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A Non-Perturbative Pairwise-Additive Analysis of Charge Transfer Contributions to Intermolecular Interaction Energies

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Abstract

Energy decomposition analysis (EDA) based on absolutely localized molecular orbitals (ALMOs) decomposes the interaction energy between molecules into physically interpretable components like geometry distortion, frozen interactions, polarization, and charge transfer (CT, also sometimes called charge delocalization) interactions. In this work, a numerically exact scheme to decompose the CT interaction energy into pairwise additive terms is introduced for the ALMO-EDA using density functional theory. Unlike perturbative pairwise charge-decomposition analysis, the new approach does not break down for strongly interacting systems, or show significant exchangecorrelation functional dependence in the decomposed energy components. Both the energy lowering and the charge flow associated with CT can be decomposed. Complementary occupied-virtual orbital pairs (COVPs) that capture the dominant donor and acceptor CT orbitals are obtained for the new decomposition. It is applied to systems with different types of interactions including DNA base-pairs, borane-ammonia adducts, and transition metal hexacarbonyls. While consistent with most existing understanding of the nature of CT in these systems, the results also reveal some new insights into the origin of trends in donor-acceptor interactions.

Introduction

Electronic structure calculations, such as modern density functional theory (DFT),¹ are capable of yielding accurate results for intermolecular interactions. However no physical or chemical insight beyond the calculated observable is obtained. Many different energy decomposition analyses (EDAs)²⁻⁹ have attempted to address this need. At their core, EDA attempts to partition computed interaction energies into physically and chemically motivated terms like electrostatics, dispersion, polarization, and charge transfer. EDA thereby aims to provide insights into the fundamental nature of molecular interactions which can, in principle, help guide the design of molecules with properties of interest. The development of force-fields based on these partitions of interaction energies is another utility of EDA schemes.^{10,11} The lack of well-defined quantum mechanical operators for each of the decomposed quantities gives room for non-uniqueness in the definitions of different chemical concepts. As a consequence, different EDA schemes do not always agree qualitatively and/or guantitatively.¹² Our view is that since all terms have known asymptotic behavior, and thus all well-designed EDAs should agree in that limit, it is also reasonable to expect qualitative agreement in the overlapping regime, and the origin of significant deviations can be explored and understood, as has been done for frozen¹³, polarization¹⁴, and charge transfer¹⁵ interactions.

Interaction of molecules by electron delocalization or charge transfer (CT) is one of the most fundamental drivers of complex formation.¹⁵ CT from a filled donor orbital of one molecule to an empty acceptor orbital of another lowers the total energy of the complex,

and favors binding of the molecules. CT via forward and back donation in the Dewar-Chatt-Duncanson model^{16,17} plays a very important role in transition metal-ligand complex formation, and is instrumental in many transition metal catalytic transformations. Even hydrogen bonding, which is a much weaker interaction that is ubiquitous for (bio)molecules in aqueous solution, has a significant contribution from CT.^{15,18} Another interesting aspect of charge transfer stabilization is its diversity in the strength of interaction: it can vary in strength from a few kJ/mol in hydrogen-bonding complexes to hundreds of kJ/mol in transition metal-ligand interactions.

The energy decomposition analysis scheme based on Absolutely Localized Molecular Orbitals (ALMOs) has been developed for mean-field theories (Hartree Fock and Density Functional Theory)^{8,9,19–21} and Møller-Plesset perturbation theory.^{22–24} The mean-field ALMO-EDA scheme partitions the interaction energy into geometric distortion, frozen, polarization, and CT components using variationally optimized intermediate wavefunctions as illustrated in Eq. (1):

$$\Delta E_{\rm INT} = \Delta E_{\rm GD} + \Delta E_{\rm FRZ} + \Delta E_{\rm POL} + \Delta E_{\rm CT} \tag{1}$$

The energy associated with distorting the relaxed isolated fragment geometries to their complex geometry is represented by the geometric distortion term ($\Delta E_{\rm GD}$). The frozen term ($\Delta E_{\rm FRZ}$) is the energy associated with bringing infinitely separated fragments to their complex geometry while retaining their infinitely-separated wavefunctions. This term includes permanent electrostatics, Pauli repulsion, and dispersion.¹³ The polarization term ($\Delta E_{\rm POL}$) represents the energy lowering associated with relaxing the wavefunctions of each of the fragments in the presence of other fragments while not allowing CT between fragments.^{9,14} The energy lowering associated with CT between fragments is captured by $\Delta E_{\rm CT}$. CT can be further broken down into pairwise additive components for both energy lowering and its associated charge flow based on perturbation theory.²⁵ This decomposition scheme can also be used to extract chemically relevant Complementary Occupied-Virtual orbital Pairs (COVPs) which are the most important orbitals associated with CT.²⁵ However, this charge decomposition scheme breaks down in cases of strongly interacting species as it is based on perturbation theory.^{9,25} In this paper, we provide an alternative non-perturbative CT decomposition scheme which works robustly for all regimes of interaction energy, while nevertheless providing an effective pairwise description of CT.

There are many other proposed measures of CT, which we can only briefly summarize here. The charge decomposition analysis method (CDA) by Dapprich and Frenking defined forward and backward donation components in intermolecular complexes.²⁶ While this method is conceptually simple, some of the terms in CDA do not have a well-defined physical meaning.²⁵ Other EDA variants define charge transfer energy as the amount of energy associated with mixing occupied orbitals of the donor with virtual orbitals of the acceptor. Such ideas are used in the reduced variational space (RVS)²⁷ and constrained space orbital variation (CSOV)²⁸ schemes. However, these methods only account for CT in one direction at a time and cannot provide a pairwise additive decomposition of CT such that the contributions sum up to the total CT energy. Methods such as EDA-NOCV (natural orbital for chemical valence)^{3,29} and localized molecular orbital (LMO)-EDA⁵ report only the sum of polarization and CT, which corresponds to the "orbital interaction" and "polarization" terms in these methods, respectively. The same choice is commonly followed in symmetry-adapted perturbation theory (SAPT) calculations of intermolecular interactions,^{7,30} where polarization and CT are combined in the "induction" term, although several approaches have been proposed to quantify CT in SAPT.^{31,32} The popular Natural Bond Orbital (NBO) method can also be used to define charge decomposition between pairs of fragments using orthogonal molecular orbitals.^{6,33} However NBO and its associated Natural Energy Decomposition Analysis^{34,35} (NEDA) is known to greatly exaggerate the magnitude of CT energies.^{36,37} Constrained DFT $(CDFT)^{38-40}$ has also been used to estimate the magnitude of CT energy.^{41,42} As it constrains charge populations, rather than preventing charge-delocalization, CDFT was shown to substantially underestimate CT in most scenarios.¹⁵

In the ALMO-EDA, total CT is based on the energy difference between two variationally optimized wavefunctions: the fully relaxed final (FULL) wavefunction, and the constrained polarized (POL) wavefunction (which is evaluated by the self-consistent field for molecular interactions (SCF-MI) method^{19,43–45}):

$$\Delta E_{\rm CT} \equiv E(\Phi_{\rm FULL}) - E(\Phi_{\rm POL}) \tag{2}$$

This will be a faithful description of CT if Φ_{POL} describes a "CT-free" state of the complex: in other words it is constrained to prohibit CT whilst allowing on-fragment polarization in response to the rest of the complex. To achieve this target, the SCF-MI wavefunction optimizes a wavefunction in which the MO coefficient matrix is block-diagonal in the molecules making up the complex. Specifically, the ALMOs of each molecule are described by the mixing of its optimal occupied orbitals in isolation (the frozen occupied orbitals) with its own set of virtual orbitals. The simplest choice is to use *all* the virtual orbitals of the given isolated molecule for this purpose. However, this choice becomes ill-defined as the span of the AO basis of one molecule overlaps that of other molecules in the complex more and more. In the limit of a linearly dependent AO basis, the use of all fragment virtuals in a block-diagonal MO coefficient matrix can no longer guarantee a CT-free state.^{14,42,46} To overcome this formal limitation (which can also be avoided in practice by using AO basis sets without excessively diffuse functions), one can instead use "fragment electric response functions" (FERFs)¹⁴ as a limited set of virtuals for each molecule in the complex that describe exactly the response of the molecules to applied dipole (D) and quadrupole (Q) fields. The FERF-DQ model provides a well-defined complete basis set (CBS) limit for the resulting SCF-MI energy, and thus for $\Delta E_{\rm CT}$.

Since the CT energy is associated with orbital mixing between occupied levels on one fragment (molecule or ligand) of a complex and virtual orbitals of another, there are observable manifestations such as red-shifting of vibrational frequencies, as well as sometimes changes in structure. To quantify such effects, the adiabatic EDA⁴⁷ employs geometry optimization on each constrained surface to define a sequence of energy lowerings. The adiabatic EDA has proven useful to understand the role of charge transfer on observables^{15,47,48}. It has also been extended to variationally quantify the role of forward and back donation individually on observable properties, via the recently introduced variational forward-backward (VFB) analysis of the relaxations due to CT.⁴⁹ This approach uses a generalized SCF-MI scheme that permits only uni-directional CT coupled to polarization in the entire system.

At a given geometry of a complex, an existing perturbative CT analysis (CTA) within the ALMO-EDA (reviewed in detail later) can decompose the CT energy into pairwise additive components, plus a residual "higher-order" (HO) CT correction.⁹. This scheme is general enough to also decompose the charge flow, $\Delta Q_{\rm CT}$ associated with CT into pairwise additive components.²⁵ The perturbative CTA relies on the HO correction being small, but unfortunately, it can vary substantially in both sign and magnitude based on the chemical system investigated (and even the choice of density functional). Furthermore, this perturbative decomposition can break down when CT becomes strong, as seen for example in the interaction between a transition metal and ligand in a transition metal complex. The recently proposed VFB approach⁵⁰ alleviates the issues associated with the perturbative CTA to some extent when it is employed to quantify forward and backward CT energies, but it does not incorporate the HO term fully. In addition, the current VFB formulation lacks a well-defined basis set limit.

