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Ligand/cluster/support catalytic complexes in heterogeneous ultrananocatalysis: NO oxidation on Ag₃/MgO(100)

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Abstract

In the present work we explore via first-principles simulations whether the ligand/cluster/support catalytic complex generated by CO oxidation over silver trimers deposited on the regular MgO(100) surface – i.e. a Ag₃/carbonate or Ag₃(CO₃)/MgO(100) species – can be used as a catalyst in a different reaction: the selective oxidation of NO to NO₂ (or NOox). The Ag₃(CO₃)/MgO(100) complex is first shown to be reasonably stable at room temperature in terms of both disaggregation and sintering, and that it can be generated from Ag₃ adsorbed onto an oxygen vacancy defect of the regular MgO(100) surface under oxidation conditions. It is then found that the Ag₃(CO₃)/MgO(100) species transforms under NOox conditions into an even more complex aggregate: a mixed carbonate/double-nitrite Ag₃(CO₃)(NO₂)₂/MgO(100) species which can then act as an efficient catalyst of NOox. It is noteworthy that under NOox reaction conditions a different ligand/cluster/support catalytic complex is formed with respect to the original COox one. These findings prove the diversity of the catalytic chemistry of subnanometer (or ultranano) metal clusters deposited on oxide substrates, associated with the formation of many different ligand/cluster/support aggregates, the vast amount of combinatorial possibilities thus opening, and the need for computational approaches to perform systematic structural and stoichiometric searches in order to cope with such a multiform diversity.

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Introduction

Devising sufficiently active and selective catalysts is one of the challenges of XXI-century chemistry.\textsuperscript{1} The issue of selectivity is especially important, as environmental requirements become progressively stricter, both in terms of purity and of realizing low-temperature processes. Traditional catalysts have been very successful so far in answering societal needs, but face significant difficulties in evolving more selective and increasingly active features. In this perspective, heterogeneous catalysis by subnanometer metal clusters (or heterogeneous ultrananocatalysis) is an emergent subject \textsuperscript{2,3,4} including subnanometer (or ultra-nano) metal oxide clusters.\textsuperscript{5} Here, attention is focused on the catalytic activity of very small aggregates (up to 10-20 metal atoms) deposited on a stabilizing substrate, often (but not exclusively) a metal oxide support as will be considered in the present work. A number of concomitant reasons justify the interest of both fundamental and applied research in this field, among which the fact that larger nanoparticles have been explored extensively so that a trial-and-error optimization approach has been exhausted (whereas ultrananoclusters may show novel energetics and thus a different reaction landscape), and the atom-economic use of chemical elements, especially precious metals.\textsuperscript{6}

Although much experience has been accumulated over the last 10 years at both the theoretical and experimental levels and some general concepts start emerging,\textsuperscript{7,8} a comprehensive framework is still lacking, especially in terms of reaction mechanisms, which – due to the small size of the metal clusters and their intermediate character between molecular and metallic aggregates, and the proximity effect of the oxide support – can be different and sometimes peculiarly novel with respect to extended crystal surfaces or even facets of slightly larger nanoparticles. In this respect, one important point which is still under debate regards coverage effects, i.e., whether reaction mechanisms change from low to high coverage, i.e., whether a different chemistry takes place when multiple ligand adsorption occurs (and is explicitly included in the theoretical modeling).\textsuperscript{9} This point has been investigated by us in previous work \textsuperscript{10,7} in which we studied e.g. the oxidation of CO to CO\textsubscript{2} (or COox in brief) catalyzed by (Ag-Au)\textsubscript{3} trimers deposited on the regular MgO(100) oxide surface.\textsuperscript{10} We found that in the case of Ag\textsubscript{3}/MgO(100) (this is also true for Ag\textsubscript{2}Au), COox does not proceed on the bare metal cluster, but rather a ligand/cluster/support Ag\textsubscript{3}(CO\textsubscript{3})/MgO(100) complex is formed \textit{in situ} and under very general reaction conditions, and this latter species is the real catalytically active complex which processes CO into CO\textsubscript{2}. We describe this species as a ligand/cluster/support complex because it is a complex aggregate made of the metal ultrananocluster plus adsorbed ligands – in the present case, a carbonate ligand formed via the
reaction of CO with O₂ and the successive removal of an oxygen adatom by another CO molecule — in which both the metal cluster and the ligands are interacting with the oxide support so strongly that it is difficult to separate the individual interaction components.

