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Templated Magnesiothermic Synthesis of Silicon Nanotube Bundles and Their Electrochemical Performances in Lithium Ion Batteries

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Silicon nanotube bundles (Si NBs) have been synthesized at a large scale via a templated magnesiothermic method. Hydroxyapatite (HAP) nanobelt bundles were fabricated and used as a sacrificial template to form silica nanotube bundles (SiO₂ NBs) followed by transformation of SiO₂ NBs into Si NBs through magnesiothermic reduction. The structure and morphology of the as-prepared Si NBs were examined in detail by XRD, Raman, SEM and TEM. The electrochemical application of Si NBs as an anode in lithium ion batteries (LIBs) has been investigated, which shows a reversible capacity with little fading, outweighing the performance using Si nanoparticles.

1. Introduction

Of all batteries, LIBs are considered to be the most promising energy storage technology due to their long cycle life and high specific capacity¹. However, there are still many challenges to further enhance their performance so as to meet the requirements for efficient hybrid or electric vehicles^{2, 3}. In the past decades, substantial efforts have been made in exploiting silicon-based composites as the anode materials for LIBs⁴⁻⁸, owing to their excellent merits in high theoretical electrochemical capacity⁹. Besides, silicon has a low discharge potential (~370 mV vs. Li/Li⁺) which makes it safe and suitable for high-power applications paired with common cathode materials like $LiCoO_2$ and $LiMn_2O_2^{10}$. Nonetheless, the practical application of Si powder is still hindered because of its severe volume expansion/contraction during the alloying and de-alloying reaction with Li⁺ ions¹¹. Significant volume change during cycling could result in pulverization and loss of capacity. An effective way to solve this problem is the employment of nanostructure silicon, in which the strain can be relaxed easily without mechanical fracture because of smaller size and available surrounding free space66, 12-15. Consequently, nanostructure silicon ranging from nanowires, nanofilms, nanotubes to nanoparticles and their composites have been exploited to alleviate the structure deterioration efficiently^{9, 16-21}. Especially, one-dimensional tubular nanostructures are highlighted because of their low density and increased surface areas as well as the advantages in improving electrochemical performance and obtaining reversible morphological change^{17, 22-24}

Among many routes for synthesizing tubular nanostructures of silicon, template-based methods offer various advantages²³⁻²⁶. Chemical vapor deposition (CVD) method was widely reported to

prepare silicon nanotubes by using gas or liquid silicon compounds $(SiH_4, SiCl_4)$ as silicon sources templating against ZnO rod or anode aluminum oxide $(AAO)^{27-29}$. However, these silicon sources used in CVD method are poisonous and dangerous³⁰. In addition, it is still a great challenge to synthesize silicon nanotubes at a large scale for CVD method. Different from silicon, silica nanotube can be synthesized at a large scale by solution method under mild condition using abundant and nontoxic raw materials³¹. Recently, a robust method, i.e. magnesiothermic reduction (using Mg metals as reductant) has been developed to transform silica into silicon easily³², which has been successfully applied to fabricate multitudinous silicon nanostructures at large scales.

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Herein, silicon nanotube bundles (Si NBs) that is capable of accommodating large strain with less pulverization were synthesized at a large scale by magnesiothermic reduction of silica nanotube bundles (SiO₂ NBs). Nanobelt bundles of HAP ($Ca_{10}(PO_4)_6(OH)_2$), the main component of human bones and teeth were employed as sacrificial templates to prepare SiO₂ NBs under mild condition. X-ray diffraction (XRD), Raman spectrometry, Scanning Electron Microscopy (SEM) and Transmission Electron Microscopy (TEM) were employed to investigate the structure and morphology of the as-prepared Si NBs in detail. Finally, the advantage of Si NBs over Si nanoparticles in performance in LIBs was demonstrated.

2. Experimental section

2.1 Sample preparation

Si NBs were prepared by magnesiothermic reduction method integrated with template technology. The synthetic processes were illustrated schematically in Fig. 1. The template HAP nanobelt bundles were prepared by a hydrothermal method at gram scale. Then silica was coated on the HAP nanobelt bundles, indexed as $HAP@SiO_2$. After the HAP nanobelt core was etched out to form silica nanotube bundles (SiO₂ NBs), magnesiothermic reduction was performed to convert silica nanotubes to silicon nanotube bundles.

