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insights into ECM mechanisms through TEM observations
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REVIEW

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Advances in resistive switching memory: comprehensive insights into ECM mechanisms through TEM observations and analysis

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The information age requires improved devices, especially performance and output, due to data processing, power consumption, flexibility, multifunctionality, cost efficiency, and fabrication technologies. Examining resistive switching properties indicated that the conductive filament mechanism and the movement of ions from dielectric layers or electrodes play a crucial role in facilitating resistive switching. Despite extensive studies employing various materials to clarify the resistance switching in memory devices, the fundamental mechanisms still need to be more adequately understood. In ECM, metal cations move from a top electrode that shows electrochemical activity, creating conductive metal filaments. The complex nature of ion migration at the nanoscale and the associated redox reaction in resistive switching require a thorough understanding through transmission electron microscopy (TEM). *In situ* TEM enables the real-time observation of resistive switching dynamics, highlighting the limitations of static *ex situ* TEM. The observation of filament formation *via* TEM facilitates atomic-resolution investigations into the real-time evolution of nanostructures within resistive switching memory systems. Understanding resistive switching behavior may improve the performance and reliability of memory devices. This assessment can be gained from applying electrodes featuring resistive switching material systems for ECM, which aim to advance the development of universal nonvolatile memory devices.

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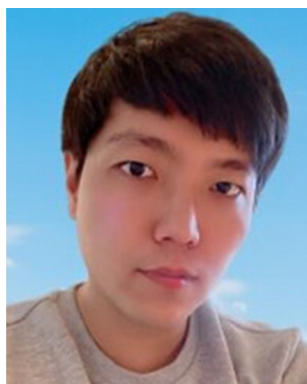
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Hyerim Kim

1. Introduction

The era of information has heightened the need for advanced devices, particularly regarding their performance and production processes. This includes enhanced density, increased data processing capabilities, reduced power consumption, adaptability, multifunctionality, scalability, cost efficiency, and optimized fabrication processes.[1–6] Considering the difficulties existing Si-based devices face in meeting these demands, there has been a focused initiative to discover active materials for future devices, particularly highlighting metal oxide semiconductors, organic semiconductors, and two-dimensional (2D) materials.[7–13] Although electronic devices utilizing these technologies demonstrate impressive characteristics, there has consistently been a trade-off between their advantages and inherent limitations. Recent studies have increasingly shown that the microscopic mechanisms behind ECM-based resistive switching devices, especially ion migration and filament dynamics, offer substantial potential for new applications in neuromorphic computing, low-power logic circuits, and artificial intelligence hardware, thus connecting fundamental material insights with system-level functionality.

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Typically, the layers involved in resistive switching systems are composed of dielectric materials. The fundamental resistive switching processes mainly consist of ion movement originating from the dielectric layers or the electrodes.[14,15] The mechanisms behind resistance switching in memory devices remain contentious, even with extensive studies on resistive switching memory utilizing various materials to clarify the observed changes in resistance.[16–19] The conductive filament mechanism is recognized; however, notable discussions continue regarding essential elements such as the microscopic processes, composition, and morphology involved in producing and degrading conductive filaments. The filament primarily comprises ions that include oxygen vacancies, active metal cations, and anions.[20,21] The fundamental concepts of resistive switching depend on the particular kind of ion migration within the dielectric material (*e.g.*, HfO_2, TiO_2, Al_2O_3, *etc.*).[22–24]

The electrochemical metallization (ECM) mechanism shows the movement of cations from an electrode with electrochemical activity.[25–27] Due to the reduction reaction occurring in a reducing environment, cations generate metal filaments that serve as a conductive pathway.

Since ion migration and the associated redox processes in resistive switching occur at the nanoscale level, transmission electron microscopy (TEM) is important in elucidating the characteristics and dynamic mechanisms involved. Unlike stationary observations of different resistance states in devices *via* traditional *ex situ* TEM, *in situ* TEM allows for real-time monitoring of dynamic processes during resistive switching. Observations from diverse material systems have uncovered indications of conducting filaments formed by metal atoms, including copper (Cu) and silver (Ag). The geometry and direction of growth or dissolution of these filaments are primarily influenced by the intricate kinetic parameters related to ion migration and redox reactions, leading to various modes of filament growth.[28]

This review explains the operational mechanism of the resistive switching memory device and provides a detailed description of the ECM. A detailed analysis of resistive switching behaviors is complemented by conventional *ex situ* TEM characterization of diverse resistance states, and *in situ* TEM further enables real-time visualization of the dynamic processes driving those switching behaviors.

2. Resistive switching memory device

2.1. Operating principles

Given the characteristics of the straightforward structure and benefits of data storage, resistive switching memory devices are considered a promising option for next-generation memory devices.[29–33] The resistive switching memory device represents a prevalent category of two-terminal, metal–insulator–metal (MIM) devices, especially in the oxide-based device field.[34] The memory elements comprise the top and bottom electrodes, with an insulating switching layer between them. The top electrode in the MIM stack is subjected to an external bias

and is influenced by the applied voltage or current.[35,36] The top electrodes are formed by directly depositing metallic materials onto the resistive switching layer. A different electrode, an electrically connected element, is called the bottom electrode. The device functions through a reversible and gradual decomposition of an insulating material.

Applying appropriate voltage pulses to a device transitions it from a high resistance state (HRS) to a low resistance state (LRS), referred to as "electroforming."[37–40] Utilizing devices that skip the formation process is essential to reducing the impacts of high voltage stress during the initial operation stage. After the electroforming step, the LRS shifts to an HRS when a RESET voltage of opposite polarity is applied. This change is frequently called the "RESET process."[41–43] Following that, the change from an HRS to an LRS happens at the V_{SET}, differing from the RESET process. Understanding the principles of resistive switching requires going through the SET and RESET procedures. Switching from SET to RESET indicates the 'ON' state, whereas the reverse transition corresponds to the 'OFF' state.[44–46] Current compliance (CC) is a standard safeguard that limits current during the SET process.[20,47,48]

To ensure the reliability of the resistive switching device, a vital memory window (ON/OFF ratio), in addition to endurance and retention, needs to be achieved. Endurance quantifies the cycles required for reliable transitions from the ON and OFF.[49–53] An increased endurance indicates the enhanced ability to handle repetitive programming-related tasks. Retention plays an important part in memory technology, as it determines the duration for which the recorded data remain accessible before it becomes inaccessible.[54–56] The duration of data storage is deeply linked to energy efficiency, an important consideration given the growing volume of data. Moreover, the ON/OFF ratio plays a pivotal role in defining the memory window of a device.[57,58] This significantly influences the probability of operational errors during the programming of the memristor. Consequently, optimizing the ON/OFF ratio is necessary to guarantee dependable storage functionality.

