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# Chemical modification of tannins to elaborate aromatic biobased macromolecular architectures

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## Abstract

Tannins are after lignins the most abundant source of natural aromatic biomolecules and can be an alternative feedstock for the elaboration of chemicals, building blocks to develop polymers and materials. Tannins are present in all vascular and some non-vascular plants. One of their major issues is the versatility according to their botanical origin, extraction and purification processes. During the last decades, tannins have been exploited and chemically modified for the development of new biobased polymers, thanks to their functionality brought by phenolic and aliphatic hydroxyl groups. After an historical overview, this review summarizes the different classes of tannins. Some generalities concerning the extraction techniques of tannins and the corresponding properties are also described. This review provides in detail the different chemical modifications of tannins which have been previously reported, with

corresponding pathways and applications. Finally, the main chemical pathways to obtain polymeric materials are more particularly presented.

## Keywords

Tannin, Phlorotannin, Biomass, Biopolymer, Adhesives, Foams

### 1. Introduction

After cellulose, hemicellulose and lignin, tannins are the most abundant compounds extracted from the biomass. Tannins are after lignins a major source of polyphenolic components with 160,000 tons potentially biosynthesized each year all over the world.<sup>1</sup> Tannins are found in various proportions in all vascular plants and in some non-vascular plants, such as algae. Their role in vascular plants is the defense against mushrooms or insects aggression.<sup>2</sup> In addition, the natural astringency of tannins makes the plant not easily digestible by animals.<sup>3</sup> In non-vascular plants, phlorotannins are oligomers of phloroglucinol (1,3,5-trihydroxybenzene) and are found mostly in brown algae (2% of dry mass)<sup>4,5</sup> and in some red algae.<sup>6</sup> Their chemical structures are similar to those of vascular plant tannins.<sup>7</sup> Phlorotannins have different metabolic roles,<sup>8</sup> such as cell wall construction,<sup>9</sup> marine herbivore defense *e.g.* against worms<sup>10</sup> and UV protection.<sup>11</sup>

Tannins are present in each cytoplasm of all vegetable cells.<sup>12</sup> Contrary to lignins, tannins are mainly in soft tissues of the plant such as sheets, needles or bark.<sup>13</sup> According to the botanical resource, tannins are stored in different zones of the plants:<sup>12</sup> as the bark in pine (*Pinus sp.*), oak (*Quercus sp.*) or mimosa (*Acacia mearnsii*), the sheets in gambier (*Uncaria Gambier*), or the wood in quebracho (*Schinopsis sp.*) and chestnut (*Castanea sp.*). Some tannins are very well known in everyday life, such as tannins from tea and wine.<sup>14-17</sup>

## 2. Tannin chemistry

### 2.1. Historical outline

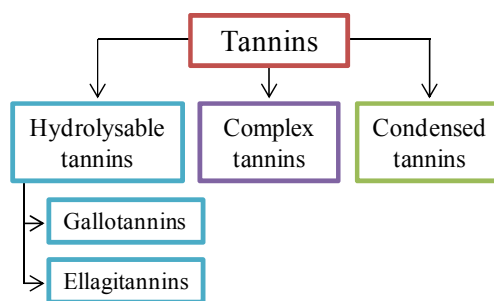
The term “tannin” indicates a plant material which allows the transformation of hide into leather.<sup>12</sup> The history of tannins has mainly began between 1790 and 1800.<sup>18</sup> At that time, there was no differentiation between tannin and gallic acid.<sup>19</sup> In 1787 Scheele discovered the acid gallic from oak bark. Dizé continued researches on galls in 1791 and Deyeux in 1793. They agreed that the isolated substance was a mixture of acid gallic, a green coloring compound and other molecules. In 1795, Seguin did tanning experiments with oak-bark and developed the tanning principle.<sup>20</sup> He concluded that when he boiled hide water with an oak-bark infusion, he obtained a light-colored precipitate. The latter was insoluble in water and became dark after light exposition. Thereafter, Berzelius prepared almost pure tannin in 1798. He used a decoction of galls which formed a yellow precipitate after an addition of tin dichloride. The precipitate was decomposed in water with sulphuric acid forming metal sulfide which precipitated leaving the astringent component in solution. Finally in 1834, Pelouze observed the formation of crystalline gallic acid from tannin after being boiled with sulphuric acid. In 1891, Trimble defined “tannin” as the whole class of astringent substances. Nowadays, the common definition of tannin is the one given in 1962 by Swain and Bate-Smith,<sup>21</sup> *i.e.*, tannins are water-soluble phenolic compounds with molecular weights between 500 and 3000 g.mol<sup>-1</sup>.

Tannin structures were studied in order to find their chemical organizations. The most difficult step was to obtain a pure substance, free from sugars. The early purified substances corresponded to digallic acid. In 1912, Fischer and Freudenberg showed that tannin structure was pentadigalloyl glucose which is the pentahydroxy gallic acid ester

of glucose.<sup>22</sup> Nowadays, there are still investigations to elucidate the chemical structures of different tannins.<sup>23-36</sup>

## 2.2. Classification and chemical structure of vascular plant tannins

The word “tannin” is used to define two classes of phenolic compounds with different chemical natures: hydrolysable tannins and condensed tannins.<sup>37</sup> Hydrolysable tannins are subdivided into gallotannins and ellagitannins. Another class can also be considered: the complex tannins.<sup>38</sup> All these different classes are presented in Figure 1.

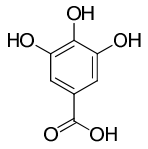
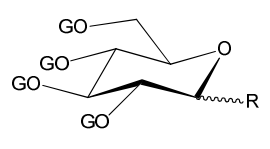
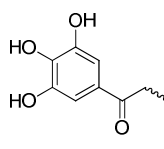
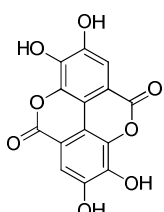
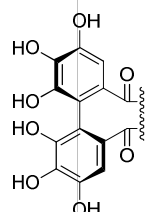
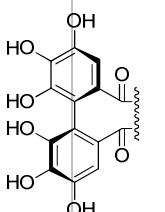
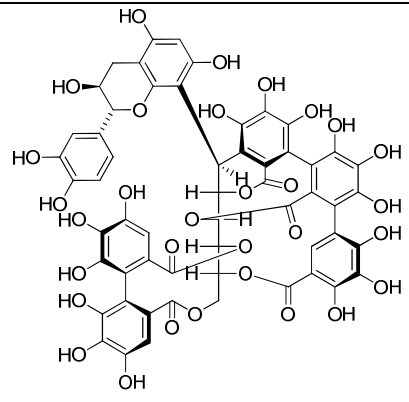
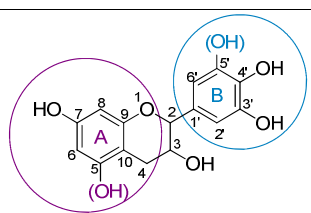


**Figure 1.** Tannins classification.

### 2.2.1. Hydrolysable tannins

Hydrolysable tannins are a mixture of phenols such as ellagic acid and gallic acid, esters of sugars (*i.e.*, glucose), gallic acid or digallic acid.<sup>39</sup> These tannins are divided into two families: the gallotannins which produce gallic acid and its derivatives from hydrolysis; and the ellagitannins which produce ellagic acid after hydrolysis (Table 1). These tannins are mainly used in the industry of tanning. The most used are tannins of chestnut and tara. They present a weak nucleophilicity. They also present a low availability with a limited worldwide production (less than 10% of the world's tannin commercial production) and a relative high price which makes them less attractive compared to condensed tannins.<sup>40</sup>

Table 1. Structure of vascular plant tannins.

Type of tannins		Examples of structures		
Hydrolysable tannins	Gallotannins	 gallic acid	 R = $\alpha, \beta$ -OH (1) TGG R = $\beta$ -OG (2) $\beta$ -PGG	 G = Galloyl unit
	Ellagitannins	 ellagic acid	 biaryl axis (3) HHDP	 biaryl axis
Complex tannins		 acutissimin A		
Condensed tannins		 Structure of monoflavonoid		

## 2.2.1.1. Gallotannins

The gallotannins are simple hydrolysable tannins resulting from polyphenolic and polyol residues. Although the nature of the polyol residues can vary, most gallotannins

are attached to saccharides. The hydroxyl functions (OH) of this polyol residue can be partly or completely substituted by galloyl units. If this substitution is partial, the remaining OH can be substituted or not by other residues. For example in Table 1, the gallotannins 2,3,4,6-tetra-*O*-galloyl-D-glucopyranose (TGG) and 1,2,3,4,6-penta-*O*-galloyl- $\beta$ -D-glucopyranose ( $\beta$ -PGG) which are present in many plants, are key intermediaries in the biosynthesis of the hydrolysable polyphenols.<sup>41</sup>

#### 2.2.1.2. Ellagitannins

The ellagitannins constitute the most known class of tannins with more than 500 identified natural products.<sup>42</sup> They are formed by gallotannins which lead to a monomeric unit with an axis of chirality after an oxidative coupling between at least two galloyl units. The basic monomer is the hexahydroxydiphenol (HHDP) presented in Table 1. The observed chirality is caused by the presence of bulky substituents located in the *ortho* positions of the biaryl axis and by the isomerism caused by the restricted rotation around this axis. Very often, esterification in *ortho* of the two carboxyl groups with a polyol (generally D-glucopyranose) is at the source of this chirality.