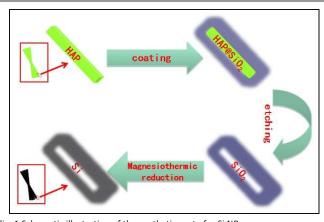


Fig. 1 Schematic illustration of the synthetic route for Si NBs

Synthesis of HAP nanobelt bundles: Polyethyleneimine (0.2 g, PEI 50% water solution, Aladdin), maleic acid (0.1000 g, AR) and urea (1.0 g, AR) were dissolved in 50 ml deionized water under stirring. Then, NaH₂PO₄•H₂O (0.7500 g, AR) and CaCl₂ (0.9100 g, AR) were added to the system one after another. The solution was transferred to a 100 ml Teflon-lined autoclave and kept at 120 °C for 2 days. The as-prepared products were washed with deionized water and ethanol for several times and were then dried at 60 °C for 4 h.

Fabrication of HAP@SiO₂ core-shell structure: The as-prepared HAP nanobelt bundles were dispersed in the mixture of ethanol, deionized water and concentrated ammonia solution (the volume ratio of them was 5:1:2) under stirring at 30 °C. Addition of ammonia is to adjust the pH value to around 9. A mixture of tetraethoxysilane (TEOS) and ethanol (volume ratio 1:1) was added drop by drop in the solution. After 2 h continuing stirring, the HAP@SiO₂ core-shell composites were collected by vacuum filtration.

Formation of SiO₂ NBs: 1 M HCl solution was used to etch the HAP core. HAP@SiO₂ composite was soaked in HCl solution in a jar under ultrasonic treatment for 30 min, followed by vacuum filtration and washing with deionized water for several minutes.

Fabrication of Si NBs: Magnesium powder (90%, 80 nm Aladdin) and SiO₂ NBs (molar ratio of Mg to Si was 2:1) were mixed and then transferred to a corundum boat. The mixture was heated to 650 °C for 2 h under argon gas protection. The resulted products were washed with 1 M HCl to remove the byproducts like MgO, Mg₂Si and the unreacted Mg. Si NBs can finally be obtained through washing with deionized water, vacuum filtrating and drying.

Synthesis of Si nanoparticles: Si nanoparticles reference was synthesized by the same synthetic steps without the HAP template. Typically, SiO₂ nanoballs can be obtained by hydrolysis of TEOS under the assistance of NH_3 •H₂O. The detail preparation of Si nanoparticles could be seen in Supporting Information.

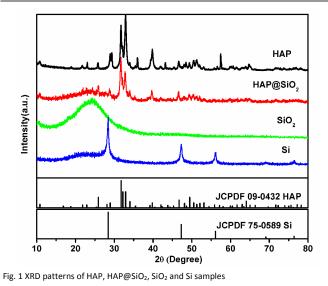
2.2 Characterization

Structural characterization: The crystal structure and phase purity of products obtained at each synthetic step were characterized using powder X-Ray Diffractometer (XRD Ultima IV) with $Cu_{K\alpha}$ radiation operating at 40 kV and 40 mA. Raman spectrum was obtained using a THERMO FISHER DXR micro-Raman spectrometer with an excitation wavelength of 532 nm. Microstructures of the samples were observed using TEM (JEOL 2100F) and SEM (Hitachi S4800) both equipped with Oxford energy disperse spectroscopy (EDS) system.

LIB assembly and characterization: The electrodes were prepared by a mixture of Si NBs active material, acetylene black and polyvinylidene fluride binder (the weight ratio was 7:2:1), which were then dissolved in N-methyl-2-pyrrolidone (NMP). The resulting slurry was cast on a Cu foil and a doctor blade with a gap opening of 120 µm was used to define the maximum thickness of the casted layer. The film was vacuum dried at 80 °C to remove the NMP, followed by compressing under 10 MPa before assembling. The cells were assembled in an argon-filled glovebox with the concentrations of moisture and oxygen below 1 ppm. The electrolyte used was 1 M LiPF₆ in a 50:50 weight ratio ethylene carbonate (EC): dimethyl carbonate (DMC) solvent. Electrochemical investigations were conducted using a CT 2001 battery tester. Cyclic voltammetry (CV) was carried out in a voltage range of 0-1.5 V with a scan rate of 0.5 mVs⁻¹. The electrochemical impedance spectroscopy (EIS) measurements were carried out with a PARSTAT 2273, using a sine wave of 10 mV over a frequency range of 100 kHz-0.1 Hz. The electrochemical properties of the electrodes were characterized at room temperature. Li foil was used as the counter electrode. For comparison, Si nanoparticles synthesized by the same method were also encapsulated into coin cells for electrochemical property measurement.

