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1 Multijunction Si photocathodes with tunable photovoltages from 2.0 V to 2.8 V for light

2 induced water splitting

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9 Abstract

10 We report on the development of high performance triple and quadruple junction solar cells made of amorphous (a-Si:H) and microcrystalline silicon (µc-Si:H) for the application as 11 photocathodes in integrated photovoltaic-electrosynthetic devices for solar water splitting. We 12 13 show that the electronic properties of the individual sub cells can be adjusted such that the 14 photovoltages of multijunction devices cover a wide range of photovoltages from 2.0 V up to 15 2.8 V with photovoltaic efficiencies of 13.6 % for triple and 13.2 % for quadruple cells. The 16 ability to provide self-contained solar water splitting is demonstrated in a PV-biased 17 electrosynthetic (PV-EC) cell. With the developed triple junction photocathode in the a-18 Si:H/a-Si:H/uc-Si:H configuration we achieved an operation photocurrent density of 7.7 mA/cm^2 at 0 V applied bias using a Ag/Pt layer stack as photocathode/electrolyte contact and 19 ruthenium oxide as counter electrode. Assuming a faradic efficiency of 100 %, this 20 21 corresponds to a solar-to-hydrogen efficiency of 9.5 %. The quadruple junction device 22 provides enough excess voltage to substitute precious metal catalyst, such as Pt by more 23 earth-abundant materials, such as Ni without impairing the solar-to-hydrogen efficiency. 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41

43 I. Introduction

Efficient production of clean and storable chemical fuels, such as hydrogen, from solar energy 44 is of utmost importance for future sustainable post-carbon energy systems.^{1,2} It is therefore 45 vital to develop and improve efficient artificial processes to convert the energy of light into 46 chemical energy. For this purpose, photoelectrolysis of water by semiconductor based devices 47 represents a prominent route which recently raised increasing interest among research groups 48 worldwide.³⁻⁵ Photoelectrolysis of water is a chemical process that produces hydrogen (and 49 oxvgen) and requires light induced potential differences, i.e. photovoltages over 1.6 V to run 50 autonomously, taking into account the overpotential losses in such systems.⁶ Among state-of-51 the-art solar fuel generators, photovoltaic (PV)-biased electrosynthetic cells (denoted as PV-52 EC devices hereafter), which consist of a solar cell, submerged in an electrolyte and 53 electrically arranged in series with electrocatalysts for the hydrogen (HER) and oxygen 54 evolution reaction (OER), respectively, offer several advantages compared to other 55 photoelectrochemical device configurations:⁷ 56 In a PV-EC device the photovoltage and the photocurrent generated by the underlying 57 • solar cell are entirely available for the photoelectrolysis, i.e. the solar cell can be 58 adjusted independent from any electrochemical reaction at the solar cell/electrolyte 59 interface^{8,9} or any second photoactive electrode material.¹⁰ 60 Such flexibility, so far, allowed for higher achievable efficiencies compared to other 61 solar fuel generator configurations.¹¹ 62 The current-voltage characteristics of the electrocatalysts can be merged with the PV 63 characteristics of the solar cell, in terms of a simple series connection, to accurately 64 predict the PV-EC device performance.¹²⁻¹⁴ 65 Among the semiconductor technologies used for solar water splitting, multijunction thin film 66 silicon solar cell structures have been explored for over 20 years now and have acquired a 67 leading position,^{10,15-19} thanks to their ability to provide high photovoltages in multijunction 68 structures above the required potential for photoelectrolysis.⁹ The highest reported solar-to-69 hydrogen (STH) efficiencies for monolithic thin film silicon based solar fuel generators are 70 6.8 % for tandem¹² and 7.5 % and 7.8 %, respectively, for triple junction based 71 photoelectrodes made of hydrogenated amorphous silicon (a-Si:H).^{19,20} The thin film silicon 72 device concept allows to fabricate monolithic cells, which can be integrated in a compact 73 74 water splitting device. Other studies, in contrast, use module connections of several solar cells, e.g. III-V based, CIGS, crystalline silicon, or perovskite solar cells, ^{21,22,23,24} to provide 75 the sufficient voltage to run the HER and OER, respectively, without an external bias. 76 Although, the achieved STH efficiencies in module based water splitting devices are 77 remarkable,¹¹ the multijunction thin film silicon concept offers several advantages compared 78 to series connected solar cells: in general the series connection requires an additional 79 processing step (laser scribing or solar tabbing wire connection) compared to the monolithic 80 81 multijunction solar cell fabrication process, which becomes particularly relevant in industrial applications. Additionally, series connected cells cannot be adjusted precisely with respect to 82 the specifically needed photovoltage of the complete system, which varies with the 83 overpotentials of the used catalysts for the HER and OER, respectively. Considering that the 84 photocurrent at the respective required voltage determines the STH efficiency.⁶ the 85

photovoltage/photocurrent tradeoff, prevented higher STH efficiencies so far. In crystalline 86 silicon solar cells, for instance, the photovoltage can be adjusted only in large steps of approx. 87 600 mV by connecting several cells in series. However, in such case the increase in voltage is 88 accompanied by a significant decrease in photocurrent and device efficiency (if the active 89 solar cell area remains unchanged). The same however also applies to multijunction solar 90 91 cells made merely of amorphous silicon alloys (a-Si:H and a-Si:Ge:H), which have been applied for unbiased solar water splitting by Delahoy et al. and Khaselev et al. for 92 instance^{15,20}. Therefore, it is crucial to develop solar cells with the ability to tune the 93 photovoltage not only in large but also in small steps in order to fulfill the particular 94 95 requirements of various PV-EC systems. At the same time a change in the photovoltage should ideally not impair the device efficiency. 96

