## **RSC Advances**



### **REVIEW**

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Cite this: RSC Adv., 2020, 10, 19309

# Nanotechnology-based approaches for food sensing and packaging applications

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The rapid advancement of nanotechnology has provided opportunities for the development of new sensing and food packaging solutions, addressing long-standing challenges in the food sector to extend shelf-life, reduce waste, assess safety and improve the quality of food. Nanomaterials can be used to reinforce mechanical strength, enhance gas barrier properties, increase water repellence, and provide antimicrobial and scavenging activity to food packaging. They can be incorporated in chemical and biological sensors enabling the design of rapid and sensitive devices to assess freshness, and detect allergens, toxins or pathogenic contaminants. This review summarizes recent studies on the use of nanomaterials in the development of: (1) (bio)sensing technologies for detection of nutritional and non-nutritional components, antioxidants, adulterants and toxicants, (2) methods to improve the barrier and mechanical properties of food packaging, and (3) active functional packaging. The environmental, health and safety implications of nanomaterials in the food sector, along with an overview of regulation and consumer perception is also provided.

Received 4th February 2020 Accepted 22nd April 2020

DOI: 10.1039/d0ra01084g

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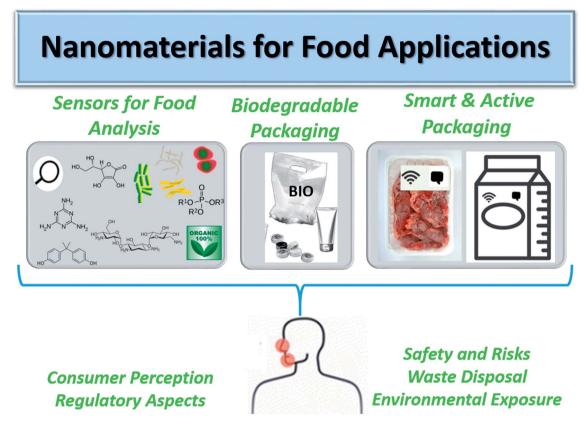
#### 1 Introduction

Nanoscale materials and devices have been heavily explored in the last 20 years. It is well known that materials with dimensions below 100 nm, commonly referred to nanomaterials, provide high surface area, enhanced reactivity, and unique mechanical and optoelectronic properties, which has enabled significant advances in fields such as electronics, medicine, foods, cosmetics and energy devices, among others.1 Several nanoscale materials such as fat globules micelles, whey proteins in milk, and nanosized particles resulting from food digestion exist naturally in food products.2 Although the nanotechnology field is well developed, its application in the food sector is relatively recent. The novel properties of nanomaterials, e.g. mechanical strength, solubility, diffusivity, optical features, etc., have attracted the attention of the scientific community who has begun to investigate their potential to develop technologies to increase product shelf life and freshness, design methods and tools for rapid in situ analysis, and provide environmentally safe and degradable packaging for food. The applications of nanotechnologies in food can be categorized into three groups: nanomaterials as food ingredients for food processing, nanosensing, and nano-enabled packaging. Nanomaterials can be used as nutrients carriers, additives or antimicrobial agents in food packaging. Their optoelectronic properties can be used as sensors and transducers to assess the quality of food, while

Department of Chemistry and Biomolecular Science, Clarkson University, Potsdam, New York 13699-5810, USA. E-mail: eandrees@clarkson.edu their mechanical strengths and durability can improve the quality of packaging.

The main nanomaterials used in consumer products are silver nanoparticles (AgNPs), titanium dioxide (TiO2) and silicon dioxide (SiO2) NPs.3 SiO2 NPs were used to develop a light, strong, heat resistant plastic (Durethan) with gas barriers properties to prevent food spoilage and prolong shelflife.4 The European Food Safety Authority (EFSA) approved three nanomaterials: titanium nitride NPs (TiN NPs) to prevent CO<sub>2</sub> leakage from carbonated drinks in polyethylene terephthalate (PET) bottle,5 carbon black and SiO2 NPs for use in food packaging; however no specific migration limits have been set.6 Products that contain processed, produced or packaged nanotechnology tools are commonly referred as nano-food.7 Integration of nanomaterials in food serves different purposes: (1) nanoencapsulation to protect and improve stability of beneficial ingredients, nutrients or nutraceuticals (e.g. vitamin D encapsulated in casein micelle)8-11 for fortifying food products (e.g. fish oil encapsulated in nano-liposomes for fortification of yogurt);12 (2) coating surfaces with active nanomaterials to provide protective functions (e.g. AgNPs for antibacterial packaging<sup>13</sup>); (3) fillers to improve mechanical properties of packaging (e.g. nanoclay14); (4) sensing materials to develop methods for in situ monitoring of food quality and safety, ideally attached to or included in packaging (e.g. TiO<sub>2</sub> for O<sub>2</sub> sensing<sup>15</sup>).

Herein, we discuss the most representative classes of nanomaterials used to develop sensors for food analysis such as nutrients, contaminants (*e.g.* adulterants, pesticides residues), and microbial contamination. Furthermore, we discuss



Scheme 1 Summary of the applications of nanomaterials in the food sector.

incorporation of nanomaterials in food packaging, *e.g.* active packaging, smart packaging and biodegradable packaging. Moreover, we highlight the risks and benefits associated with the use of nanotechnology in the food sector and conclude with an outlook to possible future research in this field. Scheme 1 summarizes the content of this paper highlighting sensing, smart, responsive and biodegradable packaging, as well as a perspective on risk, safety and consumer perceptions.

# 2 Nanotechnology-based sensors for food analysis

Food safety monitoring is very important throughout the food production and supply chain. Today, most food analysis is performed in centralized labs with conventional laboratory equipment and only a limited number of samples can be tested. Rapid, inexpensive and portable assays would enable food producers, storage facilities and consumers to monitor food quality and safety during transportation, storage and use. The incorporation of nanomaterials has enabled the development of a variety of sensing platforms that can monitor food at the source. These platforms are based on either chemical transduction mechanisms, designed primarily for measuring volatile compounds, or on bimolecular recognition for increased selectivity, *e.g.* biosensors. The biosensors contain a biological receptor (enzymes, aptamers, cells, antibodies, *etc.*) that can specifically recognize a selected target, and the binding process

is transduced by electrochemical, optical, mass or thermal processes. Most reported biosensors are those based on optical or electrochemical processes, *e.g.* color and electrical current change.

Biosensors have been reported that can detect pathogens (e.g. E. coli, Salmonella), 16-18 contaminants (e.g. heavy metals, 19 residual veterinary antibiotics,20 residual pesticides, illegal additives,21-24 mycotoxins, bisphenol A25-28 etc.), allergens29 and nutrients (e.g. antioxidants30,31) with potential to overcome the limitations of costly and laborious laboratory techniques. Nanomaterials that are commonly used in these platforms include: (i) Au NPs, AgNPs that have surface platform resonance (SPR) properties and high conductivity enabling them to be used in optical and electrochemical sensors, (ii) magnetic NPs such as Fe2O3 NPs that provide magnetic functions for the efficient separation and enrichment of the targeted analytes,32 (iii) carbon nanotubes and graphene based nanomaterials that amplify electrochemical signals due to their high electrical conductivity. The following section discusses the utilization of various nanomaterials in electrochemical and optical (bio) sensors for food analysis and provides examples of applications.

#### 2.1 Nutrients (antioxidants and sugars)

Antioxidants are well-known for their beneficial health effects (Kaur and Kapoor 2001; Higdon and Frei 2003) by providing protection against excessive free radical accumulation, which has been associated with the pathophysiology of diseases such

as cancer, pulmonary, rheumatoid and neurodegenerative diseases, as well as ageing (Harman 1956; McCord 1985; Dröge 2002; Valko *et al.* 2007). Robust methods for quantification of antioxidants are needed for determining the antioxidants content in food. Methods with enhanced portability, sensitivity and cost have been developed in recent years by implementing the unique properties of nanosize materials. Most assays are based on gold and silver nanostructures (*e.g.* NPs, nanorods, composites) interfaced with electrochemical or spectroscopic transduction. Other platforms use cerium dioxide (CeO<sub>2</sub>), TiO<sub>2</sub>, or carbon-based materials like graphene and carbon nanotubes, which provide high surface area, conductivity and stability making them appealing for use in portable assays.

Electrochemical sensors utilize electrodes modified with conductive or catalytic nanostructures that can directly quantify antioxidants at their characteristic oxidoreduction potential. AuNPs electrodeposited on a glassy carbon electrode (GCE) enhanced electrochemical signals for detection of three antioxidants: butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT) and butylated hydroquinone (TBHQ). The AuNPs-modified electrode showed a shift in the anodic and cathodic peaks with significant enhancement of peak current as compared to the bare GCE (Fig. 1). 30,33

Other sensors utilize enzymes like laccase or tyrosinase to biocatalytically convert antioxidants, particularly those with phenolic structures to their quinones. The generated quinones are measured by electrochemical reduction at a low applied potential ( $\sim$ -100 mV), where interferences from coexisting electroactive species are minimal. Nanomaterials such as carbon nanotubes are added to the enzyme

immobilization matrix to increase detection sensitivity towards polyphenolic compounds such as Trolox.<sup>35</sup> The two-step biochemical-electrochemical process can be represented by the following reaction, where HQ<sub>2</sub> and Q are the reduced and oxidized forms of the phenolic antioxidants.

$$QH_2 + 1/2O_2^{tyrosinase} \rightarrow Q + H_2O$$
 biochemical reaction (tyrosinase-catalyzed process)

$$O_2 + 4H^+ + 4e^- \rightarrow 2H_2O$$
 electrochemical reaction

A growing trend is to replace enzymes with biomimetic nanomaterials possessing enzyme-like properties to catalytically convert these compounds to provide increased stability and low cost as compared to traditional biological catalysts. Such an example is nanoceria, a NP system that has oxidase-like activity, enabling catalytic conversion of common phenolic antioxidants such as caffeic acid, gallic acid and quercetin to their corresponding quinones, followed by their electrochemical detection in the concentration range from 1 to 100  $\mu M$ . A low cost disposable sensor based on these materials showed good functionality and the ability to assess the antioxidant content in wine.  $^{36}$ 

In an alternative design, DNA was used as target to measure the oxidative damage induced by free radicals. The biosensor principle is based on the damage of guanine triggered by hydroxyl radical ('OH) produced by Fenton reaction. The reaction is inhibited if antioxidant compounds are present. DNA was electrodeposited on graphene nanoribbon (GNR) and the

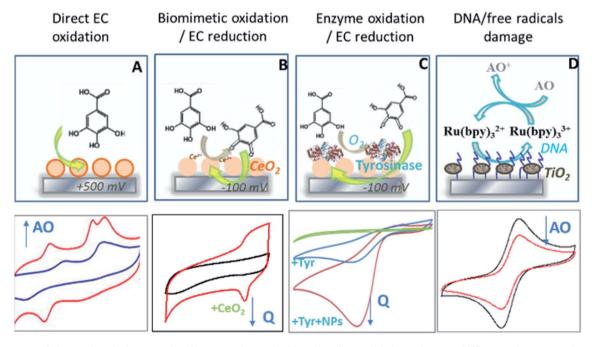


Fig. 1 Summary of electrochemical approaches for measuring antioxidants by direct oxidation using metal NPs or carbon nanotubes to amplify electrochemical (EC) signals (A), biomimetic oxidation with enzyme-like catalysts ( $CeO_2$  NPs) (B) or enzymes (tyrosinase) (C) followed by EC reduction of the quinone at -100 mV, and by quantifying reduction of free radicals-induced DNA damage inhibited by antioxidants where  $TiO_2$  NP are used to produce free radicals (D) (schematics summarizing detection platforms reported in ref. 30, 33, 36 and 37).

