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**Ozonation greatly improves ceramic membrane
microfiltration efficiency during wastewater reuse:
mechanisms and performance**

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Water Impact Statement

Reuse of impaired waters is a key strategy toward water sustainability worldwide. Wastewater reuse with high pressure polymeric membranes is costly energy-wise and prone to fouling. Ceramic membranes are showing real promise as an alternative and when combined with ozone carried onto the membrane surface, we show the promise of almost limitless filtration without the need for extensive cleaning regimes.

1 **Ozonation greatly improves ceramic membrane microfiltration efficiency**
2 **during wastewater reuse: mechanisms and performance**

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4 Bryan Liu¹, Anthony Pimentel¹, Michael J. Watts², Joanna R. Murphy¹, Karl G. Linden^{1*}

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9 ¹Department of Civil, Environmental and Architectural Engineering, Environmental
10 Engineering Program, University of Colorado Boulder, Colorado, 80309 USA

11 ²Garver, Dallas, Texas, 75254 USA

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23 *Corresponding author: Karl G. Linden, Tel: (303) 492-4798. Fax: (303) 492-7317. E-mail:
24 karl.linden@colorado.edu

25 Xuan.liu@colorado.edu (B. Liu); anthony.pimentel@colorado.edu (A. Pimentel);
26 mjwatts@garverusa.com (M.J. Watts); joanna.murphy@colorado.edu (J.R. Murphy)

27 **Abstract**

28 This study investigated the benefits of using in-situ ozonation to extend ceramic membrane
29 filtration and mitigate fouling during treatment for wastewater reuse. Pre-ozonation has been
30 proven to diminish ceramic membrane fouling, but in-situ ozonation can further sustain ceramic
31 membrane flux. In this study, ceramic membrane filtration cycle times were compared for raw
32 secondary effluent (SE), pre-ozonated SE, and in-situ ozonated SE and data were analyzed with
33 respect to changes in organic matter composition. Operational parameters were chosen to simulate
34 typical full-scale operation. Results show that while pre-ozonation ($\text{mgO}_3/\text{mgDOC}=1$) can extend
35 filtration cycle time beyond non-ozonated ceramic membrane filtration by approximately 5 times,
36 in-situ ozonation, with a residual ozone concentration of only 0.5ppm on the ceramic membrane
37 surface, extended filtration time by orders of magnitude longer. Like pre-ozonation, in-situ
38 ozonation transformed large molecular weight compounds to lower molecular weights, and also
39 reduced organic matter hydrophobicity, mitigating ceramic membrane fouling. However, in-situ
40 ozonation also simultaneously provided an oxidative mechanism within the membrane during
41 filtration that pre-ozonation did not. No evidence of a major role of hydroxyl radicals produced
42 via catalytic ozonation with the ceramic membrane material was detected. This work demonstrates
43 the extensive improvements possible for ceramic membrane microfiltration when coupled with in-
44 situ ozonation, as part of an alternative water reuse treatment train.

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49 **Keywords:** ozonation, ceramic membrane, hydroxyl radicals, fouling

50 **1. Introduction**

51 Ceramic membranes have gained increasing interest for wastewater reclamation in recent years.
52 Although water reuse is not a new field of study, it has become difficult for traditional technologies
53 to provide reliable water quality as well as to meet stringent regulations. Compared to polymeric
54 membranes, they can withstand higher temperature, chemical cleaning, and higher pressures¹.
55 However, like all other membrane processes, one main drawback is fouling, which remains an
56 obstacle for long term operations.

57 Foulants can typically be divided into four categories, including 1) particles which typically form
58 cake layers on the membrane surface, or get transported into the membrane and block the pores;
59 2) organic matters that adsorbs to the membrane surface; 3) inorganic components that precipitate
60 on the membrane surface; and 4) microorganisms that result in biofouling². Traditionally,
61 membrane cleaning has been performed by physical backwash³, chemical cleaning⁴, and enhanced
62 chemical backwash (ECB)⁵. Each of these cleaning processes have disadvantages. Physical
63 backwash does not remove NOM adsorbed to the membrane surface nor stuck in the pores easily⁴,
64 and also cannot restore the initial flux of the membrane, with declining initial flux after each
65 backwash due to irreversible fouling⁶. Sodium hypochlorite (NaOCl) and sodium hydroxide
66 (NaOH) are two commonly used chemicals for chemical cleaning, but still not all organic matter
67 is able to be removed from the membrane surface⁷. ECB is a more aggressive cleaning method,
68 and not suitable for polymeric membranes since it cannot withstand aggressive chemicals without
69 damaging the membrane integrity. ECB is beneficial in removing irreversible foulants, but can
70 also have negative impacts on ceramic membranes by inducing the release of soluble microbial
71 products (SMP) and extracellular polymeric substances (EPS)⁸. Ozone has also been used as a
72 cleaning agent for ceramic membranes in recent studies^{4,9-11}.

73 Ozonation has been widely investigated as a pre-treatment for membrane processes¹²⁻¹⁶. These
74 studies concluded that pre-ozonation can lead to significantly less fouling during membrane
75 filtration due to the degradation of large molecular weight compounds. More recently, research
76 has been focused on in-situ ozonation, allowing a dissolved ozone residual to contact the
77 membrane surface¹⁷⁻¹⁹. This combined process is suitable for ceramic membrane filtration because
78 ceramics have higher material integrity than polymeric membranes. One main benefit of the
79 combined process over pre-ozonation is that residual ozone can react with foulant on/in the ceramic

80 membrane surface/pores, further induce the degradation of organic matter, and increase
81 permeability. Some studies have also suggested that ceramic membranes can induce the
82 degradation of ozone through catalytic ozonation^{20,21}, producing hydroxyl radicals, which is a
83 highly reactive and non-selective oxidant. However, other studies have suggested differently,
84 indicating that ceramic membrane do not act as a catalyst for ozone degradation²²⁻²⁴. Nawrocki
85 and Fijolek (2013) suggest that the discrepancies in these results stem from whether pH is
86 controlled during the treatment process. Because ozone degrades faster at higher pH²⁵, it would be
87 important to control pH during the filtration process to isolate any ceramic membrane catalytic
88 effects. However, few studies have controlled pH during in-situ ozonation of wastewater and
89 during catalytic ozonation on the membrane surface, while also evaluating the type of metal oxide
90 that is most efficient at producing radicals.

91 A concern regarding ozonation in water treatment has been by-products such as NDMA and
92 bromate, which are both potentially carcinogenic^{26,27}. Bromate is formed when ozonating water
93 containing bromide and NDMA is formed when water ozonated contains NDMA precursors such
94 as dimethylamine (DMA) ²⁷⁻²⁹. Bromate formation can be linearly correlated with higher ozone
95 dose during treatment, and many methods have been established to minimize the formation of
96 bromate during ozonation such as pH adjustment and addition of ammonia; however, when mgO_3
97 : $\text{mgDOC} \leq 0.4$, little bromate is formed ²⁹. Ibn Abdul Hamid et al. (2020) found that O_3 (10mg/L)
98 coupled with ceramic membrane was able to reduce the formation of bromate by 50%. They
99 hypothesized that the lower rate of bromate formation might be due to lower ozone exposure from
100 the catalytic ozonation effect of ceramic membrane leading to the formation of hydroxyl radicals.

