DT).

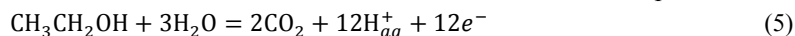


**Fig. 8** Electrocatalytic oxidation of methanol using AuNCs@NC: (a) CVs of the NC, AuNCs@NC, AuNCs@NC-MPA, AuNCs@NC-DT and Au-6 nm@NC in 0.1 M NaOH with 1 M methanol; (b) electrochemical impedance spectroscopy (EIS) behaviors of different nanocatalysts to MOR with a frequency range from 0.1 to 100000 Hz. The inset was the best fitted circuit diagram; (c) mass activities of different nanocatalysts at the peak potentials. Reprinted (adapted) with permission from ref 104. Copyright 2016, American Chemical Society.

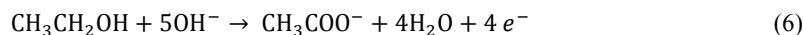
Although Au exhibits excellent resistance to the poisonous species, the activity of monometallic Au towards MOR is lower compared to Pt-based nanocatalysts. The oxidation potential of methanol on Au nanocatalysts is 0.3 V higher than that on Pt catalysts. Alloying Pt or Pd with Au is a promising strategy to attain the superior activity accompanying with anti-poisoning capacity. Zeng *et al.* designed the synthesis of core-shell Au-Pt NPs loaded on carbon which was used to catalyze MOR in acidic media.<sup>112</sup> The electron exchange between Au core and thin Pt shell played an important role in promoting the formation of active oxygen species on Pt, thus facilitating the removal of the accumulated carbonaceous intermediates. AuPt and AuPd nanoalloys dispersed on Vulcan XC-72 carbon also gave the excellent MOR activity with long-term stability as demonstrated by Hu<sup>32</sup> and Lu.<sup>122, 123</sup>

### 3.2. Catalytic oxidation of ethanol and other alcohols

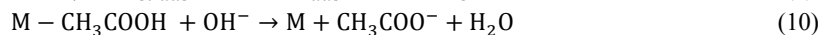
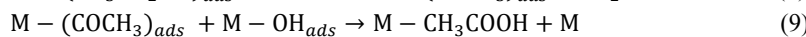
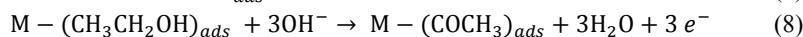
Although DMFCs have been developing for many decades, there are some disadvantages using methanol for fuel cells, *e.g.* toxicity of methanol, non-renewable sources and high methanol cross-over through membranes. As attractive alternatives, ethanol and other less toxic alcohols easily produced in large quantities from biomass have been considered lately.<sup>110, 124, 125</sup> The oxidation of ethanol is much more complex. Twelve electrons are involved in the complete oxidation of ethanol, leading to the sluggish reaction kinetics and numerous carbonaceous intermediates, as depicted:



The generally studied reaction route is that ethanol is oxidized to acetate/acetic acid through four-electron oxidation in alkaline media, as given.<sup>126, 127</sup>

