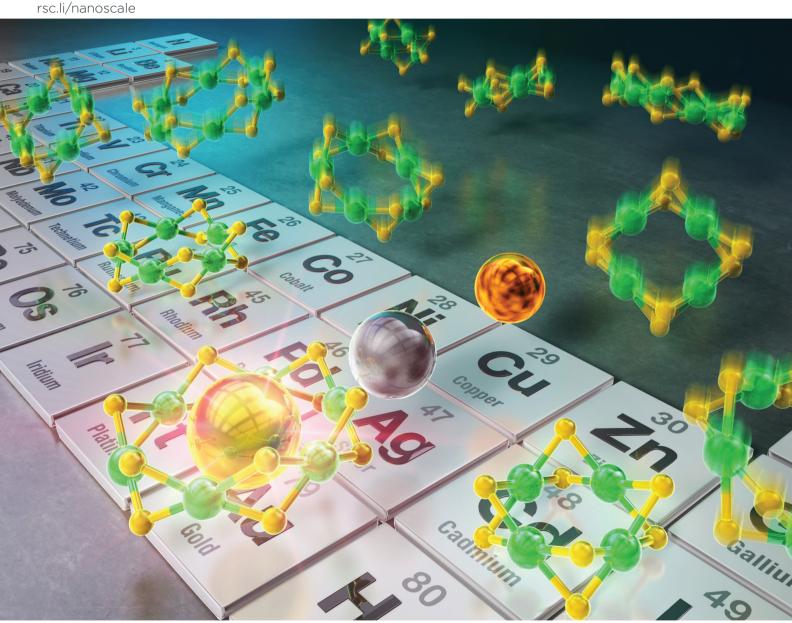
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Inclusion of gold ions in tiara-like nickel hexanuclear nanoclusters†

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Tiara-like metal nanoclusters (TNCs) composed of group 10 transition metals and thiolates can easily change their number of polymerization and include various molecules or metal ions as guests within their ring structures. Therefore, they are expected to be applied in sensing, storage, and catalyst materials based on their selective inclusion characteristics. However, there are very few reports regarding the principles of selective inclusion for guest molecules/ions in TNCs. In this study, we focused on the nickel (Ni) hexanuclear TNC, Ni₆(PET)₁₂ (PET = 2-phenylethanethiolate), to clarify the kinds of metal ions that can be included in TNCs. As a result, we found that the gold (Au) ion can be selectively included in Ni₆(PET)₁₂ among various metal ions to form a stable structure. From various experiments and density functional theory calculations, we concluded that the main reasons for this are that [Ni₆(PET)₁₂]⁰ has (1) a pore large enough to include Au ions and (2) Ni and Au favour the formation of bonding orbitals based on charge transfer interactions. These insights are expected to lead to a better understanding of host–guest interactions in TNCs and provide clear design guidelines for forming various inclusion structures in the future.

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Introduction

Multinuclear metal complexes, called metal nanoclusters (NCs), exhibit different physicochemical properties from those of bulk metals composed of the same metal elements. These metal NCs can be precisely synthesized as stable compounds using thiolate (SR) ligands. Furthermore, the obtained metal NCs are attracting much attention as highly functional materials in fields such as catalysis, 1,16-21 bio-imaging, 22-25 sensing, 22,23 and optics. Given an attraction particular, tiara-like metal NCs (TNCs), which are ring compounds containing metal ions, are known to exhibit high complexation ability with other metal ions and small organic molecules. Among them, $[M(SR)_2]_n$ (M = Ni, Pd, and Pt) composed of group 10 transition metals and SR can easily change its degree of polymerization; thus