2.2. Bipolar and unipolar resistance switching modes

Fig. 1a presents a hysteresis loop of *I–V* observed during a sweep bipolar resistive switching mode.[59] A notable rise in current was observed as the swept voltage changed from 0 V to threshold voltage (V_{th}), and an abrupt rise in electrical current triggered the shift from the HRS to the LRS.[59–62] After that, the OFF state is attainable only when the film is reverted to a voltage of 0 V. Applying a negative bias leads to a rapid rise in the device's resistance upon reaching negative V_{th}, prompting a transition back to the HRS. The preference for unipolar switching behavior stems from its easy facilitation of read–write activities. Unipolar switching uses a consistent biased direction to activate and deactivate the process. Fig. 1b demonstrates the features of unipolar resistive switching behavior.[59] Unlike bipolar resistive switching, unipolar resistive switching conducts both the SET and RESET events under the same voltage polarity.[59,63,64] This process occurs under positive and negative voltage conditions, in which a small current flow characterizes
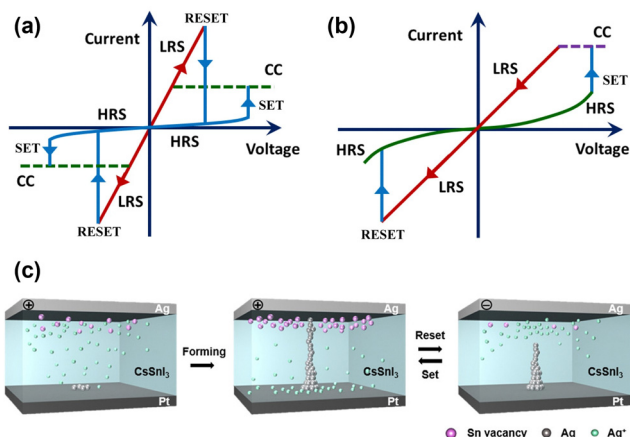
Fig. 1 (a) Unipolar Switching mode of the resistive switching memory devices. (b) Bipolar Switching mode of the resistive switching memory devices. Reproduced with permission from ref. 59. Copyright 2013, Springer. (c) The ECM process of the resistive switching memory devices. Reproduced with permission from ref. 70. Copyright 2019, American Chemical Society.

the initial OFF state. The device activates the SET once the V_{th} attains a significant level, and typically, the V_{th} is more significant than bipolar resistive switching. Upon exceeding the V_{th}, the device will enter a deactivated state related to the same ON and OFF that occurs with reverse voltage. A high voltage bias can directly convert pristine resistive switching to the LRS, resulting in an inverted procedure.

2.3. ECM process

The ECM phenomenon is frequently utilized to clarify the fundamental principles of devices that incorporate active metal electrodes with relatively high mobility, including silver (Ag), copper (Cu), nickel (Ni), and others.[65–69] The interaction of active metals with switching layers depends on their Gibbs energy and oxidation rate.

Fig. 1c illustrates ECM-type memory devices, showing the resistive switching phenomenon.[70] Han et al. fabricated resistive switching memory devices utilizing halide perovskite comprising Ag top electrodes/CsSnI₃/Pt, demonstrating the ECM process.

The observed resistive switching behavior is linked to the formation and rupture of conducting filaments within the switching layer, occurring during the SET and RESET operations. The resistive switching phenomenon observed in the ECM process is mainly attributed to the travel of metal cations from the active electrode.[71] The Ag electrode undergoes dissolution through oxidation when a positive voltage is applied to it within an electric field characterized by a positive bias on the Ag electrode and a grounded Pt electrode. The external electric field drives the Ag cations within the perovskite layer towards the Pt electrode. Ag cation reduction occurs as they engage in ion hopping and arrive at the Pt electrode. The growth of an Ag metal nucleus occurs when the supersaturation level of the Ag cation is exceeded, leading to the creation of a metal filament that links the Pt and Ag electrodes. Applying an electric field in the reverse direction causes the filament to break down due to disintegration driven by Joule heating.[34,40]

From the description provided regarding filament development, various elements have been recognized as possible influences. The factors to consider are

(1) the presence of cation elements from the active electrode,

(2) the transport of the cation within the halide perovskite switching layer, and

(3) the accumulation of cations on the counter electrode.

ECM-based memory devices can operate at low voltages and currents while showing impressive ON/OFF ratios.[66,72–74] Nonetheless, maintaining the resistance state after withdrawing the electric field presents a challenge.

2.4. Description of the formation of conducting filaments in an ECM-based memory device

Cylindrical conductive filaments develop within the resistive switching layer during formation or SET. Roy et al. utilized the phase field model to investigate the morphological evolution of conducting filaments driven by an electric field at a continuum scale.[75] The simulation of active electrode dissolution involves analyzing the release of cations into the resistive switching layer, considering the incoming flux of cations from the active electrode. The established cation supersaturation, along with the implementation of the electric field, supplies the essential chemical and electrical driving forces required for the formation of conducting filaments. To comprehend the impact of higher dimensions on simulation outcomes, the filament formation processes for 2D and 3D systems are analyzed in Fig. 2a. The initial conducting filaments radius was established at 2.0 nm during the simulation. The voltage sweeping rate was set at a constant value of 0.01 V s⁻¹. The dominant point effect of diffusion in the 3D simulation domain results in a voltage difference of 0.02 V compared to the 2D simulation for the forming current. Nonetheless, the morphology and trend in the I–V curves exhibit similarities. At this stage, the nucleation theory for forming the initial filament nucleus was neglected. This absence is attributed to various unknown factors, including the nucleation energy barrier, the rate of nucleation, and the surface tension terms arising from the interfaces between the bottom electrode/conducting filaments, bottom electrode/resistive switching layer, and switching layer/conducting filaments during the early phases of simulation. In Fig. 2b, the broken filament configurations were evaluated. The distance between the broken filaments is established at 2 nm. The filament contacts the broken end before reaching the counter electrode, which explains the nearly constant SET voltage. Nonetheless, as the gap between the broken ends of the filament increases, the relationship between the SET voltage and layer thickness exhibits variation. Therefore, the structure of the conducting filament influences the formation and SET voltages, potentially altering the device's performance.