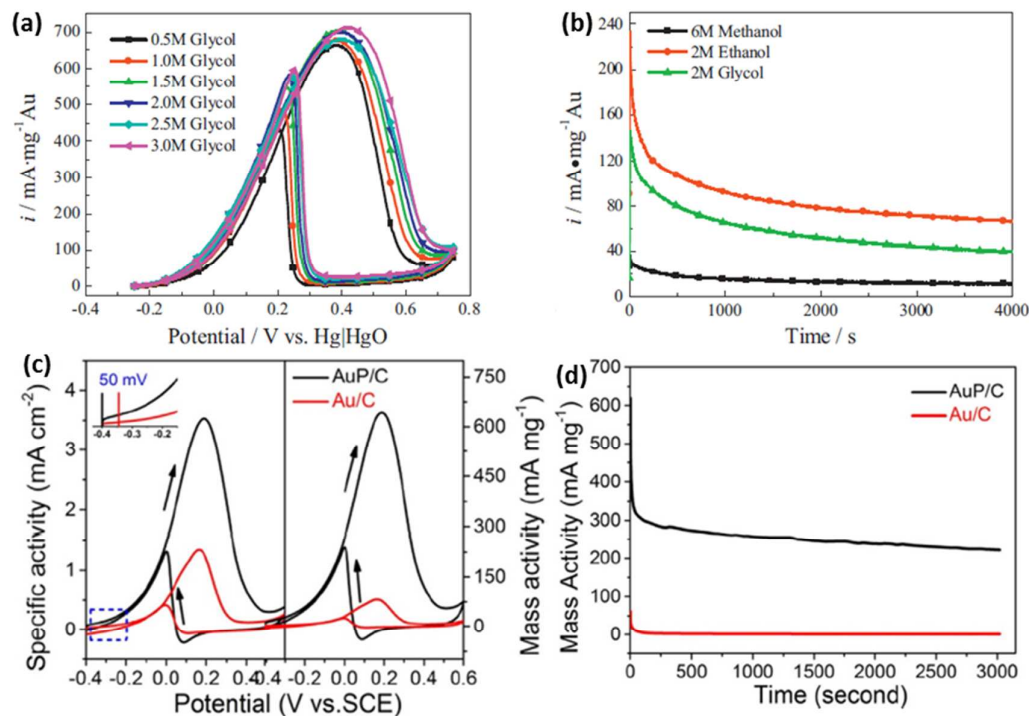


It usually involves four consecutive steps on metal@C catalysts where the rate determining step is depicted in eq (8) similar to MOR.<sup>128, 129</sup>



The nanostructured AuNPs were shown to be catalytically active in ethanol oxidation.<sup>130, 131</sup> For instance, Yang *et al.* developed a rapid, template-free methodology to prepare hierarchical nanostructured Au nanoflowers (AuNFs) on carbon fiber cloth (CFC) via potentiostatic electrodeposition.<sup>132</sup> The open channels on the CFC support enabled the even distribution of AuNFs and efficient mass transport. The results of ethanol electrooxidation reaction (EOR) showed that AuNFs exhibited 4 times higher catalytic activity and much less poisoning in contrast to AuNPs and bare gold electrodes. These features can be ascribed to the unique nanostructures such as the sharp edges or tips, which produced larger surface area and more active sites. The size and shape driven activity of Au nanocatalysts in various electrochemical reactions including oxidation of organic molecules, CO oxidation and oxygen reductions were also reported by Bikash,<sup>133</sup> Qin,<sup>134</sup> and Li.<sup>135</sup>

Another example of alcohol electrooxidation on Au@C nanocatalysts was demonstrated by Yan *et al.* They used PVP-capped AuNPs supported on activated carbon to electrooxidize methanol, ethanol and ethylene glycol.<sup>136</sup> The mass-specific current densities on AuNPs peaked at 442 mA mg<sup>-1</sup>Au at 0.35 V vs. Hg/HgO in 0.1 M KOH solution with 2 M ethanol. In addition, unlike methanol and ethanol where the alcohol concentration influenced the mass activity, the mass activity of Au@C nanocatalysts was almost independent on the ethylene glycol concentration (**Fig. 9a**). However, the steady-state current densities of alcohols after 4000 s maintained ~40% of the initial (**Fig. 9b**). The incorporation of heteroatoms into the carbon frameworks or doping metal with non-metal elements has emerged as an effective strategy to enhance the interactions between AuNPs and supports. Recently, Li *et al.* synthesized a series of carbon supported Au-phosphorus (AuP@C) catalysts by hot-reflux method using white phosphorus (P<sub>4</sub>) as a reductant and a dopant.<sup>137</sup> The mass activity of AuP@C (3.7 nm) was 7.83-fold higher than that of the undoped Au@C (5.6 nm) prepared by NaBH<sub>4</sub> reduction (**Fig. 9c**). This is attributed to the highly uniform distribution of ultrafine AuP NPs and the altered electronic structure of Au by interactions with P. The initial oxidation potential of the EOR on the AuP@C nanocatalyst shifted negatively about 50 mV compared to that of Au@C catalyst, indicating better electrocatalytic performance at a lower potential. The lower d-band center caused by the P doping further weakened the adsorption of the intermediates on Au surface, thus enhancing the poisoning resistance and attaining a relatively high steady-state current density in comparison with Au@C catalyst as shown in **Fig. 9d**. It further verified the AuP@C catalyst displayed a better stability for ethanol oxidation.