#### 2.2.2. Complex tannins

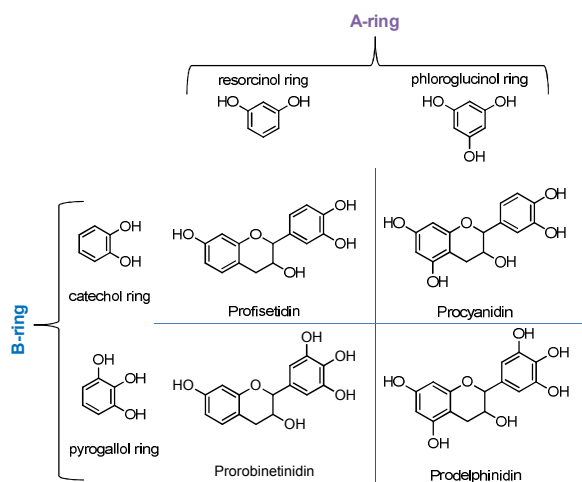
Complex tannins are formed from an ellagitannin unit and a flavan-3-ol unit.<sup>43</sup> An example of this kind of tannins is acutissimin A (Table 1), which is composed of a flavagallonyl unit connected to a polyol derived from D-glucose by a glucosidic connection in C-1 and three other ester bonds.<sup>44</sup>

#### 2.2.3. Condensed tannins

Condensed tannins represent more than 90% of the worldwide production of commercial tannins.<sup>37</sup> From 3 to 8 flavanoid repetition units are needed to call a compound condensed tannin. These tannins are also made up of associated precursors

(flavan-3-ol, flavan-3,4-diol), of carbohydrates as well as amino and imino acid traces.<sup>45</sup> These tannins are generally complexed with proteins.<sup>46,47</sup> Their faculty of complexation changes according to their chemical nature.<sup>48</sup> Each flavanoid is composed of two phenolic rings having different reactivities.<sup>12</sup>

The structure of monoflavanoide in Table 1 shows that it is possible to have two configurations for each ring: with or without OH in positions 5 and 5'. These various configurations generate four possibilities (Figure 2) and four corresponding basic building blocks from condensed tannins.<sup>49</sup>



**Figure 2.** Structures of the four monoflavonoid building blocks.

The flavanoids from condensed tannins are mostly derived from flavan-3-ol and flavan-3,4-diol.<sup>50</sup> The type of connection between the various flavanoid units depends on the nature of the rings. A resorcinol type A-ring (substitution by an OH in the *meta* position) of the flavan-3,4-diol as well as the oxygen in the heterocycle create high nucleophilicity on C6 and C8 positions. Thus, the units of condensed tannins are mainly connected through C4-C6 or C4-C8 bonds. C4-C6 is prevalent in tannins mainly composed of profisetidins and prorobinetidins. C4-C8 is prevalent in tannins mainly composed of procyanidins and prodelphinidins.<sup>51</sup>

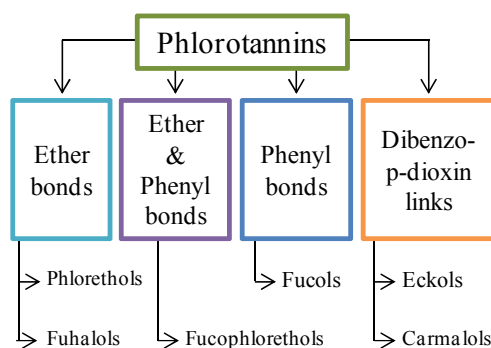


In a flavanoid, nucleophilic centers of A-ring are generally more reactive than those of B-ring. This is due to the position of the OH present on the rings, which are at the origin of this difference in reactivity. In the case of A-ring, they involve a located activation due to resonance structure leading to highly reactive nucleophilic center contrary to the case of B-ring.<sup>39</sup>

### 2.3. Non-vascular plant tannins

Phlorotannins are present in non-vascular plant tannins. They are formed by the polymerization of phloroglucinol (1,3,5-trihydroxybenzene) and have a large range of molar masses between 126 and 650,000 g.mol<sup>-1</sup>.<sup>4</sup> However, the molar mass generally varies between 10,000 to 100 000 g.mol<sup>-1</sup>.<sup>52</sup> Phlorotannins can be easily compared to condensed tannins from vascular plants. Their roles are relatively similar as well as their chemical reactivity. For example, phlorotannins can be associated to metal ions or various biomacromolecules such as proteins, glucides or nucleic acids. However, phlorotannins are not produced by the same biosynthesis way compared to other conventional tannins. That is because phloroglucinol derives from the condensation of acetate and malonate via an enzymatic reaction.

Phlorotannins can be subdivided in four classes according to the type of linkage between the phloroglucinol units (Figure 3). There can be either ether bonds (fuhalols and phlorethols), phenyl bonds (fucols) or both (fucophlorethols). Finally, the last type of linkage is dibenzo-*p*-dioxin connection (eckols and carmalols).<sup>6</sup>



**Figure 3.** Phlorotannins classification.

### 2.3.1. Phlorotannins with ether bonds

This category is composed of fuhalols and phlorethols, which both derive from phloroglucinol units connected together by aryl-ether bonds. The corresponding molecules can be linear or not. In case of the phlorethols, compounds are strictly made of phloroglucinol units. In the case of the fuhalols, the phloroglucinol units are connected by ether bonds having regular sequences in *ortho* and *para* positions and containing in all the rings an additional OH (Table 2).<sup>53</sup>

### 2.3.2. Phlorotannins with phenyl bonds

This class is made of compounds formed by phloroglucinol units which are only connected by aryl-aryl bonds. This group is essentially composed by fucols (Table 2).

### 2.3.3. Phlorotannins with ether and phenyl bonds

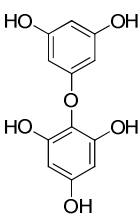
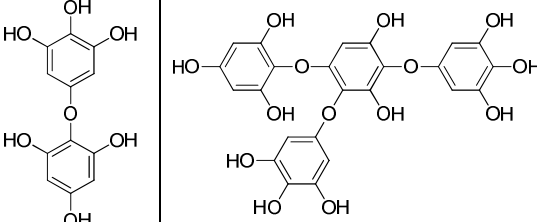
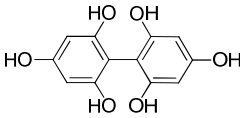
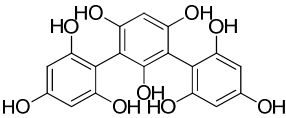
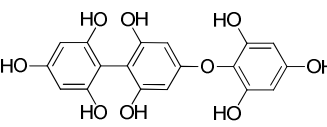
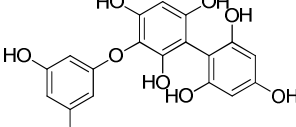
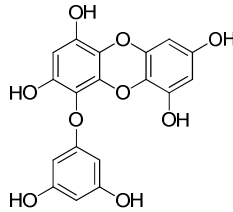
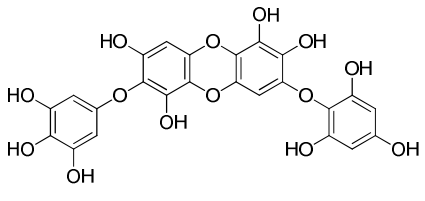
Some compounds are made of phloroglucinol units which are connected by ether-aryl and aryl-aryl bonds. These molecules are called fucophlorethols (Table 2).

### 2.3.4. Phlorotannins with dibenzo-*p*-dioxin links

This class is made up of two types of molecules. The eckols are made of at least half a molecule of dibenzo-*p*-dioxin substituted by phloroglucinol in C4 position. Carmalols

are composed of half of a dibenzo-*p*-dioxin molecule and phlorethol derivatives (Table 2).

**Table 2.** Structure of phlorotannins.

Types of phlorotannins	Examples of structures	
<b>Ether bonds</b>	 <p data-bbox="646 720 760 751">Diphlorethol</p>	 <p data-bbox="816 720 914 751">Bifuhalol</p> <p data-bbox="1092 720 1206 751">Trifuhalol C</p>
<b>Phenyl bonds</b>	 <p data-bbox="789 989 865 1020">Difucol</p>	 <p data-bbox="1081 989 1157 1020">Trifucol</p>
<b>Ether and phenyl bonds</b>	 <p data-bbox="740 1283 898 1314">Fucophlorethol A</p>	 <p data-bbox="1089 1283 1247 1314">Fucophlorethol B</p>
<b>Dibenzo-<i>p</i>-dioxin links</b>	 <p data-bbox="732 1587 789 1619">Eckol</p>	 <p data-bbox="984 1587 1252 1619">Diphlorethohydroxycarmanol</p>

### 3. Extraction of tannins

Plant preparation and extraction processes have a great influence on tannin extract composition. Phenolic compounds can be extracted from fresh, frozen or dried plant. Generally, after drying treatment, plants can be milled and homogenized.<sup>54,55</sup> Drying methods have a strong impact on the final composition of the tannin extracts, with *e.g.*, bonding with other compounds.<sup>56-58</sup> Under anaerobic conditions, the free tannin content increases slightly with the temperature, whereas under aerobic conditions, there is a strong decrease in the free tannin content. Lyophilized samples are similar to those dried at a lower temperature (25 and 45 °C). Compared with other drying methods, freeze-drying seems to be one of the best methods for preserving the molar masses of the condensed tannins,<sup>59</sup> extracting high levels of phenolic compounds<sup>60</sup> and preserving the native structures.<sup>61</sup>

Extraction methods are usually based on solvents. The industrial method of extraction traditionally used to recover tannins starting from vegetable substances is based on boiling water. The corresponding solution is then concentrated by evaporation.<sup>62</sup> Wood shavings are loaded in a series of autoclaves functioning by counter-current. This system of autoclaves is used in order to solubilize tannins contained in strong concentration in fresh wood shavings. This treatment, called scrubbing, is generally carried out with water at a fixed temperature (50-110 °C) and pressure (maximum 0.8 bar) in each autoclave during several hours (6-10 h) for a ratio water/wood equal to 2-2.4 in mass. Generally, this process leads to a solution containing 4-5% in weight of tannins with an extraction yield around 60-65%. After clearing by decantation, the tannin solution is concentrated by several evaporations under vacuum, to limit the oxidation of tannins, until obtaining the desired concentration (in general, 40-50% in weight). This last solution can be stored after addition of a stabilizing agent or it can

undergo other treatments like being reduced to dry powder by atomization, *i.e.* by spraying with hot air (90-96% of dry mass). Water can be used alone, but most of the time organic solvents with or without water such as ethanol,<sup>63-65</sup> methanol,<sup>66-68</sup> acetone,<sup>57,69-72</sup> ethyl acetate<sup>73</sup> or mixtures of these solvents<sup>74</sup> are used to increase the extraction efficiency.<sup>75</sup> To improve the extractive yield, alkaline solutions are also used. The most studied species is pine wood.<sup>76-82</sup> Effects of alkaline solution extraction is also the same on chestnut and eucalyptus species<sup>83</sup> or on cranberry pomace<sup>84</sup>. Another technique to increase the yield is acidic solvent. Strong acid like hydrochloric acid or weak acid like formic acid can be used.<sup>70,75,85,86</sup> However, these extractions methods need large volumes of organic solvents as well as long extraction times. That is why new methods have been developed over the past few years such as microwave-assisted extraction (MAE),<sup>87-91</sup> ultrasound-assisted extraction (UAE)<sup>92-95</sup> and pressurized liquid extraction (PLE) also called accelerated solvent extraction (ASE)<sup>96,97</sup> with water (subcritical water extraction: SWE)<sup>98,99</sup> or solvent (supercritical fluid extraction: SFE).<sup>100,101</sup> These new methods bring strong improvements in the tannins extraction.