The dynamics influenced by external fields can provide deeper insights into the formation of filaments across various material systems. At times, the primary driving factor is the electrostatic potential gradient, and typically, there are four modes of filament growth when considering specific kinetic factors. With elevated ion mobilities and redox rates, positively
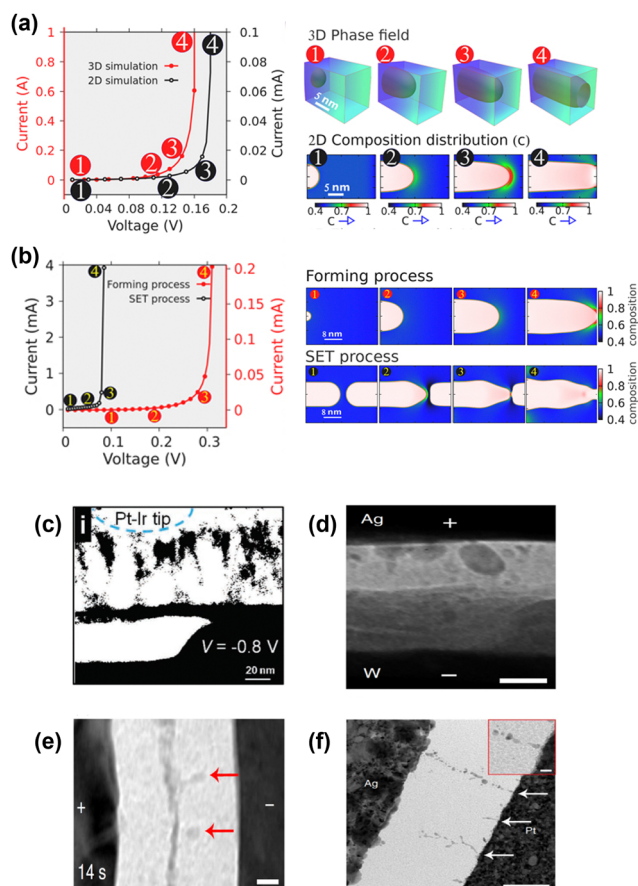
Fig. 2 (a) Conducting filaments in 3D and 2D simulation domains. A voltage sweep of 0.01 V s^{-1} has been applied, acquiring the corresponding I–V curves. (b) Conducting filaments while applying voltage sweeping at a rate of 0.01 V s^{-1}, along with the associated I–V characteristics during the forming and SET processes. Reproduced with permission from ref. 75. Copyright 2020, AIP Publishing. (c) Filament growth when the ion mobility and the redox rates are high. (d) In situ TEM observation of filament growth when ion mobility and the redox rates are low. (e) In situ TEM images when ion mobility is low, and the redox rates are high. (f) Observation of conducting filament growth when the ion mobility is high while the redox rates are low. Reproduced with permission from ref. 76. Copyright 2018, Elsevier.

charged metal ions are anticipated to quickly reach the inert electrode, where they will react, initiating filament growth from the inert electrode. The elevated redox rates ensure sufficient ion supply, resulting in a filament that takes on an inverted

cone shape in Fig. 2c.[76] Conversely, when the ion mobilities and the redox rates are low, nucleation of the ions occurs within the dielectric, and the subsequent evolution of filaments follows a process, ultimately resulting in fully formed conducting filaments, as depicted in Fig. 2d. In this case, the filament geometry manifests as a forward cone consisting of discrete metal clusters instead of a continuous metal wire. When the ion mobilities are low and redox rates are high, nucleation of the filament occurs within the dielectric, allowing for significant accumulation of the metal on the cathode sides of the nuclei. After the gaps between the sources of ions and the nuclei are filled, additional ionization and nucleation processes can occur, with the incomplete filament functioning effectively as part of the electrode, as illustrated in Fig. 2e. Nucleation occurs at the inert electrode when the ion mobilities are elevated and the redox rates are decreased. However, the supply of ions is insufficient, resulting in ion reduction mainly at the edges of the filaments due to elevated field strengths. This phenomenon drives branched filament growth toward the active electrode, as illustrated in Fig. 2f. Furthermore, the metal filament has the potential to extend into the inert electrode, which serves as a cation source that can lead to RESET failure. Introducing a barrier layer, such as graphene, at the interface between the resistive switching layer and the inert electrode has effectively prevented the diffusion of active metal ions or atoms.

2.5. ECM-based resistive switching memory device

As stated, one electrode in an ECM-based memory device must exhibit electrochemical activity. This section introduces resistive switching memory devices grounded in ECM, emphasizing the classification based on the top electrodes. The wide use of an Ag top electrode in the electrochemical metallization process is frequently observed in memory devices.[32,34] Kim et al. investigated a halide perovskite flexible memory array that exhibits strong reliability (Fig. 3a).[77] The resistive switching memory devices exhibited filamentary and bipolar characteristics due to the Ag conducting filament. The Ag and Pt metals were the top and bottom electrodes, respectively. The resistive switching characteristics of the Ag/halide perovskites/Pt memory device are illustrated in Fig. 3b. A direct current (DC) voltage sweep was conducted to evaluate the I–V characteristics. To prevent breakdown due to high current, the CC was set at 1 mA. The device exhibited a low current of 10^{-11} A during its
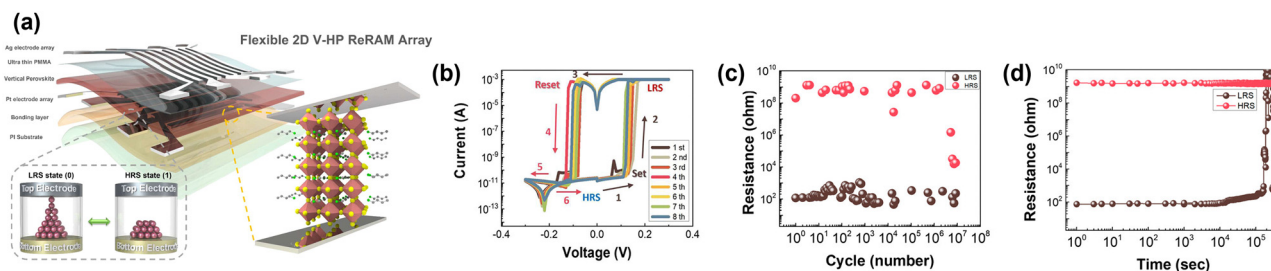

Fig. 3 (a) The structure of a flexible memory device. (b) Eight times I–V curves of the flexible memory device. (c) Endurance cycles of the flexible memory device. (d) Retention time of the flexible memory device. Reproduced with permission from ref. 77. Copyright 2024, American Chemical Society.

initial state. The bipolar resistive switching behavior was observed to occur abruptly and reliably at +0.15 V and −0.15 V, exhibiting a significant ON/OFF ratio. Notably, reversible resistive switching characteristics can be attained with a significant ON/OFF ratio (10^7) while employing an ultralow electric field of 5×10^3 V cm^{-1}. Endurance and retention were evaluated by subjecting the devices to alternating current (AC) voltage pulses to assess their reversible and reliable resistive switching behaviors. The endurance cycles illustrated in Fig. 3c functioned over 5×10^6 times, exhibiting a substantial ON/OFF ratio exceeding 10^7. However, performance began to decline after 10^7 cycles, with programming at +2 V for 100 µs, erasing at −3 V for 100 µs, and a reading process at +0.05 V. Furthermore, the LRS was maintained at levels exceeding 2×10^5 s before returning to the HRS, exhibiting fluctuations as illustrated in Fig. 3d.

