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One-step ultrasonication mobilized solvent-free extraction/synthesis of nanocurcumin from turmeric

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Abstract

Curcumin's mandatory solvent based extraction and poor solubility in water are two unresolved obstacles that limit the harnessing of its highly resourceful medicinal aspects. Till date extraction of curcumin from turmeric in water remains a challenge. This work resolves both these problems via a simple ultrasonication based one-step strategy. Two different sonication methods, waterbath type and probe sonication techniques were employed (using varying variables such as sonication time and sonication frequencies) for the development of an one-step water based extraction technology of curcumin directly from turmeric. The probe sonication technique, with sonication time within 5 min and 20 kHz frequency, led to 55% curcumin extraction yield in water. This yield is even higher than that achieved by solvent based extraction methods using ethanol. The ultrasonic physical conversion of micro curcumin to nano curcumin is shown to be the reason for the enhanced solubility of curcumin in water leading to effective extraction. The results of this study suggest the use of probe ultrasonication for water based extraction of curcumin, in a one-step process from turmeric. This study also provides a solution for the bioavailability problem of curcumin owing to its insolubility in water, through nano sizing of the curcumin using ultrasonication methods. The results and validation of these findings are reported in this communication.

Keywords: turmeric; curcumin; extraction; ultrasonication; water soluble; water bath sonication

Introduction

Turmeric which is designated as a 'wonder drug' [1] is isolated from the rhizomes of the perennial herb *Curcuma longa* a member of the family, Zingiberaceae. Turmeric has been used in the Indian subcontinent for various diseases including wound-healing, antiinflammatory and antimicrobial applications and also skin-lightening, for a long time [2]. Interestingly, it is also a major ingredient in the Indian/Asian cuisine, where it is used as a spice as well as a coloring agent in the Indian curries.

Curcumin or diferuloylmethane (1, 7-bis [4-hydroxy-3-methoxyphenyl]-1, 6-heptadiene-3, 5dione), is a major component (2-6%) of turmeric [3-5]. Curcumin, a polyphenol compound, is an yellow-orange dye, which is usually termed as 'Indian solid gold', because of its extensive medicinal properties which include, anti-oxidant, anti-inflammatory, antimicrobial, anti-

cancer, anti-tumor and angiogenesis inhibitory [6-9] activities. It is also reported to inhibit lipid peroxidation and scavenge superoxide anion, singlet oxygen, nitric oxide, and hydroxyl radicals [10-13].

In addition, curcumin has shown potent anti-amyloidogenic effects for Alzheimer's amyloid fibrils [14,15]. It is reported that the low molecular weight and the hydrophobic nature of curcumin results in its penetration into the blood brain barrier effectively and its binding with the beta amyloids [15]. Reports establish a link between the relatively lower number of neurological diseases in the Indian subcontinent (such as Alzheimer's and Parkinson's disease) [15, 16] with their intake of surplus curcumin as part of their regular diet, in the form of Indian curries. Further, curcumin has been shown to down-regulate the activity of a growth factor receptor closely linked with cancer of the breast, lung, kidney and prostate gland [17]. It is reported to possess cancer preventing and cancer curing properties [17, 18, 19]. The therapeutic efficacy of curcumin against various human diseases, including cardiovascular diseases, diabetes, arthritis, and Crohn's disease is well documented [20-27]. Owing to its wondrous actions in protecting the human body, the molecule is being recently revisited using modern science and technological tools, with an aim to validate age-old practices in a scientific way.

Although clinical studies have shown that it is safe to use curcumin even at high doses, till date it is not established as a pharmacological drug due to its very low bioavailability. The extremely low solubility of curcumin in water is the reason for its poor bioavailability [28]. Researchers have proved that in humans, after 1 h of administration of 4-8 g of curcumin, only $0.41-1.75 \mu$ M [29] was detected in the plasma, whereas in an oral dose, the peak plasma level of curcumin was at 11.1 nmol/L [30]. Also studies by Wahlstrom et al. [31] have showed that, when rats were administered curcumin at a dose of 1 g/kg, about 75 % of curcumin was excreted in the feces and only negligible amounts of curcumin was recorded in

