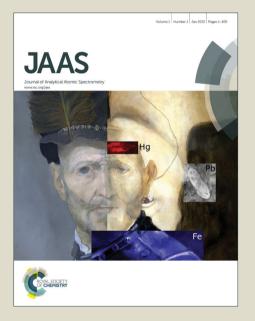
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 Morphology and characterization of Dematiaceous fungi on cellulose paper substrate using Synchrotron X-ray Microtomography, Scanning Electron Microscopy and Confocal Laser Scanning Microscopy in the context of cultural heritage.

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Key words: X-ray microtomography, synchrotron radiation, confocal laser scanning microscopy, biodeterioration of paper, Dematiaceous fungi

## Abstract

Dematiaceous (black pigmented) fungi interact with the cellular paper matrix growing on the surface and in paper bulk. Fungi-induced stains are one form of such interaction and are referred to as biodeterioration when applied to cultural heritage material. The complexity of both, paper and living systems, such as fungi, requires multi-scale analysis. The surface topography and spatial distribution of fungal monilioid hyphae, branched and un-branched chains and morphology of isodiametric enlargements of spores and yeast-like cells on the surface of paper were imaged with correlative microscopy, combining the environmental scanning electron microscopy and imaging in backscattered electron mode (SEM-BSE) with confocal laser scanning microscopy (CLSM). The spherical fruiting bodies (perithecia) embedded in paper were not detected by CLSM, and ESEM provided data collected near the surface. Their interaction with paper was analyzed with 3D visualization with X-ray microtomography (XµCT). Until now the fungi and paper interfaces in the matrix (bulk) of paper had not been analyzed with  $X\mu$ CT. We present a novel method of investigating the interaction of fungal pigmented mycelium, spores and perithecia in the paper matrix using  $X\mu$ CT and 3D visualization based on the  $X\mu$ CT data. The tomographs were generated on the designated ID19 beam at ESRF, Grenoble, France. The ultimate purpose of this investigation was to understand the mechanisms of fungi and paper interactions in order to develop preservation strategies for cultural heritage, such as historic and artistic works on paper infested by fungi.

# Introduction

Biodeterioration refers to an adverse action of fungi or bacteria manifested by staining and often the structural degradation of a material on which they grow. It is triggered by multitude of factors, the environment, the structure and composition of the materials and the nutritional preferences of microorganisms growing on them. Fungi induced pigmentation is difficult to reverse and once the material on which they grow is digested, the deterioration is irreversible. Therefore, understanding the mechanisms which govern different aspects of fungal life cycles is essential to both prevention of fungal infestation and, once is has occurred, its remediation or feasibility of any corrective action. This study is investigating one aspect of biodeterioration, namely, stains induced by Dematiaceous fungi on cellulose-based historic and artistic works, using correlative microscopy and X-ray microtomography.

Fungal pigmentation of paper results from complex interactions of heterogenous cellular material (paper) with living systems (fungi). The fungal pigments, defined as secondary metabolites, are bi-products of

bio-chemical processes within fungal cells. The pigmented spores and mycelium of many colors produce superficial stains on the surface of paper and inside the microstructure of paper referred to as matrix or bulk. The structural degradation of paper fibers is caused by fungal enzymatic activities. This investigation explores black stains on paper attributed to Dematiaceous, meristematic fungi and their interactions with the paper matrix. These fungi represent a large group of organisms causing pathogenic infections in plants and humans; over 100 species were isolated from humans [1]. They are ubiquitous soil saprophytes. Those found on cultural heritage material were meristematic fungi that phylogenetically belong to, or have association with, at least three different orders: Chaetothyriales, Dothidales and *Pleosporales.* [2] Their identification is difficult because of their ability to convert in various stages of their life cycle from filamentous to yeast-like growth. Dematiaceous fungi do not possess any distinct features, other than their common black pigmentation, attributed to melanin in their cell walls. Because they grow in demanding environments of extreme temperatures, with scarcity of nutrient and limited availability of water, UV radiation and oxygenic action, they are very resilient to any potential remediation actions. That, and the difficulty in characterizing their morphology are most likely the reasons why Dematiaceous fungi received very little attention in the context of cultural heritage. These fungi were reported growing on rocks, marbles and granite in extreme temperatures, from the desert of Arizona to the cold of Antarctic, in environments with limited nutrients and water availability [3,4]; only two references noted their presence on paper [5,6].