To go beyond the perturbative CTA, in this work, we present an alternative which exactly (at least up to machine precision) decomposes the CT energy into pairwise additive terms irrespective of the strength of interaction. This scheme is applicable to a wide range of intermolecular interactions: from weakly bound hydrogen bonded complexes to strongly bound transition metal complexes. Improving upon its perturbative predecessor, the new CTA also provides a complete pair-wise additive decomposition of the quantity of charge transferred.

This paper is organized as follows. After a brief summary of the ALMO-based EDA and perturbative CTA, the non-perturbative CTA is introduced. We specify how the total CT can be exactly decomposed into pairwise additive interactions. Next, we illustrate the limitations of the perturbative CTA and demonstrate how the non-perturbative CTA overcomes these limitations. We show that the non-perturbative CTA has a well-defined basis set limit. Subsequently, we apply the new CTA to complexes ranging from hydrogen bonding in DNA base-pairs to strongly interacting transition metal hexacarbonyls. We illustrate how this new scheme debunks certain traditional ideas about borane adducts by revealing new mechanisms of interaction. Finally, we discuss the computational expense of the non-perturbative CTA relative to the perturbative CTA.

Theory

Brief summary of the ALMO-EDA

The ALMO-EDA scheme^{9,14} decomposes the interaction energy into chemically relevant quantities given in Eq. (1) by lifting imposed constraints one by one. The geometric distortion energy ($\Delta E_{\rm GD}$) associated with distorting relaxed (free) monomer geometries into their corresponding supersystem geometries is the difference between the SCF energy of each monomers, X, at the complex geometry ($\Phi_X^{\rm complex}$) and at their optimal free geometry ($\Phi_X^{\rm free}$):

$$\Delta E_{\rm GD} \equiv \sum_{X} \left(E_{\rm SCF}(\Phi_X^{\rm complex}) - E_{\rm SCF}(\Phi_X^{\rm free}) \right) \tag{3}$$

The frozen wavefunction of the complex, Φ_{FRZ} , is a Slater determinant composed of the occupied MOs from all isolated fragments (which are non-orthogonal). The corresponding energy, $E(\Phi_{\text{FRZ}})$, accounts for Pauli repulsion by forming a valid density from the non-orthogonal frozen MOs. The frozen interaction (ΔE_{FRZ}) is defined as the difference between

 $E(\Phi_{\rm FRZ})$ and the isolated energies of all monomers at their complex geometry (Eq. (4)).

$$\Delta E_{\rm FRZ} \equiv E(\Phi_{\rm FRZ}) - \sum_{X} E_{\rm SCF}(\Phi_X^{\rm complex}) \tag{4}$$

Physically, ΔE_{FRZ} contains contributions from permanent electrostatic interactions, dispersion, and Pauli repulsions.¹³ The polarized wavefunction (Φ_{POL}) is computed by relaxing the occupied MOs on each fragment in presence of the MOs of all other fragments while forbidding CT from one fragment to another by enforcing the ALMO constraint (that the MO coefficient matrix remains fragment block diagonal). This optimization of non-orthogonal orbitals with the ALMO constraint is achieved by SCF-MI,^{19,43-45} and the polarization energy is defined as the energy difference between the polarized and frozen wavefunctions.

$$\Delta E_{\rm POL} \equiv E(\Phi_{\rm POL}) - E(\Phi_{\rm FRZ}) \tag{5}$$

The use of FERF virtual orbitals to give the polarization term a well-defined basis set limit¹⁴ has already been discussed above. So too has the definition of the energy lowering due to CT, which was shown in Eq. (2). By construction, we can see that the EDA terms sum up to the total interaction energy, defined in Eq. (1)

Perturbative Charge-Transfer Analysis

To set the stage for the new non-perturbative approach to pairwise decomposing the CT energy, we first discuss the existing perturbative CTA.²⁵ The usual second order perturbation correction to an energy may be written as $\Delta E^{(2)} = \text{Tr}[\mathbf{F}^{(1)}\mathbf{X}^{(1)}]$, where $\mathbf{F}^{(1)}$ is the first order perturbed Hamiltonian and $\mathbf{X}^{(1)}$ is the first order perturbed wavefunction. The polarized wavefunction, Φ_{POL} , only zeros the mixing between occupied (O) and virtual (V) orbitals on fragments, but not between fragments. Therefore, given the polarized Fock matrix, $\mathbf{F}^{\text{POL}} = \mathbf{F}(\mathbf{P}^{\text{POL}})$, the perturbation is the residual occupied-virtual mixing, $\mathbf{F}_{OV}^{\text{POL}}$, which is exclusively between fragments. This perturbation, or Roothaan Step (RS), in turn yields a

perturbative mixing, $\mathbf{X}_{VO}^{\text{RS}}$, of virtuals on a given fragment into occupieds of another.

The perturbative approximation to orbital mixing is obtained by a single diagonalization (Roothaan step) of the polarized Fock matrix, which is equivalent ^{51,52} to solving the following quadratic equations for $\mathbf{X}_{VO}^{\mathrm{RS}}$:

$$\mathbf{F}_{\mathrm{VO}}^{\mathrm{POL}} + \mathbf{F}_{\mathrm{VV}}^{\mathrm{POL}} \mathbf{X}_{\mathrm{VO}}^{\mathrm{RS}} - \mathbf{X}_{\mathrm{VO}}^{\mathrm{RS}} \mathbf{F}_{\mathrm{OO}}^{\mathrm{POL}} - \mathbf{X}_{\mathrm{VO}}^{\mathrm{RS}} \mathbf{F}_{\mathrm{OV}}^{\mathrm{POL}} \mathbf{X}_{\mathrm{VO}}^{\mathrm{RS}} = \mathbf{0}_{\mathrm{VO}}$$
(6)

Equation (6) is written in the orthogonalized MO basis which is discussed in the Supplementary Information. The resulting \mathbf{X}^{RS} is still a perturbative solution, because it gives the energy lowering of a single diagonalization rather than iterating to self-consistency.

$$\Delta E_{\rm CT}^{\rm RS} = 2 \,{\rm Tr}[\mathbf{F}_{\rm VO} \mathbf{X}_{\rm OV}^{\rm RS}] \tag{7}$$

Note that solving Eqs. (6) and (7) for $\Delta E_{\rm CT}^{\rm RS}$ is equivalent to infinite-order single excitation perturbation theory with a fixed Fock matrix, ^{51,52} and thus is preferable to $\Delta E_{\rm CT}^{(2)}$. However the form still couples occupied MOs on one fragment with virtuals on another through $\mathbf{X}_{VO}^{\rm RS}$, and therefore $\Delta E_{\rm CT}^{\rm RS}$ is pairwise decomposable, just like $\Delta E_{\rm CT}^{(2)}$. The correction to $\Delta E_{\rm CT}^{\rm RS}$ for its lack of self-consistency is a non-pairwise decomposable, higher order (HO) term, $\Delta E_{\rm CT}^{\rm HO} = \Delta E_{\rm CT} - \Delta E_{\rm CT}^{\rm RS}$, which means the CT energy is represented as the sum of the RS contribution, and the residual HO term:

$$\Delta E_{\rm CT} = \Delta E_{\rm CT}^{\rm RS} + \Delta E_{\rm CT}^{\rm HO} \tag{8}$$

Equation (7) can be rewritten in terms of projectors onto the occupied space (\mathbf{P}^{POL}) and virtual space (\mathbf{Q}^{POL}) of the polarized wavefunction as follows.

$$\Delta E_{\rm CT}^{\rm RS} = {\rm Tr} \left[\mathbf{F}^{\rm POL} \mathbf{P}^{\rm POL} \mathbf{X}^{\rm RS} \mathbf{Q}^{\rm POL} \right]$$
(9)

 \mathbf{P}^{POL} and \mathbf{Q}^{POL} are sums of projectors onto the polarized occupied orbitals and virtual orbitals on all fragments respectively.

$$\mathbf{P}^{\text{POL}} = \sum_{X,i} \mathbf{P}_{Xi}^{\text{POL}}$$

$$\mathbf{Q}^{\text{POL}} = \sum_{X,a} \mathbf{Q}_{Xa}^{\text{POL}}$$
(10)

Eqs. (10) can be inserted into Eq. (9) to obtain the corresponding energy lowering due to transfer of charge from occupied orbital i on fragment X to virtual orbital a on fragment Y as follows.

$$\Delta E_{\rm CT}^{\rm RS} = \sum_{X,Y} \sum_{i,a} \Delta E_{Xi \to Ya}$$
$$\Delta E_{Xi \to Ya} = {\rm Tr} \left\{ \mathbf{F}^{\rm POL} \mathbf{P}_{Xi}^{\rm POL} \mathbf{X}^{\rm RS} \mathbf{Q}_{Ya}^{\rm POL} \right\}$$
(11)

Similarly, it has been shown that the total charge transferred can also be decomposed into pairwise additive components:²⁵

$$\Delta Q_{\rm CT}^{\rm RS} = \sum_{X,Y} \sum_{i,a} \Delta Q_{Xi \to Ya}$$
$$\Delta Q_{Xi \to Ya} = {\rm Tr} \left\{ \mathbf{P}^{\rm RS} \mathbf{P}_{Xi}^{\rm POL} \mathbf{X}^{\rm RS} \mathbf{Q}_{Ya}^{\rm POL} \right\}$$
(12)

Here, \mathbf{P}^{RS} is the density matrix of the RS occupied orbitals. Using \mathbf{P}^{RS} to replace the Fock operator in Eq. (11) yields the charge transfer decomposition of Eq. (12).

