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# A Unifying Mechanism for the Rearrangement of Vinyl Allene Oxide Geometric Isomers to Cyclopentenones $\dagger$ 

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A new mechanism for the rearrangement of vinyl allene oxide geometric isomers to stereodefined cyclopentenones is proposed based on DFT computations. This mechanism comprises two steps, ${ }_{10}$ first the ring opening of the oxirane to give a vinylcyclopropanone, and then a [1,3]-C sigmatropic rearrangement. Depending primarily upon the allene oxide double bond geometry the stepwise pathway is either competitive (for $E$ allene oxides) or favored (for $Z$ allene oxides) relative to the already described $\mathrm{S}_{\mathrm{N}} 2$-like concerted pathway. 15 All bond-forming reactions take place through helically chiral transition states, which allows the stereochemical information of the substrates to be transferred to that of the products, in particular in the case of (enantiopure) $Z$ allene oxides. In addition to revealing one more of the fascinating mechanisms with memory of chirality, the
${ }_{20}$ results deepen our understanding of the important jasmonate and clavulone biosynthetic pathways that occur in plants and corals.

## Introduction

Cyclopentenone fatty acids like the 12 -oxo- 10,15 -phytodienoic ${ }_{25}$ acid (12-oxo-PDA) in plants ${ }^{1}$ and preclavulone A in soft corals ${ }^{2}$ are the precursors of phytohormones jasmonates and (presumably) the bioactive clavulones, respectively. Their biosynthetic origin was the subject of some ambiguous hypotheses prior to the detection of the real precursors, short-
${ }_{30}$ lived allene oxide fatty acids. ${ }^{3}$ The formation of vinyl allene oxides occurs via the dehydration of fatty acid hydroperoxides by allene oxide synthase (AOS). ${ }^{3 \mathrm{a}, \mathrm{b}}$ Most AOSs belong to the CYP74A subfamily (CYP74 family) of cytochromes P450. ${ }^{4}$ Allene oxides undergo spontaneous hydrolysis ${ }^{3 a}$ or cyclization ${ }^{5}$ to ${ }_{35}$ racemic cyclopent-2-en-1-ones. Cyclization also occurs enzymatically under the control of allene oxide cyclase (AOC), an enzyme found widespread in plants. ${ }^{6}$

Natural vinyl allene oxides were first isolated from the incubations of fatty acid hydroperoxides with flax AOS $40(\text { CYP74A1 })^{3 \mathrm{~b}}$ and coral Plexaura homomalla $\mathrm{AOS}^{3 \mathrm{c}}$; then their methyl esters were purified by HPLC at $-15{ }^{\circ} \mathrm{C}$ and their structures were confirmed by low temperature NMR spectroscopy, but no $Z / E$ assignment of the double bond at the oxirane was made. ${ }^{3 \mathrm{~b}, \mathrm{c}}$ The $E$ geometry was later assigned to this ${ }_{45}$ double bond based on nuclear Overhauser effect (NOE) experiments using purified allene oxide (as its methyl ester derivative) prepared with flax AOS, as well as theoretical prediction of NMR spectra. ${ }^{7}$

Unlike CYP74A enzymes, the CYP74C AOSs, which have been ${ }_{50}$ characterized in young potato seedlings, ${ }^{8}$ potato (StAOS3,

CYP74C10) ${ }^{9}$ and tomato (LeAOS3), ${ }^{10}$ produce a notable amount of cyclopentenone from linoleate hydroperoxides via the allene oxide. ${ }^{11}$ Interestingly, it has recently been shown that the allene oxide produced by LeAOS3 is composed of the two $E$ and $Z$ ${ }_{55}$ geometric isomers. ${ }^{12}$ Furthermore, the $Z$-vinyl allene oxide, not the $E$ counterpart, spontaneously produced a cis-cyclopentenone upon standing at ambient temperature in hexane. A stepwise mechanism involving ring opening of the $Z$-vinyl allene oxide to the oxidopentadienyl zwitterion intermediate (Scheme 1, in ${ }_{60}$ brackets) followed by its $4 \pi \mathrm{e}^{-}$-electrocyclic (conrotatory) ring closure ${ }^{13}$ was proposed to account for the overall skeletal transformation. ${ }^{12}$ This proposal, however, does not explain the partial transfer of chirality experimentally observed when the isolated $Z$-vinyl allene oxide from $9 S$-HPODE derivatized as ${ }_{65}$ methyl ester was allowed to stand in hexane- ${ }^{i} \operatorname{PrOH}$ HPLC solvent (Scheme 1). ${ }^{12}$ Since the oxidopentadienyl zwitterion is planar, no transfer of stereochemical information from the enantiopure $9 S$ HPODE would be possible in the absence of enzyme, as both enantiomorphic conrotations available to this formal iso-Nazarov 70 reaction ${ }^{14}$ would be equally probable.




