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Molecular Characterization of Brown Carbon (BrC) Chromophores in Secondary Organic Aerosol Generated From Photo-Oxidation of Toluene

Peng Lin,^a Jiumeng Liu,^b John E Shilling,^b Shawn M. Kathmann,^c Julia Laskin,^c Alexander Laskin^{a,*}

^aEnvironmental Molecular Sciences Laboratory, Pacific Northwest National Laboratory, Richland, WA ^bAtmospheric Sciencies & Global Change Division, Pacific Northwest National Laboratory, Richland, WA ^cPhysical Sciences Division, Pacific Northwest National Laboratory, Richland, WA

^{*}corresponding author

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ABSTRACT

Atmospheric Brown carbon (BrC) is a significant contributor to light absorption and climate forcing. However, little is known about a fundamental relationship between the chemical composition of BrC and its optical properties. In this work, light-absorbing secondary organic aerosol (SOA) was generated in the PNNL chamber from toluene photo-oxidation in the presence of NO_{\star} (Tol-SOA). Molecular structures of BrC components were examined using nanospray desorption electrospray ionization (nano-DESI) and liquid chromatography (LC) combined with UV/Vis spectroscopy and electrospray ionization (ESI) high-resolution mass spectrometry (HRMS). The chemical composition of BrC chromophores and the light absorption properties of toluene SOA (Tol-SOA) depend strongly on the initial NO_x concentration. Specifically, Tol-SOA generated under high-NOx conditions (defined here as initial NO_x/toluene of 5/1) appears yellow and mass absorption coefficient of the bulk sample (MAC_{bulk}@365nm = 0.78 m² g⁻¹) is nearly 80 fold higher than that measured for the Tol-SOA sample generated under low-NO_x conditions (NO_x/toluene < 1/300). Fifteen compounds, most of which are nitrophenols, are identified as major BrC chromophores responsible for the enhanced light absorption of Tol-SOA material produced in the presence of NO_x. The integrated absorbance of these fifteen chromophores accounts for 40-60% of the total light absorbance by Tol-SOA at wavelengths between 300 nm and 500 nm. The combination of tandem LC-UV/Vis-ESI/HRMS measurements provides an analytical platform for predictive understanding of light absorption properties by BrC and their relationship to the structure of individual chromophores. General trends in the UV/vis absorption by plausible isomers of the BrC chromophores were evaluated using theoretical chemistry calculations. The molecular-level understanding of BrC chemistry is helpful for better understanding the evolution and behavior of light absorbing aerosols in the atmosphere.

1 INTRODUCTION

Interactions between solar radiation and atmospheric aerosols have significant yet 2 poorly understood impact on the Earth's energy balance and climate forcing.¹ Understanding 3 the chemical composition of aerosols is essential to improve the accuracy of predicting their 4 effects in climate models.² Light-absorbing constituents of aerosols are of particular importance 5 due to their warming effect on climate. Optical properties of the strongest light-absorbing 6 atmospheric particles such as black carbon (BC) and mineral dust have been extensively studied 7 in the past and have been incorporated into climate models.^{3, 4} However, there is still 8 substantial discrepancy between model predictions and observational data on aerosol 9 absorption and radiative forcing.⁵ In part, this gap may be attributed to organic aerosol 10 components that strongly absorb solar radiation in the UV and short wavelengths of visible 11 12 region.⁶ They are collectively referred to as atmospheric "brown carbon (BrC)"^{6, 7} because of their apparently yellow to brown color. 13

BrC is recognized as a significant contributor to light absorption and climate forcing.⁸ In 14 certain geographic areas or urban environments, BrC may contribute substantially or even 15 dominate the total aerosol absorption at specific wavelengths.^{5, 9, 10} Global models estimate 16 that light absorption by BrC in different regions of the world may be 27%-70% of BC light 17 absorption.¹¹ However, quantitative predictions of the BrC contribution to the overall light 18 absorption is still a challenging task because of its compositional complexity.^{12, 13} Numerous 19 studies indicate that both the structure and concentration of BrC chromophores are highly 20 variable across sources and locations.^{12, 13} Optical properties of organic compounds strongly 21 depend on their molecular structures. However, little is known about the fundamental 22 relationship between the chemical composition of BrC and its light absorbing properties, which 23 in turn results in high uncertainties in predicting and mitigating their climate effects.^{9, 14, 15} 24

The sources of BrC are complex.^{12, 13} BrC constituents can be present in primary aerosols 25 emitted from combustion sources,¹⁶ or they also can be formed in secondary organic aerosols 26 (SOA) through various reaction pathways¹² including reactions of ammonia or amines with 27 carbonyl compounds in SOA or cloud droplets,^{17, 18} ^{19, 20} dehydration of oligomers formed 28 through reactive uptake of isoprene epoxydiols,²¹ and photooxidation of aromatic volatile 29 organic compounds (VOC).²²⁻²⁴ Formation of light-absorbing nitro-aromatic compounds has 30 been demonstrated in photooxidation of various aromatic precursors including 31 benz[a]pyrene,²⁵ naphthalene,²² catechol and guaiacol,^{26, 27} and toluene in the presence of 32 NO_{x} .^{28, 29} A theoretical study postulated that nitro- and nitrated aromatic compounds in the gas 33 34 and aerosol phase may contribute significantly to light absorption within the boundary layer in the Los Angeles area.³⁰ Nitrophenols and nitrocatechols have been identified as dominant 35

light-absorbing compounds in cloud water samples and PM_{2.5} particles impacted by biomass 36 burning.^{31, 32}

37

Toluene is an abundant aromatic VOC and a potential source of anthropogenic SOA 38 formed in polluted urban air.^{33, 34} Optical properties of SOA produced from photooxidation of 39 toluene (Tol-SOA) in the presence of NO_x have been discussed in a number of recent papers.²⁸, 40 ^{29, 35-37} All of these studies reported that the produced Tol-SOA exhibits substantial BrC 41 characteristics. Light absorption by Tol-SOA in the visible range increases dramatically with 42 increase in the initial NO_x concentration in laboratory chamber experiments.^{28, 29} Although the 43 chemical composition of Tol-SOA has been extensively studied in the last two decades,³⁸⁻⁴¹ the 44 45 direct correlation between light absorption and chemical composition of relevant BrC 46 chromophores has not been established.