101 Despite the dissimilar inferences on whether ceramic membranes are catalysts for ozone
102 degradation, ozone helps reduce membrane fouling and improve permeability. Zhang et al. (2013)
103 concluded that in-situ ozonation was able to double the filtration time of ultrafiltration but did not
104 eliminate irreversible fouling. Song et al. (2020) suggested that pre-ozonation was better at
105 mitigating membrane fouling but in-situ ozonation provided better backwash efficiency since the
106 fouling layers are loosened. Because ozone is a strong oxidant, some have suggested that in-situ
107 ozonation assisted in cleaning of the ceramic membrane since they observed that in-situ ozonation
108 after in-line coagulation was able to maintain a stable transmembrane pressure³². Because in-situ
109 ozonation is more resource intensive (injection streams, pressurized systems), it is important to

110 determine how organic matter interacts with ozone inside the membrane and what dose of ozone
111 can maximize filtration cycles. While some studies have demonstrated that pre-ozonation and in-
112 situ ozonation at low concentration dosing can help reduce fouling during ceramic UF filtration,
113 these were investigated using flat sheet ceramic UF membranes with synthetic wastewater^{33–35} or
114 were under low flow scenarios^{36,37}.

115 This study fills a unique gap in the literature in use of a microfiltration (MF) ceramic membrane
116 to treat a complex wastewater matrix, comparing development of membrane fouling for both pre
117 and in-situ ozonation in a realistic cylindrical membrane continuous flow scenario. It also
118 compares the OH radical formation from different membrane materials. Experiments examined
119 whether catalytic ozonation, producing OH radicals, occurs inside the ceramic membrane during
120 wastewater treatment and the effects of low residual in-situ ozonation on the foulants inside the
121 membrane during wastewater treatment. Understanding the transformation of foulants and its
122 impact on ceramic membrane filtration cycles at the bench scale level will help with the
123 implementation of in-situ ozonation for long term operation.

124

125 **2. Materials and Methods**

126 **2.1 Membrane, reagents and test water**

127 Two ceramic membranes were used for the study. An Al₂O₃ cylindrical membrane provided by
128 Aqua-Aerobic Systems Inc. was the primary ceramic membrane system examined as this was a
129 pilot version of a larger scale system developed for water treatment. For some specific studies on
130 catalytic ozonation, a ZrO₂-TiO₂ disc membrane provided by Sterlitech Corporation was utilized
131 to evaluate the potential production of OH radicals due to catalytic interaction of ozone with the
132 membrane surface. Specifications provided by the respective manufacturer of each membrane are
133 presented in Table 1.

134 This study evaluated secondary effluent from City of Boulder Water Resource Recovery Facility
135 (WRRF) in Boulder, Colorado. The facility utilizes a multi-stage treatment process, including
136 physical separation, microbiological nutrient removal and ultraviolet (UV) light disinfection, to
137 treat an average of 12 million gallons of wastewater per day. All water was sampled before UV

138 light disinfection, filtered through 0.45um polyethersulfone filters and stored at 4°C. Prior to all
 139 experiments, all water samples were adjusted to room temperature (25°C). Properties of the
 140 wastewater samples are shown in

141 Table 2. Para-chlorobenzoic acid (pCBA) and Indigo-trisulfonate were both purchased from Sigma
 142 Aldrich.

143 Table 1. Properties of the cylindrical ceramic membranes

Properties	Al ₂ O ₃	ZrO ₂ -TiO ₂
Manufacturer	Aqua-Aerobic Systems, Inc.	Sterlitech Corporation
Shape	Cylindrical	Disc
Dimensions (diameter x length) (mm)	30 × 100	4.7
Diameter of channels (mm)	2.5	n/a
Number of channels	55	n/a
Active membrane area (m ²)	0.04	0.0013
Nominal pore size (micron)	0.1	0.14
Flow direction	Inside out	n/a
Operation mode	Dead end	Dead end
Acceptable range of operating pH	3-12	0-14

144

145 Table 2. Properties of wastewater samples (n=4)

Properties	Values
pH	7.19 ± 0.06
UV ₂₅₄ (cm ⁻¹)	0.144 ± 0.006
DOC (mg/L)	7.63 ± 0.63
Alkalinity (mg-CaCO ₃ /L)	118

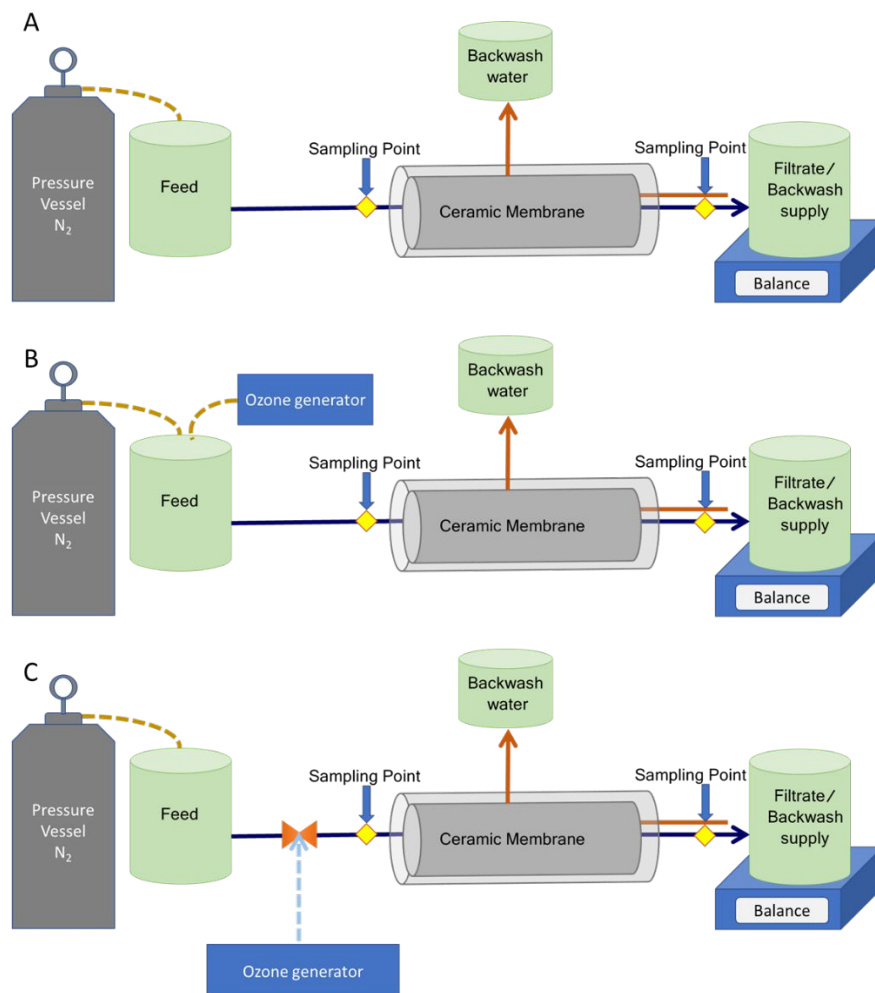
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147 2.2 Experimental setup and procedure