Scheme 1. Formation of the vinyl allene oxide geometric isomers derived from $9 S$-HPODE by the action of CYP74C3 AOS and their reactivity in solution. ${ }^{12}$

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All these puzzling observations prompted us to computationally address the mechanistic pathways for the rearrangement of a $Z$-vinyl allene oxide model system to the corresponding cyclopent-2-en-1-one as well as the $E$ isomer,
${ }_{80}$ which was revisited to allow comparison with our previous work. ${ }^{15}$ In agreement with the seminal studies by Hess and coworkers using (U)B3LYP DFT calculations at the $6-31 \mathrm{G}^{*}$
level, ${ }^{16}$ we have shown at the B3LYP/6$311++G(3 d f, 2 p) / / B 3 L Y P / 6-31++G(d, p)$ level that two alternative mechanisms, termed concerted and stepwise, can enter into competition in the rearrangement of parent 5 unsubstituted vinyl allene oxide and also of substituted ( $Z$ )-prop-1-en-1-yl allene oxide. Whereas in the parent system the concerted $\mathrm{S}_{\mathrm{N}} 2$-like ring closure/ring opening reaction of the scis conformer of the reactant was computed to be preferred over the alternative ring-opening to oxidopentadienyl 10 zwitterion followed by conrotatory electrocyclic ring closure sequence, the latter became more favorable upon increasing the steric interactions of the substituents at the termini. The stepwise pathway was characterized as having biradical/zwitterionic nature. ${ }^{15}$ In the present work, we will 15 take into account dispersion effects using the $\omega$-B97XD functional ${ }^{17}$ in order to evaluate the role of these interactions on the nature of the species involved in the process.

## Methods

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All calculations were carried out with the Gaussian 09 suite of programs. ${ }^{18}$ Each geometry was optimized at the $\omega$-B97XD/6$31++\mathrm{G}(\mathrm{d}, \mathrm{p})$ level. ${ }^{19}$ Minima and transition states were individually characterized by the analysis of the number of ${ }_{25}$ negative frequencies. Zero-point vibration energies (ZPVE) and thermal corrections (at $298 \mathrm{~K}, 1 \mathrm{~atm}$ ) to the energy have been estimated using the computed frequencies applying the free particle, harmonic oscillator and rigid rotor approximations at the high temperature limit in a canonical

30 ensemble. Single-point energy calculations were carried out on the optimized structures taking into account the solvation effects by the Polarizable Continuum Model (PCM) ${ }^{20}$ using the UAKS radii for the atoms immersed in water solvent. Furthermore, the basis set was augmented to triple- $\zeta$, thus $\omega$ -B97XD/6-311++G(3df,2p), in order to obtain more accurate energy values. ${ }^{21}$ The mass-weighted intrinsic reaction coordinate (IRC) ${ }^{22}$ was followed from every transition structure (TS) to connect minima and the corresponding TS. Representations were created with CYLview ${ }^{233}$ and ${ }_{40}$ ChemCraft. ${ }^{23 b}$

## Results and discussion

Substituted vinyl allene oxides can have up to three ${ }_{45}$ stereogenic units: the $\mathrm{C}_{\mathrm{sp} 3}$ chiral center at one terminus and the double bonds of both the allene oxide and the alkenyl group in conjugation. Thus, we computed the pathways for the rearrangement of model system ( $S, E$ )-2-[(Z)-but-2-en-1-ylidene]-3-methyloxirane and its isomer ( $S, Z$ )-2-[(Z)-but-2-ens0 1-ylidene]-3-methyloxirane, denoted hereafter as $S, E, Z-\mathbf{1}$ and $S, Z, Z-1$, which differ in the geometry of the allene oxide and have in common the $S$ configuration presumably obtained from $9 S$-HPODE and the $Z$ geometry of the conjugated olefin. Similarly to previous studies, ${ }^{15,16}$ we were able to characterize ${ }_{55}$ two possible reaction paths, denoted as concerted ( $\mathbf{1} \boldsymbol{\rightarrow} \mathbf{2}$ 3) and stepwise $(\mathbf{1} \rightarrow \mathbf{4} \rightarrow \mathbf{3})$ in Scheme 2, to 4,5-dimethylcyclopent-2-en-1-one 3 .