3. Results and discussion

XRD (Fig. 2) and EM (Fig. 3 for SEM and Fig. 4 for TEM) characterization of the product at each synthetic step confirms the validity of the designed process. The HAP templates obtained at a large scale by the hydrothermal method showed high crystallinity by sharp diffraction peaks that are consistent with the standard pattern (JCPDF card no.09-0423), as shown in Fig. 2. The prepared HAP exhibits belt-like morphology with the width of 100~200 nm, thickness of around 20 nm and length of tens of micrometers (see Fig. 3(a) and Fig. 4(a1)~(a3)). The HAP nanobelts grow in the [001] direction and pack closely to form bundles. After being treated with tetraethoxysilane, HAP nanobelts were coated by silica (See Fig. 3(b) and Fig. 4(b1)~(b3)). The thickness of SiO_2 coating is about 100 nm. XRD analysis indicates SiO₂ coating is amorphous showing a broad diffraction hump at 15 °~ 25 °. HAP was then etched out completely using HCl solution. Only a broad peak characteristic of amorphous SiO₂ is observed in XRD while diffraction peaks of HAP disappear (Fig. 2). This is consistent with the electron diffraction analysis (Fig. 4(c2)) which shows only diffused rings indicating amorphous SiO₂. The silica has tubular morphology which retains the dimensions of silica coating in HAP@SiO2 nanostructures.



After magnesiothermic reduction, amorphous SiO_2 was transformed to crystallized Si which shows sharp diffraction peaks that match well with the standard pattern (JCPDF card no.75-0589) of cubic silicon (see Fig. 2). However, small amount of amorphous SiO_2 was still detected suggesting incompleteness of the reaction, which is further indicated by chemical micro-analysis using EDS (see elemental line-scan in Fig. 3(d)).

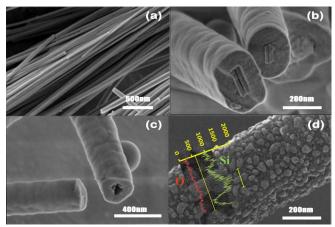
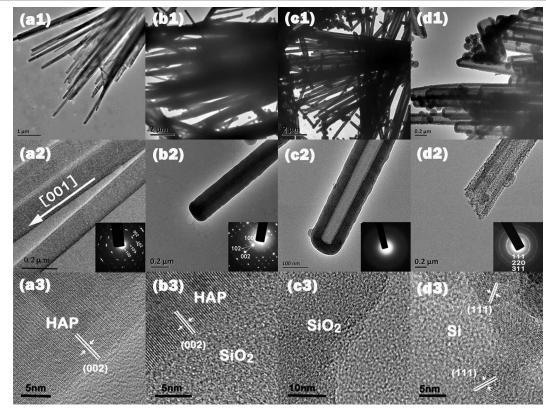


Fig. 2 SEM images of (a) HAP, (b) HAP@SiO₂, (c) SiO₂ and (d) Si samples

The obtained Si NBs exhibit the same tubular morphology as SiO_2 NBs, except the tube walls were constructed by aggregated Si nanoparticles in the size of several nanometers, resulting in the corrugated surface of Si NBs (Fig. 3(d)). Electron diffraction and high-resolution electron microscopy (HREM) clearly visualize high crystallinity of polycrystalline Si nanotubes (Fig. 4(d1)~(d3)).

The solid SiO_2 powder was allowed to undergo the following reaction with Mg at a peak temperature of 650°C.

$$2Mg(g, l) + SiO_2(s) \rightarrow 2MgO(s) + Si(s)$$
(1)



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Fig. 4 TEM, HREM images and SAED patterns of (a1)~(a3): HAP, (b1)~(b3): HAP@SiO₂, (c1)~(c3): SiO₂ and (d1)~(d3): Si samples.

(2)

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resulted in unreacted SiO₂ locating among Si crystallites, which is supposed to worsen the Li⁺ transportation. Higher amount of magnesium could guarantee the fulfillment of reduction while in the meantime cause the formation of Mg₂Si byproduct. $2Mg(g, l) + Si(s) \rightarrow Mg_2Si(s)$

The starting composition that shows significant influences on both

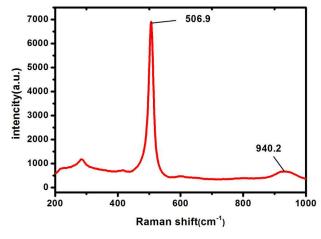
the purity and the morphology of the reaction product should be

strictly controlled. After experimented with different proportion, we

found that the optimal ratio of Mg and Si was 2:1. Less magnesium

The generatation of Mg₂Si has been found to promote coarsening of nano-grains, finally leading to fracture of the tubular structure. This happened due to the low alloy melting point and the high vapour pressure of Mg₂Si.