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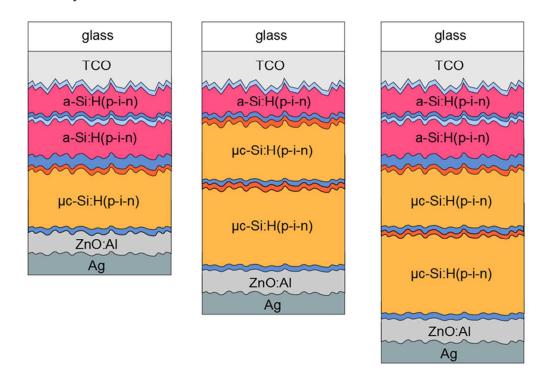
98 This task can be performed by monolithic multijunction solar cells made of thin films of amorphous (a-Si:H) and microcrystalline (µc-Si:H) silicon, which were developed in this 99 study. Combinations of a-Si:H and uc-Si:H allow for a more precise adjustment of the PV 100 101 parameters and suffer less from stability issues under prolonged illumination (Staebler-Wronski effect)²⁵ compared to their all-amorphous counterparts.²⁶ Former studies In fact, the 102 present study builds on a previous work,¹² in which the fundamental working principle of a a-103 Si:H/a-Si:H tandem based PV-EC device has been demonstrated and validated by empirical 104 modeling. In the present work now, we developed a-Si:H/µc-Si:H/µc-Si:H and a-Si:H/a-105 106 Si:H/µc-Si:H triple and a-Si:H/a-Si:H/µc-Si:H/µc-Si:H quadruple junction solar cells aiming 107 to provide higher STH efficiencies in PV-EC configuration and higher tunable excess 108 voltages to have a higher flexibility in choosing different catalyst materials with different overpotential requirements. Extending beyond former studies on thin film silicon 109 multijunction photoelectrodes,^{10,15-17} the present work demonstrates the tunability of voltages 110 over a very wide range. Thereby, the variety of feasible applications in photoelectrochemical 111 112 systems is considerably extended. We used a-Si:H sub cells with different optical band gaps, as well as uc-Si:H absorber layers with improved stability against light-induced degradation 113 114 which leads to an improved solar cell performance. Particularly, the photocurrent density was significantly improved (by 0.8 mA/cm^2) by implementing microcrystalline silicon oxide (µc-115 SiOx:H) as intermediate reflecting layers²⁷ and by carefully adapting the thicknesses of the 116 individual sub cells. The applicability of the developed solar cells as photocathodes in an 117 integrated PV-EC device is further demonstrated with thin Pt and Ni catalyst layers, 118 respectively on top of the solar cells for the HER and a RuO₂ counter electrode for the OER. 119 Additionally, the associated aspects of the PV-EC device performance were addressed. In 120 particular, the long-term durability as required for commercial applications has already been 121 emphasized previously.²⁸⁻³¹Besides the electrochemical stability, the present study 122 furthermore investigates the aspects of catalysis and electrolyte dependence on the 123 performance of the developed multijunction Si photocathodes. 124 125

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127 II. Experimental Details

A. Preparation of thin film silicon layers and solar cells

All thin film silicon layers were deposited by a plasma enhanced chemical vapor deposition 130 131 technique in a multi chamber system. For the intrinsic absorber layers a mixture of silane (SiH_4) and hydrogen (H_2) gases was used. For the n- and p-type layers, trimethylborane 132 (TMB), methane (CH₄), phosphine (PH₃), and carbon dioxide (CO₂) gases were added to the 133 silane-hydrogen mixture. For uc-Si:H intrinsic and p-type layer depositions an excitation 134 frequency of 94.7 MHz was applied. For all a-Si:H layers an excitation frequency of 13.56 135 MHz was applied. The triple and quadruple junction solar cells were made in stacked p-i-n 136 superstrate configuration with a sputtered zinc oxide/silver (ZnO:Al/Ag) reflecting rear 137 contact³² defining the area (1 cm^2) of the individual cells. For all intrinsic uc-Si:H absorber 138 layers a silane concentration (SC) of 5.0 %, defined as the ratio between the SiH₄ flow and the 139 total gas flow, and a substrate deposition temperature ($T_{\rm S}$) of 180 °C was chosen.²⁶ The 140 intrinsic a-Si:H top and middle cell absorber layers were deposited at 130 °C with a SC of 4 % 141 and at 180 °C with a SC of 10 %, respectively.⁹ The p- and n-type layers are the same for all 142 fabricated solar cells and are deposited at a $T_{\rm S}$ of 180 °C. The a-Si:H/a-Si:H/µc-Si:H triple 143 cells were deposited on 100 cm² fluorine-doped tin dioxide (SnO₂:F) coated glass substrates 144 (front contact) with a native texture (Asahi U). The a-Si:H/µc-Si:H/µc-Si:H and quadruple 145 cells were deposited on 100 cm² textured aluminum-doped ZnO coated glass substrates (front 146 contact). Fig. 1 schematically shows the multijunction solar cell configurations investigated in 147 148 this study.



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150 Fig.1. Schematic drawing of the a-Si:H/a-Si:H/µc-Si:H and a-Si:H/µc-Si:H/µc-Si:H triple and

a-Si:H/a-Si:H/µc-Si:H/µc-Si:H quadruple junction solar cell structures in p-i-n configuration
 investigated in this study.

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156 Characterization of solar cells

157 The solar cells were characterized by current–voltage (J-V) measurements at standard test conditions (100 mW/cm², 25°C) using a double source (Class A) AM 1.5 sun simulator with 158 an anti-reflection foil on top of the front glass.³³ Furthermore, spectral response measurements 159 [quantum efficiency (*QE*)] of the multijunction solar cells were conducted using a 160 161 monochromator in a wavelength range between 300 nm to 1100 nm. Individual sub cell QEs of the triple and quadruple cells were separately determined using LEDs and a spectrally 162 filtered bias light from a tungsten lamp. Bias light intensities of approximately 1 mW/cm^2 163 164 were used to saturate the respective sub cells, while the intensity of the probing light was around 1000 times lower. The corresponding wavelengths used for the saturation of the sub 165 cells are presented in Table 1. 166

Table 1. Overview of the used light wavelengths for the saturation of the individual sub cellsin triple and quadruple junction solar cells for the *QE* evaluation.

