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# Plant Polyphenols Altering a Pathway of Energy Metabolism by Inhibiting Fecal Bacteriodetes and Firmicutes *In Vitro*

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# 1 Abstract

2 The function of plant polyphenols in controlling body weight has been focused for a long time. The aim of this study was to investigate the effect of plant 3 polyphenols on fecal microbiota utilizing oligosaccharides. Three plant polyphenols, 4 5 quercetin, catechin and puerarin, were added into liquid media for fermenting 24hrs respectively. The pH values,  $OD_{600}$  of cultures and the content of carbohydrates at 0, 6 7 6, 10, 14, 18 and 24 hrs were determined. The abundances of Bacteroidetes and Firmicutes in each culture were quantified with qPCR after 10 hrs' fermentation, and 8 9 the bacterial composition was analyzed using software Quantitative Insights Into 10 Microbial Ecology. The results revealed that all the three plant polyphenols could significantly inhibit the growth of Bacteroidetes (P < 0.01) and Firmicutes (P < 0.01), 11 at the same time down-regulate the rate of Bacteroidetes to Firmicutes (P < 0.01). But 12 the fecal bacteria could maintain the ability of hydrolyzing fructo-oligosaccharide 13 (FOS) *in vitro*. Among the tested polyphenols, catechin presented the most intensive 14 inhibitory activity towards the growth of Bacteroidetes and Firmicutes, and quercetin 15 16 is the second. Only the samples with catechin had a significantly lower ability of 17 energy metabolism (P < 0.05). In conclusion, plant polyphenols can change the pathway of degrading FOS or even energy metabolism in vivo by altering gut 18 microbiota composition. It may be one of the mechanisms that plant polyphenols can 19 result body weight loss. It's the first report to study gastrointesintalmicrobiota in 20 *vitro* fermenting dietary fibers under the intervention of plant polyphenols. 21

Keywords: plant polyphenols, FOS, feacal bacteria, energy metabolism, *in vitro*fermentation.

24

# 25 Introduction

Obesity is considered as a multifactorial disease caused by imbalance between 26 calories consumed through eating and calories burned through physical activity 27 Some studies suggest the health human GI tract microbiota could have impact on the 28 development of excessive body weight <sup>1-3</sup>. The majority of mammals' gut bacteria 29 belong to two phyla: the Bacteroidetes phylum and the Firmicutes phylum<sup>4</sup>. The 30 microbiota acts as a metabolic organ which extracts energy from dietary material that 31 we cannot digest <sup>5</sup>. In vitro and clinic studies have confirmed that changes in the 32 microbiota ratio might affect normal food digestion process <sup>6-15</sup>. There is numerous 33 evidence that the gut microbiota in obese patients involves higher of 34 Firmicutes/Bacteroidetes ratio<sup>2, 16, 17</sup>. 35

Bacteroidetes can degrade complex polysaccharides, which are resistant to the 36 cleavage action of human digestive enzymes and can reach the colon relatively intact 37 <sup>18</sup>. The microbiota, especially Bacteroidetes are believed to complement eukaryotic 38 genomes with degradation enzymes targeting resistant dietary polymers, many of 39 which are plant cell wall compounds (e.g., cellulose, pectin, and xylan)<sup>18</sup>. The 40 bacteria-mediated fermentation of these food-derived polysaccharides in the colon 41 42 leads to the generation of volatile, short-chain fatty acids (mainly acetate, propionate, and butyrate) that are reabsorbed by the host as energy resources. Therefore, these 43 bacteria species help the host to gain energy from refractory carbohydrate sources <sup>1-3</sup>, 44 <sup>5</sup>. Hence, it is reasonable to assume that the host's body weight can be controlled by 45 inhibiting carbohydrate- degrading bacteria (especially Bacteroidetes) in microbiota. 46

Many studies show that body weight reduces when ingesting puerarin and tea polyphenols such as catechins <sup>19, 20</sup>. No reported studies revealed that the other plant polyphenols can also induce body weight loss. But various plant phenolic compounds, including quercetin, ferulic acid, anthocyanins, (–)-epicatechin, caffeic acid and (+)-catechin, have been shown to modify the composition of gut microbiota <sup>21-23</sup>.