the urine. Measurements of blood plasma levels and biliary excretion showed that curcumin was poorly absorbed from the gut and the quantity of curcumin that reached tissues outside the gut was pharmacologically insignificant. This indicated the insolubility of curcumin in water at physiological pH, limited absorption, poor bioavailability, rapid metabolism, and excretion [31], which acts has a major hurdle for the practical implication of this compound. The application of ultrasound as a laboratory based technique for assisting extraction is well known. This technique has been applied in the past for the extraction of metabolites of plant origin [32], flavonoids from foods [33] and bioactives from herbs [34]. Ultrasound assisted extraction (UAE) is recognized for its widespread use in the edible oil industry to improve extraction efficiency and reduce extraction time [35]. The proposed benefits of UAE include: (a) overall, enhancement of extraction yield or rate, (b) enhancement of aqueous extraction processes, (c) opportunity to use alternative solvents, (d) cost effective (e) enhancing extraction of heat sensitive components under conditions which would otherwise have low or unacceptable yields and (f) enhancing speed of extraction. Two different types of sonicators are in use: the water bath type and the probe type. Dhanalakshmi et. al [36] and Kiani et. al [37] have compared the efficiency of the water bath sonications and probe sonicators and clearly established that although, both techniques apply ultrasound to the sample, there are significant differences in effectiveness, efficiency and process capabilities. Their studies indicated that the water bath sonicator resulted in low intensity sonication effect and was unevenly spread. The repeatability and scalability of the process was reported to be very poor. Dhanalakshmi et al. found in their study that probe-type ultrasonic devices have a high localized intensity compared to bath-type and hence, greater localized effect. This means higher intensity and efficiency in sonication process. Whilst a ultrasonic bath provides a weak sonication with approx. 20-40 W/L and a very non-uniform distribution, ultrasonic probetype devices can easily couple approxomately 20.000 W/L into the processed medium.

Moreover, full control over the most important sonication parameters was observed to result in completely repeatable processes and linear scalability of the process results in case of the probe sonicator. Recently, ultrasonication technique has been extended to nanoparticle synthesis. Nanomaterials are superior and exhibit enhanced physico chemical properties compared to their bulk counterparts, provoking interest in the area of nanotechnology. The quantum mechanical properties of the particles at nanoscale dimensions have a profound influence on the physical properties of the particles. By nanoscale designing of materials it is possible to vary micro and macroscopic properties such as charge capacity, magnetization, melting temperature without changing their chemical composition. The idea of employing the ultrasonication technique for nanosizing curcumin will be used in the following study.

The objective of the current study is to provide a solution to the insolubility issue of curcumin in water. In the present study, we report for the first time a single step, direct method for water based extraction of curcumin from turmeric. The ultrasonication technique has been used to successfully extract curcumin, the extracted curcumin was nano sized and highly soluble in water. The recovery of curcumin via sonication technology was found to yield results four times higher than the solvent based extraction techniques. The methodology proposed solves the insolubility problem of curcumin through the sonication based synthesis of nano curcumin rendering superior water solubility.

Materials and Methods

Chemicals

Commercial turmeric powder (100% purity) was purchased from a supermarket in Seoul, Korea. All the chemicals used in the study, unless specified as otherwise, were all of analytical grade. Millipore water was used for all experiments.

Experimental procedures

Concentrations of turmeric used were always maintained constant at 1 g/L, since this is the most soluble concentration with respect to solvents such as methanol, acetone and ethanol. 1 g/L commercially purchased turmeric powder (referred to from now on as macro turmeric (MT)) was dispersed in sterile distilled water. Also similar concentrations were prepared in individual falcon tubes, for the sonication based experiments. A JAC-2010 ultrasonic instrument (KODO Technical Research Co., Ltd , Hwaseong-City, Gyeonggi-Do, Korea), which is a water bath type sonicator, equipped with an ultrasonic power of 300 W, and frequency of 60 Hz, was used. MT (1 g/L) dispersed in 10 mL of sterile water in falcon tubes was subjected to ultrasonication in the waterbath type sonicator at 50 \pm 5 °C at varying time intervals of 10 min (WBS 1), 30 min (WBS 2), 1 h (WBS 3), 3 h (WBS 4) and 4 h (WBS 5). After sonication, the contents were stirred at 400 rpm at room temperature for about 1 h. The falcon tubes with the extracts were then covered with aluminium foil and stored in the dark (since they are reported to undergo photooxidation [38]) till further use.

