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1	Submitted to Organic & Biomolecular Chemistry
2	Communication
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4	Curcumin-based Molecular Probe for Near-Infrared Fluorescence Imaging of Tau Fibrils in
5	Alzheimer's Disease <sup>†</sup>
6	
7	Kwang-su Park, <sup>1,‡</sup> Yujin Seo, <sup>1,‡</sup> Mi Kyoung Kim, <sup>1</sup> Kyungdo Kim, <sup>1</sup> Yun Kyung Kim, <sup>2,3</sup> Hyunah
8	Choo, <sup>2,3*</sup> and Youhoon Chong <sup>1,*</sup>
9	
10	<sup>1</sup> Department of Bioscience and Biotechnology, Bio/Molecular Informatics Center, Konkuk University,
11	Hwayang-dong, Gwangjin-gu, Seoul 143-701, Korea; <sup>2</sup> Center for Neuro-Medicine, Korea Institute of
12	Science and Technology, 39-1 Hawolgok-dong, Seoungbuk-gu, Seoul 136-791, Korea; <sup>3</sup> Department of
13	Biological Chemistry, Korea University of Science and Technology, Youseong-gu, Daejeon 305-350,
14	Korea
15	
16	<sup>†</sup> Electronic supplementary information (ESI) available: The synthetic procedures and characterization
17	of the new compounds, experimental details for the preparation of aggregated tau, fluorescence-based
18	tau-binding assay, evaluation of the fluorescent properties of the curcumin derivatives upon binding to
19	the tau fibrils, and detection of the tau aggregates in transfected SHSY-5Y cells.
20	
21	<sup>‡</sup> These two authors contributed equally on this work.
22	
23	*Corresponding authors
24	Hyunah Choo, Ph.D., E-mail: hchoo@kist.re.kr, Tel: +82-2-958-5157
25	Youhoon Chong, Ph.D., E-mail: chongy@konkuk.ac.kr, Tel: +82-2-2049-6100
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#### ABSTRACT

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29 In recent years, there has been growing interest in the near-infrared (NIR) fluorescence imaging of 30 tau fibrils for the early diagnosis of Alzheimer's disease (AD). In order to develop a curcumin-based 31 NIR fluorescent probe of tau fibrils, structural modification of the curcumin scaffold was attempted 32 by combining the following rationales: the curcumin derivative should preserve its binding affinity to 33 the tau fibrils, and, upon binding to the tau fibrils, the probe should show favorable fluorescent 34 properties. To meet these requirements, we designed a novel curcumin scaffold with various aromatic 35 substituents. Among the series, the curcumin derivative 1c with a (4-dimethylamino-2,6-36 dimethoxy)phenyl moiety showed a significant change in its fluorescent properties (fold increase in quantum yield, 22.9;  $K_d$ , 0.77  $\mu$ M;  $\lambda_{em}$ , 620 nm;  $\Phi$ , 0.32) after binding to tau fibrils. In addition, 37 38 fluorescence imaging of tau-green fluorescent protein-transfected SHSY-5Y cells with 1c confirmed 39 that 1c selectively detected tau fibrils in live cells.

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Alzheimer's disease (AD), which is the most common cause of dementia, is characterized by extracellular deposits of amyloid plaques and intraneuronal deposits of neurofibrillary tangles (NFTs).<sup>1</sup> Although there are well-defined pathological phenotypes, there is no single test to date that can diagnose AD. Definitive confirmation of a diagnosis of AD can be obtained only with a postmortem histopathological examination of A $\beta$  plaques and NFTs in a patient's brain. Therefore, great effort has been made to develop noninvasive methods to visualize A $\beta$  plaques and NFTs in order to assess disease progression and monitor the effectiveness of an anti-AD agent in patients with AD.

Among the diverse optical imaging techniques, fluorescence imaging at the near-infrared (NIR) 48 49 spectral region (650-900 nm) provides enormous potential as a noninvasive method for in vivo imaging. In the NIR region, biomolecules have low absorption and autofluorescence,<sup>2</sup> thus allowing 50 51 an optimal penetration depth and high sensitivity. Therefore, there has been an increasing demand for 52 new chemical entities that can be used as NIR fluorescence probes for the detection of A $\beta$  plaques and NFTs in AD. In particular, the NIR fluorescence labeling of NFT<sup>3</sup> is of special interest because 53 54 accumulated evidence has suggested that the severity of dementia correlates better with the load of tau fibrils than with A $\beta$ <sup>4</sup> Nevertheless, tau-targeting probes have emerged more slowly than A $\beta$  probes, 55 and only a handful of chemical entities that function as molecular probes for NFT<sup>5-8</sup> or tau 56 aggregates<sup>9-10</sup> have been identified. In terms of the NIR imaging probes of tau pathology, the 57 examples are much more limited: BODIPY-based Zn(II) complexes,<sup>5</sup> bis(arylvinyl)pyrimidines,<sup>9</sup> and 58 CvDPA2.<sup>10</sup> 59