47 In this work, we identify and characterize chemical and light-absorbing properties of the 48 most prominent BrC chromophores in Tol-SOA formed under high NO_x conditions. We use 49 nanospray desorption electrospray ionization (nano-DESI) to identify potential BrC chromophores. These species are subsequently analyzed using a combination of high 50 performance liquid chromatography (HPLC) for efficient separation of Tol-SOA constituents, a 51 UV/Vis photodiode array (PDA) detector for detection of light-absorbing molecules eluting from 52 the HPLC column, and an electrospray ionization high-resolution mass spectrometer (ESI/HRMS) 53 for identification of molecular formulas of individual chromophores in Tol-SOA. The LC-UV/Vis-54 ESI/HRMS platform allows the separation of BrC mixtures into numerous fractions with 55 individual UV-Vis spectra (BrC chromophores) and assessment of their plausible molecular 56 compositions. Chromophores identified in this study are representative of BrC formed in urban 57 areas with strong anthropogenic emissions. Therefore, molecular identification of these 58 chromophores is an important prerequisite for improved understanding of sources and aging 59 chemistry of BrC in the atmosphere. 60

METHODS 61

Generation and sampling of Toluene SOA. Secondary organic aerosols were prepared in 62 the PNNL 10.6 m³ Teflon chamber by photooxidation of toluene in the batch mode of operation. 63 64 Before each experiment, the chamber was flushed with purified air for several hours. Following cleaning, the particle concentration in the chamber was lower than 1 cm⁻³, and NO_x was lower 65 than 2 ppby. Toluene was injected into the chamber by passing pure air through a gently 66 67 heated glass bulb containing a measured volume of the pure compound (Sigma-Aldrich, 99.8%). Initial concentrations of toluene in the chamber were 320 ppbv. H₂O₂ was used as OH radical 68 precursor, and was introduced into the chamber by passing pure air over 0.5 mL H_2O_2 (Sigma-69 70 Aldrich, 50wt% in H₂O) solution. For toluene SOA samples formed under high-NO_x conditions 71 (defined here as initial NO_x:toluene = 5:1), NO was injected into chamber from a gas cylinder

(Matheson, 500 ppm in N_2). Of note, the definitions of "high NO_x " and "low NO_x " that we use 72 here are rather arbitrarily in comparison with those used in other chamber studies focused on 73 the estimates of SOA yields.^{42, 43} For example, in the study of Ng et al.,⁴³ the "high NO_x " 74 condition was reached by using NO_x:toluene \approx 10:1, where the peroxy radicals (RO₂) reacted 75 only with NO. In our work here, the environmental chamber experiments were conducted to 76 77 generate two sets of the Tol-SOA samples with substantially different optical properties. Thus, 78 the terms of "high NOx" and "low NOx" used in this study are to distinguish these two different 79 samples, and emphasize effects of NO_x on the formation of BrC SOA during toluene photo-80 oxidation.

During the experiment, a suite of online instruments were used to characterize both the gas- and particle-phase composition. The evolution of toluene concentration was tracked by an lonicon proton-transfer-reaction mass spectrometry (PTR-MS). For aerosols formed in the chamber, number and volume concentrations were measured with a scanning mobility particle spectrometer (SMPS), and mass concentration and compositions was measured by an Aerodyne high-resolution time of flight mass spectrometry (HR-ToF-AMS). A detailed description of the experimental and instrument operation procedures will be presented elsewhere.⁴⁴

Tol-SOA samples were collected onto Teflon filters (Pall life sciences, 37 mm, 1 µm pore 88 size) for light absorption measurements. Filters were sonicated in 10 mL of high purity water 89 (18.2 MΩ) to extract water-soluble brown carbon. The liquid extracts were then filtered via a 90 25mm-diameter 0.45 μm pore syringe filter (Fisher Scientific, Fisherbrand[™] Syringe Filters) to 91 remove insoluble components, and transferred into a Liquid Waveguide Capillary Cell 92 spectrophotometer to quantify the water-soluble UV-Vis light absorption spectra.⁴⁵ The filter 93 was then dried and extracted in 10 mL acetonitrile (AcN hereafter) and transferred into 94 spectrophotometer again to determine the light absorbance by water-insoluble components. 95 The solution absorption due to BrC (Abs(λ), Mm⁻¹) is determined as the sum of absorption from 96 both water and acetonitrile extracts, shown below, 97

98
$$Abs_{H_20}(\lambda) = (A_{H_20}(\lambda) - A_{H_20}(700)) \frac{V_l}{V_a \cdot l} \cdot ln(10)$$
(1)

99
$$Abs_{AcN}(\lambda) = (A_{AcN}(\lambda) - A_{AcN}(700)) \frac{V_l}{V_a \cdot l} \cdot ln(10)$$
(2)

100
$$Abs(\lambda) = Abs_{H_2O}(\lambda) + Abs_{AcN}(\lambda)$$

101 Measurements are referenced to absorption at 700nm, a region believed to be free of 102 absorption,^{16, 46} to account for any signal drift. V_l is the liquid volume the filter is extracted into 103 (10 mL) and V_a the volume of air sampled during the filter collection period (9 L min⁻¹ for 1-3 104 hours). l is the waveguide optical path length (1 m in this study) and ln(10) converts from base 105 (the form provided by the spectrophotometer) to natural logarithm. The limit of detection

(3)

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106 (LOD) was 0.066 Mm⁻¹, and the uncertainty was estimated at 13%. Spectrophotometric 107 measurements of the solution light absorption at a given wavelength ($Abs(\lambda)$) were then used 108 to determine the bulk solution mass absorption coefficient (MAC_{hulk} , in units of m² g⁻¹) by,