While this approach is appealing and useful, its perturbative nature (i.e. its lack of self-consistency) is a disadvantage, because $\Delta E_{\rm CT}^{\rm RS}$ can never be exact. In particular, $\mathbf{X}^{\rm RS}$ generates the energy lowering, $\Delta E_{\rm CT}^{\rm RS}$, of a single diagonalization rather than the proper self-consistent energy lowering, $\Delta E_{\rm CT}^{\rm RS}$, that results from lifting the SCF-MI constraint of no charge delocalization between fragments. In complexes containing large charge transfer, this

can lead to the higher order term becoming large, not because CT cannot be partitioned in a pairwise additive fashion, but because the approximation of a single diagonalization becomes inadequate. We therefore take up the challenge of lifting this approximation.

Exactly Pairwise-Additive Charge-Transfer Analysis

In this section, we will show that it is possible to generate an exactly pairwise-additive charge transfer analysis (at least to as much precision as we wish). The working form of the result for the charge-transfer energy is:

$$\Delta E_{\rm CT} = E(\Phi_{\rm FULL}) - E(\Phi_{\rm POL}) = 2 \operatorname{Tr} \left[\mathbf{F}_{VO}^{\rm CT} \mathbf{X}_{OV}^{\rm CT} \right]$$
(13)

This has the same form as the approximate expression, Eq. (7) discussed above, but with new definitions of the Fock matrix and the occupied-virtual mixings.

To begin, since we know the polarized state (i.e. $E(\Phi_{POL})$) and the final, fully relaxed state (i.e. $E(\Phi_{FULL})$), we also, at least implicitly, know the occupied-virtual mixings, \mathbf{X}_{OV}^{CT} , necessary to connect them. We shall discuss how we explicitly obtain them after establishing the exactly pairwise addition decomposition. Let us define $\lambda = 0$ as the state Φ_{POL} , and $\lambda = 1$ as the state Φ_{FULL} which are connected along the straight-line path specified by $\lambda \mathbf{X}^{CT}$. Applying the fundamental theorem of line integrals along this path, it is true by definition that:

$$\Delta E_{\rm CT} = E(1) - E(0) = \sum_{i,a} \int_0^1 \frac{\partial E(\lambda)}{\partial \lambda X_{ia}^{\rm CT}} \cdot X_{ia}^{\rm CT} d\lambda$$
(14)

Since:

$$F_{ai}(\mathbf{X}^{\rm CT}) \equiv \frac{1}{2} \frac{\partial E(\mathbf{X}^{\rm CT})}{\partial X_{ia}^{\rm CT}}$$
(15)

We are led directly to the desired result, Eq. (13), where evidently the appropriate Fock

matrix arises from integrating along the line:

$$\mathbf{F}_{VO}^{\mathrm{CT}} = \int_0^1 \mathbf{F}_{VO}[\lambda \mathbf{X}^{\mathrm{CT}}] d\lambda$$
(16)

Let us turn next to the charge reorganization that occurs as the electron density is rearranged. To connect directly to the energy changes discussed above, we choose to write it in an isomorphic form (other more obvious possibilities³ also exist, of course, and are independently useful). The charge that is rearranged, $\Delta Q_{\rm CT}$, as the system evolves from the POL state to the final FULL state from $\lambda = 0$ to $\lambda = 1$ along the straight-line path given by $\lambda \mathbf{X}$ can likewise be represented by a line integral:

$$\Delta Q_{\rm CT} = Q(1) - Q(0) = \int_{\lambda=0}^{\lambda=1} \sum_{i,a} \frac{\partial Q[\lambda \mathbf{X}^{\rm CT}]}{\partial \lambda X_{ia}^{\rm CT}} X_{ia}^{\rm CT} d\lambda$$
(17)

Here $Q[\lambda \mathbf{X}^{CT}]$ is the charge that is promoted from occupied levels in the polarized state to orbitals that are virtual in the polarized state:

$$Q[\lambda \mathbf{X}^{\mathrm{CT}}] = \mathrm{Tr} \left\{ \mathbf{Q}_0 \mathbf{P}[\lambda \mathbf{X}^{\mathrm{CT}}] \mathbf{Q}_0 \right\} = \mathrm{Tr} \left\{ \mathbf{P}[\lambda \mathbf{X}^{\mathrm{CT}}] \mathbf{Q}_0 \right\}$$
(18)

The variation of $Q[\lambda \mathbf{X}^{\text{CT}}]$ with respect to elements, X_{ia}^{CT} of X^{CT} is:

$$\frac{\partial \operatorname{Tr} \left\{ \mathbf{P}[\lambda \mathbf{X}^{\mathrm{CT}}] \mathbf{Q}^{\mathrm{POL}} \right\}}{\partial \lambda X_{ia}^{\mathrm{CT}}} = -\lambda (\mathbf{P}^{\mathrm{POL}} \mathbf{X} \mathbf{Q}^{\mathrm{POL}})_{ai} - \lambda (\mathbf{Q}^{\mathrm{POL}} \mathbf{X} \mathbf{P}^{\mathrm{POL}})_{ai}$$

$$= -\lambda (\mathbf{X}_{VO}^{\mathrm{CT}})_{ai}$$

$$= P_{ai}(\lambda \mathbf{X}^{\mathrm{CT}}) \tag{19}$$

Substituting Eq. (19) into Eq. (17), we get

$$\Delta Q_{\rm CT} = \int_{\lambda=0}^{\lambda=1} \sum_{i,a} P_{ia}(\lambda \mathbf{X}^{\rm CT}) X_{ai}^{\rm CT} d\lambda - \int_{\lambda=0}^{\lambda=1} \sum_{i,a} P_{ai}(\lambda \mathbf{X}^{\rm CT}) X_{ia}^{\rm CT} d\lambda$$
(20)

$$= 2 \operatorname{Tr} \left\{ \mathbf{P}_{VO}^{\mathrm{CT}} \mathbf{X}_{OV}^{\mathrm{CT}} \right\}$$
(21)

where

$$\mathbf{P}_{VO}^{\mathrm{CT}} = \int_{\lambda=0}^{\lambda=1} \mathbf{P}_{VO}[\lambda \mathbf{X}^{\mathrm{CT}}] d\lambda$$
(22)

Two issues must be addressed to employ this approach in practice: (i) we must find the orbital mixings associated with CT, $\mathbf{X}_{OV}^{\text{CT}}$, and (ii) we must develop a suitable quadrature to efficiently and accurately numerically evaluate Eq. (16) and Eq. (22). We address these points in turn below.

Density matrices are independent of the redundant occupied-occupied and virtual-virtual mixings that are needed to fully specify molecular orbitals. Working in an orthonormal basis, we are seeking the unitary transformation, \mathbf{U}^{CT} connecting the polarized density matrix, \mathbf{P}^{POL} and the fully relaxed density matrix, \mathbf{P}^{FULL} :

$$\mathbf{P}^{\mathrm{FULL}} = \left(\mathbf{U}^{\mathrm{CT}}\right)^{\dagger} \mathbf{P}^{\mathrm{POL}} \mathbf{U}^{\mathrm{CT}}$$
(23)

 \mathbf{U}^{CT} can be written just in terms of occupied-virtual mixings, $\mathbf{X}_{OV}^{\text{CT}}$, as the matrix exponential:

$$\mathbf{U}^{\mathrm{CT}} = \begin{bmatrix} \mathbf{U}_{OO}^{\mathrm{CT}} & \mathbf{U}_{OV}^{\mathrm{CT}} \\ \mathbf{U}_{VO}^{\mathrm{CT}} & \mathbf{U}_{VV}^{\mathrm{CT}} \end{bmatrix} = \exp\left\{ \begin{bmatrix} \mathbf{0} & \mathbf{X}_{OV}^{\mathrm{CT}} \\ -(\mathbf{X}_{OV}^{\mathrm{CT}})^{\dagger} & \mathbf{0} \end{bmatrix} \right\}$$
(24)

To solve for \mathbf{X}_{OV}^{CT} we define a cost function that vanishes when Eq. (23) is satisfied:

$$C = ||\mathbf{P}^{\text{FULL}} - \mathbf{U}^{\text{CT}}\mathbf{P}^{\text{POL}} \left(\mathbf{U}^{\text{CT}}\right)^{\dagger}||_{F}^{2}$$
(25)

As shown in the Supplementary Information, the analytical gradient of the cost function, C, with respect to the ia^{th} element of $\mathbf{X}_{OV}^{\text{CT}}$ is given by:

$$\frac{\partial C}{\partial \left(\mathbf{X}_{OV}^{\text{CT}}\right)_{ia}} \Big|_{\mathbf{X}_{OV}^{\text{CT}}=\mathbf{0}} = 4 \left[\left(\mathbf{U}_{\text{curr}}^{\text{CT}}\right)^{\dagger} \mathbf{P}^{\text{FULL}} \mathbf{U}_{\text{curr}}^{\text{CT}} \right]_{ia}$$
(26)

Here \mathbf{U}_{curr}^{CT} is the current approximation to the unitary transformation. We choose a working orthonormal basis that symmetrically orthogonalizes the ALMO occupieds, and the ALMO virtuals (after projecting out their occupied components), and canonically orthogonalizes the projected virtual void orbitals (which were excluded from the evaluation of \mathbf{P}^{POL}). Minimizing C using the analytical gradient is performed via standard iterative techniques for unconstrained non-linear equations like quasi-Newton methods and DIIS in order to obtain \mathbf{X}_{OV}^{CT} . In principle, one can solve for \mathbf{X}^{CT} analytically by taking the logarithm of \mathbf{U}^{CT} . However, we do not use this method as this leads to non-zero OO and VV blocks of \mathbf{X}^{CT} and hence cannot be used for pairwise decomposition directly.