53 Our previous studies discovered that a high fat diet induced host to shape gut

microbiota composition with a low ratio of Bacteroidetes to Firmicutes; higher content of Bacteroidetes in healthy animals attributes to body weight when the hosts ingest a high- fructo-oligosaccharide (FOS)/Soybean fiber diet <sup>24-26</sup>. The aim of this study was to investigate the effect of several plant polyphenols on gut microbiota fermenting FOS. The mechanism that plant polyphenols induce body weight loss will be studied and revealed

60

### 61 Materials and Methods

#### 62 Fecal Batch-Culture Fermentation

Fermentation experiments were carried out using feces from a healthy volunteer, 63 who had not ingested antibiotics for at least 6 months before the study and had no 64 history of gastrointestinal disorder. Four parts of fresh feces were individually 65 collected once and were immediately diluted 1:10 (w/v) with anaerobic phosphate 66 buffer (1 M; pH 7.2) and homogenized in a stomacher for 2 min. Resulting fecal 67 slurry was used to inoculate batch-culture vessels. The protocol described by 68 Tzounis et al <sup>27</sup> was used for fecal fermentations with minor modification. Briefly, 69 sixteen 50-mL plastic tubes were equally divided into 4 groups (Control, Q, CAT and 70 71 P). Each vessel was filled with 49.5 mL of a prereduced sterile medium (peptone water (2 g/L), yeast extract (2 g/L), NaCl (0.1 g/L), K<sub>2</sub>HPO<sub>4</sub> (0.04 g/L), KH<sub>2</sub>PO<sub>4</sub> 72 (0.04 g/L), NaHCO<sub>3</sub> (2 g/L), MgSO<sub>4</sub> 7H<sub>2</sub>O (0.01 g/L), CaCl<sub>2</sub> 6H<sub>2</sub>O (0.01 g/L), 73 74 Tween 80 (2 mL/L), hemin (50 mg/L), vitamin K (10  $\mu$ L/L), L-cysteine (0.5 g/L), bile salts (0.5 g/L), resazurin (1 mg/L), fructo-oligosaccharide [FOS, Quantum 75 76 Hi-Tech (China) Biological Co., Ltd] (10g/L), and distilled water). Quercetin, 77 catechin, or puerarin (Sigma-Aldrich Chemical Co.) was individually added into the above liquid media of Group Q, CAT and P, 0.15g/L in each vessel<sup>28</sup>. The medium 78 was adjusted to pH 7.0. The vessels of Group Control were prepared without any 79 polyphenols (negative control). All media were deoxidized by ultrasonic and each 80 vessel was filled up to avoid oxygen. Batch cultures were run in an anaerobic 81 incubator for a period of 24 h during which samples were collected at six time points 82

- 83 (0, 6, 10, 14, 18, and 24 h) for determination of pH value, OD<sub>600</sub> and carbohydrates.
  84 For this later analysis, samples were stored at -70 °C until required.
- 85

#### 86 Carbohydrate analysis

The carbohydrate analysis was analyzed by the method described by Le et al <sup>29</sup> 87 with minor modification. The standard curve was prepared as follows: FOS 88 anhydrous (25 mg) is accurately weighed and then dissolved in 25 ml of double 89 90 distilled water as stock standard solution (1 mg/mL). Accurately draw FOS solution 91 1.0, 0.5, 0.25, 0.125, 0.0625, 0 mL to six 10 mL tubes, individually add water to the 92 volume of 1.0 ml, precisely add 4 mL of anthrone–sulfuric acid [0.25mg anthrone 93 per 1mL of sulfuric acid (98%) in a 100 ml flask], heated for 15 min, then remove and put in ice-water to cool for 15 min, with the corresponding reagent as control. 94 Determine the absorbance in the 625 nm wavelength and make it as the ordinate, 95 96 concentration as abscissa to establish a standard curve.

