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ABSTRACT

A charge density wave (CDW) transition is one of the fundamental quantum phenomena used to unveil the interactions between electrons and phonons that are coexisting or competing with other intriguing quantum phases, such as superconducting and ferromagnetic phases. Layered van der Waals materials, especially the family of vanadium dichalcogenides (VS₂, VSe₂, and VTe₂), have previously demonstrated CDW transition and ferromagnetic ordering, although these demonstrations lacked critical evidence. Among them, VS₂ single crystals in bulk form are challenging materials due to their thermodynamical instability; thus, experiments involving these materials have been rare. Herein, we report the multiple CDW states of a perfect 1Tphase VS₂ single crystal fabricated by chemical vapor transport and annealing treatment. The metastable phase comprising VS₂ and V₅S₈ turned into a stoichiometric 1T-phase VS₂ single crystal, confirmed by high-resolution microscopy. The fine structure formed at low temperature exhibits two clear CDW orders with the absence of nesting vectors on the Fermi surface, confirmed by scanning tunneling microscopy and density functional theory calculations. Our findings provide insight into obtaining a stable crystallographic phase of VS₂, stimulating further research into its compelling physical properties.

Introduction

Two-dimensional (2D) materials, including transition metal dichalcogenides (TMDCs), have provided novel opportunities to explore and manipulate their electronic states.¹⁻⁴ The unique properties of the quasi-2D electronic states of TMDCs can produce diverse physical phenomena, such as charge density waves (CDWs), superconductivity, ferromagnetism, topological Dirac states, and Mott-insulator transitions, in various polymorphic phases.⁵⁻¹⁰ In particular, the CDW, a type of coupled electronic-lattice instability, permeates the condensed matter physics and chemistry due to broken symmetry states, where the atomic lattice and charge densities are spatially redistributed in a periodic structural order.^{11,12} While the CDW transition is conventionally believed to arise from electronic instability driven by Fermi surface nesting,^{13,14} electron-electron or electron-phonon interactions are dominant mechanisms in some 2D TMDC materials.^{15,16} Recent studies suggest that the dimensionality and polymorphic phases of TMDC materials affect the formation of CDW.^{14,17-19} However, the primary origin of the CDW transition is still under debate.

Vanadium (V)-based dichalcogenides (VS₂, VSe₂, and VTe₂) have been mainly investigated in electrochemical studies.²⁰⁻²² Recently, their intriguing physical properties, such as ferromagnetism, non-trivial band topology, and CDW, were reported, demonstrating their correlated nature.²³⁻²⁷ In the bulk VSe₂, the nesting vectors that joins the flat segments of Fermi surface are responsible for the CDW transition at ~110 K.²⁸⁻³⁰ Bulk VTe₂ shows more complicated charge ordering states than VSe₂, and the transition mechanism is not settled. VTe₂ undergoes a structural transition from 1T phase to 1T" phase at ~480 K,^{24,31,32} whose CDW transition could be polymorphic and coupled to the spin degree of freedom.²⁶ Since the CDW transition is sensitively dependent on a periodic and consistent atomic order, a high-quality crystal is imperative for understanding the CDW transition in bulk form.³³ However, the experimental CDW results of VS₂ were fewer and more limited than those of VSe₂ and VTe₂ until now, owing to unstable stoichiometric VS₂ in bulk.^{34,35} Thermodynamically stable VS₂ in the bulk form usually suffers from S-deficiency with self-intercalated V atoms between S layers.^{36,37} Several studies have reported CDW transitions in VS₂ in several ways, but the observed transition temperatures (T_{CDW}) were different for this reason.³⁸⁻⁴² Thus, the synthesis of high-quality stoichiometric single crystals is imperative to understanding CDW formation in VS₂.

In this work, we report that a mixture of V_5S_8 and VS_2 bulk grown by the chemical vapor transport (CVT) method turned into stoichiometric VS_2 single crystals treated by lowtemperature vacuum annealing. The as-fabricated VS_2 crystals were well-oriented along the *c*axis and exhibited an apparent 1T trigonal structure, confirmed in both the top and side views of high-resolution electron microscopic images. We then investigated CDW formation based on these stoichiometric VS_2 single crystals using scanning tunneling microscopy (STM), exhibiting two distinct CDW orders. The nesting vectors in the two CDW states did not exist on the Fermi surface. To figure out the absence of nesting vectors, albeit clear CDW orders, we performed density functional theory (DFT) calculations and showed that the phonon modes around the two CDW vectors were significantly renormalized by electron-phonon coupling, inducing CDW instabilities.