109
$$MAC_{bulk}(\lambda) = \frac{Abs(\lambda)}{M/V_a}$$
 (4)

110 Where the aerosol loading on the filter, *M*, was estimated based on aerosol mass 111 concentration measured by the AMS, the flow rate, and the sample collection time.⁴⁴

Size-segregated Tol-SOA samples for detailed chemical analysis were collected onto a 112 113 second set of Teflon substrates (Whatman, Inc.) using a ten-stage micro orifice uniform deposition impactor (MOUDI, MSP Corp., Shoreview, MN, USA) operating at a flow rate of 30 L 114 115 min⁻¹. The Teflon filters were weighed using a microbalance before and after sampling to obtain SOA mass loadings on each filter. MOUDI was used to collect bulk aerosol samples of SOA. 116 Samples from four stages with the highest mass loadings were used for detailed analysis. 117 Samples of high-NO_x Tol-SOA were collected on the 6th and 7th stages of MOUDI (0.32 μ m < D_n < 118 1.0 μ m), where ~80% of the aerosol mass was deposited. In the low-NO_x experiments the 119 particle size distribution of Tol-SOA was shifted to smaller sizes, and ~80% of the aerosol mass 120 was distributed over the 7th and 8th stages (0.18 μ m < Dp < 0.56 μ m) which were used for 121 analysis, respectively. The total mass loadings collected on two stages in each of the 122 experiments were similar between low-NO_x Tol-SOA (230 μ g on stage 7 and 40 μ g on stage 8) 123 and high-NO_x Tol-SOA (160 µg on stage 6 and 110µg on stage 7). Later, Tol-SOA materials 124 collected on two stages were combined together and extracted for HPLC analysis. Identical 125 solvents were used to extract Tol-SOA from filters for both the detailed chemical analysis and 126 for the optical measurements of *MAC*_{bulk}. 127

128 Chemical Characterization. Tol-SOA samples were first probed directly from the substrate without any sample preparation, by using a high resolution LTQ-Orbitrap mass 129 130 spectrometer (Thermo Electron, Bremen, Germany) interfaced with a custom-built nanospray desorption electrospray ionization (nano-DESI) source. Details about the experiment setup have 131 been described in our previous studies.^{47, 48} Briefly, the sample was positioned on a computer-132 133 controlled XYZ stage and brought in contact with the nano-DESI probe, which was assembled 134 using two fused silica capillaries (50 × 193 µm, ID × OD, Polymicro Technologies, L.L.C., Phoenix). The solvent (50/50%, acetonitrile/water) was infused using a syringe pump at a flow rate of 0.3-135 1µL/min that was matched to the self-aspiration rate of the nanospray capillary.⁴⁷ Typical 136 experimental conditions were: spray voltage of 3.5kV, 250 °C temperature of the heated 137 capillary, and the mass spectrometer was operated in the negative ion mode with a resolving 138 power of $\Delta m/m = 10^5$ at m/z 400. The instrument was regularly calibrated using a standard 139 140 calibration MSCAL 5 mixture (Sigma-Aldrich, Inc.).

For HPLC analysis, portions of the filter samples were extracted into 500 µL mixtures of 141 50/50% (v/v) acetonitrile and ultrapure water (LC-MS CHROMASOLV®, Sigma-Aldrich). Visual 142 inspection of the filters after solvent extraction indicated that all the material was efficiently 143 removed from the filter. The resulting solutions were first concentrated through evaporation 144 under slow N₂ flow to a volume of ~20 μ L. Next, 100 μ L of ultrapure water was added to make 145 the solvent compatible to the initial setting of solvent gradient of HPLC analysis. The mass 146 147 concentration of Tol-SOA in the final solution was estimated to be approximately the same $0.56\mu g/\mu L$ for both high and low-NO_x Tol-SOA samples. The estimation was based on the 148 149 measured SOA mass loading on the Teflon substrates, assuming 100% efficiency of solvent 150 extraction.

151 Solutions containing Tol-SOA samples were subsequently analyzed using a HPLC-UV-Vis-ESI/HRMS platform for identification and characterization of the BrC chromophores. The LC/MS 152 consists of a Surveyor Plus system (including LC pump, autosampler and PDA detector), a 153 standard IonMAX[™] electrospray ionization (ESI) source, and a high resolution LTQ-Orbitrap 154 mass spectrometer (all modules are from Thermo Electron, Inc). The separation was performed 155 on a reverse-phase column (Luna C18, 2×150mm, 100Å pores, 5µm particles, Phenomenex, 156 Inc.). Gradient elution was performed by H_2O/AcN at a flow rate of 200 μ L min⁻¹: 0-3 min hold 157 at 10% of AcN, 3-43 min linear gradient to 90% AcN, 43-50 min hold this level, 50-51 min back 158 to 10% AcN, and hold until 70 min to recondition the column and make it ready for injection of 159 the next sample. UV/vis absorption was measured using PDA over the wavelength range of 200 160 to 700 nm. The ESI setting were: -3.5kV spray potential, 35 units of sheath gas flow, 10 units of 161 auxiliary gas flow, and 8 units of sweep gas flow. 162

Xcalibur (Thermo Scientific) was used to acquire raw data. Mass spectral features with a 163 minimum signal-to-noise ratio of 10 were extracted by using Decon2LS software⁴⁹ developed at 164 Pacific Northwest National Laboratory (PNNL) (http://ncrr.pnl.gov/software/). Data processing 165 166 was performed using a suite of Microsoft Excel macros developed in our group, including background subtraction, first and second-order Kendrick mass defect analysis. Details about 167 these procedures have been described in our previous studies.^{50, 51} Elemental formulas were 168 assigned to one peak in each group using MIDAS molecular formula calculator 169 170 (http://magnet.fsu.edu/~midas/). Formula assignments were performed using the following constraints: $C \le 50$, $H \le 100$, $N \le 5$, and $O \le 50$. Neutral formulas were obtained by adding a 171 proton to the formulas of [M-H] ions. The double-bond equivalent (DBE) of the neutral 172 formulas was calculated using the equation: DBE = c - h/2 + n/2 + 1. Where c, h, and n 173 174 correspond to the number of carbon, hydrogen and nitrogen atoms in the neutral formula, respectively. 175