In the present article we show that the Ag₃(CO₃)/MgO(100) species is naturally formed from an Ag₃ trimer adsorbed onto an MgO(100) surface exhibiting an oxygen vacancy defect after exposure to O₂, which thus represents an alternative synthesis path and supports the realistic existence of such a species, and furthermore that it is also reasonably robust with respect to disaggregation and sintering — a crucial issue when dealing with ultrananocatalysts.

Then we take one step further, and investigate whether this catalytic complex originally associated with COox over Ag₃/MgO(100) can be used in a different reaction. In other words, we assume to have “pre-treated” our Ag₃/MgO(100) system with CO and O₂ prior to running the catalytic reaction of interest, thus forming the Ag₃(CO₃)/MgO(100) ligand/cluster/support complex, which is then used as a heterogeneous catalyst in situ but under different reaction conditions. What we are trying to emulate here is then an in situ catalyst pretreatment which is the natural counterpart of what usually done in the preparative step of real-world heterogeneous catalysis, something which is often non-rationalized and described as ‘black magic’.

In terms of catalytic reaction, we focus on the selective oxidation of NO to NO₂ (NO + ½ O₂ → NO₂, or NOox in brief). It should be noted that extended systems, like the Ag(111) surface, are not expected to promote this reaction, so that the results to be shown in the following will prove once more the peculiarity of ultrananocluster chemistry. The NOox reaction must occur together with COox and the oxidation of hydrocarbons to H₂O and CO₂ and of sulfur-containing species to SO₂ e.g. in the treatment of Diesel exhaust gases (thus, under oxygen-rich conditions) and is highly desirable, as it enhances the efficiency of the successive steps of the treatment, among which soot oxidation and the selective catalytic reduction of NO₂ to N₂ with NH₃ (or urea), etc. A strong oxidation catalyst, the Diesel Oxidation Catalyst (DOC), is placed upstream of the other catalysts to promote the COox, NOox, hydrocarbon and sulfur oxidation reactions. Pt-based catalysts are employed in commercial converters, but, among several issues, one is the replacement of Pt with less expensive elements. In this perspective Ag₃ is a good candidate, for the lower price and larger abundance of Ag with respect to Pt, and the atom-economy of the catalyst in subnanometer form. Similarly to COox ones, NOox catalysts suffer from insufficient activity at low temperature, which is detrimental e.g. in the start-up phase of any automotive engine and in Diesel engines in particular.
(in which, ideally, the catalyst should work at temperatures as low as 175 °C). For definitiveness, we thus assume to work under the following conditions: room temperature, O₂ pressure = 0.2 atm, NO and NO₂ pressure = 0.002 atm. For a complete investigation, the presence of other species not included here such as water and sulfur oxides should be considered – in the present preliminary work we limit ourselves to an exploratory study whose results seem to be promising, and defer a full investigation to future work. As in previous studies,¹⁶,¹⁰ the support here selected is MgO(100), which is an idealized oxide system but can be taken as a reasonable model of a surface of simple oxide.¹⁶,⁴

What we find is something surprising but of possible general significance. While the first NOox step proceeds via a route very similar to the homologous step of COox over Ag₃(CO₃)/MgO(100), after an oxygen adatom is formed on Ag₃(CO₃)/MgO(100) in the presence of NO and O₂ the reaction takes a completely different path, finally leading to a mixed carbonate/double-nitrite Ag₃(CO₃)(NO₂)₂/MgO(100) complex which then acts as the real catalyst of NOox. In other words, also in the case of NOox catalyzed by Ag₃(CO₃)/MgO(100) we do find a high-ligand-coverage complex as the real catalytic species, albeit different from that purposely pre-formed from a COox run on the Ag₃/MgO(100) system. We then arrive at three main points. First, we confirm that the formation of ligand/cluster/support complex is generally operative in heterogeneous ultrananocatalysis.⁷ Second, from the present work the great structural and stoichiometric freedom of heterogeneous ultrananocatalysts emerges, implying from the theoretical point of view the need of systematic structural searches able to single out which ligand/cluster/support catalytic species is eventually created under the given experimental conditions.¹⁶ Achieving this knowledge will greatly enhance the predictive capability and thus the impact of computational approaches to ultrananocatalysis, and possibly also to nanocatalysis in general. Third, a vast combinatorial set of possible pairs catalytic-complex/catalytic-reaction opens, of which the present results offer only a rapid glimpse.