Now, we will address how to develop a suitable numerical quadrature scheme to evaluate $\mathbf{F}_{VO}^{\text{CT}}$ and $\mathbf{P}_{VO}^{\text{CT}}$. We can numerically evaluate the integral in Eq. (16) and Eq. (22) using Gauss quadrature rules in the interval $[0, \mathbf{X}^{\text{CT}}]$. Specifically, we use the 5-point Gauss-Lobatto quadrature rules,⁵³ as they include the end points of the interval which have already been evaluated for the purpose of EDA. The expressions for $\mathbf{F}_{VO}^{\text{CT}}$ and $\mathbf{P}_{VO}^{\text{CT}}$ are shown in Eq. (27) and Eq. (28).

$$\mathbf{F}_{VO}^{CT} = \frac{1}{20} \mathbf{F}_{VO}[0] + \frac{49}{180} \mathbf{F}_{VO} \left[\frac{1}{2} \left(1 - \sqrt{\frac{3}{7}} \right) \mathbf{X}^{CT} \right] \\ + \frac{16}{45} \mathbf{F}_{VO} \left[\frac{1}{2} \mathbf{X}^{CT} \right] + \frac{49}{180} \mathbf{F}_{VO} \left[\frac{1}{2} \left(1 + \sqrt{\frac{3}{7}} \right) \mathbf{X}^{CT} \right] + \frac{1}{20} \mathbf{F}_{VO} [\mathbf{X}^{CT}]$$
(27)

$$\mathbf{P}_{VO}^{CT} = \frac{1}{20} \mathbf{P}_{VO}[0] + \frac{49}{180} \mathbf{P}_{VO} \left[\frac{1}{2} \left(1 - \sqrt{\frac{3}{7}} \right) \mathbf{X}^{CT} \right] + \frac{16}{45} \mathbf{P}_{VO} \left[\frac{1}{2} \mathbf{X}^{CT} \right] + \frac{49}{180} \mathbf{P}_{VO} \left[\frac{1}{2} \left(1 + \sqrt{\frac{3}{7}} \right) \mathbf{X}^{CT} \right] + \frac{1}{20} \mathbf{P}_{VO} [\mathbf{X}^{CT}]$$
(28)

As $\mathbf{F}_{VO}[0]$ and $\mathbf{P}_{VO}[0]$ are the Fock and density matrices of the polarized wavefunction (Φ^{POL}) and $\mathbf{F}_{VO}[\mathbf{X}^{\text{CT}}]$ and $\mathbf{P}_{VO}[\mathbf{X}^{\text{CT}}]$ are the Fock and density matrices of the fully relaxed wavefunction (Φ^{FULL}) , we would have to evaluate only three new Fock and density matrices at points specified by Eqs. (27) and (28). Empirically, for the systems studied in this work, using the 5-point quadrature formula is sufficient to recover the variational charge transfer energy with sub-Joule per mole accuracy (See Table S6).

To partition CT we need an appropriate set of projectors onto the occupied and unoccupied subspaces of each of the interacting fragments. In the second-generation ALMO-EDA,¹³ the Hilbert space of each polarized fragment X is spanned by its occupied frozen orbitals and the virtual Fragment Electric Response Functions (FERFs)¹⁴ that are basis-independent and provide a well-defined basis set limit for ΔE_{POL} . After polarization, let us denote these spaces as \mathbb{P}_X and \mathbb{V}_X . The unoccupied AO space on each fragment not spanned by FERF virtuals (hereinafter virtuals) is termed the "void space" (denoted by \mathbb{R}_X) and the entire Hilbert space on fragment X (denoted by \mathbb{H}_X) can be written as shown in Eq. (29)

$$\mathbb{H}_X = \mathbb{P}_X \oplus \mathbb{V}_X \oplus \mathbb{R}_X \tag{29}$$

$$=\mathbb{P}_X\oplus\mathbb{Q}_X\tag{30}$$

where $\mathbb{Q}_X = \mathbb{V}_X \oplus \mathbb{R}_X$ denotes the total unoccupied space formed by combining virtuals and voids.

Following Ref. 25, the projector onto the i^{th} occupied orbital on fragment X, denoted by \hat{P}_{Xi} , can be formed as shown below:

$$\hat{P}_{Xi} = \left|\phi_{Xi}\right\rangle \left\langle\phi^{Xi}\right| \tag{31}$$

$$=\sum_{Y,j} |\phi_{Xi}\rangle \left(\boldsymbol{\sigma}_{OO}^{-1}\right)^{Xi,Yj} \langle \phi_{Yj}|$$
(32)

$$(\mathbf{P}_{Xi})^{\mu,\nu} = \sum_{Y,j} (\mathbf{C}_{\text{POL}})^{X\mu\bullet}_{\bullet Xi} (\boldsymbol{\sigma}_{OO}^{-1})^{Xi,Yj} ((\mathbf{C}_{\text{POL}})^T)^{\bullet Y\nu}_{Yj\bullet}$$
(33)

In the equations above, the biorthogonal covariant and contravariant notation was used for dealing with non-orthogonal molecular orbitals.⁵⁴ One further consideration regarding the virtual space projector is the treatment of near and exact linear dependence in large AO basis sets, which is part of ensuring a decomposition with well-defined basis set limits. Linear dependence between basis functions within the same fragment can be resolved by simply discarding one of the linearly dependent molecular orbital, for example by canonical orthogonalization. However, this is not possible with linear dependence between fragments without losing the association of the retained functions to fragments. Therefore inter-fragment linear dependence in the virtual space is treated by using the Moore-Penrose generalized inverse of the overlap matrix (σ_{VV}^+) by discarding the null space.⁵⁴ For CT decomposition, we do not make any distinction between FERF virtuals and the void space.

$$\hat{Q}_{Xa} = \left|\phi_{Xa}\right\rangle \left\langle\phi^{Xa}\right| \tag{34}$$

$$=\sum_{X,a} |\phi_{Xa}\rangle \left(\boldsymbol{\sigma}_{VV}^{+}\right)^{Xa,Yb} \langle \phi_{Yb}| \tag{35}$$

$$(\mathbf{Q}_{Xa})^{\mu,\nu} = \sum_{Y,b} (\mathbf{C}_{\text{POL}})^{X\mu\bullet}_{\bullet Xa} (\boldsymbol{\sigma}^+_{VV})^{Xa,Yb} ((\mathbf{C}_{\text{POL}})^T)^{\bullet Y\nu}_{Yb\bullet}$$
(36)

With the aid of these projectors, we can now exactly (up to arbitrary precision) decompose the CT energy, Eq. (13) into contributions from each pair of orbitals, on each pair of interacting fragments in the complex:

$$\Delta E_{\rm CT} = \sum_{X,Y} \sum_{i,a} \Delta E_{Xi \to Ya}$$
$$\Delta E_{Xi \to Ya} = {\rm Tr} \left\{ \mathbf{F}^{\rm CT} \mathbf{P}_{Xi}^{\rm POL} \mathbf{X}^{\rm CT} \mathbf{Q}_{Ya}^{\rm POL} \right\}$$
(37)

Similarly, the total charge transferred can be exactly decomposed into pairwise additive

orbital components:

$$\Delta Q_{\rm CT} = \sum_{X,Y} \sum_{i,a} \Delta Q_{Xi \to Ya}$$
$$\Delta Q_{Xi \to Ya} = \operatorname{Tr} \left\{ \mathbf{P}^{\rm CT} \mathbf{P}^{\rm POL}_{Xi} \mathbf{X}^{\rm CT} \mathbf{Q}^{\rm POL}_{Ya} \right\}$$
(38)

In practice, the results are compacted into the CT energy and flow between each pair of fragments. For the occupied orbitals on one fragment, X, coupling to virtual orbitals on another fragment, Y, it is convenient to singular value decompose their couplings, $\left(\mathbf{X}_{OV}^{\text{CT}}\right)^{XY}$.

$$\left(\mathbf{X}_{OV}^{CT}\right)^{XY} = \mathbf{L}_{O}^{X} \mathbf{s} \left(\mathbf{R}_{V}^{Y}\right)^{\dagger}$$
(39)

Often there is only 1 significant singular value, in which case there is a single complementary donor-acceptor orbital pair (COVP) controlling CT, where the donor orbital is represented by the first column of \mathbf{L}_{O}^{X} and the acceptor is given by the first column of \mathbf{R}_{V}^{Y} .

Finally we note that $\mathbf{X}_{OV}^{\text{CT}}$ describes CT couplings from all occupied orbitals to all unoccupied orbitals irrespective of the fragment that the virtuals belong to. This applies not just to the case where the fragments X and Y are different, but also to the case where they are the same. As a consequence of these non-zero couplings on the same fragment, we will have non-zero $\Delta E_{X\to X}$ terms, because the on-fragment blocks of $\mathbf{F}_{VO}^{\text{CT}}$ are also non-zero. This is in contrast to the perturbative mixing, $\mathbf{X}_{OV}^{\text{RS}}$, that has zero on-fragment blocks of $\mathbf{F}_{VO}^{\text{POL}}$ (a result of the SCF-MI iterations) leading to zero $E_{X\to X}$ terms. The interpretation of the non-zero pairwise on-fragment terms for the exactly pairwise additive scheme is that this a re-polarization of the fragments in response to charge transfer.

Computational Details

The first consideration in decomposing charge transfer is computing the densities of the polarized (\mathbf{P}^{POL}) and FULL (\mathbf{P}^{FULL}) wavefunctions. After computing these quantities, we

can solve for the non-perturbative mixing matrix (\mathbf{X}^{CT}) by solving Eq. (23).

