Chemical Science

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemicalscience

Journal Name

ARTICLE

Received 00th January 20xx, Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

www.rsc.org/

What Determines if a Ligand Activates or Passivates a Superatom Cluster?

Zhixun Luo,^{a,b,*} Arthur C. Reber,^c Meiye Jia,^a William H. Blades,^c Shiv N. Khanna,^{c*} and A. W. Castleman, Jr.^{b,*}

Quantum confinement in small metal clusters leads to a bunching of states into electronic shells reminiscent of shells in atoms, enabling the classification of clusters as superatoms. The addition of ligands tunes the valence electron count of metal clusters and appears to serve as protecting groups preventing the etching of the metallic cores. Through a joint experimental and theoretical study of the reactivity of methanol with aluminum clusters ligated with iodine, we find that ligands enhance the stability of some clusters, however in some cases the electronegative ligand may perturb the charge density of the metallic core generating active sites that can lead to etching effect upon the cluster. The reactivity is driven by Lewis acid and Lewis base active sites that form through the selective positioning of the iodine and the structure of the aluminum core. This study enriches the general knowledge on clusters including offering insight into the stability of ligand protected clusters synthesized via wet chemistry.

Introduction

Considerable research over the past three decades has shown that small clusters containing few to a few hundred atoms exhibit novel properties that change non-monotonically with size and shape, offering the prospect for better functional materials.^{1, 2} Studies in cluster physics and cluster chemistry also provide information on the evolution in behaviour from the atomic scale to the solid state. The quantum states in small compact metal clusters group into shells that can be described within the confined Nearly Free Electron Gas (NFEG) model.³⁻⁹ The electronic states correspond to delocalized orbitals $1S^2$, $1P^6$, $1D^{10}$, $2S^2$... and when the valence electron count refers to a filled electronic shell, the cluster exhibits enhanced stability and reduced reactivity. The grouping of electronic states into shells and the associated stability and reactive patterns has enabled the description of clusters with well-defined valences as superatoms forming a new dimension to the periodic table of elements.

In the gas phase, electronic shells explain cluster reactivity with oxygen, where magic clusters with electron counts corresponding to closed electronic shells like Al_{13} and Al_{23} etc. were found to be resistant towards oxygen etching.¹⁰⁻¹⁴ For example, the ground state of Al_{13} with 40 valence electrons corresponds to the filled

shells of $| 1S^2 | 1P^6 | 1D^{10} 2S^2 | 1F^{14} 2P^6 |$ with a HOMO-LUMO gap of 1.83 eV to the 2D¹⁰ unfilled shells. The decrease in reactivity of these clusters with O₂ is largely associated with the triplet ground state of O₂ where the lowest unfilled orbitals are minority spin pi* orbitals. Any activation of the O2 molecule requires the filling of these minority pi* orbitals which entails a spin excitation of the cluster to conserve the overall spin of the reactive complex. This activation energy is determined by the HOMO-LUMO gap and this explains why clusters with filled electronic shells and large HOMO-LUMO gaps are found to be unreactive towards O_2 .^{15, 16} Through studies on the oxygen etching of Al_n^{-15} Mg_nAl_m⁻¹³ CuAl_n⁻¹⁷ Al_nH_m⁻¹ AI_nC ¹⁹ and AI_nB ² clusters,²⁰ it has been found that that clusters with HOMO-LUMO gaps exceeding 1.2 eV are all resistant to oxygen etching in flow tube experiments. Therefore, oxygen etching serves as a means to probe the filled electronic shells and the superatomic character of clusters.^{1, 21} Since the valence electron count can be controlled by adding halogens, further studies on reactivity of AI_nI_m clusters with oxygen showed that $Al_{13}l_{2n}\bar{}$ and $Al_{14}l_{2n+1}\bar{}$ (n=integer) clusters were resilient to reactivity with oxygen. $^{22,\,23}$ These results allowed the classification of Al₁₃ as a halogen superatom and Al₁₄ as an alkaline earth superatom.

Our studies on the reactivity of Al_n^- clusters with water, methanol and formaldehyde indicated that, unlike the case of atoms where a large HOMO-LUMO gap could lead to chemical inertness, the reactivity of superatoms with such polar molecules had a different fundamental origin.²⁴⁻³² Species that have a nonuniform distribution of charge density are marked by Lewis acid sites that accept charge and Lewis base sites that donate charge. The Lewis acidity, rather than the charge acceptance, is most important because in the reaction with water and alcohols, the lone pair of the Oxygen inserts into the aluminium cluster, so the cluster must accept an electron pair. The Clusters with these complementary Lewis acid/base pairs are highly reactive with protic species. Thus, the chemical stability of a small metal cluster is maximized when, i) the cluster has a closed electronic shell that will



AL SOCIETY Chemistry

^a State Key Laboratory for Structural Chemistry of Unstable and Stable Species, Institute of Chemistry, Chinese Academy of Sciences, Beijing, 100190, China.

^b Departments of Chemistry and Physics, The Pennsylvania State University, University Park, PA 16802, USA

^c Department of Physics, Virginia Commonwealth University, Richmond, VA 23284, USA.

