This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the Information for Authors.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal’s standard Terms & Conditions and the Ethical guidelines still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.
Entropy and Enthalpy Contributions to the Kinetics of Proton Coupled Electron Transfer to the \( \text{Mn}_4\text{O}_4(\text{O}_2\text{PPh}_2)_6 \) Cubane

Thomas G. Carrell\textsuperscript{b}, Paul F. Smith\textsuperscript{a}, Joseph Dennes\textsuperscript{b}, and G. Charles Dismukes\textsuperscript{*a}

The dependence of rate, entropy of activation, and \((^1\text{H}/^2\text{H})\) kinetic isotope effect for H-atom transfer from a series of \( p\)-substituted phenols to cubane \( \text{Mn}_4\text{O}_4\text{L}_6 \) (\( \text{L}=\text{O}_2\text{PPh}_2 \)) (1) reveals the activation energy to form the transition state is proportional to the phenolic O-H bond dissociation energy. New implications for water oxidation and charge recombination in photosystem II are described.

The interdependence of proton and electron transfer kinetics, termed proton-coupled electron transfer (PCET), is common in chemical and biological redox reactions. This coupling is manifested in kinetics being controlled by the free energy difference and nuclear reorganization barrier between redox reaction partners\textsuperscript{1}. A prominent example of PCET occurs in natural photosynthesis, in which water is oxidized by a CaMn\(_4\) “heterocubane” cluster (water oxidation complex, WOC) located in photosystem II (PSII). The cluster is capable of breaking four water O\(_4\)H bonds (119 kcal/mol) via successive or concerted PCET reactions, many of which are believed to involve separate sites for the proton and electron. The \( \text{Mn}_4\text{O}_4(\text{O}_2\text{PPh}_2)_6 \) cubane (1) (Scheme 1A) is a related model compound that can dehydrogenate \( \text{C}_4\text{H}, \text{N}_4\text{H}, \) and \( \text{O}_4\text{H} \) bonds and thus is useful for understanding successive PCET reactions\textsuperscript{2,3}.

Mechanistic studies have shown initial H\(_4\)atom abstraction to form \( \text{Mn}_4\text{O}_3(\text{OH})(\text{O}_2\text{PPh}_2)_6 \) (1H) (Scheme 1A), which was isolated and characterized\textsuperscript{4,5}. Depending upon reductant, three additional H equivalents can be removed to form the “butterfly” \( \text{Mn}_4\text{O}_2(\text{O}_2\text{PPh}_2)_6 \) (2) and release two water molecules (Scheme 1B). The O-H bond dissociation energy (BDE) of 1H was previously estimated from such studies.

Herein, we have extended this work to examine the reaction kinetics and H/D kinetic isotope effect (KIE) between 1 and a series of para-substituted phenols of known O-H BDEs. The reaction between 1 and phenol has been previously reported and is summarized in Scheme 1\textsuperscript{5}. The phenoxyl radical product R-\text{PhO}● is highly reactive and, depending on R, can further react to give a variety of radical coupling products. For phenol (R=H), this product is commonly biphenoquinone, but for \( p\)-substituted phenols, products may not easily be identified\textsuperscript{5-6}. In our case, reaction of 1 with excess 4-methylphenol resulted in uniform spectral bleaching (Figure 1).

Spectral bleaching is fully consistent with proton-coupled reduction of 1, as previously observed by electrochemical methods\textsuperscript{7}. The rate of bleaching is first order in [1] (Figure 1, inset), and can be fit to integrated first-order kinetics to determine the pseudo-first order constant (see SI for details). A plot of pseudo-first order rate constants vs. concentration of 4-methylphenol gives clean second order kinetics over ten-fold concentration range, \( R^2=0.94 \) (Figure S1), and a second order rate constant of 4.80 (M*s\(^{-1}\)). Eyring analysis (Figure S2) of the temperature dependence of the rate constant (10-30 °C) gives the activation enthalpy and entropy of reaction (\( \Delta H^\ddagger=2.8 \) kcal/mol and \( \Delta S^\ddagger=-46 \) cal/mol*K, respectively). Thus, it follows that the activation energy at 298 K is \( \Delta G^\ddagger=16.5 \) kcal/mol.