Scheme 2. Concerted and stepwise pathways for the rearrangement of vinyl allene oxides $S, E, Z-\mathbf{1}$ and $S, Z, Z-1$ computed at the $\omega$-B97XD/6$311++\mathrm{G}(3 \mathrm{df}, 2 \mathrm{p})\left(\mathrm{PCM}, \mathrm{H}_{2} \mathrm{O}\right) / / \omega-\mathrm{B} 97 \mathrm{XD} / 6-31++\mathrm{G}(\mathrm{d}, \mathrm{p})$ level. Total and relative energies (in parenthesis) are given in kcal/mol. Starting from the $S$ enantiomers of $\mathbf{1}$, the $R / S$ configuration at C 5 of cyclopentenone 3 is determined by the occurrence of the stepwise ( $5 R$ ) or concerted ( $5 S$ ) mechanisms, whereas the $R / S$ configuration at C 4 of $\mathbf{3}$ is dictated by the helicity of the s-cis reactant $\mathbf{2}$ or the transition state TS4-3, with $P$ giving $4 S$ and $M$ producing ${ }_{5} 4 R$. Starting from the $R$ enantiomers of $\mathbf{1}$, the stereochemical outcome is the opposite (results not shown).

The concerted pathway, which is only feasible for the $E$ isomer of the allene oxide, can be visualized as a backside ${ }_{10}$ attack of the olefin onto the $\mathrm{C}_{\text {sp } 3}$ terminus of the opening oxirane and shows features of an $\mathrm{S}_{\mathrm{N}} 2$ displacement. ${ }^{16,24}$ The parent all-carbon system has been previously characterized as an antara, antara $[1,5]_{C H 2}$ sigmatropic rearrangement. ${ }^{16 \mathrm{~b}}$ To reach the proper geometry, the reactant requires a prior ${ }_{15}$ conformational change from the s-trans to the s-cis conformer, the latter denoted as $\mathbf{2}$. This change can take place following clockwise (cw) and counterclokwise (ccw) movements through TS1-2P and TS1-2M, respectively, and generate an additional stereogenic element indicated with the ${ }_{20} P$ and $M$ descriptors of helicity. Both the change of conformation to the two diastereomeric helical structures
$\mathrm{kcal} / \mathrm{mol}$ ) and their ensuing rearrangement via TS2P-3 and TS2M-3 (activation energies of about $28.5 \mathrm{kcal} / \mathrm{mol}$ ) are 25 virtually isoenergetic. These sequences lead to diastereomers of the product, namely cis- $4 S, 5 S-3$ or trans- $4 R, 5 S-3$ starting from the $P$ or $M$ helical conformations. TS2P-3 and TS2M-3 preserve some features of the precursor helicity as shown by the ca. $40^{\circ}$ dihedral angle of the alkene relative to the plane of 30 the opening oxirane (Table 1). The ring closure proceeds through an apparent conrotatory motion of the termini, which are placed $2.83 \AA$ and $2.61 \AA$ apart, respectively, in the transition structures (Table 1). Nucleus independent chemical shifts (NICS) values for these TSs are close to -12 ppm , thus ${ }_{35}$ indicating aromatic character (see S.I.). ${ }^{25,16 \mathrm{~b}}$ $S, E, Z, P-\mathbf{2}$ and $S, E, Z, M-\mathbf{2}$ (activation energies of about 6.0