Results and discussion

Since the stoichiometric phase of VS₂ sustains thermodynamic metastability,^{36,43} in earlier studies, various methods, such as alkali-metal deintercalation and high-pressure synthesis, were performed to achieve stoichiometric VS₂.^{44,45} In this work, 1T trigonal VS₂ was first grown by the CVT method, and then a low-temperature vacuum annealing treatment was

conducted, resulting in stoichiometric VS₂ bulk crystals. The detailed experimental process is described in the experimental method section. Fig. 1a depicts a schematic illustration of the VS₂ crystal growth process and the partial products (right photograph in Fig. 1a). Fig. 1b shows the XRD patterns of the as-grown VS₂ crystals before and after vacuum annealing treatment. The observed XRD spectrum before vacuum annealing treatment exhibited blended patterns of trigonal VS₂ (lattice parameters a = b = 3.23 Å and c = 5.71 Å, space group *P*-3*m*1, JCPDS card no. 04-021-1269) and monoclinic V₅S₈ (lattice parameters a = 11.36 Å, b = 6.65 Å and c= 11.30 Å, space group *F*2/*m*, JCPDS card no. 01-081-1596). Subsequent TEM analysis also revealed that the as-grown bulk product was a mixture of VS₂ and V₅S₈ (Fig. S1 of ESI). However, after low-temperature vacuum annealing treatment, while the peaks related to Vexcess V-S compounds were suppressed, the XRD patterns of trigonal VS₂ were enhanced, attributed to facile desulfurization at low temperature.⁴⁴ Specifically, the predominant peaks reflected the property of layered materials with van der Waals (vdW) gaps along the *c*-axis. The estimated thickness of monolayer VS₂ was 5.72 Å, calculated by the (001) peak position from XRD data, in good agreement with the JCPDS card reference.

Fig. 1c shows the Raman spectrum of the annealing-treated VS₂ crystal, which has peaks in the range of 100 to 500 cm⁻¹. The three observed Raman peaks are quite similar to those of a previous report,⁴⁶ where the A₁ out-of-plane vibration mode at ~330 cm⁻¹, the E₂ in-plane vibration mode at ~260 cm⁻¹, and two-phonon signals in the range of 150-200 cm⁻¹ were assigned. To accurately define the chemical composition of the as-grown bulk VS₂, XPS characterization was performed. Fig. 1d reveals that the chemical compositions obtained from the XPS measurements of VS₂ bulk crystals were composed of only the chemical states, V⁴⁺ 2*p* and S²⁻ 2*p*. The V:S atomic ratio derived from the XPS result was calculated to be 1:1.98. A small bump in the V spectrum may be attributed to the lower possibility of partially intercalated V atoms.

Similar to conventional mechanical exfoliations, thin flakes of VS₂ were obtained from the bulk crystal by blue tape. After repeated peeling to form appropriate thin crystals of VS₂, we selected one of them, and the thickness was measured by AFM, as shown in Fig. 2a. The selected VS₂ flakes showed uniform and smooth surfaces in the AFM topography. The thickness of the VS₂ flakes was measured to be ~ 4 nm, indicating 7 layers of VS₂. While most TMDCs can be exfoliated mechanically to allow very thin flakes, some layered materials do not peel off easily. The calculated exfoliation energy, the difference in energy per atom between the monolayer and bulk, can be a rough guide (200 meV/atom, for example) to identify the feasibility of exfoliation.⁴⁷ The exfoliation energy of VS₂ is somewhat higher than graphene and other chalcogen 2D materials, hindering the preparation of large and regularly shaped flakes. To identify the crystal structure and elemental composition, TEM measurements were performed, as shown in Fig. 2b. Though irregular shape (low resolution TEM image, left of Fig. 2b), atomic ratio between V and S, clear lattice fringe, and fast Fourier transform from HR-TEM confirm the single crystalline nature of VS₂ with a stoichiometric composition (see Table S1 in ESI). The lattice spacings of 0.20 Å and 0.16 Å match the (102) and (110) planes of trigonal VS₂, respectively.