Although the Ag top electrode is frequently employed, the Cu electrode is also utilized in ECM-based halide perovskite memory devices.[43,78,79] Jeon et al. introduced a pre-forming technique involving filament's random growth.[80] These filaments are fully developed over the active layers during the pre-forming stage for device functionality, eliminating the necessity for additional forming processes, as shown in Fig. 4a. Following the etching process, the encapsulation layer and top electrodes were applied using the same technique. This process enables the memory device to acquire numerous randomly grown filaments. Using sacrificial layers during pre-forming enhances the filament length in the resistive switching film, reducing the forming voltage to the SET voltage level. Fig. 4b illustrates the I–V characteristics of both the reference and pre-formed Cu/SiN$_x$/Pt devices. Fig. 4c and d present the TEM images of an ECM sample before and after the pre-forming process. The images illustrate the change in the morphology of the resistive switching layer resulting from filament generation. The indirect forming process is expected to reduce damage to the resistive switching film throughout the forming procedure. The endurance and retention properties of 8 cells were measured in both line and conventional samples, as illustrated in Fig. 4e and f, respectively.

For the endurance test, we applied a +1.8 V/100 ns pulse during the SET process and a −1.8 V/100 ns pulse during the RESET process. The LRS and HRS currents were consistently recorded at 0.1 V throughout 10^7 switching cycles. The results indicate an enhancement in the endurance characteristics of the pre-formed samples. The retention was measured to illustrate the device's dependability under elevated temperature conditions. Notably, the degradation-free data retention property was observed for the ECM samples treated by the proposed methods, in contrast to the conventional sample. Both samples demonstrate outstanding memory retention capabilities at 10^5 seconds. Therefore, the suggested approach shows no decline in performance and enhances endurance with characteristics comparable to those of the traditional method.

Nickel (Ni) is also used because ionization occurs readily at the active metal, allowing the generated metal ions to traverse the insulator and accumulate on a counter electrode due to the reduction effect.[81] Park et al. examined the configuration of the
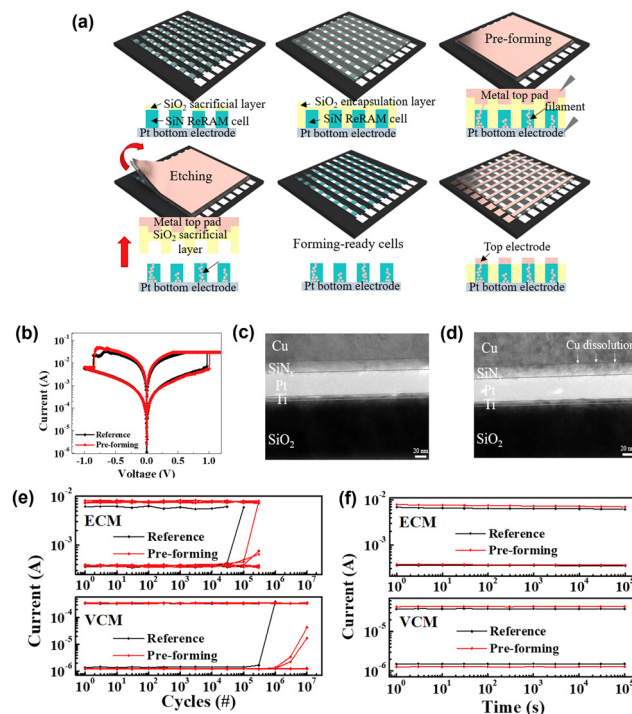

Fig. 4 (a) Process flow of the pre-forming method. (b) I–V curves of reference and pre-formed devices. (c) TEM images of the devices before the pre-forming process. (d) TEM images of the devices after the pre-forming process. (e) Endurance cycles of reference and pre-formed devices. (f) Retention time of reference and pre-formed devices. Reproduced with permission from ref. 80. Copyright 2020, Elsevier.

Ni/SiO$_x$/ITO device in Fig. 5a.[82] Fig. 5b shows the I–V curve. Owing to the current overshoot phenomenon, focusing on the RESET process rather than the SET process is crucial. The Joule heating induced by a negative voltage leads to the dissolution of filaments, which in turn enhances the ON/OFF window.[34,58,83] The ON/OFF ratio between the HRS and the LRS has been verified through the consecutive sweeping test illustrated in Fig. 5c. The ON-state current was 4.1×10^4 times larger than the OFF-state current and remained stable until the device failed. Following the 87-switching cycle, there was a slight decrease in the ON/OFF ratio attributed to a transition failure in the HRS, yet the switching remained uniform.[84] The problems in the HRS were evident not just during the endurance test but also in the retention evaluation. Fig. 5d illustrates the data in an OFF state that could not sustain its condition when subjected to a periodic read voltage of approximately −0.2 V. The LRS maintained its data for over 10^4 s, whereas the current increased from the HRS to the LRS at around 7500 s.