These TNCs have been reported to exhibit inclusion properties, trapping a guest molecule in the ring as a host molecule. $^{30,31,38-41}$ The inclusion properties of $[M(SR)_2]_n$ (n =8-12) have been reported, but the guest molecules were limited to iodine molecules and solvent molecules. 30,31,38,39 Recently, it has been reported that a Pt hexanuclear TNC (Pt₆(SR)₁₂; SR = octanethiolate (C8) or dodecanethiolate (C12)) selectively included a monovalent Ag ion (Ag⁺) and exhibits excellent phosphorescence properties. 40,41 However, much is still unknown about what kinds of TNCs can include metal ions and their selectivity. In particular, Ni TNCs $(Ni_n(SR)_{2n})$ have attracted much attention in recent years because of their host-guest chemistry, catalytic activity in the reduction of 4-nitrophenol, and hydrogen and oxygen production from water. 30,31,42-46 Therefore, when alloying by inclusion of different metal ions is achieved in Ni hexanuclear TNC (Ni₆(SR)₁₂) with pores of almost the same size as $Pt_6(SR)_{12}$, $^{28,42-47}$ it will lead to further functionalization of the TNCs. In this study, we attempted to synthesize metal ion-included Ni₆(PET)₁₂ (PET = 2-phenylethanethiolate) for these reasons. In addition, we investigated the selective inclusion of metal ions in a series of PET-protected metal hexanuclear TNCs $(M_6(PET)_{12}, M = Ni, Pd, and Pt)$ to elucidate the host-guest interactions of $[M(SR)_2]_n$ (Fig. 1).

TNCs with different numbers of atoms can be size-selectively obtained.^{28–37} In addition, $[M(SR)_2]_n$ is more stable than other cyclic molecules such as crown ethers, cryptands, and cyclic dextrins because it has a relatively simple structure.

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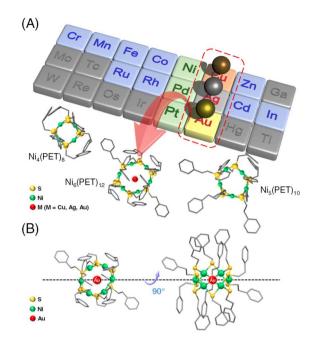


Fig. 1 Schematic illustration of (A) the purposes of this study and (B) the presumed geometrical structure of gold ion-included $Ni_6(PET)_{12}$. In (B), the geometrical structure of $Ni_6(PET)_{12}$ after inclusion of transition metal ions is shown from several points of view.

Experimental

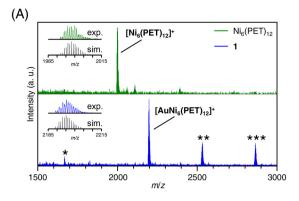
The precursor TNCs, $[Ni_n(PET)_{2n}]^0$ (n=4, 5, 6), were synthesized following previously reported methods, ²¹ and the crude products were separated using thin-layer chromatography (TLC; Fig. S1†). The purity of the obtained $[Ni_6(PET)_{12}]^0$ was confirmed using ultraviolet-visible absorption spectroscopy, matrix-assisted laser desorption/ionization-mass spectrometry (MALDI-MS), Fourier transform infrared spec-



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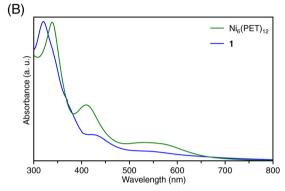


Fig. 2 (A) Positive-ion MALDI-MS spectra before and after Au ion inclusion into $[Ni_6(PET)_{12}]^0$. The insets show a comparison of the isotope patterns between the experimental spectrum and the calculated one. The peaks with "*", "**", and "***" were assigned to $[Au_2Ni_3(PET)_8]^+$, $[AuNi_7(PET)_{14}]^+$, and $[AuNi_8(PET)_{16}]^+$, respectively. (B) Optical absorption spectra before and after Au ion inclusion into $[Ni_6(PET)_{12}]^0$.

troscopy, and X-ray absorption fine structure analysis (Fig. 2, S2, and S3†).

Screening for metal ion inclusion in Ni₆(PET)₁₂

Metal ion-included $[Ni_6(PET)_{12}]^0$ was synthesized using the method for synthesizing Ag^+ -included $Pt_6(SR)_{12}$ ($AgPt_6(SR)_{12}$; SR = C8 or C12) reported by Konishi *et al.*⁴⁰ and Yamamoto *et al.*⁴¹ with slight modification (Fig. S4†). An acetonitrile solution (2 mL) containing each metal ion precursor (Cr, Mn, Fe, Co, Cu, Zn, Ru, Rh, Ag, Cd, In, or Au ions; 1.0 equiv.) was added to a toluene solution (10 mL) containing $Ni_6(PET)_{12}$ (1.998 mg, 1 µmol) and the resulting solution was stirred for 10 min. The reaction solvent was then removed using a rotary evaporator, and the obtained product was extracted with dichloromethane (DCM). Metal ion-included $Ni_6(PET)_{12}$ was obtained by filtration through a Minisart[®] to remove the insoluble part. The obtained product was evaluated to screen for the metal ion-included $Ni_6(PET)_{12}$.