Fig. 2c illustrates the ball and stick model of the top and side views of the symmetric trigonal 1T-phase VS₂. Figs. 2d and e show representative HAADF-STEM images of the top surface and cross-section of $1T-VS_2$, respectively. The top surface of $1T-VS_2$ clearly exhibited a perfect honeycomb lattice without visible defects, indicating excellent single crystallinity. The exact position of both V and S can be identified as the intensity in the HAADF image being proportional to the atomic number due to the scattered electron beams from the specimen's

atoms.⁴⁸ The yellow dashed line in Fig. 2d is along the (110) plane, and the corresponding intensity profile is shown in the inset. The calculated in-plane lattice constant a = 3.25 Å (5.63/ $\sqrt{3}$) is in agreement with a previous report.³⁸ As shown in Fig. 2e, the out-of-plane lattice constant *c* and vdW gap size were estimated to be 5.85±0.15 and 2.78±0.07 Å, respectively. Note that the zone axis of the STEM images deviated from the *a*-axis to acquire clear HAADF images.

Since in-depth structural analysis of perfect stoichiometric VS₂, especially simultaneous studies of CDW state, is rare, investigating the charge-distributed structure is worthy of academic research. To explore the structural and electronic properties, we studied the high-quality VS₂ single crystal using STM. Fig. 3a shows the STM topographic image of the ascleaved VS₂ crystal, where ordered structures were observed. Fig. 3b exhibits the enlarged STM image of the VS₂ terrace marked as a red dashed box in Figure 3a, measured at a sample bias voltage (V_{bias}) of -50 mV. To understand the CDW orders in VS₂, we performed the fast Fourier transformation (FFT) of the STM image. In addition to the lattice peaks (q_{Bragg}), two distinct charge modulation vectors denoted by q_1 and q_2 were observed in Fig. 3c, where green, yellow, and magenta circles indicate q_1 , q_2 , and the Bragg vector (q_{Bragg}), respectively. The charge ordering patterns were predominantly regular, with minor local deviations mainly due to the surface defects.

We will now discuss the CDW vectors in detail. As seen in the left panel of Fig. 4a, \mathbf{q}_1 is positioned at the 0.67 $\overline{\Gamma K}$ position in the first Brillouin zone (FBZ), which is consistent with the CDW vector $\mathbf{q} = (0.22, 0.22, 0)$ reported in a previous TEM study of Li deintercalated bulk VS₂.³⁴ To understand the origin of the CDW peak of \mathbf{q}_1 , we checked whether a nesting condition could be satisfied on the Fermi surface. Fig. 4b shows the Fermi surface of our VS₂ crystals measured by ARPES. The orange line indicates the magnitude of \mathbf{q}_1 . From the scale, it is evident that \mathbf{q}_1 cannot be matched to any nesting vectors on the Fermi surface. The newly emerged CDW vector \mathbf{q}_2 , on the other hand, is located at 0.50 $\overline{\Gamma M}$, which corresponds to $\mathbf{q} =$ (0.25, 0, 0) or equivalently $\mathbf{q} = (0, 0.25, 0)$ in the reciprocal lattice coordinate in the right panel of Fig. 4a. The CDW vector \mathbf{q}_2 also cannot be matched to the nesting vectors aligned along $\overline{\Gamma M}$ in Fig. 4b.

DFT calculations of the phonon dispersions for the bulk 1T-VS₂ crystal confirm the structural instabilities and the corresponding softened phonon modes along the respective directions in momentum space. Fig. 4c displays the calculated phonon spectra weighted by a 9×9×1 supercell of VS₂. A previous study on the VS₂ monolayer reported the instability of acoustic phonon dispersion at q = 2/3 $\overline{\Gamma K}$ and 1/2 $\overline{\Gamma M}$ wavevectors, which correspond to transverse- and longitudinal-acoustic branches, respectively.⁴⁹ We constructed a 9×9×1 supercell for the small q shift in different directions. The displaced atoms in the supercell structure exhibit structural instability in phonon dispersion, which corresponds to the CDW state. Moreover, the CDW vectors qs observed at 0.67 $\overline{\Gamma K}$ and 0.50 $\overline{\Gamma M}$ in bulk VS₂ are similar to those of previous research from the density functional perturbation theory (DFPT) method. Phonon softening modes with imaginary frequencies at qs were clearly exhibited, indicating phonon instabilities in momentum space. The dominant instabilities are located at approximately $\mathbf{q}_1 = 0.62 \ \overline{\Gamma K}$ and 0.48 $\overline{\Gamma M}$, qualitatively consistent with the experimentally observed $\sqrt{6} \times \sqrt{6}$ R30° and 4×4 CDW orders, respectively. According to our first-principles calculations, very large vibrational amplitudes of the V atoms can lead to the instability of the phonon mode. The displacements of the V atoms are more significant than those of S atoms for the modulated structure with an imaginary frequency when compared to the VS₂ structure in equilibrium. For further view, the electronic band structures of the bulk 1T-VS₂ obtained by