Cell type Measured sub cell	Top cell	Middle cell 1	Middle cell 2	Bottom cell
a-Si:H/µc-Si:H/µc-Si:H	695 nm	765 nm and 470 nm	-	525 nm
a-Si:H/a-Si:H/µc-Si:H	590 nm	780 nm and 400 nm	-	525 nm
a-Si:H/a-Si:H/µc-Si:H/µc-Si:H	590 nm	780 nm and 400 nm	525 nm and 830 nm	625 nm

As an example, for the a-Si:H/µc-Si:H triple cell, light with 695 nm wavelength was

used for the saturation of the middle and bottom cell assuring that the top cell, which

171 photocurrent is intended to be measured, limits the current of the whole device. For the

measurement of the bottom cell, light of 525 nm wavelength was used to saturate the top and

the middle cell. In the case of the middle cell *QE* measurement, the top cell is saturated with a

470 nm light and the bottom cell is saturated with 765 nm light.

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B. Photoelectrochemical measurements

176 The integrated PV-EC device is schematically depicted as band diagram illustration in Fig. 2.

177 It is composed of four main components: the multijunction solar cell (p-i-n type

photocathode) to provide sufficient photovoltage and photocurrent for the water splitting

179 reactions, a catalyst layer to enhance the HER, which is deposited on top of the solar cell at

180 the photocathode/electrolyte interface, the electrolyte, which should provide high ionic

181 conductivity, and an anode, coated with a catalyst to enhance the OER. The

182 photoelectrochemical performance of the PV-EC devices was evaluated at room temperature

in aqueous 0.1 M and 1 M KOH solutions using a two-electrode configuration.³⁴ The HER

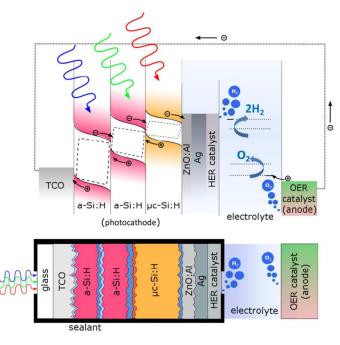
catalyst layer (Pt and Ni in this study) was deposited by electron beam evaporation with a

thickness of around 150 nm on top of the Ag contact pads of the solar cell. In fact, the solar

cell together with the attached HER catalyst compose the photocathode of the PV-EC device.

The photocathode-electrolyte contact area was defined by an O-ring sealing aperture and was 187 0.5 cm^2 . For the OER catalyst, a RuO₂ coated titanium sheet was used as anode (15 g/m²) 188 RuO_2 , 3 cm² active area, supplied by Metakem). Photocathode and anode were separated by a 189 distance of 2 cm. White light photocurrent measurements were performed under simulated 190 AM 1.5 solar illumination (100 mW/cm²) using an Oriel LCS-100 solar simulator (model 191 94011A) and an optical aperture of 0.5 cm^2 . Linear sweep voltammetry measurements were 192 performed with a scan rate of 30 mV s⁻¹ without stirring of the electrolyte. Electrical contact 193 to the transparent conductive oxide (TCO) coated glass substrate, i.e. the front contact of the 194 solar cell, was made by a silver paste. The PV-EC devices were illuminated through the glass 195 substrate of the integrated solar cell (see Fig. 2), which offers the advantage that the light 196 enters the photocathode through the TCO coated glass substrate without being attenuated by a 197 surrounding medium (e.g. the electrolyte or gas bubbles). A major role in this configuration is 198 governed by the metallic contact at the photocathode/electrolyte interface, which has to fulfill 199 multiple requirements: (i) optical reflection of incident light back into the photocathode, (ii) 200 protection of the photocathode from the electrolyte and undesired chemical reactions, and (iii) 201 202 promotion of the catalytic reaction and a good electrical contact between the photocathode and the electrolyte. In this regard, a contact stack of ZnO:Al, Ag, and HER metal catalyst was 203 used. The ZnO:Al/Ag double layer was used to ensure a good reflectivity of the incoming 204 205 light, and thus allow for a high photocurrent. The thin metal layer deposited on top of the Ag layer was used as a catalyst for the HER.^{9,12,24} 206

- 207 Nevertheless, for industrial applications a two-compartment set-up for the OER and HER,
- respectively separated through a membrane for quantitative gas amount evaluation is desirable
- and currently under construction. The configuration used in this study particularly aims to test
- 210 prototype PV-EC devices based on our developed solar cells.



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Fig. 2. Band diagram illustration of the PV-EC device configuration investigated in this study,

with its components: the multijunction photocathode (as example a-Si:H/a-Si:H/ μ c-Si:H)

under non-biased illumination condition, a HER catalyst layer at the photocathode/electrolyte

interface, the electrolyte, and the anode with the OER catalyst. The energy levels for the HER and OER and the respective reactions are indicated in the illustration. Hydrogen evolution occurs at the rear side of the photocathode and oxygen occurs at the anode side. $\Delta E = 1.23$ V is the thermodynamic potential required for water electrolysis at 25 °C. η_{HER} and η_{OER} indicate the overpotentials for the HER and OER, respectively.

III. Results and Discussion

A. Multijunction Solar Cells

The development of individual sub cells (a-Si:H and uc-Si:H single junction solar cells) of a 222 multijunction device has been addressed in detail elsewhere.^{9,24} The present study investigates 223 the combination in triple and quadruple junction solar cells and exploits ways to improve the 224 device efficiency in combination with high $V_{\rm OC}$ ranging from 2.0 V to 2.8 V. Due to 225 requirements on the band gap sequence, the top and bottom cell absorber layers were made of 226 227 a-Si:H (high band gap) and μ c-Si:H, respectively, for all investigated multijunctions. For the middle cell absorber layers in triple junction solar cells either a-Si:H (lower band gap) or μ c-228 Si:H were applied, which leads to different voltage and current matching conditions and 229 represents the two development paths discussed below. 230

231 A1. a-Si:H/µc-Si:H/µc-Si:H triple junction solar cells

A target for a-Si:H/ μ c-Si:H/ μ c-Si:H triple junction solar cells was to achieve higher V_{OC} values than state-of-the-art a-Si:H/a-Si:H tandem junctions, i.e. above 1.9 V. Additional