| , | $\mathbf{d}_{\mathrm{Cl} 1-\mathrm{C} 2}$ <br> ( $\AA$ ) | $\mathbf{d}_{\mathrm{C} 2-\mathrm{C} 3}$ <br> (Å) | $\mathbf{d}_{\mathrm{Cl}-\mathrm{C} 3}$ <br> ( $\AA$ ) | $\mathbf{d}_{\mathrm{C} 3-\mathrm{C} 4}$ <br> ( $\AA$ ) | $\mathbf{d}_{\text {C4-C5 }}$ <br> ( $\AA$ ) | $\mathbf{d}_{\mathrm{C} 5-\mathrm{C} 1}$ <br> ( $\AA$ ) | $\mathbf{d}_{\mathrm{Cl}-\mathrm{O} 1}$ <br> ( $\AA$ ) | $\mathbf{d}_{\mathrm{C} 2-\mathrm{O} 1}$ <br> ( $\AA$ ) | $\boldsymbol{\theta}_{\mathrm{Cl} 1-\mathrm{C} 2-\mathrm{C} 3-\mathrm{C} 4}$ <br> (degrees) | $\boldsymbol{\theta}_{\text {C2-C3-C4-c5 }}$ <br> (degrees) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2S,3R-4 | 1.47 | 1.48 | 1.59 | 1.49 | 1.34 |  |  | 1.20 | 107.4 | 53.1 |
| 2S,3S-4 | 1.46 | 1.48 | 1.58 | 1.48 | 1.34 |  |  | 1.20 | -107.5 | -148.6 |
| 4R,5R-3 | 1.53 | 1.48 |  | 1.34 | 1.51 | 1.55 |  | 1.21 | -6.9 | -1.6 |
| 4R,5S-3 | 1.53 | 1.48 |  | 1.34 | 1.51 | 1.54 |  | 1.21 | 5.8 | 0.1 |
| 4S,5R-3 | 1.53 | 1.48 |  | 1.34 | 1.51 | 1.54 |  | 1.21 | -5.8 | -0.1 |
| 4S,5S-3 | 1.53 | 1.48 |  | 1.34 | 1.51 | 1.55 |  | 1.21 | 6.9 | 1.6 |
| S,E,Z-1 | 1.44 | 1.33 |  | 1.46 | 1.34 |  | 1.48 | 1.35 | -3.0 | 179.7 |
| $S, E, Z, M-2$ | 1.43 | 1.33 |  | 1.47 | 1.34 |  | 1.48 | 1.35 | -8.1 | -33.1 |
| $S, E, Z, P-2$ | 1.44 | 1.33 |  | 1.47 | 1.34 |  | 1.47 | 1.35 | 5.7 | 33.2 |
| $S, Z, Z-1$ | 1.43 | 1.33 |  | 1.46 | 1.34 |  | 1.48 | 1.35 | 176.7 | -179.3 |
| TS1-2M | 1.44 | 1.32 |  | 1.49 | 1.34 |  | 1.48 | 1.36 | -0.8 | -99.9 |
| TS1-2P | 1.44 | 1.32 |  | 1.49 | 1.34 |  | 1.48 | 1.35 | -4.9 | 101.7 |
| TS1-4E_in | 1.44 | 1.39 | 2.52 | 1.44 | 1.35 |  | 2.15 | 1.28 | 9.1 | 176.7 |
| TS1-4E_out | 1.44 | 1.37 | 2.51 | 1.44 | 1.35 |  | 2.10 | 1.28 | -4.7 | 179.7 |
| TS1-4Z_in | 1.43 | 1.37 | 2.51 | 1.44 | 1.35 |  | 2.08 | 1.28 | 174.2 | 178.3 |
| TS1-4Z_out | 1.43 | 1.39 | 2.51 | 1.44 | 1.35 |  | 2.09 | 1.28 | -175.2 | -177.8 |
| TS2M-3 | 1.45 | 1.37 | 2.48 | 1.43 | 1.37 | 2.61 | 2.05 | 1.27 | -5.5 | -39.2 |
| TS2P-3 | 1.45 | 1.37 | 2.49 | 1.44 | 1.36 | 2.83 | 2.07 | 1.28 | 4.0 | 44.1 |
| TS4R-3 | 1.44 | 1.45 | 2.42 | 1.39 | 1.39 | 2.83 | 2.35 | 1.25 | 50.9 | 22.5 |
| TS4S-3 | 1.44 | 1.45 | 2.37 | 1.40 | 1.38 | 2.85 | 2.37 | 1.25 | -43.2 | -23.1 |

Table 1. Relevant geometric parameters, bond distances (in $\AA$ ) and dihedral angles (in degrees), corresponding to the structures shown on Scheme 2 (numbering of atoms is indicated in structure $S, E, Z-1$ ) computed at the $\omega-\mathrm{B} 97 \mathrm{XD} / 6-311++\mathrm{G}(3 \mathrm{df}, 2 \mathrm{p})\left(\mathrm{PCM}, \mathrm{H}_{2} \mathrm{O}\right) / / \omega-\mathrm{B} 97 \mathrm{XD} / 6-31++\mathrm{G}(\mathrm{d}, \mathrm{p})$ level.


Figure 1. Representation of the IRC for the stepwise rearrangement of vinyl allene oxide $\mathrm{S}, E, Z-1$ to diastereomeric cyclopropanones $\mathbf{4}$ through 5 transition structures TS1-4E out (top) and TS1-4E in (bottom) computed at the $\omega-\mathrm{B} 97 \mathrm{XD} / 6-31++\mathrm{G}(\mathrm{d}, \mathrm{p})$ level. Electronic energies are given in $\mathrm{kcal} / \mathrm{mol}$.