The perturbative and non-perturbative pairwise charge decomposition schemes were implemented in a developmental version of Q-Chem 5.0.⁵⁵ In particular, the new CTA method was implemented within the libgscf and libloco libraries which are, respectively, new SCF and SCFMI modules in Q-Chem.⁵⁶ ω B97X-D⁵⁷ with def2-TZVPD^{58,59} basis set was used for geometry optimization and energy decomposition analyses unless stated otherwise. ω B97X-D is a range-separated hybrid density functional and has shown to give superior performance on a large number of systems for a wide range of chemical properties including non-covalent interaction energies.¹ All geometries were confirmed to be a minimum on the potential energy surface by confirming that the Hessian has no negative eigenvalues. All interaction energies computed adiabatically by including the relaxation energies of the individual fragments when they are infinitely separated from each other. All Complementary Occupied-Virtual Pairs (COVPs) are plotted with an isosurface value of ±0.07 au. All plots were created using Matplotlib⁶⁰ and molecule figures were generated using VMD.⁶¹

Results and Discussion

Perturbative CTA vs non-perturbative CTA

The perturbative CTA has some well-known limitations, especially its inability to pairwise decompose the entire CT energy. A manifestation of this problem is that the fraction of CT energy decomposed into pairwise additive terms depends on the density functional used, as illustrated in Fig. (1) for the case of the borane-carbonyl complex (BH_3 -CO). BH_3 -CO is bound by -123.6 kJ/mol at the $\omega B97X$ -D/def2-TZVPD level of theory as a result of strong CT character, with active forward (-171.0 kJ/mol) and backward donation (-113.8 kJ/mol). As shown in Fig. (1), the perturbative Roothaan step treatment overestimates CT for semi-local functionals by amounts ranging from 8% for PBE to about 2% for M06-L. Concurrently, the Roothaan step underestimates CT for hybrid and range-separated hybrid

functionals by amounts ranging from 1% for TPSSh to rather severe values of 24% for M11 and ω B97X-V. Among the pairwise components, the perturbative BH₃ \rightarrow CO back-donation sees the most variation, fluctuating by more than 90 kJ/mol across the density functionals tested. This functional-dependent performance of the Roothaan step in the perturbative CTA is reminiscent of density functional minimal adaptive basis calculations based on the same perturbative corrections.⁶²

On the other hand, the non-perturbative pairwise CTA consistently decomposes 100% of the charge transfer energy irrespective of the density functional employed. It is particularly encouraging to see from Fig. (1) that the functional-dependence of pairwise contributions in the non-perturbative approach is also significantly lower than their corresponding perturbative counterparts.

Consistent performance of EDA and CTA across the potential energy surface (PES) is necessary for training EDA-based force-fields which can benefit from these decompositions for parametrizing force-field terms. The limitation of perturbative CTA manifests in another form when considering different points on a PES. Consider a simple one-dimensional rigid PES formed by stretching the BH₃-CO complex along the B-C bond with the geometry of BH_3 and CO fixed to be the same as their equilibrium geometries. Fig. (2b) shows the total percentage of CT decomposed into pairwise additive terms for both perturbative and nonperturbative schemes. The total percentage of CT decomposed by the perturbative scheme varies along the potential energy surface. When the B-C bond is compressed to 1 Å, 88% of total CT is decomposed, while at equilibrium, this number falls to 77% and saturates at 74%as the bond is stretched. By contrast, and by virtue of its design, the non-perturbative CTA does not have this dependence at all. The charge transfer energy in Fig. (2b) varies over two orders of magnitude from -736.8 kJ/mol at 1 Å separation to -1.5 kJ/mol at 3.4 Å. From Fig. (2b), it is also interesting to note that the perturbative CTA yields components that deviate from the exact non-perturbative values by significantly different fractions across the PES: the perturbative $CO \rightarrow BH_3$ component deviates significantly from the exact fraction at

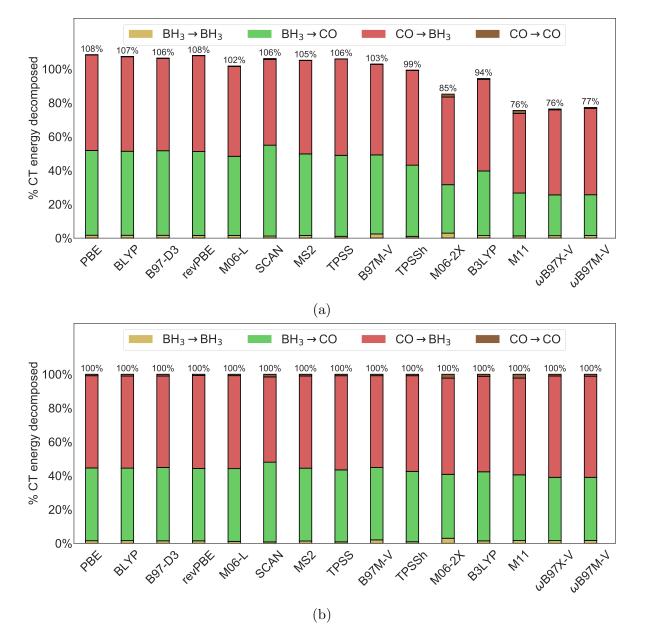


Figure 1: Dependence of pairwise decomposition of charge transfer energy on different density functionals in the perturbative (upper panel) and non-perturbative (lower panel) treatment of charge transfer energy decomposition for the BH_3 -CO complex in the def2-TZVPD basis set. The on-fragment CT terms ($BH_3 \rightarrow BH_3$ and $CO \rightarrow CO$) are very small in most cases and cannot be seen in this figure for multiple density functionals. The different components of CT are shown as bars stacked on each other and appear in this order from bottom to top: $BH_3 \rightarrow BH_3$, $BH_3 \rightarrow CO$, $CO \rightarrow BH_3$, and $CO \rightarrow CO$.

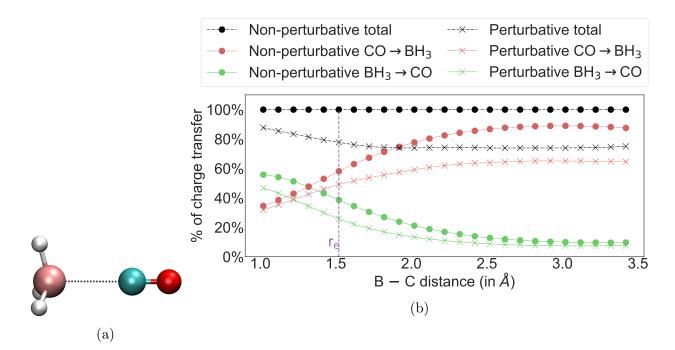


Figure 2: (a) BH_3 -CO complex stretched along the dashed line (b) $BH_3 \rightarrow CO$, $CO \rightarrow BH_3$, and total charge decomposed is shown as a percentage of the total charge transfer energy for the perturbative and non-perturbative CTAs for different B – C bond distances. The equilibrium bond distance (r_e) is shown in purple.

long distances, while it agrees much better at the shortest distances. On the other hand, the perturbative $BH_3 \rightarrow CO$ fraction agrees well with the exact value at long-range, and deviates significantly at short-range. The advantage of the new non-perturbative CTA for pairwise decomposition is quite clear.

Basis set dependence

As modern density functionals show their best performance when approaching the basis set limit, it is advisable to run all calculations with as large a basis set as computationally feasible¹. Hence, one of the essential properties an energy decomposition scheme should have is a reasonably stable and physically meaningful basis set limit for all the contributing energy terms. The Fragment Electric Response Functions (FERFs),¹⁴ which construct a polarization subspace for each fragment based on its response to electric fields, are used in the ALMO-EDA to give the polarization energy and CT energy well-defined basis set limits. In this section, we assess the basis set convergence properties of each of the pairwise charge and energy transfer components by again considering the example of BH₃–CO adduct at its equilibrium distance. Fig. (3) shows the partition of the CT energy into its 4 pairwise contributing terms as a function of increasing size of the AO basis. Similarly, Fig. (4) shows the corresponding partition of the pairwise decomposed charge flow terms. The size of the basis set can be increased in two complementary ways: (1) Increasing the highest angular momentum (cardinal number, X) of the one-particle basis set (denoted by the DZ, TZ, QZ, and 5Z sequence) (2) Increasing the level of augmentation of diffuse basis functions (denoted by the augmented (aug-)and doubly-augmented (d-aug-) prefixes). The latter effect is important for treating ions, excited states and strongly polarized systems with comparable accuracy to relatively non-polar ground states, but leads to near linear-dependence of the basis, which presents a challenge for methods such as CTA that use Hilbert space partitioning.

Figures (3) and (4) show that the CT partition into four components is well-behaved with respect to increasing X and augmentation. Considering the two major components of the CT energy, we can see that the BH₃ \rightarrow CO component converges to 37% and the CO \rightarrow BH₃ converges to 56%. Similarly, the BH₃ \rightarrow CO charge flow component converges to 67% and the CO \rightarrow BH₃ component converges to 35%. The double- ζ basis sets, contaminated with basis set superposition error (BSSE) as well as incompleteness error, are too small to reliably capture either the CT energy or charge flow. If the DZ basis sets are excluded, the two major components are almost converged at the triple- ζ basis set level, while the repolarization (on-fragment) components contribute little to CT regardless of basis set.

An interesting aspect of CT analysis for BH_3-CO is the fact that the pairwise energy and charge components are not correlated. The $CO\rightarrow BH_3$ energy component is larger than the $BH_3\rightarrow CO$ CT energy component, while the opposite is true for the pairwise charge flow components. This result is consistent with perturbative analysis presented earlier.²⁵ The underlying reason has its origin in the different quantities being decomposed: the CT energy versus the charge flow associated with CT. Let us denote V_{DA} as the matrix element coupling

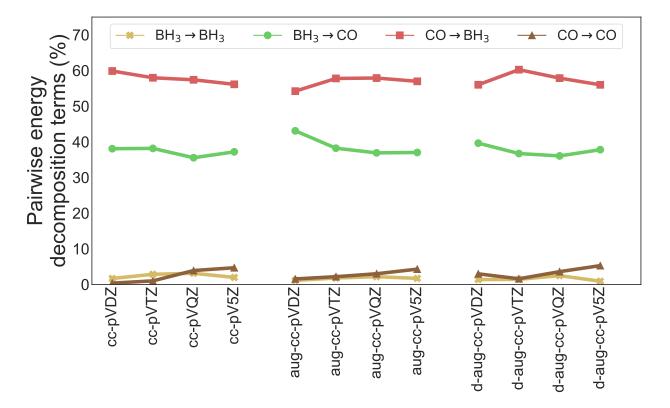


Figure 3: Convergence properties of the non-perturbative pairwise decomposition components of the total CT energy with respect to increasing the highest angular momentum of the basis set for the Dunning basis set sequence: cc-pVXZ, aug-cc-pVXZ, and d-aug-cc-pVXZ (X=D, T, Q, and 5) for the BH₃–CO system at its equilibrium geometry using ω B97X-D.

