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A Mechano- and Thermo-responsive Luminescent Cyclophane

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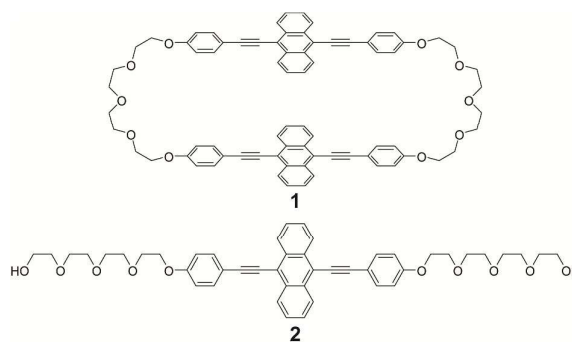
The first fluorescent cyclophane with mechano- and thermo-responsive solid-state fluorescence characteristics is reported. The new cyclophane is comprised of two 9,10-bis(phenylethynyl)anthracene moieties that are bridged by tetraethylene glycol spacers. It is shown that the solid-state emission color of the compound can be influenced by mechanical and thermal treatments. This stimuli-responsive behavior is based on changes of the molecular assembly.

The last decade has witnessed a continuously increasing number of studies on materials in which the application of mechanical force leads to the controlled cleavage of stable^{1,2} or dynamic³ covalent bonds, or the dissociation of supramolecular motifs.⁴ This general mechanism has been shown to be useful for the design of stimuli-responsive materials, whose properties can be altered upon application of mechanical forces. If the force-induced separation involves mechanically active motifs (referred to as mechanophores) with extended π -conjugated groups, changes of the material's absorption and/or photoluminescence properties occur.^{1,2} Especially, the latter can be easily detected, making mechanically responsive (luminescent) color-changing materials potentially useful, for example in the in situ monitoring of material failure due to stress fracture or fatigue.⁵ However, the number of luminophores whose photophysical properties can be altered by mere dissociation of covalent or non-covalent bonds is still limited and few generally applicable design principles have been established.^{1b-d,h,2}

Mechanical forces can also be exploited to induce changes of molecular arrangements, and a large number of mechano-responsive luminescent (MRL) materials have been reported, which change their emission characteristics upon mechanical stimulation.^{2,6-8} A most effective strategy to create MRL materials is to design luminescent molecules so they can assemble in different thermodynamically (meta)stable states, in

which they adopt different conformations and/or intermolecular interactions.^{6g} Organic molecules of various shapes – from simple rods to fan or dumbbell shapes – have been demonstrated to form assemblies with MRL properties.^{2,6-8} Here we report the first fluorescent cyclophane with mechano- and thermo-responsive solid-state fluorescence characteristics. While the properties of cyclic compounds have been widely studied in solution,⁹ their stimuli-responsive photophysical properties in the solid state were little explored. On a more fundamental level, our study demonstrates that simple cyclic structures are useful to induce several thermodynamically (meta)stable states, presumably on account of spatial restrictions that prevent the luminophores to assemble in thermodynamically most stable closed-packed structures. We expect that the findings can be generalized and that the MRL feature may be readily imparted to other luminescent motifs by converting into cyclic structures.

Fig. 1 Molecular structures of cyclophane **1** and the linear reference compound **2**.



Cyclophane **1** (Fig. 1) was designed to feature two 9,10-bis(phenylethynyl)anthracene moieties, as these chromophores (in their non-connected state) are well known to exhibit strong green fluorescence in good solvents.¹⁰ Flexible tetraethylene glycol linkers were chosen to connect the peripheral phenyl rings of two 9,10-bis(phenylethynyl)anthracene moieties to serve as bridge and to enhance the solubility. Because of its cyclic structure, compound **1** was expected to show intramolecular excimer formation and/or excitation coupling between the two luminescent cores in the molecularly isolated state. In the solid state, intra- and intermolecular interactions between the luminophores were expected to occur, which should independently affect the photophysical properties of the resulting molecular material. The linear mono-9,10-bis(phenylethynyl)anthracene **2** was also prepared and used as a reference compound.