The stepwise alternative starts with the opening of the oxirane 10 and concomitant rotation of the terminal $\mathrm{C}_{\mathrm{sp} 3}$ atom. Depending upon the direction of twist, the $\mathrm{C} 2-\mathrm{H}$ can be placed either outside towards the oxygen atom or inside towards the diene, and these alternatives are labeled as H -out and H -in, respectively, in Scheme 2. In contrast to previous studies, ${ }^{15,16}$ the intermediate 15 formed is not an oxidopentadienyl zwitterion but a vinylcyclopropanone 4. The formation of this intermediate is possible because the termini of the allene oxide that undergo first an apparent conrotatory motion move in a disrotatory manner before the $\mathrm{HC}-\mathrm{C}(\mathrm{O})-\mathrm{C}-\mathrm{C}=\mathrm{C}$ fragment reaches a planar structure.
${ }_{20}$ TS1-4E in evolves by out,out disrotation and leads to trans$2 S, 3 S-4$ whereas TS1-4E out follows opposite disrotatory in,in motions and affords $c i s-2 S, 3 R-4$ (see Figure 1).
Hess et al. characterized an oxyallyl zwitterion as intermediate in the rearrangement of parent unsubstituted allene oxide to
25 cyclopropanone at the UB3LYP/6-31G* and $\operatorname{CASSCF}(4,4) / 6-$ 31G* levels. ${ }^{26}$ The parent oxyallyl was proposed to have a diradical character based on negative ion photoelectron microscopy measurements and computational studies, which also predicted a barrierless ring closure to cyclopropanone. ${ }^{27}$
${ }_{30}$ However, we could not attribute radical character to the TSs leading to the vinylcyclopropanone 4 [at the (U)MP2/cc-pVDZ and (U) $\omega$-B97XD/6-31++G(d,p) levels)], and we determined that the wavefunction of these species is stable in all the stationary points characterized along the potential energy surface for the
${ }_{35}$ rearrangement of vinyl allene oxide. It appears that the presence of the additional substituents drives the conversion of the vinyl allene oxide to the cyclopentenone via the vinylcyclopropanone through closed-shell structures.
Vinyl cyclopropanone $\mathbf{4}$ evolves to cyclopentenone $\mathbf{3}$ (for ${ }_{40}$ previous reports on this rearrangement, see $^{28}$ ) through TS4-3,
which is another helically chiral structure. The rearrangement of cyclopropanone 4 occurs torquoselectively, ${ }^{29}$ since trans- $2 S, 3 S-4$ generates cis-4R,5R-3, whereas cis-2S,3R-4 affords the diastereomeric product trans- $4 S, 5 R-\mathbf{3}$. This process is the oxo ${ }_{45}$ variant of the classical [1,3]-C sigmatropic rearrangement. ${ }^{30}$ [1,3]-C Sigmatropic shifts are known to give preferentially the si (suprafacial inversion) product with inversion of the $\mathrm{C}_{\mathrm{sp} 3}$ atom and at least for the parent system they proceed through diradical intermediates. ${ }^{31}$ In general, vinylcyclopropane-cyclopentene ${ }_{50}$ rearrangements have activation energies above $45 \mathrm{kcal} / \mathrm{mol} .{ }^{31}$ For the parent system a value of $42.5 \mathrm{kcal} / \mathrm{mol}$ has been calculated at the $\operatorname{CASSCF}(4,4) / 6-31 G^{*}$ level of theory, ${ }^{32}$ and we have computed a value of $41.0 \mathrm{kcal} / \mathrm{mol}$ (Scheme 3) using $\operatorname{CASSCF}(4,4) / \mathrm{cc}-\mathrm{pVTZ}$. Incorporation of the carbonyl group ${ }_{55}$ lowers considerably the energy of activation for the process. We have computed for this sigmatropic shift activation energies of $13.7 \mathrm{kcal} / \mathrm{mol}$ using $\operatorname{CASSCF}(8,8) / \mathrm{cc}-\mathrm{pVTZ}$ (Scheme 3) but 29.9 $\mathrm{kcal} / \mathrm{mol}$ at the $\omega$-B97XD/6-31++G(d,p) level (cf. with substituents, $28.8 \mathrm{kcal} / \mathrm{mol}$ for TS4S-3 and $36.4 \mathrm{kcal} / \mathrm{mol}$ for
${ }_{60}$ TS4R-3 at the $\omega$-B97XD/6-311++G(3df,2p) level). Also the presence of the oxygen likely modifies the nature of the intermediate species. We checked that the wavefunction of these species is stable in all the stationary points characterized along the potential energy surface for the rearrangement. As a variant of ${ }_{65}$ the classical $4 \pi \mathrm{e}^{-}$electrocyclic process, the reaction proceeds by a conrotatory motions of the termini (placed $2.83 \AA$ apart) of the $M$ or $P$ helices, denoted as H-in and H-out, respectively, for TS4S-3 and TS4R-3 (Scheme 2). Nucleus independent chemical shifts (NICS) values for these TSs vary between -8 and -11 ppm , thus 70 indicating aromatic character (see S.I.). ${ }^{25}$