The chemicals used depend on plant parts<sup>102,103</sup> or species<sup>25,64</sup> with variation of physical properties like polarity or solubility.<sup>104</sup> There are no universal extraction conditions. Each plant sample has an optimized solvent and extraction procedure.<sup>105</sup> The yield and the composition of extracts<sup>106,107</sup> rely totally on the type of solvents (polarities),<sup>108-110</sup> extraction time and temperature of process<sup>98,111,112</sup> and sample / solvent ratio.<sup>68,110</sup>

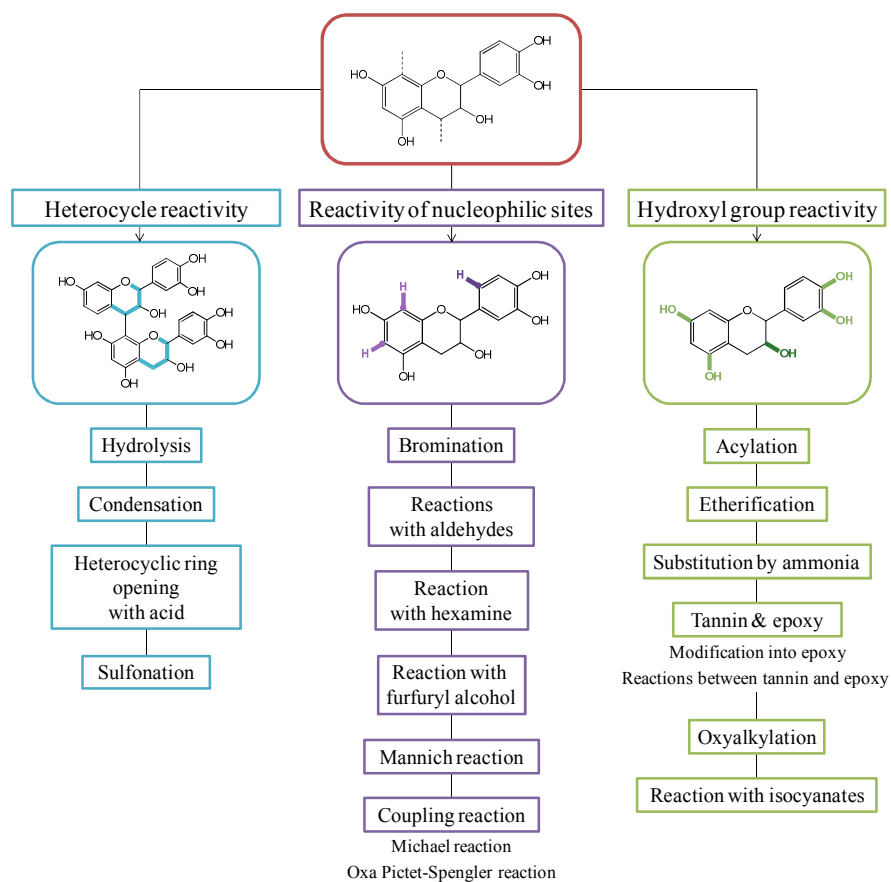
Most of the time, tannins are bound with other plant components such as carbohydrates or proteins, and during extraction, various plant components can be also extracted such as sugar or organic acids. Currently, these impurities are the biggest hindrance limiting a global and successful development of tannin as the biobased

resource to develop aromatic building blocks. To obtain “pure” tannins, additional and costly treatments are necessary to purify the extracted mixture like liquid-liquid extraction procedures or solid phase extractions.<sup>54</sup>

#### **4. Chemical modification**

##### **4.1. General background**

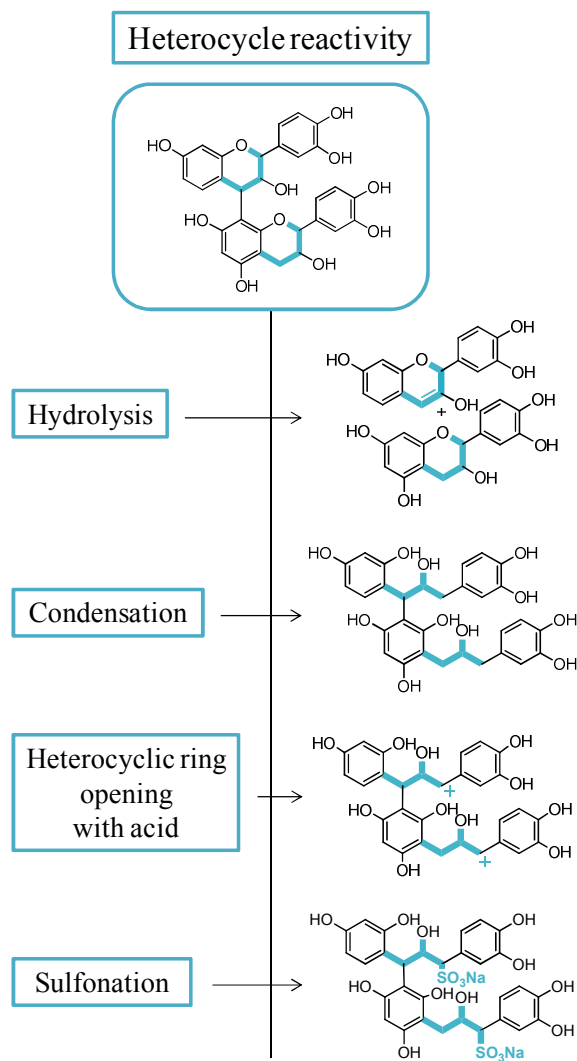
This section will be mainly focused on the chemical modification of condensed tannins. A wide variety of modifications, linked with their chemical structure, can be performed with these tannins. As presented in Figure 4, the main chemical modification can be classified in three main categories. The heterocycle can be opened and can lead to rearrangements of the chemical structure. Reactivity of nucleophilic sites, created by OH groups present on the aromatic rings, lead to electrophilic aromatic substitutions. Lastly, reactions can also take place directly with the OH. In each category, there are different reactions leading to new building blocks which have a great interest for polymer synthesis.



**Figure 4.** Various types of reaction with catechin, applicable to condensed tannins.

#### 4.2. Heterocycle reactivity

In both acidic and alkaline conditions, catalyzed rearrangements such as hydrolysis and autocondensation are common reactions for tannins (Figure 5). The cleavage of the interflavonoid bond can be also acid-catalyzed or induced by sulfonation reaction.

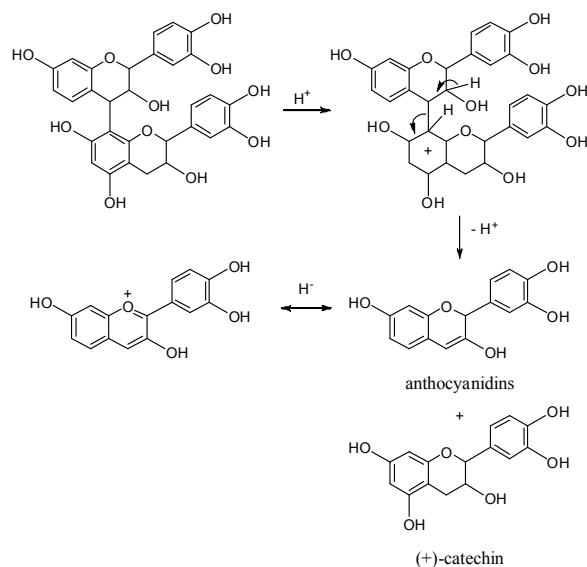


**Figure 5.** Summary of the chemical reactions from tannin heterocycle.

#### 4.2.1. Hydrolysis and autocondensation

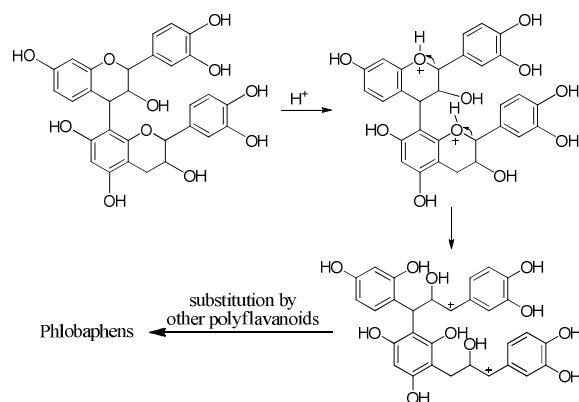
In strong acid condition, hydrolysis or autocondensation can occur. Degradation under acidic media leads to the formation of catechins and anthocyanidins. The example of biflavanoid is illustrated in Figure 6.<sup>113</sup>





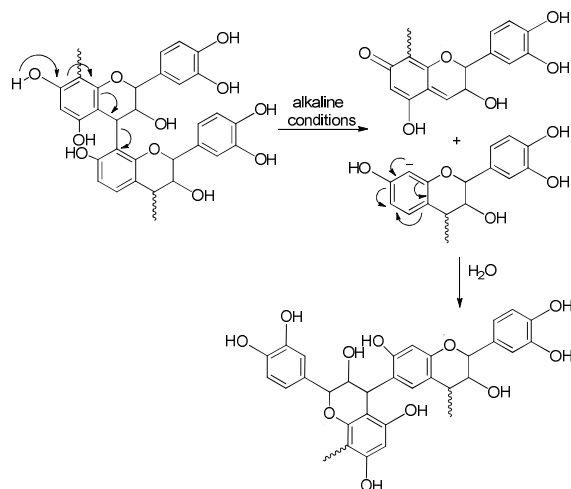
**Figure 6.** Degradation of tannins in catechins and anthocyanidins.