The article is organized as follows. Sec. 2 describes the computational details, Sec. 3 the results and discussion, and Sec. 4 summarizes our conclusions.
2. Computational Details

All density-functional theory (DFT) calculations are performed using the plane-wave Quantum Espresso package.\(^{17}\) The Perdew-Burke-Ernzerhof (PBE)\(^{18}\) exchange-correlation functional is used together with ultrasoft pseudopotentials\(^{19}\) and energy cutoffs of 40 Ry and 320 Ry for the wave function and electronic density, respectively. Structural optimizations and transition state searches are carried out in a spin-unrestricted formalism, using 3x4 cells with 2 MgO layers (kept frozen during structural optimizations), 17 Å of empty space between replicated cells and a Brillouin zone sampled at the Gamma point only. In order to describe transition state energetics, reaction barriers are evaluated with a nudged elastic band (NEB)\(^{20}\) transition state algorithm using the Broyden scheme and 5 intermediate images. It should be noted that some spin contamination is found in our DFT calculations, whose effect on reaction energy differences and energy barriers is however difficult to quantify.

Ab initio Molecular Dynamics (MD) is performed using the CP2K code\(^{21}\) whose DFT algorithms are based on a hybrid Gaussian/Plane-Wave scheme (GPW) developed by Lipper, Hutter and Parrinello.\(^{22}\) We choose pseudopotentials derived by Goedecker, Teter and Hutter (GTH) to describe the core electrons\(^{23}\) and DZVP basis sets\(^{24}\) to represent the DFT Kohn–Sham orbitals. The cut-off for the auxiliary plane wave representation of the density is 300 Ry. Each dynamics is followed for 10-20 ps with a time step of 0.5 fs during which the temperature is controlled by Nosé–Hoover chain thermostats.\(^{25}\) As in the Quantum Espresso calculations, the PBE functional is used.\(^{18}\) To avoid interaction between periodic images we use 4x4 cells with 2 MgO layers kept frozen during the dynamics and 17 Å of empty space between replicated cells.

In terms of sampling the reactive potential energy surface of the investigated systems, cpu-time limitations did not allow us to conduct a complete structural search\(^{16}\) as performed in previous work.\(^{10}\) We therefore use a biased search based on a few simplifying assumptions and constraints, the two major ones being that we do not consider consecutive adsorption of two NO molecules in the stoichiometry moves not separated by adsorption of an O\(_2\) molecule (which is reasonable under a NO:O\(_2\) ratio of 1:100 as assumed here) and we do not check systematically the structural neighborhood of all intermediate species in the proposed catalytic cycle. While it is possible that alternative reaction paths are so missed, due to the complex and fluxional chemistry occurring over the Ag\(_3\)(CO\(_3\))/MgO(100) system under NOox conditions, the proposed catalytic cycle represents a possibility which can be operative under appropriate conditions.
3. Results and discussion

3.A Database of structural motifs for ligand adsorption onto Ag₃/MgO(100)