Scheme 1: (A, top) Postulated docking configuration of 4-methylphenol to the atomic structure of 1 taken from single crystal X-ray diffraction. Image along one of the four body diagonals depicting Mn on top. Red = O, Purple = P, Silver = Mn, Gray = Phenyl ring, Blue = para-substituent. (B, bottom) Reactions of the \( \text{Mn}_4\text{O}_4^{4+} \) cubane core with hydrogen atom donors.
Quantitative analysis shows that proton and electron transfer occur in a concerted mechanism, not successive stepwise pathways, as detailed next. The thermochemical cycle given in Scheme 2 depicts the contributions from pKa values and reduction potentials that convert the cubane 1 to its protonated (1H+), reduced (1), and hydrogenated (1H) products. The reduction potential for 1H+/1H is at least equal that of 1+/1, because 1H+ quantitatively oxidizes 1 to 1+ as described previously. The parameters from this cycle are converted to Gibbs energy using eqns (1-3):  
\[ \Delta G_{\text{PT}} = 1.37\{pK_a(\text{Ph-OH}) - pK_a(\text{1H}^+)\} \]  
\[ \Delta G_{\text{ET}} = 23.06\{E^i(\text{Ph-OH}●/\text{Ph-OH}) - E^i(1/1')\} \]  
\[ \Delta G_{\text{PCET}} = 23.06\{E^i(\text{Ph-OH}●/\text{Ph-OH}) - E^i(1/1')\} + 1.37\{pK_a(\text{Ph-OH}●) - pK_a(\text{1H})\} \]  

The calculated thermodynamic driving force for the first proton transfer from the phenol to 1 (\(\Delta G_{\text{PT}}\)) is proportional to the difference between the pKₐ values of the phenol (ca. 19 for 4-methylphenol) and 1, which can be protonated only by strong acids. Thus, the first proton transfer from 4-methylphenol to 1 is uphill by at least 1.37*(16) = 21.8 kcal/mol. This thermodynamic barrier is lower than the kinetic activation energy measured experimentally. Likewise, the necessary driving force for initial electron transfer (\(\Delta G_{\text{ET}}\)) is proportional to the difference in the one-electron reduction potentials. The first electron transfer step from 4-methylphenol (E(1/2) = 1.865 V vs. SHE) to 1 (-0.105 V vs. SHE) is downhill by 45.4 kcal/mol, which is again larger than the experimental activation energy. For all phenols studied, the measured \(\Delta G^\circ\) is lower than the values expected if either the proton or electron were transferred individually (Table 1), with one exception (proton transfer from 4-CN-phenol, \(\Delta G_{\text{PT}}\)). This data strongly infers that stepwise PCET does not occur in this system.

For concerted transfer (\(\Delta G_{\text{PCET}}\)), the change in free energy is calculated as the sum of two processes- first, electron transfer from 4-methylphenol to 1, and second, proton transfer from the resulting radical cation to the reduced cube (eqn 3). The latter process has a very negative Gibbs energy, because the 4-methylphenol radical cation (\(\text{Ph-OH}●\)) has a lower pKₐ and a further reduction potential than 1 (pKₐ = -7.1). Proton transfer to 1 results in the same \(\Delta G^\circ\) as 1H+/1H, and CT is not only the thermodynamically favored pathway; it is also the only pathway with a calculated driving force lower than the measured activation energy.

This approach allows an estimation of the O-H BDE of 1H via eqn (4). From the reaction with 4-methylphenol:

\[ 4.7 \leq \Delta G_{\text{rxn}}^\circ = (\text{BDE}_{1\text{H}} - \text{BDE}_{\text{PhOH}}) - \Delta\text{TS}_{\text{rxn}}^\circ \]  

Where the subscript rxn denotes the measured reaction:

1 + PhOH → (1H●OPh) → 1H + ●OPh

Ignoring the entropy term, this gives BDE_{1H} ≤ 93.4 kcal/mol. The highest upper bound obtained in this way is 98.9 kcal/mol (R = CN). The actual BDE is likely lower due to the negative TS term.
(see below). We note that a lower bound can be calculated by adapting the common thermodynamic cycle:

\[
BDE = 23.06E1/2 + 1.37 pK_a + 56 \text{ (kcal/mol)}
\]  

Using \(E1/2(I^+I^-)\) and \(pK_a(I^+/I^-)\) in eqn(5) gives a lower bound \(BDE \geq 84 \text{ kcal/mol}\). Combining these results, the homolytic BDE lies in the range of 84-98.9 kcal/mol. The range for heterolytic BDE \((1H \rightarrow 1^+ + H)\) is likewise determined by adding 23.06\((E1/2(1^+I^+))\), yielding 109.4-124.3 kcal/mol. This range compares to the heterolytic BDE of 122 kcal/mol for \([L_2Mn(µ-O)(µ-OH)MnL_2]^{1+}\) \((L=1,10\text{-phenanthroline})^{15,16}\), which has a higher average Mn oxidation state (3.5 vs. 3.25 in \(1H\)).