**Fig. 9** (a) CVs on the Au@C catalyst in deoxygenated 0.1 M KOH solution with different concentrations of ethylene glycol; (b) chronoamperograms on the Au@C catalyst in deoxygenated 0.1 M KOH solution at the potential of 0.25 V vs. Hg|HgO with different alcohols. Adapted with permission from ref 136. Copyright 2013, Elsevier; (c) mass and specific normalized CVs and (d) chronoamperometry curves of the AuP@C and Au@C catalysts in  $N_2$ -saturated 0.5 M KOH and 1.0 M  $C_2H_5OH$  mixing solution at a potential of 0.20 V vs. SCE. Adapted with permission from ref 137. Copyright 2017, Elsevier.

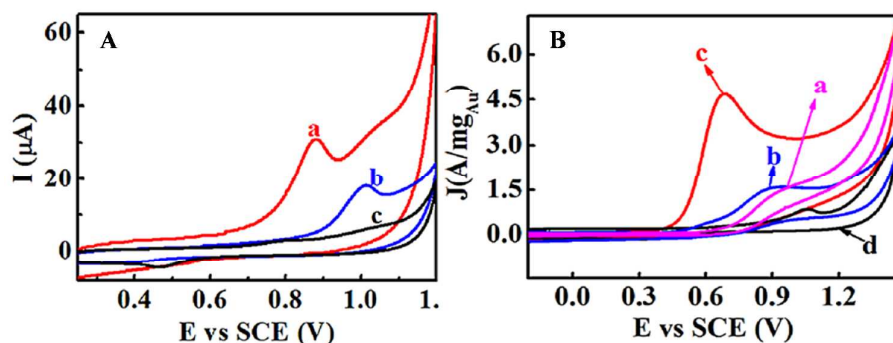
Considering the synergetic effects between the two components of bimetallic NPs, there are a number of reports on supported Au-bimetallic NPs for EOR catalysis.<sup>138-140</sup> Our group confirmed that the synergies between Au and Pd could effectively enhance long-time stability and accelerate charge transfer in comparison with monometallic NPs.<sup>107</sup> In our recent work, the specific activity of AuPd NPs supported on nitrated carbon (AuPd NPs@NC) was approximately 5 times more than commercial Pd/C (5 wt% loading) when the Au/Pd ratio was 45:55. Moreover, the chronoamperometric response showed that the current decay for AuPd NPs@NC (45:55) was much slower and maintained the highest steady-state current density, 2.9 times higher than that of commercial Pd/C, which indicated that alloying Au with Pd not only enhanced the electrocatalytic activity, but also improved the stability of catalysts. Additionally, alloying with a small amount of Au (10%) significantly enhanced the oxidation current density and resulted in the highest peak current density ratio ( $I_f/I_b$ ) of the forward ( $I_f$ ) to the backward scan ( $I_b$ ) up to 5.8 compared to all other catalysts with different compositions of Au and Pd, indicating the improved poisoning tolerance by the introduction of Au into Pd.

### 3.3. Oxidation of DNA and amines

The guanine (G) and adenine (A) bases in the DNA molecular skeleton are easy to be electrooxidized.<sup>141-143</sup> A single electron oxidation potential of G and A base is higher than +1.2 V vs.

NHE. And, the oxidization of cytosine (C) and thymine (T) bases requires a higher potential.<sup>144, 145</sup> The detection of DNA or DNA damage by direct oxidation current of nucleic acid bases can reach the sensitivity of nanomole.<sup>146</sup> However, the electrochemical oxidation of electrodes and/or decomposition of solvent molecules (e.g., water) is often caused by the positive base oxidation potential during testing, thus resulting in a very high background current and interfering the DNA oxidation signals. The common method is to use indirect electrochemical signal conversion through charge mediators. For instance, when coupling  $\text{Ru}(\text{bpy})_3^{2+}$  with DNA molecules on the electrode surface, the redox reaction of  $\text{Ru}^{2+}$  can mediate the oxidation of guanine. This method has little effect on the electrolytic background current of water and the non-specific adsorption of target DNA molecule. The electrochemical detection of label-free target DNA molecule was successfully achieved.<sup>147</sup> On the other hand, tripropylamine (TprA) is an important trialkylamine as an efficient co-reactant for electrochemiluminescent (ECL) in biosensor technology.<sup>148</sup> Since the electrochemical oxidation of TprA occurs at about +0.85 V (vs. Ag/AgCl), the oxidation of TprA is a useful probe to examine the oxidative damage of biomolecules during detection sequence or DNA analysis.<sup>149</sup>