Before going into the details of our results, it is useful to define a nomenclature of adsorption modes of ligand molecules onto the Ag₃/MgO(100) cluster. In addition to simplifying the following discussion, this is intended to contribute to creating a database of structural motifs, which is of great help in systematic structural searches such as global optimization ones, see e.g. ‘system comparison’ and ‘structural recognition’ techniques. Fig. 1 presents such a database of commonly occurring adsorption modes. NO and O₂ can adsorb on the tip (‘top’, Fig. 1a,c, respectively) or at the base (‘base’, Fig. 1b,d, respectively) of the Ag₃ triangle, where the base is defined to be closer to the oxide than the tip. For NO₂ the situation is more complicated, because – if the ‘top’ mode (with NO₂ interacting with the metal cluster via a single N-Ag bond) is still available due to the large distance from the oxide surface (Fig. 1i) –, the ‘base’ mode is usually meta-stable and transforms either into a two-fold or bidentate adsorption (with both N and one of the two O atoms interacting with two different Ag atoms) which can in turn be distinguished into a base adsorption (‘base bidentate’, Fig. 1h) or a side adsorption (‘side bidentate’, Fig. 1g), or a direct interaction with the substrate (a ‘bridge’ mode) which is better exemplified for the CO₃ carbonate ligand. CO₃ can in fact adsorb in a two-fold or bidentate adsorption (‘bidentate’, Fig. 1e) as in the original Ag₃(CO₃)/MgO(100) complex, which adsorption mode is different from that of NO₂ in that two O atoms of the carbonate interact with two different Ag atoms, but this usually most stable configuration is in close competition with an adsorption mode (‘bridge’, Fig. 1f) in which only one O atom is adsorbed in a bridge site of the Ag₃ triangle, whereas the other two O are linked to Mg²⁺ cations of the oxide substrate. Such a great structural freedom can be rationalized also in terms of electrostatic interactions between the ligand/cluster ads-species and the charge-separated substrate. This form of ionic interaction occurs not only when it is obviously apparent, as when O atoms in the catalytic aggregate lie on top of Mg²⁺ sites of MgO(100), but also more subtly because the electric field generated by the ionic substrate interacts with dipole moments developing in the ligand/cluster complex. In this sense it is appropriate to speak of ligand/cluster/support complexes.

3.B Stability of the Ag₃(CO₃)/MgO(100) ligand/cluster/support catalytic complex

We start our investigation from the Ag₃(CO₃)/MgO(100) ligand/cluster/support catalytic species which has been shown to be produced in situ and under very general reaction conditions in the oxidation processes of CO into CO₂ over the Ag₃/MgO(100) system, see Fig. 2a. One very
general question which is particularly relevant when dealing with metal ultrananoclusters concerns
the stability of such a species, i.e., whether the given catalyst is stable with respect to
disaggregation and sintering.\cite{7,9} We therefore perform a few runs of ab initio MD at temperatures
ranging from 300 to 1200 K and lasting \(10^{-20}\) picosec, and extract information regarding possible
disruption and diffusion routes. The \(\text{Ag}_3(\text{CO}_3)/\text{MgO}(100)\) catalytic complex proves to be rather
robust from these simulations. No breaking of the complex is ever observed during the MD runs,
which (considering fluctuations) allows us to set \(\approx 1.5\) eV as a lower value of the disaggregation
energy barrier. Hopping movements are instead observed. Some of these present a very low energy
barrier, such as the one depicted in Fig. 2a→c, whose \(E_b\) is equal to 0.4 eV from an explicit NEB
calculation, but are non-diffusive as they correspond to on-site rotation of the complex. Others
present a higher energy barrier, such as the one depicted in Fig. 2d→2f, whose \(E_b\) is crucial for
catalyst stability and has then been estimated via an accurate NEB calculation to be \(= 0.85\) eV, and
correspond to real diffusive paths which allow the complex to move over the surface. These latter
movements are detrimental to the catalyst stability, as they can lead to cluster sintering. The energy
barriers of diffusive modes are much higher than the corresponding ones for bare \(\text{Ag}_3\) cluster over
\(\text{MgO}(100)\), which are around 0.11 eV,\cite{28} and this is strictly connected with the stronger interaction
of the carbonate ligand with the oxide surface. The ligand/cluster complex is therefore more stable
with respect to diffusion and sintering because of the ligand adhesion to the substrate. Despite this,
the values of diffusive barriers are still a bit low to fully protect the catalysts against sintering.
Among other factors, this is due to the facility (or the moderate energy penalty) with which the \(\text{CO}_3\)
‘bidentate’ adsorption mode transforms into the ‘bridge’ one, see Figs. 1,2.