148 Ceramic membrane microfiltration experiments were performed with the bench-scale cylindrical
149 membrane set-up as shown in Figure 1. The ceramic membrane filtration system was a tubular
150 membrane slightly modified to perform three different dead-end filtration experiments: 1) ceramic
151 membrane filtration only; 2) pre-ozonation coupled with ceramic membrane filtration; 3) in-situ
152 ozonation onto the ceramic membrane filtration. Prior to each experiment, the ceramic membrane
153 was chemically cleaned following the procedure provided by Aqua-Aerobic Systems Inc. To
154 understand any possible catalytic ozonation resulting in OH radical production, the disc ceramic
155 membrane filtration experiments were also set up as in Figure 1A, but only in-situ ozonation was
156 performed. The disc ceramic membranes could not be backwashed, therefore, filtration cycles were
157 not performed, and this system was only used to compare hydroxyl radical production from the
158 zirconium and titanium embedded membrane to the Al₂O₃ ceramic membrane tubular system.

159 Stock aqueous ozone was generated by feeding pure oxygen (Airgas, USA) into a high output
160 ozone generator (TG-40, Ozone Solutions, USA) and then feeding the gaseous ozone into a
161 pressure vessel (10 psi) containing deionized water at 2 degrees Celsius. For pre-ozonation, ozone
162 was mixed with secondary effluent at a ratio of mg-O₃/mg-DOC=1 for 10 minutes before filtration
163 began, during which the entire ozone residual was degraded. For in-situ ozonation, ozone was
164 continuously fed into the filtration system prior to ceramic membrane filtration, as presented in
165 Figure 1, with a residual target of 0.5 mg/L detected directly before the membrane surface. For
166 each filtration experiment, membrane flux was maintained at approximately $245 \frac{\text{L}}{\text{m}^2 \cdot \text{hr}}$.

167 Six different samples were collected throughout the experiments for comparison, 1) raw secondary
168 effluent (Raw); 2) effluent from ceramic membrane filtration only (CMF); 3) ozonation alone (O₃);
169 4) effluent from pre-ozonation coupled with ceramic membrane filtration (O₃ + CMF); 5) In-situ
170 ozonation prior to ceramic membrane filtration (In-situ (BF CMF)); 6) In-situ ozonation after
171 ceramic membrane filtration (In-situ (AF CMF)).



172

173 Figure 1. Schematic of bench-scale tubular ceramic membrane microfiltration system setup. A)

174 raw (non-ozonated) filtration; B) Pre-ozonated filtration; C) In-situ ozonation.

175

176 2.3 Analytical methods

177 Organic carbon was measured using a Sievers M5310C TOC analyzer; alkalinity was measured
 178 using a HACH Digital Titrator; and pH was measured using a Fisher Scientific AB15 pH meter.

179 The concentration of stock and residual dissolved ozone was verified using the indigo method³⁸.

180 The absorbance of the samples was measured using a UV-vis spectrophotometer (HACH DR6000,
 181 Colorado, USA) at 600nm.

182 Three-dimensional excitation-emission matrices (EEMs) were collected using a
183 spectrofluorometer (John Yvon Horiba FluoroMax-4, NJ). Fluorescence intensity was measured
184 during emission scans from 300 nm to 700 nm every 2 nm at set excitation wavelengths in 10 nm
185 increments from 240 nm to 500 nm. A 5 nm bandpass for excitation and emission wavelengths
186 and 0.25s integration time were used.

187 Size characterization of the natural organic matter (NOM) was performed using size-exclusion
188 chromatography (SEC) with UV and DOC quantification by an Agilent 1200 LC system (Palo
189 Alto, CA) with a Toyopearl HW-50 S $250 \times 20 \text{ mm}^2$ column (Grace, Rottenburg, Germany), with
190 an injection volume of 2.0 mL. DOC eluting from the SEC column was measured with a Sievers
191 M9 Portable TOC analyzer (General Electric, CO) with $1.5 \mu\text{L}/\text{min}$ acid and oxidizer flow rates.
192 A diode array from Agilent was used as a detector (model 1200 Palo Alto, CA) monitoring at 254
193 nm. The mobile phase consisted of phosphate buffer ($0.0024 \text{ M NaH}_2\text{PO}_4$, $0.0016 \text{ M Na}_2\text{HPO}_4$)
194 and $0.025 \text{ M Na}_2\text{SO}_4$ adjusted to pH of 6.8 ± 0.1 . The flow rate was held at $1.0 \text{ mL}/\text{min}$ which
195 corresponded to a pressure of 13 bar. Samples were prepared by adjusting the ionic strength to 1M
196 with concentrated mobile phase eluent.

197 pCBA was selected as a probe compound to indirectly measure the concentration of hydroxyl
198 radicals due its fast reaction rate with hydroxyl radicals compared to ozone
199 ($k_{\text{O}_3/\text{pCBA}} < 0.15 \text{ M}^{-1} \text{ s}^{-1}$, $k_{\text{OH}/\text{pCBA}} = 5 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$)³⁹. pCBA concentration was determined
200 using an Agilent 1200 Series high performance liquid chromatography (HPLC) with a UV detector
201 using 234nm for absorbance detection and a reverse phase C-18 column (all from Agilent, Santa
202 Clara, CA, USA). pCBA was eluted with 60% methanol and 40% 10mM phosphoric acid,
203 respectively.

204

205 **3. Results and Discussion**

206 3.1 Effects of ozonation and ceramic membrane filtration on wastewater characteristics

207 3.1.1 TOC, UV_{254} , and pH

208 Table 3 presents the changes of TOC, UV_{254} , and pH after various treatment methods. TOC
209 concentration was reduced by 9%, 21%, and 30% by Al_2O_3 membrane filtration alone, pre-

210 ozonation alone, and pre-ozonation coupled with Al₂O₃ membrane filtration, respectively.
211 Absorbance at UV₂₅₄ was reduced by 6%, 58%, and 63% by Al₂O₃ membrane filtration alone, pre-
212 ozonation alone, and pre-ozonation coupled with Al₂O₃ membrane filtration, respectively. The
213 similar reduction rate of TOC and UV₂₅₄ after Al₂O₃ membrane filtration indicates that, as
214 expected, the ceramic membrane did not alter the structure of the organic matter (OM). On the
215 other hand, pre-ozonation minimally reduced TOC concentration (~21%), but UV₂₅₄ was
216 significantly reduced, indicating that OM was transformed during the process. UV₂₅₄ is a surrogate
217 for aromatic carbon content⁴⁰, therefore, the results from pre-ozonation alone primarily indicates
218 a loss of aromatic carbon content, rather than a loss of overall TOC. Owen et al. also demonstrated
219 that ozonation of OM will convert humic substances into non-humic substances, as well as increase
220 the polarity of OM⁴¹.