ARPES and DFT calculations were described at electronic supplementary information (Fig. S2).

The unconventional nature of the CDW vectors (\mathbf{q}_1 and \mathbf{q}_2) can be supported by the STM images measured at opposite bias polarities. When a conventional Peierls-like distortion induces a CDW phase, the energy band splits in a bonding-antibonding fashion with a CDW gap opening, resulting in the inverted contrast of STM images due to the flipped bias polarity around the CDW gap.⁵⁰⁻⁵² Fig. 5a shows the STM image measured at $V_{\text{bias}} = 50 \text{ mV}$. Compared with that of the STM image measured at $V_{\text{bias}} = -50 \text{ mV}$, the image contrast remains the same regardless of the bias polarity, supporting that the bonding-antibonding band splitting does not cause the CDW gap opening. Other STM topographic and FFT images with various biases are shown in Fig. S3 (ESI). As shown in Fig. 5b, we determined that the CDW gap (Δ) of our VS₂ crystal is $2\Delta \approx 130 \text{ meV}$ at 15 K. In a very recent result on the local STS spectra of monolayer VS₂, the CDW gap was fully open in the unoccupied states at 7 K and then exhibited flat conductance at higher temperatures, associated with strong electron-electron correlations caused by large lattice distortions.⁴⁹ In our bulk VS₂ case, however, a "V"-shaped gap with a finite value, namely, a soft CDW gap, implies a partially gapped Fermi surface.¹¹

A CDW instability can be established by effective phonon softening that induces Kohn anomalies at the CDW vector \mathbf{q} ; $\omega_{ren}^2 = \omega_q^2 - \frac{2g^2\omega_q}{\hbar}|\chi(q)|$, where ω_{ren} is the renormalized phonon frequency, ω_q is the phonon frequency, g is the electron-phonon coupling constant, \hbar is the Planck constant and $\chi(q)$ is the electron-hole polarizability.⁵³ Given that the nesting condition is missing in VS₂, $\chi(q)$ should be small. Therefore, the CDW instability condition $(\omega_{ren}^2 = 0)$ can only be satisfied by strong electron-phonon coupling. In this case, the the Bardeen–Cooper–Schrieffer (BCS) theory can support the thermodynamic phase transition of the CDW. By the mean-field approximation of 1D electron-phonon Hamiltonian, the relation between the CDW gap and transition temperature (T_{CDW}) is given by $\Delta = 1.76 k_B T_{CDW}$, where k_B is the Boltzmann constant.⁵⁴ The estimated T_{CDW} according to BCS theory is approximately 460 K. However, Fig. 5b shows that Δ is already closed at 300 K, implying that the electron-phonon coupling in VS₂ is larger than the BCS assumption.

Conclusion

In summary, we demonstrated a facile approach for fabricating high-quality single crystalline 1T-VS₂ bulk crystals and their multiple CDW states. Spectroscopic and microscopic evidence confirmed that the metastable phase comprising VS₂ and V₅S₈ turns into a perfect stoichiometric VS₂ due to desulfurization via vacuum annealing at low temperature. In these VS₂ single crystals, multiple charge modulation vectors, including a Bragg vector, were observed with $\sqrt{6} \times \sqrt{6}R30^\circ$ and 4×4 CDW orders at 0.67 TK and 0.50 TM, respectively. These CDW states were characterized by not only the absence of nesting vectors on the Fermi surface but also a lack of contrast inversion in regard to bias polarity. The DFT calculations adopted with the supercell of VS₂ showed the imaginary frequency in phonon softening modes, implying phonon instability in a momentum space. The fabrication strategy and the atomic fine structure of the VS₂ bulk crystals may shed significant light on the exotic CDW system in V-based layered materials.

