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1	Enhancement of flavonoid ability to cross the blood brain barrier of rat by				
2	co-administration with α -tocopherol				
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28 ABSTRACT

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30 Vitamin E and polyphenols could exhibit a therapeutic role in the treatment of oxidative stress-31 induced neurodegenerative diseases. Therefore, their ability to cross the blood brain barrier (BBB) 32 represents an important issue to be explored by different diet combinations. In this study, we 33 evaluated the ability of α -tocopherol to support epigallocatechin-3-gallate (EGCG), quercetin and 34 rutin to cross the BBB, following oral administration. Eighteen rats were fed a standard diet (C), or 35 a diet supplemented with α -tocopherol (A), or with a mixture of EGCG, guercetin and rutin (P); or 36 with a mixture of α -tocopherol and the three flavonoids (AP). Flavonoids and their conjugated 37 derivatives were assayed in brain and plasma by HPLC-MS, whereas α -tocopherol was detected by 38 RP-HPLC. The oxidative damage, due to potential pro-oxidant activity of flavonoids, was evaluated 39 by the presence of 8-hydroxy-2'-deoxyguanosine (8-OHdG) in hippocampal Ammone's Horn, one 40 of the most vulnerable sites in the brain. 41 Our results indicate that α -tocopherol is able to promote quercetin transport across the BBB. The 42 mixture of rutin and quercetin seems to favour the accumulation of quercetin and/or its conjugated 43 derivatives in the brain. On the contrary, α -tocopherol does not affect EGCG transport across the 44 BBB. The densitometric analysis of 8-OHdG immunoreactivity does not reveal any difference of 45 oxidative damage among the experimental groups. Our results suggest that α -tocopherol may

46 promote quercetin transport across BBB, leading to a significant increase of α -tocopherol and 47 quercetin concentration in the brain.

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49 Key words: α-tocopherol, quercetin, rutin, epigallocatechin-3-gallate, blood brain barrier,

- 50 neuroprotection.
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58 **1. INTRODUCTION**

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60 There is currently a growing interest in dietary therapeutic strategies to counteract 61 neurodegenerative disorders associated with oxidative stress ¹. Vitamin E and flavonoids are dietary 62 antioxidants, which might have a potential therapeutic role in prevention of oxidative stress-induced 63 damage, such as neuro-inflammatory and neurodegenerative diseases ^{2,3,4,5}.

64 Vitamin E refers to a group of ten lipid-soluble compounds that includes both 65 tocopherols and tocotrienols ⁶. Among the many different forms of vitamin E, α -tocopherol (Fig. 1) 66 possesses the highest bioavailability in humans ^{7,8}. Although the transport mechanism is not entirely 67 clear⁹, it is known that α -tocopherol is able to cross the blood brain barrier (BBB) and to 68 accumulate in brain following both intraperitoneal ^{10,11} and oral administration ^{12,13}.

69 Flavonoids represent the largest group of phenol compounds in the human diet ², which includes

weakly hydrophilic molecules, such as catechins, and more lipophilic ones, such as quercetin ¹⁴.
 Flavonoids are endowed with strong antioxidant ability, either by scavenging free radicals or,

⁷² indirectly, by increasing cellular antioxidant defenses ⁴. Indeed, flavonoids address neuroprotective

effects throughout the modulation of signal transduction cascades or effects on gene expression 2,4 .

Epigallocatechin-3-gallate (EGCG) (Fig. 1), the principal component of green tea catechins, was reported to be able to protect neurons from cell death in cellular and animal models of neurological diseases ^{15,16,17}. In particular, due to its iron-chelating properties, EGCG could be a promising candidate for treating or preventing neurodegenerative diseases induced by brain iron homeostasis disregulation, such as Parkinson's disease ^{15,18}.

Quercetin (Fig. 1) is well known to exert protection of the brain from cell membrane damage ¹⁹. Besides its antioxidant role, quercetin neuroprotective activity consists in the promotion of cell survival ² and modulation of signalling pathways, such as PKC, MAP kinase and Sirtuin 1 activation ^{20,2}. Indeed, quercetin and its structurally related flavonoids, have been identified as potential leading molecules for the development of multi-targeted therapeutic tools in human brain neurodegeneration ².

Rutin (quercetin-3β-D-rutinoside) (Fig. 1) also addresses neuroprotective abilities in animal models ^{21,22,23,24}. Rutin is hydrolyzed in quercetin during intestinal absorption by cecal microflora glycosidases, prior to absorption as quercetin aglycone ^{25,26}, and a linear increase in plasma quercetin concentration, after rutin administration, has been demonstrated in healthy volunteers ²⁵. Recently the co-administration of quercetin and rutin showed an enhancement of plasmatic guercetin bioavailability in rats ²⁷.