221 During in-situ ozonation treatment, TOC was reduced by 26% before Al₂O₃ membrane filtration
222 and 24% after Al₂O₃ membrane filtration, indicating virtually no change in the TOC concentration
223 due to filtration. UV₂₅₄ absorbance was reduced by approximately 60% and did not vary much
224 before and after Al₂O₃ membrane filtration either. Alresheedi et al. (2019) demonstrated that O₃
225 can be used as a cleaning agent for ceramic membranes with high efficiency, therefore, the slight
226 increase in TOC after in-situ ozonation may be due to ozone dislodging OM adsorbed to the
227 ceramic membrane surface, or oxidized OM that was blocking the pores. While UV₂₅₄ absorbance
228 did not change significantly for in-situ ozonation before and after Al₂O₃ membrane filtration,
229 UV₂₅₄ for in-situ ozonation prior to Al₂O₃ membrane filtration was reduced more than pre-
230 ozonation alone. This might have been due to the higher initial dose of ozone for in-situ ozonation.
231 For different system setups, the initial ozone exposure would vary depending on the distance from
232 which ozone is injected upstream of the ceramic filtration to maintain approximately 0.5ppm on
233 the ceramic membrane surface.

234 Regardless of treatment methods, pH did not vary significantly(± 0.08 units). This is likely due
235 to the high alkalinity of the source water. Alkalinity has multiple implications regarding ceramic
236 membrane filtration. First, bicarbonate and carbonate scavenges OH· at a relatively fast reaction
237 rate ($k_{\text{HCO}_3^-/\text{OH}\cdot} = 8.5 \times 10^6 \text{M}^{-1}\text{s}^{-1}$, $k_{\text{CO}_3^{2-}/\text{OH}\cdot} = 3.9 \times 10^8 \text{M}^{-1}\text{s}^{-1}$)⁴², which will reduce
238 the amount of available hydroxyl radicals for OM oxidation. Second, ozone decomposition is
239 highly affected by pH, alkalinity, and DOM concentration²⁵. High alkalinity will slow down ozone

240 degradation, and scavenge hydroxyl radicals to diminish the availability of hydroxyl radicals to
 241 oxidize OM. These two factors will also play a significant role in catalytic ozonation on the
 242 membrane discussed in section 3.2.

243 Table 3. Variations of TOC, UV_{254} , and pH after various treatment processes. For pre-ozonation,
 244 $mgO_3:mgDOC=1$; for in-situ ozonation, target residual ozone on ceramic membrane surface was
 245 $\sim 0.5ppm$

Treatment Method	TOC (ppm)	UV_{254} (cm^{-1})	pH
Secondary Effluent	7.63 ± 0.63	0.144 ± 0.006	7.19
Non-ozonated filtration	6.99 ± 1.45	0.098 ± 0.034	7.28
O ₃ Only	6.05 ± 0.68	0.061 ± 0.003	7.32
O ₃ + CMF	5.33 ± 0.66	0.053 ± 0.005	7.27
In-situ (BF CMF)	5.66 ± 0.66	0.055 ± 0.003	7.38
In-situ (AF CMF)	5.82 ± 0.59	0.057 ± 0.005	7.40

246

247 3.1.2 Fluorescence

248 Fluorescence is a widely used technique to assess characteristics of organic matter in wastewater.
 249 Specific fluorescence peak intensity for peaks A, B, C, and T were assessed after various
 250 treatments. The excitation and emission region, center points, and type of organic for each peak is
 251 defined in Table 4.

252 Table 4. Peak region and center points for each peak⁴³

Peak	Range	Center Points (Ex, Em) nm	Type
A	Ex: 240-270nm Em: 380-470nm	(260,426)	Humic-like
B	Ex: 260-290nm Em: 300-320nm	(280,310)	Tyrosin-like
C	Ex: 300-340nm Em: 400-480nm	(320,440)	Humic-like

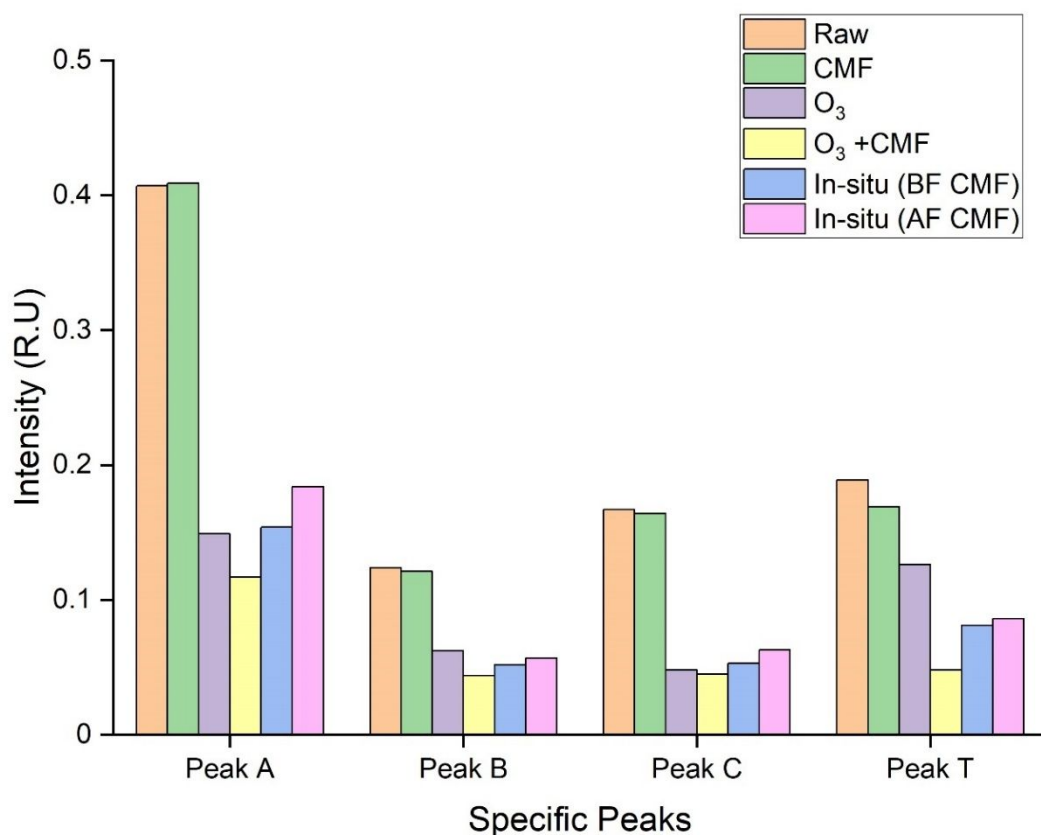
T	Ex: 260-290nm Em: 326-350nm	(280,338)	Tryptophan
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253