As reported by our group recently, the AuNCs@NC catalysts were incorporated into films of architecture  $\{\text{poly}(\text{diallyldimethylammonium}) (\text{PDDA})/\text{AuNCs@NC}\}_n$  by using layer-by-layer (LBL) assembly with oppositely charged PDDA on pyrolytic graphite (PG) electrodes for the electrocatalytic oxidation of double-stranded (ds)-DNA and TprA.<sup>105</sup> Ligand-free AuNCs@NC in these films exhibited excellent electrocatalytic oxidation activity for ds-DNA and TprA. The oxidation peak potential of DNA showed a negative shift by 140 mV and the peak current was enhanced by three times on the  $\{\text{PDDA}/\text{AuNCs@NC}\}_2/\text{PDDA}$  film electrode compared to blank electrode (**Fig. 10A**). Similarly, the oxidation peak current density of TprA on the  $\{\text{PDDA}/\text{AuNCs@NC}\}_3$  film electrode increased dramatically to  $4.7 \text{ A mg}^{-1}_{\text{Au}}$  with a negative shift ( $\sim 200 \text{ mV}$ ) of peak potential compared to the control films (without AuNCs) (**Fig. 10B**). Meanwhile, 86% of catalytic current was still retained after 100 scanning cycles, and the resulting  $\{\text{PDDA}/\text{AuNCs@NC}\}_3$  film displayed a superior stability. A strong electrochemical response of DNA adsorbed on AuNPs is usually difficult to be present and measured due to the overlap with Au oxidation peak.<sup>150, 151</sup> However, in our case, the highly dispersed ligand-free ultrasmall AuNCs exhibited a lower oxidation potential and the unexpected capacity for amplifying the detection signal. The supported AuNCs may provide a promising approach for the practical applications in biotechnology.



**Fig. 10** A: CVs of the  $\{\text{PDDA}/\text{AuNCs@NC}\}_2/\text{PDDA}/\text{DNA}$  (a),  $\{\text{PDDA}/\text{NC}\}_2/\text{PDDA}/\text{DNA}$  (b) and  $\{\text{PDDA}/\text{AuNCs@NC}\}_2$  (c) electrodes in 0.01 M pH 7.4 phosphate buffers; B: CVs of the bare PG (a),  $\{\text{PDDA}/\text{NC}\}_3$  (b),  $\{\text{PDDA}/\text{AuNCs@NC}\}_3$  (c) electrodes in 0.01 M TprA solution, and  $\{\text{PDDA}/\text{AuNCs@NC}\}_3$  electrode (d) in the absence of 0.01 M TprA. Adapted with permission from ref 105. Copyright 2016, John Wiley and Sons.

The research works mentioned in the third section have been summarized in **Table 2**. Various Au@C nanocatalysts exhibited excellent electrocatalytic performance for the methanol, ethanol, TprA and DNA molecules. Among those nanocatalysts, AuNCs@NC exhibited superior mass activity (1190 mA/mg<sub>Au</sub>) and AuP@C owned the highest specific activity (3.53 mA/cm<sup>2</sup>) toward MOR and EOR, respectively.<sup>104, 137</sup> Ligand-free AuNCs@NC assembled in {PDDA/AuNCs@N-C}<sub>n</sub> films exhibited unexpected activity for electrooxidation of DNA and TprA with reduced oxidation potentials. This makes it possible to facilitate their applications in biosensors.<sup>105</sup>