Optical microscopy provided information about meristematic fungi growth patterns which led to the formation of stains. Environmental scanning electron microscopy in variable pressure (ESEM), traditionally utilized for the investigation of paper and biological specimens, revealed topography of paper surface and morphology of fungi features, both imaged in backscattered electron (BSE) mode. The spatial distribution of fungal spores and mycelium on the paper surface was obtained with confocal laser scanning microscopy (CLSM). The results produced by correlative microscopy, where the same sample is analyzed using two or more techniques differing in scales (macro- to micro-), permitted interpretation of data generated by X-ray microtomography (X $\mu$ CT). The 3D visualization based on X $\mu$ CT data shows the growth patterns of fungi in the paper internal structure complementing the results obtained in ESEM and CLSM. Although X-ray microtomography has been successfully utilized before to characterize features of paper fibers, pores and additives to paper [7,8] to the authors' knowledge this technique has only now been applied for the first time to the investigation of microorganisms growing on paper [9]. The experimental setting of X $\mu$ CT and data processing aiming to produce the 3D visualization are discussed. The findings contribute to the design of preservation strategies applied to cultural heritage and elucidating difficulties of removing bio-deposits from paper.

# Materials and methods

# 2.1 Samples

Two historic papers affected by black fungal stains were used in this study; (1) a 17th century handmade sheet from the collection of the Maltese Archives in Malta, and (2) a 1920 Engraving on machine-made paper. The total number of stains analyzed was 26 and 14 on each paper respectively. The fiber composition of both papers was cotton, as determined based on the fibers' morphology.

Two types of stains caused by different fungi species were analyzed on the  $17^{\text{th}}$  century paper, sheet size 233mm x 170mm. One type (1A) was produced by the congregation of pigmented spores, and the other (1B) by large dark brown to black fruiting bodies, perithecia, 50-100 $\mu$ m embedded in paper. The stains' diameter in (1A) was 0.5mm-2mm (fig.1).

The samples of stained papers, 1mm x 1mm, were extracted from the 17<sup>th</sup>c paper (1A and 1B type) and from the 1920 Engraving. All samples were conditioned for 6hrs in a controlled environment, RH 46.6% and T 22.3°C, prior to X-ray microtomography radiation. No preparation of samples was necessary for SEM-VP. The CLSM imaging was carried out in situ, in room temperature 22°C, without extracting samples from the original papers.

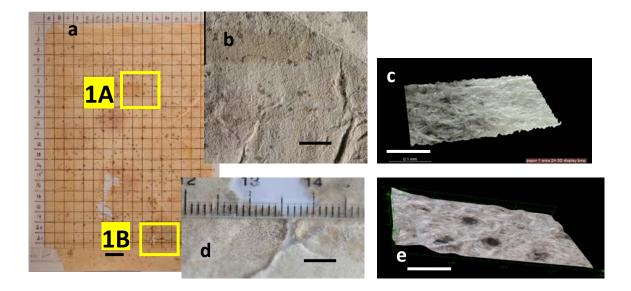


Fig.1.a The  $17^{th}$  century paper with fungal stains, (1A) formed by clusters of cells and (1B) by large fruiting bodies. The areas of analysis are marked with yellow squares; scale bar 20mm. 1.b) Type (1A) stains, scattered on paper, are made of pigmented clusters of spores. Optical micrograph, scale bar 20mm. 1.c) A view of (1A), in extended depth of field shows fungal spores on the surface, concentrated in indentations among paper fibers; scale bar 0.1mm. 1.d) Stain type (1B), inclusions of dark, large fruiting bodies (perithecia), scale bar 5mm.1. e) A composite micrograph of (1B) in extended depth of field shows individual dark, fruiting structures; scale bar 0.1mm

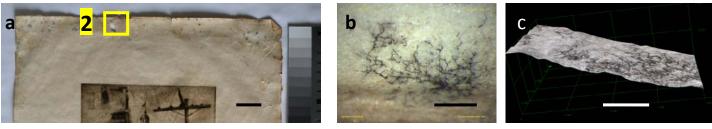


Fig.2 a) The 1920 Engraving shows black fungal stains along the top edge; scale bar 20mm. 2. b) A micrograph of the stain shows the pattern of fungal growth as black trailing filaments; scale bar 0.5mm.