⁺ *Z. Luo and A.C. Reber share the first authorship.*

^{*}Correspondence to: snkhanna@vcu.edu, awc@psu.edu, zxluo@iccas.ac.cn. Electronic Supplementary Information (ESI) available: [details of both experimental and theoretical (Figures S1-S37), energies and reaction coordinates for the $AI_{n}I_m^{-1}$ clusters, as well as coordinates for all these clusters]. See DOI: 10.1039/x0xx00000x

Chemical Science

Journal Name

ARTICLE

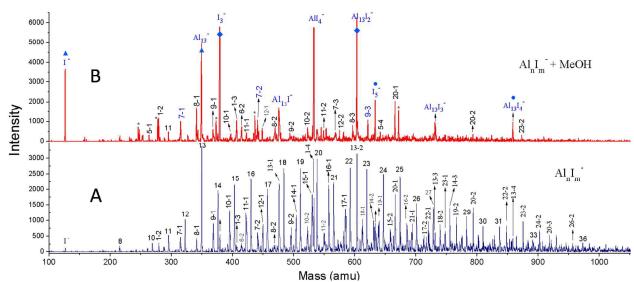


Figure 1. The reactivity of $Al_n I_m^-$ clusters with MeOH. A, The nascent mass spectrum of $Al_n I_m^-$ clusters. B, The spectrum of $Al_n I_m^-$ clusters after methanol etching. A few peaks marked with * refer to $Al_n(CH_3OH)_m^-$; while the peaks marked with \blacktriangle , \blacklozenge , \blacklozenge display the I⁻, Al_{13}^- ; I_3^- , $Al_{13}I_2^-$; I_5^- , and $Al_{13}I_4^-$ species respectively.

corresponds to a HOMO-LUMO gap larger than 1.2 eV; and ii) the charge density is evenly distributed over the surface of the cluster preventing the presence of active sites. These two criteria then make the cluster having other properties correlated with reactivity such as higher ionization potential, detachment energy and, as we show, reaction barriers. tected metal clusters synthesized via wet chemistry have also generated extensive interest, and the stability of these ligated clusters are also explained using the superatom concept.33-38 Ligands such as thiols, phosphines and halides surrounding the noble metallic core are used alter the electronic structure of the metal cluster leading to a delocalized electron count that corresponds to a filled electronic shell and are used as passivating and protecting groups.^{35, 37, 39-43} For example, several aluminium cluster assembled materials have been synthesized including those based on Al₇₇ and icosahedral Al₁₂ motifs.⁴⁴⁻⁴⁶ Al₇₇ is particularly interesting as the cluster has a highly spherical shape and an odd number of electrons and does not have a closed electronic shell.⁴⁶ Numerous ligand protected clusters have been synthesized by Schnöckel and co-workers revealing the rich chemistry of metalloids.^{47, 48} Irrespective of whether the cluster may be characterized as a metal or metalloid cluster, the fact is that these clusters form materials implies that electronic shell closure is not the only criteria for material assembly. Also, as we have shown, the selective positioning of the ligands can be used to distort the charge density over the surface of the aluminium cluster resulting in active sites.²⁸ The addition of a ligand can therefore protect a cluster enhancing stability or make it more reactive. The question is under what circumstances do ligands passivate or activate a superatom cluster?

We have identified two series of stable aluminium iodide clusters $AI_{13}I_n^{-}$ (n=0,2,4) and $AI_{14}I_m^{-}$ (m=3,5) by observing their stability in oxygen etching experiments.²³ Here we clarify how ligands can be used to control the reactivity of metal clusters. We have performed a synergistic experimental and theoretical study of the etching of Al_nI_m⁻ clusters with methanol. Due to its clean etching spectrum, and because its vapour pressure is higher than water, methanol was chosen as the etchant. The cluster reaction experiments were conducted in a fast flow reactor, and theoretical studies of the reactivity and active sites of $Al_n l_m^-$ were emphasized for n=7-14 and m=0-2. We find that $AI_{14}I_3^{-}$ is reactive due to its activated adatom structure, where an aluminium atom with iodine lies on top of the icosahedral core. This activated adatom is found to serve as a Lewis acid site. In contrast, the $Al_{13}I_{m}^{-}$ clusters (m=0-3) and $Al_7 l_2$ are unreactive with methanol because of their symmetric core and their even distribution of charges around the surface of the cluster. Our findings not only explain the stability and reactivity of aluminium iodide clusters, but also provide new insight into the fundamental mechanism that prevent etching and the origin of stability in ligated-metal clusters.

Materials and methods

Cluster producing and reactivity. The clusters were generated using a laser vaporization (LaVa) source,¹³ made of stainless steel, with an external motor to control the target rotation of AI rod (Kurt J. Lesker, 99.999% purity, Φ 6-mm), as well as a gas inlet connection to a constant flow of helium (Praxair, Inc., purity > 99.995%). Solid

Chemical Science

Journal Name

ARTICLE

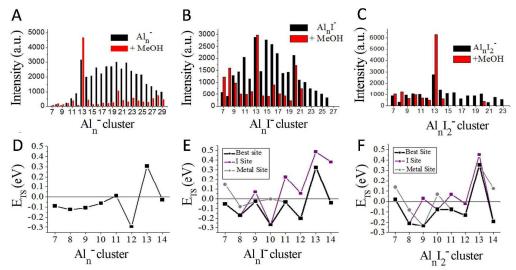


Figure 2. Ionic intensities and transition state energies (E_{TS}). A/B/C, The experimental ionic intensities of AI_n^- , AI_nI^- , and $AI_nI_2^-$ at the absence and presence of methanol, where the intensity values correspond to the integral areas of the correlated peaks of Figure 1. D/E/F, The calculated E_{TS} for the cleavage of the O-H bond of methanol for AI_n^- , AI_nI^- , and $AI_nI_2^-$. The structures and energetics are shown in Figure S10-S37. a.u. = arbitrary units.