60 Curcumin (Fig. 1) is a natural yellow pigment that is derived from rhizomes of Curcuma longa. In addition to its well-known health-promoting benefits, curcumin has recently been recognized as an 61 optical probe for the *in vivo* visualization of both Aβ plaques and NFTs.<sup>11-12</sup> However, the practical use 62 63 of curcumin as an NIR contrast agent is limited because of its fluorescence emission wavelength, 64 which is outside the NIR range (520 nm). In order to induce a redshift of the fluorescence emission, the HOMO-LUMO gap needs to be narrowed, and this is generally achieved by employing a push-65 66 pull architecture: a terminal electron-rich donor and electron-deficient acceptor groups that are bridged by a highly polarizable  $\pi$ -conjugated system.<sup>13</sup> In addition to redshifts, probes with the push-67

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68 pull architecture can be selectively turned on when they bind to the target because the nonlinear 69 optical properties that are associated with this architecture make the fluorescence intensity susceptible to the environmental changes that are conferred by target binding.<sup>14</sup> Curcumin derivatives with push-70 71 pull structures have been devised and tested as NIR fluorescence probes for the *in vivo* imaging of AD pathology, and this has culminated in the recent discovery of CRANAD-2 (Fig. 1)<sup>14</sup> and its 72 congeners<sup>15</sup> as NIR-A $\beta$  probes. The structure of CRANAD-2 is characterized by an aromatic *N*.*N*-73 74 dimethylamino substituent and difluoroboronyl functionality that act as an electron-rich donor and an 75 electron-deficient acceptor group, respectively. CRANAD-2 shows fluorescence emission in the NIR 76 range (805 nm), high affinity to A $\beta$ , and a drastic change in fluorescence properties (70-fold increase in intensity, 90 nm Stokes shift) upon binding to aggregated AB.<sup>14</sup> However, CRANAD-2 is not able 77 to detect tau aggregates, and, to the best of our knowledge, no curcumin-based NIR probe has been 78 79 reported to reveal tau fibrils.

80 Therefore, we were interested in developing a novel curcumin-based molecular probe that could be 81 utilized for the NIR imaging of tau fibrils. For this purpose, structural modification of the curcumin 82 scaffold was attempted by combining the following rationales: the curcumin derivative should 83 preserve the binding affinity to tau fibrils, and, upon binding to the tau fibrils, the probe should show 84 favorable fluorescence properties (emission wavelength in the NIR range and enhanced fluorescence 85 intensity). In order to meet these requirements, a novel curcumin scaffold was designed by structural 86 modification of CRANAD-2. (1) Through a structural comparison of CRANAD-2 and the recently reported tau-binding curcumin-sugar conjugate<sup>16</sup> (Fig. 1), we reasoned that the lack of tau-binding 87 88 capacity by CRANAD-2 can be attributed to the difluoroboron chelate of the 1,3-diketo functionality 89 of curcumin, and, thus, the 1,3-diketo functionality of the curcumin scaffold should be kept intact for 90 the recognition of tau fibrils. (2) In order to induce a redshift of the fluorescence emission, a  $N_{N}$ -91 dimethylamino group, which is a well-known donor group that increases the emission wavelengths of NIR probes.<sup>17</sup> was positioned at the *para*-position of the aromatic ring of curcumin. (3) In addition, to 92 93 enhance the tau binding as well as the fluorescence properties of the curcumin-based probes, the 94 heretofore unexplored role of the aromatic substituent was investigated by introducing various

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substituents at the *ortho* positions (R = Br, Cl, OMe, Me, H). Herein, we describe the preparation of a series of novel curcumin derivatives with a (4-dimethylamino-2,6-disubstituted)phenyl moiety (1, Fig. 1) and their capacity as fluorescent probes of tau fibrils.