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Fig. 2 The oxidation of Ag<sup>+</sup> with the antioxidant sinapic acid resulted in production of AgNPs which can be detected spectrophotometrically. Adapted from ref. 47 with permission from Royal Society of Chemistry, Copyright 2012.

biosensor was used for the estimation of total antioxidant capacity (TAC) in fruit juice. The biosensor showed improved analytical performance compared to bare electrodes that were attributed to the enhanced surface area and good electrochemical properties of the GNR.<sup>37</sup> In this design, the signal was measured electrochemically using a redox mediator, tris-2,2′-bipyridine ruthenium(11) [Ru(bpy)<sub>3</sub><sup>2+</sup>], and the free radicals were generated with TiO<sub>2</sub> NPs.<sup>38</sup>

A summary of the different chemical and biological approaches used to construct biosensors for measuring anti-oxidant compounds is shown in Fig. 1. Metal or metal oxide NPs such as AuNPs and carbon-based nanostructures like carbon nanotubes or graphene are used as electrode materials to amplify electrochemical signals.

Several types of chemical and biological sensors have been reported to measure the glycemic index (GI) of sugars in food. The GI is a tool used to rate carbohydrate-containing foods that cause an increase in blood glucose levels. The higher the index the faster the release and the larger the blood glucose concentration.39 Controlling the sugar content in food is necessary due to the health issues which are related to sugar (glucose, sucrose, fructose etc.) consumption such as obesity and diabetes. Glucose sensors have been heavily used since the development of the first generation enzymatic glucometer in 1962.40,41 The first three generations of glucometers are dependent on glucose oxidation by glucose oxidase, or glucose dehydrogenase. A fourth generation non-enzymatic glucose sensors was proposed in which enzymes are replaced by nanoparticle catalysts.41 Nonenzymatic sensors provide more affordable platforms that tend to avoid the instability issues associated with enzymes. The main nanomaterial used for these applications is copper. For example, a graphene-Cu NPs nanocomposite was synthesized in situ and mixed with paraffin oil. The mixture was packed in a fused silica capillary tube42 and the tube was used as an electrode to sense several carbohydrates (mannitol, sucrose, lactose, glucose and fructose). The detection mechanism at the Cu electrodes involves deprotonation of carbohydrates (enediol form) in alkaline media, followed by adsorption on the Cu surface, and the electrochemical oxidation of Cu<sup>2+</sup>. The use of graphene in the graphene-Cu NPs nanocomposite was shown to enhance the detection sensitivity. The limits of detection (LOD) were 0.29, 0.42, 0.43, 0.37, and 0.51 µM for mannitol, sucrose, lactose, glucose, and fructose, respectively. The sensor was successfully used to test the carbohydrate levels in bovine milk and banana. Another study utilized carbon nanotubes (CNTs)-Cu NPs to evaluate mannitol, sucrose, glucose, and

fructose based on a similar mechanism.  $^{43}$  The resulted LOD were very close to the ones reported in the previous study with LODs of 0.18, 0.42, 0.40 and 0.62  $\mu$ M for each respectively. A disposable paper-based electrochemical sensor for carbohydrate detection was developed by immobilizing Cu NPs on paper, which was used as a sensing platform.  $^{44}$  The amperometric sensor provided a low-cost method for the determination of the total carbohydrate. The sensor was fabricated by depositing a conductive ink containing Cu NPs, graphite and polystyrene on paper. Using this design, glucose, fructose and sucrose were successfully determined in soft drinks.

A simplified more convenient analysis of nutraceuticals, antioxidants and sugars was achieved using colorimetric sensors. Colorimetric approaches employ metal or metal oxide NPs that have spectral properties and localized surface plasmon resonance (LSPR) and produce an optical shift in presence of antioxidants through surface reactions, changes in NP size (e.g. target-induced aggregation) or redox processes. Au or AgNPs are the most known to generate color shifts from red to blue for Au, and yellow to brown for Ag due to changes in the LSPR signal. 45,46 Szydlowska-Czerniak et al. evaluated the ability of antioxidants to reduce silver ions to AgNPs, and measuring the LSPR of the resulting NPs at 405 nm, as in Fig. 2 (shown for sinapic acid):

The method was tested for assessing the antioxidant capacity of 15 varieties of rapeseed oils and its performance was compared to the conventional FRAP, DPPH, and FC methods showing linear correlations.46 The method uses silver nitrate which is a more stable reagent than the reagents used in FRAP, ABTS, and DPPH methods, and the detection procedure does not involve expensive reagents or specialized instrumentation. Using a similar principle, morphological changes of Au nanocage to Au@Ag nanobox were reported in presence of gallic acid antioxidant as a reducing agent for AgNO3. These structural changes resulted in a significant shift of LSPR properties (Fig. 3), enabling detection of antioxidants in a concentrationdependent manner.48 This assay was interfaced with a cellphone reading and its applicability was demonstrated for detection of the total antioxidant content in green tea showing remarkable color change and detection sensitivity.

Other optical methods have been designed based on metal oxide NPs such as  $CeO_2$  that have unique redox reactivity towards antioxidant compounds via a combined redox and surface attachment processes. These interactions generate formation of charge transfer complexes of characteristic colors, as shown in Fig. 4 for caffeic acid. The spectral changes

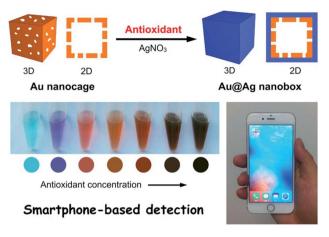


Fig. 3 The sensing principle of antioxidant based on the morphological change of An nanocage upon the reduction of AgNO3 producing Au@Ag nanobox in presence of antioxidants. Adapted from ref. 48 with permission from Royal Society of Chemistry, Copyright

vary depending on the oxidation potential, the structure and degree of hydroxylation of the antioxidant compound.31 Sharpe et al. (2013) developed a portable paper-based sensor to detect antioxidants in tea and mushrooms.31 The sensor was fabricated on paper, which was used as support for the immobilization of the CeO<sub>2</sub> NPs probes. CeO<sub>2</sub> NPs have dual oxidation state of (3+ and 4+), reacting with antioxidants and producing a colorimetric change in presence ascorbic acid, gallic acid, vanillic acid, quercetin, caffeic acid, and epigallocatechin gallate. When combined with other metal oxides, the method provided a library of antioxidant signatures enhancing detection accuracy and precision.49

Other sensors employ MnO2 nanostructures that are known for their oxidase-like activity. A colorimetric sensor based on MnO2 nanosheets was fabricated for detection of ascorbic acid in orange fruit and orange juice. Single layered MnO2 nanosheets exhibited oxidase like activity, as indicated by the oxidation of 3,3',5,5'-tetramethylbenzidine (TMB) (colorless) to (TMB ox) (blue). The oxidation activity was diminished in the

presence of ascorbic acid, which converts Mn in MnO2 nanosheets to Mn<sup>2+</sup>. The sensor provided a sensitive, selective and low-cost platform for ascorbic acid detection.50

#### 2.2 Toxins

The presence of toxins such as pesticides, food-borne toxins and heavy metals in food are recognized risks to human health. Possible risks are also posed by the leaching of materials from materials used in packaging, causing unintended exposure. An example is bisphenol A (BPA), a chemical used in the manufacture of polycarbonate and epoxy resin. The monomer is used in the fabrication of a variety of food packaging such as water bottles, feeding bottles and coating material for processed food cans.36,51 In the US, FDA has banned the use of polycarbonates in baby bottles and spill-proof cups and the use of BPA-based coatings in packaging for infant milk in 2012.36,37

BPA is electrochemically active and can be determined by direct oxidation at electrode surfaces. Electrodes can be modified with metal NPs or carbon-based nanomaterials to enhance detection capabilities. A GCE modified with multiwalled carbon nanotubes (MWCNTs) and a molecularly imprinted polymer (MIP) enabled sensitive electrochemical detection of BPA25 in which the MWCNT is used as a conductive layer and the MIP provides a specific site for BPA binding. The method demonstrated applicability for quantifying BPA in baby feeding bottles. An electrochemical sensor for BPA was also developed by modifying GCE with acidic functionalized MWCNTs and AuNPs composite (AuNP/MWCNTs/GCE).27 The oxidation current of BPA increased 40% after modification of the electrode with AuNPs, 124% after modifying the electrode with MWCNT (MWCNTs/GCE), and a huge increase of 278% when both AuNP and MWCNTs were used. The method demonstrated high sensitivity and reproducibility for BPA quantification in water. Other nano-based approaches for BPA detection are to use NPs such as Au, Ni or Fe<sub>2</sub>O<sub>3</sub> functionalized with tyrosinase, which converts the BPA to quinone (Fig. 5). The NPs catalytically amplify the reduction of quinone. Both the enzyme and the NPs were immobilized onto the surface of a low cost disposable screen printed electrode. The NP biosensor showed high

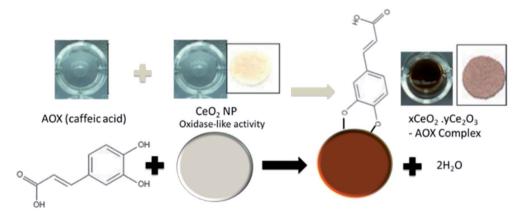


Fig. 4 Reaction mechanism of CeO<sub>2</sub> NPs with antioxidants for the colorimetric detection of antioxidants using caffeic acid as an example. In presence of antioxidants, the color of the NPs change from white/yellowish to dark brown due to surface oxidation and formation of charge transfer complexes. Adapted with modification from ref. 31 and a permission from Royal Society of Chemistry, Copyright 2013.

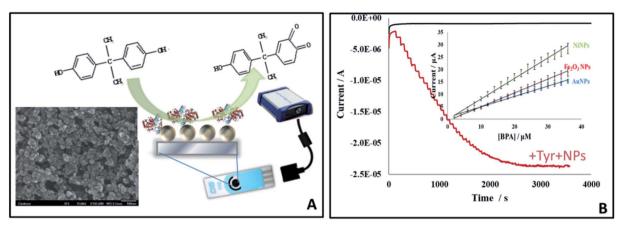


Fig. 5 Enzyme-based detection of BPA by NP amplification using screen printed electrodes modified with Au, Ni or  $Fe_2O_3$  NPs: sensor design (A) and amperometric response with comparative calibration curve (B) showing differential responses to the three types of NPs of which Ni NPs showed the highest sensitivity (schematic summarizing detection platform and results reported in ref. 33).

reproducibility and reusability for up to 100 assays. Of the three NPs tested, Ni NPs provided the highest sensitivity for BPA, with a detection limit of  $7.1\times10^{-9}$  M.<sup>33</sup>

Among chemical hazards in food, toxic fungal metabolites such as mycotoxins pose particular challenges due to their extremely high toxicity at low exposure levels. Currently, there are no suitable methods for field detection of mycotoxins, making early identification of a possible contamination difficult. It is estimated that at least 25% of the grain produced worldwide is contaminated with mycotoxins. Even small concentrations of mycotoxins can induce significant health problems including vomiting, kidney disease, liver disease, cancer and death.52 Ochratoxin A (OTA) is a nephrotoxic toxin, produced by Aspergillus ochraceus and Penicillium verrucosum, with strong carcinogenic effects on rodents, as well as documented teratogenic and immunotoxic effects in human. 53,54 OTA is one of the most abundant food-contaminating mycotoxin in the world. Bülbül et al. (2016) developed a CeO<sub>2</sub> NPs based sensor to detect ochratoxin A (OTA).55 The biosensor was assembled by functionalizing CeO2 particles with OTA specific ssDNA aptamers resulting in higher dispersibility and activity. Changes in the redox properties at the CeO2 surface upon binding of the ssDNA and its target, measured using TMB enabled rapid visual detection of OTA. In the presence of OTA, the ssDNA aptamer changed its structure from loose random coils to a compact tertiary form following target binding. As a result, a decreased catalytic effect against TMB oxidation is observed. The system was able to detect as low as 0.15 nM OTA. These changes resulting from ssDNA and target-induced assembly in response to the target demonstrate that aptamer recognition on the surface of CeO<sub>2</sub> is feasible and can provide a quantitative measure of aptamer targets.