Another series of MT dispersed in falcon tubes were prepared and were subjected to probe type sonication using a Bandelin Sonopuls HD 2200 (GmbH & Co. KG, Heinrichstrase, Berlin, Germany) probe ultrasonicator with 200 W ultrasonic power and a frequency of 20 kHz. The samples were sonicated one after another, with the probe directly in contact with the sample solution held in falcon tubes, that were held on falcon tubes racks. Sonication frequency (SF) of 50% (10 kHz frequency) and 100% (20 kHz frequency) respectively were used and the sonication time was varied from 1 min to 2 min, 3 min, 4 min and 5 min. These samples will be mentioned in the text using the following codes 1 min-50% SF (PUS 1), 1 min-100% (PUS 2), 2 min-50% SF (PUS 3), 2 min-100% SF (PUS 4), 3 min-50% SF

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(PUS 5), 3 min-100% SF (PUS 6), 4 min-50% SF (PUS 7), 4 min-100% SF (PUS 8), 5 min-50% SF (PUS 9) and 5 min-100% SF (PUS 10). Thus for each sonication time two different sonication energy variants, one at 50% sonication energy and the other at 100% sonication energy were employed. The temperature was not attempted to be maintained constant for the PUS treatments, since the maximum temperature (in case of the longest sonication PUS10) was not more than 70°C, which was of no concern in terms of curcumin's stability. These samples were also stored in similar fashion as mentioned above. Figure 1 gives the schematic flow of the study.

The prepared solutions were characterized for the presence of curcumin, using a Nanodrop *ND*-1000 v 3.3.1 spectrophotometer, (Nanodrop Technologies, Inc., Wilmington, USA). The absorbance was scanned from 220-700 nm. Also, the absorbance of each of the solutions was read at 425 nm (which is the absorbance wavelength of curcumin). A curcumin stock solution was prepared by dissolving 10 mg of curcumin ((ALX-350-028-M010) purchased from Enzo, Life sciences, Inc., USA) in ethanol to get concentration of 1 mg/mL. Different concentrations (0.001–0.005 mg/mL) were made by diluting the stock solution with absolute alcohol (100% ethanol). The absorbance was read at 425 nm and plotted against concentration to get a standard graph. The recovery of curcumin using the various sonication based extraction methods was quantified using the standard graph. Curcumin yield [39] was calculated using equation;

Curcumin yield % = Curcumin extracted (g) x 100 / Turmeric used (g)

The prepared curcumin solutions were also characterized using a JEM-1400PLUS Transmission electron microscope (TEM), JEOL USA, Inc. Peabody, MA, USA and confocal laser scanning microscope (CLSM), Olympus FluoView[™] FV1000 (OLYMPUS

AMERICA INC. Corporate Center Drive, Melville, NY, USA, for determining their particle sizes. The particle size distribution of the curcumin was obtained using OPTIMAS 6.1 (Optimas corporation, Langham Creek, Houston, TX, USA) software based on the TEM images. Further characterization for the confirmation of the successful extraction of curcumin was done using FTIR (Shimadzu FTIR-8300 spectrometer, San Diego, CA, USA) using KBr pellets. For FTIR the samples were dried in an oven and the powder was used for analysis. For comparison with traditional solvent extraction process, curcumin was extracted from turmeric using ethanol and the recovered curcumin was compared with the sonication extracted curcumin in water.

A Tukey-Kramer Multiple Comparison test was performed to assess the statistical significance of the results using MYSTAT 1.0 software (Systat Software, Inc. 1735 Technology Drive, Suite 430, San Jose, CA, USA). A p-value < 0.05 is considered as statistically significant.