254 Comparing Al₂O₃ ceramic membrane filtered only samples to raw samples, Peaks A, B, C, and T
 255 were reduced by 0%, 2%, 2%, and 10%, respectively, compared to the raw samples, indicating
 256 that ceramic membrane filtration alone did not change the composition of the wastewater. This
 257 change is unsurprising since Al₂O₃ ceramic membrane filtration alone only minimally reduces
 258 TOC, and mostly removed large organic molecules (similar to a study by Song et al., (2010)),
 259 which are mainly protein-like substances in our sample. When ozonation alone was applied to the
 260 wastewater, Peaks A, B, C, and T were reduced by 63%, 50%, 71%, and 33%, respectively,
 261 compared to the raw samples. This is consistent with previous studies that concluded advanced
 262 treatment (i.e., filtration, chlorination, reverse osmosis, etc.) are better at removing compounds
 263 that fluoresce in region Em>380nm⁴⁵. Peaks A and C were reduced the most, likely due to humic-
 264 like substances containing electron-rich moieties that react rapidly with ozone and hydroxyl
 265 radicals⁴⁶. When pre-ozonation was coupled with Al₂O₃ ceramic membrane filtration, Peaks A, B,
 266 C, and T were reduced by 71%, 65%, 73%, and 75%, respectively. There was slight increase in
 267 removal of Peaks A and C, but much more significant improvement of removal for Peaks B and
 268 T. Peaks B and T reflect protein-like substances, which tend to be large molecular weight
 269 compounds, and may be retained on the membrane surface and the main reason for membrane
 270 fouling^{12,47}. Peaks A and C are smaller molecular weight compounds and will likely pass through
 271 the ceramic membrane.

272 During in-situ ozonation prior to ceramic membrane filtration, Peaks A, B, C, and T were reduced
 273 by 62%, 58%, 69%, and 57%, respectively. These results are also consistent with pre-ozonation,
 274 where Peaks A and C had a higher reduction rate than Peaks B and T. Peak T had considerable
 275 reduction during in-situ ozonation, compared to pre-ozonation alone, while the other three peaks
 276 remained relatively similar. This may have been a result of better mixing mechanisms utilized
 277 during in-situ ozonation. During in-situ ozonation, wastewater and ozone was mixed in small
 278 volumes, providing better diffusion. The fluorescence intensity for in-situ ozonation after ceramic
 279 membrane filtration increased slightly. Peaks A, B, C, and T for in-situ ozonation after ceramic
 280 membrane filtration increased by 7%, 4%, 7%, and 3%, respectively. This is expected after the in-

281 situ filtration process due to the release of organic matter that was previously deposited in the
282 membrane during filtration. Further analysis would be needed to determine which type of foulant,
283 humic or protein, ozone/hydroxyl radical oxidizes more quickly in the ceramic membrane.



284

285 Figure 2. Fluorescence intensities at specific peaks for water samples after various treatment
286 methods. Peak A, B, C, and T refers to humic-like, tyrosine-like, humic-like, and tryptophan-like
287 substances.

288 3.1.3 Size Exclusion Chromatography

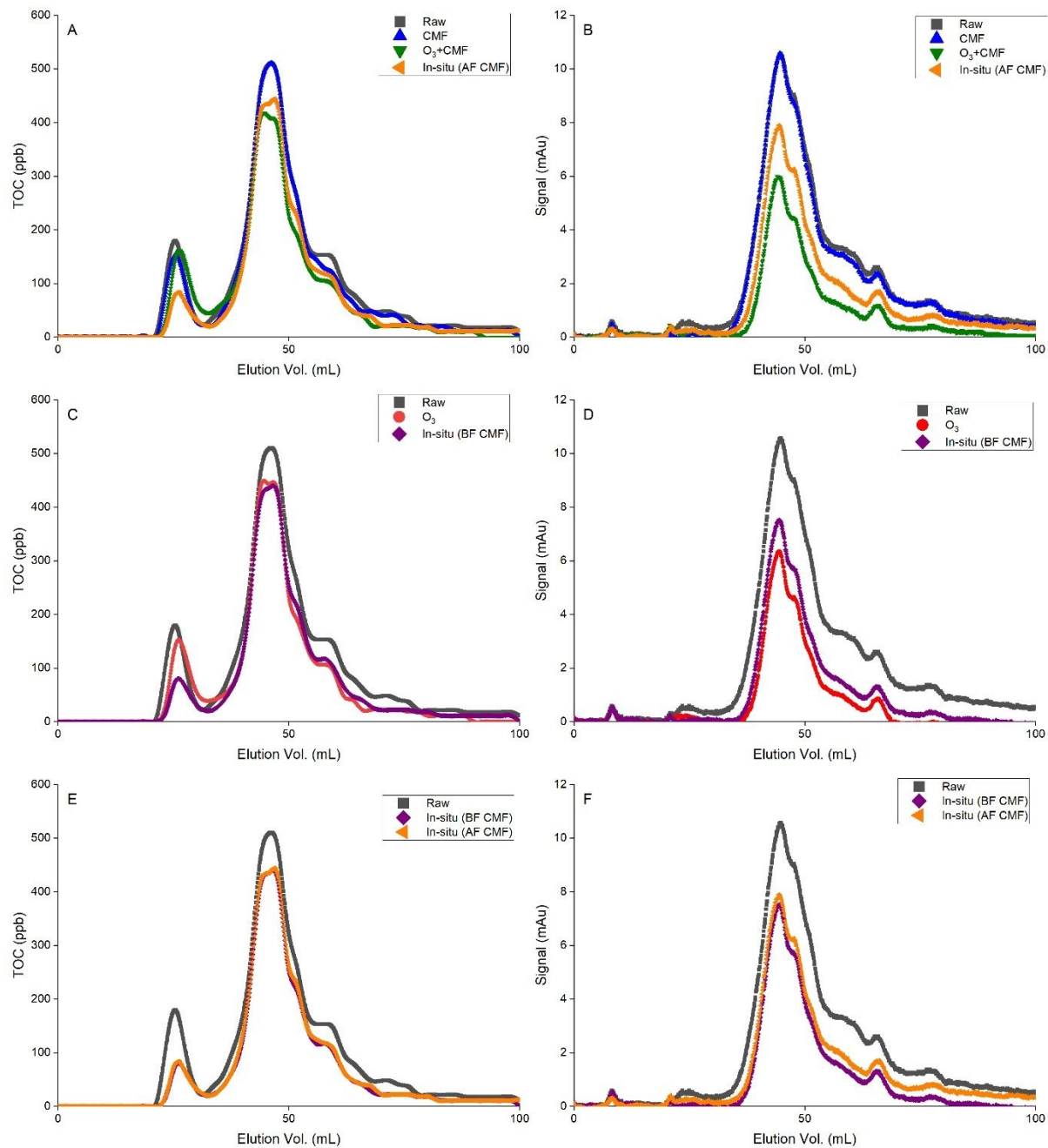
289 SEC was utilized to characterize the molecular weight distribution of organic matter in the water
290 samples, comparing various treatment methods to the raw secondary effluent. Organic matter that
291 eluted early (elution volume of 25mL) tends to be larger molecular weight compounds (i.e.,
292 biopolymers), and organic matter eluted later tends to be smaller molecular weight compounds
293 (i.e., humic acids, building blocks etc.). All water samples show a multimodal distribution, but
294 distinctive changes after different treatment processes.