Chemical Structure and UV/vis Calculations. The guantum chemical calculations of the 176 optimized structures and UV/vis absorption spectra where performed using the Gaussian 177 software package.⁵² As a balance between accuracy and computational efficiency, the B3LYP/6-178 311++G** level of theory and basis sets were employed for both geometry optimizations and 179 the TD-DFT UV/vis calculations of the first 20 excited singlet electronic states.⁵³ Solvent effects 180 were not included since we were looking for general trends in the behavior of the UV/vis 181 182 spectra on the location of the specific functional groups around the aromatic rings of the spectral candidates relevant to BrC. The suitability of the selected quantum chemistry method 183 184 was tested by performing a series of calculations for selected aromatic compounds of increasing complexity to ensure the calculated UV/vis trends were correct. The series included 185 186 naphthalene, anthracene, and tetracene. The computed trends of maximum absorption (λ_{max}) between these compounds matched well their corresponding literature values.⁵⁴ Specifically, 187 the calculated (literature) values were: naphthalene = 285nm (301nm), anthracene = 383nm 188 (376nm), and tetracene = 500nm (474nm). Thus, the level of theory and the basis sets selected 189 190 for our study were sufficient to reproduce trends quite well.

191

192 **RESULTS AND DISCUSSIONS**

Samples of Tol-SOA produced under high and low NO_x conditions have substantially 193 194 different light absorbing properties as inferred from both visual observations and MAC_{bulk} measurements. Figure 1 shows photographs of the high- and low-NOx Tol-SOA samples along 195 196 with a plot indicating differences in their MAC_{bulk} values. It is observed that the sample of high-NO_x Tol-SOA appears yellow in color and exhibits significant light absorption at near UV and 197 short visible wavelength with $MAC_{bulk}@365$ nm = 0.78 m² g⁻¹. In contrast, Tol-SOA produced 198 under low-NO_x conditions is colorless, and its $MAC_{bulk}@365nm$ value of 0.01 m² g⁻¹ is almost 199 200 two orders of magnitudes lower than that of the high-NO_x Tol-SOA. These two samples were further analyzed to obtain detailed information on the chemical composition and the light 201 202 absorption properties of the individual molecular components.

203 Compositional differences between Tol-SOA generated under high- and low-NO_x 204 conditions. The Tol-SOA samples were first analyzed by nano-DESI/HRMS to obtain an overview of their molecular composition. Figure 2 shows mass spectra of Tol-SOA generated at high-NO_x 205 and low-NO_x conditions. The chemical composition of Tol-SOA generated under high-NO_x 206 conditions is remarkably different from that formed under low-NO_x conditions. A large number 207 208 of nitrogen containing compounds (CHON) were produced under high-NO_x conditions, and 209 accounted for ~68% of the assigned elemental formulas. A majority of the CHON molecules 210 (~90%) have O/N ratios higher than 2, consistent with the assumption that those products 211 contain at least one nitro group (-NO₂). The candidates for these CHON compounds include

nitro-phenol analogues, organic nitrates, acylperoxyl nitrates, and unsaturated hydroxyl nitro 212 acids, which can overall account for > 60% of aerosol mass in tol-SOA, as predicted by the 213 kinetic mechanism of photochemical oxidation of toluene.⁵⁵ In contrast, photooxidation 214 products observed in Tol-SOA produced under low-NO_x conditions are dominated by 215 compounds composed of carbon, hydrogen and oxygen atoms (CHO). Although some of the 216 CHON compounds were also detected in low-NO_x Tol-SOA, they were only observed as ions 217 218 with very low intensities (signal/noise ratio < 80). Those compounds are likely products of SOA carbonyl compounds reacting with NH₃ during exposure of samples to an ambient air at the 219 time of sample handling and data acquisition.^{17, 47} 220

221 The compositional diversity of Tol-SOA components and their dependence on the initial 222 concentration of NO_x reflects differences in mechanisms of toluene oxidation by mixed OH/NO_x oxidants. Oxidation of toluene initiated by reactions with OH radical has been studied by 223 several groups.^{38-41, 55-57} For instance, Forstner et al.³⁸ reported that about 90% of products 224 were formed through OH radical addition resulting in formation of methyl hydroxyl 225 cyclohexadieny radicals, which may further react with O_2 (k $\approx 5 \times 10^{-16}$ cm³ molecule⁻¹ s⁻¹) or NO₂ 226 $(k \approx 3 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1})$.^{57, 58} It is reported that the reaction with O₂ leads to a series of 227 fragmentation, rearrangement, and radical propagation products with CHO composition such as 228 reactive aldehydes, carboxylic acids, guinoid and furanoid compounds.^{39, 56} Under common 229 tropospheric conditions, the O_2 pathway typically dominates.⁵⁷ However, when NOx 230 concentrations are high, a fraction of the cyclohexadienyl radicals noted above react by 231 addition of NO_2^{41} to the aromatic ring leading to formation of nitro aromatic compounds.³⁹ 232 Though this pathway likely represents a small subset of all reactions, it does not lead to 233 234 fragmentation and therefore may contribute substantially to SOA mass.

Additionally, about 10% of toluene oxidation products are formed through H-atom abstraction of the methyl group and results in formation of benzaldehydes, which can further oxidize to lower volatility benzoic acid³⁸ that partitions into particle phase. In the condensed phase, benzoic acids and benzaldehydes may participate in oligomerization reactions yielding higher molecular weight (high-MW) products.⁵⁹ In contrast, at high-NO_x conditions, reaction products are shifted towards formation of nitrophenols³⁹ that have lower tendency for fragmentation and oligomerization.