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91 Despite of the numerous studies, supporting the neuroprotective activity of flavonoids, the main

92 issue to be elucidated concerns the flavonoid ability to cross the BBB 28,29,30 and the interactions

93 among the co-administered molecules 31 .

This study was aimed to evaluate the plasma bioavailability of EGCG, quercetin and rutin (Fig.1) oc-administered with α-tocopherol and the ability of α-tocopherol to promote the transport of the flavonoids across the BBB. Moreover, to exclude flavonoid pro-oxidant activity ³², we also examined oxidative stress occurrence in hippocampal Ammone's Horn (*Cornus Ammonis*, CA), one of the most vulnerable sites in the brain ³³.

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100 **2. METHODS**

101 2.1 Reagents

102 Acetonitrile, tetrahydrofuran, ethanol, methanol, water, formic acid, ammonium acetate were pure 103 HPLC-grade from VWR (Milan, Italy). β-Glucuronidase/Arylsulfatase from Helix Pomatia was from Sigma Aldrich, Milan, Italy. α-Tocopherol (as tocopherol acetate), epigallocatechin-3-gallate, 104 105 quercetin, rutin were provided by Polichimica s.r.l. (Bologna, Italy). The control diet was from 106 Mucedola (Settimo Milanese, MI, Italy) and the supplemented diets were prepared by adding 107 tocopherol acetate, epigallocatechin-3-gallate, quercetin, rutin in the due percentage, to the basic 108 diet formulation. The chow was provided as a pelleted material by Laboratori Dottori Piccioni SRL 109 (Gessate, MI, Italy).

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111 **2.2 Animals**

112 Eighteen three month old male Sprague-Dawley rats (Charles River, Milano, Italy) were used in this

study in accordance with European Union guidelines and Italian laws. Rats were randomly grouped

- 114 into four and fed 3 months with four diet types:
- 115 C (n = 4), controls; (4RF21 Basic diet for mice and rats 34)
- 116 A (n = 4), Basic diet + 1.5% α -tocopherol;
- 117 P (n = 5), Basic diet + 1% EGCG, 1% quercetin, 1% rutin;

118 - AP (n = 5), Basic diet + 1.5% α -tocopherol + 1% EGCG + 1% quercetin + 1% rutin.

119 Animals were anaesthetized with intraperitoneal injection of sodium thiopental (45 mg/kg b.w).

120 Blood samples (2 ml) were collected and immediately centrifuged at 2,400 rpm at 4 °C for 10 min

121 to separate the plasma. Rats were not perfused with physiological saline, as we focused our study on

122 a comparative analysis among four groups of animals, without searching for the absolute

123 concentrations of the flavonoids in the brain.

After blood draw, rats were sacrificed by intracardiac injection of the same anesthetic, then brains were quickly removed and dissected into two hemispheres: the left one, used for the biochemical analyses, was immediately frozen in liquid nitrogen and stored at -80 °C; the right hemisphere, was fixed in 4% paraformaldehyde in 10 mM PBS, pH 7.4 for three days, washed in PBS and embedded in Paraplast Plus (Sigma, MO, USA; melting point = 56 °C – 58°C) and used for the morphological analyses,

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131 2.3 Biochemical analysis

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133 2.3.1 *α***-Tocopherol** assay

134 Alpha-tocopherol was assayed in brain and plasma samples by reversed-phase high-performance liquid chromatography (RP-HPLC), as described previously by Aebischer *et al.*³⁵. Briefly, 100 mg 135 136 of brain was homogenized in 1 mL of cold phosphate buffered saline (pH 7.4) and deproteinized 137 with 3 mL of ethanol. Plasma samples (100 μ L) were deproteinized with 300 μ L of cold ethanol 138 and incubated 10 min on ice. After centrifugation at 14,000 rpm, the supernatant was filtered using 139 a 0.22 μm filter (Fisher, Fair Lawn, NY). α-Tocopherol was extracted from deproteinized plasma or 140 brain with a mixture of hexane and ethanol 1:1 volume ratio, for three times. After centrifugation, 141 the organic layer was removed and evaporated; the residue was dissolved in the eluent phase 142 acetonitrile/tetrahydrofuran/methanol/1% ammonium acetate (680:220:70:30 v/v) and injected into 143 the HPLC system (Jasco Corporation, Tokyo, Japan). The assay was performed using a Alltima C18 144 column (4.6 x 250 mm, 5 µm, Alltech, Milan, Italy) equipped with a guard column Alltima C18 145 (4.6 x 7.5 mm, 5 µm); the flow rate was 1.5 mL/min. The fluorescent detector was set at 298 nm 146 excitation and 328 nm emission. Results for α -tocopherol were expressed as $\mu g/g$ of wet tissue in 147 brain samples and as µmol/L in plasma samples.