Scheme 3. Energy values (in $\mathrm{kcal} / \mathrm{mol}$ ) for the parent rearrangements: vinylcyclopropane to cyclopentene and vinylcyclopropanone to cyclopentenone.
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Comparison of the activation energy values shown in Scheme 2 for the rearrangement of the $E$ vinyl allene oxide $S, E, Z-1$ suggests that the stepwise is the preferred mechanism, being the values for the concerted alternative (regardless of the diastereomer of $\mathbf{3}$ ${ }_{80}$ formed) from 3 to $5 \mathrm{kcal} / \mathrm{mol}$ higher. For the stepwise rearrangement of $S, E, Z-1$, the ring opening with H -in motion requires a higher energy ( $25.6 \mathrm{kcal} / \mathrm{mol}$ ) than the H-out counterpart ( $23.1 \mathrm{kcal} / \mathrm{mol}$ ). Conversely, the rearrangement of trans-4 to cis-3 is favored ( $28.8 \mathrm{kcal} / \mathrm{mol}$ ) over that of the ${ }_{85}$ diastereomer cis- $\mathbf{4}(36.4 \mathrm{kcal} / \mathrm{mol})$ to the trans- $\mathbf{3}$ cyclopentenone. The significant difference in activation energies for the
diastereomers regarding the second step could be related to the steric effects and to the degree of transition state development, with TS4R-3 from cis- $\mathbf{4}$ being more retarded (C-C bond cleavage of $2.42 \AA$ ) than TS4S-3 from trans-4 (C-C bond cleavage of 2.37 $\AA$ ). Orbital analysis indicates that the HOMO of TS4S-3 has certain $\pi$-like symmetry, and the formation of the $\sigma$-bond of the cyclopentenone benefits from assistance of the backbone $\pi$-like orbital residing in almost the same plane as the breaking cyclopropanone $\sigma$-bond. In TS4R-3 this $\pi$-like-symmetry is 10 broken since the orbitals associated to $\sigma$-bond breaking and $\sigma$ bond formation are extended in almost perpendicular regions, with weaker electron donation and TS destabilization (Figure 2).


Figure 2. Representation of the HOMO orbitals for TS4S-3 (left) and 15 TS4R-3 (right). An animated gif movie can be found as S.I.

Of note the products of the concerted manifold are the enantiomers of those generated in the stepwise alternative: H -out and ccw gives the trans- $\mathbf{3}$ enantiomers and $\mathrm{H}-\mathrm{in}$ and cw generates
20 the cis- $\mathbf{3}$ enantiomers. The rate-determining steps for oxirane opening/ring closure for H -in and out,out disrotation en route to cis-4R,5R-3 and the vinylcyclopropanone-cyclopentenone rearrangement to trans-4S,5R-3 for H-out and in, in motion differ by only $0.7 \mathrm{kcal} / \mathrm{mol}(25.6 \mathrm{kcal} / \mathrm{mol}$ and $26.3 \mathrm{kcal} / \mathrm{mol}$, ${ }_{25}$ respectively). The relatively small difference in activation energies among these alternatives suggest that the transfer of stereochemical information might be compromised in the real setting, and that mixtures of cyclopentenones 4 could be obtained from the rearrangement of vinyl allene oxides represented by ${ }_{30} S, E, Z-1$.