2.c) A composite micrograph in extended depth of field shows fungal deposits on the surface of paper; scale bar 0.1mm.

## 2.2 Analytical methods

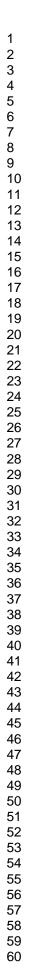
The environmental (or, variable pressure VP) scanning electron microscope (ESEM), 3700 N Hitachi, with low vacuum was used for analysis of paper topography and morphology of fungal deposits on the surface of paper. The micrographs were taken in backscattered electron mode (BSE). The analysis and imaging were carried out under following conditions: 15-40Pa, 12-15kV, magnification range 300x-3000x. ESEM is one of the imaging surface analysis techniques which we utilized to obtain information about surface topography of both paper and fungal deposits and spatial distribution of fungi.

The Confocal Laser Scanning Microscope (CLSM), VK 9700, with a violet light source (408 nm) and photomultiplier detector to increase light intensity combined lateral scanning (x, y direction) with a confocal laser probe. The height, z direction, was 'sensed' based on the depth of focus of the objective. Although CLSM can focus and scan sections of a sample in z-plane, resulting in high resolution 3D surface imaging, the depth of CLSM is limited. The advantage of CLSM is based on eliminating light from points other than analyzed, focal point, thus increasing the amount of light received in 'z' direction which collects the height data. The optical image is captured by CCD image sensor. The high resolution surface images obtained from ESEM supplement this analysis serving as a verification standard for images obtained at the top sections of an analyzed sample. Only one type of laser source can be used at a given time, which limits the application of CLSM and requires thoughtful selection of the laser from the onset. (Further analysis of multi-scale characterization of fungal deposits see: Szczepanowska, Mathia, Belin, *Scanning*, 2012 [5]).

The X-ray microtomography was carried out at the European Synchrotron Radiation Facility (ESRF) Grenoble, France on ID19, X-ray imaging and diffraction beamline. It is a long beam, 145m, dedicated to X-ray tomography and topography [10]. The samples were set on a vertical rotating stage (fig.3) and the CCD-high resolution X-ray image detector captured projected images, generated by the passing beam. Each sample in our experiment was rotated 360 degree around the vertical axis, perpendicular to the X-ray beam, with 1500 angular steps. At each step a radiograph was collected. Thus obtained radiographs were used to reconstruct the 3D digital image of the sample. Conditions of the experiment were as follows: 30 keV, time of data acquisition 0.5 -2s, voxel size  $0.7 \,\mu\text{m}^3$  and paper samples size, 1mm x 1mm x paper thickness. The field of view was 1400 $\mu$ m x 1400 $\mu$ m x paper thickness, which was averaged as 500-800  $\mu$ m, based on its similarity to Whatman paper [11]. The thickness of paper was uneven due to random distribution of fibers in this hand-made sheet, deposits in paper and in some areas partial digestion by fungi. 3D structure of paper is orthotropic. The beam size at the sample position, with (maximum) dimensions 45 x 15 mm depending on the energy level at a given time.

The 1mm x 1mm paper samples were attached with self—adhesive tape to a glass capillary which in turn was secured onto the rotating stage with microcrystalline wax. The mounting method for paper samples was developed by J-F Bloch and his research group at the Laboratory of Pulp and Paper Science and Graphic Arts, Grenoble, France.

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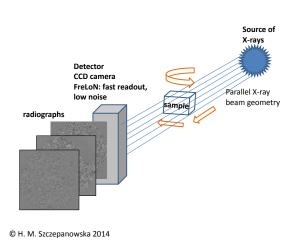


Fig. 3 A schematic, not to scale, diagram of the X-ray tomography experimental set up. The synchrotron source produces parallel X-ray beam so the sample is not magnified on the detector CCD, FreLoN camera. The parallel, monochromatic beam set-up ensures accurate quantitative reconstruction of the microstructure.