Results and Discussion

Using ultrasonication based technology; efforts were made for evolving a single step extraction methodology for curcumin extraction from turmeric. Curcumin is reported to be insoluble in water, this property has been confirmed by various researchers [40-42] and this is the reason for the reduced bioavailability of curcumin, preventing it from being used for biomedical applications. Generally, curcumin is extracted in solvents such as methanol, ethanol, acetone and most popularly dimethyl sulfoxide (DMSO). Therefore, we have attempted using the ultrasonication process for increasing the solubility of curcumin in water. WBS based low frequency sonicator and a PUS was used in this study. WBS was employed combined with 50 °C temperature treatment. Fig. 1 shows the photograph showing the insolubility of MT(a) in water, Fig. 1(b) shows the increased solubility of turmeric following

4 h (WBS 5) of sonication and 5 min -100% sonication energy (Fig. 1(c)) of probe sonication (PUS 10). The MT suspension, appeared as a yellow solution but when left undisturbed for a while, all the particles settled down leaving a faint yellowish clear supernatant. The absorbance of this supernatant which would depict the solubility of curcumin in water is merely 0.18, confirming the poor solubility of curcumin in water. It is believed that the impartation of the yellowish color to the solution confirms the extraction of the yellowish curcumin dye, following WBS a furthermore yellowish solution was obtained and after PUS a bright yellow solution was obtained.

Bhawana et al. [43] had conducted a study where they used a sonication based method for preparation of nano curcumin from curcumin. In order to enable the direct extraction of curcumin from turmeric using water, both the WBS and PUS type of sonication techniques were employed, as will be confirmed later, the results showed that the PUS method was more efficient and lead to successful extraction of curcumin directly from water in 5 min.

Using nano drop spectrophotometer, the entire absorbance spectra from 220 to 700 nm was scanned, as observed in Fig. 2A, MT which is the control or the sample prior to sonication shows no absorbance in the curcumin absorbance region extending from 420-450 nm. However, a linear increase in the absorbance as a function of increasing sonication time from 10 min (WBS 1) to 30 min (WBS 2), 1 h (WBS 3), 3 h (WBS 4) and 4 h (WBS 5) is observed. Also, sonication time of 10 min did not appear to contribute with respect to the WBS method, while sonication time above 30 min significantly contributed to the extraction of curcumin in water. The highest curcumin absorbance was obtained from WBS 5 following 4 h sonication. Figure 2B, gives the comparative absorbance spectra of PUS method, as clearly evidenced from the figure PUS method is a far more superior technique for successful extraction of curcumin in water. The extraction efficiency observed at 4 h using the WBS method was obtained as early as 1 min (PUS 2) using 100% SF. It was observed that the extraction of

curcumin increased linearly as a function of sonication time, use of 100% SF contributed significantly to the extraction process. 50% SF with longer sonication time above 4 min vielded good results too. Thus, these results based on the UV-Vis absorbance spectra studies confirm PUS technique as a superior methodology compared to WBS method, showing higher extraction efficiency and less time consumption. The efficiency of these techniques was compared with the conventional solvent based extraction method, using ethanol as solvent. Fig. 2C gives the comparative spectra comparing the MT in water, MT in ethanol, WBS 5 and PUS 10. Compared to even the conventional solvent extraction process, the probe sonication method showed superior extraction with extended abilities of extracting curcumin in water itself. However, WBS method (even with the longest sonication time of 4 h) showed lesser extraction efficiency compared to the traditional ethanol based solvent extraction process based on this comparative study. The efficiency of the PUS method is owing to the fact that the probe sonicator is in direct contact with the sample and thereby can impart more concentrated energy to the sample than the bath sonicator [44]. The increase in temperature (70°C (PUS 10)) during probe sonication is also understood to aide in the successful extraction of curcumin. Hence compared to the WBS method the PUS method imposed temperatures higher than 50°C, also within the various PUS treatments the temperature varied with the highest temperatures recorded with respect to 100% SF's. Also in terms of frequency the probe sonicator is higher and hence significant results were obtained in a short period of minutes. Also, the influence of sonication be it WBS type or PUS type, on the extraction of curcumin is confirmed.

FTIR spectroscopy was used to confirm the successful extraction of curcumin and to discover the changes occurring on the surface owing to sonication. The FTIR spectra of curcumin show vibration of phenolic group at 3504 cm⁻¹. The peak of C = C stretching belonging to

aromatic and allopathic rings appeared at 1610, 1560 cm⁻¹. Curcumin contains two carbonyl groups, showing bands at around 1640 cm⁻¹ [45]. Modi and Pitre [46] have also elaborately studied the FTIR spectra of curcumin, they have reported characteristic peaks for curcumin at: (a) 1627 cm⁻¹ which is a characteristic peak for C = O (enolic), (b) 1520 cm⁻¹ shows the presence of C = C group, (c) 1250 cm⁻¹ shows the C - O stretching, and (d) 3547 cm⁻¹ reveals the presence of OH group present in the molecule. Fig. 3 reveals the results of the FTIR analysis of curcumin extracted using WBS 1-5 and PUS 1-10 experimental sets. As observed in the figure, all the major peaks characteristic of curcumin were obtained from the sonicated samples. It was observed that the MT 0 showed no significant curcumin peaks, confirming

the fact that curcumin was not being extracted in water, without any ultrasonic involvement. Also, increase in the sharpness and intensity of the peaks with increasing sonication was observed.