A variety of organic radicals are produced through radical propagation reactions during toluene oxidation. These organic radicals may also react with NO_2 and lead to nitrogen containing products. For instance, it was estimated that the effective yield of the reaction between methyl phenoxy radicals and NO_2 increased from 0.34 to 0.69 as the effective NO_2 concentration increased from 13 to 97 ppbv,²⁸ demonstrating the overwhelming reaction with NO₂ rather than with other oxidants. The reactions of organic radicals with NO_x regulate the amount of nitrogen containing compounds formed in Tol-SOA as illustrated by Figure 2.

249 Figure 2 indicates that Tol-SOA produced under low-NO_x conditions contain a larger fraction of high-MW products in comparison with Tol-SOA formed under high-NO_x. These high-250 MW species are mainly CHO compounds. As we mentioned before, the O₂ pathway leads to a 251 252 series of radical propagation reactions and results in a variety of aldehydes and organic peroxy radicals (RO₂). High-MW compounds are formed from these reactive aldehydes through 253 hemiacetal/acetal oligomerization and/or aldol condensation.^{59, 60} Under high-NO_x conditions, 254 the addition of NO₂ to organic radicals terminate radical propagation, which result in more ring-255 256 retaining products and less aldehydes that may not favor oligomerization. Under low NO_x 257 conditions, RO₂ radicals react with hydroperoxyl radical (HO₂), forming organic hydroperoxides (ROOH) that may further react with aldehyde species to produce low volatile peroxy 258 hemiacetals, which play an important role in SOA formation.⁶¹ In contrast, under high NO_x 259 conditions, RO₂ radicals react with NO_x to produce species which are less likely to undergo 260 261 particle-phase oligomerization reactions that contribute to the formation of high-MW products. In summary, comparison of nano-DESI spectra of Tol-SOA produced under low-NO_x and high-262 NO_x conditions suggests that nitrogen-containing species abundant in the high- NO_x Tol-SOA 263 may contribute to the observed light absorption while oligomeric products abundant in the 264 low-NO_x Tol-SOA do not absorb visible light to a significant extent. 265

266 UV/Vis absorption spectra of organic molecules vary dramatically with their structural features. The extent of the double bond conjugation that can be indirectly inferred from a 267 number of double bonds and rings in the molecule expressed as DBE is an important parameter 268 that determines molecular optical properties.⁶² Figure 3 shows the DBE values for compounds 269 observed in the high-NO_x and low-NO_x Tol-SOA plotted as a function of a number of carbon 270 atoms. The upper compositional boundary for naturally occurring hydrocarbons in fossil resources⁶³ is 271 272 shown by the blue line. The extent of unsaturation and conjugation in each identified product can be inferred from this plot. Specifically, oxidation products observed in Tol-SOA generated 273 274 under high- NO_x conditions have higher extent of unsaturation in comparison to compounds observed in Tol-SOA generated under low-NO_x conditions. This is consistent with previous work 275 by Birdsall et al⁶⁴ showing that a larger fraction of aromatic products are present in high-NO_x 276 277 Tol-SOA, while under low-NO_x conditions, the addition of O₂ initiates a series of radical 278 propagation reactions leading to a variety of ring cleavage products. Higher degree of 279 conjugation tends to reduce the energy gap for $\pi \rightarrow \pi^*$ transitions, leading to light absorption at 280 longer wavelengths. In addition, light absorption by organic molecules is also affected by functional groups. For example, it is well-established that absorption of visible light is often 281 enhanced in the presence of nitro/nitrate groups in the molecule.⁶⁵ As discussed earlier, 282 nitroaromatic compounds have been identified as the dominant light-absorbing species in field-283

collected aerosol samples.^{31, 32} However, detailed speciation of the light-absorbing molecules in Tol-SOA has not been previously reported. In the following section we describe chemical characterization of the individual BrC chromophores in Tol-SOA using HPLC-UV/Vis-ESI/HRMS.

Identification of BrC chromophores. Figure 4 shows UV/Vis chromatograms integrated 287 288 over the 300-500nm region and MS total ion count (TIC) chromatograms obtained by HPLC analysis of both high-NO_x and low-NO_x Tol-SOA samples. The results demonstrate that the high-289 290 NO_x Tol-SOA sample contains a number of well-separated molecular fractions that efficiently absorb light in the 300-500nm region. In contrast, a very different UV/Vis chromatogram was 291 292 obtained for the low-NO_x Tol-SOA sample with only several small features observed above the 293 background. Similarly, the TIC chromatogram obtained for the high-NO_x sample exhibits distinct 294 features in comparison to that of the low-NO_x sample (Figure 4). After correcting for the shift in retention times (RT) between the signals recorded by MS and UV/Vis detectors, the major 295 296 absorption peaks in the UV/Vis chromatogram were found to correlate well with the major peaks observed in the TIC chromatogram, suggesting that ions contributing to the MS signal are 297 298 also responsible for signals detected by the PDA detector.

The mass spectrum of each chromatographic peak usually contains a number of ions corresponding to the analyte of interest as well as to the solvent background and species that were not separated by HPLC. We used comparative analysis of MS spectra recorded at the beginning, maximum, and end of the chromatographic feature to identify ion signals strongly correlated with the UV/Vis chromatographic peaks. Details of the data analysis methodology are elaborated in the SI file (see Figure S1 and the associated text).

Figure 5 shows extracted ion chromatograms (EIC) of selected abundant ions that correlate with the features in the UV/Vis chromatogram of the high-NO_x Tol-SOA sample. A majority of these compounds contain 6-7 carbon atoms with DBE values ranging from 5 to 7, indicative of the preserved aromatic ring. In addition, there are also 1-3 nitrogen atoms and 3-7 oxygen atoms in each molecule, making O/N ratio greater than 2 in all of the identified species. These observations suggest that these compounds most likely contain nitro group, an assumption that explains DBE values in excess of 4 (characteristic for a single aromatic ring).