#### 2.3 3D visualization and X-ray tomography data processing

The tomograms of the samples were generated at ID19 beamline at ESRF by the beam that falls on a sample in parallel configuration. The low noise CCD detector gives projections of size 2048 <sup>2</sup> pixels that are used to reconstruct a 3D volume of 2048<sup>3</sup> voxels by PYHST software [12]. The voxel size of the 3D data was  $0.7\mu$ m<sup>3</sup>. The setup details for ID19 tomography can be found elsewhere [13,14]. Fungi and paper fibers are resolved in the tomograms for stain (1A) in fig. 4e and for stain (1B) in fig 5c,d. The X-ray attenuation coefficient for both paper and fungi were in same orders of magnitude, that means they absorb the X-rays equally, producing images with no contrast difference. This complicated the segmentation, we started by suppressing the ring artifacts which come from inhomogeneity in the response function of detector elements [15] wavelet filtering the noise [16]. Additional challenge lied in similarities of paper fibers and fungal mycelium. The fungal spores on the other hand were small and ellipsoidal. Although the size of some compacted fungal fruiting structures, like perithecia in stain (1B), were in nearly the same order of magnitude as the paper fibers. However, the texture, shape and size of fungi spores differ from paper which allowed their separation for further analysis.

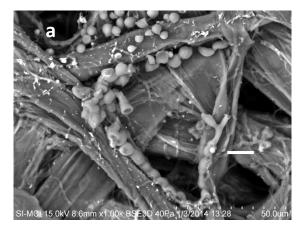
We exploited these differences, size and the sphericity of fungi to segment the spores from the paper fibers. The fungi and paper fibers in the sample 1B, with perithecia, dark compacted fruiting bodies (50-100 $\mu$ m), we classified deploying WEKA tool available for ImageJ software [17]. Thus separated phases were then segmented out based on their size and sphericity [18]. More complex process was employed in visualization of stains formed by clusters of spores which revealed presence of small (3-6 $\mu$ m) and larger particles (4-16 $\mu$ m).

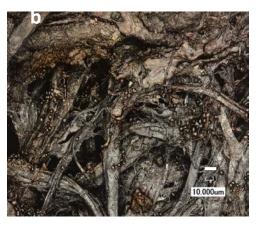
For the stain (1A) the following operations were carried out:

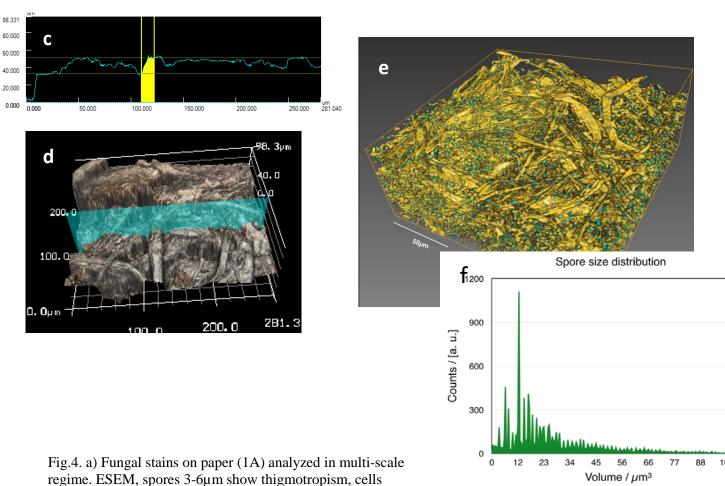
- 1. The features on each tomogram slice were enhanced by adding each slice with its variance image multiple times keeping the variance parameter  $\sigma^2 = 5$ , thus selecting the features greater than 5 pixels.
  - 2. Segmentation used Otsu method which is described in [19]. This method minimizes the variances between two classes present in the tomography image. Here, the objects (paper and fungi) were separated from their background. This was necessary to ensure that later operations would exclude unnecessary pixels.
  - 3. 3D watershed transformation was used to separate the overlapping spores. Some spores, especially in colonies, were positioned so close to each other that the Xμ-T could not resolve them as separate particles; they looked like one big cluster. This operation aimed to separate such, almost connected, spores to ensure fair analysis of individual spores.
  - 4. The objects were classified in the 3D data based on sphericity and size and a binary mask was created.
  - 5. The 3D mask from step 4 was multiplied with original 3D data to obtain spores-only volume.
  - 6. The volume obtained in step 5 was subtracted from the original image to obtain paper fiberonly volume.