The second type of reaction is the condensation after heterocycle hydrolysis (Figure 7). The formed *p*-hydroxybenzylcarbonium ions condense on the nucleophilic sites of another tannin unit. Phlobaphens or red tannins are obtained.



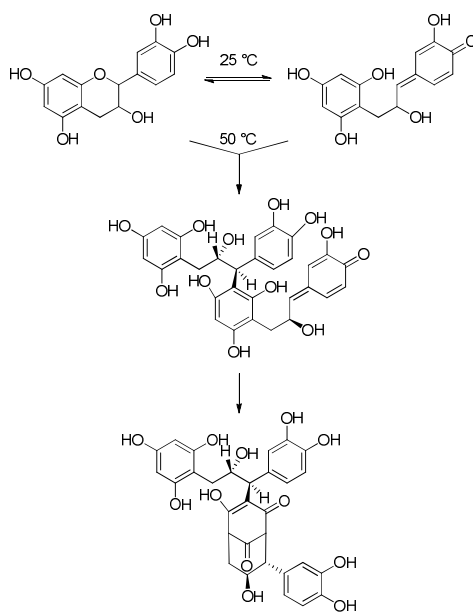
**Figure 7.** Acid autocondensation by hydrolysis of the heterocycles.

In the same way, in alkaline conditions different rearrangements are common. These rearrangements are based on the rupture of the interflavonoid C4-C8 bond. The reactivity depends on the nature of tannins. The formed products can autocondense, giving an alkaline condensation (Figure 8).<sup>114</sup>



**Figure 8.** Alkaline autocondensation.

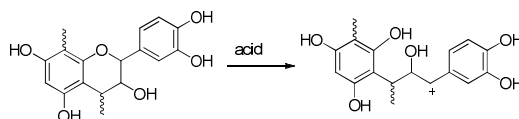
The second type of reaction is based on the opening of the heterocycle which increases the reactivity, resulting in a partial autocondensation, as for catechin monomers (Figure 9).<sup>115</sup>



**Figure 9.** Catechin rearrangement.

### 4.2.2. Heterocyclic ring opening with acid

The cleavage of the interflavonoid bonds can be acid-catalyzed. This leads to a heterocyclic ring opening with the formation of a carbocation (Figure 10), which can be captured by a nucleophile such as phenol, resorcinol, phloroglucinol or the phenolic rings of other flavonoid units present. Different acids were tested such as hydrochloric acid<sup>116</sup> or acetic acid catalyzed with benzyl mercaptan.<sup>117,118</sup>

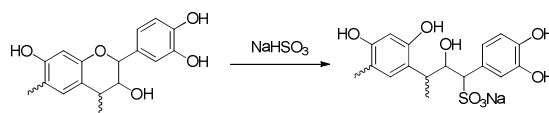


**Figure 10.** Treatment of mimosa tannin with acid.

To open the heterocycle, it is also possible to treat tannins with trichloroacetic acid<sup>119,120</sup> which is added in an aqueous solution of tannins, and then heated at 86 °C during 2 hours. After cooling, the reaction medium is neutralized by addition of a sodium hydroxide (NaOH) solution.

### 4.2.3. Sulfonation

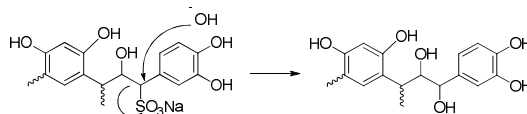
It is also possible to open the heterocycle by a sulfonation reaction.<sup>121-123</sup> The reaction takes place in the presence of sodium hydrogen sulfite or sodium hydrogenosulfate with insertion of a sulphonic group in position 2 after the heterocyclic ring opening (Figure 11). This polar group increases the solubility and decreases the viscosity of tannins.



**Figure 11.** Sulfonation reaction of tannin.

This reaction is often used to facilitate the tannin extraction. Sulfited tannins can be used to produce formaldehyde resins.<sup>124</sup> However, sulfated tannins are moisture

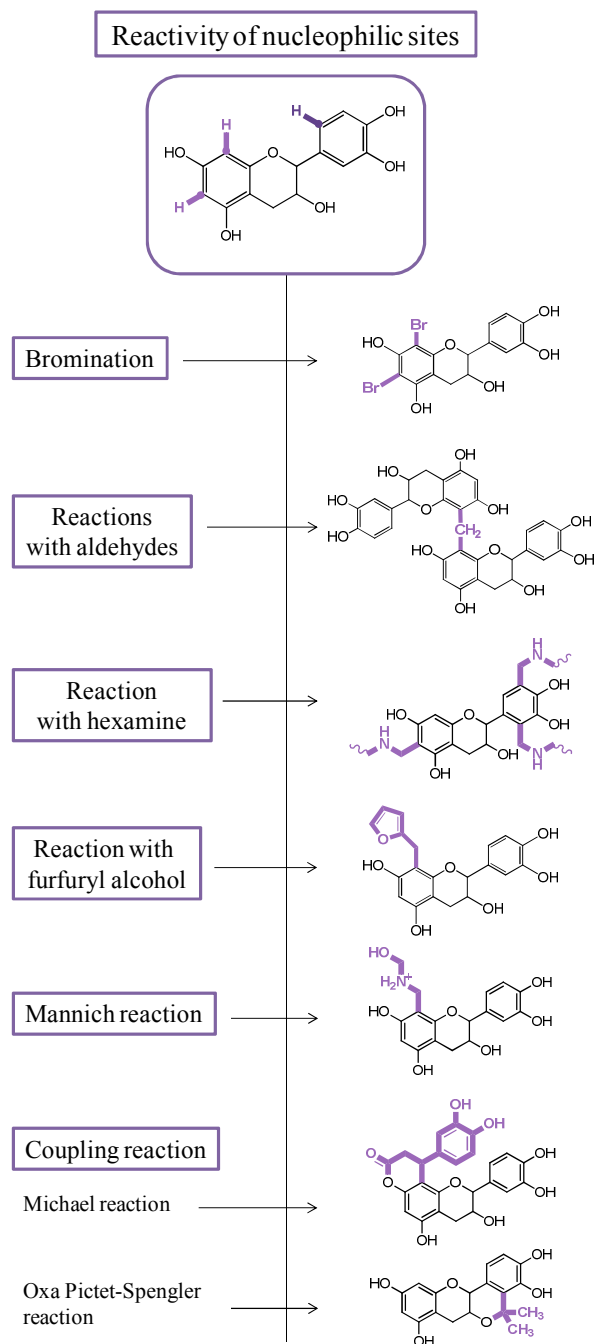
sensitive. When they are submitted to strongly alkaline conditions, the sulphonic group can be substituted by a OH in the presence of strong alkali via a bimolecular nucleophilic substitution ( $S_N2$ ) reaction (Figure 12).



**Figure 12.** Substitution of sulphonic group by OH.

#### 4.3. Reactivity of nucleophilic sites

Nucleophilic sites are present in the tannin structure due to the phenol groups. Indeed, electrophilic aromatic substitutions can occur. In fact, OH is an electron donor which can be intensified under basic conditions in which a phenoxide ion is formed. Bromination reaction was studied to understand this reactivity. Then, different modifications were investigated to tune the tannin structure. Modifications were performed with hexamine, furfuryl alcohol, ethanol-amine and different aldehydes. Due to the aromatic structure, the coupling reaction can also occur with carboxylic acid and aldehydes. All these modifications are presented in Figure 13.

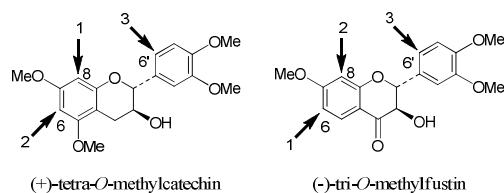


**Figure 13.** Summary of the chemical reactivity of tannin nucleophilic sites.

#### 4.3.1. Bromination

To study the reactivity as well as the accessibility of the flavanoids, some model molecules resulting from phloroglucinol and resorcinol have been selectively brominated in pyridine<sup>49</sup>. Phenol groups are *ortho* and *para* directing. Thus, on A-ring,

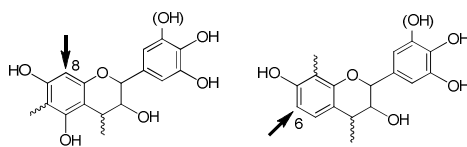
C6 and C8 are both activated by the phenol groups. In the case of (+)-tetra-*O*-methylcatechin, bromination is done preferentially in C8 position. When this site is occupied, substitution is performed in C6 position since the B-ring is less reactive. In the case of an excess of the bromination reagent, the reaction can slightly take place in C6' position. Thus, the sequence of bromination is the following: C8, C6, and then C6'. However, for equivalent resorcinol forms, such as (-)-tri-*O*-methylfustin, the bromination sequence is different with C6, C8 and finally C6'. Preferential sites are presented Figure 14 depending on the flavonoids.



**Figure 14.** Bromination reactive sites of flavonoids.

This preferential substitution in C8 vs. C6 is certainly related to the accessibility of the sites for each type of flavanoids (phloroglucinol and resorcinol, respectively).

#### 4.3.2. Reactions with aldehydes

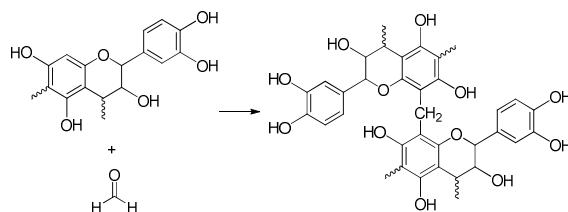


**Figure 15.** Formaldehyde reactive sites.

In the case of adhesives and foams preparation, formaldehyde is the most commonly used aldehyde (see sections 5.1 and 5.2). With condensed tannins, formaldehyde reacts mainly with A-ring to form methylene interlinks. When A-ring is resorcinol type, the reactive site is in C8 position, whereas, when A-ring is phloroglucinol type, the reactive

site is in C6 position (Figure 15).<sup>121</sup> When alkaline conditions are used (at pH = 8), the nucleophilicity of tannins phenols is activated with the formation of phenoxide ions.