Let us elaborate on the issue of ligand/cluster diffusion. The energy barriers of the corresponding
hopping moves strongly depend on the catalytic-complex/oxide-surface interaction, which in turn
depends on the strength of the electrostatic forces developing between the two systems. Therefore
we may expect that the energy barriers of hopping moves can be increased substantially e.g. in
passing from \(\text{Mg}^{++}\) to a higher-valence metal cation such as e.g. \(\text{Al}^{3+}\). Moreover, even though this is
more speculative, substrate geometrical asperities may also be expected to disfavor such
movements with respect to the regular \(\text{MgO}(100)\) surface, because a rougher surface presents
physical valleys or depressions from which it can be more difficult for the catalytic complex to
escape (especially for rigid oxides, whereas more flexible ones such as ceria may possess
rearrangement modes which can couple with diffusion of the catalytic species). Point defects such
as double vacancies\cite{29} can be assimilated to geometrical asperities. Extended but more regular
defects such as surface steps may also play a role in hindering diffusion moves, although the
increase in complex/substrate interaction strength associated with these defects with respect to the regular surface is usually not so large, so that they may be insufficient to prevent diffusion of the catalytic aggregate. If correct, these arguments then suggest why Ag₃ (or better, the corresponding catalytic species for the specific reaction there investigated) is a reasonably stable catalyst on an oxide which is both higher-valence and rougher such as amorphous alumina, as shown in Ref. ⁴.

It can be recalled that defects other than purely geometrical ones have often been advocated as important nucleation and trapping centers for metal nanoclusters. For example, a popular model derived from surface science ultra-high-vacuum (UHV) studies invokes the presence of point defects such as oxygen vacancies or F₃(color)-centers,⁴ and indeed such defects are able to efficiently trap metal clusters. ²⁹ However, in agreement with previous studies, ³⁰ we found that a surface neutral oxygen vacancy on MgO(100), being a very high-energy defect, does not survive under oxidative conditions. In detail, we found the following reaction sequence:

\[
\text{Ag₃/Fs-MgO(100) + CO + O}_2 \rightarrow \text{Ag₃/Fs-MgO(100)(CO)(O}_2 \rightarrow \text{Ag₃/Fs-MgO(100)(OCOO)} \rightarrow \text{Ag₃/Fs-MgO(100)(O) + CO}_2 \rightarrow \text{Ag₃/MgO(100) + CO}_2
\]

where the Ag₃/Fs-MgO(100) starting point represents a silver trimer adsorbed on a neutral oxygen vacancy on the regular MgO(100) surface. For convenience of the reader, the last step of this sequence: Ag₃/Fs-MgO(100)(O) → Ag₃/MgO(100), is pictorially illustrated in Fig. 3. In other words, the Ag₃/Fs-MgO(100) cluster can absorb CO and O₂, catalyze the formation of an OOCO intermediate species, which can then evolve CO₂, thus leaving behind an oxygen adatom, but at this point a strong thermodynamic driving force of -3.48 eV leads the O adatom to fill the vacancy. ³⁰

The surface oxygen vacancy is thus healed by the oxygen adatom, see Fig. 3. This may be occurring not only on simple oxides such as MgO(100), but also more in general: a similar process and phenomenon has been observed in theoretical simulations even on reducible oxides such as ceria. ³¹

The Ag₃/MgO(100) species resulting from healing the defect is not bound to any trapping center and is thus very mobile. ²⁸ It will therefore likely sinter, ³⁰ questioning the possibility that an oxygen vacancy can really act as a trapping center for Ag₃ on MgO(100) under oxidative conditions. The influence of oxygen vacancies on the properties of oxides as catalytic supports (especially simple oxides) might thus have been overestimated, as pointed out in previous work: ³² more systematic investigations on the formation energy of surface oxygen vacancies and their reaction with oxygen adatoms on the metal ultrananoclusters would be interesting. On the contrary, the proposed Ag₃(CO₃)/MgO(100) catalytic complex is robust with respect to adsorption of an oxygen adatom, as
shown in Refs.\textsuperscript{16,10}. From the above analysis it also seems reasonably stable with respect to
disaggregation, and also diffusion – even though only at intermediate temperatures: a rougher and
higher-valence substrate is probably necessary to stabilize this class of Ag\textsubscript{3}-based catalytic species
with respect to sintering at high temperatures.\textsuperscript{4}