Synthesis method for $MNi_6(PET)_{12}$ (M = Cu, Ag, and Au)

Tetrahydrofuran (THF) was selected as the reaction solvent due to the high solubility of both the $[Ni_6(PET)_{12}]^0$ and metal salts (CuCl₂, AgCl, and HAuCl₄) in THF. $[Ni_6(PET)_{12}]^0$ (1.998 mg, 1 µmol) was dissolved in THF (10 mL), followed by the

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addition of a THF solution (2 mL) of the metal salts (1 µmol). The mixture was stirred at 60 °C for 30 min. After the reaction, the solvent was removed using a rotary evaporator. The resulting crude product was extracted with DCM, followed by filtration through a Minisart® to remove the insoluble part. The crude product was further purified using TLC (DCM: hexane = 3:4, v/v). The first band from the top of the TLC plate was collected (Fig. S5†) and extracted with DCM to yield $[MNi_6(PET)_{12}]^+$ (Fig. S6†).

Results and discussion

Metal ion inclusion in Ni₆(PET)₁₂

Transition metal ions from groups 6 to 13 (Cr, Mn, Fe, Co, Cu, Zn, Ru, Rh, Ag, Cd, In, and Au) were utilized to investigate the metal ion inclusion in the typical Ni TNC, $[Ni_6(PET)_{12}]^0$. Each metal ion was added to [Ni₆(PET)₁₂]⁰, and changes in the optical absorption spectra and MALDI-MS spectra of the resulting products were examined. As a result, for many kinds of metal ions, the products obtained upon the addition of metal ions exhibited no significant change in spectral shape compared with [Ni₆(PET)₁₂]⁰, whereas significant changes occurred when Cu, Ag, and Au ions were added (Fig. 2 and S7†).

The MALDI-MS spectra of $[Ni_6(PET)_{12}]^0$ and the product (1) obtained after the addition of HAuCl4 and subsequent purification are presented in Fig. 2A (Table S1†). In the MS spectrum of 1, a peak was detected at a mass-to-charge ratio (m/z) of 2195, which differed from that of $[Ni_6(PET)_{12}]^0$. By comparing the isotope pattern of 1 with that of the simulated Au ionincluded Ni₆(PET)₁₂ ([AuNi₆(PET)₁₂]⁺) (Fig. 2A inset), it was found that 1 primarily contains $[AuNi_6(PET)_{12}]^+$ as the main product. In addition, as byproducts, the existence of $[AuNi_7(PET)_{14}]^+$ and $[AuNi_8(PET)_{16}]^+$, in which the Au ion is doped into larger Ni TNCs (Ni₇(PET)₁₄ and Ni₈(PET)₁₆) and [Au₂Ni₃(PET)₈]⁺, a double-crown structure alloy TNC, ⁴⁸ were also observed with small amounts. These results indicate that [Ni₆(PET)₁₂]⁰ has a relatively flexible structure in solution, allowing for the dissociation and polymerization of Ni(PET)2 units during the reaction. The formation of [AuNi₆(PET)₁₂]⁺ was also confirmed when Au(1) was used as a precursor (Fig. S7B†). Unfortunately, it was difficult to isolate [AuNi₆(PET)₁₂]⁺ with high purity for these reasons and thereby obtain a single crystal of [AuNi₆(PET)₁₂]⁺.