The B-ring (pyrogallol or catechol) is less reactive compared to A-ring. Indeed, activation due to the presence of phenol groups on the B-ring is not located on a carbon contrary to A-ring whose activated sites are on C6 and C8. However, at high pH (approximately 10), the B-ring can be activated by anion formation. Different tannins varieties and wastewater from tanning process have been successfully tested.<sup>78,124-129</sup> Thus, it is possible to carry out this reaction regardless of the type of tannin.



**Figure 16.** Reaction between tannin and formaldehyde.

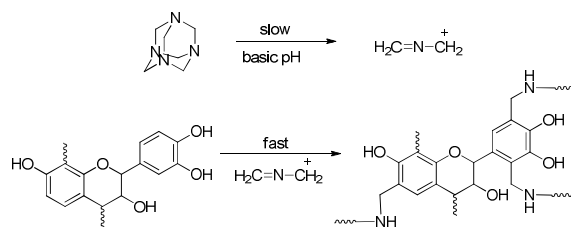
The reaction between A-ring and the formaldehyde (Figure 16) leads to the creation of a cross-linked three-dimensional network with a decrease of the molecules mobility. With a small degree of condensation, the size and the configuration of the molecules do not allow the creation of extra methylene bonds because the reactive sites are too far apart.

The kinetic differences between formaldehyde and other aldehydes were studied on tannins of pine and mimosa.<sup>125</sup> Formaldehyde exhibits the fastest kinetics compared to other aldehydes (acetaldehyde, propionaldehyde, *iso*-butyraldehyde, *n*-butyraldehyde or furfural) due to their steric hindrance.

#### 4.3.3. Reaction with the hexamine

To avoid the toxic formaldehyde, hexamethylenetetramine, also called hexamine, was studied as a substitute. When in acidic conditions, hexamine is decomposed into

formaldehyde and ammonia<sup>130</sup> whereas in alkaline conditions, it decomposes into formaldehyde and triethylamine.<sup>131</sup> However, in certain conditions, it is possible to avoid the production of formaldehyde from hexamine. When molecules with nucleophilic sites such as tannins are present in the medium, amino-imines groups are formed and react with the phenolic compounds (Figure 17). The very fast reaction between amino-methylene bases and tannins prevents the formation of formaldehyde.<sup>132</sup> This reaction leads to the formation of benzylamine bonds on the tannins molecules.



**Figure 17.** Decomposition in alkaline conditions of the hexamine in methylene imine-amine, which reacts with tannins.

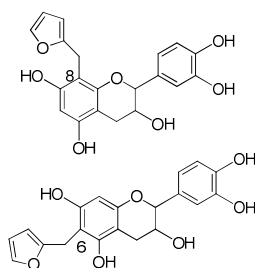
The decomposition of the hexamine strongly depends on pH which also has an influence on tannin reactivity. A study was also performed on autocondensation between hexamine and mimosa (condensed tannins) or chestnut tannins (hydrolysable tannins). It was shown that pH has a great influence on the reactivity.<sup>133</sup> At high pH, hexamine decomposition is faster than its reaction with tannin. In this case, it is possible to form formaldehyde due to the decomposition of amino-methylene.

#### 4.3.4. Reaction with furfuryl alcohol

Tannin can react with furfuryl alcohol to give intermediates for further synthesis with *e.g.*, formaldehyde. Furfuryl alcohol is a bio-sourced heterocyclic alcohol derived from hemicellulose. The reaction was studied on catechin and was carried out in the presence of acetic acid at 100 °C. The acidity of the reactive medium is necessary because at low pH, furfuryl alcohol reacts mainly on itself.<sup>134</sup> After purification, two products were



obtained. Catechin is substituted by the furanyl group in C8 position and in C6 with a yield of 4 and 1.5%, respectively (Figure 18).

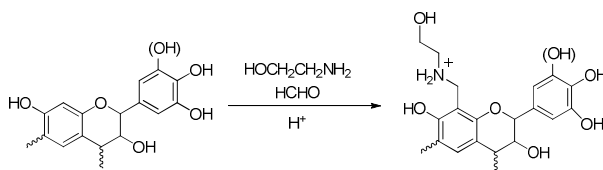


**Figure 18.** Products of the reaction between catechin and furfuryl alcohol.

However, these reactions show low yields. This is due to the self-condensation of furfuryl alcohol in acid conditions, leading to poly(furfuryl alcohol).<sup>135</sup>

Some studies used formaldehyde and furfuryl alcohol to improve the alcohol reactivity.<sup>136</sup> Indeed, furfuryl alcohol can be converted into 2,5-bis(hydroxymethyl)furan by formylation. This latter is commonly used mixed with tannin as reactive to produce foams.

#### 4.3.5. Mannich reaction



**Figure 19.** Mannich reaction with tannins.

Under strong acid conditions, tannins can react with ethanol-amines according to the Mannich reaction (Figure 19), and then give amphoteric tannins, which are water soluble.<sup>121</sup> These new compounds have flocculating properties and are used in water treatment plants to eliminate clay suspensions. After a Mannich reaction and an

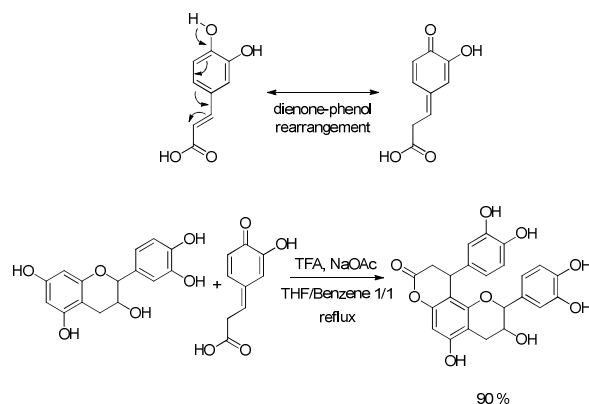
alkylation, the quebracho tannins have flocculating properties necessary to bleach waste waters.<sup>137</sup>

To understand the reactivity of this system, a study was performed on quercetin with various secondary amines such as diethylamine or piperidin. Depending on the ratio amine/formaldehyde used, it is possible to obtain mono- or di-(aminomethyl) quercetin (yield: 45% and 46%, respectively).<sup>138</sup>

#### 4.3.6. Coupling reaction

##### 4.3.6.1. Michael reaction

Phenylpropanoids can be grafted on A-ring of catechin (Figure 20). This synthesis is based on a two-step pathway. A dienone-phenol rearrangement is followed by a Michael reaction type coupling.<sup>139</sup>



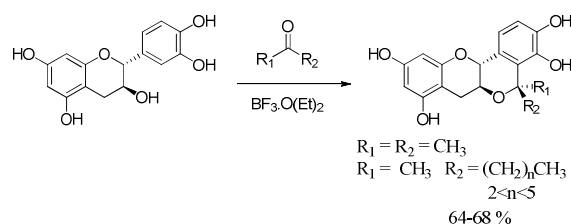
**Figure 20.** Dienone-phenol rearrangement and reaction between catechin and caffeic acid.

The reaction is catalyzed by trifluoroacetic acid (TFA) and sodium acetate (NaOAc). The best yields were obtained for a mixture of tetrahydrofuran (THF)/Benzene 1/1 (v/v). Others compounds were tested such as cinnamic acid or *p*-methoxycinnamic acid but the coupling reaction did not occur. This was due to the absence of the *p*-hydroxyl group on these molecules, which would have provided the formation of the intermediate

through dienone-phenol rearrangement (tautomerism). This intermediate would then react with flavan-3-ol as a Michael acceptor.

#### 4.3.6.2. Oxa-Pictet-Spengler reaction

This reaction is derived from Pictet and Spengler reaction which consists of  $\beta$ -arylethylamine cyclization after condensation with an aldehyde in presence of an acidic catalyst. In the case of the reaction oxa-Pictet-Spengler, the nitrogen is replaced by an oxygen atom (Figure 21). This reaction was done with different ketones and catechins.<sup>140,141</sup>



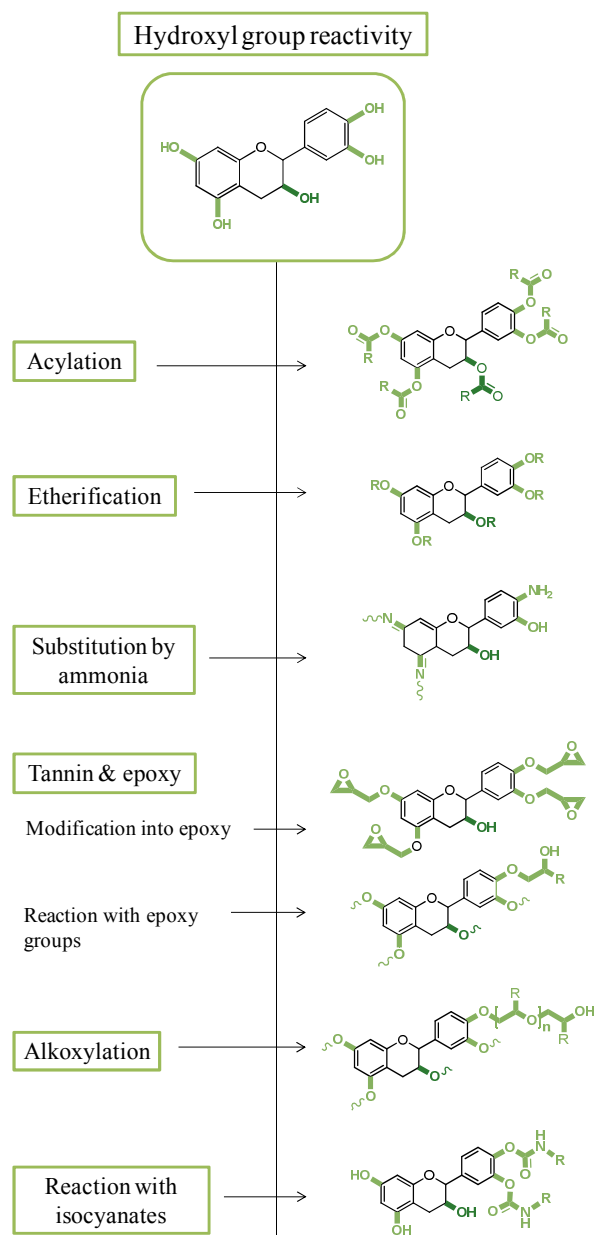
**Figure 21.** Oxa-Pictet-Spengler reaction of catechin and various ketones.