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†Electronic Supplementary Information (ESI) available: Synthesis and characterization data of compounds **1** and **2**, NMR, DSC, TG, XRD, grinding procedure, precipitation of **1** from other solvent combinations, emission lifetime measurements, and emission spectra of **2** in the solid state. See DOI: 10.1039/x0xx00000x

Despite the tetraethylene glycol linkers, cyclophane **1** shows a low solubility in common organic solvents, presumably due to the rigid structure and strong π - π interactions between molecules. NMR spectroscopic measurements were, however, possible in hot chloroform. A comparison of the ^1H NMR spectra of **1** and **2** (Fig. S1, ESI †) reveals an up-field shift of the resonances of the luminescent cores for cyclophane **1** (0.46 and 0.49 ppm for the outer and inner protons of the anthracene groups, respectively), indicative of the formation of a cyclic structure, which changes the circular current. Together with the mass spectroscopy data, the large difference clearly confirms the success of the cyclization reaction (Scheme S1, ESI †).

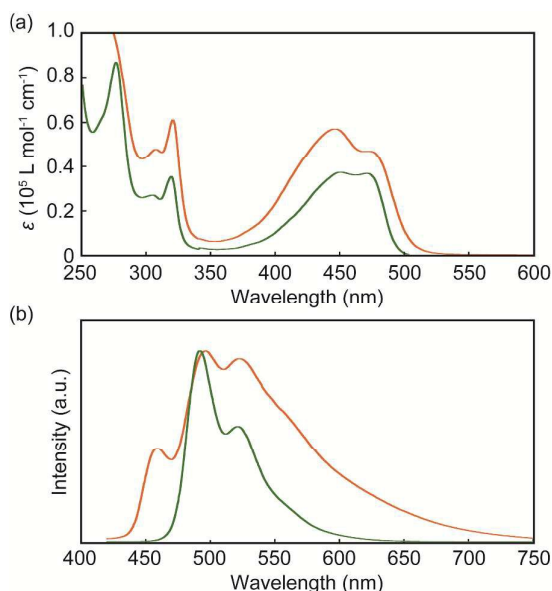


Fig. 2 Absorption (a) and emission (b) spectra of dilute ($1 \cdot 10^{-5}$ M) chloroform solutions of cyclophane **1** (orange line) and the linear reference compound **2** (green line). All spectra were measured at room temperature. The emission spectra were recorded with $\lambda_{\text{ex}} = 400$ nm and intensities were normalized.

Spectroscopic measurements of cyclophane **1** and model compound **2** were first carried out in dilute ($1 \cdot 10^{-5}$ M) chloroform solutions (Fig. 2). The absorption bands between 400 and 500 nm (Fig. 2a) show similar features, but upon closer inspection subtle differences can be discerned. While the spectrum of cyclophane **1** displays two peaks at 446 and 473 nm, these are observed at 450 and 471 nm in the case of the linear reference compound **2**. Moreover, the magnitude of the two transitions is almost identical in **2** ($\epsilon = 3.7 \cdot 10^4 \text{ L} \cdot \text{mol}^{-1} \cdot \text{cm}^{-1}$), whereas in **1** the molar absorption coefficient at 446 nm ($\epsilon = 5.7 \cdot 10^4 \text{ L} \cdot \text{mol}^{-1} \cdot \text{cm}^{-1}$) is larger than that at 473 nm ($\epsilon = 4.7 \cdot 10^4 \text{ L} \cdot \text{mol}^{-1} \cdot \text{cm}^{-1}$). The difference in spectral shape seen in the absorption spectra of **1** and **2** and the fact that the extinction coefficients of **1** are only slightly (and not two times) higher than those of **2** are the first indication of intramolecular, ground-state electronic interactions between two 9,10-bis(phenylethynyl)anthracene moieties in cyclophane **1**.

In dilute ($1 \cdot 10^{-5}$ M) chloroform solution, the linear reference compound **2** is highly emissive and the emission spectrum reveals a vibronic structure with maxima at 492 and 522 nm and a weak shoulder around 560 nm (Fig. 2b). These features are characteristic of well-individualized 9,10-bis(phenylethynyl)anthracene molecules in a good solvent.^{10a,c} A much lower emission intensity was observed when cyclophane **1** was excited under identical conditions (note that the intensities in Fig. 2b are normalized). The emission spectrum (Fig. 2b) shows

an extra vibronic band at higher energy (459 nm) and a pronounced shoulder that stretches far into the red. The latter is indicative of intramolecular excimer formation,¹¹ which has also been observed in the case of pyrene- or perylene-based cyclophanes, i.e., in cases where the planar luminophores can form face-to-face stacked structures.^{12,13}