The existence of Au and Ni in 1 was also confirmed using inductively coupled plasma-MS. The optical absorption spectrum of [Ni₆(PET)₁₂]⁰ exhibited absorption peaks at around 340 nm and 410 nm (Fig. 2B), but for 1, peaks were observed at around 320 nm and 430 nm, and the shape of the absorption spectrum had changed from that of [Ni₆(PET)₁₂]⁰ (Fig. 2B). We further examined the electronic states of [Ni₆(PET)₁₂]⁰ and 1 using X-ray photoelectron spectroscopy (Fig. S8†). As a result, the peak of the Ni 2p spectrum was shifted to a slightly higher energy region in the spectrum of 1 than that of $[Ni_6(PET)_{12}]^0$, indicating that the charge state of Ni became slightly positive by the inclusion of the Au ion into

 $[Ni_6(PET)_{12}]^0$. In addition, it was found that the electronic state of the included Au was relatively close to that of a metal (Fig. S8†). Density functional theory (DFT) calculations conducted on Ag⁺-included Pt₆(SR)₁₂ ([AgPt₆(SR)₁₂]⁺) have also reported a similar tendency; the electronic state of the included Ag⁺ was relatively close to that of a metal.⁴⁰ These results indicate that the included Au ion coordinates to the Ni₆(PET)₁₂ structure while receiving a partial electron from Ni or S. Fig. S9A† shows the time-dependent change in the optical absorption spectrum of 1 in THF solution at room temperature. The absorption spectrum did not change even after 24 h, indicating that 1 is a stable compound like [Ni₆(PET)₁₂]⁰ (Fig. S9B†). Due to this change in electronic structure, 1 exhibited superior activity for the hydrogen evolution reaction compared to Ni₆(PET)₁₂ (Fig. S10†). The doping properties of other group 11 metal ions (Cu, Ag and Au) in $[Ni_6(PET)_{12}]^0$ were also investigated. Fig. S7† shows the MALDI-MS spectrum of the products obtained by adding CuCl_2 to $[\text{Ni}_6(\text{PET})_{12}]^0$. The peak intensity at m/z = 1998 derived from Ni₆(PET)₁₂ decreases and a new peak attributed to Cu ion-doped Ni₆(PET)₁₂ ([CuNi₆(PET)₁₂]⁺) appeared at m/z = 2061(Table S2†) by the addition of CuCl₂. When AgCl was added to $[Ni_6(PET)_{12}]^0$, the peak intensity of $Ni_6(PET)_{12}$ decreased, and a new peak was detected at m/z = 2105 that was attributed to Ag ion-doped $Ni_6(PET)_{12}$ ([AgNi₆(PET)₁₂]⁺; Fig. S7 and Table S2†). From these results, it can be considered that Cu and Ag ions can also be included in $[Ni_6(PET)_{12}]^0$. However, it was difficult to stably extract these products in high purity.

Thus, it was found that $[Ni_6(PET)_{12}]^0$ selectively includes Au, Ag, and Cu ions among the major metals from group 6 to group 13, and the resulting AuNi₆(PET)₁₂ exhibits higher stability than AgNi₆(PET)₁₂ and CuNi₆(PET)₁₂.

Electronic/geometrical structure of AuNi₆(PET)₁₂

We performed DFT calculations on [Ni₆(PET)₁₂]⁰ and $[AuNi_6(PET)_{12}]^+$ to elucidate the reason why the Au ion can be stably included within $[Ni_6(PET)_{12}]^0$. Fig. 3 shows the geometrical structure of [AuNi₆(PET)₁₂]⁺ obtained through structural optimization using DFT calculations. From the normal vibration analysis, it was found that [AuNi₆(PET)₁₂]⁺ is thermodynamically stable compared with [Ni₆(PET)₁₂]⁰. Similar calculations were also performed for $[Ni_6(PET)_{12}]^0$ and $[AuNi_6(PET)_{12}]^+$ in THF, the actual reaction solvent. The results implied that the inclusion of Au⁺ into [Ni₆(PET)₁₂]⁰ and [AuNi₆(PET)₁₂]⁺ causes a thermodynamical stability of 63.0 kcal mol⁻¹ compared with $Au^+ + [Ni_6(PET)_{12}]^0$. We also performed DFT calculations on Ag and Cu with ion-included Ni₆(PET)₁₂ in THF (Table S3†). These calculations show that Ag⁺ and Cu⁺ are more stabilized by inclusion into Ni₆(PET)₁₂ $(31.1 \text{ and } 44.8 \text{ kcal } \text{mol}^{-1} \text{ for } [\text{AgNi}_6(\text{PET})_{12}]^+ \text{ and }$ [CuNi₆(PET)₁₂]⁺, respectively). Thus, we confirmed that Au is most stabilized. From the Mulliken charge analysis, Ag+ and Cu⁺ tend to remain cationic, but Au⁺ is close to neutral due to the large charge transfer, suggesting that stronger interactions between Au and Ni₆(PET)₁₂. These calculations are consistent with the experimental results.