The conventional catalyst is boron trifluoride etherate ( $\text{BF}_3 \cdot \text{O}(\text{Et})_2$ ). Catechin, ketone and catalyst are mixed during 48h at room temperature under nitrogen atmosphere to form  $\beta$ -arylethylamine cyclization.

#### 4.4. Functionalization of the hydroxyl groups

Phenolic and aliphatic hydroxyl (OH) groups are reactive functions which can be modified to obtain several tannin derivatives. Tannin can be modified to increase the OH chemical reactivity or its solubility in organic solvents, or to improve its processing. Two main strategies are possible to obtain new building blocks from tannin: (i) changing the nature of the reactive sites or (ii) increasing the hydroxyl group reactivity. The phenolic hydroxyl groups are the most active groups. Different modifications such as acylation, etherification, substitution by ammonia and reactions with epoxy groups or with isocyanates were performed and investigated (Figure 22). The reactivity and the

availability of phenolic functions can be increased by modification into epoxy, which can be then transformed into aliphatic hydroxyl groups.



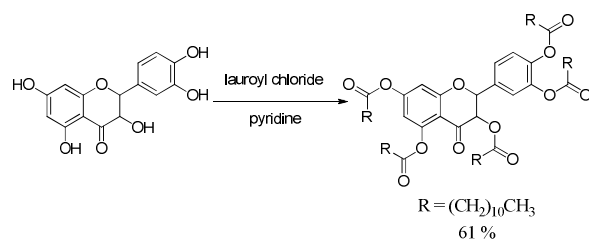
**Figure 22.** Summary of the chemical reaction with tannin OH groups.

#### 4.4.1. Acylation

Acylation of tannins is a well-known method usually employed for the tannins characterization. After reaction with acetic anhydride in pyridine, tannins become

soluble in organic solvents<sup>142</sup> and then, can be analyzed *e.g.*, by NMR.<sup>143</sup> All OH (phenolic and aliphatic) are subjected to acylation.<sup>144</sup> Whatever the level of acylation, the derivate of tannin loses its water solubility and melts at around 150 °C.

Acylation agents are either carboxylic acids, or more reactive derivatives, such as acyl chlorides or acid anhydrides.<sup>145</sup> Esters can also be used for transesterification reactions. If an acid is used, it is possible to form the corresponding anhydride in-situ in the presence of *N,N'*-dicyclohexylcarbodiimide. Solvents generally used are toluene, pyridine, chloroform or acetone to solubilize, at least partially, the polyphenolic compound. The reaction was carried out in the presence of a base in order to activate the hydroxyl groups. Bases were selected from organic or inorganic bases, such as pyridine, or potassium carbonate, respectively, when another solvent was used. The reaction preferentially takes place at solvent reflux temperature until full esterification. This reaction is considered as an opportunity to enhance the lipophilicity of tannins. For example, Figure 23 presents the reaction between quercetin and lauroyl acid chloride, in pyridine at 100 °C during 6 hours.



**Figure 23.** Acylation of quercetin by lauroyl chloride.

A complete study was performed on quercetin acetylation.<sup>146</sup> In most cases, the reaction is carried out with acyl chlorides in 1,4-dioxane at 60 °C.

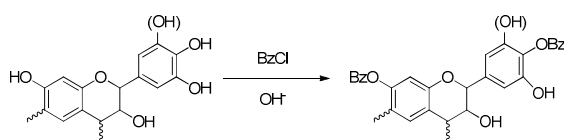
Tests were carried out directly on pine and quebracho tannins. In these cases, acylations were performed with stearoyl chloride and 1-methylimidazole as catalyst in acetone.<sup>143</sup> The maximum degrees of substitution increased with the stearoyl

chloride/tannin ratio, for quebracho and pine tannins. The corresponding substitution degrees are 4.0 and 5.1, respectively.

As previously mentioned, tannins can be modified with fatty acid. Quebracho and pine tannins were esterified with lauroyl chloride to give the corresponding laureate ester.<sup>147</sup> Partially substituted derivatives have been obtained with a preference for substitution on the B-ring, with the formation of mono- and di-esters. The same tannins have also been esterified with acid, linoleate and acetate groups to produce tannin-fatty esters.<sup>148</sup> Linoleic acid is firstly modified in linoleic acid chloride which reacts with the tannins.

Pine tannin esterification using anhydrides (acetic, propionic, butyric or hexanoic anhydride) was investigated as a route to synthesize tannin esters possessing varying ester chain length and degree of substitution.<sup>149</sup> These tannin derivatives were used as plastic additives in *e.g.*, poly(lactic acid) as anti-UV.

Tannins can be regioselectively benzoylated according to the Schotten-Baumann method (Figure 24)<sup>121</sup> to decrease the number of OH per flavonoid unit. Besides, they precipitate in aqueous solution to easily separate sugars from tannins.

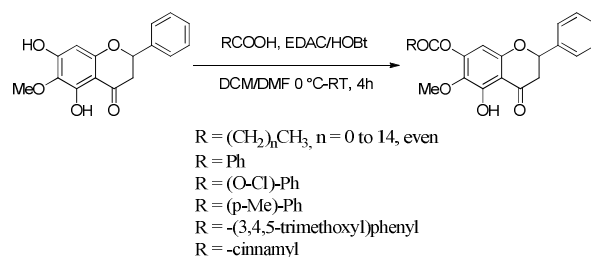


**Figure 24.** Benzoylation of tannin of mimosa according to Schotten-Baumann method.

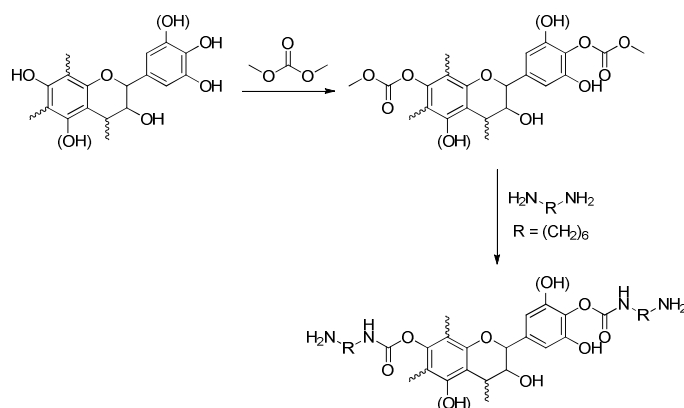
Adipoyl dichloride is used to introduce a carbonaceous chain between tannins molecules. The highest yield (around 75%) is obtained for molar ratios adipoyl dichloride:tannin equal to (6-10):1. The reaction is carried out at 75 °C during 120 min in a mixture of chloroform and 1,4-dioxane.

Various carboxylic acids were grafted (Figure 25) on the OH in position 7 of the 5,7-dihydroxy-6-methoxy-2-phenylchromen-4-one (oroxylene A).<sup>150</sup> A solution containing a

carboxylic acid, 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDAC) and hydroxybenzotriazole (HOBT) in dichloromethane is maintained at 0 °C during 20 min under nitrogen atmosphere. Oroxyline A, solubilized in dichloromethane (DCM) and dimethylformamide (DMF), is then added. The reactional medium is then stabilized at room temperature during 4-5 hours under nitrogen atmosphere.



**Figure 25.** Synthesis of oroxyline A derivatives.



**Figure 26.** Synthesis of urethanes groups from non-isocyanate reactions with condensed tannins.

Recently, synthesis of urethanes groups from non-isocyanate reactions were performed from hydrolysable chestnut tannins. By an aminolysis way, condensed tannins reacted with dimethyl carbonate and then with hexamethylenediamine (Figure 26).<sup>151,152</sup> These promising pathways bring new routes to safely synthesize high performance polyurethane materials, without toxic isocyanates.

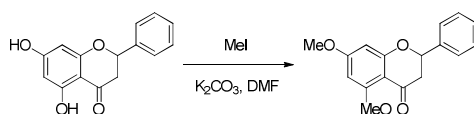
#### 4.4.2. Etherification

The polyphenols can react by etherification with halogenoalkanes. Alkylation of quercetin in DMF in the presence of tetraethylammonium fluoride ( $\text{Et}_4\text{NF}$ ) gives tetra-*o*-ethyl-3,7,3',4'-*O*-ethyl[ $^3\text{H}$ ]-5-quercetin (Figure 27).<sup>153</sup> The same product can be obtained by reaction of quercetin with ethylene carbonate. Depending on quercetin/ethylene carbonate ratio, synthesized products were more or less substituted.<sup>154</sup>



**Figure 27.** Synthesis of tetra-*O*-ethyl-3,7,3',4'-ethyl[ $^3\text{H}$ ]-5-quercetin.

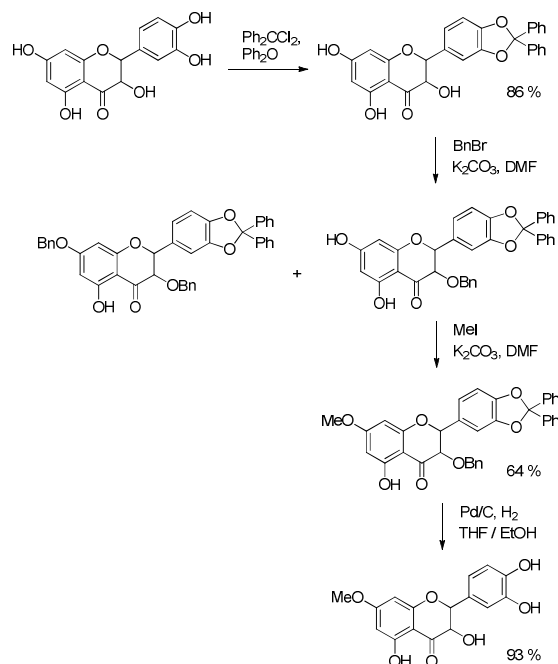
Quercetin was also alkylated with 1-chloro-2-propanol in the presence of potassium carbonate in DMF. Then, various hydroxypropylquercetin could be obtained.<sup>155</sup> It is also possible to methylate OH from the rings. The reaction was studied on chrysin with iodomethane ( $\text{MeI}$ ) and  $\text{K}_2\text{CO}_3$ , as presented in Figure 28.<sup>156</sup>



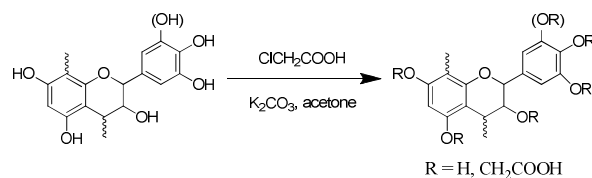
**Figure 28.** Methylation of chrysin.