97 Samples from the above six time-points were centrifuged to remove precipitates. 98 Their supernatants were individually collected to remove protein with Sevage 99 reagents for carbohydrate analysis. Precisely measure 1 mL of the sample solution, 100 put it into a 10 mL test tube. Follow the method of establishing the standard curve, as 101 the "precisely add 4 mL of anthrone–sulfuric acid" begin to determine absorbance. 102 Then calculate the content of the polysaccharide according to the standard curve.

103

#### 104 Extraction of DNA from cultures

105 Genomic DNA were extracted from the aforementioned fecal bacteria in the  $10^{\text{th}}$ 106 hours' cultures with a TaKaRa minibest bacterial genomic DNA extraction kit 107 (TaKaRa, Dalian, China), according to the manufacturer's instructions. The final 108 elution volume was 100  $\mu$ L, and the concentration was determined by 109 spectrophotometer (Beckman Coulter DU 800, Fullerton, CA).

#### **110 PCR conditions**

111 The primers and probe sets specific for all groups used are described by Guo et 112 al <sup>30</sup>. Oligonucleotide primers and probe were ordered from Sangong (Shanghai,

113 China). Amplification and detection of DNA by real-time PCR were performed with 114 the ABI-Prism 7900 Sequence Detection System (Applied Biosystems) using optical 115 grade 384-well plates. Triplicate samples were routinely used for the determination 116 of DNA by real-time PCR, and the mean values were calculated. The PCR reaction 117 was performed in a total volume of 10  $\mu$ L. Bacteroidetes and Firmicutes were detected by using the Power SYBR PCR Master Mix (Applied Biosystems), with 118 119 100 nmol/L of each of the forward and reverse primers and 1 ng DNA for each 120 reaction. The PCR reaction conditions for amplification of DNA were 50  $^{\circ}$ C for 2 min, 95°C for 10 min and 40 cycles of 95°C for 15 s and 60 °C for 1 min. A 121 122 melting curve analysis was done after amplification. The threshold cycle ( $C_T$ ) values 123 and baseline settings were determined by automatic analysis settings. Data analysis 124 was performed using Sequence Detection Software (version 2.3) supplied by 125 Applied Biosystems.

#### 126 **16S rDNA gene PCR amplification and sequencing**

127 F515 (59-CACGGTCGKCGGCGCCATT-39) R806 The primers and  $(59-GGACTACHVGGGTWTCTAAT-39)^{31}$  were used to amplify the V<sub>4</sub> domain of 128 129 bacterial 16S rDNA. PCR reactions contained 5-100 ng DNA template, 1×GoTaq 130 Green Master Mix (Promega, Madison, WI), 1 mM MgCl<sub>2</sub>, and 2 pmol of each primer. Reaction conditions consisted of an initial 94  $^{\circ}$ C for 3 min followed by 35 cycles of 131 94 °C for 45 sec, 50 °C for 60 sec, and 72 °C for 90 sec, and a final extension of 132 72 °C for 10 min. All samples were amplified in triplicate and combined prior to 133 134 purification. Amplicons were purified using the Qiaquick 96 kit (Qiagen), quantified 135 using PicoGreen dsDNA reagent (Invitrogen, Grand Island, NY), all according to the 136 manufacturers' instructions. Purified libraries were sequenced on the Illumina GAIIx 137 platform.

138

#### 139 **16S rDNA gene analysis**

Raw Illumina fastq files were demultiplexed, quality-filtered, and analyzed
 using Quantitative Insights Into Microbial Ecology (QIIME) <sup>32</sup>. Sequences that were
 shorter than 55 bp, contained primer mismatches, ambiguous bases or uncorrectable

barcodes, were removed. 16S rDNA gene sequences were assigned to operational
 taxonomic units (OTUs) using UCLUST with a threshold of 97% pair-wise identity
 <sup>33</sup>, and then classified taxonomically using the Ribosomal Database Project (RDP)
 classifier 2.0.1 <sup>34</sup>.

