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Dinuclear planar chiral ferrocenyl gold(I) & gold(II) complexes

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Oxidising Au(I) in the presence of Fe(II) allowed to synthesise unique dinuclear ferrocenyl Au(II) complexes via the first reported enantiopure planar chiral ferrocenyl Au(I) complex. (Spectro)electrochemical studies show that oxidation at Fe(II) is favored, but DFT studies suggest that the energy differences for oxidation of one or the other metal should be quite small.

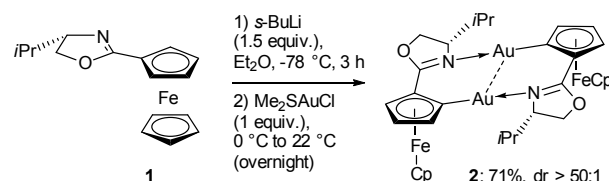
Metallocene based palladacycles are established as catalysts for asymmetric synthesis¹ enabling a number of catalytic asymmetric applications,^{2,3} for which no other catalyst has surpassed. Related metallacycles, like the corresponding platinumacycles, have been less studied, but recently they also demonstrated a high potential as carbophilic catalysts.⁴ In contrast, planar chiral enantiopure ferrocenylgold complexes are not known so far and only few achiral or racemic complexes have been reported.⁵ In addition, one planar chiral ruthenocenyl Au(I)PPh₃ complex has been reported, but isolation of pure material was not achieved.⁶

Due to the lack of metallocenyl gold complexes, little is known about their reactivity. Based on the many applications of Au(I) catalysts⁷ and the catalytic efficiency of metallocene based metallacycles,¹⁻⁴ the study of metallocenyl gold complexes has attracted our interest. This report puts forward the first cases for the asymmetric synthesis and structure elucidation of planar chiral ferrocenyl Au complexes including auracycles featuring Au(II) centers involved in short Au-Au bonds.

Our targets were ferrocenyl Au complexes in which phosphines as additional ligands would be avoided as they might impede future catalytic applications by their high affinity to Au. *Ortho*-lithiation of **1**⁸ and subsequent trapping with (Me₂S)AuCl proceeded smoothly and formed the dinuclear Au(I) complex **2** with high diastereoselectivity in good yield

(Scheme 1).

An X-ray analysis of **2** shows a tetranuclear solid state structure (Figure 1, *left*), in which two dinuclear complexes containing a bridging pair of anionic C,N-ligands are connected via an intermolecular Au(I)...Au(I) interaction (separation: 3.0651(3) Å).⁹ The Me₂S-ligands have been replaced by oxazoline N-donors resulting in a head-to-tail ligand arrangement to form helically chiral 10-membered rings featuring intramolecular Au(I)...Au(I) interactions (distances: 2.8449(3) & 2.8452(3) Å). ¹H-/¹³C-NMR spectra of **2** show single sets of signals pointing to dinuclear species in solution which is supported by ESI-MS studies.



Scheme 1. Asymmetric synthesis of dimeric ferrocene based Au(I) complexes.

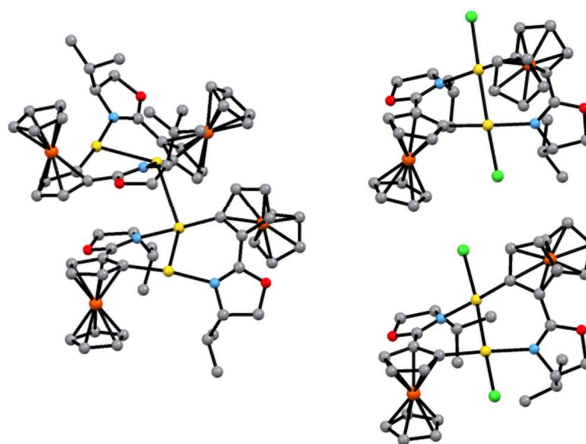


Figure 1. Solid state structures of **2** (*left*), **3'** (*top right*), **3** (*bottom right*); colour code: C (grey); N (blue); O (red); Cl (green); Fe (orange); Au (yellow). H atoms and solvent molecules are omitted for clarity.