In evaluating potential top electrodes for resistive switching memory devices, zirconium (Zr) is a less advantageous material than traditional top electrodes of ECM.[85,86] This is primarily due to its strong affinity for oxygen, forming a durable passivating oxide, as indicated by the Gibbs free formation energy for metal–cation combinations.[87,88] Raeis-Hosseini et al. focused on thoroughly examining the influence of the Zr electrode and empirically exploring its potential application in a Ta$_2$O$_5$-based
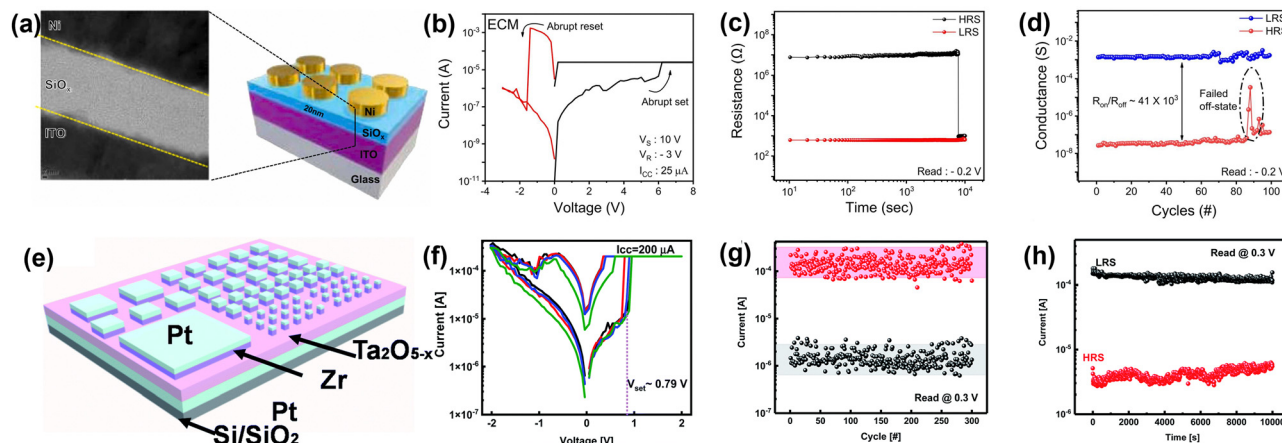
Fig. 5 (a) TEM image and the structure of the Ni/SiO$_x$/ITO memory device. (b) I–V characteristics of the Ni/SiO$_x$/ITO memory device. (c) Endurance cycles of the Ni/SiO$_x$/ITO memory device. (d) Retention time of the Ni/SiO$_x$/ITO memory device. Reproduced with permission from ref. 82. Copyright 2022, ELSEVIER. (e) Schematic illustration of the Zr/Ta$_2$O$_{5-x}$/Pt/SiO$_2$/Si memory device. (f) I–V curves of the Zr/Ta$_2$O$_{5-x}$/Pt/SiO$_2$/Si memory device. (g) Retention time of the Zr/Ta$_2$O$_{5-x}$/Pt/SiO$_2$/Si memory device. (h) Endurance cycles of the Zr/Ta$_2$O$_{5-x}$/Pt/SiO$_2$/Si memory device. Reproduced with permission from ref. 87. Copyright 2022, the Royal Society of Chemistry.

ECM device.[87] A Pt/Zr/Ta$_2$O$_{5-x}$/Pt configuration was employed to illustrate the memory device in Fig. 5e. In Fig. 5f, the Ta$_2$O$_{5-x}$-based memory devices exhibited the characteristic bipolar resistive switching behavior associated with ECM types. A thermodynamic-driving force in voltage was applied to the Zr active electrode, with the Pt bottom electrode grounded. The devices were activated in light of electroforming initiated at +4 V, resulting in a change between the LRS and the HRS. The I–V curves of the Pt/Zr/Ta$_2$O$_{5-x}$/Pt-based devices were evaluated using a DC sweeping voltage applied to the Zr top electrode. During the initial voltage sweep, the Zr top electrode had oxidation, changing to Zr^{4+}; the cations generated a conducting filament at the Pt bottom interface by reducing to Zr atoms. Following the formation of the conducting filament, the switching layer was modified to represent the LRS. The conducting filament was ruptured by applying the opposite voltage, resulting in the device returning to the HRS. The retention time was tested to evaluate the stability of the Zr/Ta$_2$O$_{5-x}$/Pt device. An ON/OFF ratio of 10^2 was achieved, corresponding to findings from earlier studies, and there was no significant degradation in the current LRS and HRS (Fig. 5g). The endurance characteristics of the Ta$_2$O$_{5-x}$-based memory device demonstrate reliability over 100 cycles at a reading bias of 0.3 V. The observed stability highlights the strong memory characteristics of the devices featuring Zr electrodes (Fig. 5h).

Through the modification between the electrode and the resistive switching layer using an interlayer, a transition from valence change memories (VCMs) to ECM can occur. VCM is observed in metal oxides, particularly when anions are moved within the insulating layer.[20,39,40,89] The redox reaction leads to a change in the valence state within the cation sublattice.[50,70,90,91] Then, a change in the electronic conductivity within the insulating layer occurs. Lübben et al. modified the Ta electrode-Ta$_2$O$_5$ resistive switching layer interface using a graphene interlayer.[92] The impact of a graphene monolayer film serving as an intermediary layer between Ta and Ta$_2$O$_5$ is

associated with suppressing TaO$_x$ formation and oxygen evolution reactions.

The Ta/TaO$_x$ interface is essential in VCM switching processes, with the switching mechanism related to the passivation of the reduced TaO$_x$ phase or metallic Ta. This effect is more significant than the commonly accepted Schottky barrier theory. By modifying the Ta/TaO$_x$ interface through the introduction of monolayer graphene, the formation of TaO$_x$ is inhibited due to the chemical reaction between Ta^{5+} and O^{2-} while also aiming to enhance the oxygen overpotential, which represents the energy barrier for the oxygen redox reaction.[93]

The electrochemical redox reactions occurring at the tantalum electrode concerning the oxygen processes exhibit considerable inhibition, resulting in the transition of the cell behavior from VCM to ECM operating modes. Therefore, graphene's capacity hinders oxygen redox reactions and ion diffusion at low voltages. Graphene dissolves virtually no oxygen and exhibits a significant overpotential for the electrode reaction. The monolayer graphene effectively prevents the diffusion of oxygen ions and atoms, ensuring they do not reach the Ta metal. This action inhibits the oxidation of oxygen ions under positive bias, thereby preventing the evolution of oxygen. The formation of Ta-ions and their involvement in the resistive switching process primarily account for the observed ECM characteristics. Additionally, the graphene layer exhibits electronic conductivity only in the in-plane direction while creating an energy barrier for electrons moving in the off-plane direction, resulting in a voltage drop. Consequently, it is anticipated that redox reactions will be suppressed.

3. TEM observation of the ECM mechanisms in resistive switching memory devices

TEM offers unique advantages over other characterization techniques, providing insight into the underlying ECM mechanisms

in resistive switching devices. TEM enables visualization at the atomic scale, allowing direct observation of the nanoscale conductive filaments and interface dynamics that govern the resistive switching process, which is critical for identifying the specific structural and morphological changes that occur during filament formation and dissolution, ultimately influencing device performance and stability. Moreover, TEM can be readily integrated with analytical tools such as energy-dispersive X-ray spectroscopy (EDS) and electron energy loss spectroscopy (EELS). This combination allows simultaneous acquisition of structural and chemical information, making it possible to pinpoint the spatial distribution of metal ions and other constituent elements with sub-nanometer precision. As a result, the exact compositional changes in the conductive pathway can be correlated with observed structural modifications, providing a comprehensive understanding of the ECM mechanism at the atomic level. *In situ* TEM observation primarily provides a dynamic operation process of the resistive memories on the atomic scale. By applying external electrical bias directly inside the microscope using an *in situ* I–V holder, the evolution of conductive filaments, ion migration, and interface dynamics can be monitored in real time. This allows researchers to capture transient phenomena and map the time-dependent changes that occur as the device switches between different resistance states.