#### 2.3 Adulterants

Exogenous compounds such as dyes are added to change properties and appearance of food. Among adulterants, food dyes such as Sudan I utilized for food coloring, are the most popular. Concerns about the carcinogenic and mutagenic

effects of these azo dyes have resulted in the classification of these dyes as a third category carcinogen by the International Agency for Research on Cancer (IARC).<sup>21</sup> In 2005, over 570 products containing chili powder were found contaminated with the dye leading to an international ban on adding this dye to foodstuff.21 A combination of nanomaterials of palladium/ gold core-shell nanocrystallines (Pd/Au CSNs) and polyamidoamine dendrimers-cadmium sulfide quantum dots CdSeQDs-PAMAM was used for signal amplification in an electrochemiluminescent (ECL) immunosensor for Sudan I detection.22 GNRs/GO was also used to modify the GCE surface and serve as a platform for the immobilization of Sudan I antibodies. The detection mechanism was based on a competitive immunoassay where Sudan I was applied to the surface of the modified electrode followed by addition of a QDs-PAMAM-Pd/ Au CSNs-labeled antibody probe. After incubation and washing ECL measurements were carried out in PBS containing 0.1 M K<sub>2</sub>S<sub>2</sub>O<sub>8</sub>. The ECL signal decreased with increasing Sudan I concentration over a wide linear range and the method showed high sensitivity. A procedure for semi-quantitative screening of Sudan I was also reported using a portable colorimetric immuno-dip strip functionalized with nanocolloidal Au.23 The strip was prepared on a nitrocellulose membrane coated with Sudan I antigen (OVA-S1). Dipping the strip in Au nanocolloid labelled with Sudan I monoclonal antibody (Mab) and Sudan I mixture allowed binding of unbound Au-Mab conjugate with OVA-S1 creating a visual red signal within 10 min. The method was tested on tomato sauce and chili powder samples, showing promising performance for real sample analysis.

Dopamine powder is added to animal feed to increase the muscle building of livestock. Because dopamine is linked to many neurological and psychiatric diseases such as Parkinson's disease, and schizophrenia, the use of dopamine for such purposes has been prohibited in many countries.<sup>56</sup> Our group has reported several colorimetric assays that use redox active nanoceria where dopamine was found to be oxidized by the CeO<sub>2</sub> NPs and the oxidative reaction product resulted formed a colored charge transfer complex that can be easily monitored spectroscopically.<sup>57,58</sup> The method was evaluated for measuring

dopamine in aqueous media and human serum. Moreover, CeO2 and TiO2 NPs mix were co-immobilized with tyrosinase and used to develop an electrochemical biosensor for dopamine.59 The tyrosinase enzyme catalyzes the oxidation of dopamine and the CeO<sub>2</sub>/TiO<sub>2</sub> NPs provided a catalytic amplification of the electrochemical signal, enhancing the sensitivity. The LOD of this biosensor was 1 nM.

Another adulterant that is commonly used in milk is melamine, which is added to provide an apparent increase in the protein content.60 In 2008, more than 290 000 infants were affected in China due to milk adulteration by melamine.61 The incident raised significant safety issues and raised the need to detect melamine in milk. Oh, Seo Yeong, et al. 2019 developed a LSPR sensor chip for detecting melamine in milk products using UV-Vis spectrophotometry.24 To prepare the plasmonic chip, AuNPs were immobilized on a glass substrate (Fig. 6) with p-nitroaniline (p-NA) as a chemical receptor. The sensor showed high sensitivity to melamine when tested in commercial milk powder samples.

#### 2.4 Residual veterinary antibiotics and pesticides

Veterinary drugs used for animal diseases treatment and growth enhancement such as antimicrobial drugs, antiparasitic drugs and growth promoters can accumulate in animal meat and animal derived products such as dairy and eggs. The residual amounts of drugs raise safety concerns of which the development of antibiotic resistance is the most important.20 Methods for rapid evaluation of the residual antibiotic content are currently needed to evaluate the antibiotic presence at the point of use. A SERS based Au@AgNPs aptasensor with a DNA aptamer covalently immobilized on AuNPs, followed by coating with Ag shell was reported for kanamycin detection in milk.62 A Raman Cy3

reporter that hybridize with probe DNAs by complementary base pairing was used. Results showed that increasing kanamycin concentration results in a decreased Raman intensity of the Cy3 signal. A detection limit of 0.9 pg mL<sup>-1</sup> and recoveries of 90.4-112% were reported. Other sensors were tested on 26 type of sulfonamides antibiotics in honey using a AuNPs immune-based lateral flow device.63 The lateral flow strip was fabricated using a group-specific monoclonal antibody (mAb) conjugated to AuNPs. The performance of the sensor was tested with commercial honey samples and validated with HPLC, and the sensor showed comparative results. The detection limits for sulfonamides such as sulfamethoxazole, sulfamethizole and sulfadiazine ranged from 0.25, to 10 μg kg<sup>-1</sup>, depending on the antibiotic.

Pesticides are intensively used in agriculture to protect crops and increase food production but their toxicity pause human health risks. Pesticides include a wide range of chemicals, e.g. insecticides, fungicides, herbicides and plant growth regulators. Upon application, residual pesticides remain in plants, soil and water leading to long term exposure and serious human health risks.64 Organophosphate pesticides such as chlorpyrifos, fenthion and methyl parathion were detected by a non-enzymatic approach using CuO nanostructures grown in situ over indium tin oxide ITO.65 The detection principle is based on the inhibition of pralidoxime chloride (PAM) coated over the pimelic acid functionalized CuO nanostructures on the ITO surface. The in situ assembly of the nanostructure provided enhanced surface area and loading, hence enhanced signal. The method was demonstrated for detection of chlorpyrifos in cabbage and spinach extracts. More recently, a disposable, printed graphene-based biosensor was developed for organophosphates detection.66

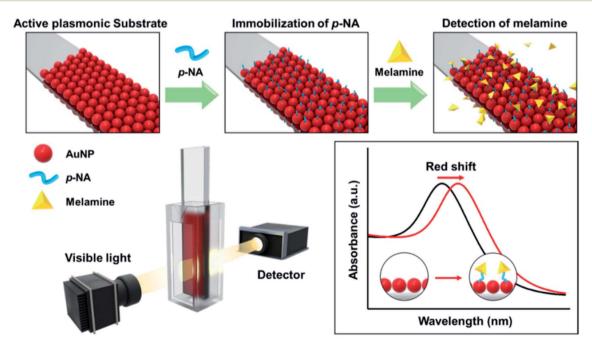


Fig. 6 Schematic illustration for melamine detection with functionalized plasmonic chip (adapted from ref. 24, open access).

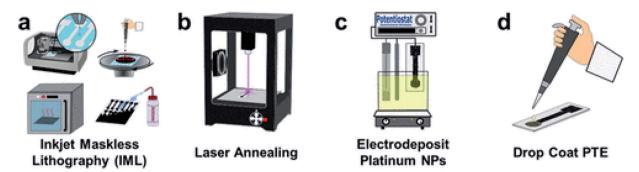


Fig. 7 Schematic representation of the fabrication process of PtNP-IML-PGE biosensor. First graphene is patterned by IML (a) followed by annealing with laser (b) electrodeposition of Pt NPs (c) and drop-coating of PTE ink (d). Reprinted with permission from ref. 66. Copyright (2018) American Chemical Society.

The electrode was modified through inkjet mask-less lithography (IML) and fabricated by patterning graphene with the IML technique, followed by laser annealing, electrodeposition of PtNPs, and finally drop casting of a phosphotriesterase ink (Fig. 7). Pt NPs were further used to increase surface area, conductivity and enzyme loading. The biosensor provided rapid and sensitive detection of paraoxon in soil and water samples. Methods based on acetylcholinesterase (AChE) inhibition are also popular and have been reported in conjunction with a variety of nanomaterials, in their vast majority MWCNTs or graphene.<sup>67</sup> Other examples of nanotechnology approaches for pesticide sensing can be found in reviews dedicated to this topic.<sup>68,69</sup>

#### 2.5 Pathogens

Approximately 48 million people are affected by diseases caused by bacteria, viruses and parasites according to statistics and reports issued by WHO Foodborne Disease Burden Epidemiology Reference Group (FERG).<sup>70</sup> Pathogenic bacteria such as *Salmonella*, *Campylobacter* spp. and *Escherichia coli* (*E. coli*)<sup>71</sup> are responsible for most outbreaks.<sup>72–75</sup> The availability of rapid analytical methodologies that can identify pathogens in a timely manner would present a significant improvement over conventional approaches that are cumbersome, time and labor intensive.<sup>76</sup> Nano-based sensing approaches have demonstrated improvements in miniaturization, increased sensitivity and portability. Main platforms are based on concepts that

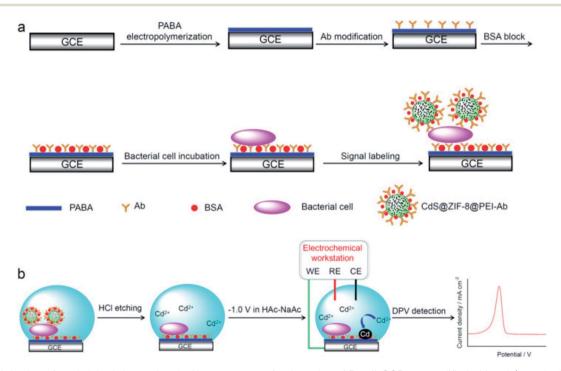


Fig. 8 (a) Fabrication of sandwiched electrochemical immunosensor for detection of *E. coli*: GCE was modified with poly(p-aminobenzoic acid) (PABA) followed by anti-*E. coli* O157:H7 Ab covalent immobilization, blocking with bovine serum albumin (BSA), *E. coli* incubation and finally labelling with CdS@ZIF-8@PEI-Ab tags. (b) Electrochemical detection of leached Cd(II) resulting from etching of CdS@ZIF-8@PEI-Ab tag. Adapted from ref. 78 with permission from Elsevier B.V., Copyright 2018.

incorporate immuno and aptamer recognition with MWCNTs, graphene, quantum dots (QD), Au or AgNPs, phage-based approaches, MIPs and antimicrobial peptides.77 Recent works by Zhong, Miao, et al. (2019) reported the use of cadmium sulfide (CdS) QDs confined within a metal organic framework (zeolitic imidazolate framework-8 (ZIF-8)) for electrochemical immuno detection of E. coli O157:H7 (ref. 78) with the CdS@ZIF-8 used as an amplification tag. To introduce specificity, the CdS@ZIF-8 particles were decorated with polyethyleneimine (PEI) which facilitates attachment of E. coli specific antibodies CdS@ZIF-8@PEI-Ab. E. coli detection was achieved using a sandwich assay with measurement of the Cd(II) leaching, quantified with differential pulse voltammetry. The use of ZIF-8 enhanced the detection limit (3 CFU mL<sup>-1</sup>) by 16 times compared to the ZIF-8 free sensor (Fig. 8).