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149 2.3.2 Flavonoid assay

Flavonoids were assayed in brain and plasma as previously described ³⁶. After deproteinization and extraction the flavonoid metabolites were treated with β-glucuronidase/arylsulfatase from *Helix pomatia*, (Sigma Aldrich, St.Louis, MO), according to published methods ^{37,38}. Briefly, 200 µL of extract were mixed with 100 µL of β-glucuronidase/arylsulfatase 1,000 units/mL in pH 5.0 acetate buffer, 50 µL ascorbic acid solution (100 mg/mL) and incubated 30 min at 37°C. After hydrolysis, samples were freeze dried and re-dissolved in 100 µL cold methanol. After 10 min centrifugation at 13,200 rpm at 4°C, the supernatant was separated, filtered and subjected to HPLC analysis. 157 A Series 200 Micro Pump HPLC system (Perkin Elmer, Norwalk, CT, USA) was equipped with an 158 API 150EX (Applied Biosystems, Foster City, CA, USA) mass spectrometer with an ESI source. 159 The sample separation was carried out using a 5 mm Alltech Alltima C18 (150 x 4.6 mm i.d.) 160 column protected by a 5 mm Alltech C18 (7.5 x 4.6 mm i.d.) guard column (Grace Davison, 161 Deerfield, IL, USA). The initial HPLC conditions were 20% methanol 80% water and 0.1% Formic 162 acid, at a flow rate of 0.25 mL per minute. The column was held at the initial conditions for 2 163 minutes then developed with a binary gradient to 100% methanol and 0.1% formic acid over 50 164 additional minutes. The MS was run with the ESI probe both in positive and negative mode (ESI⁺ or 165 ESI) depending on the better signal/noise ratio and by comparing them with the literature 166 databases. For flavonoids, the m/z signals generated by the loss of sugars were also evaluated and 167 these data were confirmed by the analysis of aglycones obtained after acid hydrolysis of sugars. 168 Calibration curves were performed with concentration of EGCG, rutin and quercetin ranging from 169 0.30 to 30 μ M. The detection limit was 0.5 μ M.

170 **2.3.3. 8-OHdG immunohistochemistry and quantitative analysis**

Six µm-thick serial coronal sections were cut from paraffin-embedded specimens and series of 10
coronal sections of brain, spaced 60 µm apart, were selected and used for immunohistochemical
analyses.

174 For 8-hydroxy-2'-deoxyguanosine (8-OHdG) immunohistochemistry, rehydrated sections were 175 treated with 1% hydrogen peroxide in 10% methanol in PBS for 10 min at room temperature (r.t.) to 176 block endogenous peroxidase. After rinsing with 1% bovine serum albumin (BSA, Sigma Aldrich, 177 St. Louis, MO) in PBS, sections were incubated with normal rabbit serum (D.B.A., Vector; 1:10 in 178 PBS) for 10 min at r.t. and then incubated with a goat anti-8-OHdG (Chemicon, CA, USA; 1: 200 179 in PBS) overnight at 4 °C. Sections were then incubated with a biotin-conjugated rabbit anti-goat 180 antibody (Chemicon, CA, USA; 1: 500 in PBS) for 1 hour at r.t., followed by an incubation with a 181 kit solution (kit ABC, D.B.A., Vector; ready to use) containing peroxidase-conjugated 182 avidin/biotinylated complex for 30 min at room temperature. Finally, color was developed with 0.5 183 mg/mL of 3,3'-diaminobenzidine (DAB; Sigma Aldrich, St. Louis, MO) in Tris-HCl buffer (0.05 184 M, pH 7.4) with 0.01% hydrogen peroxide for 20 min at r.t. Negative controls were assessed 185 without primary antibody.

The 8-OHdG quantitative analysis was performed measuring the optical density in the radiatum layer (RL) and pyramidal cell layer (PCL) of the hippocampus CA (CA2 and CA3 areas), in at least lase to avoid different labeling intensity, brain sections of all animal groups were simultaneously stained. The optical density measurements were performed on 6-8 areas

adjacent both in the RL and PCL, for each section. The optical density was measured separately inthe nucleus and cytoplasm of 100 pyramidal cells per animal.