A summary of the most prominent BrC chromophores, their UV/Vis spectra and 312 corresponding retention times (RT) is listed in Table 1. Overall, 15 major BrC features along with 313 their elemental composition were identified and their plausible molecular structures were 314 315 suggested. Several commercially available compounds such as picnic acid and 3,5-316 dinitrosalicylic acid were analyzed using the same experimental conditions. This allowed a 317 direct comparison of their UV/Vis spectra and retention times with the features observed in the 318 high-NO_x Tol-SOA sample. In addition, we were able to identify several compounds by 319 comparing their elemental composition with the composition of toluene photooxidation

products identified in previous studies and their UV/Vis spectra with UV/Vis spectra of 320 individual compounds reported in literature. For example, the UV/Vis spectrum of the peak that 321 eluted at 16.5 min is characterized by maximum absorption (λ_{max}) at 347 nm and a shoulder 322 around 310nm. Based on the HRMS data, the compound eluted at this time was assigned with 323 neutral elemental formula C₆H₅NO₄, which is most likely nitrocatechol. The UV/Vis spectrum of 324 4-nitrocatechol was reported with λ_{max} at 345nm and a shoulder at 309nm. 66 In contrast, 325 another isomer, 3-nitrocatechol was reported with λ_{max} at 296nm.⁶⁷ Based on this comparison 326 we identified the chromophore eluting at 16.5 min as 4-nitrocatechol. 327

328 We note that in some cases, the observed UV-Vis spectrum may be a combination of the 329 UV/vis spectra of several compounds. For example, absorbance at RT = 24.3 min correlates well 330 with the elution time of two molecules with elemental formula of $C_7H_7NO_3$ and $C_6H_4N_2O_5$, respectively. Nitrocresols (C₇H₇NO₃) have been identified in Tol-SOA produced in the presence 331 of NO_x.³⁹ One of its isomers, 4-nitro-o-cresol, has peak absorption wavelength (λ_p) at 319nm,³⁰ 332 which is close to λ_p (325nm) of the spectrum observed at RT = 24.3min (Table 1). The minor red-333 shift of the observed absorption may be caused by co-eluted $C_6H_4N_2O_5$, probably dinitrophenol. 334 Some of its isomers, e.g., 2,6-dinitrophenol and 2,3-dinitrophenol, absorb light at longer 335 wavelengths of 350 and 329 nm, respectively³⁰ and could contribute to the observed 336 absorption. 337

There are two elemental formulas corresponding to the compounds eluted at RT = 338 21.7min: C₇H₇NO₄ and C₆H₄N₂O₆, which are likely methyl-nitrocatechol⁴⁰ and dinitrocatechol,⁶⁸ 339 respectively. The PDA spectrum at this RT appeared to be similar to that eluted at 16.5min, 340 341 which was mainly contributed by nitrocatechol. Moreover, for each identified formula, multiple structural isomers are possible. In order to assess the effect of methyl-substitution and 342 functional groups' positions on the UV/Vis spectra of aromatic compounds, the spectroscopic 343 properties of several model compounds were evaluated by guantum chemistry calculations of 344 their electronic structures.⁵³ Figure S2 shows the chemical structures of the 14 model 345 compounds and their absorption spectra obtained from quantum calculations. Table 1 includes 346 347 several conclusions that were drawn from these results. First, the substitution of methyl group 348 and its position on the aromatic ring doesn't change substantially the UV/Vis spectra of aromatic compounds, as demonstrated by comparing the structures 1 – 3 of Figure S2. Second, 349 the UV/Vis spectra of structures 4-7 suggest that the relative positions of –OH and –NO₂ groups 350 on the aromatic ring significantly affect the absorbance of nitrocatechol. Similar effects were 351 also found for the isomers of dinitrocatechol (structures 8-14). The calculated results indicate 352 that molecular structures with -NO₂ groups in para positions have the longest wavelength of 353 light absorbance, followed by ortho and meta isomers. The position of -OH groups have 354 additional but less pronounced influence. In the end, we should point out that all the 355 theoretical calculations used here are applied for single molecule only, without taking into 356

account the solute-solvent interactions, which were suggested to have a direct and significant 357 influence on the UV/Vis spectra.⁶⁶ This makes it difficult to directly compare the spectra 358 measured by PDA with those calculated by guantum methods. However, the guantum chemical 359 calculations do provide important trends as to the effect of different locations of the functional 360 groups around the aromatic rings on the UV/vis spectra. The key result of these calculations 361 362 indicate that when the nitro groups are farthest apart, i.e., on opposite sides of the aromatic 363 ring, the HOMO-LUMO gap is reduced yielding absorption wavelengths that are red-shifted with respect to compounds with adjacent nitro groups. This can be easily understood via the 364 365 well-known quantum particle in a box - larger boxes allow for absorption at longer wavelengths.

366 Figure 6 illustrates analysis results in two cases where identification of BrC features was 367 assisted by comparison with commercially available standards. Specifically, the compound with assigned elemental formula $C_6H_3N_3O_7$ was identified as the most abundant ion eluted at RT = 368 369 39.1 min, while the signals of other ions with the same chromatographic record were substantially lower. The EIC of these ions correlate well with the UV/Vis signal (Figure 6a). In 370 371 ESI-MS, the intensity of ion signal is determined by both concentration and ionization efficiency of the analyte molecule. Therefore, it is hard to unambiguously conclude whether the signal 372 intensities of these compounds, as shown in Figure 6a, represent their relative concentrations 373 in the mixture and relative contribution to UV/Vis absorbance. However, in this case it was 374 possible to compare the UV/Vis spectrum of this BrC feature with a commercially available 375 376 standard of picric acid ($C_6H_3N_3O_7$). As shown in Figure 6c, the corresponding two spectra match very well with each other. The result implies that picric acid is most likely the dominant BrC 377 378 chromophore responsible for light absorption at RT = 39.1 min.