295 Figure 3A presents the changes in TOC after Al₂O₃ membrane filtration alone, pre-ozonation
296 coupled with Al₂O₃ membrane filtration, and in-situ ozonation, and Figure 3B presents the changes
297 in UV₂₅₄ after each of the aforementioned treatment processes. As shown in Figure 3A, Al₂O₃
298 membrane filtration alone slightly reduced large OM, but overall did not change the distribution
299 of OM compared to the raw secondary effluent. UV₂₅₄ for Al₂O₃ membrane filtration alone also
300 displayed similar distribution with the raw secondary effluent, indicating it did not alter the
301 molecular structure of the OM. When Al₂O₃ membrane filtration is coupled with pre-ozonation, a
302 change in molecular weight distribution is observed. As shown in Figure 3A, the peak for pre-
303 ozonation coupled with Al₂O₃ membrane filtration was not only reduced compared to raw
304 secondary effluent at early elution volumes, but it also shifted slightly to the right, indicating a
305 change in molecular size and structure, which is also evident by the change of UV₂₅₄ shown in
306 Figure 3B. There is also a significant reduction of TOC at elution volume of 50mL. Previous
307 studies concluded that ozone reactions with OM chromophores were non-size specific, however,
308 hydroxyl radicals favoured larger molecular weight compounds, likely due to its faster reaction
309 rate with larger molecular weight compounds⁴⁸.

310 Figure 3C and D demonstrate the TOC and UV₂₅₄ variations between pre-ozonation alone and in-
311 situ ozonation prior to Al₂O₃ membrane filtration. In-situ ozonation reduced more TOC and UV₂₅₄
312 compared to pre-ozonation alone, likely due to the higher ozone exposure given the place where
313 ozone was spiked. Applied dose of ozone to achieve a residual of 0.5ppm will vary depending on
314 the ozone exposure period before ceramic filtration. If ozone is injected closer to the ceramic
315 membrane, the change in TOC and reduction of UV₂₅₄ would be less significant, and vice versa if
316 the ozone injection is further upstream. However, to maintain an ozone dose of approximately
317 0.5ppm on the ceramic membrane surface, injecting ozone further upstream would require a higher
318 initial dose, which will require a higher cost.

319 Figure 3E and F demonstrate the TOC and UV₂₅₄ variations between in-situ ozonation sampled
320 prior to and after Al₂O₃ membrane filtration. Neither TOC nor UV₂₅₄ signal distribution varied
321 significantly, indicating minimal reaction between ozone/hydroxyl radicals and OM in the bulk
322 water occurred during the filtration process. However, TOC and UV₂₅₄ distributions are slightly
323 higher for in-situ ozonation after Al₂O₃ membrane filtration, indicating some organic foulants
324 might have been dislodged from the ceramic membrane pores. Because UV₂₅₄ signal after Al₂O₃

325 membrane filtration also increased, it is likely that foulants dislodged from the membrane pores
326 contained aromatic compounds⁴⁰.



327

328 Figure 3. TOC and UV₂₅₄ variations during size exclusion chromatography examinations
329 following different treatment processes. A), C), and E) are TOC comparisons, and B), D), and F)
330 are UV₂₅₄ comparisons accordingly.

331 3.2 Steady-state hydroxyl radical concentration across the ceramic membranes

332 Catalytic ozonation was investigated across ceramic membrane filtration for two different
333 membrane materials: Al₂O₃ and ZrO₂-TiO₂. Table 5 presents the average steady-state
334 concentration of hydroxyl radicals, and the average percentage increase across its respective
335 ceramic membranes. For Al₂O₃, the average steady-state concentration before ceramic membrane
336 filtration is 8.35×10^{-13} M, and 8.47×10^{-13} M after ceramic membrane filtration, with an
337 average of 1.4% increase in steady-state hydroxyl radical production. For ZrO₂-TiO₂, the average
338 steady-state concentration before ceramic membrane filtration is 9.51×10^{-13} M, and $1.06 \times$
339 10^{-12} M after ceramic membrane filtration, with an average of 9.6% increase in steady-state
340 hydroxyl radical production. Past studies have shown that ceramic membranes have catalytic
341 ozonation effects that can increase ozone degradation and enhance hydroxyl radical production on
342 the membrane surface^{30,49-51}. This study demonstrated that neither Al₂O₃ nor ZrO₂-TiO₂ ceramic
343 membranes have induced catalytic ozonation. For both Al₂O₃ and ZrO₂-TiO₂, steady-state
344 hydroxyl radical concentration before and after ceramic membrane filtration was not statistically
345 different ($p > 0.05$). However, hydroxyl radical production on ZrO₂-TiO₂ membranes surface did
346 show better results than Al₂O₃ membrane ($p < 0.05$).

347 Ozone degradation depends greatly on pH, where higher pH accelerates ozone decomposition²⁵.
348 One of the major impurities of ceramic membranes is sodium²³, due to the use of sodium hydroxide
349 as a leaching agent during production⁵². Commercially available aluminium oxide produced using
350 this method often results in alkaline residues that will affect pH of the solution when introduced
351 to the water sample. Previous research regarding catalytic ozonation either did not control pH or
352 did not measure alkalinity of the water samples^{21,50,53}. Water samples with low alkalinity, when
353 coming in contact with the ceramic membrane with high concentration of sodium, would be prone
354 to an increase in pH, resulting in the acceleration of ozone decomposition. For BLDRSE, the
355 alkalinity for the water sample was approximately 118 mg-CaCO₃/L and did not change
356 significantly after ceramic membrane filtration. The high alkalinity from the secondary effluent,

357 BLDRSE, likely provided enough buffering capacity to avoid accelerated ozone degradation due
358 to increased pH.

359 Commercially available ceramic membranes (i.e., Aqua-Aerobic System Inc, Tami Industries, etc.)
360 contain impurities that may affect the ozone degradation processes when in contact with the water
361 sample. Ozone molecules, being relatively non-polar compared to water molecules, are often out
362 competed by water molecules to adsorb to alumina adsorption sites⁵⁴. If ozone is out competed by
363 water and cannot adsorb to alumina surface, there would be no catalytic ozonation reaction
364 occurring on the surface of the ceramic membranes. It is also unsurprising that Al₂O₃ ceramic
365 membrane produced little to no catalytic activity since it is mostly used as a supporting layer for
366 other catalytic metals rather than being used as a catalytic material itself²².