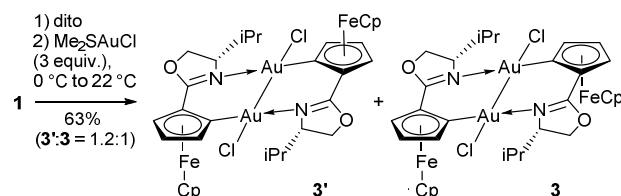
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With an excess of $(\text{Me}_2\text{S})\text{AuCl}$ a mixture of diastereomeric Au(II)-dimers **3** and **3'** was produced (Scheme 2), while a Au mirror was formed in the reaction vessel. Disproportionation of Au(I) is otherwise rather uncommon.^{10–12}

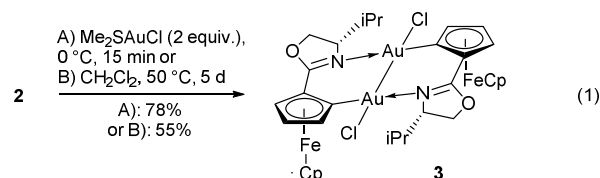


Scheme 2. Formation of dinuclear ferrocenyl Au(II) complexes.

The X-ray crystal structure analysis of the C_1 symmetric dimer **3'** has confirmed that both gold centers have been oxidised to Au(II)Cl with a square planar coordination geometry featuring a Au(II)-Au(II) bond, which results in a notable decrease of the intermetallic distance to 2.5333(5) Å (Figure 1, top right).⁹ This short separation is in good agreement with the small number of bimetallic Au(II)-complexes carrying linker ligands.¹³ Usually, the latter are 3 atom-linkers, while **3/3'** are to our knowledge the first bimetallic Au(II)-complexes possessing two 4-atom C,X-linkers.¹⁴ Oxidation of the intermediately formed **2** results in the formation of two 6-membered metallacycles which are bridged by the Au(II)-Au(II) bond. Surprisingly, in **3'** one FeCp fragment has changed the face of the disubstituted Cp-ligand to which it is coordinated, while the C,N-ligands retain their head-to-tail arrangement already present in **2**. The solid state structure of the C_2 symmetric **3** (Figure 1, bottom right) closely resembles the one of its epimer **3'** with a slightly longer Au(II)-Au(II) separation of 2.5654(3) Å. Few examples are known for other N ligands in Au(II) complexes,^{13d} while arylcyclometallated Au(II)-complexes with bridging anionic C,N-ligands have to our knowledge been unknown so far.¹⁵

It might be surprising that a relatively inert metal atom such as Au(I) is oxidised to the less common Au(II)¹³ oxidation state in the presence of the redox-active ferrocene core. On the other hand we have recently found that treatment of pentaphenylferrocenyl palladacycles with Ag(I) salts resulted in the oxidation of Pd(II) to give Pd(III) centers, while the ferrocene core remained unchanged.¹⁶ Oxidation of **2** to **3/3'** might be facilitated by electronic cooperation between both gold centers in the bimetallic entities, finally resulting in an intermetallic bond offering a lower barrier oxidation pathway than for monometallic complexes.¹³

Oxidation of isolated **2** by $(\text{Me}_2\text{S})\text{AuCl}$ proceeded smoothly (eq. 1). After 15 min at 0 °C, **3** is formed in diastereomerically pure form, whereas longer reaction times in the presence of $(\text{Me}_2\text{S})\text{AuCl}$ resulted in ca. 1:1 mixtures of **3** and **3'**.



Epimerisation thus appears to be promoted by the Au source, but is also observed in CDCl_3 solutions containing traces of DCl. In addition, isomerically pure **3** can also be formed simply by heating a solution of **2** in CH_2Cl_2 under N_2 for several days in a closed vial. Dichloromethane thus also serves as the oxidising agent (eq. 1).^{17,18} In contrast, trials to oxidise **2** by different silver salts just resulted in decomposition.