Raman spectrum (see Fig. 5) of the Si NBs sample shows a sharp peak at 506.9 cm⁻¹ which is assigned to crystallized silicon³³. The small broad peak at 940.2 cm⁻¹ refers to the symmetric Si-O stretching vibrations³⁴. Therefore, all of the above structural and chemical characterizations indicate validity of the present synthetic method for crystalline Si nanotubes. It should be noted that no hazardous silane gas is applied in this high-yield method.





Electrochemical cycling performances of Si NBs electrodes have been examined at 0.05 C (1 C = 4000 mAg⁻¹) in coin-type half cells. For comparison, electrodes using Si nanoparticles have also been selected to measure the electrochemical properties. Si nanoparticles were synthesized by the same method of Si NBs without using HAP templates. They have the size of several nanometers and form agglomerates, which appear similar morphology of Si crystallites composed in Si NBs (see Supporting Information). The electrochemical cycling experiments indicated that Si NBs, in comparison with Si nanoparticles, exhibited a remarkable improvement in term of specific capacity and cycling stability. As it can be seen in Fig. 6(a), the first discharge and charge capacities for Si NBs electrode were 1497 and 933 mAhg⁻¹, respectively. The coulombic efficiency was then evaluated to be ~62%. For the Si nanoparticles, the first discharge and charge capacities were 1812 and 599 mAhg⁻¹, respectively, and the coulombic efficiency was ~33%. The Si nanoparticles electrode exhibited evident fading of discharge capacity (~672 mAhg⁻¹) in the second cycles i.e. a capacity loss as high as 63% compared with the first discharge capacity. In contrast, the Si NBs electrode displayed a much smaller decrease of capacity. Here, the Si NBs presented a capacity loss of only 44% and maintain a capacity of 833 mAhg⁻¹ in the second cycle. In the following cycles, the capacity gradually decreased for both electrodes. The Si NBs achieved a relatively stable status within 10 cycles while the Si nanoparticles obtained a relatively stable status until after 40 cycles (see voltage profiles, Fig. 6 (b), (c)). The Si NBs maintained a stable capacity of ~240 mAhg⁻¹ after 50 cycles, which was almost fourfold the capacity (~61 mAhg⁻¹ after 50 cycles) of Si nanoparticle. These improvements could be ascribed to the tubular structure in Si NBs, which could provide enough space for alleviating the effect of volume change during cycling. Also, the bundle like structure could prevent the pulverization at the same time. Moreover, the tubular structure could help to form relatively stable solid electrolyte interphase (SEI) layers compared to Si nanoparticles, which could be convinced by Cyclic voltammetry (CV) (Fig. 6 (d), (e)). The SEI layers could further hinder the tubular structure from fragmentation³⁵.

CV was carried out to identify the characteristics of the redox reactions during charge/discharge cycles of Si NBs and Si nanoparticles anodes material (Fig. 6(d), (e)). As can be seen in Fig. 6(d), the first cycle differs from those followed. It has been considered that the reduction peak at 0.6-0.8 V versus Li/Li⁺ corresponds to the formation of SEI layers on the surface of Si active materials³⁶. A sharp reduction peak around +0.1 V (vs Li/Li⁺) on the charging curve indicating the Li-alloving process, see eq (3). Two anodic peaks appeared at 0.3 and 0.6V in the anode branches of CV curves were attributed to the de-alloying process of LixSi alloys, see eq $(4)^{37}$. The Si nanoparticle anode unfortunately did not form steady SEI layer at first cycle. From Fig. 6(e) we cannot see the reduction peak of SEI clearly; instead, the curve became a little apparent in the following cycles, suggesting an unsteady SEI formation process. This may explain the better cycling performance of Si NBs anode material than Si nanoparticle.