Due to geometric constraints the rearrangement of vinyl allene oxide $S, Z, Z-1$ with $Z$ geometries can only occur stepwise. Interestingly, when the oxirane ring of $S, Z, Z-1$ opens by H -out movement through TS1-4Z out, located $21.2 \mathrm{kcal} / \mathrm{mol}$ above the ${ }_{35}$ reactant, it collapses by an apparent disrotatory movement (in,in)
to cyclopropanone trans-4 of $2 S, 3 S$ absolute configuration. This is the same product that results from the opposite movement (out,out) of the termini in TS1-4E in starting from diastereomer $S, E, Z-1$ discussed above ( $25.6 \mathrm{kcal} / \mathrm{mol}$ ). Conversely, the ${ }_{40}$ evolution of $S, Z, Z-1$ through TS1-4Z in ( $25.2 \mathrm{kcal} / \mathrm{mol}$ ) by out,out disrotatory movement produces cyclopropanone cis$2 S, 3 R-\mathbf{4}$, the same enantiomer obtained from the $S, E, Z-1$ geometric isomer of the reactant ( $23.1 \mathrm{kcal} / \mathrm{mol}$ ) through TS1-4E out and in, in disrotation. Thus, the formation of the ${ }_{45}$ vinylcyclopropanone $\mathbf{4}$ is stereoconvergent if both rotations (ring opening and subsequent closure) of the termini of vinyl allene oxide geometric isomers take place in opposite directions. This stereochemical feature is general in this system: a change in one of the stereochemical elements of the allene oxide (chirality
so center, double bond or helicity of the s-cis conformer) causes the inversion of a chiral center of the vinylcyclopropanone, whereas two changes lead to the same enantiomer by double inversion, as indicated in Scheme 2.

Evaluation of the energy landscape shown in Scheme 2 ${ }_{55}$ indicates that the H -out direction of oxirane ring opening requires lower energy than the H -in counterpart, and that of $S, Z, Z-1$ is the more favorable. Therefore, the $Z$ vinyl allene oxide is predicted to rearrange to 3 at a greater rate than the corresponding $E$ isomer, which is in agreement with the ${ }_{50}$ experimental results. ${ }^{12}$ Moreover, vinyl allene oxide $S, Z, Z-\mathbf{1}$ is converted into the enantiomer cis-4R,5R-3 of the cyclopentenone through the intermediacy of cyclopropanone diastereomer trans- $2 S, 3 S-4$ (see Figure 3 for the reaction profile). Since the difference in activation energies with ${ }_{65}$ respect to the alternative pathway leading to diastereomer trans-4S,5R-3 amounts to $5.1 \mathrm{kcal} / \mathrm{mol}$, a complete transfer of chirality should be possible in the rearrangement of the $Z$ vinyl allene oxide under proper conditions. Chirality transfer processes have been documented in the oxidation of 70 enantiopure vinyl allenes and ensuing rearrangement of the intermediates to the cyclopentenones, although the geometries of the vinyl allene oxides were not characterized. ${ }^{33}$ Moreover, Brash and coworkers detected partial chirality transfer (er of 61:39 and 53:47 in the two measurements; no assignment of ${ }_{75}$ absolute configuration was carried out) when the $Z$ vinyl allene oxide from $9 S$-HPODE was derivatized as methyl ester and allowed to stand in the HPLC solvent. ${ }^{8}$


Figure 3. Reaction profile for the rearrangement of $(S, E)$ - and $(S, Z)-2-[(Z)$-but-2-en-1-ylidene]-3-methyloxirane, $S, E, Z-1$ and $S, Z, Z-1$, respectively. Drawings correspond to the species along the most favored stepwise pathway of $S, Z, Z-1$. Energy values ( $\mathrm{kcal} / \mathrm{mol}$ ) have been computed at the $\omega$ -B97XD/6-311++G(3df,2p) $\left(\mathrm{PCM}, \mathrm{H}_{2} \mathrm{O}\right) / / \omega-\mathrm{B} 97 \mathrm{XD} / 6-31++\mathrm{G}(\mathrm{d}, \mathrm{p})$ level of theory.

To probe that this mechanism is indeed general, we have computed the mechanistic manifold for the geometric isomers of the reactant at the conjugated double bond, namely $S, E, E-\mathbf{1}$ and $S, Z, E-1$. Scheme 4 shows a similar scenario comprising concerted 10 and stepwise alternatives for the rearrangement of these geometric isomers. The change in the double bond stereogenic unit is reflected in the inverted configuration at the cyclopentenone C 4 chiral center when the reactant evolves following motions similar to those described for $S, E, Z-1$ and
${ }_{15} S, Z, Z-1$ respectively (Scheme 2 ). Somehow anticipated, the lowering of the activation energies (between 4 and $6 \mathrm{kcal} / \mathrm{mol}$ ) relative to those of the terminal $Z$ isomers indicates the reduced steric interactions in the transition states of the concerted pathway. This effect is also noted in the vinylcyclopropanone-to-
20 cyclopentenone rearrangement of the stepwise alternative. For $S, E, E-1$ the two most favored branches of the concerted and stepwise alternatives are almost isoenergetic (22.7 and 22.5 $\mathrm{kcal} / \mathrm{mol}$, respectively) and lead to enantiomers of the cis-3 product. Thus, the translation of the absolute configuration of the
25 enantiopure reactant $S, E, E-\mathbf{1}$ to the product is unlikely based on these computations. A similar analysis of the energy values for the stepwise mechanistic options of the $S, Z, E-\mathbf{1}$ isomer points to a process with high stereochemical fidelity since this enantiomer would most likely generate trans-4S,5R-3 (Scheme 4).
30