In sample (1B) about 74 % of the paper volume was occupied by paper fibres and fungi and the remaining 24% were voids. In the sample (1A) the paper fibes and fungi occupied only 50% which can be expalined by smaller size of fungal cells in comparison with large perithecia in (1B). The geometric properties of the segmented fungi were obtained by calculating the ellipsoidal fit on the segmented fungi, as seen in fig.5d. It shows the ellipsoid fit on the compacted fungi fruiting body, which is concealed within the paper fibers. The fungal aggregate in stains formed by dark compacted fungi (1B) were found to be buried 50  $\mu$ m into the paper (2·a), and had the lateral spread of 87  $\mu$ m in one direction (2·b) and 109  $\mu$ m in the other (2·c) as shown in fig. 5d. By contrast to that compact structure, fig. 4e shows the fungal spores scattered on the surface of paper fibers in stains (1A) type.

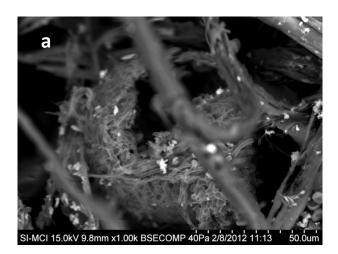
In total, 12268 spores were identified in the sample (1A), with an average spore volume of 7-20  $\mu$ m<sup>3</sup>, as seen on the bargraph in fig.4.f. The processing as described was carried out in MATLAB and ImageJ [17]. The 3D visualization was prepared in imageJ and Avizo fire.

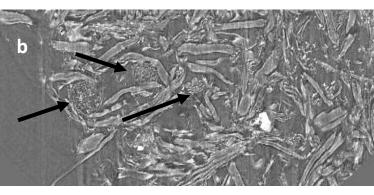


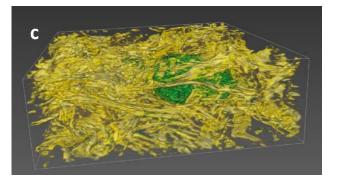




following texture of the paper fibers' surface. Branching mycelium is visible in the 5o'cloc position; scale bar 10 $\mu$ m. 4. b) CLSM, spatial distribution of fungal spores, small spherical deposits on the surface of paper fibers; scale bar 10 $\mu$ m. 4.c) CLSM path of scanning across the surface with fungal deposits, 282 $\mu$ m long scan path. 4.d) Profile obtained with two-line CLSM scan shows increase in height of 39,1 $\mu$ m attributed to both paper topography and spores on the surface of paper. 4.e) 3D visualization obtained based on X $\mu$ CT data. Green particles are individual spores on the surface of paper fibers. 4.f) Using spores' size as a criteria, distribution of spores was analyzed in the entire volume of 22941356  $\mu$ m<sup>3</sup> most of them were 7-20  $\mu$ m.







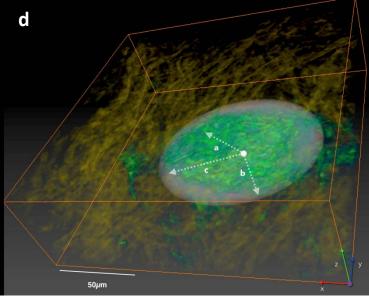
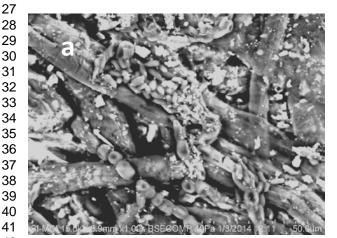
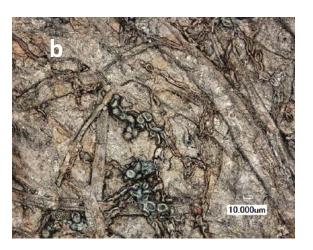
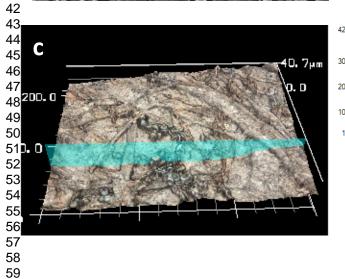
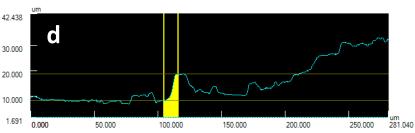