**Table 2** Electrocatalytic performances of Au@C nanocatalysts for methanol, ethanol, DNA and TprA oxidation reaction.

Catalyst composition	Preparation method	Average diameter (nm)	Catalytic reaction	Mass activity (mA/mg)	Specific activity (mA/cm <sup>2</sup> )	I <sub>r</sub> /I <sub>b</sub>	Ref
PtAu@graphene	electrodeposition	150-200	MOR	394	-	1.25	32
Au@C	rapid reduction	6.7	MOR	48.6	-	-	85
AuNCs@NC	soft nitriding	0.7-2	MOR	1190	-	-	104
AuPt NPs@C	seed-mediated growth	4-10	MOR	-	1.65	-	112
Au@C <sup>a</sup>	rapid reduction	4.73	MOR	47.06	-	-	121
Au <sub>2</sub> Pt <sub>1</sub> @C	capping agent-free	3.4	MOR	-	-	1.84	122
Pd <sub>2</sub> Au@GC <sup>b</sup>	hydrothermal	11.42	MOR	491.84	1.09	-	123
AuPd NPs@NC	seed-mediated growth	2-5	EOR	430	1.11	5.8	107
Au NFs <sup>c</sup> @CFC <sup>d</sup>	electrodeposition	-	EOR	-	-	0.29	132
AuNPs@C	deposition reduction	4.65	MOR, EOR	69.5(MOR) 442(EOR)	-	-	136
Au-P@C	hot-reflux	3.7	EOR	642.33	3.53	-	137
AuNCs@NC	soft nitriding	1.6	DNA and TprA	-	-	-	105

a: Au@C catalyst with 20 wt% Au; b. GC: glassy carbon; c. NFs: nanoflowers; d. CFC: carbon fiber cloth.

#### 4. Outlook

We summarized the most recent studies on Au nanocatalysts supported on conductive carbon as anode catalysts for electrooxidation of alcohols, DNA and TprA. Au as a chemically stable catalyst shows unique size-dependent catalytic properties. Although numerous effort has been devoted to designing Au@C nanocomposites, there are still unmet challenges in the synthesis and applications of Au@C as catalysts. One of obvious problems in the use of Au@C is the cost of catalysts, since the price of Au is as expensive as that of Pt. The apparent solution is to decrease the size of AuNPs that potentially exposes more surface atoms for catalysis. However, due to the large surface energy, how to stabilize those AuNPs on carbon supports become critical. The new methods for surface modification and functionalization of carbon by integrating heteroatoms as binding sites of AuNPs should be further investigated for low cost and scale up production. Other carbon supports such as mesoporous carbon, and MOF-derived nanomaterials can potentially be used for Au@C to offer high surface area and adjustable pore size, as well as restrain the overgrowth of Au nanostructures during synthesis. Using carbon with high N content, it is possible to prepare single-atom Au catalyst where atomic Au can be well dispersed and stabilized through the coordination of multiple N atoms.<sup>152</sup> It will be of interest to study the electrocatalytic performance of single-atom Au catalyst since the atom efficiency of Au can reach 100%.

Understanding the electronic interaction between Au and doped carbon is an attractive alternative to develop Au@C as highly selective catalysts for heterogeneous reactions. Through changing surface electron density of AuNPs, Au has been used to catalyze selective hydrogenation of C=C bonds<sup>153</sup> and oxidation of C=C bonds.<sup>106</sup> Heteroatoms, like N and P, can induce the electronic perturbation at the Au-carbon interface where the catalytic selectivity usually relies on. The electron-rich Au



nanocatalysts also tend to favor the binding of electrophiles, like CO<sub>2</sub>, to promote the reaction selectivity.

Other than the electronic interactions of Au and doped carbon, surface ligands can potentially modulate the reaction pathway as well.<sup>154</sup> Unlike surface ligands with long alkyl chains which block the electron transfer, short ligands can potentially play a critical role in electrocatalysis. Chang and Yang demonstrated the N-heterocyclic carbene-functionalized AuNPs selectively reduced CO<sub>2</sub> to CO with a Faradaic efficiency of 83%, since carbene showed a strong  $\sigma$ -donation with AuNPs.<sup>155</sup> More attention on the use of carbon support and surface ligands as a means to control the surface electronic properties of Au nanocatalysts should be drawn in future studies.

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#### Notes

The authors declare no competing financial interest.

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**Table of Contents**

The recent accomplishments in the syntheses of Au supported on carbon materials and their applications in electrooxidation are reviewed.