The quantity of curcumin extracted using the sonication variables was determined by measuring the absorbance at 425 nm and correlating the obtained optical density (OD) values with the standard graph plotted using the curcumin standard. Also, in order to compare the efficiency of the ultrasonication based extraction technique developed in the current work with the existing conventional solvent extraction method, the quantity of Curcumin extracted from turmeric in ethanol was also measured spectrophotometrically. Fig. 4 displays the results of these correlations. As can be observed from the graph, the PUS technique led to significantly enhanced extraction of curcumin, exceeding the conventional solvent extraction frequency (PUS 4). PUS 5, 6, 7, 8, 9, 10 all show an increasing trend of curcumin levels with increasing sonication time. Also, as observed from the graph the sonication frequency increase from 50% to 100% was found to have a profound role in enhancing the curcumin extraction is was found to have a profound role in Fig. 4. The

PUS technique was thus, highly effective compared to both the untreated control and the solvent extraction experimental set. However, the WBS technique showed comparable extraction to the solvent extract after long sonication times (> 3 h). But, it was interesting to observe that even the WBS technique showed higher extraction efficiency of curcumin in water compared to the control. Thus, the curcumin extraction efficiency can be described in the order PUS > MT@EtOH > WBS > MT 0. Curcumin recovery, calculated using the equation, gave the yield (%) of curcumin using the various methods used. The slope was calculated using the following equation Y=0.0955x with the regression coefficient (R^2) value of 0.9145. Table 1 summarizes these results, as evident from the tabulated results; yield (%) of 56% was achieved using PUS 10 method for the extraction of curcumin in water, WBS method recorded a highest of 22%, while conventional solvent extraction method gave 20% and control (turmeric in water) 2% yield. The current methodology delivered better results compared to the traditional Soxhlet extraction method. Soxhlet method using acetone yielded 42% curcumin in 4 to 5 h [47]. The other major extraction technique reported was Microwave-Assisted Extraction Method (MAE), where a variety of solvents ranging from non-polar to polar ones, i.e. n-hexane, dichloromethane (DCM), ethyl acetate (EtOAc), acetone, ethanol and methanol: water (60:40, v/v) are used. The efficiency of this technique is reported to be 60-70% but is limited to the use of these organic solvents [48].

The results were statistically analyzed and the curcumin extraction in water using both WBS and PUS sonication methods compared to the control (un-sonicated turmeric in water) was found to be extremely significant (p value < 0.001). However the solvent based extraction compared with the WBS extraction was found to be statistically insignificant (p value > 0.05). On the other hand, the WBS extraction compared to the control (MT 0) was found to be statistically significant with p-value < 0.01 depicting significant extraction capability of curcumin in water compared to the unsonicated control.

Mechanism of sonication based extraction of curcumin from turmeric

The results unequivocally supported the role of sonication in the successful extraction of curcumin in water. The mechanism behind this result has been probed into. A report by Bhawana et al. has shown that when curcumin was sonicated using high frequency of 30 kHz, nano curcumin was formed, which showed high solubility in water [43]. In the current study, we have sonicated turmeric in water using high frequencies; this could have also led to the breaking of curcumin to nano-curcumin, thereby enhancing its solubility in water, leading to its extraction in water. In order to confirm the nature of the curcumin, using TEM we analyzed the turmeric powder (MT 0), WBS 5 and PUS 10 samples, which showed highest curcumin extraction. The TEM micrographs confirm that the MT 0 (a) existed predominantly in sizes ranging from 0.4-0.7 μ m. WBS 5 (b) which is the highest sonication time (4 h), which showed the maximum (amidst WBS variables) curcumin extraction ability, possessed particle sizes in the regime of 200-500 nm, while PUS 10 (c) which showed the highest curcumin yield showed particle sizes in the range of 30-70 nm. CLSM was also used to view the fluorescing curcumin particles, as observed by the insets in Fig. 5(a-c), the trend observed in the TEM (Fig. 5) is also confirmed, whereby the nano-curcumin production due to high frequency ultrasonication is confirmed. Thus, as speculated in Fig. 6, the mechanism for the water based extraction of curcumin from turmeric is due to the size reduction of curcumin, rendering it soluble in water, enabling extraction via sonication methods. Also, as shown in Fig. 6(c), the PUS 10 sample showed prolonged solubility even beyond 48 h, compared to the WBS method (b). The control resulted in immediate precipitation leaving an almost clear supernatant, while WBS samples precipitated after 24 h. This also confirms that the PUS method resulted in nano curcumin that failed to precipitate even after prolonged standing. Particle size and surface area play a major role in interaction of materials with biological