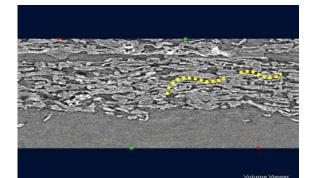
Fig. 5 Stain (1B), formed by a large spherical fungal fruiting structure (perithecium) 60-100µm. 5.a) ESEM micrograph, top view of partially damaged perithecial wall; scale bar 10µm. 5. b) 3D cross-section of X-ray tomography with arrows points to perithecia embedded in the paper matrix. 5.c) 3D visualization shows green inclusions in the paper matrix. 5.d) The ellipsoid fit on the compacted fungi fruiting body, which is concealed within the paper fibers, shows directionality of measurements.











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Fig.6.a) Stain on paper (2), ESEM micrograph shows robust spores in catenulate (in rows and chain-like) formations, cells' dimensions  $5-9\mu$ m, and another type, uniform and elongated  $4-6\mu$ m.6. b) CLSM micrograph of spatial distribution of fungal spores shows two distinct shapes of spores, larger spherical deposits and chainlike; scale bar  $10\mu$ m. 6. c) CLSM path of scanning across the surface,  $281\mu$ m distance. 6.d) Profile obtained with two-line CLSM scan shows increase in height of 23.2 $\mu$ m attributed to both paper topography and spores on the surface of paper. 6.e) X $\mu$ CT tomograph, a cross-section showing a compact paper and fungal inclusions as chains among fibers, marked with yellow lines.

# **Results and discussion**

The application of multi-scale analysis revealed different morphological features of fungal deposits and their interfaces with paper matrix and paper fibers' surface. A statistical computation of fungal particles in (1A) derived from X $\mu$ CT data indicated large number of yeast-like cells (7-20  $\mu$ m) in addition to smaller fungal spores (3-6 $\mu$ m). That finding of two distinct groups of spores varied in size was possible through the 3D visualization. The ESEM images showed topography of the paper fibers and fungal deposits. The paper fibers of both (1) and (2) are well preserved, indicating handmade sheet (1) and carefully processed machine paper (2). The topography of the paper surface in the 17<sup>th</sup> c. sheet indicated loose arrangement of fibers, while the 1920 Engraving showed a more compact paper matrix. Both configurations of the paper matrix impacted fungal growth.

Investigation of biological samples with synchrotron radiation is particularly challenging because these specimens produce little contrast in x-ray images. (In a sample from the 1920 Engraving, 3D visualization was unattainable due to poor contrast). One of the methods of visualizing structures with poor absorption contrast is to 'stain ' the samples with metal compounds which have high X ray attenuation coefficient This is analogous to a fluorescence label applied to biological samples in light microscopy. The metal elements that have been reported for contrasting biological microstructures include gold, platinum and silver [20]. That is one area which we are planning to explore in our future studies.

Another method which we consider in our future work is holotomography [21]. Beamline ID 22 which is enhanced and renamed ID19 at ESRF, currently produces the tomograms of voxel resolution down to 25 nm [22] and lower. The reduction of voxel resolution means that the size of the voxel is going to increase therefore this argument relies on inverse proportionality. The quantitative analysis of the distribution of phases present in the sample can be more accurately defined with such very high resolution tomography techniques. This two-prong approach ('staining' and very high resolution tomography) should result in obtaining highly detailed 3D distribution and growth patterns of fungi in the paper matrix. Furthermore, we will explore statistical analysis of fungi distribution, size of fungal propagulates and relationship of their deposits to volume of fibers and voids in paper. The wealth of data obtained via X-ray microtomography opened a broad range of potential for analysis and interpretation.