This section will introduce previous studies that used TEM to elucidate ECM mechanisms in resistive-switching memory. TEM observations facilitate a deeper understanding of resistive switching phenomena, guiding the design and optimization of halide perovskite-based memory devices with improved performance and reliability. Because the experimental setup for *in situ* TEM is well-explained in the previous review report by Zhang *et al.*,[94] the primary focus of this section is introducing a brief history, current issues, and utilizing state-of-the-art microscopic techniques for observing ECM mechanisms.

3.1. Microscopic observation of the ECM mechanism

This section introduces the previous works that directly observed the conductive filament by ex and *in situ* TEM. In 2007, Sakamoto *et al.* observed the precipitation of Cu in a solid electrolyte film using *ex situ* TEM, which provided conducting paths between Ta_2O_5 and Pt.[95] After introducing an *in situ* TEM holder, *in situ* observing the dynamic process of filament formation is possible by applying voltages across the TEM samples. Xu *et al.* provided real-time, atomic-resolution insights into the switching behavior of a nanoscale Ag_2S-based ionic conductor using *in situ* HRTEM. They demonstrated the reversible formation and dissolution of a conductive channel composed of argentite Ag_2S and pure Ag nanocrystals under an applied bias, elucidating the phase transitions and atomic-scale mechanisms underlying resistance switching.[96] Fujii *et al.* utilized *in situ* TEM to study the resistive switching behavior of Cu–GeS-based solid electrolyte devices. This approach provided real-time insights into the formation and dissolution of conducting filaments during resistance transitions between the HRS and the LRS. By directly observing the material under electrical bias, they provided real-time insights into the dynamic formation and dissolution of conducting
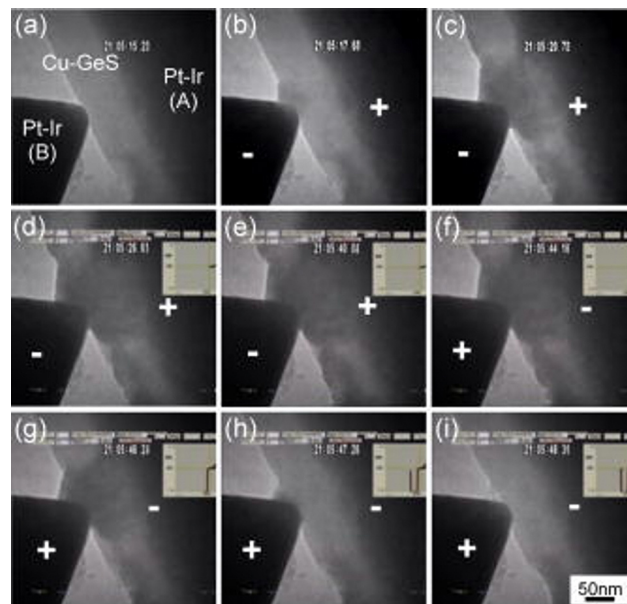

Fig. 6 Series of TEM images captured during voltage application. The "+" and "−" symbols indicate the voltage polarity. Each image corresponds to points a to j marked in Fig. 1. (a) A Cu–GeS sample (A) contacted by a Pt–Ir tip (B). (b) and (c) A deposit appeared and expanded in the Cu–GeS under positive voltage, leading to a gradual decrease in resistance. (d) and (e) The deposit bridged the two Pt–Ir tips, causing a rapid resistance drop and saturation of the deposit size. (f) and (g) No changes were observed in the deposit, and the resistance remained low (LRS) during voltage sweeping. (h) The deposit suddenly shrank with an increase in negative voltage, resulting in a disconnection and a sharp rise in resistance. (i) The deposit completely disappeared. Reproduced with permission from ref. 90. Copyright 2011, AIP publishing.

filaments. Fig. 6a–e shows that under positive voltage, Cu ions migrated through the GeS matrix and reduced at the cathode to form nanocrystalline filaments, which acted as the conductive path for the LRS. These filaments were visually confirmed as deposits growing between the electrodes during voltage application. As the voltage polarity reversed (Fig. 6f–i), the conducting filaments shrank and eventually dissolved, leading to a transition back to the HRS. This behavior was directly linked to the device's switching characteristics, where the filament size correlated with current and resistance states. Selected area electron diffraction during the filament formation revealed sharp diffraction spots corresponding to Cu nanocrystals, while EDS analysis confirmed the composition of the filaments as predominantly Cu with minor Ge and S contributions. This voltage-dependent observation marked one of the first direct visualizations of conducting filament dynamics during resistive switching. It provided critical experimental evidence supporting the conductive-filament model. The study demonstrated the essential role of *in situ* TEM in elucidating nanoscale switching mechanisms, offering valuable insights into the design of reliable and scalable devices based on solid electrolytes.[97] Yang *et al.* provided critical insights into filament dynamics in dielectric-based resistive memories. Through a combination of *ex situ* and *in situ* TEM studies, they revealed two distinct growth modes, including unexpected initiation of filaments from the active electrode, as well as

dendritic structures that emphasized the role of cation transport, highlighting the dielectric/inert electrode interface as a crucial region for device optimization and challenged conventional ECM theories.[98] Lv *et al.* conducted a systematic *in situ* TEM analysis of HfO$_2$-based ECM cells to investigate endurance and retention failures during repetitive switching cycles, providing a clear correlation between cycling-induced filament changes and the degradation of switching performance, offering a basis for optimizing device reliability.[25] Yoo *et al.* utilized high-resolution TEM to analyze bipolar resistive switching in amorphous Ge$_2$Sb$_2$Te$_5$ (GST) films. Their study revealed the formation of crystalline tellurium filaments connecting inert electrodes, with the switching mechanism driven by Te-ion migration under an electric field.[99] Hu *et al.* investigated the switching mechanisms in lightly oxidized ZnS-based ECM cells through high-resolution TEM analysis, discovering that a two-layer structure of ZnS films—comprising oxidized and unoxidized regions—confines filament formation and rupture to nanometer-thin interfaces, enabling highly stable, low-voltage operation. This research provided a pathway toward ultralow-power and ultrasensitive synaptic devices.[100] Lee *et al.* conducted *in situ* TEM studies on Ta$_2$O$_5$-based memristors, demonstrating a significant suppression of ECM mechanisms through oxygen plasma-assisted electrode deposition. By reducing interface roughness and controlling oxygen–ion migration, the study revealed a dominant redox-driven valence change mechanism at the Ta$_2$O$_5$/TaO$_2$ interface, enabling highly reliable multivalued conductance states over 10^{12} cycles. This work underscores the critical role of interface engineering in minimizing ECM-related variability and enhancing device performance.[101] Simanjuntak *et al.* utilized TEM and EELS to investigate the effects of a neutral oxygen beam treatment on ZnO-based ECM devices. Their analysis revealed a significant reduction in oxygen vacancy concentrations near the surface of the ZnO film, as confirmed through depth-resolved EELS spectra.[102]