A strategy combining AuNPs amplification with magnetoimmunorecognition was developed for detection of Salmonella enterica subsp using a low cost screen-printed carbon electrode (SPCE) integrated with a permanent magnet to facilitate separation and detection of Salmonella in skimmed milk. The use of immunomagnetic beads with anti-Salmonella Ab were effective at capturing and concentrating the bacteria. A limit of detection of 143 cells per mL was reported, demonstrating potential for achieving high sensitivity and a shorter analysis time as compared to conventional methods.79

E. coli and Salmonella have also been detected using a AuNPs Surface Plasmon Resonance (SPR) assay developed for use in crude food samples.80 The sensor was fabricated by modifying a SPR chip with poly(carboxybetaine acrylamide) (pCBAA) brushes with a 20 nm brush thickness, followed by covalent binding of Ab onto their surface. Crude cucumber and hamburger extract containing bacteria were deposited on the surface followed by addition of a secondary biotinylated Ab and later streptavidin-coated spherical AuNPs. The system was able

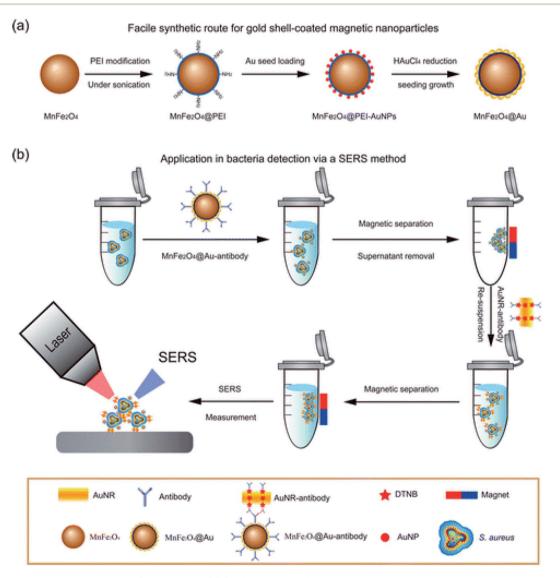


Fig. 9 (a) Synthesis of MnFe<sub>2</sub>O<sub>4</sub>@Au core/shell NPSand (b) SERS method of Staphylococcus aureus detection. Adapted with permission from (ref. 32, Copyright (2016) American Chemical Society

Sensor type	Analyte	Sample	Nanomaterial	Function	Ref.
Electrochemical	Antioxidants ((BHA), (BHT) and (TBHQ)	Edible oils	AuNPs electrodeposited on GCE	Improved conductivity due to surface area enlargement and increase of active sites	30
	Antioxidants (ascorbic acid)	Fruit juice	Graphene nano ribbons (GNR)	Enhanced surface area and electrochemical properties	37
	Mannitol, sucrose, lactose, glucose, and fructose	Banana and bovine milk	Graphene-Cu NPs	Oxidation of carbohydrate	42
	Mannitol, sucrose, glucose, and fructose	_	CNTs-Cu NPs	CNTS provided high surface area. Cu NPs enabled oxidation of carbohydrate	43
	Glucose, fructose and sucrose	Soft drinks	Cu NPs based ink	Oxidation of carbohydrate	44
	Toxins (BPA)	sell.	AuNPs loaded on MWCNT	Enhanced surface area and conductivity	27
	Adulterants (melamine) Toxins (BPA)	Milk Baby feeding bottles	MWCNT	Conductive and functionalizable layer	25
	Adulterants, Sudan I	Tomato sauce	-GNRs/GO	GO provided a matrix for antibody immobilization. GNRs/ GO enhanced the surface area thus enhanced the signal generation	22
		Chili sauce	-Pd/Au core–shell nanocrystallines (Pd/Au CSNs)		
		Chili powder	-CdSe@CdS QDs	Pd/Au CSNs provides catalytic activity and high surface area	
Electrochemical	Adulterants (dopamine) Residual pesticides (chlorpyrifos, fenthion and methyl parathion)	In vivo rat brain Cabbage and spinach extract	TiO <sub>2</sub> /CeO <sub>2</sub> NPs CuO nanostructure	Enhanced sensitivity Surface area enhancement	59 65
	Residual pesticides (paraoxon)	Soil and water	PtNPs	Surface area, conductivity and enzyme loading enhancement	66
	Pathogens (E. coli O157:H7)	Milk	Cd QDs encapsulated in ZIF-8 metal organic framework	Electrooctochemical signal generation due to Cd etching to Cd(II)	78
	Pathogens (Salmonella)	Skimmed milk	AuNPs label	Electrochemical signal generation	79
	Heavy metals (Hg <sup>2+</sup> )	Water	SWCNTs gold	Provides a high surface area of thiophenol- modified SWCNTs gold electrode	88
	Heavy metals (Pb <sup>2+</sup> , Cd <sup>2+</sup> )	Water	${\rm GSH}@{\rm Fe}_3{\rm O}_4$	Preconcentration	89
	Heavy metals (Hg <sup>2+</sup> , Cu <sup>2+</sup> )	_	GODs-AuNPs	Preconcentration	90
Optical	Antioxidants (ascorbic acid, gallic acid, vanillic acid, quercetin, caffeic acid, and epigallocatechin gallate)	Tea and medicinal mushroom	CeO <sub>2</sub> NPs	Catalytic activity	31
	Antioxidants (ascorbic acid)	Orange and orange juice	MnO <sub>2</sub> nanosheets	Catalytic activity	50

Table 1 (Contd.)

Sensor type	Analyte	Sample	Nanomaterial	Function	Ref.
	Antioxidants (gallic acid)	Green tea	Au nanocage	Morphological change induces LSPR change upon seed-mediated growth of Ag	48
Optical	Mycotoxin (ochratoxin A)	Milk	CeO <sub>2</sub> NPs	Catalytic activity	55
	Adulterants (melamine)	Milk powder	AuNPs	SPR optical properties	24
	Adulterants, Sudan I	Tomato sauce and chili powder	Au nanocolloid	Visible colorimetric signal on nitrocellulose strip	23
	Adulterants (dopamine)	Aqueous media and serum	CeO <sub>2</sub> NPs	Colorimetric signal	57 and 58
	Veterinary antibiotics (kanamycin)	Milk	Au@AgNPs	SERS activity	62
	Veterinary antibiotics (26 sulfonamides)	Honey	AuNPs		63
	Pathogens (E. coli and Salmonella sp.)	Cucumber and hamburger extracts	AuNPs	SPR optical properties	80
	Pathogens	<del>_</del>	AuNR	<ul> <li>SERS activity</li> </ul>	32
	(Staphylococcus aureus)		MnFe <sub>2</sub> O <sub>4</sub> @Au	<ul> <li>Separation</li> </ul>	
	Heavy metals (Hg <sup>2+)</sup>	_	DNA-AuNPs	Colorimetric response due to aggregation	94
	Heavy metals (Hg <sup>2+</sup> , Cu <sup>2+</sup> , Fe <sup>3+</sup> )	_	Nitrogen-doped carbon dots	Fluorescent response	95

to detect down to 57 CFU mL $^{-1}$  and 17 CFU mL $^{-1}$  *E. coli* and 7.4  $\times$  10 $^3$  CFU mL $^{-1}$  and 11.7  $\times$  10 $^3$  CFU mL $^{-1}$  for *Salmonella* in cucumber and hamburger extracts within 80 min. In another study, AuNPs were decorated on the surface of magnetic NPs (MNPs) to enable both detection and separation of bacteria. MnFe $_2$ O $_4$  NP cores were coated with a polyethylene imine layer (+charge) followed by AuNPs shell (–ve charge) seeding on the surface through electrostatic interactions. The Au MNPs were bound to *Staphylococcus aureus* specific Abs and the platform was used for separation and detection of the target bacteria. The method was able to detect 10 cells per mL (Fig. 9).

#### 2.6 Heavy metals

The contamination of the environment with heavy metals leads to further contamination of food. Another source of heavy metal contamination is the contact of food with materials used in labels and packaging such as text/ink patterns, and images. Food processing and the use of processing aids, such as minerals used in the filtration of beverages, may also release trace amounts of heavy metals. The presence of trace amounts of heavy metals such as Hg<sup>2+</sup> As<sup>3+</sup>and Pb<sup>2+</sup> in food poses significant public health concerns. Conventional methods of analysis involve using Atomic Absorption/Emission Spectroscopy (AAS/AES)<sup>83</sup> and Inductively Coupled Plasma Mass Spectroscopy (ICPMS). These methods provide low detection limits and high sensitivity; however, their high cost and limited availability limit their utilization to testing in centralized laboratories. Cost-effective methods that can be used in the field

would increase field-testing enabling large scale monitoring of potential contamination. Nanomaterials provide opportunities for adsorption and pre-concentration of heavy metal. They have also been used as materials in electrochemical sensors, increasing the detection sensitivity of these devices for field analysis.<sup>85</sup>

Electrochemical sensors with anodic striping voltammetry (ASV) detection provide a simple, user-friendly method for detection of heavy metals contamination. High surface area carbon based nanomaterials such as graphene and CNTs were integrated with various recognition elements such as aptamers,86 antibodies and enzymes87,88 and the composites were used as materials for electrochemical sensors to detect heavy metals. A sensor for detection of Hg2+ was developed using single-walled CNTs functionalized with thiophenol groups (SWCNT-PhSH) over a Au electrode, with a LOD of 3.0 nM.88 Baghayeri M, 2018 used glutathione coated magnetic nanocomposite (GSH@Fe<sub>3</sub>O<sub>4</sub>) to detect Pb<sup>2+</sup> and Cd<sup>2+</sup> ions via anodic stripping voltammetry.89 The magnetic nanocomposite enabled pre-concentration and reduction of metal ions at a modified GCE. LODs of 0.182  $\mu g L^{-1}$  and 0.172  $\mu g L^{-1}$  were reported. A conjugate of graphene quantum dots (GQDs) and cysteamine-capped AuNPs enabled ultralow detection of Hg<sup>2+</sup> and Cu<sup>2+</sup>.90 The GODs-AuNPs were deposited on a GCE and the carboxyl groups of the modified electrode composite interacted with Hg<sup>2+</sup>, enabling detection. The high affinity of AuNPs towards Hg<sup>2+</sup> helped in concentrating the ions prior to anodic stripping voltammetry. LODs of 0.02 nM for Hg<sup>2+</sup> and 0.05 nM for Cu<sup>2+</sup> were achieved.

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Optical sensors were also designed to detect heavy metals.  $^{91-93}$  The most used platform is based on DNA-functionalized AuNPs (DNA-AuNPs), used to detect a variety of heavy metals, including  $Hg^{2^+}$ .  $^{94}$   $Hg^{2^+}$  coordinates with thymidine (T) as T–Hg–T creating a purple color due to AuNPs aggregation. A LOD of 100 nM was achieved. Another example is a fluorescent sensor developed for detection of an array of metal ions  $(Hg^{2^+}, Cu^{2^+}, Fe^{3^+})$ .  $^{95}$  The method is based on synthesized luminescent nitrogen-doped carbon dots. The carbon dots were decorated with three different amino acids (L-glycine, L-lysine and L-serine) that induced various quenching responses to metal ions, with LODs in the 10  $\mu$ M range.

Table 1 provides examples of recently developed nanomaterials-based (bio)sensors for detection of pathogens, toxins, allergens and antioxidants in food. The role on nanomaterials in each sensor design is described.

## 3 Nanomaterials in biodegradable food packaging

Glass, steel, aluminum, paper and paper board, wood and plastic are examples of materials used for food packaging. Food packaging relies heavily on the use of non-degradable polymers mainly plastics such as polyethylene (PE), polypropylene (PP), polystyrene (PS) and polyethylene terephthalate (PET). These materials have good mechanical strength and thermal stability, and provide high quality protection to food. However, their increased usage and non-biodegradability pose significant environmental risks. Sustainable and environmentally friendly materials are required to replace non-degradable plastics and reach the United Nation sustainability development goal (SDG) to "Ensure sustainable consumption and production patterns". 50

Packaging is important to protect food items, preserve the nutritional value, enable proper handling and facilitate storage and transport through the supply chain. The food sector contributes to around 22% of the total greenhouse gas emissions in which packaging waste reaching landfills is part of the energy consumption and pollution.<sup>50</sup> Adapting polices to reduce plastic usage and develop greener and more sustainable environmentally friendly alternatives to currently used plastics is needed. A solution to this problem is to use biodegradable materials and improve their properties (e.g. mechanical strength, gas barrier) to allow for their application in food packaging.96 This can be achieved by incorporation of nanomaterials (e.g. nano-fillers) in biodegradable polymers and form bio-nanocomposites that can provide enhanced mechanical strength and serve as a barrier for microorganisms, moisture and gases (O2 and CO2).97 Examples of biodegradable polymers are polysaccharides (e.g. starch, cellulose, chitosan), proteins, and bio-derived polymers conjugated with synthetic polymers (e.g. poly lactic acid (PLA), polyhydroxybutyrate (PHB)).98 The physical and chemical properties of the biopolymer/ nanomaterial composites or films have been significantly different that those of the native biopolymers, and it was

demonstrated that these properties can be tuned by the selection of the type, amount, chemical modification and fabrication procedure. Examples of biodegradable polymers that incorporate nanomaterials that have potential for applications in food packaging are summarized in this section, along with their properties and development status.