Experimental section

Fabrication of single crystalline VS₂

V (99.5% pure) and S powder (99.999% pure) were used as sources in a stoichiometric amount of 2:1 wt%. VCl₃ powder (99% pure) as a transporting agent (concentration of 1.5 mg/cm³)

was used to provide sufficient vapor pressure. The mixture of sources and transporting agent was placed in a sealed quartz ampule under high vacuum, located at the center between two independent heating zones of a furnace as shown in Fig. 1a. Two heating zones for the forward reaction (T₁) and crystallization reaction (T₂) were heated slowly in five steps to approach their maximum temperatures, 920 °C and 850 °C, respectively. After 72 hours at the maximum temperatures, the system was cooled naturally, and shiny silver crystals were collected. Lowtemperature annealing treatment was performed in a high-vacuum chamber system. The temperature was ramped up to 180 °C in 5 min and maintained under ~10⁻⁶ Torr. After 1 hour, the furnace was cooled to room temperature naturally under the same working pressure.

Characterization of VS₂

The VS₂ bulk crystals were characterized by using X-ray photoelectron spectroscopy (XPS), X-ray diffraction (XRD), Raman spectroscopy, high-resolution tunneling electron microscopy (HR-TEM) and high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) combined with energy-dispersive X-ray spectroscopy (EDS). Cross-sectional high-angle annular dark-field (HAADF) STEM images were obtained after cutting the crystal by using a focus ion beam (FIB). Mechanical exfoliation was performed by peeling off as-grown crystals by using a simple blue-tape method. Exfoliated crystals were transferred onto fresh Si/SiO₂ substrates, and atomic force microscopy (AFM) was performed on the exfoliated crystals to measure the thickness.

STM measurements

STM measurements were performed using a cryogen-free low temperature STM (PanScan Freedom, RHK Techonolgy) with a base temperature of 15 K. The VS₂ crystal was glued to the sample holder and cleaved at room temperature in an ultrahigh vacuum (UHV) chamber. The cleaved sample was immediately inserted into the STM head at 15 K or 300 K. In the

scanning tunneling spectroscopy (STS) measurements, we used a standard lock-in technique with a modulation frequency of f = 342 Hz and modulation amplitude of $V_{mod} = 5$ mV.

ARPES measurements

Angle-resolved photoemission spectroscopy (ARPES) measurements were performed in a micro-ARPES end-station (base pressure of $\sim 3 \times 10^{-11}$ Torr) at the MAESTRO facility at beamline 7.0.2 at the Advanced Light Source, Lawrence Berkeley National Laboratory. The ARPES system was equipped with a Scienta R4000 electron analyzer. The lateral size of the synchrotron beam was estimated to be between 30 and 50 µm. VS₂ single crystals were cleaved in situ at 20 K to obtain clean surfaces and kept below 20 K during the ARPES measurements. The total energy and angular resolution of our experiments were better than 20 meV and 0.1° for the photon energy of 106 eV to 156 eV, respectively.

DFT calculations

To investigate the electronic properties of the VS₂ bulk structure, we used the Vienna *ab initio* simulation package (VASP) based on density functional theory (DFT).^{55,56} A plane-wave basis set was adopted to expand the electronic wavefunctions. The interactions between the electrons and ions were described using the projector-augmented-wave (PAW) method.^{57,58} The exchange-correlation energy was modeled using the Perdew-Burke-Ernzerhof (PBE) type generalized gradient approximation (GGA) function.⁵⁹ The kinetic energy cutoff was 650 eV, and a Γ -centered 18×18 *k*-point grid was used for the electronic structure calculations. The convergence tolerance of energy was 10⁻⁶ eV. Grimme's DFT-D3 method was used for van der Waals correction.⁶⁰ We used the Phonopy package for the phonon calculation and performed it by the finite displacement method.⁶¹ We constructed a 9×9×1 supercell for the phonon

calculation. The kinetic energy cutoff was the same as the unit-cell calculation, whereas a Γ -centered 1×1×12 *k*-point grid was used for the 9×9×1 supercell.