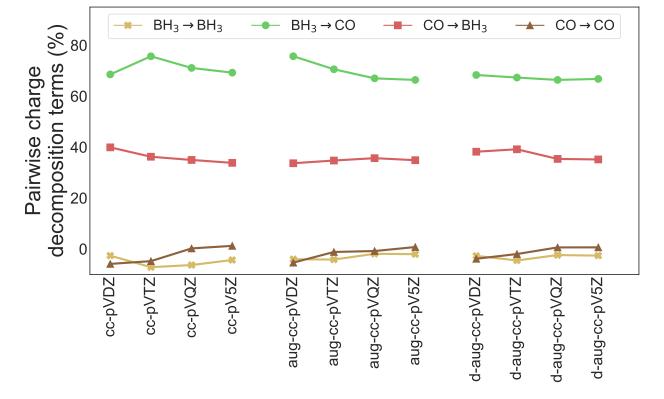


Figure 4: Convergence properties of the non-perturbative pairwise decomposition components of total charge transfer with respect to increasing the highest angular momentum of the basis set for the Dunning basis set sequence: cc-pVXZ, aug-cc-pVXZ, and d-aug-cc-pVXZ (X=D, T, Q, and 5) for the BH₃–CO system at equilibrium geometry using ω B97X-D.

donor (D) and acceptor (A) orbitals, with orbital energies $\epsilon_{\rm D}$ and $\epsilon_{\rm A}$. From dimensionality (or perturbation theory) arguments, the CT energy is $\sim V_{\rm DA}^2/(\epsilon_{\rm D} - \epsilon_{\rm A})$. On the other hand, the charge flow behaves as $\sim V_{\rm DA}/(\epsilon_{\rm D} - \epsilon_{\rm A})$. Figures showing the magnitude of these pairwise decomposed components in kJ/mol and me⁻ are included in the Supporting Information.

DNA base-pairs

Hydrogen bonding between DNA bases is one of the most important non-covalent interactions as it modulates a myriad of biological phenomena, such as the melting temperature of oligonucleotide sequences which is a critical parameter in molecular biology experiments.⁶³ The Watson-Crick base pairs, adenine-thymine (A-T) and guanine-cytosine (G-C), shown in Fig. (5), interact by characteristic hydrogen bonding. The A-T base pair is bound by two hydrogen bonds: one from N3 of thymine to N1 of adenine, and the other from N6 of adenine to O4 of thymine. The G-C binding energy is -120.8 kJ/mol which is much larger than the A-T binding energy of -69.4 kJ/mol as G-C has three hydrogen bonds (two H-bond donors on G and one donor on C) while A-T has only two.

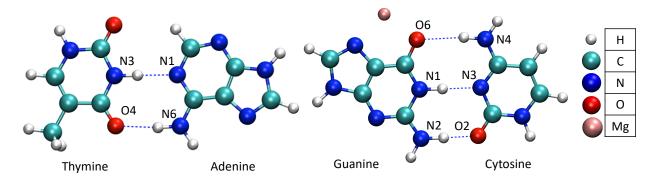


Figure 5: DNA base pairs a denine-thymine (A-T; left panel) and guanine-cytosine (G-C) with $\rm Mg^{2+}$ (right panel)

The pairwise CTA for these base pairs is shown in Table (1). From a CTA perspective, the G-C pair really has two N lone pair donors on cytosine, coupled to two charge accepting H-N groups on guanine, rather than the opposite proton donor perspective. This is reflected in the larger $C\rightarrow G$ term versus the smaller $G\rightarrow C$ contribution. The main Complementary Occupied-Virtual Pairs (COVPs) shown in Fig. (6) for the A-T pair are a very convenient way to understand these hydrogen bonds. The most significant COVP of the A-T base pair contributes a majority (about 67%) of the CT interactions. The COVP donor is located on N1 of adenine and the COVP acceptor is located on N3–H of thymine. The second hydrogen bond has much weaker CT, which accounts for the remaining CT stabilization.

Table 1: Energy decomposition analysis and non-perturbative charge decomposition analysis (in kJ/mol) for the DNA base pairs thymine(T)-adenine(A), guanine(G)-cytosine(C), and their corresponding metallated versions.

		Energy d	ecompositio	on analysis		Non-perturbative decomposition of CT energy			
	$\Delta E_{\rm INT}$	$\Delta E_{\rm GD}$	$\Delta E_{\rm FRZ}$	$\Delta E_{\rm POL}$	$\Delta E_{\rm CT}$	$1 \rightarrow 1$	$1 \rightarrow 2$	$2 \rightarrow 1$	$2 \rightarrow 2$
T(1):A(2)	-63.8	5.6	-7.4	-26.2	-35.8	0.0	-10.7	-25.4	0.3
G(1):C(2)	-120.8	13.5	-35.6	-52.2	-46.5	0.0	-19.0	-27.6	0.2
$Na^{+} G(1):C(2)$	-138.2	11.0	-45.4	-55.8	-48.1	-0.2	-10.2	-38.0	0.4
$Mg^{2+} G(1):C(2)$	-195.7	18.5	-56.3	-90.9	-67.1	-0.3	-5.3	-62.2	0.7
$Ca^{2+} G(1):C(2)$	-178.9	16.3	-54.6	-80.9	-59.7	-0.3	-5.4	-54.6	0.6

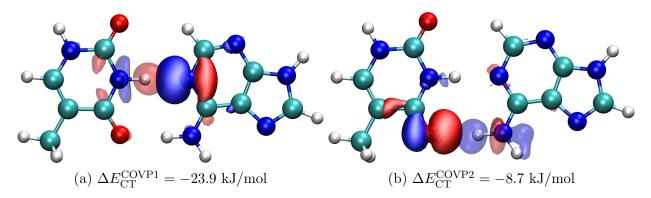


Figure 6: (a) The most significant Complementary Occupied-Virtual Pair (COVP) for the A-T DNA base pair (b) Second most significant COVP for the A-T DNA base pair. Atom color codes are shown in Fig. (5).

By contrast, as shown in Fig. (7), the G-C base pair with its three hydrogen bonds contains two equally significant COVPs, each of which contributes -16.6 kJ/mol to the CT energy. Unlike the A-T COVPs, these G-C COVPs do not completely localize on any one particular hydrogen bond although the first pair (shown in Fig. (7a)) is mainly on the O6-N4 hydrogen bond, while the second pair (shown in Fig. (7b)) dominates the N3–N1 interaction. For two almost degenerate COVPs such as this, it is possible to localize the two occupied and two virtual orbitals if a more localized picture is desired.

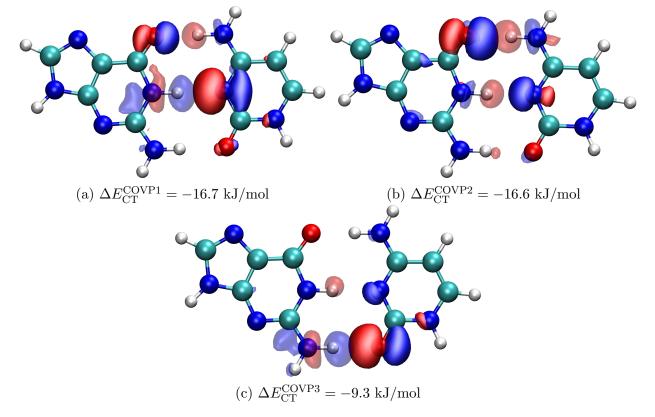


Figure 7: (a) The most significant Complementary Occupied-Virtual Pair (COVP) for the G-C DNA base pair (b) Second most significant COVP for the G-C DNA base pair (c) Third most significant COVP for the G-C DNA base pair. Atom color codes are shown in Fig. (5).

The presence of metal cations has a significant effect on biophysical processes such as stabilization of DNA triple and quadruple helices. At low concentrations, metal cations produce a stabilizing effect by neutralizing the negatively charged phosphate backbone. However, at high concentrations they affect the structural integrity of DNA by disrupting the hydrogen bonding interactions.^{64,65} Metal cations interact with the N7 position of guanine as shown in the right panel of Fig. (5).^{66–68} An understanding of the effect of metal cation coordination on the binding energy of DNA base pairs will help illuminate whether this 3-body interaction affects the stability of the DNA duplex. The effect of metal cation coordination of the strength of hydrogen bonds in DNA base pairs has been studied using Natural Bond Orbital Analysis.⁶⁹

As a primitive model for this effect, we consider guanine of a G-C dimer binding in a bidentate fashion via its N7 and O6 sites to three metal cations (Na⁺, Mg²⁺, and Ca²⁺), as shown for Mg²⁺ in the right panel of Fig. (5). The EDA and non-perturbative CTA of the three metallated G-C complexes are shown in Table (1). All 3 metallations of guanine increase the binding energy of the complex. This increment is small (17.4 kJ/mol) when the metal cation is Na⁺, but quite large when the metal cation is Mg²⁺ or Ca²⁺. The EDA reveals that this increment comes from increases in all major mechanisms of interaction: frozen interactions, polarization, and charge transfer. The adiabatic EDA⁴⁷ (see Table S1) confirms that the increase in frozen and polarization interactions is larger than the increase in CT. The predominance of permanent and induced electrostatics agrees with chemical understanding of this toy model of metallated DNA base pairs, which is controlled by interactions with the unscreened charge.