\textbf{3.C Formation of the NO\textsubscript{o}x catalytic complex from the CO\textsubscript{o}x one}

We start from the Ag\textsubscript{3}(CO\textsubscript{3})/MgO(100) catalytic complex shown in Fig. 2a, and we consider NO
and O\textsubscript{2} adsorption and co-adsorption. Adsorption energy is defined as the difference between the
total energy of the system minus the sum of the energies of the fragments in their relaxed
configurations. NO and O\textsubscript{2} adsorption on this complex are energetically favorable, but by a small
amount: the corresponding adsorption energies are: 0.73 and 0.15 eV, in the ‘top’ and ‘base’
adsorption modes, respectively. It should be noted that unless explicitly indicated we always report
electronic energies, which must be corrected for entropic and other contributions to calculate free
energies in the given experimental conditions. In the following discussion we include the
translational contribution only, assuming that roto-vibrational contributions to reaction free energy
and energy barriers compensate, as is often the case at room temperature. The translational free
energy of NO, O\textsubscript{2}, and NO\textsubscript{2} in the gas phase at the pressures given in Sec. 2 and assuming ideal-gas
behavior are: 0.56, 0.45, and 0.58 eV, respectively, and will be subtracted from the electronic
adsorption energies to obtain adsorption free energies. Co-adsorption of NO and O\textsubscript{2} is also
favorable, with a total energy gain of -1.18 eV. As shown in Fig. 4a,b, the most favorable co-
adsorption configuration is NO in ‘top’ and O\textsubscript{2} in ‘base’: switching the two molecules increases the
energy by 0.36 eV. NO and O\textsubscript{2} in the most stable co-adsorption mode are in a proper configuration
to produce a OONO intermediate according to a Langmuir–Hinshelwood mechanism as shown in
Fig. 4b-d, much in the same way that CO and O\textsubscript{2} give rise to the OOCO intermediate in CO\textsubscript{o}x.\textsuperscript{33}
Again in fair analogy with the CO\textsubscript{o}x case, the OONO intermediate further evolves – see Fig. 4d-f –
into an oxygen adatom and a NO\textsubscript{2} nitrite species. However, at variance with the CO\textsubscript{o}x chemistry
over Ag\textsubscript{3}(CO\textsubscript{3})/MgO(100), the NO\textsubscript{2} nitrite species is adsorbed rather strongly – by 0.93 eV, and
therefore does not leave the aggregate as a free molecule under the given experimental conditions.
The resulting Ag\textsubscript{3}(CO\textsubscript{3})(O)(NO\textsubscript{2})/MgO(100) complex can absorb another NO molecule, which
directly reacts with the oxygen adatom to produce a mixed carbonate/double-nitrite
Ag\textsubscript{3}(CO\textsubscript{3})(NO\textsubscript{2})\textsubscript{2}/MgO(100) complex with an energy gain of -1.93 eV. NO\textsubscript{2} desorption from this
complex is thermodynamically unfavorable, as it increases the energy by more than 0.9 eV. Such a
complex is therefore a stable species with respect to stoichiometry. In the next subsection we show that it can act as a NOox catalyst.

3.D The NOox catalytic cycle over the Ag$_3$(CO$_3$)(NO$_2$)$_2$/MgO(100) catalytic complex