Li alloy:
$$Si + xLi \rightarrow Li_xSi$$
 (3)

Li de-alloy:
$$Si_xLi \rightarrow Si + xLi$$
 (4)

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Fig. 6(f) shows the impedance spectroscopy of Si NBs electrode and Si nanoparticles electrode. For both electrodes, the Nyquist plots consist of an out-of-shaped semicircle alike in appearance of a half ellipse in high frequency ranges and an arc in low frequency range at the initial state. The arc might be attributed to the phase formation process in the low-frequency region. For both Si anodes, there were a phase transformation process from crystal-silicon to amorphous LixSi $(0 \le 4.4)^{38}$. The out-of-shaped semicircle in the high frequency range carries information on the characteristics of the solid electrolyte interface (SEI)³⁹. Compared to Si NBs electrode, Si nanoparticles electrode had a smaller semicircle, suggesting a smaller SEI resistance. Such discrepancy may be correlated to the phase purity of different nanomaterials. XRD patterns (see Supporting Information, Fig. 3S) of Si NBs and Si nanoparticles were both composed of diffraction peaks of crystalline Si and a broad peak characteristic of amorphous SiO₂. It was worth to note that the intensity of broad peak from amorphous SiO₂ in Si nanoparticles is slightly lower than that in Si NBs, indicative of smaller silica content in Si nanoparticles, hence smaller SEI resistance. Albeit this, the overall electronic performances of Si NBs were better than Si nanoparticles as anode materials. These results indicated that the better performance of Si NBs could be ascribed to the nanotube structure, which allows them to accommodate a large volume change during cycling.

Though the present work provides a feasible high-yield and safe synthetic method for Si NBs used as an electrode material in LIBs, it should be noted that both Si NBs and Si nanoparticles show a comparatively lower capacity than similar Si nanomaterials reported elsewhere. Via using similar magnesiothermic reduction synthetic method, the capacity of Si nanotubes reported by Chen's group and that of carbon-coated Si nanotubes developed by Kang' group was about 1050 mAhg⁻¹ (0.5 C) and around 900 mAhg⁻¹ (0.1 C) after 90 cycles, respectively.^{40, 41} These reported Si nanotubes showed thinner tube wall thickness as well as higher electrical conductivity. For porous Si fabricated via a similar magnesiothermic method, Wang et al. obtained a capacity of 376 mAhg⁻¹ after 30 cycles at a current density of 0.2 mA cm⁻² by using larger doses of Mg (molar ratio of Mg to Si about 2.5). However, the cycling stability was not as good as that in our present work, probably owing to their poor microstructure of the tubes⁴². If such Si materials were coated with graphene, both the capacity and the cycling stability could be improved (633 mAhg⁻¹). Therefore, the main reason for the lower capacity in our present work might be attributed to the larger SiO₂ content as well as poor electrical conductivity of these silicon nanotube bundles. More efforts should be done to enhance reduction of SiO₂ in magnesiothermic process. Meanwhile, coating with electrical conducting layer such as carbon-based components could be a promising strategy to improve electrical conductivity.

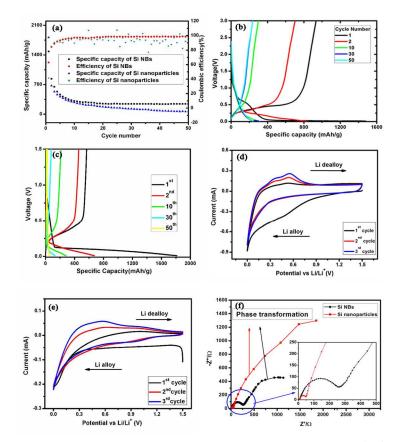


Fig. 3 (a) Electrochemical cycling results for Si NBs electrode and Si nanoparticle electrode. (b)Voltage profiles plotted for the first, 2nd, 10th, 30th and 50th cycles for Si NBs electrode and (c) for Si nanoparticles anode. (f) The Nyquist plots for Si NBs electrode and Si nanoparticles electrode before cycling.

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4. Conclusions

In summary, we reported a feasible method for high-yield synthesis of Si NBs based on magnesiothermic reduction kinetics. Nontoxic HAP nanobelt bundles were used as sacrificial templates to synthesize SiO2 NBs followed by a magnesiothermic reduction process to transform SiO₂ NBs to Si NBs. The as-prepared Si NBs exhibit uniform size with ~300 nm in external diameter, 80 nm in tube thickness and up to tens of micrometers in length. They are composed of silicon crystallites of around several nanometers. The Si NBs were then served as anode material in LIBs, which showed a reversible capacity of 240 mAhg⁻¹ with little fading after 50 cycles. Such electrochemical performance is much better than electrodes using Si nanoparticles. We believe that Si NBs synthesized by the present method would be a promising candidate for highperformance anode material in advanced LIBs, provided that electrical conductivity and purity of silicon crystallites were further improved through, for instance, coating with carbon foil and tuning the magnesiothermic reduction conditions, respectively.

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Electronic Supplementary Information (ESI) available: preparation and characterization of Si nanoparticles, XRD comparison of Si NBs and Si nanoparticles. See DOI: 10.1039/b000000x/

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