iodine (Sigma-Aldrich, 99.999%) was added in the LaVa-source container to form aluminium iodide clusters. The outlet expansion nozzle was made of a Teflon tube (~2.5cm length) with an inner diameter of 0.32 cm. The pressure inside the source during operating conditions was kept at ~20 Torr, suggesting a Knudsen number of $\sim 2.8 \times 10^{-3}$ and a terminal Mach number of ~ 12.3 . The helium buffer gas introduced from the inlet of the source carried the clusters through the nozzle into a flow tube where they encountered and reacted with methanol (Sigma-Aldrich, >99.9%) at room temperature. The reactant methanol gas was introduced in the cluster beam ~30 cm downstream from the source (resulting in thermalized $Al_n l_m^-$ clusters) and allowed to react with the clusters over a 60-cm distance and time of ~8 ms. The reaction products were extracted into a differentially pumped ion guide vacuum system, and analysed via a quadrupole mass spectrometer (Extrel QMS). The pressure in the reaction flow-tube was kept at ~0.7 Torr, i.e., several hundred times of collisions for the related reactions.

Computational methods. Energy calculations of the ground state geometry, electronic structure, and transition state were carried out using a first principles density functional approach. The calculations used a linear combination of Slater type orbitals located at atomic sites using the Amsterdam Density Functional set of codes.⁴⁹ They were carried out using the TZ2P basis within the PBE gradient corrected density functional formalism.⁵⁰ The Zeroth Order Regular approximation was used for relativistic effects. All structures were fully optimized without constraints. The transition states were calculated using the linear transit approach, by a fixing the O-H bond distance over a range of distances and identifying the saddle point.

Results and Discussion

We have achieved a well-resolved mass spectrum of Al_nl_m (7<n<37, m=0-3) clusters by adding solid iodine into the LaVa source (~20 Torr pressures), as shown in Figure 1A. The Al_nl_m clusters display a normal distribution centred at Al₂₁, except for Al₁₃ which exhibits slightly enhanced stability. Aluminium readily reacts with iodine at room temperature and the formed aluminium iodides mainly cover $Al_n l_{1-3}$, with $Al_{13} l_1$ and $Al_{13} l_2$ as the most abundant. After the addition of methanol, the $Al_n I_m^-$ abundancies undergo a dramatic change due to etching, as shown in Figure 1B (for more details see Supplementary Information, Figure S1, S2). Peaks corresponding to $I_{,} I_{3}^{,}$, $AII_{4}^{,}$, and $I_{5}^{,}$ appear with enhanced intensities owing to the production of I^{-} in the etching reactions. Most of the $Al_n l_m$ clusters with sizes larger than n=13 disappear or display weakened intensities. We note that Al_{13}^{-} , $Al_{13}l_{2}^{-}$ and $Al_{13}l_{4}^{-}$ (as marked with \blacktriangle , \blacklozenge , \blacklozenge in Figure 1) display analogous stability to I⁻ and hypervalent I_3^{-}/I_5^{-} respectively surviving the methanol etching reactions $(AI_{13}^{-} \leftrightarrow X^{-}; AI_{13}I_{2}^{-} \leftrightarrow X_{3}^{-}; AI_{13}I_{4}^{-} \leftrightarrow X_{5}^{-}).$

Figure 2A, 2B, and 2C plot the intensities of $Al_n l_{0.2}^{-}$ clusters in the absence and presence of methanol. The intensities of $Al_7 l_7$, $Al_8 l_7$, $Al_8 l_2^{-}$, $Al_3 l_2^{-}$, $Al_3 l_2^{-}$ and $Al_{13} l_4^{-}$ are strengthened up to twice of their nascent peaks. $Al_7 l_2^{-}$, $Al_{20} l_7$, $Al_{13} l_7^{-}$, and $Al_{13} l_3^{-}$ (Fig. S3) display similar intensities before and after methanol etching. $Al_{13} l_3^{-}$ and $Al_{13} l_3^{-}$ have an odd number of electrons, confirming that a closed electronic shell is not necessary for a cluster to be resistant to methanol etching, $Al_{14} l_3^{-}$ was previously found to be resistant to oxygen etching, ²³ however the abundant $Al_1 l_4 l_3^{-}$ is almost completely

ARTICLE

depleted after methanol is introduced to the flow tube. Seen from Figure 2A, B and C, $Al_7l_1^-$ and $Al_7l_2^-$ have higher abundancies after methanol etching, away by methanol, showing that the addition of iodine may sometimes activate a cluster.