A BX51 Olympus light microscope (Olympus Italy, Milan, Italy), equipped with a digital photocamera SPOT RT (Diagnostic Instruments, Delta Systems, Rome, Italy) and the image analysis program SPOT ADVANCED was used. The resulting pictures were analyzed using the public domain Scion Image – NIH imaging software (Rasband W., National Institutes of Health, Bethesda, MD, USA) available at <u>http://rsb.info.nih.gov/</u>. To avoid variations in lighting, which might affect measurements, all images were acquired in one session.

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199 **2.4 Statistics**

The biochemical and morphological results were expressed as mean \pm standard error (SE). The One-way Anova test, followed by the post-hoc Tukey's test, and the Student's *t*-test for unpaired data were used; $p \le 0.05$ was considered the significance threshold.

In both biochemical and morphological cases, statistical analysis were performed using SPSS[®] 17.0
 software.

205

206 3. RESULTS AND DISCUSSION

207

208 Table 1 shows the α -tocopherol and flavonoids concentrations in plasma of treated *versus* control 209 rats. The α -tocopherol concentrations of A, P, and AP groups were higher than the control (C), but 210 no significant difference was detectable among the three groups. An increase was expected for A 211 and AP groups, as the diet of the animals contained 1.5% to copherol acetate. The increase of α -212 tocopherol in the P group could be explained with the ability of flavonoids to protect α -tocopherol from oxidation in plasma ^{39,40}, thus allowing the efficient incorporation inside the cell membrane of 213 α -tocopherol, basically present in the normal chow at 64 mg/Kg³⁴. EGCG was not detectable in C 214 215 and A groups, as expected from the absence of this flavonoid in the diet, whereas it was detected in 216 P and AP groups, without any significant difference between the groups (Table 1). Ouercetin was 217 assaved as the result of the diet quercetin plus rutin contribute (Table 1). In fact, though the 218 mechanisms of absorption of quercetin and rutin remain unclear, it has been demonstrated that 219 enzymes able to deglycosilate rutin are present in small intestine and colon³⁰. Therefore, it is very likely that rutin hydrolyzes before its absorption, which may occur as quercetin glycoside ⁴¹ and 220 guercetin aglycone²⁵. Yang *et al.*⁴² proposed that rutin may undergo deglycosilation to guercetin 3-221 222 O-glucoside, by the enzyme rhamnosidase, produced by the intestinal bacteria. The method, we 223 applied, to detect quercetin plus rutin, was based on the hydrolysis of any quercetin or rutin

- 224 glycoside, glucuronide or sulphate derivative, by means of β-glucuronidase/arylsulfatase from *Helix* 225 *Pomatia*, added to the plasma or brain extracts.
- 226 Quercetin was detectable in the plasma of P and AP groups, with no significant difference between

the two groups. Quercetin was not detectable in the plasma of A and C rat groups as the only source

- of quercetin is provided by the chow, which is roughly about 0.01% due to cereals and forage 34,43,44 .
- 230 In the brain, we found non-quantifiable concentration of EGCG in all rat groups (data not shown).
- 231 On the contrary, we found α -tocopherol and quercetin in the brains of all rat groups (Figure 2). The
- α -tocopherol concentration was significantly higher in A group compared to C, P and AP groups,
- 233 with P and AP concentrations, which were both significantly different from the control, but not
- between them (Fig. 2).

235 Quercetin was significantly higher in AP as compared to A, P and C groups, which were not

- significantly different among them (Fig. 2). The presence of quercetin in A and C rat groups might
 be explained on the basis of the chow composition, which is constituted by 66% cereals and 10%
- forage. This two chow components justify a 0.01% basal guercetin concentration 34,43,44 , which
- allows to the flavonoid and its metabolites to be transported across BBB in a time-dependent manner²⁹.
- The absolute concentration of quercetin in the brain of AP rats is striking. We may explain this result by considering that, the detected quercetin derived from the dietetic contributes of quercetin and its metabolites⁴⁵ as well as from rutin. In fact, studies demonstrated that rutin is metabolized in the gut and in the liver 46,47 , to be deglycosilated into quercetin and then glucuronated or sulphated 245 25,42 by the phase II enzymes.
- 246 The accumulation of quercetin in the brain, when co-administered together with α -tocopherol, 247 suggests a permissive role of α -tocopherol on flavonoid BBB crossing.
- Two aspects are important to be considered here: the "chronic" administration of the antioxidant rich diet for 12 weeks and the co-administration of quercetin plus rutin, which can be able to influence the absorption of each other ²⁷.
- At present, we are not able to hypothesize a precise mechanism for this behaviour. We can only 251 252 suggest two possible explanations of the significant accumulation of quercetin in the brain in the presence of α -tocopherol: i) the ability of α -tocopherol to inhibit P-glycoprotein ^{48,49}, a membrane 253 254 glycoprotein, extensively distributed in neurons and astrocytes, which allows the efflux of xenobiotics out of the cell ⁴⁹; ii) the ability of α -tocopherol to inhibit protein kinase C (PKC) by 255 activation⁵⁰, 256 means of protein phosphatase 2A thus impairing the

phosphorylation/dephosphorylation mechanism in quercetin transport across the BBB, which
 controls the in/out flux of metabolites ^{29,50}. The two mechanisms could also work together.