- values than state-of-the-art a-S1:H/a-S1:H tandem junctions, i.e. above 1.9 V. Additional
- emphasis was put on photocurrent-matching of the individual sub cells and an increase in $J_{\rm SC}$
- by integrating n-doped μ c-SiO_x:H layers.^{27,35,36} For the top cell absorber layer a high band gap
- a-Si:H material (1.95 eV \pm 25 meV) was chosen to increase the light incoupling and the V_{OC} .
- Furthermore, the $V_{\rm OC}$ was increased by integrating nominally identical thin intrinsic a-Si:H
- buffer layers at the i-n interface of the μ c-Si:H sub cells. A similar approach were previously described for μ c-Si:H single junction solar cells.^{26,41} To evaluate the different routes, we
- described for μ c-Si:H single junction solar cells.^{26,41} To evaluate the different routes, we investigated four different types of a-Si:H/ μ c-Si:H/ μ c-Si:H cells (referred to as T1-T4):
- a reference solar cell fabricated without μ c-SiO_x:H layers and without n-i buffer layer ("T1"),
- a cell with μc-SiO_x:H layers for middle (<n2>) and bottom cell (<n3>) without n-i buffer layer ("T2"),
- the same cell as T2, but with 30 nm n-i buffer layer for the bottom cell ("T3"),
- the same cell as T2 with a 30 nm buffer layers for the bottom and a 20 nm thin buffer
 layer for the middle cell ("T4").
- Table 2 summarizes the performance of these triple junction cells, including layer preparationand PV parameters of the solar cells.

Table 2. Overview of all relevant layer preparation and PV parameters (V_{OC} , J_{SC} , FF, and efficiency η) of a-Si:H/ μ c-Si:H/ μ c-Si:H devices.

Cell no.	$\mu c-SiO_x:H$ <n2> and <n3></n3></n2>	n-i buffer layer	V _{OC} [mV]	$J_{\rm SC}$ [mA/cm ²]	FF [%]	V _{MPP} [mV]	$J_{\rm MPP}$ [mA/cm ²]	η [%]
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	layers							
T1	w/o	w/o	1906	8.0	69.6	1598	6.6	10.6
T2	with	w/o	1895	8.3	70.8	1602	6.8	11.1
T3	with	bottom	1958	8.3	68.1	1596	6.9	11.1
T4	with	bottom and middle	1976	8.4	67.6	1590	7.1	11.2
		initiatie						

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253 The sub cells had a thickness of 160 nm for the top, 1200 nm for the middle, and 1600 nm for the bottom cell. As presented in Table 2, the integration of μ c-SiO_x:H as intermediate 254 reflecting layers effectively increased the photocurrent density by 0.4 mA/cm² (T1 to T4). 255 Through the integration of intrinsic a-Si:H buffer layers at the μ c-Si:H n-i interfaces the V_{OC} 256 was increased by around 60 mV for one buffer layer (T3) and around 80 mV to 1976 mV for 257 258 two buffer layers for middle and bottom cell (T4), along with a slight decrease in FF. Although the voltage at MPP was around 1.6 V for all cells, the current at MPP was increased 259 by approx. 0.5 mA/cm² from cell T1 to T4. Overall this result exemplarily demonstrates that 260 the $V_{\rm OC}$ can be tuned very systematically within a small voltage range, while the photocurrent 261 at the MPP of the solar cell can be adjusted without impairing the device efficiency. This is 262 263 relevant in particular for water splitting applications, as it allows to precisely adjust the PV parameters to the overpotential requirements of different catalyst materials. 264

- Fig. 3 depicts the quantum efficiency measurement of the triple junction cell T4. The *QE*
- shows that all sub cells have very similar photocurrent densities of 8.0 mA/cm^2 , 8.2 mA/cm^2 , and 8.3 mA/cm^2 as presented in Fig. 3.

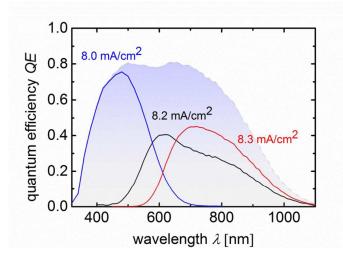


Fig. 3. Quantum efficiency curves of a a-Si:H/ μ c-Si:H/ μ c-Si:H triple junction device corresponding to cell T4 from Table 2. Sub cell current densities calculated from the *QE*curves are placed near the related measurements and the total *QE* is displayed by the blue shaded area.

273 These values are slightly lower than the J_{SC} value quoted in Table 2, where an anti-reflection

foil was additionally used during *J-V* measurements. From the total *QE* photocurrent (shaded

in blue) a slight dip between 500 nm and 650 nm is visible, which corresponds to reflection
losses at the intermediate reflecting/absorber layer interface.

277 A2. a-Si:H/a-Si:H/µc-Si:H triple junction solar cells

278 With the a-Si:H/a-Si:H/ μ c-Si:H triple junction cells the V_{OC} range was expanded to around 2.3 V. Furthermore, balanced and enhanced sub cell photocurrent densities were achieved by 279 introducing n-doped µc-SiO_x:H layers for the top and bottom cells and a thicker n-doped µc-280 SiO_x:H intermediate reflecting (IR) layer after the a-Si:H middle cell.^{27,35,36} The latter ensures 281 that more short wavelength light is reflected back into the two (top and middle) a-Si:H sub 282 cells. Similar to the a-Si:H/ μ c-Si:H/ μ c-Si:H, this triple cell type used the same wide band gap 283 a-Si:H top cell absorber layer. For the middle cell an a-Si:H absorber layer with lower band 284 285 gap (1.91 eV \pm 25 meV) was applied. We compared three different a-Si:H/a-Si:H/ μ c-Si:H devices (referred to as T5-T7): 286

- a reference solar cell prepared without μ c-SiO_x:H n- and IR layers ("T5"),
- a cell with μ c-SiO_x:H n- and IR layers ("T6"), and
- the same cell as T6, but with adapted sub cell thicknesses such that a balanced maximum current level is provided by each sub cells ("T7").

291 The experimental data of the triple junction cells, in terms of *QE* measured photocurrent

densities of each individual sub cell, layer preparation (including layer thicknesses) and PV
 parameters are presented in Table 3.