367 Another catalytic mechanism proposed by Legube and Karpel Vel Leitner, (1999) suggested that
368 the ceramic membrane surface acts as an adsorption site for organic compounds to form chelates,
369 which can be degraded by ozone or hydroxyl radical easier. This can lead to desorption of the
370 oxidized organic compounds, providing new adsorption sites for further oxidation of organic
371 compounds newly adsorbed to the surface of the ceramic membrane. This is highly dependent on
372 the adsorption affinity to ceramic membranes and constituents that will compete for adsorption
373 sites. Orthophosphate anions and carbonate can adsorb preferably to the ceramic membrane
374 surface, decreasing the available sites for organic compounds and decreasing the potential
375 membrane catalytic activity^{51,56}. Considering the high alkalinity in the water samples, it is likely
376 that carbonate ions interfered with the catalytic activity of ozonation.

377 Table 5. Comparison of steady-state hydroxyl radical concentration across Al₂O₃ and ZrO₂-TiO₂
378 ceramic membranes

Average Steady-state OH radical concentration [M]			
Membrane Material	Before Membrane	After Membrane	% Difference Range
Al ₂ O ₃	$(8.35 \pm 2.71) \times 10^{-13}$	$(8.47 \pm 2.73) \times 10^{-13}$	0% – 3.9%
ZrO ₂ -TiO ₂	$(1.11 \pm 0.0379) \times 10^{-12}$	$(1.21 \pm 0.129) \times 10^{-12}$	3.7% – 18.3%

379

380 3.3 Filtration cycle

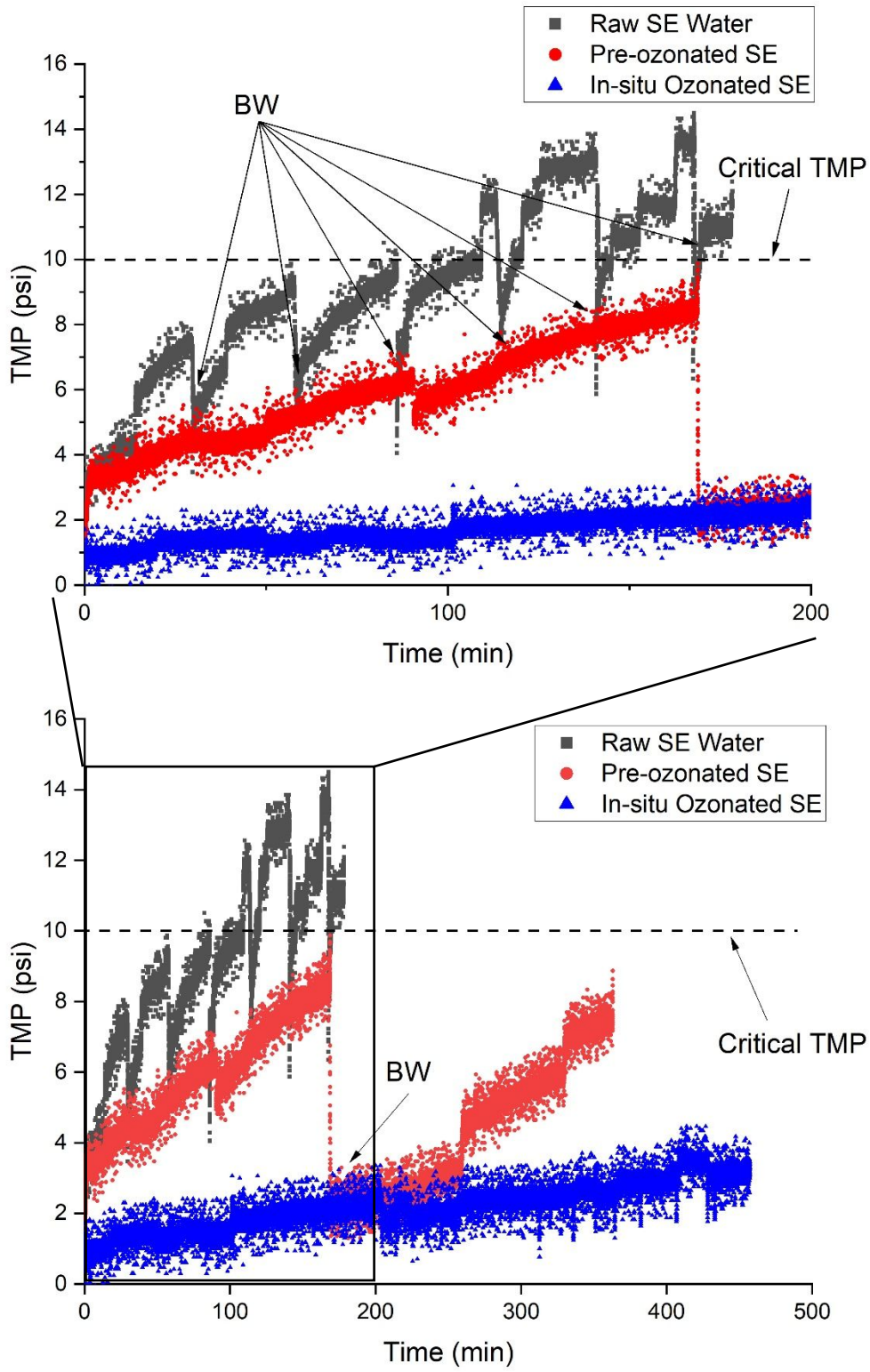
381 When comparing ceramic membrane filtration coupled with either raw (non-ozonated) secondary
382 effluent, pre-ozonated secondary effluent, or in-situ ozonated secondary effluent, raw secondary
383 effluent filtration cycles simulated typical wastewater treatment plant filtration operational
384 procedures, with a filtration time of approximately 30 minutes or reaching critical transmembrane
385 pressure of 10 psi first, before backwash begun. Pre-ozonation and in-situ ozonation filtration were
386 run until reaching a critical transmembrane pressure of 10 psi, as recommended by the ceramic
387 membrane manufacturer.

388 As illustrated in Figure 4, transmembrane pressure for raw secondary effluent increased the fastest.
389 After 3 filtration cycles (~90 minutes), the transmembrane pressure already approached the critical
390 level of 10 psi. At the end of 6 filtration cycles, backwash alone was not able recover the membrane
391 permeability to below 10 psi. Substantial foulants accumulated on the membrane surface, resulting
392 in the 7th filtration cycle to end before 30 minutes, since the system was not able to maintain
393 constant flow. For pre-ozonation, the transmembrane pressure increased at a much slower rate.
394 Critical transmembrane pressure was not reached until approximately 168 minutes. Interestingly,
395 in-situ ozonation did not ever reach critical transmembrane pressure during the experimental
396 period. Based on the linear rate that the transmembrane pressure was increasing, filtration for in-
397 situ ozonation would proceed for an estimated 300 hours (~18,000 minutes). This demonstrates
398 that in addition to ozone oxidizing large organic matter compounds, it can also remove or degrade
399 foulants that are adsorbed to the membrane surface or retained in the pores. Owen showed that
400 scanning electron microscopy of a disc membrane demonstrated no signs of foulants when the
401 membrane was directly exposed to ozone in solution⁵⁷.