#### 3.1 Starch

Starch is inexpensive, widely available, renewable and biodegradable, making it one of the most promising biopolymer for food packaging. However, starch in its native form has weak barrier properties, water sensitivity and brittleness.99 Recent research has demonstrated that incorporation of TiO<sub>2</sub> NPs, graphene and poly(methyl methacrylate-co-acrylamide) NPs improves the mechanical, UV-protective and water barrier properties of starch. 100-103 Goudarzi, V. et al. (2017) fabricated starch/TiO<sub>2</sub> bio-nanocomposites with different content of TiO<sub>2</sub> (1, 3 and 5 wt%).100 The resulting films showed enhanced hydrophobicity, thermal properties and reduction of water vapor permeability. The addition of TiO2 reduced the tensile strength and Young's modulus, increasing the elongation at break and tensile energy to break, and provided UV-protective properties, demonstrating potential as UV shielding materials. Ashori, A. et al. (2014) fabricated biodegradable blend films from chitosan and tapioca starch loaded with nano graphene. 101 The addition of an optimum amount of 0.8 wt% nano graphene enhanced the tensile strength and thermal stability, and reduced water vapor permeability.101 In other works, synthetic poly vinyl alcohol (PVA) loaded with nano-sized poly(methyl methacrylate-co-acrylamide) (PMMA-co-AAm) particles was blended with natural corn starch to improve their water hydrophobicity. 102 The film demonstrated a 70-400% enhancement of mechanical properties and water resistance. Moreover, the film showed good degradability of 45-65% after 165 days. Antimicrobial films were also fabricated by using high-amylose starch/PVA blend films with and TiO2 NPs. 103 The use of up to 0.6% of TiO2 NPs resulted in a significant improvement in mechanical properties and an increase in tensile strength due to formation of hydrogen and C-O-Ti bonds. Additionally, the films showed antimicrobial activity that were attributed to the loading with TiO<sub>2</sub> NPs.

#### 3.2 Chitosan

Chitosan is a highly abundant, biocompatible, biodegradable and antimicrobial polysaccharide<sup>104</sup> that has potential for food packaging applications. Nanomaterials can enhance antimicrobial activity and improve mechanical properties of chitosan. Chitosan/nanocomposite films can be prepared by solution casting, coating or layer-by-layer assembly. An antimicrobial bionanocomposite film was synthesized by solution casting using chitosan, ZnO NPs and neem essential oil. A concentration of 0.5% ZnO NPs resulted in improved mechanical properties *e.g.* tensile strength, film thickness and transparency, and provided gas barrier properties and reduced water solubility. The use of ZnO NPs increased the antimicrobial activity of the film towards *E. coli.* <sup>105</sup> An active ZnO/chitosan nanocomposite

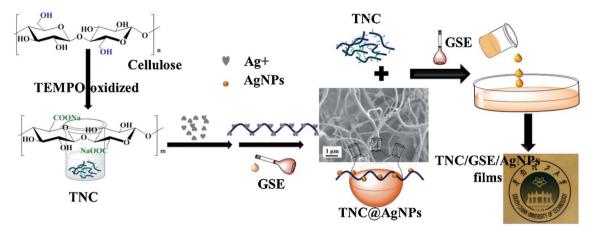


Fig. 10 Preparation of multifunctional TNC/GSE/AgNPs films with antimicrobial and antioxidant activity. Adapted from ref. 114 with permission from Elsevier Ltd, Copyright 2018

coated on plasma-treated polyethylene film (PE), showed enhanced adhesion, increased antimicrobial properties and inhibition of Salmonella enterica, E. coli, and Staphylococcus aureus as compared to a pristine chitosan film.106 A nanocomposite film based on MgO NPs, chitosan and clove oil extract prepared by solution casting demonstrated enhanced mechanical property, film thickness and transparency, and reduced swelling, as well as enhanced antibacterial activity towards Staphylococcus aureus. 107 Another study showed significant improvement of mechanical properties of chitosan by adding MgO NPs, which can be attributed to the interaction of hydroxyl and amine groups of chitosan with the MgO surface. The optimum properties were achieved at 5 (w/w)% MgO loading which improved the tensile stress by 86% and the elastic modulus by 38%. The film also displayed thermal stability, flame retardant properties, UV protection and moisture barrier properties.108 Addition of TiO2 NPs to polyvinyl alcohol (PVA)-chitosan film improved the mechanical and gas barrier properties and provided antibacterial activity against E. coli, S. enterica, L. monocytogenes, and S. aureus. The use of a high hydrostatic pressure (HHP) treatment to prepare the film increased the interaction between chitosan and PVA creating a more compact mechanically stable structure. The film was tested for NP migration in distilled water, acetic acid, ethanol and olive oil. Of these, only olive oil showed trace amounts of  $TiO_2$  (<4.20 × 10<sup>-30</sup>/<sub>00</sub>). The HHP treatment (200-400 MPa) is found to significantly decrease migration of NPs. 109 Nanocrystalline cellulose or cellulose nanocrystals (CNC) is another common material known to reinforce and improve mechanical properties of chitosan. A 5% (w/w) amount of CNC dispersed homogeneously in chitosan showed a 26%, improvement in tensile strength and a 27% reduction in water permeability. 110

#### 3.3 Cellulose

Cellulose is the most abundant biopolymer in nature; it is produced inexpensively in a large scale from sustainable sources, and is biocompatible and biodegradable.111 Common cellulose does not have sufficient functional properties and

cannot be easily processed for food packaging applications in its native form, and therefore has limited value and utility. A more recently developed form of cellulose is nanocrystalline cellulose or cellulose nanocrystals (CNC).112 A fully degradable CNC based nanocomposite film was fabricated using poly(3hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) and functionalized CNC methyl ester, CNC-me. CNC was esterified to increase hydrophobicity and enhance the dispersion in the hydrophobic PHBV polymer. The film demonstrated significant enhancement in mechanical properties, thermal stability, barrier and migration properties with increasing CMC-me concentrations up to a maximum 20% loading, due to improved interfacial adhesion and increased crystallinity. Moreover, CNC migration was tested in non-polar isooctane and polar (10% ethanol) simulants and the levels were found below the limits imposed for food packaging materials. 113 Multifunctional antimicrobial and antioxidant packaging films were developed by incorporating AgNPs (5.77%), grapes seeds extract (GSE) and 2,6,6tetramethylpiperidine-1-oxyl (TEMPO)-oxidized nano-cellulose TNC (Fig. 10). The films displayed improved mechanical properties, lower water vapor and oxygen permeability and good

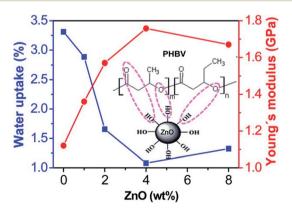


Fig. 11 The effect of ZnO NPs content on water uptake and Young's modulus of a ZnO-PHBV nanocomposite. Reprinted with permission from ref. 123. Copyright (2014) American Chemical Society.

Table 2 Nanomaterials in biodegradable food packaging

Polymer	Nanomaterial	Туре	Nanomaterial effect	Ref.
Starch	${ m TiO}_2$	Starch	• Improved UV-protection	100
			<ul> <li>Improved thermal properties</li> </ul>	
			Improved hydrophobicity and reduction of water	
			vapor permeability	
	Nano-graphene	Chitosan-tapioca starch	Improved thermal properties     Improved machanical properties	101
			<ul><li>Improved mechanical properties</li><li>Moderate reduction of water vapor permeability</li></ul>	
	$TiO_2$	Amylose starch/PVA	Improved mechanical properties and water	103
	1102	mingrose statemy vii	resistance  • Antibacterial activity	100
	Nano-sized poly(methyl	Corn starch-PVA	Improved mechanical properties and water	102
	methacrylate-co-	Gorii staren 1 vii	resistance	102
	acrylamide) (PMMA-co-		• Improved degradability up to 45–65% after 165	
	AAm) particles		days	
Chitosan	ZnO NPs	Chitosan	<ul> <li>Improved mechanical properties (tensile strength,</li> </ul>	105
			film thickness and transparency, barrier properties	
			<ul> <li>Improved antimicrobial activity</li> </ul>	
	Nano ZnO	Chitosan coated on PE film	<ul> <li>Improved antimicrobial activity</li> </ul>	106
			Enhanced solubility	
	MgO	Chitosan	• Improved mechanical properties (tensile strength,	107
			film thickness and transparency, barrier properties	
	16.0	Cl. iv	Improved antimicrobial activity	400
	MgO	Chitosan	<ul><li>Improved mechanical properties</li><li>Thermal stability and flame retardant properties</li></ul>	108
			<ul> <li>Thermal stability and name retardant properties</li> <li>UV protection</li> </ul>	
			Moisture barrier properties	
	${ m TiO_2}$	Chitosan/PVA	<ul> <li>Improved mechanical properties (tensile strength,</li> </ul>	109
	1102	Cincosum, 1 vii	film thickness and transparency, barrier properties	103
			• Improved antimicrobial activity	
			No particles migration of the films prepared by	
			high hydrostatic pressure (HHP) treatment	
	CNC	Chitosan	<ul> <li>Improved mechanical properties (tensile strength</li> </ul>	110
			and barrier properties)	
Cellulose	AgNPs and CNC	Cellulose	• Improved mechanical properties	114
			Antibacterial	
	CVC		• Antioxidant	
	CNC	Cellulose nanocrystals	• Enhancement in mechanical properties, thermal	113
Proteins	Bacterial based CNC	Gelatin	stability, and barrier migration  • Enhanced mechanical properties	118
FIOCEIIIS	Bacterial based GNC	Gelatili	Reduced water affinity	110
			Edible, biodegradable	
	ZnO NPs	Soybean protein	• Increase in microbial activity by 16%	121
		P	• Enhance in tensile strength by 234%	
			<ul> <li>Improve the thermal and mechanical properties</li> </ul>	
	Zein NPs	Whey protein isolate (WPI)	<ul> <li>A significant improvement of mechanical</li> </ul>	119
			properties and water vapor barrier	
	Nano clay	WPI	<ul> <li>Mechanical properties of tensile strength and</li> </ul>	120
			water vapor barrier were improved except the case	
			of Cloisite 30B	
			WPI/Cloisite 30B exhibited antimicrobial activity	
			against Gram-positive bacteria, <i>Listeria</i>	
Synthetic	ZnO NPs	Bacterial polyester poly(3-	<ul><li>monocytogenes unlike other nanoclays</li><li>To increase in microbial activity by 16% and to</li></ul>	123
•	ZHO INFS	hydroxybutyrate-co-3-	enhance in tensile strength by 234% and to improve	123
polymers		hydroxyddtyrate-to-s- hydroxyvalerate) (PHBV)	the thermal and mechanical properties	
			and moonanied properties	
	CNC			115
	CNC	PLA	<ul> <li>Reduction in water permeability. However, oxygen barrier properties were improved</li> </ul>	115

antimicrobial activity against *E. coli* and *S. aureus* as compared to the TNC films in absence of AgNPs. The films could be used as packaging materials to extend food storage.<sup>114</sup>

Nano-biocomposites were also prepared using CNC and surfactant modified-CNC embedded in a thermoplastic polymer derived from natural sources, polylactic acid (PLA). The surfactant provided a suitable dispersing medium for the CNC, improving homogeneity of the film. The overall performance of the optimized film (1 wt% of surfactant-CNC) showed a 34% reduction in water permeability, and improved oxygen barrier properties when 1 wt% and 5 wt% of CNC and surfactant-CNC were used. 115