To gain further insight into the difference of the emission characteristics of **1** and **2** in solution, we measured the excited state lifetimes (τ) and photoluminescence quantum yields (Φ_{PL}) in chloroform (Table S1, ESI †). Fig. S2 (ESI †) shows time-resolved emission decay profiles for both **1** and **2**. In the case of the linear reference compound **2**, the decay curve is well fitted by a single exponential decay function with a lifetime of 2.9 ns (Fig. S2a, ESI †), which is characteristic of fluorescence from well-individualized chromophores. The emission decay of **1** was measured at 495 and 600 nm (Fig. S2b, ESI †). In both cases, multi-exponential decay functions fitted the data better than a single exponential decay function. The decay curve collected at 600 nm comprises a minor component with a lifetime of 8.8 ns that is ascribed to intramolecular excimers. By contrast, the longest emission lifetime observed at 495 nm was 5.8 ns, which can be attributed to monomer emission after dissociation of intramolecular excimers. At both wavelengths, the emission lifetime related to monomer (2.6 ns) is shorter than in the case of **2**, on account of the formation of intramolecular excimers. The fastest decay process with emission lifetimes of 0.3 and 0.2 ns at 495 and 600 nm respectively is likely associated with exciton coupling between adjacent luminophores in the cyclophane. Indeed, as shown in Fig. 2a, the molar absorption coefficient at 446 nm is larger than that at 473 nm, which is indicative of exciton coupling with H-type geometry, although the precise conformation of **1** in chloroform is unclear. Aside from minor internal reabsorption features, the emission and absorption spectra of **1** remained unchanged when the concentration in chloroform was reduced from 10^{-5} to 10^{-6} (absorption) or 10^{-7} M (emission) (ESI † , Fig. S3), confirming that the observed exciton coupling is an intramolecular process and does not arise from the aggregation of cyclophane **1**. This interpretation is further supported by the fact that previously reported perylene-based cyclophanes also exhibit absorption bands that correspond to H-type exciton coupling,¹³ and the additional high-energy emission peak at 459 nm (*vide supra*). While radiative relaxation from the higher energy level resulting from the splitting of the lowest unoccupied molecular orbital of the monomer species is forbidden in an ideal H-aggregate geometry, the twisted arrangement of transition dipole moments allows the direct decay process from the higher and forbidden energy level to some extent.¹⁴ Our interpretation of the emission decay profiles is further supported by the results of photoluminescence quantum yield measurements. Indeed, the photoluminescence quantum yield of **1** in chloroform ($\Phi_{\text{PL}} = 0.07$) is one order of magnitude lower than that of reference compound **2** ($\Phi_{\text{PL}} = 0.90$), on account of intramolecular excimer formation and exciton coupling in the cyclophane.

The thermo- and mechanoresponsive luminescent behavior of cyclophane **1** is summarized in Fig. 3. Upon precipitating cyclophane **1** from a hot concentrated chloroform solution into hexane, a yellow-light emitting crystalline powder (Y_{CR} -form) is formed, while other solvent combinations typically afforded orange-yellow emissive powders (Fig. S4, ESI †). Surprisingly, we found that a transition to another crystalline form that exhibits reddish-orange photoluminescence (RO_{CR} -form) can be achieved through thermal treatment; the here-reported experiments involve heating the Y_{CR} -form for 10 min at 250 °C. While cyclophane **1** displayed thermoresponsive luminescent

characteristics, this behavior was not observed for linear reference compound **2** in the solid state. Moreover, cyclophane **1** also shows mechanochromic luminescence behavior. Grinding of the RO_{CR}-form leads to an amorphous state showing yellow photoluminescence (Y_{AM1}-form). Subsequent annealing at 250 °C for 10 min largely restored the reddish-orange emission color (RO_{LO}-form), although the molecular packing appears to be less-ordered (LO) than in the RO_{CR}-form. Grinding the Y_{CR}-form leads to another amorphous state (Y_{AM2}-form), whose emission spectrum is slightly different from that of the Y_{AM1}-form (*vide infra*). However, subsequent annealing also caused the conversion to RO_{LO}-form. While various molecular structures have been found to show thermo- or mechano-responsive luminescence,^{2,6-8,15} compound **1**, to our knowledge, is the first reported cyclophane exhibiting such behavior.