Fig. 5 shows the proposed NOox catalytic cycle over the Ag$_3$(CO$_3$)(NO$_2$)$_2$/MgO(100) ligand/cluster/support catalytic complex. This cycle is obtained by incrementally adsorbing NO and O$_2$ over the complex and calculating reaction energy barriers whenever they are appreciable (adsorption of ligands from the gas phase is often barrier-less in terms of electronic energy). The first step (5a→b) corresponds to NO adsorption: this is energetically favorable by -0.53 eV, and will become basically thermodynamically neutral when the loss of NO translational free energy (0.56 eV) is subtracted. In the next step (5b→c), an O$_2$ molecule from the gas phase adsorbs according to an Eley-Rideal mechanism and forms a OONO intermediate passing through an energy barrier of 0.31 eV. A simultaneous rearrangement of the neighboring NO$_2$ adsorbate from a ‘base bidentate’ to a ‘base’ mode can also be appreciated. The final gain in electronic energy in this step is -0.17 eV, so that an increase in free energy of 0.28 eV is associated with this step. Overcoming an energy barrier of 0.19 eV the system then converts into a Ag$_3$(CO$_3$)(NO$_2$)$_3$(O)/MgO(100) complex, with an energy lower by -0.29 eV (5c→d) and thus basically regaining thermodynamic neutrality. The process 5b→d corresponds to the rate-determining step of the proposed catalytic cycle. Evolving NO$_2$ from this complex is energetically costly by only 0.21 eV (5d→e), which electronic contribution is compensated by the increase in NO$_2$ translational entropy to obtain a free energy gain of -0.37 eV. The resulting Ag$_3$(CO$_3$)(NO$_2$)$_2$(O)/MgO(100) species can absorb NO, which reacts with the oxygen adatom giving rise to a very stable Ag$_3$(CO$_3$)(NO$_2$)$_3$/MgO(100) species (5e→f), with an electronic energy gain of -1.88 eV. This species can finally evolve NO$_2$ into the gas phase thus regenerating the Ag$_3$(CO$_3$)(NO$_2$)$_2$/MgO(100) catalytic complex and closing the cycle: 0.33 eV must be paid at the electronic level, but are compensated by the NO$_2$ translational entropic increase of 0.58 eV to obtain a free energy gain of -0.25 eV. In summary, the overall free energy barrier for the whole process is predicted by the present DFT approach to be 0.28 (5b→c) + 0.19 (5c→d) = 0.47 eV: this roughly corresponds to a kinetic rate of the order of tens of microseconds at room temperature, which is interesting from an application point of view.
4. Conclusions

The present work lies within the general topic of the catalytic chemistry of subnanometer (or ultranano) metal clusters supported on oxide substrates (in other words, the field of heterogeneous ultrananocatalysis) and focuses in particular on the Ag$_3$(CO$_3$)/MgO(100) catalytic complex which is formed over Ag$_3$/MgO(100) under CO oxidation (COox) conditions.$^{10}$ We first show that its disaggregation presents a significant energy barrier, while its diffusion is considerably slower than that of bare Ag$_3$ due to the electrostatic interaction with the support. We also show that Ag$_3$ on a defected MgO(100) surface presenting an oxygen vacancy is not a stable catalyst under oxidation conditions as it spontaneously transforms into Ag$_3$/MgO(100), which can then quickly diffuse and sinter, whereas Ag$_3$(CO$_3$)/MgO(100) is robust with respect to further oxidation. Moreover, we take a step further and explore the possibility that Ag$_3$(CO$_3$)/MgO(100) can work as the catalyst of a different reaction, i.e. the selective oxidation of NO to NO$_2$ (or NOox). The specific example here considered is a severe test of the transferability of a catalytic species due to the complex chemistry of the NOox reaction and its diversity with respect to COox. Indeed, interestingly we find that a different aggregate actually forms under reaction conditions, i.e., a mixed carbonate/double-nitrite Ag$_3$(CO$_3$)(NO$_2$)$_2$/MgO(100) species. Such a species is then found to be capable of acting as the catalyst of NOox, with an overall free energy barrier of 0.47 eV, and thus an expected significant catalytic activity.

The present results prove the diversity of the catalytic chemistry of subnanometer (or ultranano) metal clusters deposited on oxide substrates, point out the need of systematic structural and stoichiometric searches able to cope with such a complex diversity, and open new combinatorial paths of pairing catalytic complexes/reactions to both experimental and theoretical investigations.$^{32}$
5. Outlook

The starting point of the present investigation is the concept of ligand/metal-cluster/support catalytic complex. This concept unifies both the influence of the oxide support and high-coverage effects into a single idea, and corresponds to a mechanism which is advocated to be generally operative in heterogeneous ultrananocatalysis. The role of ligands in the formation of such catalytic complexes is decisive and is here underlined. They are called to partially fill the strongly unsaturated coordination environment of the metal ultrananoclusters and to protect the catalytic aggregate from disruption, but without losing the fluxional character of these ultrananospecies and the possibility of further ligand adsorption which are both necessary for catalytic functioning. They also concur to catalyst stability by increasing adhesion to the oxide substrate by e.g. electrostatic or ionic-bonding interactions thus preventing sintering.