Supplementary Information

Electronic supplementary information (ESI) available. HRTEM analysis of as-grown bulk product before vacuum annealing treatment, and STM topographic images and their FFT with various bias voltages. See DOI: <u>https://doi.org/10.1039/</u>.

Author Contributions

J.S. and S.L. planned and supervised the project. S.L. and S.H.C. fabricated and characterized VS₂ bulk crystals. S.H.L. conducted STM measurements with assistance of J.S. Y.C.P. and M.J. performed TEM measurements. J.C. and G.K. carried out DFT calculations. H.J.K., B.K.C., I.H.L. Y.J.C. performed ARPES measurements. S.H.L., J.C., G.K., J.S., and S.L. cowrote the manuscript. All authors have given approval to the final version of the manuscript and commented on the manuscript.

Conflicts of Interest

The authors declare no conflict of interest.

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Fig. 1 (a) Schematic of VS₂ bulk crystal growth. Two heating zones (T₁ for sublimation temperature and T₂ for crystallization reaction temperature) were individually controlled in five steps. After the furnace was cooled naturally, corrected VS₂ bulk crystals were annealed at low temperature (200 °C) in a high-vacuum chamber. The right photograph indicates the final VS₂ bulk crystal. (b) XRD patterns of VS₂ bulk crystals before and after annealing treatment. While the peaks of VS₂ and V₅S₈ were observed before annealing, all the peaks after annealing were indexed to the trigonal 1T-VS₂ phase. The predominant peaks along the *c*-axis indicated the character of the van der Waals materials. (c) Raman spectra of 1T-VS₂ bulk crystals after annealing. (d) XPS spectra of V⁴⁺ and S²⁻ in 1T-VS₂ bulk crystals after annealing.



Fig. 2 (a) AFM topographic image of exfoliated 1T-VS_2 on a Si/SiO₂ substrate. The thickness profiles of the flakes are shown, corresponding to 7 layers of VS₂. (b) Low-magnification, enlarged high-resolution TEM images and corresponding EDS mapping of 1T-VS_2 . The clear lattice fringes and the regular FFT configuration confirm the highly single crystalline nature. (c) Top and side views of the ball and stick model of the trigonal crystal structure of VS₂. (d, e) HAADF-STEM images of the top (d) and the cross-section of 1T-VS_2 . Inset in (d) is the intensity profile along the yellow dashed line. The vdW gap between the VS₂ layers is clearly shown as ~5.85 Å.



Fig. 3 (a) STM image of the cleaved VS₂ surface ($V_b = -100 \text{ mV}$, $I_t = -200 \text{ pA}$). The inset shows a photo of the cleaved VS₂ sample attached to the STM head. (b) Zoomed-in STM image highlighted by the red dashed rectangle in (a). $\mathbf{q}_{\text{Bragg}}$, \mathbf{q}_1 , and \mathbf{q}_2 denote the basis vectors of the atomic lattice and two CDW lattices, respectively. A bias voltage of -50 mV was applied. (c) FFT of the topographic STM image in (b). The Bragg lattice peaks of 1T-VS₂ are marked by the outer six magenta circles. The inner six orange and green circles indicate the CDW peaks with $\sqrt{6} \times \sqrt{6}R30^\circ$ and 4×4 order, respectively.



Fig. 4 (a) Schematic of the first hexagonal Brillouin zone (left) and the reciprocal unit cells (right). Orange and green colored circles indicate CDW vectors at the $\overline{\Gamma K}$ and $\overline{\Gamma M}$ planes, respectively. (b) Fermi surface map of the 1T-VS₂ bulk crystal obtained by ARPES at 15 K. Six cigar-shaped electron pockets centered at the M points are clearly shown on the K- Γ -M plane. (c) Phonon dispersion curve of the 9×9×1 supercell of 1T-VS₂. 0.62 $\overline{\Gamma K}$ and 0.48 $\overline{\Gamma M}$ represent the two prominent imaginary frequencies.



Fig. 5 (a) STM image of the same region in Figure 3(b) but with a bias voltage of 50 mV. (b) dI/dV spectra at 15 K and 300 K. The 2 Δ CDW gap (~130 meV) is only observed at 15 K.