Cell	µc-SiO _x :H	$J_{\rm OE,top}$	$J_{\rm QE,mid}$	$J_{ m QE,bot}$	V _{OC}	$J_{ m SC}$	FF	V _{MPP}	$J_{ m MPP}$	η
no.	- <n1>, IR,</n1>	$J_{\rm QE,top}$ [mA/cm ²]	$[mA/cm^2]$	$[mA/cm^2]$	[mV]	[mA/c	[%]	[mV]	[mA/c	[%]
	and <n3></n3>	(i-layer	(i-layer	(i-layer		m^2]			m^2]	
		thickness)	thickness)	thickness)						
		[nm]	[nm]	[nm]						
T5	w/o	7.3 (80)	6.8 (400)	7.4 (1200)	2269	7.8	72.3	1859	6.9	12.8
T6	with	7.4 (80)	7.1 (400)	7.4 (1200)	2272	8.3	71.2	1860	7.1	13.4
Τ7	with	7.6 (90)	7.3 (700)	7.6 (1800)	2279	8.6	69.2	1851	7.4	13.6
	(matched)									

Table 3. Overview of all relevant photocurrent densities (for each individual sub cell), layer preparation and PV parameters (V_{OC} , J_{SC} , FF, η) of a-Si:H/a-Si:H/ μ c-Si:H devices.

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Again, the J_{SC} values are higher compared to the J_{QE} values measured from the QE

298 measurements because an anti-reflection foil was used for the J-V measurement. The

299 integration of μ c-SiO_x:H n-layers and IR layers significantly enhanced J_{SC} from 7.8 mA/cm²

300 (cell T5) to 8.3 mA/cm² (cell T6), mainly due to the increase of the middle cell photocurrent

density from 6.8 to 7.1 mA/cm². The current matched cell T7 provided the highest J_{SC} value of 8.6 mA/cm² and a V_{OC} of 2279 mV. This shows that our approach results in an increase in

of 8.6 mA/cm² and a V_{OC} of 2279 mV. This shows that our approach results in an increase in 0.8 mA/cm² and 10 mV relative to cell T5. The good current-matching for cell T7 also results

 0.8 mA/cm^2 and 10 mV relative to cell T5. The good current-matching for cell T7 also results in the slightly decreased fill factor. Overall an efficiency of 13.6 % was obtained along with a

- V_{MPP} of 1851 mV with J_{MPP} of 7.1 mA/cm². Accordingly, in PV-EC device configuration,
- 306 overpotential losses up to 600 mV (1.85 V-1.23 V), which is in the range of state-of-the-art

307 precious metal catalyst materials for the HER and OER,^{39,40} are tolerable to operate the device

near its maximum power point. The application of the multijunction solar cells in integrated

water splitting device will be discussed in section 3.2. The quantum efficiency measurement

of cell T7 is shown in Fig. 4.

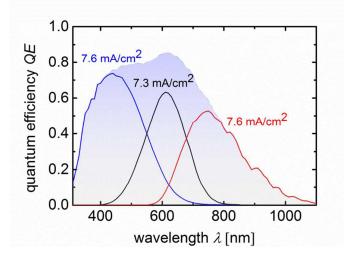


Fig. 4. Quantum efficiency curves of a a-Si:H/a-Si:H/µc-Si:H triple junction device

corresponding to cell T7 from Table 3. Sub cell current densities calculated from the *QE*-

114 curves are placed near the related measurements. The blue shaded area displays the total QE

315 of the triple junction solar cell.

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316 A3. a-Si:H/a-Si:H/µc-Si:H/µc-Si:H quadruple junction solar cells

To increase the flexibility in choosing catalyst materials for water splitting, e.g. catalytically 317 less active non-precious metals, a large excess voltage (> 600 mV) is necessary. Open-circuit 318 voltages of 2.8 V are feasible and were recently shown for quadruple cells.^{34,41,42} In contrast to 319 intrinsic a-SiO_x:H used as top cell absorber layer 41 or a-SiGe:H used as middle cell absorber 320 material.³⁴ we applied the aforementioned wide band gap intrinsic a-Si:H material as top cell 321 and the low band gap a-Si:H material as middle cell absorber layers. We used µc-SiOx:H n-322 layers and integrated an IR layer between the middle a-Si:H and the first µc-Si:H sub cell. In 323 order to match the sub cells in terms of the highest possible photocurrent, the thicknesses of 324 each cell were systematically adjusted. The highest efficiency of 13.2 % (V_{OC} = 2.802 V, J_{SC} = 325 6.8 mA/cm², and FF = 69.5 %) was obtained for the a-Si:H/a-Si:H/µc-Si:H/µc-Si:H quadruple 326 cell with the respective intrinsic absorber layer thicknesses: a-Si:H top cell absorber: 80 nm, 327 a-Si:H middle cell absorber: 400 nm, µc-Si:H middle cell absorber: 1500 nm, and µc-Si:H 328 bottom cell absorber: 2500 nm. Fig. 5 displays the spectral response of the quadruple cell with 329 the highest efficiency. 330



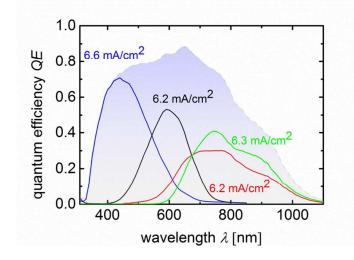


Fig. 5. Quantum efficiency curves of the a-Si:H/a-Si:H/ μ c-Si:H/ μ c-Si:H quadruple junction solar cell. Sub cell current densities calculated from the *QE*-curves are placed near the related measurements and the total *QE* is displayed by the blue shaded area.

In terms of water splitting, the high V_{MPP} of around 2278 mV ($J_{\text{MPP}} = 5.8 \text{ mA/cm}^2$) provides over 1 V of excess voltage and thus offers a lot of freedom in the choice of the catalyst

material, which will be discussed in the following section.