In the same conditions, this reaction is often used for specific methylation of certain OH after the protection of some others. For example, in the case of the catechin, after protection of B-ring hydroxyls, it is possible to methylate catechol with dichlorodiphenylmethane.<sup>157</sup> When the targeted OH is methylated, deprotection can be performed. The reaction was carried out on quercetin to obtain 7-*O*-methyl quercetin (Figure 29).<sup>158</sup>





**Figure 29.** Synthesis of 7-*O*-methyl quercetin.



**Figure 30.** Carbomethylation of pine tannin by chloroacetic acid.

Other experiments were completed with a mixture of halogenoalkanes (MeI and ethyl bromide) on oroxylin A in the presence of  $K_2CO_3$  in acetone under reflux to develop new antibacterial agents.<sup>150</sup> However, many fastidious steps are necessary to produce the corresponding targeted molecule. Then, this pathway is not really industrially viable.

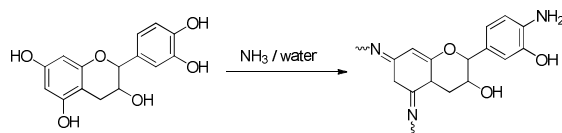
Investigations were directly performed on tannins. Pine and quebracho tannins were carboxymethylated by chloroacetic acid, or chloroacetate sodium. A base is added in the reactional mixture: either NaOH if the reaction takes place in water, or  $K_2CO_3$  if the reaction takes place in acetone. The best results are obtained when chloroacetic acid is used in acetone with  $K_2CO_3$  (Figure 30).<sup>159</sup>

In addition, tannins react also with the 1-bromooctane in DMF with potassium carbonate at 60 °C. The OH reacting groups percentage varies between 15 and 93%. These etherified tannins are insoluble in water.<sup>159</sup>

#### 4.4.3. Substitution by ammonia

Amination reactions with ammonia (NH<sub>3</sub>) were studied. It is possible to convert a part of phenolic OH into amine functions. A study showed that amination of pyrogallol B-ring by an ammonia solution, without catalyst and under soft conditions is regioselective.<sup>160</sup> In the case of *Acacia mearnsii* tannin composed of pyrogallol B-ring, amination in the presence of dioxygen produces 4'-amino-3',5'-dihydroxybenzene on B-ring. In the case of catechol B-ring of quebracho tannins, amination reaction does not take place neither with nor without O<sub>2</sub>.

Different amination conditions were tested.<sup>161,162</sup> A NH<sub>3</sub> solution containing tannins is stirred during one hour. The mixture becomes very viscous, and then placed during one day under hood at room temperature. The solid obtained is washed with water and dried. Analysis showed that a multiamination took place on a majority of phenolic hydroxyls regardless of the ring, A or B. On the other hand, an oligomerization occurred due to the formation of imine bonds between various units involving a tannin-ammonium gelation of the mixture (Figure 31).

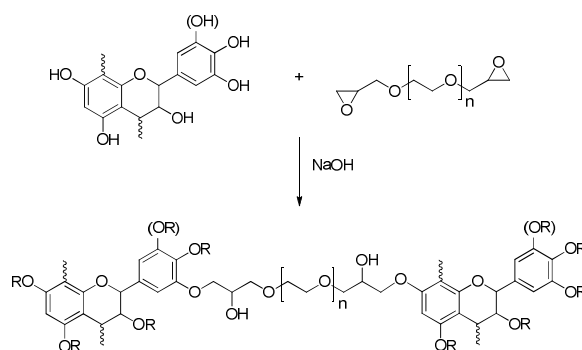


**Figure 31.** Example of structure obtained after amination of catechin by NH<sub>3</sub>.

#### 4.4.4. Reactions between tannin and epoxy groups

##### 4.4.4.1. Reactions with epoxy groups

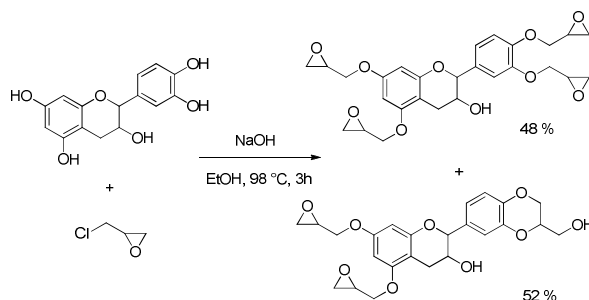
Tannins can react with various epoxies. Hydrolysable tannins were used to make ion-exchange resins after reaction with epoxy resin. Tannin OH react with epoxy groups.<sup>163</sup> Condensed tannins can also react with epoxy.<sup>164</sup> It is in particular the case of pine tannins with diglycidyl ether or polyglycidyl ether (Figure 32).



**Figure 32.** Reaction of pine tannins with ethylene glycol diglycidyl ether.

##### 4.4.4.2. Addition of epoxy groups

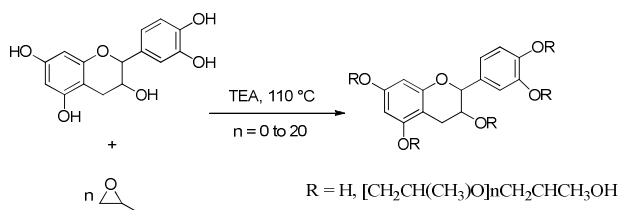
Currently, companies want to substitute bisphenol-A because of its toxicity. This compound used in epoxy-polyphenolic resins was substituted by catechin. After reaction of catechin with epichlorhydrin (Figure 33), the compound thus formed can then replace bisphenol A diglycidyl ether usually used.<sup>165</sup>



**Figure 33.** Reaction of catechin glycidylation.

#### 4.4.5. Alkoxylation

As other biobased resources such as lignin,<sup>166</sup> tannins can be oxypropylated (Figure 34). This reaction is a ring opening polymerization (ROP) of propylene oxide by the hydroxyls of the polyphenols. To optimize the conditions of synthesis, the reaction with resorcinol was used as model. The best parameters of synthesis were applied to catechin, pine and quebracho tannins.<sup>159</sup> The syntheses were in mass in a reactor with triethylamine (TEA) as catalyst at 110 °C, during 24 hours. The influence of the propylene oxide content was investigated. With the increase in the load of propylene oxide, the percentage of weight gain of tannin during alkoxylation increased as well.



**Figure 34.** Alkoxylation of catechin with propylene oxide.

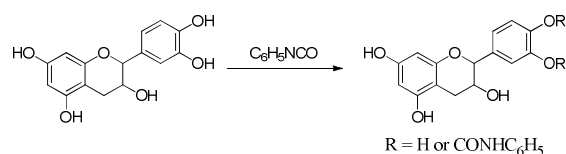
Oxypropylation was tested on pine barks at room temperature in aqueous alkaline solution. Different degrees of substitution were obtained, always holding secondary hydroxyl groups at the end chain of poly(propylene oxide).<sup>167</sup>

Oxypropylation were also tested directly on gambier tannins with propylene oxide and butylene oxide with potassium hydroxide as catalyst at 150 °C in a reactor.<sup>114,168</sup> In both cases, the reaction occurred and by adjusting the reaction parameters, such as oxide/tannin ratio, the length of polyether grafted chains can be controlled. Alkoxylation with butylene oxide offered the advantage to produce fully biobased polyols and was also successfully carried out with different tannins such as gambier, mimosa or pine.<sup>168</sup> For both oxides, whatever the tannin species, all OH present from

molecules reacted. Nowadays, alkoxylation reaction is an encouraging way to increase the value of tannins, leading to reactive polyols.

#### 4.4.6. Reaction with isocyanates

To understand tannin reactivity with isocyanates to form urethane groups, catechin was studied as tannin model with phenyl isocyanate.<sup>169</sup> The reaction is performed with acetonitrile under inert atmosphere at 30 °C, during 24 hours.



**Figure 35.** Reaction of catechin with phenyl isocyanate.

This study showed that OH from A-ring do not react with isocyanate. It is quite surprising that only OH present from B-ring can take part in this reaction (Figure 35).<sup>169</sup> This is probably due to a lower electron densities at the oxygen atoms in the A-ring compared to those in the B-ring. This suggests that only phenolic hydroxyl groups on the B-ring of a condensed tannin molecule participated in its reaction with isocyanate.

## 5. Toward biobased polymers and materials

### 5.1. Adhesives

As mentioned, the main aldehyde to form adhesives is formaldehyde.<sup>170</sup> However, the resulted adhesive formaldehyde-tannins have a small number of methylene bonds which explains the brittleness often observed. To improve the adhesive, phenol or resorcinol molecules can be added to create bonds between distant tannin sites through methylene links<sup>120,171</sup> with a decrease of the viscosity of the mixture. Tannins can be used in adhesive particle board resins with another natural component such as starch. A study proved the industrial viability of using cornstarch-mimosa tannin-urea formaldehyde

resin in a classic adhesive formulation in order to replace the conventional urea-formaldehyde system.<sup>172</sup> The tannin-based resin has been prepared without changes of the physical and mechanical properties of boards, when cornstarch and mimosa tannin are added up to 10 and 4%, respectively. Other additives can be used to improve the adhesive properties. A study was made with glyoxal because it is not toxic and produced at an industrial scale.<sup>173</sup> Tests were carried out on wood panels which were glued with an adhesive formulated with tannin pine and glyoxal. Glyoxal reactivity with phenol, urea and melanin, is lower than the reactivity of formaldehyde. This study also showed that cohesion between these panels was lower compared to those glued with a tannin-paraformaldehyde adhesive. However, in the case of formaldehyde prohibition, the glyoxal can be a potential substituent. Chestnut tannins were used for wood adhesives with formaldehyde, tris(hydroxymethyl)nitromethane, and with glyoxal and hexamine as hardeners.<sup>126</sup> This study was done in order to substitute formaldehyde. The curing enthalpy for the adhesive with hexamine as hardener was the highest, due to a high crosslinking density. But the highest rate of chemical curing was achieved using paraformaldehyde as hardener to achieve complete chemical curing. Another option to synthesize adhesives without formaldehyde is with hexamine. For mimosa tannins, good adhesives for wood panels can be obtained at pH = 10. Composite materials with good properties have been elaborated starting from non-woven fiber of hemp and flax fibers with a tannin mimosa resin containing 5% of hexamine.<sup>133</sup> Thus, it is possible to make multi-layer compounds by binding fibers together with the resin.<sup>174</sup> These composites made with tannin resin reinforced with flax fibers can be used for automotive applications.<sup>175</sup>

Although formaldehyde gives adhesives good properties, the corresponding volatile organic compounds (VOC) are a strong issue due to their ecotoxicity. Then, some

studies were developed with new adhesives starting from acetaldehyde, propionaldehyde, *n*-butyraldehyde or furfural. These aldehydes were formulated with mimosa tannins as adhesives for wood panels<sup>176</sup> which showed poor adhesion properties. However, a partial substitution of formaldehyde by *n*-butyraldehyde can be carried out. The latter is water resistant due to hydrophobicity of the carbon chain of *n*-butyraldehyde. To increase the properties, in addition to tannins and aldehydes, the formulation can contain urea such as monomethylol or dimethylol urea.<sup>177</sup> Another substitute of the formaldehyde which was recently studied and which gives satisfactory adhesion results is furfuryl alcohol,<sup>178</sup> which is often used for tannin-based foams.