#### 3.4 Proteins

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Proteins added to plasticizers such as glycerol, polyethylene glycol, and sorbitol can be used to produce biodegradable films but these lack strong mechanical properties and water hydrophobicity. 116,117 Integrating nanomaterials such as CNC could enhance these properties and render these films suitable for packaging. A gelatin nanocomposite film based on CNC generated from acid hydrolysis of fibrous bacterial cellulose obtained from Gluconacetobacter xylinus demonstrated improved mechanical and thermal properties and reduced moisture affinity of gelatin.118 Whey protein isolates (WPI) nanocomposite embedded with zein NPs (ZNP) fabricated by solution casting provided a packaging material with reduced hydrophilicity and improved moisture barrier. To enhance the dispersion, the ZNP particles were coated with sodium caseinate that has both hydrophobic and hydrophilic ends, creating an efficient interface between the hydrophobic ZNP and hydrophilic WPI matrix, and improved homogeneity of the NP mixture.119 WPI was also used to prepare a nano-clay type composite with improved transparency and antimicrobial properties against Listeria monocytogenes It was found that incorporation of small amounts of nano-clays, e.g. Cloisite Na<sup>+</sup>, Cloisite 20A, and Cloisite 30B, into WPI films change the film properties, especially the mechanical properties and water vapor barrier.120 ZnO NPs integrated with soybean protein isolate (SPI) produced films showing a 16% increase in microbial activity and a 234% increase in tensile strength. 121

#### 3.5 Synthetic polymers

Svagan, Anna J., *et al.* (2012) reinforced PLA with montmorillonite clay (10–1000 nm) and chitosan to form a polymer layer with improved oxygen barrier properties. The use of PLA reduced the oxygen permeability coefficient by 99% and 96%, respectively at 20 and 50% relative humidity (RH) significantly improving the gas barrier properties for packaging applications. <sup>122</sup> In other works, bacterial polyester poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) was integrated with ZnO NPs to prepare a biodegradable nanocomposite *via* casting method. <sup>123</sup> The filler interacted with the polymer *via* hydrogen bonding and showed high dispersion uniformity. This resulted in enhanced thermal stability and mechanical properties *e.g.* stiffness, strength, toughness, and glass transition temperature. The nanocomposite showed decreased water uptake and gas vapor permeability compared to the bare polymer. (Fig. 11)

shows the effect of ZnO NPs content (4.0 wt%) on water uptake and Young's modulus. The film demonstrated antimicrobial activity against *E. coli* and *Staphylococcus aureus* with a weaker effect on the latter. Table 2 provides examples of recently developed nanomaterials proposed for use in biodegradable packaging. The type and role of nanomaterials and the packaging application are described.

## 4 Nanomaterials in active and functional packaging

Yearly, about one third of the food produced around the world (1.3 billion tons) is wasted due to spoilage. 50 Food contamination and spoilage affect human health and has large economic impact, and raising medical care expenses. 124 New technology to reduce waste and increase food safety is needed. This include strategies for real time quality monitoring and the development of active and functional materials to increase the product shelf-life through packaging. Traditional packaging materials are made of inactive materials that prevent moisture and O2 penetration, made primarily from non-degradable conventional plastics like PE and PET. With the development of new materials, opportunities exist to create active packaging that provide functional properties to extend shelf-life and improve safety.125 These include oxygen scavengers to minimize fat oxidation, 126 moisture absorbers to reduce microbial growth,127 ethylene scavengers to reduce fruits and vegetables ripening,128 carbon dioxide emitters to inhibit spoilage by microbial action. CO<sub>2</sub> could also be used in tandem with O2 scavengers to replace the absorbed O2bib129.129 Active packaging could also include emitting sachets or coatings that release antimicrobial agents, antioxidants, flavors and preservatives to maintain or improve food quality. These can be included within conventional non-degradable packaging, and more recently are being used in conjunction with degradable biocompatible substitutes. In addition to adding functional properties, efforts are underway to develop intelligent packaging that, in addition to food preservation, would monitor food and communicate with suppliers or the consumer the quality of packaged food at any point of the supply chain, or at the time of use. Intelligent packaging includes sensors, indicators and data carriers, 130 that contain and provide information about the quality characteristics of the packaged product. Main types of chemical and biological sensors of interest in food analysis, active and smart packaging have been discussed in Section 2 of this review and several reviews on sensing technologies can be found in literature.131 Nanomaterials are used to enhance the performance of packaging by offering added functionality such as antimicrobial activity, UV protection, oxidation prevention, etc. They can also be used as a signal generator and sensing element in smart packaging, for example as freshness indicators (Fig. 12). This section provides an overview of the commonly used indicators and materials and describes their development status towards active and intelligent packaging.

#### 4.1 Time-temperatures indicators (TTI)

The most common commercially available indicators are timetemperature indicators (TTI) that record the thermal history of

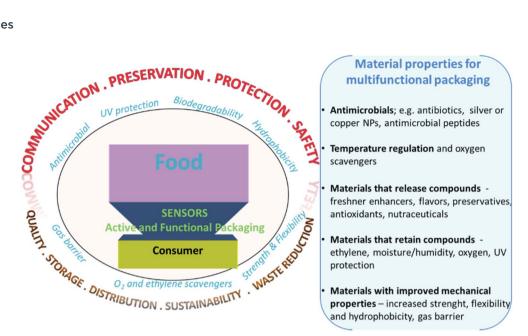


Fig. 12 Illustration of the main properties and applications of active and functional sensors and materials for packaging applications.

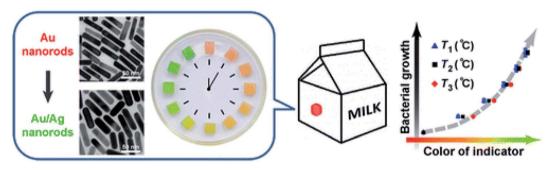


Fig. 13 Time—temperature indicator based on silver growth on gold nanorods creating a change in color related to microbial growth which is governed by time and temperature. The clock illustration shows Ag/Au nanorods-hydrogel cubes arranged in a Petri dish indicating the color change over time. Reprinted with permission from ref. 135. Copyright (2013) American Chemical Society.

the food product during the entire supply chain.<sup>132</sup> The device provides a visual signal (e.g. irreversible color change) indicating changes in the storage conditions of the product (time and temperature). The functional principles of these probes rely on chemical reactions such as heat stimulated polymerization, pH, light or photochromic induced reactions, 133 or physical changes altering diffusion of colored materials within a porous matrix,134 or change due to the plasmon resonance of metallic NPs.135 Zhang, Chao et al. (2013) created a plasmonic TTI to document the temperature history and indicate the deterioration process of perishable products employing kinetically programmable plasmonic nanoclusters of Ag on AuNPs. 135 The design principle is based on assessment of the growth rate of bacteria as a function of temperature, quantified by measuring changes in the chronochromic behavior during the heteroepitaxial growth of Ag on Au nano rods. The growth of Ag on Au nanorods causes a red shift of the extinction band with a concomitant color change from red to green which was correlated with the magnitude of microbial growth. To produce a chemical tag-like indicator the colloidal solution was solidified with agar (Fig. 13). Wang, Yi-Cheng et al.

(2015) reported a chemical TTI indicator that uses spherical AuNPs prepared by reducing tetrachloroaurate in gelatin. <sup>136</sup> It was found that the indicator changed its color from pink to red within 90 days of storage and that the color change was dependent on the temperature.

#### 4.2 Antimicrobial active packaging

Antimicrobial packaging are developed with the aim to preserve food items and prolong shelf-life by inhibiting microbial growth. This is achieved by incorporation of an active agent or deposition of a coating layer onto/within the packaging material. These agents include organic acids, enzymes, bacteriocins, fungicides, polymers (*e.g.* chitosan), and natural extracts. Antimicrobial nanomaterials such as AgNPs have attracted significant attention for this particular type of packing due to their well-known antimicrobial activity. Gu, Rong, *et al.* (2019) fabricated AgNPs-based antimicrobial biodegradable film with <10% AgNPs leakage. The film was prepared by using hyperbranched polyamide-amine (HPAMAM) as a template, reducing agent, and stabilizer to synthesize and prevent leaching of

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B HPAMAM NPs

Ag cluster

Ag@HPAMAM NPs

Reduction

Nucleation

Nucleation

Nucleation

Ag@HPAMAM NPs

embedded cellulose solution

Ag@HPAMAM NPs
embedded cellulose solution

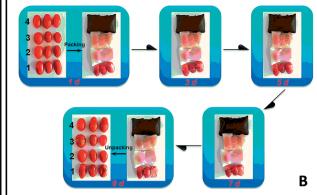


Fig. 14 (A) Synthesis mechanism of AgNPs-based cellulosic antimicrobial film. The film was prepared by using hyperbranched polyamide-amine (HPAMAM) as a template, reducing agent, and stabilizer producing composite Ag@HPAMAM NPs. Oxidized cellulose film was produced by dissolving cotton with alkali hydroxide/urea solution followed by oxidation of hydroxyl groups at C-2 and C-3 of the glucose residues to aldehyde groups by NaIO<sub>4</sub>. The composite then was embedded into oxidized cellulosic film *via* amino-aldehyde bond and left to dry. (B) Cherry tomatoes were stores in controlled condition of 25 °C, 75% relative humidity up to 9 days. Tomatoes were wrapped with 1-commercial PE film 2-cellulose film 3-oxidized cellulose film 4-Ag@HPAMAM NPs-embedded cellulose film. The appearance of tomatoes was observed. Reprinted with permission from Copyright (2020) American Chemical Society.

AgNPs. The nanocomposite was embedded into an oxidized cellulosic film *via* amino-aldehyde bond formation (Fig. 14A). The film showed effective antimicrobial activity towards *E. coli* and *Staphylococcus aureus* and an extended shelf life when this was used as packaging for cherry tomatoes (Fig. 14B). While the antimicrobial activity of AgNPs has been widely reported, the toxicity of AgNPs, and their potential leaching from packaging into food, should be tested before their large scale implementation in packaging.

Cellulose nanofibrils (CNF) were used as emulsifier for soybean oil to develop an antimicrobial composite film. The film exhibited flexibility, optical transparency, thermal stability and biodegradable properties. A nutraceutical compound, curcumin was encapsulated within the nanocomposite to provide the film with antioxidant (radical scavenging) properties and antibacterial activity against *E. coli*.<sup>139</sup> An antimicrobial coating for meat was developed based on low- and medium-molecular weight chitosan integrated with nano sized commercially available organic acids (benzoic acid and sorbic acid).<sup>140</sup> The

organic acid coatings showed a significant increase of antimicrobial activity as compared to their non-nano counterparts. In other works, a nanocomposite film of TiO<sub>2</sub> nano-powder incorporated in chitosan<sup>141</sup> showed improved mechanical properties and a significant increase in antimicrobial activity against *E. coli, Staphylococcus aureus, Candida albicans*, and *Aspergillus niger*. The film showed extended product shelf-life when used as packaging for red grapes (Fig. 15).

Flexible nano-ZnO-chitosan pouches with antimicrobial activity were prepared by direct synthesis of ZnO NPs into chitosan. The antimicrobial efficacy was linearly related to the amount of ZnO NPs. The pouches were used as a packaging material for row meat and the results showed increased antimicrobial action and an extended shelf-life when compared to conventional PE materials. An active nanocomposite packaging fabricated by coating commercial packaging with layers of CNC and TiO<sub>2</sub> NPs mixed with wheat gluten and glycerol processed by casting/evaporation showed antimicrobial activity against *Saccharomyces cerevisiae*, *E. coli* and

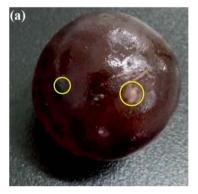






Fig. 15 Extended shelf-life of red grape packed at 37  $^{\circ}$ C for 6 days in (a) plastic wrap; (b) chitosan film; (c) chitosan-TiO<sub>2</sub> film. Adapted from ref. 141 with permission from Elsevier Ltd, Copyright 2017.