338 Overall, the presented photovoltaic development deviates from prevalent routes, as we

focused on high adjustable output voltages rather than on record electrical conversion

340 efficiencies. Nevertheless, the conversion efficiencies of the triple and quadruple junction

solar cells presented in this study are very close to the highest efficiencies reported for solar
 cells made of thin film silicon.⁴³ Fig. 6 summarizes the multijunction solar cell development

and presents the *J-V* measurements of the best developed triple (cell T4 and T7 from Table 2 and 2) and guadruple investion color colling large with the K_{-} (2.0 V to 2.8 V) and K_{-} (1.6 V

- and 3) and quadruple junction solar cells along with the V_{OC} (2.0 V to 2.8 V) and V_{MPP} (1.6 V
- 345 to 2.3 V) range.
- In real photoelectrochemical systems however, overpotential losses cause that the operation 346 point (theoretically at 1.23 V, red vertical line in Fig. 6) is shifted towards more positive bias, 347 as implied in Figure 6. Assuming that all PV parameters of the solar cells remain unchanged 348 when they are integrated in a PV-EC device, Fig. 6 allows to illustratively predict the 349 performance of PV-EC devices based on our developed solar cells. The operation 350 photocurrent density of a PV-EC device can be read at the crossing point of the solar cell J-V351 with the operation point line at the respective overpotential from the upper x-axis. As can be 352 deduced from Fig. 6, the a-Si:H/a-Si:H/µc-Si:H triple junction could provide a maximum 353 operation photocurrent density of 8.2 mA/cm² when the PV-EC device would operate ideally, 354 355 i.e. without any overpotential losses. When considering losses, the excess voltages become beneficial, as will be discussed in the following. Notwithstanding this, based on the presented 356 photovoltaic results it is already possible to derive the practical performance limits of the 357 state-of-the-art thin film silicon technology for water splitting applications. 358
- 359

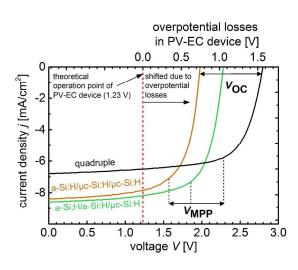


Fig. 6. Current voltage curves of the developed triple, and quadruple junction solar cells based on a-Si:H and μ c-Si:H. The achievable V_{OC} (2.0 V to 2.8 V) and V_{MPP} (1.6 V to 2.3 V) ranges are indicated, respectively. The *J-V* curves of the triple and quadruple junction solar cells are linked with the theoretical operation point of a PV-EC device at 1.23 V (red dashed vertical line, without overpotential losses). In real PV-EC devices this operation point is shifted due to overpotential losses, which are plotted on the upper x-axis.

B. Photovoltaic-biased Electrosynthetic Cells

368 B1. Calculation of solar-to-hydrogen efficiency

During operation the two electrodes (photocathode and anode) of the PV-EC device are shorted. The photocurrent density j_{op} at 0 V applied voltage can be used to estimate the STH efficiency of the PV-EC device using the following equation:⁴⁴

372
$$\eta_{STH} = \frac{\text{power out}}{\text{power in}} = \frac{\Delta E \times j_{op}}{\text{total integrated power input density}}$$
 (1).

 $\Delta E = 1.23$ V is the thermodynamic potential required for water electrolysis at 25 °C, j_{op} is the 373 operating photocurrent density when no bias is applied, and the input power is the incident 374 light intensity. Several different methodologies have been proposed in literature to evaluate 375 the STH efficiencies of water splitting devices. ⁴⁴⁻⁴⁷ One well-established method is described 376 by Eq. (1).^{44, 45, 47} This calculation underlies the assumption that the measured photocurrent 377 378 corresponds to the molecular hydrogen generation via proton reduction (100 % faradaic efficiency). The evaluation described above provides a consistent method for the comparison 379 of the performance between various solar fuel production systems. The assumption of a 380 381 faradic efficiency of 100% for the system under study was confirmed by a volumetric measurement of the evolved gases (see Fig. S1 and Fig. S2, in the ESI). 382

383 B2. Comparison of PV-EC devices based on triple and quadruple junction solar cells

Fig. 7 depicts the *J-V* measurements in 2-electrode configuration of the real PV-EC devices
based on the multijunction solar cells developed in section A and measured in 0.1 M KOH.

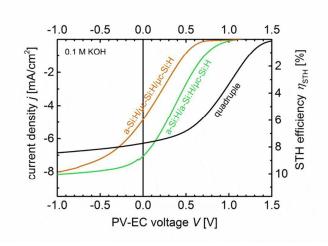
Apparently, the shape of the curves is different from the pure solar cell J-V presented in Fig.

6. In particular the *FF* and V_{OC} are reduced, mainly because of the electrolyte resistance and

photocurrent is slightly reduced compared with the pure *J-V* measurement on the solar cells,
because for the PV-EC measurements no anti-reflection foil was used. However, light

391 absorption and physics of the photogenerated charge carrier separation within the solar cell

remain unaffected when used as photocathode in the PV-EC device.



393

Fig. 7. *J-V* measurements of PV-EC devices based on thin film silicon multijunction
photocathodes with a 150 nm thick Pt layer as HER catalyst and a RuO₂ counter electrode for
the OER reaction. The measurements are conducted in 0.1 M KOH at 50 mV/s.

Above all, the measurements show that the highest photocurrent at 0 V applied bias is 397 provided by the a-Si:H/a-Si:H/uc-Si:H based PV-EC device. The device operates near its 398 MPP at 7.1 mA/cm², which, according to Eq. (1), corresponds to a STH efficiency of 8.7 % in 399 0.1 M KOH. For the a-Si:H/µc-Si:H based device further improvements in the fill 400 401 factor of the solar cell (cell T4) have to be made in order to reach the MPP photocurrent in the 402 short-circuit condition, and thus, achieve a higher STH efficiency. The operation point of the quadruple junction device lies at 6.2 mA/cm². Albeit the corresponding estimated 7.6 % STH 403 efficiency is lower compared to the a-Si:H/a-Si:H/µc-Si:H device for the respective catalysts, 404 405 the quadruple junction device operates in a relatively flat region of the J-V curve (current plateau region). Hence, this device provides a certain excess voltage, which offers a higher 406 flexibility in choosing other non-precious catalyst materials, as will be discussed in the 407 following section. The experimental data of the three PV-EC devices are summarized in Table 408 409 4.

- Table 4. Overview of the relevant parameters for the triple and quadruple based PV-EC
- 411 devices using 0.1 M KOH as electrolyte, Pt as HER catalyst, and RuO_2 as OER catalyst. STH
- 412 efficiencies are calculated based on the assumption of 100 % faradaic efficiency.