The rearrangement of vinyl allene oxide geometric isomers ${ }^{3 c, 12}$ to cyclopentenones, ${ }^{28 \mathrm{~b}}$ of relevance for the important jasmonate and 35 clavulone biosynthetic pathways, has been computationally revisited. A stepwise process, with formation of a vinylcyclopropanone intermediate, has been characterized at the $\omega$-B97XD/6-311++G(3df,2p)(PCM, $\left.\mathrm{H}_{2} \mathrm{O}\right)$ level of theory and found to be the preferred pathway for most of the ${ }_{40}$ diastereoisomeric vinyl allene oxides. Thus, the $S$ enantiomer of $Z$ geometry, $S, Z, Z-1$, rearranges with high stereochemical fidelity to the cis-cyclopentenone 3 of $4 R, 5 R$ absolute configuration. This transfer of chirality follows a path involving a rate-determining $(21.2 \mathrm{kcal} / \mathrm{mol})$ oxirane ring opening with H -out motion and in, in 45 disrotation to the trans-vinylcyclopropanone $2 S, 3 S-4$ followed by a [1,3]-C sigmatropic shift. For the geometric isomer $S, E, Z-\mathbf{1}$ the stepwise mechanism enters into competition with the concerted alternative. In this complex scenario mixtures of the four diastereomers could be experimentally expected. On the other 50 hand, the cis-cyclopentenone is also predicted to form starting from the $E$ isomer of the vinyl allene oxide $S, E, E-\mathbf{1}$, but it would be most likely isolated as a racemate given the similar activation energies computed for the stepwise and concerted mechanism leading to $4 R, 5 R-\mathbf{3}$ and $4 S, 5 S-\mathbf{3}$, respectively. The rearrangement 55 of vinyl allene oxides with the $S, Z, E-1$ configuration would produce the trans-cyclopentenone $\mathbf{3}$ of $4 S, 5 R$ absolute configuration following motions with the same handedness as those of $S, Z, Z-\mathbf{1}$.

## Conclusion



Scheme 4. Reaction profile for the rearrangement of $(S, E)$ - and $(S, Z)-2-[(Z)$-but-2-en-1-ylidene]-3-methyloxirane, $S, E, Z-1$ and $S, Z, Z-1$, respectively. Drawings correspond to the species along the most favored stepwise pathway of $S, Z, Z-\mathbf{1}$. Energy values ( $\mathrm{kcal} / \mathrm{mol}$ ) have been computed at the $\omega$ -B97XD/6-311++G(3df,2p)(PCM, $\left.\mathrm{H}_{2} \mathrm{O}\right) / / \omega-\mathrm{B} 97 \mathrm{XD} / 6-31++\mathrm{G}(\mathrm{d}, \mathrm{p})$ level of theory.

This unifying mechanism is consistent with the following experimental observations related to vinyl allene oxides derived from unsaturated fatty acid hydroperoxides: 1) the cyclopentenone is formed more rapidly from the isolated $Z$ vinyl ${ }_{10}$ allene oxide than from the $E$ isomer, as shown; ${ }^{12}$ 2) ciscyclopentenones are the primary products (except for systems having the yet undescribed $S, Z, E-\mathbf{1}$ configuration) under conditions that prevent epimerization at $\mathrm{C} 5 ;{ }^{12}$ 3) the rearrangement of enantiopure vinyl allene oxides of $S, Z, Z$ (like the one from $\gamma$-linolenic acid 9 -hydroperoxides) ${ }^{12}$ and $S, Z, E$ configurations could take place with memory of chirality and afford enantioenriched products; this effect is unlikely for vinyl allene oxides with $S, E, E$ configuration (in the experimental setting, also for those with $S, E, Z$ configuration) due to the small energy difference between the stepwise and concerted options; ${ }^{3 \mathrm{~b}, \mathrm{c}}$
4) Favorskii-type by-products derived from the cyclopropanone intermediate could be formed; these secondary products have been indeed isolated upon incubation of $\alpha$ - and $\gamma$-linolenic acid 13- and 9-hydroperoxides (respectively) with AOSs. ${ }^{34}$

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