To understand the microscopic mechanism by which these clusters react with methanol, we have investigated the lowest energy structures for AI_nI_{0-2} (n=7-14), and also $AI_9I_3^-$ and $AI_{14}I_3^-$. Figure S4 and S5 show the structures, and Figure S6 shows the HOMO-LUMO gaps, aluminium and iodine binding energies. Several clusters have HOMO-LUMO gaps that are large enough that the cluster may be considered to have a closed electronic shell. For example, $AI_7I_2^-$ has a gap of 1.73 eV; AI_{13}^- and $AI_{13}I_2^-$ have gaps of 1.81 eV and 1.59 eV respectively, and $AI_{14}I_3^-$ has a gap of 1.35 eV. Previous studies have indicated that clusters with HOMO-LUMO gaps higher than 1.2 eV are generally non-reactive towards oxygen.¹³⁻¹⁵ The electronic structure of AI_{13}^- , $AI_{14}I_3^-$, and $AI_7I_2^-$ are shown in Figure S7 for reference. As pointed out in the introduction, these clusters are expected to be non-reactive with oxygen.

To understand the reactivity with methanol, we have calculated the lowest energy transition state for the breaking of the O-H bond on the clusters surface of Al_n⁻, Al_nl⁻ and Al_nl₂⁻ respectively. In our previous studies on Al_n⁻ clusters, we found that water and methanol only form complexes when the O-H bond is broken.²¹⁻²³ Figure 2D displays the transition state energies, E_{TS}, for the Al_n⁻ clusters. We have used the E_{TS}, as shown in Eq.1, as a measure of the reactivity in the gas phase. The reaction may proceed rapidly when the E_{TS} is negative, and the reaction will proceed slowly when the E_{TS} is positive.

 $E_{TS} = E(Al_n l_m (CH_3O-H)_{TS}^-) - E(CH_3OH) - E(Al_n l_m^-) \qquad (1)$ The E_{TS} is most applicable in gas phase reactions, because the energy gained by the adsorption of the reactant remains in the cluster. In solution, the activation energy, the energy difference between the methanol-cluster complex and the transition state, will be more important. This due to the fact that the energy gained by complex formation is more rapidly dissipated into the surrounding environment. Another way to consider this is, if the energy required to cleave the O-H bond is lower than the energy required for desorption of the molecule, than we expect O-H cleavage to be a likely product, and when desorption is the lower energy pathway, then O-H cleavage is unlikely.

We have plotted the lowest energy transition states for each cluster under two separate circumstances: when the oxygen of the methanol attaches to an unligated aluminium-aluminium site, and when the oxygen of the methanol attaches to a site corresponding to an aluminium atom that is bound to iodine. We find that AI_{11} and Al_{13} have positive E_{TS} values, which is consistent with the experimental finding that AI_{13} increases in intensity but AI_{11} shows resistance to reaction. The remaining clusters n=7-14 all show minimal abundance after methanol etching. For the Al_nl⁻ series in Figure 2B and 2E, we find only $AI_{13}I^{-}$ to have a positive E_{TS} , and $AI_{13}I^{-}$ is experimentally the most abundant species in the series. The binding energies of methanol are shown in Fig. S8. The experiment also finds Al₇I⁻ and Al₈I⁻ to have increased abundance, while theory finds them to be reactive indicating that these clusters are likely products of fragmentation of larger clusters. For the $Al_n l_2$ series in Figure 2C and 2F, theory finds that Al_7l_2 , and $Al_{13}l_2$ have positive E_{TS} , which is consistent with the experimental results by noting that $Al_{13}l_2$ is by far the most abundant cluster in this series while Al_7l_2 is the third most abundant.

We first examine the reactivity of the $Al_{13}l_m$ superatomic clusters with methanol. Figure 3A shows the reaction pathway for

4 | J. Name., 2012, 00, 1-3

 Al_{13} with methanol. Al_{13} has a closed electronic shell and an icosahedral geometric structure. The LUMO orbitals are plotted in blue, and the HOMO orbitals are plotted in red. The closed electronic shell demonstrates that the frontier orbitals are evenly distributed over the surface of the cluster, precluding the presence of active sites. The oxygen atom of methanol does not readily bind to the cluster, demonstrating that AI_{13} is not a good Lewis acid. The non-dissociative binding energy of methanol is only 0.23 eV, and the O atom prefers not to bind to the aluminium cluster. The E_{TS} is 0.31 eV indicating that this cluster is resistant to etching. The addition of iodine results in $Al_{13}I^{-}$ having a Lewis base site on the opposite side of the cluster as the iodine ligand site, while the LUMO density is evenly distributed over the surface of the metal cluster. The E_{TS} of $AI_{13}I^{-}$ is 0.32 eV, indicating that $AI_{13}I^{-}$ will also be resistant to methanol etching. When we investigate the cleavage of the O-H bond at the iodine site, we find that the E_{TS} increases to 0.49 eV. Thus, iodine fails to activate the Al_{13} cluster. The reason for this is that the Lewis acidity of the $\mathrm{Al}_{13}\mathrm{I}^-$ cluster is still poor because the induced active site is half filled and cannot serve as a Lewis acid, which accepts an electron pair. This weak Lewis acidity is indicated by the weak non-dissociative binding of methanol of only 0.16 eV.