Cyclic voltammetry (CV) and spectroelectrochemical (SEC)¹⁹ properties for **1**, **2** and **3** were studied to get more insight in their redox behaviour. The ligand (**1**) redox is highly reversible and consistent with a ferrocene/ferrocenium ($\text{Fc}^{0/+}$) couple (supplementary information). The CVs for **2** and **3** both contain two $1e^-$ reversible oxidations separated by 137 mV and 160 mV, respectively. The processes for **2** are anodically shifted by an average of 135 mV compared to **3**, which is consistent with the overall higher oxidation state of the Au(II) species.

The voltammogram of **2** also contains an irreversible oxidation at 0.9 V vs $\text{Fc}^{0/+}$. While **3** does not have this irreversible oxidation, the CV does have an irreversible reduction wave at -1.2 V vs. $\text{Fc}^{0/+}$ (supplementary information). Due to the relatively high and irreversible nature of these processes they are associated with the direct oxidation and reduction of the Au centers, respectively.

The two reversible processes in the CV for **2** and **3** could be differentiated in the UV-Vis-NIR SEC (Figure 2). The *in situ* oxidation of **2** to 2^+ resulted in the growth of an absorption band at 475 nm, and a lower energy band at 660 nm. The second oxidation, 2^+ to 2^{2+} , results in the quenching of the band at 475 to a band at 446 nm. The two reversible processes in the CV are therefore the oxidation of the iron in the ferrocene moiety. The strength of the band in the UV-Vis-NIR and the separation of the oxidation events in the CV indicate weak communication between the ferrocene moieties.²⁰

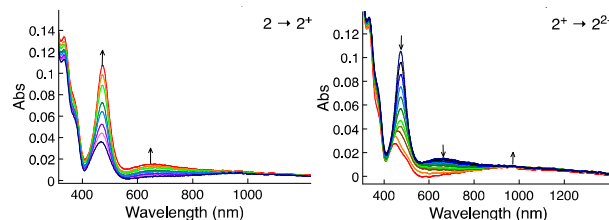


Figure 2. UV-Vis-NIR SEC of **2** in CH_2Cl_2 with 0.1 M Bu_4NPF_6 of supporting electrolyte.

The UV-Vis-NIR SEC for the two oxidations of **3** contains only the shift of the band at 544 nm to a lower energy band at 586 nm, the second oxidation also shifts the band to lower energy at 615 nm. The spectra are consistent with ferrocenium.

As shown above, chemical formation of the Au(II) species is selectively possible without affecting the ferrocene core. Both solid **3** and **3'** proved to be quite stable towards air and moisture, as no decomposition was observed at the air after several weeks at 22 °C. The deeply purple colour of **3/3'** in solution is quite uncommon for ferrocene derivatives.²¹ Known dinuclear aryl Au(II) complexes display bands in the range 356–416 nm which have been interpreted to originate from the Au(II)-Au(II) bonds.¹⁵ The intense purple colour of **3/3'** in

solution probably results from d-d iron transitions and displays the electron-withdrawing character of the Au(II) centers. The latter might also facilitate the observed partial epimerisation of the planar chiral ferrocene entities in the presence of an excess of carbophilic Au(I) or DCl.²² We assume that the Au source and the Brønsted acid interact with the CpFe fragments (like in π -complexes) and thus promote the reversible CpFe decomplexation from the disubstituted Cp ligands.

For a computational analysis, the crystal structures of **2** and **3** as well as of (Me₂S)AuCl have been optimised using the Kohn-Sham density functional theory (DFT) at the TPSS²³ level of theory with Grimme's D3 dispersion correction²⁴ using the def2-TZVP²⁵ basis set in the TURBOMOLE program package.²⁶ Solvation in diethylether was modelled using the COSMO continuum solvation model.²⁷

For a better understanding of the reactivity of **2**, the Kohn-Sham orbitals of the structure were analysed. The theoretical justification of the interpretation of eigenvalues in terms of approximate orbital energies has very recently been worked out by Chan *et al.*²⁸ The HOMO down to the HOMO-6 of **2** lie quite tightly together between -4.12 eV and -4.81 eV (supplementary information) and are located either on both gold and iron atoms or on the iron atoms, showing that gold and iron centers are in principle both prone to oxidation.