402 The improvement in flux could be due to a number of reasons. As mentioned in the introduction,
403 there are four processes that can result in fouling of the membrane, and this study focused on the
404 organic matter that adsorbs on the membrane surface. Direct ozone reaction with OM is known to
405 convert more hydrophobic OM into more hydrophilic OM⁴¹. Previous studies demonstrated that
406 while little overall DOC was reduced by ozonation, hydrophobic DOC was reduced by 45-50%^{44,58}.
407 The hydrophilic products of these reactions are less adsorptive to the membrane surface, resulting
408 in an increase in membrane flux after ozonation.

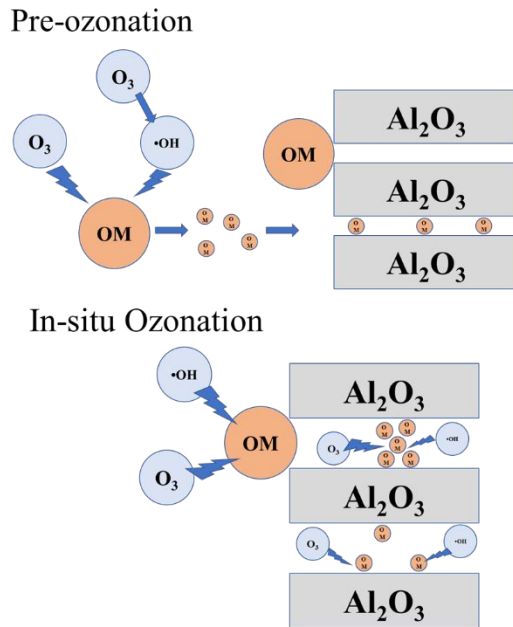
409 The change in molecular size distribution after ozonation also likely helped improve membrane
410 flux. Large molecular weight compounds (i.e., protein, polysaccharide) tend to block membrane
411 pores, and result in an increase in transmembrane pressure to maintain constant flow⁵⁹. This
412 hypothesis is consistent with SEC results, where large molecular weight compounds showed a
413 slight decrease, and smaller molecular weight compounds showed no change. Overall TOC
414 rejection by Al₂O₃ ceramic membrane was approximately 9%, which is consistent with other
415 studies that show low percentage of TOC rejection, and large portions of OM passing through the
416 membrane³. After pre-ozonation, we saw a distinctive reduction in molecular size of each group
417 of compounds. This likely contributed to the prolonged filtration cycle for pre-ozonation. As for
418 in-situ ozonation, large molecular weight compounds were further reduced, likely because of the
419 higher applied dose of ozone, and ozone's preferential reaction with large molecular weight
420 compounds. Further reduction of large molecular weight compounds will improve membrane flux,
421 as was the case for the membrane flux for in-situ ozonation improving significantly relative to pre-
422 ozonation.

423 Chemical cleaning is performed in many ways: 1) clean-in-place (CIP); 2) clean-out-of-place
424 (COP); 3) chemical wash (CW); and 4) chemical enhanced backwash (CEB)⁶⁰. A wide variety of
425 chemicals are used for chemical cleaning, such as phosphoric, hydrochloric, and citric acids⁶⁰.
426 Ozone, a strong oxidant, has also been used for membrane cleaning in recent years where after 30
427 minutes of ozone cleaning, initial membrane flux was able to be re-established⁹. For our study,
428 small amount of (~0.5ppm) of ozone residual was maintained on the surface of the ceramic
429 membrane. The residual ozone likely oxidized both the cake layer retained on the membrane
430 surface, and also the foulants in the membrane pores, dislodging them from the membrane
431 surface/pores to pass through membrane, as evidenced by the slight increase in TOC and UV₂₅₄
432 post-ceramic membrane filtration in the SEC results. Asif, Li, et al., (2021) reported similar results,
433 where in-situ ozonation significantly reduced the concentration of TOC, and polysaccharide and
434 protein fractions of SMP on the membrane surface. The small amount of ozone residual provided
435 a cleaning mechanism to help alleviate membrane fouling considerably, as hypothesized in the
436 oxidation schematic in Figure 5, and extended filtration cycle time by orders of magnitude.



438 Figure 4. Filtration cycles using Al_2O_3 cylindrical membrane for raw SE, pre-ozonated SE, and
 439 in-situ ozonated SE. A) Filtration cycle for 200 mins; B) Filtration cycle for 500 mins. Initial
 440 TMP for all three filtration modes are between 0.5-1 psi as measured using ultrapure water.

441



442

443 Figure 5: Hypothesized fouling mitigation mechanism of pre- and in-situ oxidation of organic
 444 matter foulants

445

446 Conclusion

447 While pre-ozonation was able to mitigate fouling for ceramic membrane filtration, in-situ
 448 ozonation not only mitigated fouling, but was also able to simultaneously clean the ceramic
 449 membrane, further extending its filtration cycle time.

450 Pre-ozonation of secondary effluent extended the filtration cycle time approximately 5 times
 451 compared to ceramic membrane filtration of raw secondary effluent. This is mainly due to the
 452 transformation of organic matter due to ozonation. Ozonation of organic matter did not reduce the
 453 concentration of organic matter but altered its composition. Large molecular weight compounds,
 454 which are a primary cause of fouling, were transformed into lower molecular weight compounds.

455 Hydrophobic organic matter was also transformed into more hydrophilic compounds, which result
456 in less fouling.

457 As for in-situ ozonation, not only was organic matter transformed before entering the ceramic
458 membrane, ozonation process occurring inside the ceramic membrane pores also assisted in
459 extending the filtration cycle time. Similar to pre-ozonation, ozone transformed the size and
460 hydrophobicity of the organic matter. However, the residual ozone likely reacted with foulants
461 inside the membrane pores, further oxidizing the foulants to improve the ceramic membrane's
462 permeability. TOC results showed that there is a slight increase in organic matter concentration,
463 indicating some organic foulants in the ceramic membrane pores likely got dislodged.

464 This study also investigated catalytic ozonation on two ceramic membrane surfaces. Ozone
465 degradation was not accelerated when contacted with the ceramic membranes tested. pCBA was
466 used as a probe compound to indirectly measure hydroxyl radical concentration, and no statistical
467 difference in hydroxyl radical steady-state concentration before and after ceramic membrane
468 filtration resulted. While ozone decays into hydroxyl radicals faster at high pH, the high alkalinity
469 in the water sample minimized any pH change when in contact with the ceramic membrane, and
470 thus no pH-driven acceleration of ozone decay was noted.

471

472 **Declaration of competing interest**

473 The authors declare that they have no known competing financial interests or personal
474 relationships that could have appeared to influence the work reported in this paper.

475

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481

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