system. Seemingly, decreasing the size of the materials leads to an exponential increase in surface area relative to volume, thereby making the nanomaterial surface more reactive on itself and to its contiguous milieu. Of note, particle size and surface area dictate how the system responds to, distributes, and eliminates the materials [49]. One of the most important physical properties that will affect its solubility is particle size [50]. The downsizing of the micro curcumin to nano scale is thus believed to be responsible in the enhanced solubility of curcumin in water [44, 50]. Researchers have demonstrated an increase in the saturation solubility and surface area through the reduction of particle size to less than 1 μ m [51-54].

Conclusion

The study confirmed the successful extraction of curcumin in water by a one step rapid probe sonication based technology directly from turmeric.; yielding enhanced recovery compared to ethanol based conventional extraction method. This study solves the insolubility problem of curcumin in water.

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Table 1. Curcumin yield (%) of the various extraction methods.

Sample	Extraction details	Curcumin yield (%)
MT@EtOH	Curcumin extracted using conventional method	19.6
	(Ethanol extraction)	
MT 0	Unsonicated Turmeric in water (control)	2.3
WBS 1	Water bath sonication for	10.7

	10 min	
WBS 2	Water bath sonication for 30 min	11.2
WBS 3	Water bath sonication for 1h	12.1
WBS 4	Water bath sonication for 3h	17
WBS 5	Water bath sonication for 4h	21.7
PUS 1	Probe ultrasonication 1min – 50% SF	11.8
PUS 2	Probe ultrasonication 1min – 100% SF	16.4
PUS 3	Probe ultrasonication 2min – 50% SF	10.5
PUS 4	Probe ultrasonication 2min – 100% SF	20.3
PUS 5	Probe ultrasonication 3min – 50% SF	18.7
PUS 6	Probe ultrasonication 3min – 100% SF	22.2
PUS 7	Probe ultrasonication 4min – 50% SF	38.3
PUS 8	Probe ultrasonication 4min – 100% SF	40.2
PUS 9	Probe ultrasonication 5min – 50% SF	48.7
PUS 10	Probe ultrasonication 5min – 100% SF	55.7

Figure Captions

Fig. 1 Schematic of experimental design of the study. (a) macro turmeric in water (MT 0) showing the insolubility of curcumin, (b) increase in solubility with WBS (WBS 5) and (c) increased solubility as shown by yellowish orange color of solution with PUS (PUS 10) Fig. 2 UV-Vis graph showing absorption spectra of samples after (A) WBS (B) PUS and (C)

MT@EtOH, comparative study comparing conventional solvent extraction (MT@EtOH) with the sonication methods used in this study.

Fig. 3 FTIR spectra of the various samples, showing changes in the spectra with sonication treatment compared to the control (MT 0)

Fig. 4 Graph showing quantification of curcumin recovered by the respective methods. *** indicate statistically significant results.

Fig. 5 TEM micrographs of (a) MT 0 (b) WBS 5 and (c) PUS 10, showing morphology and size of curcumin particles, inset shows fluorescence image of respective samples.

Fig. 6 Schematic representation of the PUS effect and sustained solubility in PUS (c) method compared to (b) WBS and the control (a) even after 48 h.



Insoluble in water

Soluble in water

Soluble in water



Wavelength (nm)

Page 20 of 25





Fig. 4



Page 23 of 25 Fig. 5





Graphical abstract