Photocathode	j_{op} [mA/cm ²]	η _{STH} [%]
a-Si:H/µc-Si:H/µc-Si:H	4.8	5.9
a-Si:H/a-Si:H/µc-Si:H	7.1	8.7
quadruple	6.2	7.6

414 **B3.** Pt and Ni as HER catalysts

From Fig. 7, it can be deduced that for an approx. 200 mV increase in overpotential losses the

416 quadruple based device would exhibit a higher STH efficiency than its a-Si:H/a-Si:H/ μ c-Si:H

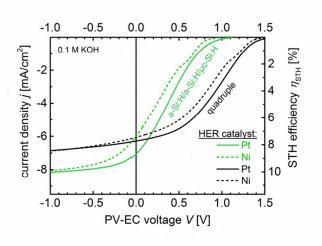
triple junction counterpart. To validate this result, we deposited Ni, instead of Pt on top of the

418 quadruple and triple junction solar cell. Ni is catalytically less active than Pt, but as a non-

419 precious and earth-abundant catalyst material it is alluring due to its cost-effectiveness.⁴⁸

420 In Fig. 8, the *J-V* measurements of the triple and quadruple junction based PV-EC devices

421 with Pt and Ni layers as HER catalysts, respectively are compared.



422

Fig. 8. *J-V* measurements of PV-EC devices based on a-Si:H/a-Si:H/µc-Si:H triple and
quadruple junction photocathodes with RuO₂ counter electrode for the OER reaction. For the
HER reaction 150 nm of Ni (dotted curves) and Pt (solid curves) were deposited on top of the
solar cells, respectively. The measurements were conducted in 0.1 M KOH at 50 mV/s.

427 As expected, the operation photocurrent density j_{op} for the Ni-coated triple and quadruple 428 devices is lower compared to the platinized devices. But the a-Si:H/a-Si:H/ μ c-Si:H based

device shows a significant decrease in j_{op} from 7.1 to 6.1 mA/cm², while the quadruple based

device only loses 0.1 mA/cm^2 by using a Ni catalyst layer instead of Pt and still operates in

431 the current plateau region. Both Ni-coated devices exhibit nearly the same estimated STH

432 efficiency of 7.5 % in 0.1 M KOH. However, the operation point of the triple junction based

433 device lies in the steep slope of its *J-V* characteristics, where a slight deterioration of the

434 device parameters, due to photocorrosion during long-term operation for instance, can

- 435 significantly deteriorate the STH efficiency. The operation point of the quadruple based
 436 device on the other hand lies in the plateau of its *J-V* characteristics, and thus, is less sensitive
- device on the other hand lies in the plateau of its *J-V* characteristics, and thus, is less
 to photovoltage or fill factor variations.

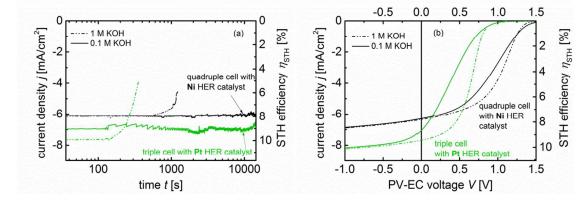
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439 **B4. Electrolyte concentration**

440

441 The effect of the KOH electrolyte concentration on the stability and performance of the triple 442 (a-Si:H/a-Si:H/ μ c-Si:H) and quadruple junction based PV-EC devices are shown in Fig. 9 (a)

- and (b), respectively. Fig. 9 (a) displays the photocurrent density at 0 V applied bias
- 444 monitored over a prolonged period of time for the quadruple junction based device with a Ni
- HER catalyst and for the a-Si:H/a-Si:H/µc-Si:H based device with a Pt HER catalyst in 0.1 M
- and 1 M KOH, respectively.



448 Fig. 9. (a) Chronoamperometric stability measurement monitoring the long-term stability of 449 the a-Si:H/a-Si:H/uc-Si:H and the quadruple junction based PV-EC devices with Pt and Ni catalyst layers, respectively, at 0 V applied bias on a logarithmic time scale. The 450 measurements were conducted in 0.1 M (solid curves) and in 1 M KOH (dotted curves) under 451 AM 1.5 illumination (100 mW/cm²). As a counter electrode for the OER reaction RuO_2 was 452 used. (b) J-V measurements of the triple and quadruple junction based PV-EC devices in 0.1 453 M (solid curves) and 1 M KOH (dotted curves). The measurements were conducted at a scan 454 rate of 50 mV/s. 455

In 0.1 M KOH a stable photocurrent of approx. 6.1 mA/cm² and 7.0 mA/cm², respectively, 456 was measured for both PV-EC devices over the course of 4 hours (approx. 15000 s). The 457 458 periodical fluctuations in the photocurrent densities are caused by repetitive accumulation and detachment of H₂ bubbles at the photocathode surface. The measurements in 1 M KOH 459 revealed that both devices did not operate longer than 1000 s. This result shows that a 460 relatively small change in the pH value from approx. 13 to 14 has a significant effect on the 461 stability of the PV-EC devices. In particular, pitting corrosion and delamination of the stacked 462 metal layers at the solar cell-electrolyte interface prevented longer operation times. Here, 463 adapted PV-EC device designs for robust water splitting operations need to be considered.³¹ 464 465

Notwithstanding this, in the case of the triple junction based device an increase of 0.7 mA/cm^2 466 in the operation photocurrent density j_{op} to 7.7 mA/cm² is observed when 1 M KOH is used as 467 electrolyte solution instead of 0.1 M KOH. From Fig. 9 (b) it becomes apparent that this 468 increase is caused by an improvement of the fill factor in the J-V curves of the triple junction 469 based PV-EC devices. In fact, an increase in the electrolyte concentration reduces the series 470 resistance of the complete PV-EC device, which leads to an improved fill factor. The effects 471 472 of electrolyte resistance and other factors on the performance of PV-EC devices were investigated in more detail by modeling in a previous study.¹² According to Eq. (1) an 473 impressive STH efficiency of 9.5 % can be estimated from the j_{op} of 7.7 mA/cm² for the triple 474 based PV-EC device with Pt as HER and RuO₂ as OER catalyst. Please note that the solar-to-475 hydrogen efficiencies calculated via Eq. 1 represent an upper limit for the η_{STH} due to the 476 assumption of unity faradaic efficiency. 477