The CLSM showed that spatial distribution of fungal spores on the surface conformed to the papers' topography by clustering in indentations, congregating along paper fibers and burrowing into paper matrix. The spatial distribution of fungi on the surface of papers and variations in spores' shape was clearly imaged with CLSM, showing their intricate interaction with paper fibers, which most likely provided physical anchorage for fungal mycelium. The spores appeared to be attached to the surface of paper fibers in both cases (1A) and (2) and utilized indentations in the paper surface most likely as a protection mechanism against their removal by mechanical forces, air movement or brushing off. The observed differences in fungal spores showed cells that are semispherical and oval, different in size,  $3-6\mu m$  and  $4-16 \mu m$  in paper (1A) and robust, thick-walled and larger,  $6-9\mu m$ , on paper (2). The catenulate cells in (1A) showed thigmotropism, cells following texture of the paper fibers' surface (fig.2a,b, 4a,b). That was confirmed on ESEM micrographs of fungi on both papers that showed morphology of thick-walled spores in aggregates, as clusters, and in catenulate formations, along the paper fibers in (1A) and (2). That, combined with morphology of isodiametric enlargements of spores and yeast-like budding cells,

especially in stains (1A) and (2) and  $X\mu$ CT statistical analysis of cells' size pointed out to Dematiaceous, meristematic fungi. The ESEM analysis also indicated monilioid hyphae, branched and un-branched chains in (1A) and (2). The ESEM images of (1B) stain caused by large pigmented fruiting structure, showed only its top view, indicating that the remaining part was embedded in the paper matrix.  $X\mu$ CT revealed the depth of this inclusion, thus its shape and complete set of dimensions.

The interactions inside the paper matrix were visualized based on  $X\mu CT$  data and provided information about the interactions of fungal mycelium, spores and fruiting bodies inside the paper matrix. Furthermore, this analysis indicated the three-dimensional characteristics of fungal deposit, dimensions and depth of their penetration into paper. Large fruiting structures were embedded in paper matrix rather than formed on the surface, following survival mechanisms of microorganisms, protecting themselves against environmental factors, such as excessive exposure to light. X $\mu CT$  complemented the information from data obtained in CLSM and ESEM. The 3D visualization revealed that in all cases fungi became an integral part of the paper's microstructure which would make their removal nearly impossible without disturbing the paper matrix itself.

## Conclusion

The data obtained in each analysis complemented each other and enabled a meaningful interpretation of findings. The interpretation of data generated by X-ray microtomography aiming to detect fungi in the paper matrix and determine their interfaces requires knowledge of both fungal morphology and characteristics of paper. That is especially important in differentiation between morphology of fungal mycelium, often tubular and elongated in shape, and fibrous paper matrix. The size of fungal spores present, their shape, mostly spherical to oval, guided our ability to distinguish them in a fibrous paper matrix. X $\mu$ CT revealed much larger number of yeast cells, size 7-20 $\mu$ m, which was not indicated by any other analysis. X $\mu$ CT also provided information about depth of perithecia inclusion which was not possible with the other two techniques (ESEM and CLSM). X-ray tomography is a powerful tool in characterization of microstructures which are not accessible with the traditional analytical tools and methods. It might become a valuable technique in characterizing biodegradation and defining the relationship between fungal growth and the paper substrate as a complementary technique to ESEM and CLSM. Although application of 3D visualization has been utilized in many fields, for example in semiconductors, biological tissue [23], paper characterization [24] (Ramaswamy et al 2001) and crystallography, its application in biodeteriorated cultural material until now has not been reported.

The 3D visualization permitted us better understanding of the intricate interactions between the paper matrix and fungal mycelium and spores, elucidating the enormous challenge of physical removal of the pigmented fungi. Numerous attempts have been made over the years to remove these disfiguring fungal deposits from paper. However, the results are only partially successful. The underlying reason is an intricate spatial distribution of fungal deposits in the paper matrix, as it was revealed by the X-ray microtomography. The stain-forming pigmented spores are not only deposited on the top surface of the paper fibers, but throughout the entire bulk. The implication of that finding points to devising remediation methods that would rely on target-focused removal techniques. Laser cleaning, reported earlier by one of the authors[25] was successful in evaporating the top –surface deposits. Most recent advances in nanotechnology, in particular nano-particles depositions, at times effective in retardation of fungal growth in the textile industry, and nano-robotic devices, are some of the potential methods that should be considered for further exploration.

#### Acknowledgements

The authors are indebted to S. Rolland du Roscoat and J.-F. Bloch, Ecole Francaise de Papeterie et des Industries Graphiques, for facilitating access to ESRF. We thank Scott Whittaker, SEM Lab Manager for his assistance with images in the extended depth of field.

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