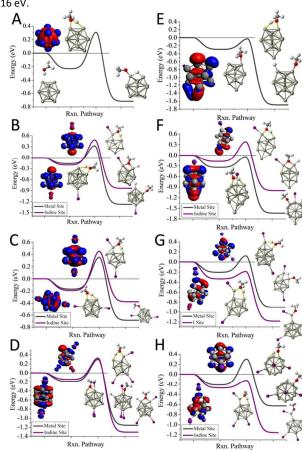


Figure 3. The reaction coordinates for $AI_{13}I_{0.3}^-$ and $AI_{14}I_{0.3}^-$. The reaction pathways with MeOH for A) AI_{13}^- , B) $AI_{13}I_-^-$, C) $AI_{13}I_2^-$, D) $AI_{13}I_3^-$, E) $AI_{14}I_-^-$, F) $AI_{14}I_-^-$, G) $AI_{14}I_2^-$, H) $AI_{14}I_3^-$. The red indicates the HOMO or near HOMO orbitals for degenerate orbitals, and Blue indicates LUMO or LUMO+1 orbitals that are fully unoccupied.

Journal Name

Journal Name

The reaction pathway of Al₁₃l₂⁻ is shown in Figure 3C, and the E_{TS} is 0.35 eV. The two iodine atoms lie on opposite sides of the cluster, quenching each other's induced active sites. Al₁₃l₂⁻ remains a poor Lewis acid, with the LUMO charge density evenly distributed over the icosahedral core of the cluster, and the binding energy being only 0.17 eV. Al₁₃l₃⁻ has an E_{TS} of 0.30 eV, and the lowest energy transition state lies at the metal site, with O binding to the induced active site, and the H atom binding to the HOMO-1. The addition of iodine to Al₁₃⁻ maintains the pure cluster's resistance to reaction with methanol because the cluster remains a poor Lewis acid due to its closed geometric shell. The high abundance of the Al₁₃l₃⁻, and Al₁₃l₂⁻ in the experiment after exposure to methanol confirms this hypothesis.

The reaction pathway of AI_{14}^{-} with methanol is shown in Figure 3E. This cluster has a 13-atom icosahedral structure with the 14th atom an adatom. The adatom induces a Lewis acid/base pair on the opposite side of the adatom, and the lowest energy transition state is found at this site. The E_{TS} is found to be -0.03 eV, indicating that the cluster is reactive. The non-disassociated methanol binding energy is 0.30 eV, implying that the cluster's Lewis Acid strength is typical for an aluminium cluster anion. The reaction coordinate for $AI_{14}I^{-}$ with methanol is shown in Figure 3F. The AI_{14} core of $AI_{14}I^{-}$ has a similar structure to pure Al_{14}^{-} , and the iodine atom is bound opposite site to the adatom. The frontier orbitals reveal a Lewis acid/base complementary active site adjacent to the iodine atom on the cluster. The lowest energy transition state is located at the complementary active site, and E_{TS} is -0.04 eV, implying a fast reaction with methanol, and the methanol binding energy is 0.34 eV, suggesting that the addition of Iodine makes the cluster a marginally better Lewis Acid than Al14. The lowest energy transition state at the icosahedral iodine site is found to be unreactive, with a transition state energy of 0.38 eV greater than the reactants. $Al_{14}l_2$ has a metallic core with a 13 atom icosahedron and an adatom, with one iodine bound to the adatom and another to an Al atom on the opposite side of the cluster. The E_{TS} at the iodine site is -0.19 eV, indicating that the cluster reacts rapidly (Figure 3G). The lowest energy transition state at the metal site is +0.12 eV, suggesting that the cluster will only react at the adatom iodine site. The electronic structure reveals that LUMO+3 orbital, that lies 0.53 eV above the LUMO, is localized on the adatom and is the orbital that serves as a Lewis acid site. $Al_{14}l_3$ has a similar geometry as $AI_{14}I_2$, with the third iodine atom added at a second icosahedral aluminium atom opposite the adatom. The E_{TS} at the iodine site is -0.12 eV, indicating that the cluster should react rapidly with methanol (Figure 3H). The E_{TS} at the metal site is +0.30 eV, revealing that $AI_{14}I_3^{-}$ will react rapidly only at the adatom site. The methanol will react rapidly at the ligand activated adatom sites of $AI_{14}I_2^{-}$ and $AI_{14}I_3^{-}$ while being slow to react at the metal sites, which is confirmed by the nearly complete etching of $AI_{14}I_2^-$ and $Al_{14}l_3$ in the experimental spectra.

To understand whether the addition of iodine can passivate a cluster as it gains a closed electronic shell, we investigate the Al₇I_{0.2}⁻ clusters. Al₇⁻ reacts readily with methanol in experiments, and has an E_{TS} of -0.09 eV as shown in Figure 4A. The structure of Al₇⁻ is an octahedron with an adatom. The frontier orbitals indicate that the Al atoms on the opposite side of the adatom may serve as complementary active sites. In Al₇⁻ the iodine atom adds to the Al adatom site, and the resulting activated adatom has a transition state energy of -0.05 eV, indicating that the cluster should react with methanol, as shown in Figure 4B. The metal site has a low energy transition state of +0.14 eV, suggesting that the addition of iodine has passivated the cluster's metallic core.

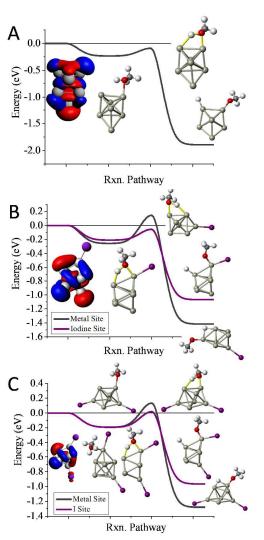


Figure 4. The reaction coordinates for $A|_{7|_{0-2}}$ with MeOH. The calculated reaction pathways for A) $A|_{7}$, B) $A|_{7}$, and C) $A|_{7}|_{2}$ with MeOH. The red indicates the HOMO or near HOMO orbitals for degenerate orbitals, and Blue indicates LUMO or LUMO+1 orbitals that are fully unoccupied. Rxn. Pathway is abbreviated for cluster reaction coordinate and pathway.