259 Conversely, higher quercetin concentrations in the brain seems to hamper the crossing of the BBB

260 by α -tocopherol. In fact, when α -tocopherol was co-administered with the flavonoids, as in the AP

261 group, the α -tocopherol concentration was significantly lower in AP as compared with the A group.

Indeed, we observed that α -tocopherol promotes the transfer of quercetin and rutin metabolites in the brain, but it does not promote EGCG bioavailability either in the plasma or in the brain.

264 The low EGCG plasma bioavailability after oral administration and the undetectable EGCG concentration in brain, may be due to the high EGCG/protein binding ratio ⁵¹ as well as to 265 competitive interactions with quercetin and EGCG, as proposed by Silberberg *et al.* ⁵². Data on the 266 permeability of the BBB of EGCG are contradictory in the literature. Lin and coworkers ⁵¹ proposed 267 268 that EGCG may potentially penetrate through the BBB, but this might happen at a very low rate, 269 because of the formation of protein-EGCG complexes. Other authors suggested that EGCG, as 270 other catechins, may cross the BBB both by passive diffusion or by an unidentified membrane transporter, ²⁹ as demonstrated by the presence of radio-labeled EGCG in brain ⁵³. Our data failed to 271 272 detect EGCG in brain, probably because of its hydrophilic nature and ability to form protein-bound 273 complexes.

274 Concerning to immunohistochemistry, the 8-OHdG immunolocalization in CA of the four 275 experimental groups is shown in Figure 3. No difference in the CA staining pattern was observed 276 among the four experimental groups (Fig. 2A-D). Densitometric analysis (Fig. 4) did not reveal any 277 significant differences between the pyramidal cell and the radiatum layer in the four experimental 278 groups (Fig. 4A). However, the 8-OHdG densitometric analysis showed a significantly higher 279 concentration in the pyramidal cell cytoplasm than in the nucleus, without significant differences 280 among the experimental groups (Fig. 4B). This means no particular nucleic acid oxidation due to 281 pro-oxidant cytotoxic effect of flavonoids.

282

283 **4. CONCLUSIONS**

Our results indicate that α -tocopherol is able to promote the transport across the BBB of quercetin and rutin as well as of their putative metabolites. The potential mechanism of this behaviour might lie in the α -tocopherol ability to modulate the P-glycoprotein action and/or to impair the phosphorylation/dephosphorylation mechanism, which controls the in/out flux of metabolites across the BBB. α -tocopherol does not affect EGCG transport across the BBB, as prevented by the chemistry of the flavonoid, which tends to form complexes with proteins ⁵⁴. The flavonoid concentrations and their chronic administration, used in our study, do not induce any pro-oxidant or

- 291 cytotoxic side effects on hippocampus. Our results represent an attempt to identify dietary factors,
- 292 that like α -tocopherol, are able to modulate flavonoid uptake to the CNS, in order to enhance the
- 293 potential neuroprotective ability of these compounds.
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- 295

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Groups of animals	α-tocopherol (μg/mL)	EGCG (μg/mL)	Quercetin (µg/mL)
С	9.73 ± 1.2^{a}	ND	ND
А	33.06 ± 4.27 ^b	ND	ND
Р	23.19 ± 2.21 ^b	$0.347 \pm 0.012 \ ^{a}$	0.151 ± 0.031 ^a
AP	26.37 ± 2.56 ^b	0.332 ± 0.005 ^a	0.180 ± 0.063 ^a

Table 1. Concentrations of antioxidants in plasma of the four groups of rats.

Data are the means \pm standard error of 4-5 animals per group. Same letters indicate not statistical differences among the treatments. One-way Anova test: p < 0.05. Same letters indicate not significantly different values, among the same tissue data.

Legend: C, control ; A, α-tocopherol-supplemented; P, polyphenol-supplemented; AP, α-tocopherol + polyphenol-supplemented rats.



Figure 1 168x117mm (300 x 300 DPI)







Figure 3 67x54mm (300 x 300 DPI)