379 Figure 6b shows another example of BrC chromophore eluting at RT = 36.9min, where 380 two major ions (with neutral formulas $C_7H_4N_2O_7$ and $C_6H_4N_2O_5$) along with several lowabundance species were detected. The UV/Vis spectrum of this BrC feature was found to 381 382 match well with the spectrum of 3, 5-dinitrosalicylic acid ($C_7H_4N_2O_7$) (Figure 6d). The latter was also analyzed with LC-MS using the same experimental conditions and was found to elute at 383 384 similar retention time. The mass spectrum of 3, 5-dinitrosalicylic acid also contained both 385 $C_7H_4N_2O_7$ and $C_6H_4N_2O_5$ suggesting that $C_6H_4N_2O_5$ is a fragment of $C_7H_4N_2O_7$ formed in the ESI process or through in-source fragmentation. It follows that 3, 5-dinitrosalicylic acid is the 386 387 characteristic BrC chromophore responsible for light absorption at RT = 36.9 min.

The results shown in Figures 5 and 6 indicate that the most abundant ions observed in MS determined the light absorption observed by UV/Vis. The absorption spectra of 15 identified peaks from Table 1 were summed together to evaluate their contributions to the total absorption measured by PDA detector as shown in Figure 7. The total absorbance of these 15 peaks accounts for 60% and 41% of the overall absorbance in the wavelength ranges of 300-

400nm and 400-500nm, respectively. The rest of the absorption is mainly due to the rising 393 baseline or a broad "hump" observed in the UV/vis chromatogram at 15-30min (Figure 4, blue 394 line). This chromatographic feature is similar to those frequently observed in gas 395 chromatography (GC) data of atmospheric aerosols^{69, 70} or other complex organic mixtures, 396 where a term unresolved complex mixtures (UCM) was introduced.⁷¹ The mass spectra of LC 397 fractions eluted within this period were averaged and processed following the same 398 399 methodology described in Figure S1 of the SI file. The resulting mass spectrum consisted of over 400 100 ions, including 11 of the identified chromophores from Table 1 as the most abundant ions 401 (see Figure S2 of the SI file). The intensities of other ions were substantially smaller than the intensities of the identified ions, indicating either their low individual concentrations or poor 402 403 ionization efficiency. It is also possible that some chromophores detected by PDA are not 404 ionized by ESI. Further identification of chromophores in the UCM fraction is still challenging and may require two-dimensional chromatographic technology,⁷² which is beyond our current 405 instrumental capability. 406

407 Figure 7 also illustrates that among 15 identified chromophores, light absorption above 350 nm is dominated by 10 peaks eluting between 14-40 min. As shown in Table 1, 408 409 chromophores eluting during this period are mainly nitro-phenolic compounds. It is interesting to note that the UCM fraction also elutes at the same time (i.e., 15-30min). This observation 410 suggests that compounds in the UCM fraction have similar physiochemical properties as the 411 412 abundant chromophores identified in this study, which may explain the substantial light absorption by UCM. We assigned molecular formulas to the observed ions in the UCM fraction 413 as described in the experimental section. Overall, 98 ions were successfully assigned with 414 415 reasonable formulas within the constraints used in this study (C \leq 50, H \leq 100, N \leq 5, and O \leq 50). Unambiguous formula assignment of other peaks was not possible either due to the 416 417 reduced mass accuracy for low- intensity peaks or because of the presence of other elements 418 not considered in our assignment. 78 of the 98 identified formulas are CHON compounds with O/N ratio greater than 2, and 92 compounds contain at least six carbon atoms and have DBE/C > 419 420 0.5, suggesting the presence of nitro/nitrate groups and aromatic structures. It follows that chromophores in the UCM fraction are also predominately nitro-aromatic and/or organonitrate 421 422 compounds.

423 **Atmospheric implications.** The combination of HPLC with UV/Vis spectroscopy and 424 ESI/HRMS is shown to be an effective analytical platform for the identification of BrC 425 chromophores and characterization of their light-absorption properties. Tol-SOA, selected as 426 one of the best characterized secondary BrC aerosols, were examined in this study. The results 427 provide direct evidence that nitro-phenols are significant BrC chromophores responsible for the 428 enhanced BrC characteristics of Tol-SOA generated under high-NO_x conditions. Considering that 429 both aromatic hydrocarbons and NO_x are substantial anthropogenic air pollutants in urban regions,⁷³ nitro-phenolic compounds produced from the photo-oxidation of aromatic
hydrocarbons could be an important source of BrC in urban atmosphere.

In this study, BrC SOA was produced in a smog chamber simulating the daytime 432 troposphere photochemistry, and nitro-phenols were identified as significant BrC 433 chromophores. It should be pointed out that, besides daytime gas-phase chemistry, there are 434 also other atmospheric processes or emission sources that can produce nitro-phenols. Such 435 processes/sources include nighttime 'NO₃-mediated nitration of phenols in the gas phase,⁷⁴ 436 hydroxylation and nitration of aqueous benzene in the presence of nitrite under UV-437 irradiation,⁷⁵ combustion processes of motor vehicles,⁷⁶ and biomass burning.^{31, 32, 77} A recent 438 study of Liu et al. reported that the imaginary part (k) of refractive indices (m = n - ik) of the 439 440 aromatic SOA produced from photooxidation of toluene and m-xylene in the presence of NOx is similar to those reported for BrC in urban and biomass burning plumes.²⁹ The conclusions of 441 these studies expand the significance of nitro-phenolic compounds as BrC chromophores in the 442 atmosphere. 443