In the present paper, we explore the next step in this line of research, i.e.: is it possible to use the catalytic complex derived for one catalytic reaction as the catalyst in a different reaction?

This attempt seems promising in several respects. For example, it emulates catalyst preparation in real-world catalytic systems, thus possibly shedding some light into what often appear as ‘black magic’ protocols. It can also be put in correspondence with the beneficial effect of trace dopants or promoters – see e.g. Refs. for disparate examples – as due to the formation of an appropriate surface aggregate in heterogeneous catalysis in which the dopant (like the CO$_3$ carbonate in the present case) does not necessarily take part to the reaction, yet it is essential in forming the catalytically active complex on the surface. Finally, it opens the way to a vast number of possible combinations between catalyst and reaction.

This proposal is not a routine step forward, and indeed in the present work we find that the resulting picture is more complex than expected, and that in the presence of different ligands (especially ligands with a completely different chemistry such as NO with respect to the previously investigated CO) the original catalytic complex is drastically altered, and the system evolves from a Ag$_3$(CO$_3$)/MgO(100) into a Ag$_3$(CO$_3$)(NO$_2$)$_2$/MgO(100) complex – a strikingly unexpected result.

We believe that the present findings expand our basic knowledge on the catalytic chemistry of supported subnanometer metal clusters, and we trust that they will spur research to find novel catalytic systems able to meet the present societal challenges in activity and selectivity.
Acknowledgements

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TOC caption

The $\text{Ag}_3(\text{CO}_3)/\text{MgO}(100)$ complex transforms in the presence of NO and O$_2$ into a highly active $\text{Ag}_3(\text{CO}_3)(\text{NO}_2)_2/\text{MgO}(100)$ NOox catalyst
Figure Captions

**Figure 1.** Pictorial description and nomenclature of adsorption modes of NO, O₂, CO₃, NO₂ molecules onto Ag₃/MgO(100). Silver atoms as grey spheres, Nitrogen as blue spheres, oxygen in the ligands as red spheres. The atoms of the MgO (100) support (only the first layer explicitly shown) are depicted in the 'stick' mode with oxygens in gray and magnesiums in orange.

**Figure 2.** Possible hopping mechanisms of Ag₃(CO₃) over the MgO(100) surface: (a-c) rotation; (d-f) translation. (a),(d) initial configurations; (c),(f) final configurations; (b),(e) saddle points. Atom color coding as in Fig. 1

**Figure 3.** Healing of an oxygen vacancy by an oxygen adatom adsorbed onto Ag₃/Fₓ-MgO(100) (a), i.e., Ag₃ supported on an Fₓ-defected MgO(100) (b) surface, thus transforming it into Ag₃/MgO(100), i.e., Ag₃ supported on the regular MgO(100) surface (b). Energies are in eV. Atom color coding as in Fig. 1

**Figure 4.** Formation of the mixed carbonate/double-nitrite Ag₃(CO₃)(NO₂)₂/MgO(100) ligand/cluster/support complex under NOox conditions starting from NO and O₂ co-adsorbed onto Ag₃(CO₃)/MgO(100). Numbers in the boxes correspond to energies (in eV) relative to configuration (b). Boxes surrounded by continuous lines contain local minima, those enclosed in dashed lines contain saddle points. Energies are in eV. Atom color coding is the same as in Fig. 1

**Figure 5.** NOox catalytic cycle (2 NO + O₂ → 2 NO₂) over the mixed carbonate/double-nitrite Ag₃(CO₃)(NO₂)₂/MgO(100) catalytic complex. ΔE are reaction energy differences, while Eₛ are reaction energy barriers. Energies are in eV. Atom color coding as in Fig. 1
References


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Figure 5. NOox catalytic cycle ($2 \text{NO} + \text{O}_2 \rightarrow 2 \text{NO}_2$) over the mixed carbonate/double-nitrite Ag$_3$(CO$_3$)(NO$_2$)$_2$/MgO(100) catalytic complex. $\Delta E$ are reaction energy differences, while $E_b$ are reaction energy barriers. Energies are in eV. Atom color coding as in Fig. 1.

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