In recent years, studies utilizing TEM to investigate the ECM mechanism in resistive switching memory devices have focused on revealing the nanoscale dynamics of ion migration and conductive filament formation. These studies often aim to establish a deeper understanding of the structural and compositional changes occurring in various materials under electrical stimuli. However, research on ECM mechanisms in 2D material systems remains relatively scarce, with most efforts concentrating on traditional bulk or polycrystalline systems. This highlights the growing need to explore and extend TEM-based analysis to 2D systems to uncover their unique electrochemical behaviors and potential advantages for next-generation memory devices. Lee *et al.* demonstrated that single-crystalline CrPS$_4$ can serve as a 2D layered solid electrolyte in electrochemical metallization (ECM) devices, where sulfur vacancies facilitate the migration of Ag ions to form and rupture conductive filaments, offering the potential for reliable and energy-efficient resistive switching devices.[103] In the same year, 2020, Yoon *et al.* investigated a low-current ECM-like memristor using Ru as the mobile species in a Pt/Ta$_2$O$_5$/Ru structure, with *in situ* and *ex situ* TEM experiments providing critical insights into its resistive switching (RS) mechanism. High-resolution

cross-sectional TEM images revealed the well-defined structure of the device, including a 5-nm-thick amorphous Ta$_2$O$_5$ layer, while EDS mapping confirmed the migration of Ru ions from the bottom electrode into the Ta$_2$O$_5$ layer during the SET process, forming discontinuous Ru-rich conducting filaments that established the LRS. During the RESET process, these filaments dissolved as Ru ions returned to the bottom electrode, restoring the HRS. Real-time *in situ* TEM observations further visualized this migration process, demonstrating the reversible and bias-dependent nature of Ru ion dynamics. Additional ion milling to the TEM sample was carried out for grounding under voltage bias, as shown in Fig. 7a. Fig. 7b shows the contact of the Au tip to the TEM sample. Conduction mechanism analysis revealed that tunneling conduction dominated in the LRS due to Ru nanoclusters, while hopping conduction was observed in the HRS and intermediate states (see Fig. 7c–e). The device's unique RS characteristics, including low switching current (<1 μA), long retention (~100 years), and multilevel analog switching, were attributed to the high conductivity of RuO$_x$ suboxides and the dispersed nature of Ru ions. These findings underscore the advantages of Ru-based systems, with the TEM-based analysis providing a detailed understanding of their scalability down to sub-10 nm dimensions and their potential for advanced memory and neuromorphic applications.[104] Similarly, Guo *et al.* demonstrated that the introduction of a SiO$_2$/Ta$_2$O$_5$ heterojunction in ECM memristors confines the formation and dissolution of Ag conductive filaments within an ultra-thin Ta$_2$O$_5$ layer. Using TEM and EDS, they visualized inverted cone-shaped filaments and linked this morphology to the device's low switching voltage, high uniformity, and enhanced endurance.[105] Li *et al.* uniquely utilized 2D covalent organic frameworks (COFs) as the matrix for resistive switching devices, a rare approach compared to previous studies focused on inorganic materials like oxides or chalcogenides. Through high-resolution TEM and EDS analyses, they revealed the confinement of Ag conductive filaments within COF channels, demonstrating enhanced control over filament dynamics, electroforming-free switching, and chemical adaptability, setting COF-based systems apart from conventional inorganic ECM devices.[106] Yuan *et al.* investigated resistive switching and exchange bias control in a Si/SiO$_2$/Ta/Pt/Ag/Mn-doped ZnO (MZO)/Pt/FeMn/Co/ITO device. Using aberration-corrected STEM and EDS, they directly visualized the formation and dissolution of Ag conductive filaments, which played a crucial role in the device's resistive switching. During the SET process under positive voltage, Ag ions migrated from the Ag anode and were reduced to Ag atoms within the MZO layer, forming conductive filaments that bridged the electrodes. These filaments were observed to penetrate the MZO and partially extend into the FeMn layer, inducing a transition to the LRS. Under reverse bias during the RESET process, the filaments dissolved near the MZO/Pt interface, restoring the HRS. The study highlighted the interplay between resistive switching and exchange bias modulation.[107] Xue *et al.* introduced a novel approach to enhance resistive random-access memory performance by incorporating a Ni
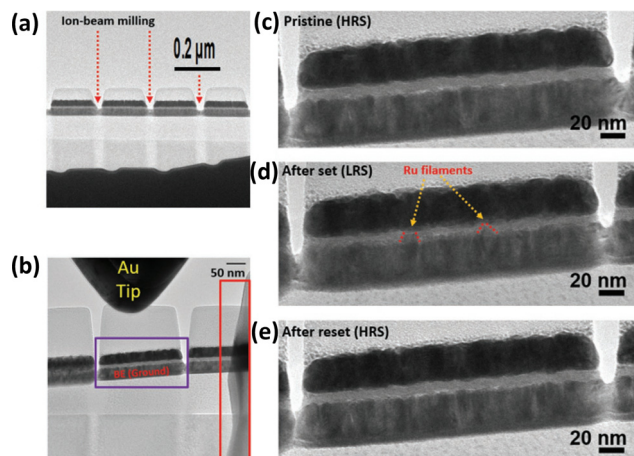
Fig. 7 (a) Bright–field TEM image of the fabricated individual nanopillar-type *in situ* TEM sample, and (b) depiction of the contact formation between the Au tip and the top electrode during bias application. (c)–(e) Cross-sectional *in situ* TEM images of the Pd/Pt (top)/Ta$_2$O$_5$/Ru (bottom) structured device, illustrating its pristine HRS, LRS, and RESET HRS. Reproduced with permission from ref. 98. Copyright 2020, Wiley.