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Staphylococcus aureus, with 98.5% reduction of microbial growth for three layers coated paper after 2 h exposure to UV illumination as compared to TiO<sub>2</sub>-free coated paper. The CNC nanocrystals and the TiO<sub>2</sub> NPs improved the mechanical and water barrier properties of gluten, also providing good antimicrobial activity against Gram positive and Gram negative bacteria and yeast.

#### 4.3 Active packaging incorporating gas scavengers

The development of gas scavengers and gas absorber materials is important for creating packaging that provides an extended shelf-life, maintains the quality and freshness of food products and contributes to the reduction of food waste. Most research is conducted to develop scavengers to adsorb ethylene and oxygen that permeates into packaging, which can induce degradation and result in spoilage.

Ethylene (CH<sub>2</sub>CH<sub>2</sub>) is emitted by many fruit and vegetables. When this is released in excessive amounts, it increases ripening and deterioration rate of the products, reducing their shelf-life. Packages containing ethylene scavengers are used to limit the rate of ethylene accumulation and maintain freshness.144 Ethylene scavengers consist of materials that can oxidize, decompose or adsorb ethylene through chemical or physical processes. Common materials include natural clays, catalytic oxidizers like potassium permanganate and silver nitrate, sorbents like propylene glycol, electron deficient dienes or trienes having electron-withdrawing substitutes like tetrazines and adsorbents like activated carbons, zeolites or silica gel.144 Photocatalytic oxidation of ethylene can also be achieved with photocatalytic materials like TiO2, which can generate reactive oxygen species (ROS) upon UV illumination resulting in species that can oxidize ethylene.145 When TiO2 is activated by UV light, the electrons on the surface move from the valence band to the conductance band creating an electron pair hole (h<sup>+</sup>). Water or hydroxide ions (OH<sup>-</sup>) adsorbed on the TiO<sub>2</sub> surface hydroxyl radicals (OH'), undergo reduction to produce superoxide ions  $(O_2^-)$ . These highly active radicals of  $O_2^-$  and OH' oxidize ethylene producing CO2 and H2O. Nanoscale photocatalysts show enhanced photocatalytic activity and can also be incorporated in conventional plastic or biodegradable packaging materials. A chitosan film containing TiO2 NPs demonstrated both ethylene scavenging activity and antimicrobial activity. The film contained homogenously dispersed TiO2 NPs with enhanced mechanical properties; the enhancement was due to formation of hydrogen bonding between O-Ti-O and chitosan. PAN@TiO2 nanofibers were prepared by electrospinning of polyacrylonitrile (PAN) and TiO<sub>2</sub> deposition.<sup>146</sup> The nanofibers showed photocatalytic ethylene degradation under low-intensity UV-radiation. As an example of application, the fibers were incorporated into a polypropylene (PP) film and the film was used to cover tomatoes during storage. The results showed effective ethylene degradation and slower softening of the fruit as compared to the PP film only. In another study, TiO<sub>2</sub> NPs (1, 5 and 10 wt%) were coated on the surface of PAN nanofibers to delay the softening of bananas during storage. The fibers containing 5% wt TiO<sub>2</sub> exhibited the best uniformity

and the highest photocatalytic activity for the degradation of ethylene than the PP film without  ${\rm TiO_2}$ . The bananas were covered with a layer of  ${\rm TiO_2}$  nanofiber film and a layer of PP and the results were compared to the PP film alone. Mixed ( ${\rm TiO_2}/{\rm SiO_2}$ ) materials under UV light irradiation also showed ethylene degradation capabilities and extended tomato shelf-life. The short of the PP film alone of the PP film alone of the PP film alone.

O<sub>2</sub> present in food packaging due to permeation or failure in the packaging process can degrade food due to rapid oxidation of fats or vitamins present in food that can result in the growth of microorganisms. Addition of O2 scavengers to remove O2 and create an O2-free environment is a common practice to reduce spoilage and increase shelf-life. 150 Commonly known O2 scavengers are used in the form of sachets or films containing materials such as: metallic (e.g. iron-based), organic or natural reducing agents like ascorbic acid, natural O<sub>2</sub> scavengers like αtocopherol, enzyme catalysts or photosensitive dyes impregnated onto polymeric coatings. Polypropylene nanocomposites containing iron NPs embedded in polymeric films have shown better performance than microsized iron in reducing O2 and water vapor permeability, showing a 77% increase in scavenging capacity.151 Similar characteristics were reported with nanoscale iron particles dispersed in a silicone matrix,152 which showed a 10 times higher adsorption capacity than commercial ironbased scavengers. In other studies, O2 scavenging films were fabricated from electrospun poly(3-hydroxybutyrate) (PHB) fibers containing palladium NPs (PdNPs). Two surfactants, hexadecyltrimethylammonium bromide (CTAB) and tetraethyl orthosilicate (TEOS) were used to enhance dispersion in PHB. Better dispersion and the best O2 scavenging properties were obtained with CTAB-stabilized PHB. 153

#### 4.4 Smart and intelligent packaging

A further extension to active packaging is to add the ability to provide information on the freshness status of food, and develop so called smart packaging. This can be achieved by sensing technologies or smart labels that can measure changes in the environmental conditions inside packaging. Meat and seafood deterioration could change the pH inside packaging due to the evolution of volatile organic amines, 154,155 biogenic amines156,157 and microbial growth. For fruit ripening, an excessive amount of ethylene is secreted.149 These parameters can be used as degradation markers to assess changes in the quality and freshness of packaged food. A modified environment inside the package can also be monitored by measuring the O<sub>2</sub>/CO<sub>2</sub>, microbial growth or moisture content, all of which indicate changes in freshness status or conditions leading to degradation. Therefore developing sensors and labels to assess changes in the pH, O2, CO2, RH, CH2CH2 and the presence of degradation compounds will enable technologies that can provide direct information to the consumer, farmer or retailer regarding the quality of food.

Most currently developed sensors and labels are based on organic dyes designed to measure pH changes, and only few examples demonstrate uses of nanotechnology for such applications. Ammonium molybdate was adsorbed in the nanopores of zeolite<sup>158</sup> to prepare zeolite-molybdate tablets used to scavenge

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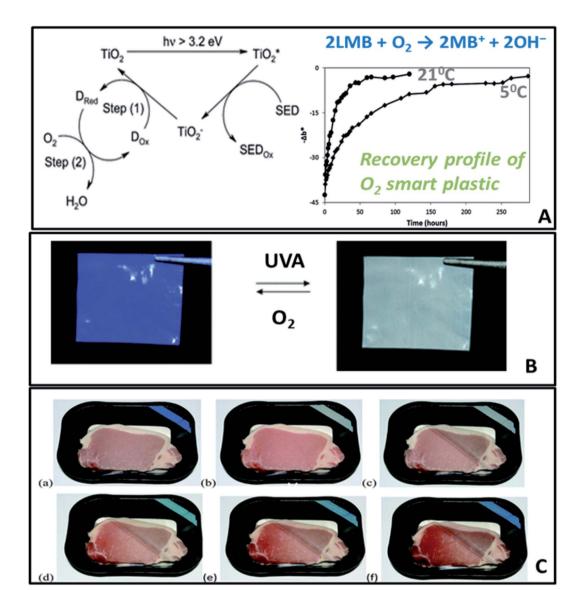


Fig. 16 (A) Mechanism of a UVA-activated  $O_2$  indicating plastic, comprising a semiconductor  $TiO_2$  photocatalyst, a redox dye ( $D_{ox}$ , such as methylene blue) and a sacrificial electron donor (SED, such as DL-threitol). (B) Photographs of a section of extruded  $O_2$ -indicator film (a) before photoactivation and (b) after 90s exposure to UVA. (C) Photographs of pork, packaged within an  $O_2$  smart plastic film, heat sealed to the inside of a PET packaging lid. The package was sealed in the absence of oxygen (a) and the indicator activated (b). The packaging lid was then broken and partly lifted back, and photographed immediately (c), and after 1 day (d), 2 days (e) and 4 days (f) in a fridge at 5 °C. Adapted from ref. 160 with permission from The Royal Society of Chemistry, 2012.

and measure ethylene in avocados packages. The tablet undergoes a color change from yellow to blue within 10 days storage due to reduction of Mo(vI) to Mo(v). In another work, a reusable  $O_2$  sensitive plastic was synthesized by incorporation of a redox dye, methylene blue (MB), an electron donor, DL-threitol coated on  $TiO_2$ , in low density polyethylene (LDPE) (Fig. 16). The photobleached colorless form of MB, the leuco-methylene blue (BMB) persists in absence of  $O_2$ , but it is re-oxidized to the blue BM in about 2.5 days under ambient conditions, with a rate of recovery linearly dependent on the  $O_2$  level.  $^{15,160}$ 

Table 3 provides examples of nanomaterials used in smart packaging highlighting the nanomaterial function, the packaging used and food tested.

### 5 Safety considerations

It is increasingly clear that nanotechnology offers a wide spectrum of benefits and can be a game changer for the food industry. However, as new materials are developed and new and unique properties are discovered a better understanding of the health and environmental impact of these materials throughout the food chain is needed before their full implementation into applications in the food sector and the consumer market. The use of nanomaterials through the consumption of nano food, nanosensing or through packaging incorporating nanoproducts could lead to migration of nanomaterials and subsequent human exposure through inhalation, skin penetration,

Table 3 Nanomaterials used in active and smart packaging

Nanomaterial	Polymer/package material	Nanomaterial function	Food tested	Ref.
Core–shell Au/Ag nanorods	Agar hydrogel	Color change_TTI		135
AuNPs	Gelatin	Color change-TTI		136
AgNPs	Cellulose films with amino-	1-Antibacterial	Cherry tomatoes	138
	terminated hyperbranched	2-Increased up to 30% radical		
	polyamic	scavenging activity)		
Cellulose nanofibril	Cellulose nanofibril-oil composite	Emulsifier		139
	films	The encapsulated oil enhanced antioxidant activity		
Nano-sized benzoic- and sorbic-acid solubilisate	Chitosan	Preservative (antimicrobial activity)		140
TiO <sub>2</sub>	Chitosan	Preservative (antimicrobial activity)	Grapes	141
Nano ZnO	Chitosan	Antimicrobial	Meat	142
CNC, and TiO <sub>2</sub> NPs	Wheat gluten	Enhancing mechanical properties Antibacterial activity		143
TiO <sub>2</sub> NPs	Chitosan	Ethylene scavenging and antimicrobial		161
TiO <sub>2</sub> NPs	Polyacrylonitrile (PAN)	Ethylene scavenging	Tomatoes	146
TiO <sub>2</sub> NPs	Polyacrylonitrile (PAN)	Photocatalytic ethylene scavenging	Banana	147
Palladium nanoparticles (PdNPs)	Poly(3-hydroxybutyrate) (PHB)	Oxygen scavenging		153
Fe particles	Silicone	Oxygen scavenging		152
Nanoporous-zeolite		Ethylene detection by confined	Avocados	158
(molybdate)		molybdate in the nanopores		
$TiO_2$	LDPE	O <sub>2</sub> detection		15

and ingestion. Routes of possible exposure to humans include: (i) leaching of nanocomponents from packaging or sensing elements into the food, (ii) ingestion from intake of nano-food and (iii) disposal of packaging, nanosensors and nanofood in landfills with further release into the environment, air, water and soil (Fig. 17).<sup>162</sup>

Because of their small size, high surface area and increased reactivity, nanomaterials pose unique risks and may cause adverse effects on human health and the environment. <sup>163</sup> Upon use, nanomaterials may undergo physicochemical transformations in the local environment (pH, ionic strength, microbial environment, *etc.*) further changing their fate and transport behavior. While research is growing, the potential implications related to the use of nanomaterials in the food industry are not fully understood and require further evaluation. Furthermore, current regulation on the use of nanomaterials in food industries is also limited.