For PCET reactions from a class of similar substrates, Bell-Evans-Polanyi kinetics predict linear correlation between activation energy (proportional to \(ln(k)\)) and homolytic bond dissociation energy of the PhO-H bond. As Figure 2 illustrates, this correlation \(R^2 = 0.97\) is indeed present for all the phenols studied, including the N-H bond of phenothiazine \((pzH)\). This correlation covers seven orders of magnitude and strongly implies that H-atom transfer to \(1\) proceeds by a similar mechanism from all these H-donors. As expected, linear correlations also exist with the donor reduction potential \(R^2 = 0.94\) and \(pK_a, R^2 = 0.80\), although neither fits as well as the BDE (Figure S3).

\[I^+ 
\]

\[\text{Figure 2: Dependence of ln}(k_f) \text{ on the homolytic bond dissociation energy.}
\]

At 298 K, the entropy term is by far the dominant contributor to the activation energy \(-T\Delta S^\circ = 13.7 \text{ kcal/mol vs. } AH^\circ = 2.8 \text{ kcal/mol for 4-MePhenol}\), indicating that the transition state is dominated by an increase in order rather than PhO-H bond breaking. This result is rationalized because H-atom transfer occurs via an inner-sphere mechanism; for the phenol to make van der Waals contact with a corner oxo, reordering of the phenyl rings of three phosphinate ligands is required to allow phenol access (Scheme 1A), as previously observed for bulky H donors. Additionally, the presence of a small KIE \((k_{OH}/k_{O} \sim 2.0)\) is consistent with this result as it implies little O-H bond cleavage in the transition state. Our data suggest an ordered transition state involving significant reorganization of the Ph ligands of \(1\) to allow close contact between the phenol and corner oxo of \(1\) which allows H-atom transfer (Scheme 1A illustrates the reactants approaching). This transition state has lower entropy than the separate reactants, likely a result of the additional steric congestion around the \([Mn_3O_4]^{12+}\) core of \(1\) created by the 12 phenyl rings from six facially bridging \(Ph_2PO_3^-\) ligands. The X-ray structure of \(1\) reveals these rings pack to form a hydrophobic propeller-shaped cavity from three adjacent phenyl rings. These phenyls must move apart to allow the phenol to reach the corner oxygen atoms of \(1\).

We note that the linear dependence in Figure 2 of \(ln(k_f)\) vs O-H BDE is not in accord with simple Marcus theory which scales as \(\log(k_{OH}) \sim (AG)^2\) for adiabatic reactions governed by parabolic potential energy surfaces. We find this outcome to be self-consistent with the kinetic model predicting a dominant and relatively constant entropy term contributing to the reduction of \(1\) by phenols.

The homolytic \(µ-O(H))\) BDE determined herein for \(1H\) is stronger than for all \([Mn_3O_4(OH)]^{12+}\) complexes in the literature that have been measured to our knowledge \((76-84 \text{ kcal/mole, S. I., Table S1})^{15,21,22}\). This difference originates from the weaker Mn-O bonding in \(1\) (longer Mn-O bond lengths, \(\Delta\sigma \sim 0.2 \text{ Å}\)) vs the rhombohedral \([Mn_3O_4]^{12+}\) core complexes. This core expansion indicates greater 4s orbital and reduced 3d orbital character from Mn in the HOMO. Consequences for \(1\) include greater valence electron delocalization (reduced mixed valency \(Mn^{III/IV}\)), higher symmetry (tetrahedral) core, and weaker electrochemical reduction potential \((1/I)\) relative to comparable \([Mn_3O_4]^{12+}\) core complexes. The reduction of \(1\) involves loss of delocalization of the two highest valence electrons in the HOMO of the symmetrical core. Nature’s choice of calcium in the \(CaMn_3O_4\) core of Photosystem II may serve to suppress valence delocalization, in light of a recent report indicating that a \(CaMn_3O_4\) heterocubane is more easily oxidized (has lower reduction potential) than the \(Mn_3O_4\) core in the same ligand set.