Considering the case of Mg^{2+} -G-C versus G-C, frozen interactions, polarization, and CT contribute an additional 20.6 kJ/mol, 38.7 kJ/mol, and 20.6 kJ/mol respectively to the interaction energy. Applying the non-perturbative CTA to the charge transfer contribution reveals an interesting pattern. Metallation of guanine increases the C \rightarrow G CT energy while it decreases the G \rightarrow C CT. This serves to decrease the strength of the O6(G)····H-N4(C)

hydrogen bond and increase the strength of the $N3(C)\cdots H-N1(G)$ and $O2(C)\cdots H-N2(G)$ hydrogen bonds. The increase in the strength of the latter CT interactions is larger than the decrease of the former, thereby leading to an overall increase in CT upon metallation. COVPs for the metallated G-C base pairs are included in the Supporting Information (See Figures S3, S4, and S5). The significant COVPs are localized on the N3-N1 and O2-N2 hydrogen bonds, while the COVP localized on the O6-N4 hydrogen bond contributes little to the total CT energy.

These aspects of hydrogen bonding in nucleobases have been previously studied using delocalization indices from Atom-In-Molecule (AIM) theory.⁷⁰ While it is difficult to compare our method with AIM theory, both analysis methods agree on the selective strengthening and weakening of hydrogen bonds upon metallation in the G-C base pair. While AIM theory measures this change in terms of change in delocalization index, our analysis can directly compute an energy value associated with these interactions, thus enabling a richer and more direct comparison to the interaction energy.

Borane-amine adducts

Borane-amine adducts are textbook examples of Lewis acid-base pairs. The ammonia-borane complex has been studied particularly in detail as it has been considered a promising hydrogen storage material that contains 19.6 wt% of hydrogen.^{71,72} This adduct consists of an electron-deficient group 13 center and an electron-rich group 15 center. Traditionally, bonding in this adduct has been understood as a dative bond as a result of donation of an electron pair from the nitrogen to the boron center. This is supported by the fact that borane, which is a planar molecule, pyramidalizes upon complexation with ammonia.

Understanding the nature and strength of the dative bond in these adducts is key to tuning the strength of such dative interactions, and can potentially be used to design ligands on a catalyst or engineer protein-drug interactions. One common example is the series of halogenated boranes binding ammonia: BX_3-NH_3 where X = F, Cl, or Br. The order of

		0	0						
	Er	nergy De	compositi	on Analys	Non-perturbative decomposition of CT energy				
	$\Delta E_{\rm INT}$	$\Delta E_{\rm GD}$	$\Delta E_{\rm FRZ}$	$\Delta E_{\rm POL}$	$\Delta E_{\rm CT}$	$BX_3 \rightarrow BX_3$	$BX_3 \rightarrow NH_3$	$\rm NH_3{\rightarrow}BX_3$	$\rm NH_3{\rightarrow} \rm NH_3$
BF ₃ –NH ₃	-90.8	99.7	122.8	-157.4	-156.0	0.0	-5.1	-151.2	0.2
BCl_3-NH_3	-107.7	101.2	259.7	-282.2	-186.4	0.2	-9.8	-179.3	2.6

0.2

-11.6

-177.8

2.7

-186.5

BBr₃–NH₃

-119.2

94.3

295.5

-322.6

Table 2: EDA and non-perturbative CT energy decomposition analysis (in kJ/mol) for the series of adducts BX_3 -NH₃ where X = F, Cl, or Br.

stability of these adducts is $BBr_3-NH_3 > BCl_3-NH_3 > BF_3-NH_3$ as shown in Table (2). This ordering is rather counter-intuitive as one would naively expect the reverse ordering consistent with ordering of electronegativity of the halogens (F > Cl > Br). One explanation proposed for this ordering is that electron donation from the halogen to the empty p-orbital of boron reduces the Lewis acidity of boron.⁷³ As shape and sizes of B and F match better than B and Cl, it was suggested that donation from F to B was stronger. While this explanation suggests that BF₃ has a stronger π -bond character than BCl₃, and consequently should have a higher energy of pyramidalization, the opposite has been found to be true (See Ref. 73 and ΔE_{GD} in Table (2)). It was also found that the Natural Bond Orbital π -overlap between F and B in BF₃ and Cl and B in BCl₃ are more or less identical.⁷⁴ Another explanation correlating the Lewis acidity of halogenated boranes with its LUMO level was proposed^{75,76} and then contradicted.⁷⁴

In this section, we attempt to explain the trend in the strength of interaction of trihaloborane-ammonia adducts. The interaction energy increases upon going from BF_3-NH_3 to BBr_3-NH_3 from -90.8 to -119.2 kJ/mol. Upon going down the periodic table from BF_3-NH_3 to BCl_3-NH_3 , the polarization and charge transfer components of the interaction energy increase (Table (2)). While the increase in polarization is cancelled out by the increase in the repulsive frozen interactions, charge transfer causes a true increase in the strength of interaction. Upon decomposition of CT into pairwise additive terms, it is clear that the increase in the $NH_3 \rightarrow BX_3$ component is the most significant and leads to enhanced binding energy. On the other hand, upon going from BCl_3-NH_3 to BBr_3-NH_3 , total CT and the $NH_3 \rightarrow BX_3$ component remain unchanged. Most of the increase in binding energy arises from a decrease in the geometry distortion term. While most of the increase in the polarization energy is offset by the increase in repulsive frozen interactions, the increase in the polarization term still contributes a little to the overall increase in the binding energy. Energy decomposition in an adiabatic picture (see Table S3) further emphasizes the importance of CT relative to polarization and frozen interactions. The adiabatic energy decomposition also shows an increase in CT upon going from BF_3-NH_3 to BBr_3-NH_3 .

Table 3: Energy decomposition analysis and non-perturbative charge decomposition analysis (in kJ/mol) for the adduct BH_3 – NMe_pH_q (p + q = 3)

Energy Decomposition Analysis						Non-perturbative Decomposition of CT energy				
	$\Delta E_{\rm INT}$	$\Delta E_{\rm GD}$	$\Delta E_{\rm FRZ}$	$\Delta E_{\rm POL}$	$\Delta E_{\rm CT}$	$\rm BH_3{\rightarrow}BH_3$	$BH_3 \rightarrow NMe_pH_q$	$NMe_{p}H_{q} \rightarrow BH_{3}$	$NMe_{p}H_{q} \rightarrow NMe_{p}H_{q}$	
BH_3-NH_3	-133.1	56.3	116.5	-148.1	-157.7	-2.7	-16.4	-138.1	-0.5	
BH_3 – $NMeH_2$	-153.2	59.7	114.0	-172.9	-154.0	-3.4	-17.4	-131.4	-1.9	
BH_3 – NMe_2H	-163.1	62.8	110.7	-185.9	-150.6	-3.7	-17.8	-127.7	-1.4	
$\rm BH_3-NMe_3$	-163.8	65.8	107.7	-178.4	-159.0	-3.6	-18.1	-136.5	-0.8	

Another interesting observation is that placing electron donating groups on B decreases the binding energy of the adduct, while placing the same group on N increases the binding strength of the adduct. This phenomenon can be classically understood as the enhancement of Lewis basicity when electron donating groups are placed on nitrogen. In order to gain a further understanding of this phenomenon, we consider a series of boranes bound to ammonia substituted with increasing numbers of methyl (-Me) groups. Methyl is an electron donating group, and with addition of each methyl group on the nitrogen center the interaction energy increases as shown in Table (3). Experimentally, gas phase dissociation enthalpies also increase.⁷⁷ Performing EDA on the interaction energies of this series, we can see that the total CT energy shows no trend with increasing the number of methyl groups on ammonia. EDA in the adiabatic framework is also in agreement with this although the relative magnitude of CT is much larger than the polarization (See Table S4). This shows that understanding the enhancement in binding energy of these adducts in terms of increased Lewis basicity of substituted ammonia is incorrect. The CTA further supports this claim by not showing a clear trend in the CT energy associated with donation from $NMe_{p}H_{q}$ to BH_{3} , which lies in the range of -138.1 to -127.7 kJ/mol, and does not become stronger with increasing number of methyl substituents at N. By contrast, the polarization energy increases with increasing number of methyl substituents and is thus the main origin of enhanced binding. The reason is likely because -Me is more polarizable than -H, and consequently more -Me groups cause larger polarization interactions.

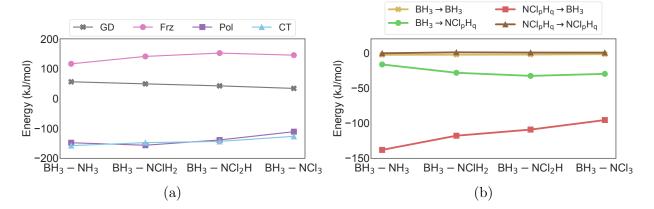


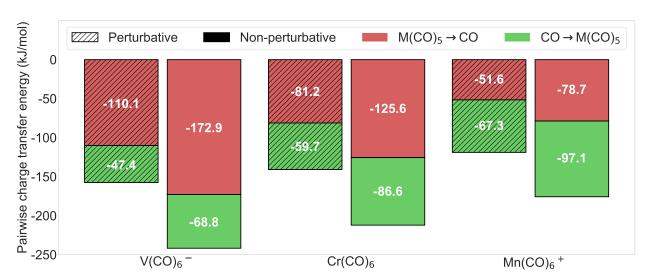
Figure 8: (a) Energy decomposition of $BH_3-NCl_pH_q$ binding energy into geometry distortion (GD), frozen (Frz), polarization (Pol), and charge transfer (CT) terms. (b) Non-perturbative decomposition of charge transfer into pairwise additive terms. A table containing the energetics shown in this figure is included in the Supporting Information (Table S2).