Intrinsic Bond Orbitals (IBOs)²⁹ were calculated using the same parameters as for the calculation of the Kohn-Sham orbitals using the Molpro program package.³⁰ IBOs have the advantage of reliably yielding an orbital picture in terms of Lewis structures, best suited for chemical interpretation.^{29,31} IBO analysis of the C-Au bond in **2** and **3** exhibits a distinct polarisation towards the C atom. Only 0.584 (i.e. 27%) and 0.743 (37%), respectively, of the bond charge is located on the gold atom. Furthermore, the difference of these charges is an indicator for the electron withdrawing character of the Au centers after oxidation to Au(II) in **3**. Whereas in **2** no covalent character in the Au...Au interaction can be observed through the IBO analysis (the interaction evidently is of pure aurophilic³² character), the Au-Au bond in **3** has a clear σ character (Figure 3). Interestingly, the Au-Cl bond in **3** is partly located on the remote gold atom (respective location of the bond on the centers of the Cl-Au-Au axis: 83%, 8%, 7%), which can be interpreted as a strong contribution of a +M effect from the Cl atom towards the Au-Au moiety (see Figure 3).

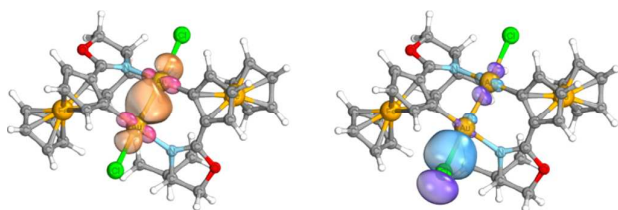


Figure 3. Intrinsic bond orbitals for the Au-Au and the Cl-Au bond in **3** (the iso-surface encloses 85% of the electron density, visualisation realised with IboView³³).

This clearly indicates a strong electronic cooperation of the two gold centers. Hashmi *et al.*³⁴ very recently showed that IBO locations on atomic centers correlate very well with

electrophilic substituent constants (Hammett parameters),³⁵ which encouraged us to deduce bond stabilities from IBO partial charges. The Au-N bond has a clear dative character in both **2** and **3**, since it is chiefly located on the ligand (87% and 86% of the bond respectively). For comparison, in the dative S-Au bond of (Me₂S)AuCl 79% of the bond is located on the ligand. Kohn-Sham orbitals and IBOs of the optimised geometries have also been calculated with three other DFT functionals (TPSSH, PBE³⁶ and PBE0), without deviating from the conclusions that have been drawn from the results using the TPSS functional.[†]

Conclusions

We have reported the first asymmetric syntheses of ferrocenyl gold complexes. A dinuclear Au(I) complex featuring two bridging monoanionic C,N-ligands was generated by diastereoselective *ortho*-lithiation and trapping with (Me₂S)AuCl. A ten-membered helically chiral (N-C-C-C-Au)₂ ring was formed, which contains aurophilic Au(I)...Au(I) interactions. The latter might facilitate the smooth oxidation to the corresponding dinuclear auracycles featuring a short Au(II)-Au(II) bond. While the helical chirality and the head-to-tail ligand arrangement are conserved in the Au(II) species, we noticed a partial epimerisation of one ferrocene fragment, which seems to be caused by excess of (Me₂S)AuCl. The latter also acts as the oxidising agent, but even CH₂Cl₂ can be applied demonstrating the ease of oxidative additions to this kind of Au(I) species. This might create possibilities for Au(I/II) catalysis, which is currently investigated in our laboratory. While (spectro)electrochemical studies show that there is a preference for the oxidation at Fe, the theoretical studies suggest that the energy gaps between the highest molecular orbitals are quite small and that the latter are located either mainly on Fe, or on both Fe and Au.

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