**Conclusion**

The mechanism responsible for controlling the rate of hydrogenation of the cubane \(1\) by a range of phenol derivatives has been examined by kinetics and KIE covering seven orders of magnitude in rate constant. The free energy barrier controlling the rate of this bimolecular reaction is composed of two terms: mainly an entropy penalty attributed to formation of the reactive complex upon insertion of the phenol between the geared rings of the diphenylphosphinate ligands (Scheme 1), and, by a smaller enthalpy term after forming the reactive complex that scales linearly with the O-H bond dissociation energy. The small BDE-independent KIE observed is consistent with this picture of the reaction coordinate. A small KIE is observed also for the various S-state transitions in PSII-WOC, which are likewise viewed as PCET processes in the early S-state transitions. The O-H bond of water \((119 \text{ kcal/mol})\) is substantially lowered by complexation as a bridging hydroxo between manganese atoms, considerably more so for \(µ-µ\)-OH than for \(µ-µ\)-OH sites. These results show that the stronger tri-µ-OH bond in \(1\) conserves a larger fraction of the available free energy of the phenol O-H bond, but at the expense of a much slower forward rate. As a result the reverse PCET step becomes important and this has important implications for the functioning of the PSII-WOC in photosynthesis. Indeed, a number of papers have reported reverse electron transfer (PCET) between the WOC and \(Y_{z}^+\) from low temperature trapped S states, using infrared light absorbed by the Mn cluster to overcome activation barriers. Hence, the state energy difference between these states is relatively small.
Scheme 3: The environment surrounding the tyrosine oxidant in Asn 298

Scheme 3 shows the environment of D_{1}-tyrosine161 (Y_{2}-OH) in photosystem II, derived from the 1.9Å X-ray structure. With an O-H BDE of 86.5 kcal/mol (E° = 1.21 V)²⁵, Y_{2}⁺ can oxidize the CaMn_{3}O_{4} (WOC) when H⁺ evolution is permitted. This reaction is blocked (reversed) if protons derived from water oxidation accumulate within the WOC²⁶. The present work indicates that the rate of the analogous PCET reaction between 1 and PhOH in solution is controlled both entropically by attainment of a close encounter complex, 1H⁺••OH, and enthalpically by the relative strengths of their O-H bonds. Oxidogenic photosynthesis relies upon both strategies for creating selective oxidation of the WOC by specific associations of Y_{2}-OH with its surroundings. As shown in Scheme 3, electrostatic activation of Y_{2}-OH/Y_{2}⁺(H⁺) is achieved by prevention of H⁺ release via strong H-bonding to D_{1}His190 (denoted here by (H⁺)), and by electrostatic polarization from coordinated Ca²⁺. These charges help create both the high oxidation potential of Y_{2}-OH/Y_{2}⁺(H⁺) and provide a positive electrical potential gradient from Y_{2}⁺(H⁺)(Ca²⁺) to the Mn$_4$O$_3$ subcluster that facilitates forward electron transfer (S-state transitions):

\[ S_{Y_{2}⁺(H⁺)} \leftrightarrow S_{Y_{2}OH} \rightarrow S_{Y_{2}OH} + H⁺ \]

Importantly, the reverse reaction is slowed greatly by proton transfer from (H⁺)His190 that traps the electron, yielding neutral Y_{2}OH. This PCET function of Y_{2}⁺(H⁺)His190 is often overlooked but is a critical function, as it keeps the site of oxidation within the Mn$_4$O$_3$ subcluster, (S_{4}i)(+), rather than on Y_{2}⁺(H⁺). This trapping slows the charge recombination reactions with the terminal acceptors (plastoquinones) Q_{i}⁻ and Q_{6}⁻ located in PSII²⁶. The Y_{2}⁺(H⁺)His190 molecular diad is analogous to a pn-diode, designed foremost to prevent reverse electron flow and keep the accumulated holes in the Mn$_4$O$_3$ subcluster where O₂ evolution can be catalyzed.

This work was supported by the National Science Foundation (CLP-CHE1213772), an NSF IGERT fellowship (P.F.S.), and an NSF REU fellowship (J.D.).

Notes and references

¹To whom correspondence should be addressed. Email: dismukes@rci.rutgers.edu

Electronic Supplementary Information (ESI) available: Materials and methods, procedures for kinetic measurements and data analysis, and Figures S1-S3. See DOI: 10.1039/c000000x/

The reaction of a manganese-oxo cubane with hydrogen atom donors effectively models water oxidation and charge transfer in natural photosynthesis.