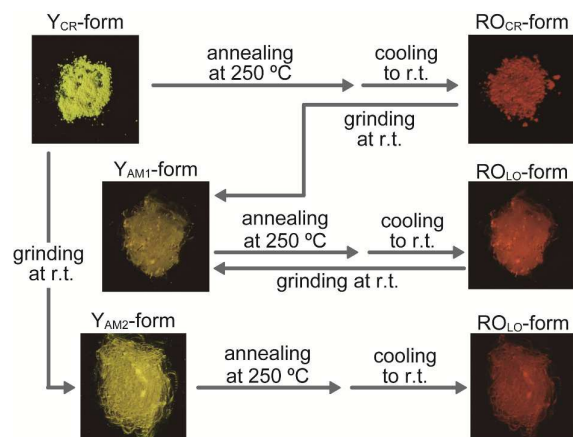


Fig. 3 Thermo- and mechano-responsive luminescence behavior of cyclophane **1** with pictures showing the emission color in each state. All pictures were taken under UV irradiation ($\lambda_{\text{ex}} = 365 \text{ nm}$) on quartz substrates at room temperature.

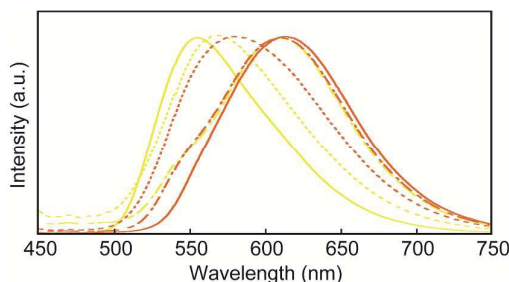


Fig. 4 Emission spectra of the Y_{CR}-form (yellow solid line), RO_{CR}-form (orange solid line), Y_{AM1}-form (orange dotted line), Y_{AM2}-form (yellow dotted line), RO_{LO}-form obtained from the Y_{AM1}-form (orange dash-dotted line), and RO_{LO}-form obtained from the Y_{AM2}-form (yellow dash-dotted line) of cyclophane **1**. All spectra were measured at room temperature with $\lambda_{\text{ex}} = 400 \text{ nm}$.

Powder X-ray diffraction (XRD) patterns of compound **1** (Fig. S5, ESI†) clearly reveal that the photoluminescence color changes induced by thermal or mechanical treatment result from alterations of the molecular packing. The XRD pattern obtained for the Y_{CR}-form (Fig. S5a, ESI†) displays many diffraction peaks that reflect the crystalline nature of this form. The diffractogram changes substantially upon annealing the sample for 10 min at 250 °C (Fig. S5b, ESI†). Thus, the thermal treatment causes a solid-solid phase transition between two different crystal structures, which is responsible for the emission color change from the yellow Y_{CR}-form to the

reddish-orange RO_{CR}-form. The phase transition was confirmed by differential scanning calorimetry (DSC). The DSC trace of the Y_{CR}-form displays an endothermic peak ($\Delta H = 4.4 \text{ kJ mol}^{-1}$) at 221 °C (Fig. S6a, ESI†), which we associate with the transition to the RO_{CR}-form. By contrast, the DSC curve measured for the RO_{CR}-form (Fig. S6a, ESI†) is void of this and any other characteristic signals. Thermogravimetric analysis experiments of **1** (Fig. S7, ESI†) show no significant weight loss below 300 °C and confirm that the transition from the Y_{CR}-form to the RO_{CR}-form is not due to the release of trapped solvent. The linear reference compound **2** could not be converted into a reddish-orange emissive state, indicating that cyclization is the key to the stimuli-responsive behavior of cyclophane **1**.

As discussed above, grinding transforms the Y_{CR}-form and RO_{CR}-form into forms that emit yellow light (Y_{AM1}-form and Y_{AM2}-form, respectively). The powder XRD patterns of both amorphous forms are void of any diffraction peaks (Fig. S5c and e, ESI†), suggesting that grinding induces conversions from crystalline to amorphous states. Annealing of both amorphous forms for 10 min at 250 °C caused restoration of some of the peaks in the XRD (RO_{LO}-form, Fig. S5d and f, ESI†), which appear at similar spacings as observed for the RO_{CR}-form. Taking into account the fact that the emission spectrum is similar to that of the RO_{CR}-form (*vide infra*), we conclude that the thermal treatment restores a molecular assembly that is similar to the RO_{CR}-form. However, the intensities of the peaks observed in the diffractogram of the RO_{LO}-form are much lower than observed for RO_{CR}-form, and the DSC trace acquired upon heating the Y_{AM1}-form is featureless (Fig. S6a, ESI†), indicating that annealing of the amorphous form of **1** leads to assembled structures with limited degree of order.