 $AI_{7}I_2^{-}$ is passivated with respect to methanol etching, with two iodine atoms on opposite sides of the cluster. The E_{TS} at the iodine site is +0.02 eV, greater than the energy of the reactants (Figure 4C). The transition state at the most reactive metal site is +0.13 eV indicating that the metal core is also passivated. The $AI_7I_2^{-}$ cluster still maintains its adatom-like geometry, however the addition of a second iodine ligand to balance out the first ligand increases the energy of the orbital localized on the adatom site, allowing this cluster to be less reactive. The relatively large abundance of $AI_7I_2^{-}$, paired with the low abundance of AI_7^{-} after methanol etching confirms our analysis.

One of the puzzles of the experimental mass spectra is the relatively large abundance of $Al_{gl_3}^-$ after methanol etching. Our investigations lead to 3 isomers, a ground state structure, and two structures with different iodine positions that are 0.03 eV and 0.06 eV higher in energy. As shown in Figure 5, we have also investigated the reaction pathway of the three lowest energy isomers of $Al_{gl_3}^-$.

ARTICLE

The lowest energy structure of $Al_9 l_3^-$, isomer A in Figure 5, has two adjacent iodine atoms located on the octahedral core, and a third located perpendicular to the first two iodines. A Lewis acid site is found on the opposite side of the cluster as the third iodine atom, and the E_{TS} is -0.16 eV, showing that with unbalanced iodine atoms, the cluster is reactive. A second isomer, B in Figure 5, has balanced ligands and lies 0.03 eV higher in energy than the ground state isomer. With the balanced ligands, the transition state for the O-H bond cleavage is only -0.02 eV, less than the energy of the reactants. This transition state is relatively high in energy making it likely to show some resistance to methanol etching. The relatively high abundance of the Al₉I₃ cluster is due to this isomer having some resistance to methanol etching. A third isomer C possesses unbalanced ligands and has a transition state energy of -0.03 eV. This may also contribute to the observed abundance. All three isomers contain isostructural metallic cores; however, variations in the precise positioning of the iodine ligand can result in dramatic variations of cluster reactivity.

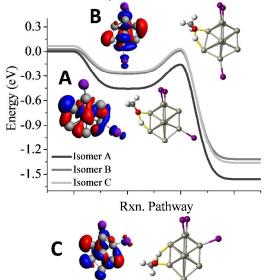


Figure 5. $Al_9l_3^-$ reactivity. Reaction pathway for the three lowest energy isomers of $Al_9l_3^-$ (A/B/C). The red indicates the HOMO, and the blue indicates LUMO+1. Rxn. Pathway is abbreviated for cluster reaction coordinate and pathway.

In addition, we discuss the fragmentation channels of $AI_nI_m^-$ after methanol etching. Experimentally, we see three prominent features, i) a decrease in the size distribution of the clusters, ii) the abundance of I^- , I_3^- , I_5^- , and AII_4^- all dramatically increase after the methanol etching, and iii) there is little abundance of aluminium clusters with methoxy, $AI_nOCH_3^-$. The calculated energies are shown in Figure S9 (Supplementary Information) in which positive energies correspond to an endothermic reaction, and negative energies correspond to an exothermic reaction. For AI_n^- , the loss of $AIOCH_3$, as seen in Eq. 2, is the most favourable pathway.

$$AI_{n}^{-} + CH_{3}OH \rightarrow AI_{n-1}H^{-} + AIOCH_{3}$$
(2)

This suggests that the product after methanol etching may be HAl_n . For $Al_n l_m$, the loss of l is a likely channel, and will produce a neutral cluster with a methoxy so it is not seen in the mass spectra.

$$AI_{n}I_{m}^{-} + CH_{3}OH \rightarrow HAI_{n}I_{m-1}OCH_{3} + I^{-}$$
(3)

The I⁻ loss is endothermic for the addition of a single methanol, however, our previous studies indicated that up to 4 methanol molecules could attach to an aluminium cluster which would generate enough energy to neutralize the cluster and release I⁻²⁷.

Page 6 of 8

This I- production is the origin of the I_3 , I_5 , and AII_4 peaks that are prominent in the etching spectra.