Now, let us consider a chloride (-Cl) substitution at N instead of -Me substitution. The chloride, being an electron withdrawing group, reduces the electron density available for donation in forming an adduct. As the number of chloride groups on N increases, the binding energy of the adduct decreases from -133.1 kJ/mol in the case of BH₃-NH₃ adduct to just -58.2 kJ/mol for BH₃-NCl₃. EDA shown in Fig. (8a) shows that this is a result of the decrease in both polarization and charge transfer. The non-perturbative CTA reveals two important trends upon chloride substitution: First, as expected, NCl_pH_q \rightarrow BH₃ CT decreases significantly from -138.1 kJ/mol to -95.6 kJ/mol on going from NH₃ to NCl₃. Second, BH₃ \rightarrow NCl_pH_q CT energy increases upon chloride substitution. However, the latter increase is rather small and is eclipsed by the former leading to an overall decrease in the CT energy lowering. Thus, the non-perturbative CTA can elucidate the interplay of different pairwise CT energy components in order to explain the observed binding energies of borane-amine adducts.

Carbonyl complexes

Transition metal – carbonyl interactions are ubiquitous in transition metal chemistry and catalysis,^{78,79} such as in important intermediates in CO_2 reduction.⁴⁹ These are cases of synergic bonding with significant binding arising from both forward electron donation (carbonyl to metal complex) and backward (metal complex to carbonyl) donation. The Dewar-Chatt-Duncanson^{16,17} model has been invoked to explain bonding in metal carbonyls with differing degrees of success.⁸⁰ According to this model, the red shift in the carbonyl stretching frequency is understood as a consequence of back-donation from the metal to the anti-bonding $2\pi^*$ orbitals of CO, decreasing its bond strength, lengthening the C–O bond, and consequently decreasing its vibrational stretching frequency.

Free CO is IR active and its stretching frequency appears at 2143 cm⁻¹.⁸¹ Consider the sequence of isoelectronic 3d transition metal hexacarbonyls: $V(CO)_6^-$, $Cr(CO)_6$, and $Mn(CO)_6^+$.^{82,83} The electron density on the metal decreases as we go from anionic $V(CO)_6^$ to cationic Mn(CO)₆⁺. As a consequence, the metal has less electrons to donate to the $2\pi^*$ orbitals of CO. Figure (9) shows that the non-perturbative $M(CO)_5 \rightarrow CO \ CT$ decreases from -172.9 kJ/mol in V(CO)₆⁻ to -78.7 kJ/mol in Mn(CO)₆⁺. The experimental red shift also decreases in the same order: 285 cm⁻¹ for $V(CO)_6^-$, 140 cm⁻¹ for $Cr(CO)_6$, and 37 cm⁻¹ for $Mn(CO)_6^+$. The computed red shifts show a similar trend: 262 cm⁻¹ for $V(CO)_6^-$, 127 cm⁻¹ for $Cr(CO)_6$, and 11 cm⁻¹ for $Mn(CO)_6^+$. As back-donation is a major component of the CT interaction, total CT energy also decreases as one moves to the right in the 3d transition metal sequence. The non-perturbative CTA also reveals the contrasting mechanisms dominating the nature of CT in $V(CO)_6^-$ and $Mn(CO)_6^+$: back donation is the major component of CT contributing about 73% of the CT energy in $V(CO)_6^-$, whereas forward donation is the major driving force accounting for 55% of the charge transfer energy in $Mn(CO)_6^+$. Thus, the CTA reveals the intricate interplay between different mechanisms driving CT in 3d metal hexacarbonyls. The variational forward-backward CTA⁴⁹ also shows that the adiabatic backdonation $(M(CO)_5 \rightarrow CO)$ decreases upon moving from $V(CO)_6^-$ to $Mn(CO)_6^+$ while the



forward $(CO \rightarrow M(CO)_5)$ component decreases (See Table S5).

Figure 9: Stabilization energy associated with forward $(CO \rightarrow M(CO)_5)$ and backward $(M(CO)_5 \rightarrow CO)$ CT interactions in the perturbative and non-perturbative schemes for 3 isoelectronic transition metal hexacarbonyls. The $M(CO)_5 \rightarrow CO$ component is shown on the top and the $CO \rightarrow M(CO)_5$ is shown below it.

This example also brings out the main limitation of the perturbative CTA, which is capable of capturing only $\sim 67\%$ of the total CT energy. In the V(CO)₆⁻ case, for example, the perturbative treatment underestimates the forward component by only 21.5 kJ/mol compared to the non-perturbative counterpart, but makes a much larger error in the backward component underestimating it by 62.8 kJ/mol. This inconsistency in the magnitude of underestimation in the perturbative scheme underscores the advantage of the new non-perturbative CTA.

Computational cost

As established above, the non-perturbative CTA is a superior alternative to perturbative analysis for studying charge decomposition. To address the computational cost of obtaining these improved results, we will briefly compare the cost of the non-perturbative scheme against its perturbative predecessor. The perturbative scheme computes $\mathbf{X}_{VO}^{\text{RS}}$ by solving for the Roothaan step, Eq. (6), which involves only cubic-scaling matrix multiplications, where the dimension of these matrices involves the number of occupied (O) and virtual (V) orbitals of the complex. Given $\mathbf{X}_{VO}^{\text{RS}}$, the pairwise energy decomposition follows by taking its trace with the Fock matrix constructed from the polarized ALMOs (Φ_{POL}). This Fock matrix is already constructed in the order to converge those orbitals and compute the energy of the polarized wavefunction ($E(\Phi_{\text{POL}})$).

By contrast, the non-perturbative CTA involves solving Eq. (23) in order to compute the non-perturbative CT matrix, $\mathbf{X}_{VO}^{\text{CT}}$. The process of solving this equation involves cubic scaling matrix diagonalization and multiplications of matrices whose dimension is the number of MOs, N = O + V of the complex. Given $\mathbf{X}_{VO}^{\text{CT}}$, the non-perturbative pairwise energy decomposition requires computation of the \mathbf{F}^{CT} using Eq. (27). This requires five different Fock matrices at different interpolating density points between Φ_{POL} and Φ_{CT} . Two of these five Fock matrices ($\mathbf{F}(0)$ and $\mathbf{F}(\mathbf{X})$ in Eq. (27)) are available from computing the energy of the polarized wavefunction and energy of the fully-relaxed complex, leaving three additional Fock matrix constructions. Given that the complete ALMO-EDA scheme typically requires tens of Fock matrix constructions for determining the polarized and fully-relaxed wavefunctions, the addition of three more Fock matrix constructions only adds very little to the total computational cost.

Conclusions

We have introduced a non-perturbative approach that (numerically) exactly decomposes the variational energy lowering due to charge transfer (CT) in molecular complexes into pairwise additive terms in the context of ALMO-based energy decomposition analysis (ALMO-EDA) for density functional theory.^{9,13} The non-perturbative CT analysis (CTA) is a superior replacement for the existing perturbative CTA,²⁵ which relied on a perturbative approximation to incompletely and inexactly extract the pairwise contributions. As demonstrated here, the perturbative CTA decomposes different percentages of the total charge transfer energy at

different points on a potential energy surface, and also has a dependence on the density functional used, typically underestimating CT for hybrid and range-separated density functionals while overestimating it for pure functionals.

This new method introduced in this work finds the generator, $\mathbf{X}_{VO}^{\text{CT}}$, of the unitary transformation that transforms the polarized wavefunction into that of the fully-relaxed wavefunction. Next, an effective Fock-like matrix, \mathbf{F}^{CT} , is constructed from Fock matrices computed at different density points connecting the polarized wavefunction and fully-relaxed wavefunction along the path given by $\mathbf{X}_{VO}^{\text{CT}}$. Taking the trace of the product of the $\mathbf{F}_{OV}^{\text{CT}}$ and $\mathbf{X}_{VO}^{\text{CT}}$ along with appropriate orbital projectors sandwiched in the middle gives the non-perturbative charge decomposition in terms of donor and acceptor orbital pairs of the fragments comprising the complex. This completes the (numerically) exact pairwise energy decomposition scheme for CT. We also extended the CTA to decompose the charge flow in a pairwise fashion as well. Finally, singular value decomposition (SVD) of $\mathbf{X}_{VO}^{\text{CT}}$ yields complementary occupied-virtual orbital pairs (COVPs), which are the most important orbitals involved in CT.

We have demonstrated the usefulness of the new CTA by applying it to various chemical systems with varying strengths of charge transfer interaction. Application to DNA basepairs reveals the nature of hydrogen bonding in the thymine:adenine and guanine:cytosine complexes. Additionally, CTA reveals the effect of metallation on the hydrogen bonding patterns of the guanine:cytosine base-pair. Investigating the interaction energy of a series of borane adducts of the form BX_3-NH_3 (X = F, Cl, or Br) reveals that an increase in polarization and CT energy from NH_3 to BX_3 enhances the binding energy of the BX_3-NH_3 complex as we go down the halogen group. The CTA also revealed interesting aspects of the effect of methyl and halogen substitution on the nitrogen center. Additionally, the CTA provided insight into the delicate interplay of forward and backward donation in a series of isoelectronic transitional metal hexacarbonyls. It is likely to be useful for a wide variety of other interpretive problems in intermolecular interactions, as well as other applications such as for training force-fields to account for pairwise decomposition of charge transfer.^{10,11}

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Supporting Information Available

Additional information supporting convergence to basis set limit, COVPs for metallated guanine:cytosine base pairs, and raw data for $BH_3-NCl_pH_q$ complexes is included in the Supporting Information. In addition to this, supporting adiabatic EDA calculations and variational forward-backward charge transfer analysis calculations are also included. All geometries used for calculations are provided in the Supporting Information.

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Graphical TOC Entry