The photophysical properties of **1** were also examined in the solid state and the influence of thermal and mechanical treatments were probed. Fig. 4 shows the steady-state emission spectra of cyclophane **1**. The spectra correspond well with the emission color observed by the unassisted eye (Fig. 3). The Y_{CR}-form and RO_{CR}-form display broad emission bands with maxima around 555 and 614 nm, respectively. In contrast to the emission spectrum of cyclophane **1** in chloroform, which shows well-resolved phonon modes, the solid-state spectra are broad and featureless. The emission band observed for the RO_{CR}-form is ascribed to static excimer formation of the 9,10-bis(phenylethynyl)anthracene cores. Indeed, π -stacked 9,10-bis(phenylethynyl)anthracene moieties have been reported to exhibit a similar emission band with maximum around 630 nm, which was ascribed to the formation of static excimers.^{8c} Gratifyingly, emission lifetime measurements support the formation of static excimers in the RO_{CR}-form. Fig. S8 (ESI†) shows time-resolved fluorescence decays measured for Y_{CR}-form and RO_{CR}-form at 600 nm. The data are fitted well by a double exponential function, resulting in a long emission lifetime of 12.0 ns (Table S1, ESI†). By contrast, the decay trace of the Y_{CR}-form is dominated by a component with a short lifetime of 0.8 ns, which can be attributed to exciton coupling. In comparison to the RO_{CR}-form, the Y_{CR}-form features a much smaller proportion of excimer emission. The two crystalline states have a similar quantum yield of 0.4 (Table S1, ESI†).

The emission spectra of the amorphous Y_{AM1}-form and Y_{AM2}-form of cyclophane **1** (Fig. 4, orange and yellow dotted lines) show maxima that are situated between those of the Y_{CR}-form and the RO_{CR}-form. The small difference between the emission spectra of the two amorphous forms may be ascribed to a small amount of residual crystalline particles after grinding. In the case of the Y_{AM1}-form, this would enable energy transfer to excimer sites from the parent RO_{CR}-form, leading to the observed slight red-shift of the emission band compared to that

of the Y_{AM2} -form. While it is, unfortunately, impossible to distinguish if the grinding process changes inter- or intramolecular arrangements of the emissive cores of **1**, one can speculate that probably a broad range of species with different conformation is present in the two amorphous forms and that the optical properties change on account of alterations of both these factors. The emission spectrum of the RO_{LO} -form, which was obtained by annealing the Y_{AM1} -form (Fig. 4, orange dash-dotted line), is very similar to that of RO_{CR} -form, although a small shoulder appears around 540 nm. The emission spectrum of the RO_{LO} -form obtained from Y_{AM2} -form also shows the same spectral feature (Fig. 4, yellow dash-dotted line). This behavior is consistent with the results obtained from powder XRD measurements and confirms that the annealing procedure does not lead to the complete recovery of the well-ordered molecular assembled structures that were observed for RO_{CR} -form. However, it appears that in the RO_{LO} -form, energy transfer from excited states with higher energy to excimer sites is at play, so that the relatively poorly ordered material displays emission characteristics that are reminiscent of the highly ordered RO_{CR} -form. Compound **2** also shows a mechanically induced shift of the emission band (Fig. S9, ESI†), which is however much less pronounced than the one observed in the case of cyclophane **1**. The comparison clearly shows the benefit of integrating the luminescent motif in a cyclic structure.

In summary, the first mechano- and thermoresponsive luminescent cyclophane has been reported. The compound's optical properties in the solid state depend strongly on the morphology, which in turn can be influenced by mechanical and/or thermal treatment. We showed that switching between three discrete morphologies is possible. Interpreting the results broadly, our study demonstrates that the integration of a fluorescent motif into a cyclic structure is a promising approach to design stimuli-responsive luminescent molecular materials. We expect our findings to be general and applicable to cyclophanes comprising other luminescent cores and/or spacers. Thus, the present study opens the door to a new suite of stimuli responsive materials that can change their solid-state properties such as the here-reported luminescence characteristics and possibly other electronic or magnetic properties, on command.

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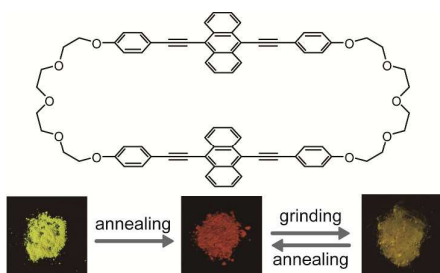
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TOC entry**A Mechano- and Thermo-responsive Luminescent Cyclophane**

The first mechano- and thermo-responsive luminescent cyclophane is described in this report.