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102 Synthesis of the title compounds (1a-1e) was accomplished by the aldol condensation of acetylacetone (6) with appropriately substituted benzaldehydes ( $5a-5e^{18}$  (Scheme 1). Other than the 103 104 commercially available 5e, the benzaldehydes 5a-5d were prepared in 1 to 4 steps starting from 2, 3b, 105 **3c**, or **4d**, respectively. Thus, the reductive removal of an amino group of 2,6-dibromo-4-nitroaniline 106 (2) via diazonium salt provided the aniline 3a, which was converted into the desired benzaldehyde 5a107 by N-methylation that was followed by a Vilsmeier-Haack reaction. Commercially available anilines 108 (3b and 3c) or N,N-dimethylaniline (4d) were used for preparation of the corresponding 109 benzaldehydes (5b, 5c, and 5d) by using the same reaction conditions. Generally, the curcumin 110 scaffold is constructed by the aldol condensation of benzaldehydes and acetylacetone in the presence 111 of boric oxide  $(B_2O_3)$ , tributyl borate  $[(^nBuO)_3B]$ , and butylamine  $(^nBuNH_2)$ , but this reaction results 112 in a low chemical yield. In this study, we modified the reaction conditions by heating the reaction 113 mixture in a sealed tube, and the synthesis of the curcumin derivatives (1a-1e) resulted in relatively





*Reagents and Conditions*: (a) NaNO<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub>, EtOH, 80 °C; (b) Fe, NH<sub>4</sub>Cl, H<sub>2</sub>O, acetone, 80 °C; (c) K<sub>2</sub>CO<sub>3</sub>, Mel, CH<sub>3</sub>CN, 65 °C or CH<sub>2</sub>O, NaBH<sub>3</sub>CN, AcOH; (d) POCl<sub>3</sub>, DMF, CH<sub>2</sub>Cl<sub>2</sub>, 0 °C; (e) **5a** - **5e**, B<sub>2</sub>O<sub>3</sub>, (<sup>*n*</sup>BuO)<sub>3</sub>B, <sup>*n*</sup>BuNH<sub>2</sub>, DMF

116 Scheme 1. Synthesis of the curcumin derivatives (1a–1e)

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118 The prepared curcumin derivatives were evaluated for their fluorescent properties upon binding to 119 preaggregated tau. The four-repeat tau construct K18 readily aggregated in the presence of heparin,<sup>19</sup> 120 and formation of the tau fibrils was confirmed by a thioflavin-S binding assay and atomic force 121 microscopy (Fig. S1  $\sim$  S3 in the Supplementary Information). In order to examine the change in the 122 fluorescent properties upon binding to tau fibrils, we compared the fluorescence spectra of the 123 curcumin derivatives before and after mixing with tau fibrils, and the fluorescent properties are 124 summarized in Table 1. In all of the cases, after mixing the curcumin derivatives with aggregated tau, 125 the fluorescence quantum yields increased 1.2- to 22.9-fold. Curcumin showed a 19.1-fold increase 126 and a Stokes shift (100 nm) in fluorescence upon binding to tau fibrils, but the maximum emission 127 was observed only at a short wavelength (520 nm). Among the series, the curcumin derivative 1c with 128 ortho-methoxy substituents showed the most notable fluorescent properties ( $\lambda_{em}$ , 620 nm; Stokes shift,

129	120 nm; 22.9-fold quantum yield increase), which indicated that it had the desired optical properties
130	of a useful fluorescence probe for tau fibrils. However, upon mixing with tau fibrils, other curcumin
131	derivatives with a bromo- (1a), chloro- (1b), or methyl- (1d) substituent showed only slightly
132	increased fluorescence quantum yields (1.2 $\sim$ 1.4 fold), and these were even smaller than that of the
133	unsubstituted analog (1e, 12.4 fold). On the other hand, the lipophilicity of the curcumin derivatives
134	showed that curcumin, 1a and 1c possess optimum ClogP values (2.17 $\sim$ 3.26) for penetration of
135	blood-brain barrier (BBB) but others (1b, 1d and 1e) are too lipophilic to cross BBB (5.72 ~ 7.08).