Alpha diversity estimates were calculated with Shannon value. Principal
Coordinates Analysis (PCoA) and heat map was performed to present differences
between the gut microbial communities of the two groups. These analyses were
conducted by Gene Denovo Co. (Guangzhou, China).

151

#### 152 Statistical analysis

Results are expressed as mean values and standard deviations. The statistical analysis was performed with SPSS 17.0 software (SPSS Inc., Chicago, IL). T-tests were conducted to compare the bacterial phenotypes in different vessels and all statistical tests were two-tailed. Statistical significance was set at a P value of < 0.05. All data are presented in the text as the means  $\pm$  s.e.m.

# 158 **Results**

159 The effects of three tested plant polyphenols, including quercetin, catechin, and puerarin, on the growth of human gut bacteria were shown on Figure 1-6. 160 161 Comparing to the control group, three groups of samples didn't significantly reduce the total bacteria amount based on their  $OD_{600}$  (Fig. 1A). All four groups presented 162 163 the similar tendency of pH change (Fig. 1B). The content of carbohydrates in Group 164 Q, CAT and P presented significant difference from the control group at the 6th and 14 th (P < 0.01). After 24 hours' fermentation, all carbohydrates can't be detected; but 165 166 at the first 10 hours' fermentation, the contents of carbohydrates in cultures were 167 different. The control group showed the higher rate of depleting carbon source than 168 the others (Fig. 1C).

Real-time PCR analysis was performed to quantify Firmicutes and Bacteroidetes after 10 hrs' fermentation. The 16S rDNA gene copies of both Firmicutes and Bacteroidetes in all three groups decreased after introducing the polyphenols(*P*<0.01) 172 (Fig. 2).

173 In order to furtherly study which bacteria were repressed by polyphenols, the cultured microbiota were identified by 16S rDNA gene analysis. Among 6 phyla 174 175 detected in each group, the relative abundance of Bacteroidetes was significantly 176 lowered down from 41.7% in the control samples to 23.1%, 11.6% and 23.5% in Q, CAT and P in order; the relative abundance of Firmicutes didn't present regular 177 178 changes, 21.3% in the control samples, 25.1%, 19.6% and 23.6% in Group Q, CAT 179 and P (Fig. 3A). Furthermore, at the level of genus it was discovered that the 180 relative abundance of *Bacteroides spp.* significantly decreased in Group Q, CAT and 181 P than in the control group, from 30.5% down to 18.4%, 8.8% and 18.1% in order. 182 The relative abundances of other genera of Bacteroidetes, including Parabacteroides spp., Prevotella spp., Rikenellaceae spp, Butyricimonas spp. and Odoribacter spp., 183 184 were also reduced at different extents (Fig. 3B). But the abundances of 185 Bifidobacterium spp. belong to Actinobacteria phyla were individually up-regulated from 6.6% in the control group to 24.0%, 21.7% and 8.3% in Group Q, CAT and P in 186 order. The abundances of some other bacteria, such as *Enterococcus spp.* and 187 188 Streptococcus spp. belong to Firmicutes phyla and Collinsella spp. also belong to 189 Actinobacteria phyla, also increased in the tested groups (Fig. 4).

Based on the PCoA analysis, each group presented a distinct but similar constitution after *in vitro* fermentation (Fig. 5). Especially, the major difference was from Group CAT, and followed by Group P in contrast with the control group. The evolution and abundance of bacteria species in different groups were showed in Fig 4.

The KEGG analysis presented Group CAT had a lower ability (P<0.05) of carbohydrates metabolism, energy metabolism, glycan biosynthesis and metabolism, and lipid metabolism than the others; Goup Q and P didn't show the similar tendency (Fig. 6).

199 **4. Discussion** 

200 The function of plant polyphenols such as tea polyphenols in controlling body 201 weight have been focused for years. But the involved mechanisms are still unclear. 202 In this study, when three plant polyphenols were respectively added into liquid 203 media, the growth of Bacteroidetes and Firmicutes was significantly repressed and 204 the rate of Bacteroidetes to Firmicutes was also down-regulated. However, the 205 overall fecal bacteria microbiota grow normally and was able to hydrolyze FOS in 206 *vitro* with a similar rate compared to the control sample. Among the three plant 207 polyphenols, catechin presented potent activity in inhibiting Bacteroidetes and 208 Firmicutes, followed quercetin. Only the samples with catechin had a significantly 209 weaker ability of energy mechanism than the others including the control sample.