Conclusions

The addition of iodine ligands to aluminium superatoms may activate or passivate a cluster. To minimize the reactivity of a ligand-protected cluster, the metallic core should have a closed geometric shell with an even distribution of charges around the surface. Secondly, the ligands are most passivating when they are located in a balanced position on opposite sides of the metallic core. $AI_{14}I_3^-$ is found to have a closed electronic shell, however because the metallic core has an adatom site with an iodine atom, the cluster is activated with respect to methanol. $AI_{13}I_2$ has an icosahedral core with no adatom and balanced ligands, and is passivated to methanol. The position of the iodine may induce an active site on the opposite side of the cluster; Al₉I₃ is reactive when the iodine atoms are unbalanced, however when the three iodine atoms are balanced on opposite sides of the cluster, the cluster is passivated. Our work demonstrates that structural features are just as important as electronic shell closure, or even more important when the synthesis is done in an oxygen-free environment. To highlight this point, $AI_{50}Cp_{12}$ is a prominent example of a cluster that is remarkably stable under an inert atmosphere and can be isolated as atomic-precise clusters. This cluster has the hallmarks of stability with a spherical metallic core and balanced ligands. However, the HOMO-LUMO gap of this cluster is only 0.85 eV, and so it is quite reactive when exposed to O_2 .⁵¹ This work demonstrates that even if a cluster is protected by ligands, the cluster could be reactive when the geometric considerations are not met, revealing the dual roles of electronic structure and geometric structure on the stability of bare and ligand-protected nanoparticles.

Acknowledgements

We thank the financial support from CAS of China with Grant No. Y31M0112C1, and the Young Professionals Programme in Institute of Chemistry, Chinese Academy of Sciences (ICCAS-Y3297B1261). The theoretical work (ACR, WHB, and SNK) was supported by a funding from the Office of Naval Research through grant N00014-12-1-0541.

Notes and references

- A. W. Castleman, Jr. and S. N. Khanna, J. Phys. Chem. C, 2009, 113, 2664-2675.
- M. V. Kovalenko, L. Manna, A. Cabot, Z. Hens, D. V. Talapin, C. R. Kagan, V. I. Klimov, A. L. Rogach, P. Reiss, D. J. Milliron, P. Guyot-Sionnnest, G. Konstantatos, W. J. Parak, T. Hyeon, B. A. Korgel, C. B. Murray and W. Heiss, ACS Nano, 2015, 9, 1012-1057.
- W. D. Knight, K. Clemenger, W. A. de Heer, W. A. Saunders, M. Y. Chou and M. L. Cohen, *Physical Review Letters*, 1984, 52, 2141-2143.
- 4. W. A. de Heer, P. Milani and A. Chtelain, *Physical Review Letters*, 1989, **63**, 2834-2836.
- S. N. Khanna and P. Jena, *Physical Review B*, 1995, **51**, 13705-13716.
- C. Bartels, C. Hock, J. Huwer, R. Kuhnen, J. Schwöbel and B. von Issendorff, *Science*, 2009, **323**, 1323-1327.

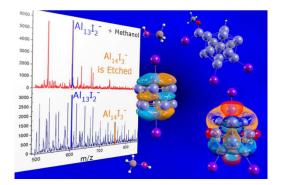
Journal Name

- X. Li, H. Wu, X.-B. Wang and L.-S. Wang, *Phys. Rev. Lett.*, 1998, **81**, 1909-1912.
- P. Andre Clayborne, O. Lopez-Acevedo, R. L. Whetten, H. Grönbeck and H. Häkkinen, *The Journal of Chemical Physics*, 2011, **135**, 094701.
- 9. O. Lopez-Acevedo, P. A. Clayborne and H. Häkkinen, *Physical Review B*, 2011, **84**, 035434.
- 10. R. E. Leuchtner, A. C. Harms and A. W. Castleman, Jr., J. Chem. Phys., 1989, **91**, 2753-2754.
- 11. R. E. Leuchtner, A. C. Harms and A. W. Castleman, Jr., J. Chem. Phys., 1991, **94**, 1093-1101.
- 12. S. A. Ruatta and S. L. Anderson, *The Journal of Chemical Physics*, 1988, **89**, 273-286.
- 13. Z. Luo, C. J. Grover, A. C. Reber, S. N. Khanna and A. W. Castleman, Jr., J. Am. Chem. Soc., 2013, **135**, 4307-4313.
- Z. Luo, G. U. Gamboa, J. C. Smith, A. C. Reber, J. U. Reveles, S. N. Khanna and A. W. Castleman, Jr., *J. Am. Chem. Soc.*, 2012, 134, 18973-18978.
- A. C. Reber, S. N. Khanna, P. J. Roach, W. H. Woodward and A. W. Castleman, *Journal of the American Chemical Society*, 2007, **129**, 16098-16101.
- R. Burgert, H. Schnöckel, A. Grubisic, X. Li, S. T. Stokes, K. H. Bowen, G. F. Ganteför, B. Kiran and P. Jena, *Science*, 2008, 319, 438-442.
- 17. P. J. Roach, W. H. Woodward, A. C. Reber, S. N. Khanna and A. W. Castleman, *Physical Review B*, 2010, **81**, 195404.
- P. J. Roach, A. C. Reber, W. H. Woodward, S. N. Khanna and A. W. Castleman, *Proceedings of the National Academy of Sciences*, 2007, **104**, 14565-14569.
- J. U. Reveles, S. N. Khanna, P. J. Roach and A. W. Castleman, Proceedings of the National Academy of Sciences, 2006, 103, 18405-18410.
- 20. J. C. Smith, A. C. Reber, S. N. Khanna and A. W. Castleman, The Journal of Physical Chemistry A, 2014, **118**, 8485-8492.
- 21. Z. Luo and A. W. Castleman, Jr., Acc. Chem. Res., 2014, 2931-2940.
- 22. D. E. Bergeron, A. W. Castleman, T. Morisato and S. N. Khanna, *Science*, 2004, **304**, 84-87.
- 23. D. E. Bergeron, P. J. Roach, A. W. Castleman, Jr., N. Jones and S. N. Khanna, *Science*, 2005, **307**, 231-235.
- 24. K. Shimamura, F. Shimojo, R. K. Kalia, A. Nakano, K.-i. Nomura and P. Vashishta, *Nano Letters*, 2014, **14**, 4090-4096.
- 25. P. J. Roach, W. H. Woodward, A. W. Castleman, Jr., A. C. Reber and S. N. Khanna, *Science*, 2009, **323**, 492-495.
- A. C. Reber, S. N. Khanna, P. J. Roach, W. H. Woodward and A. W. Castleman, *The Journal of Physical Chemistry A*, 2010, 114, 6071-6081.
- 27. A. C. Reber, P. J. Roach, W. H. Woodward, S. N. Khanna and A. W. Castleman, Jr., J. Phys. Chem. A, 2012, **116**, 8085-8091.
- M. B. Abreu, C. Powell, A. C. Reber and S. N. Khanna, *Journal* of the American Chemical Society, 2012, 134, 20507-20512.
- 29. W. H. Woodward, A. C. Reber, J. C. Smith, S. N. Khanna and A. W. Castleman, Jr., J. Phys. Chem. C, 2013, **117**, 7445-7450.
- 30. Z. Luo, J. C. Smith, C. Berkdemir and A. W. Castleman, Jr., *Chem. Phys. Lett.*, 2013, **590**, 63-68.
- D. M. Cox, D. J. Trevor, R. L. Whetten and A. Kaldor, *The Journal of Physical Chemistry*, 1988, 92, 421-429.
- 32. F. Shimojo, S. Ohmura, R. K. Kalia, A. Nakano and P. Vashishta, *Physical Review Letters*, 2010, **104**, 126102.
- M. Walter, J. Akola, O. Lopez-Acevedo, P. D. Jadzinsky, G. Calero, C. J. Ackerson, R. L. Whetten, H. Grönbeck and H. Häkkinen, *Proc. Natl. Acad. Sci. U. S. A.*, 2008, **105**, 9157-9162.
- 34. P. D. Jadzinsky, G. Calero, C. J. Ackerson, D. A. Bushnell and R. D. Kornberg, *Science*, 2007, **318**, 430-433.