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Table 1. Changes in the fluorescence profiles of the curcumin probes (1a–1e) upon interaction with
 tau fibrils

	$\epsilon^{a}$ (M <sup>-1</sup> cm <sup>-1</sup> )	Unbound (free) <sup>b</sup>			Bound <sup>c</sup>			Fold	
Compd		$\lambda_{ex}^{d}$ (nm)	$\lambda_{em}^{e}$ (nm)	$QY^f$ ( $\Phi$ )	$\lambda_{ex}^{d}$ (nm)	$\lambda_{em}^{e}$ (nm)	$QY^f$ ( $\Phi$ )	increase <sup>g</sup>	ClogP <sup>h</sup>
Cur	54980	420	520	0.010	420	520	0.191	19.1	2.17
1a	43440	470	580	0.057	470	580	0.078	1.4	2.77
1b	52620	450	600	0.065	450	600	0.081	1.2	5.72
1c	52480	500	620	0.014	500	620	0.321	22.9	3.26
1d	32820	500	620	0.084	500	620	0.098	1.2	6.00
1e	55620	500	620	0.012	500	620	0.149	12.4	7.08

<sup>a</sup>Molar extinction coefficient measured in dimethylsulfoxide, <sup>b</sup>Fluorescence properties of the probe
 molecules measured in PBS without tau fibrils, <sup>c</sup>Fluorescence properties of the probe molecules
 measure in PBS with tau fibrils, <sup>d</sup>Maximum excitation wavelength of the probe, <sup>e</sup>Maximum emission
 wavelength of probe, <sup>f</sup>Quantum yield of the probe, <sup>g</sup>Fold increase = QY (bound) / QY (unbound, free),
 <sup>h</sup>ClogP was calculated by using ChemDraw Ultra 12.0.

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Comparison of the fluorescence spectra of curcumin and its derivatives upon binding to tau fibrils
(Fig. 2) provided a clue for understanding this interesting structure-property relationship. Compared

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147 to curcumin (Fig. 2a), the curcumin derivatives with small fluorescence fold increases (1a, 1b and 1d) 148 shared a common feature: strong native fluorescence in the absence of tau (broken lines in Fig. 2b, 2c, 149 and 2e). In addition, fluorescence from the curcumin derivatives 1a, 1b and 1d was not affected by 150 solvents while curcumin, 1c and 1e showed dramatic changes in fluorescence intensities in viscous 151 organic solvent (DMSO) (Fig. S4 in the Supplementary Information). The solvent-dependent 152 fluorescence of curcumin, 1c and 1e is highly reminiscent of molecular rotors whose fluorescence 153 emission increases when the internal free rotation is hindered due to the high viscosity of their 154 microenvironment. In this context, the fluorescence properties of the curcumin derivatives 155 investigated in this study can be attributed to their conformational flexibilities. Thus, sterically 156 demanding substituents, such as Br, Cl, or Me, might constrain the internal rotation of the curcumin 157 scaffold, and, as a result, the rigid conformations of the derivatives serve to intensify the fluorescence 158 in the free unbound state. By the same token, the relatively low native fluorescence of the 159 unsubstituted **1e** as well as the *ortho*-methoxy-substituted **1c** can be explained by their conformational 160 flexibility. In particular, the internal rotation of **1c** does not seem to be hindered by the *ortho*-methoxy 161 substituent due to the relatively small atomic size of the oxygen atom compared to the others (Br, Cl, 162 or  $CH_3$ ) as well as the bent conformation of the methoxy group, which might have resulted in the 163 relatively low native fluorescence of 1c (Fig. 2d).

164 On the other hand, upon mixing with the tau fibrils, all the curcumin derivatives showed significant 165 fluorescence. It is noteworthy that intense fluorescence was observed from 1c and 1e, which might be 166 attributed to rigidification of their conformation by binding to the tau fibrils. In order to quantitatively 167 evaluate the binding affinity of 1c to tau fibrils, an *in vitro* saturation-binding assay was conducted. 168 The apparent binding constant ( $K_d$ ) of 1c was determined by plotting the fluorescence maximum at 169 various concentrations of the probe (100, 10, 1, 0.5, 0.01, and 0.001  $\mu$ M) to preaggregated tau (50  $\mu$ M), and 1c ( $K_d$ , 0.77  $\mu$ M) bound to tau fibrils more favorably than thioflavin-S did ( $K_d$ , 1.90  $\mu$ M, Fig. 170 171 S1 in the Supplementary Information). Titration of 1c (50  $\mu$ M) with tau aggregates (Fig. S8 in the 172 Supplementary Information) showed that fluorescence staining of tau aggregates with 1c (lower limit of detection = 4 ng/mL) is as effective as the immunoassay (lower limit of detection = 1 ng/mL)<sup>20</sup> and 173