nanocone array into a conventional memristor structure, which was fabricated *via* a one-step electrodeposition process and integrated. TEM observation revealed cone-shaped conducting filaments confined to the nanocone regions, driven by the enhanced local electric field at the tip.[108] Device consisting of one-dimensional (1D) nanotubes (NTs) instead of a thin film showed different ECM behavior. Liu *et al.* demonstrated that single Ta$_2$O$_5$ NT-based devices exhibit unique resistive switching characteristics, which contrast with thin-film devices, where ion migration and filament growth typically occur throughout the bulk of the dielectric layer, resulting in higher variability and forming voltages. The confined growth of Ag conductive filaments along the NT surface enabled lower forming voltages, higher filament stability, and selective surface switching, attributed to the unique 1D structure and enhanced ion mobility on the NT surface.[109] The case of conductive-bridging random-access memory (CBRAM) devices, however, shows the different ECM mechanisms. Choi *et al.* reported the *in situ* observation of the Co/SiO$_x$/TiN CBRAM device. Under an applied bias, Co filaments grew as nanoscale pillars from the Co electrode, extending into the SiO$_x$ layer. This electromigration-mediated filament process demonstrated clear morphological changes linked to resistive switching operation, highlighting Co's distinct behavior compared to conventional Ag or Cu-based CBRAM systems.[110] Recent *in situ* TEM studies enabled demonstrating breakthroughs. A study by Casu *et al.* exemplifies the power of *in situ* TEM by capturing real-time filament evolution in gold cluster films, leading to a deeper understanding of ECM-like switching mechanisms. This level of dynamic insight would be impossible using *ex situ* techniques alone.[111] It should be noted that the *in situ* TEM observations presented in this study were conducted on simplified planar structures. While these allow for precise control and imaging, they may not fully represent

the behavior of stacked device architectures where interfacial effects, vertical diffusion, and confinement can alter key electrochemical kinetics. Efforts to bridge this gap have been reported using more realistic device-inspired platforms,[112–114] which remain important directions for future work.

3.2. Applying 4D STEM

Recently, four-dimensional scanning transmission electron microscopy (4D STEM) has been increasingly adopted in various fields of materials research, allowing for a richer understanding of local structural, chemical, and electrostatic properties at the nanoscale. In a typical 4D STEM experiment, a focused electron probe is raster-scanned across the sample while a 2D diffraction pattern is recorded at every scan position, resulting in a comprehensive 4D dataset. This approach captures real-space information and provides reciprocal-space data that can be analyzed to reveal phase, strain, orientation, and electric field distributions within a material—capabilities that go well beyond conventional imaging or diffraction techniques.[115–117] A study by Winkler *et al.* used *in situ* 4D STEM to explore the crystallization and microstructural evolution of HfO$_2$ dielectric layers in RRAM devices. By observing the transformation of amorphous hafnia to its monoclinic crystalline phase during annealing, the study identified that the minimum crystallization temperature is 180 °C, significantly lower than previously reported values for thin films. This was attributed to texture transfer mechanisms from adjacent layers and the influence of pre-existing crystalline seeds. High-resolution TEM images, combined with fast Fourier transform (FFT) and azimuthal integration, revealed the progression of grain growth and the establishment of grain boundaries. Furthermore, automated crystal orientation mapping from 4D-STEM provided detailed insights into grain orientations and phase distributions, highlighting the critical role of adjacent TiN layers in directing crystallization and promoting texture alignment.[118]

Beyond its demonstrated utility in mapping strain fields and identifying crystalline phases in complex materials, 4D STEM has shown promise for visualizing local electric and magnetic fields, primarily through differential phase contrast (DPC) analysis. By detecting subtle shifts in the center-of-mass of the diffraction pattern, DPC imaging enables quantitative mapping of the internal electric field at high spatial resolution. These capabilities have led to transformative insights across various systems, including ferroelectric domains, magnetic skyrmions, and nanoelectronic devices, where understanding nanoscale fields is paramount. Against this broad backdrop of applications, 4D STEM holds particular value for probing the electrochemical metallization (ECM) mechanism in resistive switching memory devices. In ECM-based devices, conductive filaments form and dissolve within an insulating matrix under applied voltage, governed by the migration of metal ions (*e.g.*, Ag or Cu). By integrating 4D STEM with *in situ* biasing TEM holders, one can directly monitor how these filaments nucleate, grow, and disintegrate in real time, and simultaneously measure the spatial distribution of the electric field as it evolves. This unique combination of structural and field mapping data provides a holistic view of the ECM process, helping

researchers correlate device-level switching characteristics with the underlying nanoscale dynamics. Ultimately, such insights from 4D STEM are expected to guide the design and optimization of next-generation resistive switching devices, bolstering their performance and reliability in emerging memory technologies.

4. Conclusions

The conductive filament mechanism was shown by analyzing the films' resistance-changing properties in resistive switching systems. Ion migration from dielectric layers or electrodes is the primary resistive switching process. Despite substantial research using various materials to explain the observed resistance shifts, the mechanisms of resistance switching in memory devices are still debated. Although the conductive filament mechanism is known, the microscopic mechanisms, content, and morphology of its production and degradation are still debated. Oxygen vacancies, active metal cations, and anions dominate the filament. Resistive switching relies on dielectric material ion movement. ECM relies on cations moving from an electrode with electrochemical activity; hence, it deviates slightly. Ion migration and redox reactions in resistive switching occur at the nanoscale. Therefore, TEM is critical for understanding their dynamics. Unlike static *ex situ* TEM studies of device resistance states, *in situ* TEM permits real-time monitoring of resistive switching dynamics *in situ*. Unlike static *ex situ* TEM studies of device resistance states, *in situ* TEM permits real-time monitoring of resistive switching dynamics *in situ*. Metal atoms like Cu and Ag produce filaments in various material systems. The complicated kinetic parameters of ion migration and redox reactions determine the shape and direction of filament growth or dissolution, resulting in distinct filament growth patterns.

This review explains the operation of the resistive switching memory device and the ECM. In addition, typical *ex situ* TEM investigations of various device resistance states show that *in situ* TEM allows real-time observations of resistive switching dynamics. In addition to characterizing existing systems, recent advances highlight the importance of exploring emerging electrode materials such as transition metal nitrides and two-dimensional layered conductors, which offer improved electrochemical stability and better compatibility with integration processes. Addressing fabrication challenges, including interface control and large-scale process uniformity, will be crucial for improving device reliability. These considerations may accelerate the real-world deployment of ECM-based nonvolatile memory technologies.

The TEM observation of conducting filament formation enables the investigation of the real-time nanostructure evolution process of resistive switching memory systems at atomic resolutions. This contributes to a deeper understanding of resistive switching behavior, potentially guiding improvements in the performance and reliability of memory devices. This review has the potential to be broadened to include additional electrodes featuring resistive switching material systems for ECM, thereby facilitating the rapid development of universal nonvolatile memory systems.

Author contributions

Woonbae Sohn: conceptualization, literature review, and writing – original draft. Hyerim Kim, Jung Hun Lee and Young-Seok Shim: literature review, and writing – review & editing. Cheon Woo Moon and Hyojung Kim: conceptualization, funding acquisition, supervision, and project administration.

Data availability

No primary research results, software or code have been included and no new data were generated or analysed as part of this review.

Conflicts of interest

There are no conflicts to declare.

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