- M. W. Heaven, A. Dass, P. S. White, K. M. Holt and R. W. Murray, *Journal of the American Chemical Society*, 2008, 130, 3754-3755.
- M. Zhu, C. M. Aikens, F. J. Hollander, G. C. Schatz and R. Jin, Journal of the American Chemical Society, 2008, 130, 5883-5885.
- 37. H. Hakkinen, Chem. Soc. Rev., 2008, 37, 1847-1859.
- 38. X. Liu, Y. Wu, S. Li, Y. Zhao, C. Yuan, M. Jia, Z. Luo, H. Fu and J. Yao, *RSC Adv.*, 2015, **5**, 30610-30616.
- 39. S. Malola and H. Häkkinen, *The Journal of Physical Chemistry Letters*, 2011, **2**, 2316-2321.
- 40. A. Dass, Journal of the American Chemical Society, 2009, **131**, 11666-11667.
- H. Yang, Y. Wang, H. Huang, L. Gell, L. Lehtovaara, S. Malola, H. Häkkinen and N. Zheng, *Nat. Commun.*, 2013, 4, 3422.
- 42. M. F. Bertino, Z.-M. Sun, R. Zhang and L.-S. Wang, *The Journal of Physical Chemistry B*, 2006, **110**, 21416-21418.
- 43. Y. Negishi, K. Nobusada and T. Tsukuda, *Journal of the American Chemical Society*, 2005, **127**, 5261-5270.
- 44. K.-W. Klinkhammer, W. Uhl, J. Wagner and W. Hiller, Angew. Chem. Int. Ed., 1991, **30**, 179-180.
- 45. P. Henke, N. Trapp, C. E. Anson and H. Schnöckel, Angew. Chem. Int. Ed., 2010, **49**, 3146-3150.
- 46. A. Ecker, E. Weckert and H. Schnockel, *Nature*, 1997, **387**, 379-381.
- 47. H. Schnöckel, Chem. Rev., 2010, 110, 4125-4163.
- 48. M. Neumaier, M. Olzmann, B. Kiran, K. H. Bowen, B. Eichhorn, S. T. Stokes, A. Buonaugurio, R. Burgert and H. Schnöckel, J. Am. Chem. Soc., 2014, **136**, 3607-3616.
- 49. G. te Velde, F. M. Bickelhaupt, E. J. Baerends, C. Fonseca Guerra, S. J. A. van Gisbergen, J. G. Snijders and T. Ziegler, *Journal of Computational Chemistry*, 2001, **22**, 931-967.
- 50. J. P. Perdew, K. Burke and M. Ernzerhof, *Physical Review Letters*, 1996, **77**, 3865-3868.
- P. A. Clayborne, O. Lopez-Acevedo, R. L. Whetten, H. Grönbeck and H. Häkkinen, *European Journal of Inorganic Chemistry*, 2011, 2011, 2649-2652.

ARTICLE

Table of Content Graphic



Page 8 of 8

This journal is ${\mathbb G}$ The Royal Society of Chemistry 20xx

8 | J. Name., 2012, 00, 1-3

Please do not adjust margins