In this study, FOS was the only carbon source in all media. FOS is a notable non-digestive carbohydrate by human, but can be hydrolyzed by healthy microbiota <sup>24, 26, 35</sup>. The results showed that the percentage of Bacteroidetes *spp*. in total bacteria was the highest in the control group, which was consistent with our previous studies on its ability of digesting complex carbohydrates<sup>18, 24, 26</sup>. Bacteroidetes (41.7%), Proteobacteria (29.1%) and Firmicutes (21.3%) dominated in the control group, which is similar with the reported bacterial composition in mammals' gut <sup>36</sup>.

217 Polyphenolic compounds are plant nutraceuticals processing a huge structural diversity, including chlorogenic acids, hydrolysable tannins, and flavonoids 218 (flavonols, flavanones, flavan-3-ols, anthocyanidins, isoflavones, and flavones). 219 Marín et al <sup>22</sup> and Parkar et al <sup>37</sup> reported the antibacterial ability of many plant 220 polyphenols, including quercetin and catechin. Quercetin can reshape faecal gut 221 microbiota composition reportedly <sup>38</sup>; tea polyphenols can significantly repressed 222 growth of certain pathogenic bacteria like Clostridium perfringens, Clostridium 223 224 *difficile* and *Bacteroides spp.*, while less severely affected commensal anaerobes like Clostridium spp., Bifidobacterium spp. and probiotics such as Lactobacillus species 225 <sup>21</sup>. Tang et al <sup>39</sup> also reported the anti-bacterial activity of puerarin. Our results 226 showed agreement with these studies. The three plant polyphenols in this study, 227 228 quercetin, catechin and puerarin, also altered the composition of fecal bacteria. Our experiments furtherly discovered that since the introduction of three plant 229

polyphenols, each of them independently reshaped the fecal bacteria composition (Fig. 3 and 4). The three polyphenols all significantly inhibited the growth of

231 (Fig. 3 and 4). The three polyphenols all significantly inhibited the growth of 232 Bacteroidetes and Firmicutes (Fig 4), but the degradation of FOS was less affected in 233 in vitro fermentation (Fig. 1C and 6). Therefore, other bacteria that can secrete 234 carbohydrate hydrolytic enzymes to break FOS were certainly activated. Fig. 3B and 235 5 presented that the abundance of several genera of bacteria increased, including Bifidobacterium spp., Enterococcus spp., Streptococcus spp. and Collinsella spp., 236 237 since the intervention of three polyphenols. For example, Turroni et al. proposed that *Bifidobacteria spp.* have quite a versatile carbohydrate breakdown machinery<sup>40</sup>. It is 238 reasonable to speculate that the addition of three plant polyphenols in this study 239 240 altered the pathway of degrading FOS. Besides, quercetin and catechin especially 241 cooperate with FOS to faciliate the growth of *Bifidobacterium spp*.

230

The activity of plant polyphenols, especially tea polyphenols, on controlling 242 243 body weight has been proved by mounting data, and the relative mechanism was discussed from various aspects <sup>20, 21, 41, 42</sup>. One hypothesis involved anti-bacterial 244 245 activity of plant polyphenols. For instance, Rastmanesh et al argued that 246 polyphenols may modulate microbiota balance through the biased promoting effects 247 on Bacteroides, while phenolic compounds may exert their effect through biased suppressing effects on Firmicutes <sup>41</sup>, which is not able to explain the observation in 248 our previous studies <sup>24-26</sup>. In our studies, lean rats with a higher 249 250 Bacteroidetes/Firmicutes rate can gain more weight, indicating that Bacteroidetes could help harvesting extral energy <sup>24, 26</sup>. This study showed that the three 251 252 polyphenols all significantly inhibited the growth of Firmicutes and Bacteroidetes 253 with a down-regulated ratio of Firmicutes to Bacteroidetes. It might be a part of 254 mechanisms that polyphenols can result in body weight loss. However, it is still not 255 sure whether the FOS was exhausted after 10 hrs fermentation. Since most of 256 Firmicutes and Bacteroidetes were inhibited, how the other bacteria maintain the 257 ability of carbohydrate metabolism and energy metabolism in each group is still in 258 question. The pathway of other bacteria utilizing FOS and their metabolites is still unknown. Obviously, organic acids were still produced in each experimental group 259