174	sensitive enough to detect the tau protein in its physiological concentration $(26 \sim 66 \text{ ng/mL})^{21}$ . The
175	fluorescence quantum yields ( $\Phi$ ) of curcumin, 1c, and 1e were obtained in comparison to fluorescein
176	isothiocyanate ( $\Phi$ , 0.52) at pH 8 (phosphate-buffered saline) and were estimated to be 0.19, 0.32, and
177	0.16, respectively. The two-fold increase in the quantum yield of 1c in comparison to curcumin or 1e
178	demonstrated that the methoxy substituent either strengthened the binding affinity to tau fibrils or
179	selectively rigidified the curcumin scaffold in the tau-bound state. As was the case of curcumin <sup>11-12</sup> ,
180	the curcumin derivative 1c was also effective in visualization of A $\beta$ and, upon mixing with A $\beta$ fibrils,
181	it showed intense fluorescence at 610 nm (Fig. S5 in the Supplementary Information).

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Fig. 2 Fluorescence intensities of (a) curcumin and (b–f) curcumin derivatives (1a–1e) (dashed line:
without tau aggregate, solid line: with tau aggregate) upon interaction with aggregated tau in PBS

186 In order to assess whether the curcumin derivative **1c** could monitor intracellular tau aggregation, 187 human neuroblastoma cells (SHSY-5Y) were transfected with a mammalian expression vector 188 expressing full-length human tau [pCMV6-htau40-green fluorescent protein (GFP)] and then treated 189 with 1c (Fig. 3). With confocal microscopic observation, the intracellular expression of tau-GFP was 190 confirmed by green fluorescence (Fig. 3a). It was noteworthy that circular vacuole-like subcellular 191 structures were observed in the transfected SHSY-5Y cells (arrows in Fig. 3a-3c), and this result was reminiscent of the findings in a previous report by Schaeffer et al.<sup>22</sup> that autophagic vacuoles are 192 193 colocalized with tau inclusions. The circular structures were also intensely stained by 1c (Fig. 3b), and 194 the merged image (Fig. 3c) clearly showed that GFP and 1c stained the same circular structures. In 195 contrast, the untransfected cells without tau expression did not show fluorescence even in the presence 196 of 1c (Fig. S7, A and B, in the Supplementary Information). Also, the confocal microscope images of 197 tau-GFP-transfected SHSY-5Y cells before treatment with 1c (Figure S7, C and D, in the 198 Supplementary Information) showed fluorescence from circular cellular compartment. In addition, 199 blurry fluorescence presumably from tau monomers or oligomers was also observed from the cells, 200 which is coincident with the colocalized images of GFP-tau and 1c (Fig. 3). Taken together, the 201 colocalization in the fluorescence microscopy images suggested that 1c specifically detected tau 202 aggregates in live cells.

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Fig. 3 Colocalization of tau aggregates and 1c in live cell imaging. Confocal images of tau-green fluorescent protein (GFP)-transfected SH-SY5Y cells after treatment with 1c showing dual staining for (a) GFP and (b) 1c. (c) Merged images with the sites of colocalization shown in yellow. The tau aggregates in the vacuole-like subcellular structures are indicated by white arrows.

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In summary, development of a novel curcumin-based NIR contrast agent to visualize tau fibrils was attempted. In order to optimize the fluorescent properties of the tau-binding probes, the 1,3-diketo 212 functionality of curcumin was kept intact while its aromatic moiety was substituted with various 213 functionalities at the para- and ortho- positions. The newly synthesized curcumin derivatives showed 214 fluorescence emissions at 580-620 nm but, presumably due to the rigid conformation, suffered from 215 high native fluorescence and thereby exhibited a small increase in fluorescence intensity upon binding 216 to tau fibrils. The only exception among the series was the curcumin derivative 1c with a methoxy 217 substituent, which showed a significant change in its fluorescent properties (fold increase in quantum 218 yield, 22.9;  $K_d$ , 0.77  $\mu$ M;  $\lambda_{em}$ , 620 nm;  $\Phi$ , 0.32) after binding to tau fibrils. The small atomic size of 219 oxygen and the bent conformation of the methoxy group might have contributed to the selective 220 rigidification of the tau-bound conformation of 1c while leaving the free and unbound conformation 221 unhindered. In addition, live cell imaging of the tau-GFP-transfected SHSY-5Y cells with 1c 222 confirmed that **1c** specifically detected tau aggregates in live cells. Taken together, the favorable 223 fluorescent properties upon binding to tau fibrils of **1c** suggested that it might be a promising NIR 224 fluorescent probe for noninvasive imaging in patients with AD.

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