It is important to point out that most of these nitro-phenolic compounds are acidic in 444 nature and deprotonation of the neutral BrC chromophores may have significant effect on their 445 absorption spectra.⁷⁸ Table 2 lists several nitro-phenolic compounds with known acid 446 dissociation constants (pKa) and their longest peak UV/Vis absorption wavelength ($\lambda_{\rm p}$). It is 447 shown that the deprotonated forms of these compounds usually absorb at considerably longer 448 wavelength than their neutral counterparts. Molar (or mass) absorption coefficients also vary 449 greatly for deprotonated and protonated forms of molecules. Deprotonation of an acidic 450 compound depends on its pKa and the pH of the solution.⁷⁹ The pH of cloud and fog droplets 451 was measured in a wide range of 2.3-7.6.^{80, 81} Aerosol in-situ pH cannot be directly measured 452 due to its low liquid water content (LWC), but can be estimated by thermodynamic models.^{82, 83} 453 In urban area, the in-situ pH of ambient aerosols is generally acidic (pH < 4.0), $^{84, 85}$ regulated by 454 the molar ratio of major inorganic components and LWC.⁸⁶ Since the pKa values of nitro-455 phenolic compounds are close to or within the range of atmospheric pH, their light absorption 456 457 spectra may be significantly affected by changes in the acidity of aerosol population.

458 Despite the acidic nature of SOA produced from gas- and aqueous-phase oxidation processes in urban atmosphere, another class of light absorbing aerosol, mineral dust, is mainly 459 460 composed of alkaline components. Mineral dust aerosols can transport over thousands 461 kilometers from their source regions and account for a larger fraction of aerosol flux on global scale. The alkaline components of mineral dust have shown great capacity to buffer the acidity 462 of rain water.^{87, 88} The acidity of SOA may be neutralized in the same way when they mixed with 463 mineral dust or marine aerosols.⁸⁹⁻⁹¹ As a result, the light absorption properties of BrC SOA may 464 also be modified. In the case of tol-SOA, its BrC characteristics are enhanced during this process. 465

466 We propose that the pH dependence of light absorption characteristics may be an important

467 property of BrC aerosols that need to be systematically investigated in future studies.

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Tables and Figures

Figure 1:

High NOx Low NOx 2.0 High NOx Low NOx 1.5 MAC (m²g⁻¹) 1.0 0.5 0.0 300 400 500 600 700 wavelength (nm)

Figure 1. Photographs of the ToI-SOA samples collected in toluene photooxidation experiments performed under high-NOx and low-NOx conditions, indicating distinct optical properties of ToI-SOA produced under different initial NOx concentrations. The plot on the right compares their mass absorption coefficients (MAC_{bulk}) in wavelength range 300-700 nm.





Figure 2. Negative mode nano-DESI/HRMS spectra of ToI-SOA samples generated under high-NOx (positive intensities) and low-NOx conditions (negative intensities). Formulas are grouped based on their elemental composition (see text for details).



Figure 3. Plot of double bond equivalent (DBE) values as a function of the number of carbon atoms for molecules identified in ToI-SOA produced under high NOx (red dots) and low-NOx conditions (black squares). The blue dashed line indicates the upper compositional boundary for naturally occurring hydrocarbons in fossil resources.⁶³



Figure 4. (a) The plot of PDA signals as a function of retention time (min) and light wavelength (nm) for the ToI-SOA sample produced under low-NO_x and high-NO_x conditions, respectively. (b) UV/Vis photodiode array (PDA) detector chromatogram and MS total ion count (TIC) chromatogram of ToI-SOA samples generated under high-NO_x and low-NO_x conditions. The absorbance signals were integrated over wavelengths of 300-500nm. The blue plot shows the difference of the PDA chromatograms between high-NO_x (red) and low-NO_x (black) chromatograms. The intensities are offset along y-axis to facilitate comparison.





Figure 5. A UV/Vis (PDA) chromatogram integrated over 300-500nm (black trace) and MS extracted ion chromatograms (EIC) of major BrC chromophores observed in the sample of high-NOx Tol-SOA.





Figure 6. Extracted ion chromatograms (EIC) of molecules co-eluted at (a) 39.1 min and (b) 36.9min. Panel (c) compares the UV/Vis spectra of the BrC chromophore in high-NOx Tol-SOA sample eluting at 39.1min with the spectrum of picric acid measured by PDA. Panel (d) compares the UV/Vis spectrum of the BrC chromophore in high-NOx Tol-SOA sample eluting at 36.9min with the spectrum of 3,5-dinitrosalicyclic acid measured by PDA.





Figure 7. The relative contribution of the fifteen identified BrC chromophores and the unresolved complex mixtures (UCM, see text) with respect to the total light absorption by high-NOx Tol-SOA recorded in the HPLC-PDA measurement.

Table 1. Summary of the PDA spectra and retention times (RT) of major light-absorbing peaks and elemental formulas of the corresponding chromophoric compounds. The PDA spectra of some commercially available compounds or the maximum absorption wavelength (λ_{max}) of several organic compounds reported in the literature are also listed for comparison.















* potential chromophores with the same elemental formula (C₇H₆N₂O₆) appear at RT= 24.9 and 19.5min

** potential chromophores with the same elemental formula (C₇H₇NO₄) appear at RT= 21.7, 20.3, 19.5 and 14.7 min

*** potential chromophores with the same elemental formula (C₇H₇NO₅) appear at RT= 20.3, 14.1 min

Table 2. The acid dissociation constants (pKa) and maximum absorption wavelength of several nitro-phenolic compounds. (^mthe value is measured in this study for commercially available compounds. Other values are from literature reports)

Compound	рКа	λ _p (nm) of neutral molecule	λp(nm) of deprotonated molecule
2-nitrophenol	7.2 ⁷⁸	350	430
3-nitrophenol	8.4 ⁷⁸	330	420
4-nitrophenol	7.1 ⁷⁸	315	425
4-nitrocatechol	6.7 ⁶⁶	345	426
2,4-dinitrophenol	4.1 ⁷⁹	292 ^m	405 ^m
2,5-dinitrophenol	5.2 ⁷⁹	360 ^m	450 ^m
3,5-dinitrosalicylic acid	3.0	345 ^m	360 ^m
picric acid	0.3	360 ^m	390 ^m