Referring to a recently published overview of demonstrated STH conversion efficiencies,¹¹ 478 this is the highest reported STH efficiency for an integrated monolithic thin film silicon based 479 photoelectrochemical device. STH efficiencies over 10 % would become feasible by using 480 anti-reflection foils to enhance the saturation photocurrents of the a-Si:H/a-Si:H/uc-Si:H solar 481 cell in the PV-EC device configuration by around 0.4 mA/cm² from 8.2 to 8.6 mA/cm² (see 482 Fig. 6). However, the issue of photocorrosion, particularly present for electrolyte 483 concentrations of or above 1 M, need to be solved at the same time. In this regard, the excess 484 voltage provided by the quadruple junction based device offers an additional advantage. As 485 apparent from Fig. 9 (a) and (b), the increase in electrolyte concentration does not affect the 486 operation photocurrent density of the device, which exhibits a j_{op} of 6.1 mA/cm² for the J-V 487 measurements conducted 0.1 M and 1 M KOH, respectively. This result can be understood, 488 because the device operates in the current plateau, where an improved fill factor does not 489 490 improve the current density (see Fig. 9 (b)). This overall shows that quadruple junction solar cells not only promote the usage of cheaper catalyst materials, but also allow for the operation 491 in low-concentrated electrolytes without impairing the device efficiency, and thus, for an 492 493 increased long-term stability of the device and the catalysts. 494 495 In total, the presented PV-EC device concept in combination with the broad range of tunable 496 photovoltages offers an important toolbox for the investigation of related research challenges, including catalyst development,⁴⁹ robust surface coating designs,³⁰ or integrated device 497 architectures.⁵⁰ 498

499

500 IV. Summary

The present study outlined the important steps towards designing highly efficient 501 502 semiconductor structures and the presented results provide evidence that stable STH 503 efficiencies over 10 % are within the reach of thin film silicon based devices. We presented in 504 detail the development of triple and quadruple junction solar cells based on a-Si:H and µc-505 Si:H and demonstrated their applicability for efficient solar water splitting. The seriesconnected multijunction cell configurations provided a wide range of achievable $V_{\rm OC}$ (2.0 V to 506 2.8 V) and $V_{\rm MPP}$ (1.6 V to 2.3 V) voltages. Additionally, we were able to systematically tune 507 the V_{OC} of the solar cells within a smaller voltage range (~50 mV) without impairing the 508 device efficiency, a feature which is highly important to compensate the losses occurring in 509 photoelectrochemical applications. Overall, by carefully adjusting the photocurrents of the 510 sub cells we achieved PV efficiencies of 13.6 % for triple and 13.2 % for quadruple junction 511 solar cells. The application in PV-EC devices showed that the a-Si:H/a-Si:H/µc-Si:H based 512 PV-EC device with Pt as hydrogen evolution catalyst and RuO₂ as oxygen evolution catalyst 513 exhibited 7.7 mA/cm² at 0 V applied bias, which corresponds to a solar-to-hydrogen 514 efficiency of 9.5 % (assuming a 100% Faradaic efficiency). The PV-EC device based on a 515 quadruple junction cell (a-Si:H/ μ c-Si:H/ μ c-Si:H/ μ c-Si:H) exhibited enough excess voltage to 516 517 substitute Pt as precious metal catalyst by a more abundant material, such as Ni, and to work in low-concentrated electrolyte solutions without impairing the solar-to-hydrogen efficiency. 518 With Ni as HER catalyst, this device provided 6.1 mA/cm² at 0 V applied bias over the course 519 520 of 4 hours.

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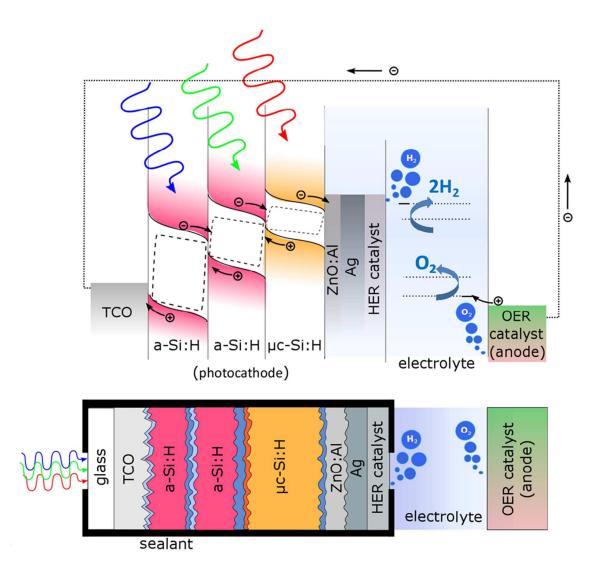
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Graphical Abstract

Bias-free solar water splitting is demonstrated using thin film silicon based triple and quadruple junction solar cells with solar-to-hydrogen efficiencies up to 9.5 %.



Broader context

Solar-driven water splitting, a process that mimics natural photosynthesis, provides a viable example of an ecofriendly energy concept as it converts solar energy into a storable and clean chemical fuel, namely hydrogen. To be competitive with fossil fuels or hydrogen production by other means, this process must however become highly efficient and low-cost. Integrated semiconductor based photoelectrochemical systems emerged as adequate candidates and have been attracting considerable interest among research groups worldwide. In the scientific literature, numerous studies have successfully demonstrated unbiased solar water splitting using Si based photovoltaic-electrochemical (PV-EC) devices. To maximize the solar-tohydrogen efficiency, the photovoltaic cells, however, need to be specifically designed to match electrical parameters for water splitting. Therefore, the present study focuses on the tuning of both, the electrochemical and the photovoltaic parameters of integrated Si based PV-EC devices with respect to the water splitting requirements. We demonstrate the applicability of various types of multijunction photocathodes in PV-EC device configuration which provide a wide range of adjustable photovoltages from 2.0 to 2.8 V. Thereby, the variety of feasible applications and material combinations in photoelectrochemical systems is considerably extended, leading to a remarkable solar-to-hydrogen efficiency of 9.5 %.