Migration of nanomaterials from the packaging or the sensing element inside the packaging is one area of potential concern. Garcia, *et al.* 2018 reviewed applications and migration of metal oxides such as titanium dioxide ( $\text{TiO}_2$ ), zinc oxide (ZnO), aluminum oxide ( $\text{AlO}_x$ ) and silicon dioxide/silica ( $\text{SiO}_2$ ) used in food packaging to improve mechanical, antimicrobial, light-blocking and gas barrier properties of polymers<sup>164</sup> and concluded that, based on existing literature, only a very small amount of nanomaterials migrate from packaging into food. They also suggest that the risk of migration could be lowered by applying an additional barrier between the food and the nanocomposite. Lin, Qin-Bao, *et al.* (2014) studied the

migration and dissolution of TiO2 from nano-TiO2-PE composite films used in food packaging.165 The films were immersed in food simulants of either 3% (w/v) aqueous acetic acid or 50% (v/v) aqueous ethanol for several hours at different temperatures and it was found that TiO2 migration was increased with time. A higher migration rate was observed in 3% (w/v) aqueous acetic acid than in 50% (v/v) ethanol, suggesting that migration might occur via dissolution from the solid film into the liquid phase. However, migration of these materials in real samples has not been studied. Zixuan, Lian et al. (2016) investigated the migration of nano-TiO<sub>2</sub> from PVAchitosan nanocomposite films in food simulants such as distilled water, acetic acid, ethanol and olive oil. The release of nano-TiO<sub>2</sub> was only detected in trace amounts of <4.20  $\times$ 10<sup>-3</sup>% in olive oil. In another study, migration of poly(3--hydroxybutyrate)/CNC nanocrystal films was studied in food simulants of ethanol 10% (v/v) and isooctane under standardized conditions based on the Commission Regulation EU No 10/ 2011 (ref. 166) and the results concluded that the overall migration is within standard regulatory limits as per the EU legislation.

Díez-Pascual, Ana M and Diez-Vicente, Angel L (2014) studied the migration of ZnO NPs from a ZnO NPs-polyester poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) nanocomposite in polar and non-polar stimuli (isooctane and 10% (v/v) ethanol). The migration was found negligible and below the limits allowed by current legislation for food packaging.<sup>123</sup> The release of nanoclay (organo-modified montmorillonite (O-MMT)) and surfactant from nanoclay fabricated using two

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polymers, polypropylene (PP) and polyamide 6 (PA6) was assessed in ethanol and at 70 °C. The study found that more nano-clay particles were released from the PP-based  $(0.15 \text{ mg L}^{-1})$  than from the PA6 nano-clay  $(0.10 \text{ mg L}^{-1})$ , a finding that was explained by the lack of interaction between the nano-clay and PP. Meanwhile, the surfactant release in ethanol was significant: 3.5 mg L<sup>-1</sup> from the PP-clay and 16.2 mg L<sup>-1</sup> from the PA6, possibly due to exfoliation, indicating potential risks from migration of surfactants or additives used to create nanocomposites.162 Quadros, Marina E., et al. (2013) assessed the transfer of AgNPs from nanotech-based consumer products for children including breast milk storage bags, fabrics, cleaning products and sippy cups.<sup>167</sup> The release was assessed in water, orange juice, milk formula, synthetic saliva, sweat, and urine. The highest amount of silver released was observed in sweat and urine, while the lowest amount was

in water (<1.5%). Silver bioavailability was mainly in ionic form

and exhibited low release (<1 mg Ag kg<sup>-1</sup> product) for breast

milk storage bags and sippy cups.

Since nanotechnology in the food sector is at an early stage, more research is required to correlate the safety of nanomaterials to factors such as size, shape, surface charge and surface area, purity, stability in different environments, duration of exposure, concentration, state of agglomeration, accumulation, metabolism, biotransformation, and the media in which nanomaterials are exposed. 163,168,169 Studies to further understand risks of this technology should be conducted in more complex environments and migration of nanomaterials should be studied in realistic environments (pH, humidity, temperature) and with food products rather than simulants. These studies should provide further guidance on the design and safe implementation of nanomaterials into packaging and food. One other critical issue is the disposal of nano-based packaging and nano-foods and the potential for release of nanomaterials into air, water, soil, and further, to plans, organisms and humans. Currently, there is limited knowledge on the transformation and changes in the physicochemical properties, and the life-cycle assessment (LCA) of nanomaterials

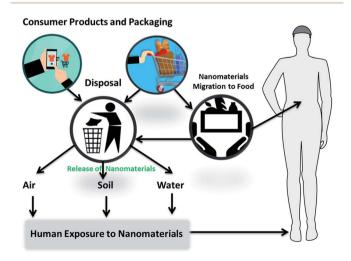


Fig. 17 Potential routes of nanomaterials exposure from food and consumer goods into the environment and humans.

over the entire food supply chain. Such studies targeting specific foods could provide guidance to materials, food and environmental scientists and help promote more sustainable and environmental sound development of nanomaterials for the food industry.

## 6 Regulatory aspects and consumer perception

Several nanotechnology initiatives have been initiated by regulatory agencies worldwide to define safety of nanomaterials, and provide recommendations and future guidance concerning their application in commercial products, such as cosmetics, food ingredients and food contact substances (e.g. materials used in manufacturing, packaging, transportation, or holding food), animal food, and drug products.117 The European Food Safety Authority (EFSA) Scientific Committee released a scientific opinion on nanoscience and highlighted potential risks on food and feed, 170 followed by a guidance document highlighting recommendations for industry using engineered nanomaterials (ENMs).171 The guideline discussed (1) the requirement for providing a physico-chemical characterization ENMs e.g. food additives, enzymes, flavorings, food contact materials, novel foods, feed additives and pesticides and (2) testing methodologies for hazard identification of ENMs (e.g. in vitro genotoxicity, absorption, distribution, metabolism and excretion and repeated-dose 90-day oral toxicity studies in rodents). The EFSA Panel on Contaminants in the Food Chain (CONTAM) published a statement about the presence of microplastics and nanoplastics in food, especially seafood172 stressing the lack of toxicity and toxicokinetic data for microplastics and nanoplastics and their effects on human health. Research is needed to develop new standard methodologies for analysis of microplastic and nanoplastic in food and their toxicity evaluation.

Nanotechnology applications in the agricultural, feed and food sector and the regulatory status in EU and non-EU countries has been published in an inventory<sup>173</sup> summarizing scientific literature, adhoc literature, company websites and questionnaires. The report identified AgNPs, TiO<sub>2</sub> NPs, and nano-encapsulates as the major nano-based materials used in this sector, with main applications as food additives and food contact materials. Several growing areas have been identified with potential for future applications that include the use of nanotechnology as feed additives, biocides, pesticides and food packaging. Limited records of toxicity assessment in agriculture, feed and the food sector have been found. The overall legislation regarding the use of these materials is limited but efforts to define the status of nanotechnology in the agro/feed/food sector continue as evidenced by recent reports. <sup>174,175</sup>

Society acceptance and willingness to pay (WTP) for nano-food or nano-packaging are other important issues. Bieberstein A. *et al.*<sup>176</sup> evaluated the societal perception towards nano-food and nano-packaging in Germany and France and found that many consumers are reluctant to accept products incorporating nanotechnologies in their food. The study also highlighted the importance of familiarizing consumers with the concept of

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nanotechnology. Labeling requirements of nanotechnology products in the food sector is another open topic. In the US, the Food and Drug Administration (FDA) supports innovation and promotes the safe use of nanotechnology;125 however, there are no current labeling requirements for "nano" and current regulation states that industry remains the responsible party to ensure that products meet safety standards. In Europe, the European Parliament and the Council have required through Regulation 1169/2011 that foods containing engineered nanomaterials ingredients be labeled.177 Brown & Kuzma (2013) have studied the public attitude towards labeling of food nanotechnologies in the USA.178 Through analysis of focused groups in six US locations, it was found that the majority of participants support the idea of nanotechnology labels but indicated that additional information about nanotechnology should be included. A study assessing consumer attitude towards nanotechnology found that consumers are more likely to accept nanotechnology-based packaging that provide unique benefits to food, rather than integrating nanotechnologies directly into agrofood products.<sup>179</sup> Overall, these studies highlight the need to develop transparent regulation and inform consumers about the benefits and risks of nanotechnology.

#### Conclusion 7

With increased awareness of food safety, nutrition and health, there exists a great demand for new technologies to improve the quality, quantity and safety of food products. This review described the use of nanomaterials in the food sector with focus on sensing technologies and packaging. An overview of the benefits and safety risks, along with the challenges in applying nanotechnologies to food, and a regulatory and consumer perspective were provided.

From the current state of the art, it is clear that nanomaterials have tremendous promise in the food industries, and many interesting uses remain to be explored. The beneficial uses derive from their physicochemical properties, characteristic of their nanoscale, enabling them to be used as antimicrobials, oxygen scavengers, and water repellents, or as reinforcing materials providing gas barriers and increased mechanical properties. Currently investigated materials are metal and metal oxides like TiO2, CeO2, SiO2, ZnO, Au and AgNPs, carbon-based nanomaterials like carbon nanotubes and graphene, and nano cellulose. Nanosized products such as nano-liposomes or nano-capsules carrying active nutraceuticals (e.g. nanofood) are also used to improve the functional properties of food.

In the sensing field, nanomaterials have significantly improved transduction mechanisms and enhanced detection sensitivity of a wide range of targets such as bacterial pathogens, allergens, toxicants like heavy metal and pesticides, antibiotics, freshness and ripening indicators (ethylene and CO<sub>2</sub>), food antioxidants and nutraceuticals. Challenges remain to (1) integrate sensing technology into food packaging and translate the technology into real world applications, (2) improve selectivity and remove matrix effects due to the complexity of food samples and (3) the need for pre-treatment.

In certain cases, continuous real-time monitoring might be needed. An example is a continuous flow sensor for foodstuff such as milk that requires real-time measurement of crude samples without contamination of the stream. 180 In packaging, nanomaterials provide active functionalities by delivering antibacterial activity (e.g. AgNPs), UV-protective, moisture and gas barrier properties (e.g. TiO2, ZnO, MgO, CNC).

#### **Future outlook** 8

Although a great deal of research has demonstrated benefits of using nanomaterials for improving food monitoring, quality and freshness, studies of their toxicity, fate, transport and degradation is only in their infancy. Before their full potential can be realized, there need to be more studies and a thorough life cycle analysis of nanomaterials in food and the environment. Understanding of their risks requires developments in several areas. First, methods must be developed to characterize and measure the properties of nanomaterials, and their biotransformation in food. Conventional methods have limited use because of their high cost, inability to measure large number of samples and the complexity of food matrices. Second, more studies are needed to evaluate the toxicity of nanomaterials, either added as ingredients to food, or in contact with food (e.g. nanomaterials in packaging). Third, cost, biocompatibility and scale up should be further addressed for successful implementation. Future efforts are needed to ensure long-term stability and storage of sensing elements in field conditions, as well as validation of the technology. Developers of food nanotechnology products should also pay attention to industry and consumer acceptance, and willingness to pay. Migration of nanomaterials from packaging into food and the environment is a further concern that requires a better understanding. These issues should be evaluated as part of the development process. Four, the use of nanotechnologies in food lacks a regulatory framework and creates consumer reluctance to use nano-enabled food. Fifth, standards and a better classification and characterization of nano-products as well as labeling are needed to address consumer demands and regulatory issues, and ultimately accelerate commercial adoption. These topics are the subjects of large scale conferences and discussion forums. The Institute of Food Technologists (IFT) has regular issues and is hosting topical sessions discussing the use and potential implementation of nanotechnologies in food; and such activities are expected to be increased in the future.

In summary, nanotechnology provides innovative solutions to improve quality, produce, protect and analyze food products. However, concerns about toxicity have raised a number of questions regarding the health risks and environmental impact. This review provided an overview of recent advances and potential applications highlighting risks and benefits of these technologies. Efforts should concentrate in the future to ensure the safe use of nanotechnology in the food sector and its possible implications.

#### Conflicts of interest

There is no conflict of interest to declare.

### Acknowledgements

This work was funded by the National Science Foundation under Grant No. 1561491. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the National Science Foundation.

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