The acylation is also a useful chemical modification to decrease the brittleness of materials based on tannins and formaldehydes. Adipoyl dichloride is used to introduce a carbonaceous chain between tannins molecules. This inter-chain brings more flexible materials.<sup>179</sup>

## 5.2. Phenol-formaldehyde foam type

As phenol-formaldehyde foams, it is also possible to synthesize porous structures from tannins. A customary foam formulation is the following: tannins, furfuryl alcohol (used as exothermic agent) and formaldehyde. Foams were first elaborated with catechin in acid conditions.<sup>134</sup> The first foams based on tannins were synthesized with mimosa tannin and catalyzed by *p*-toluene sulphonic acid.<sup>180</sup> Since, several studies were reported to analyze different parameters such as the acid and alkaline catalysis,<sup>181</sup> the use of boric or phosphoric acid to increase the fire resistance,<sup>182</sup> the influence of the foam carbonization,<sup>183</sup> the effect of the pre-activation of tannins,<sup>184</sup> the addition of hydroxymethylated lignin, polyurethane or industrial surfactant.<sup>136</sup> The processing conditions have been also investigated. Microwaves were *e.g.*, tested to accelerate the reaction and led to foams which have comparable properties with those obtained under

hot-press procedure.<sup>185</sup> Thus, microwaves-based systems can be considered as more appropriate processes for industrial applications because of the corresponding reduced production times. Different extracts of tannins were tested.<sup>136</sup> In the case of tannins with low degree of polymerization; it is not possible to obtain foams. It is in particular the case of the gambier tannin which contains too great proportion of mono flavonoid.<sup>186</sup> The reactivity varies according to the nature of tannin. For instance, pine tannins are very reactive. This strong reactivity involves a shorter time of freezing which does not leave time to the foaming agents to evaporate. Only recently a formulation was successfully developed, based on propylene glycol.<sup>187</sup>

Glyoxal was also used for foam applications as formaldehyde substituent to prepare tannin/furanic rigid foams.<sup>188</sup> Glyoxal can completely substitute formaldehyde in pine foams. The corresponding insulation materials have low thermal conductivity and good mechanical resistance.<sup>189</sup> As previous mentioned, furfuryl alcohol can react with tannins to give tannin-furanic foams. This study investigates the integration of tannins into industrial processes to obtain light porous materials with good properties. Tannins open-cell foams had good sound absorption / acoustic insulation characteristics, compared to industrial foams.

A new foams structure can be prepared from emulsion based on tannin, hexamine, vegetable oil and surfactant. After the reaction of the resin, oil is extracted and the remaining structure is pyrolysed.<sup>190</sup> These foams have more open structures with smaller pores and higher mechanical properties, compared to tannin-formaldehyde carbon foams.<sup>191</sup> A study shows that the initial concentration of tannins controls the porosity, the average cell size and the cell wall thickness of the foam.<sup>192</sup>

With the previous formulations, the tannin foams are either rigid, or semi-rigid. Tests were carried out to synthesize flexible foams. During the preparation, glycerol is added



with tannins, furfuryl alcohol and formaldehyde.<sup>193</sup> Glycerol acts as a non-volatile and non-toxic plasticizer.

### **5.3. Materials based on polyurethane**

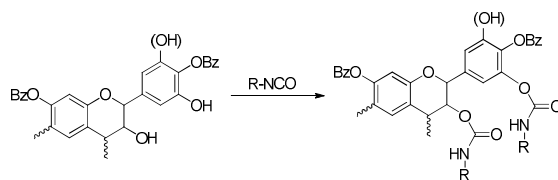
#### **5.3.1. Polyurethanes foams**

Polyurethane foams were obtained starting from mimosa tannins and diisocyanates, such as the methylene diphenyl diisocyanate (MDI).<sup>194</sup> To increase reactivity, tannins are initially treated by a liquefaction process based on polyethylene glycol and glycerol. Liquefaction takes place at 120 °C, during 1 h. Then, the catalyst and other additives are mixed with tannin derivatives before the addition of MDI. In another study, condensed tannins are used to make flexible and open cells polyurethane foams. Quebracho was tested with ethoxylated fatty amine and polymeric MDI.<sup>195</sup> Foams are composed of copolymerized amine/isocyanate/tannin oligomers. From 30 up to 50% of tannins can be added with the reticulating agents. These foams slow down burning compared to conventional polyurethanes foams.

Closed-cells foams were also obtained from oxypropylated tannins.<sup>196</sup> Oxypropylated glycerol was replaced progressively up to 100%. The used of tannins increased the properties *e.g.*, compressive strength and closed-cell content leading to a reduction of the thermal conductivity.

#### **5.3.2. Non-porous polyurethane materials**

It is also possible to form polyurethane films with partially benzoylated mimosa tannins (Figure 36).<sup>121</sup> Benzolation is performed via the Schotten-Baumann method (section 4.4.1, Figure 24). Bifunctional isocyanates are then used to elaborate reticulated films, which are bright with strong scratch resistance.



**Figure 36.** Reaction between partially benzoylated mimosa tannins and isocyanates.

Crosslinked polyurethanes membranes were also prepared from oxypropylated gambier tannins by a two-step procedure with isocyanate-terminated prepolymers.<sup>197</sup> These latter were prepared from MDI with poly(propylene)glycol (PPG) or with a polyester diol from fatty acid dimers. Oxypropylated gambier tannins offer the potential of preparing a wide range of products. The nature of isocyanate-terminated prepolymer and the isocyanate/hydroxyl groups molar ratio impacted the behaviour (thermoplastic vs. thermoset), the morphology (different crosslink densities) and the properties of the final polyurethane materials. For example, a high elongation at break with a low modulus are obtained for the membranes with the longest grafted PPG chains.<sup>197</sup>

#### 5.4. Materials based on polyesters

As previously mentioned, tannins can be modified with fatty acids and it is possible to obtain fully biobased polyester thermosets. Vegetable oil is used afterwards as crosslinking agent to obtain films.<sup>148</sup> Tannins were esterified with linoleic acid and then crosslinked. The oxidation copolymerization was promoted with cobalt/zirconium metal driers as catalyst. Tannin derivatives provided rigidity through polyphenolic aromatic rings and unsaturated chains as crosslinker. A similar study was carried out with oleic acid and provided soft, flexible rubber-like materials have been produced.<sup>198</sup>

#### 5.5. Materials based on epoxy resins

Hydrolysable tannins can also act as crosslinking agent for epoxy resins. The corresponding resins have great resistance properties while being easily decomposable

for the recycling.<sup>199</sup> These resins can be dispersed in solvent and used as coating to protect metals from corrosion.<sup>200</sup> Condensed tannins can also react with di-epoxy to form resins.<sup>164</sup> Resins were synthesized with pine tannins with diglycidyl ether or polyglycidyl ether. The effect of the pH has been explored and *e.g.*, it was shown that epoxy opening is favored in basic condition with an increase of the reaction kinetics. Recently (2014), a new project called “Green Epoxy”, from Bpifrance and FUI (France), was recently launched to develop non-toxic industrial alternative to rigid epoxy resins derived from the forestry industry.

As previously mentioned (section 4.4.4.2), tannins can be modified by epichloridrin and then formulated with amines or anhydrides reticulating agent.<sup>201</sup> Resin based on green tea extract were functionalized with epichlorohydrin in the presence of benzyltriethylammonium chloride as phase transfer catalyst.<sup>202</sup> To obtain epoxy resins, tannin epoxy derivatives were cured with isophorone diamine. Thermal and mechanical properties of tannin based epoxy resins are high due to a high crosslinking density.

## 6. Conclusion

Tannins are an abundant natural aromatic resource that has not yet be fully exploited as a renewable source to elaborate new macromolecular architectures for novel materials. The specific chemical structures of tannins allow bimolecular nucleophilic substitution giving the opportunity to convert them into several promising aromatic chemicals and building blocks. Neat or modified tannins permit the elaboration of adhesives, foams, polyurethanes and epoxy resins... with interesting performance. However, the intrinsic properties of tannins, the resource variability, polymerization degree and chemical hyperbranched structures have hampered the development of tannin derivatives. Thus, to obtain high value tannin products, a preliminary

investigation into the chemical structure of the tannins as well as the use of high purity tannins are primordial.

Tannin price varies between 0.7 and 1.5 €/kg, according to the extraction, purification and drying process, and depending on the botanical resource and the product purity. Such a price can be a limitation for some low-cost applications compared to some other renewable resource.

In summary, all these current developments highlight the great potential of tannins to create innovative and efficient material in agreement with the emergent concept of sustainable development. Tannins as an aromatic building block for a green chemistry to develop biobased polymers represents an important field of research. Tannins become an encouraging and interesting renewable aromatic resource for the next decades.

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## Graphical abstract



This review provides different chemical modifications of tannins, an abundant source of aromatic biomolecules, to elaborate biobased polymers.