based on the similar pH change with the control group. When both plant polyphenols
and dietary fibers (for example, FOS) were ingested, the pathway of fecal bacteria *in vivo* metabolizing carbohydrates deserves to be furtherly researched.

263 Conclusion

264 This is the first reported study of gut microbiota *in vitro* fermenting dietary fibers 265 under the stress of plant polyphenols. Three plant polyphenols, quercetin, catechin 266 and puerarin, can inhibit the growth of Bacteroidetes and Firmicutes and 267 down-regulate the rate of Bacteroidetes to Firmicutes. But the fecal bacteria could 268 still maintain the ability of hydrolyzing FOS in vitro. Among the three plant 269 polyphenols, catechin presented the strongest activity of reshaping fecal microbiota, 270 and quercetin was the second. Only the samples with catechin had a significantly 271 lower ability of energy mechanism. Hence, plant polyphenols can change the pathway of degrading FOS or even energy metabolism in vivo by altering gut 272 273 microbiota composition. It may be one of the mechanisms that plant polyphenols can 274 lead to body weight loss.

275

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358

359	Fig. 1. Changes of OD <sub>600</sub> (A), pH values (B) and carbohydrate content (C) during 24
360	hrs' in vitro fermentation.
361	
362	Fig. 2. Copies of Bacteroidetes and Firmicutes. **, means P<0.01 when compared to
363	the control group.
364	
365	Fig. 3. Composition of cultural gut microbiota at the level of phyla (A) and genus
366	(B). Legends are labeled only when the relative abundance of genus is higher than $1\%$
367	in the control group.
368	
369	Fig. 4. Evolution and abundance of bacteria species in different groups.
370	
371	Fig. 5. Fermented fecal bacteria distribution by PCoA analysis. Control: the control
372	group including $A_{1-4}$ ; Q: the group of samples with quercetin, including $Q_{1-4}$ ; CAT:
373	the group of samples with catechin, including Sample $C_{1-4}$ ; P: the group of samples
374	with puerarin, including Sample P <sub>1-4</sub> .
375	
376	Fig. 6. KEGG analysis of functional genes.











A





Fig.5



Fig.6

#### **Graphical Abstract**

This study was to investigate the effect of plant polyphenols on faecal microbiota metabolizing oligosaccharide. Three plant polyphenols, quercetin, catechin and puerarin, were individually added into liquid media for fermenting 24hrs. The pH values,  $OD_{600}$  of cultures and the content of carbohydrates in 0, 6, 10, 14, 18 and 24 hrs were respectively determined. The abundances of Bacteriodetes and Firmicutes in each culture were quantified and the bacterial composition in each culture was analyzed. The results showed plant polyphenols can change the pathway of degrading FOS or even energy metabolism *in vivo* by altering gut microbiota composition. It may be one of the mechanisms that plant polyphenols can lead to body weight loss.



Changes of carbohydrates concentration during in 24 hrs' vitro fermentation. A: the control group; B: the group of samples with quercetin; C: the group of samples with catechin; D: the group of samples with puerarin.



Fermented fecal bacteria distribution by PCoA analysis. A: the control group including Sample  $A_{1-4}$ ; B: the group of samples with quercetin, including Sample  $B_{1-4}$ ; C: the group of samples with catechin, including Sample  $C_{1-4}$ ; D: the group of samples with puerarin, including Sample  $D_{1-4}$ .