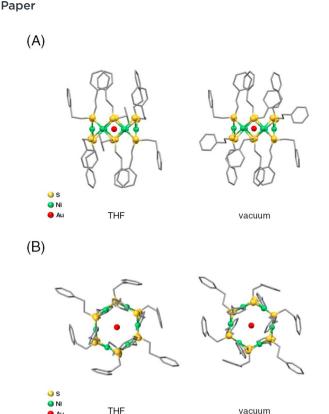


Fig. 3 Optimized structures from (A) the side view and (B) the top view in THF and under vacuum for $[AuNi_6(PET)_{12}]^+$ using DFT calculations.

This stabilization is likely due to the hybridization of the orbitals between the Au ion and the Ni and S atoms in the ring structure. Accordingly, we calculated the electronic structures and optical absorption spectra of $[Ni_6(PET)_{12}]^0$ [AuNi₆(PET)₁₂]⁺ with the optimized structures. The calculated absorption spectrum of [Ni₆(PET)₁₂]⁰ showed several peaks corresponding to charge transfer (CT) transitions between the orbitals mainly consisting of S and Ni atoms (Fig. S11†). The peak at around 340 nm is mainly attributed to ligand-to-metal CT (LMCT) transitions and the peak at around 410 nm is mainly attributed to Ni d-d transitions. On the other hand, in the calculated absorption spectrum of $[AuNi_6(PET)_{12}]^+$ (Fig. 4), the peaks due to d-d transitions specifically localized to Ni and Au were observed at around 340 nm. It was found that specific localization to Au also occurs in the LMCT transition at 420 nm. A new absorption band was also observed at approximately 660 nm, which is mainly attributed to the transition from the orbitals of the Ph group to those of Au 6s (Fig. 4). These new hybrid orbitals caused by the inclusion of the Au ion into $[Ni_6(PET)_{12}]^0$ are considered to influence the interaction between the Ni and S atoms in the original $[Ni_6(PET)_{12}]^0$, thereby causing slight changes in the energy of each orbital. Indeed, previous studies³⁹⁻⁴¹ reported that when $[M(SR)_2]_n$ includes solvent molecules or metal ions, changes occur in the M-S bond distance to maintain their structure. Therefore, it can be considered that the overall structural changes in the absorption spectrum due to the inclusion of

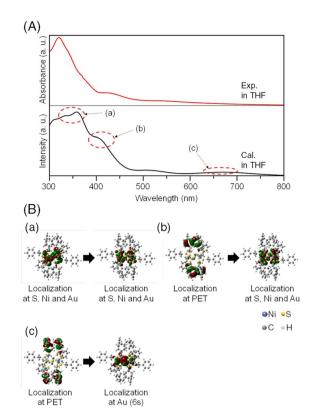


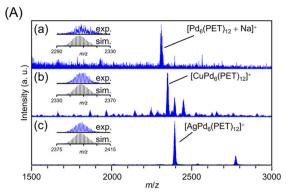
Fig. 4 (A) Experimental (red) and calculated (black) absorption spectra of $[AuNi_6(PET)_{12}]^+$ in THF. (B) (a)–(c) Molecular orbitals of $[AuNi_6(PET)_{12}]^+$ for the main peaks observed in the calculated spectrum in THF in (a)–(c) of (A).

the Au ion are also caused by the change in the bonding properties of the TNC framework. In fact, the Ni-S and Ni-Ni bond distances of [AuNi₆(PET)₁₂]⁺ in THF, which were estimated by DFT calculations, were shorter than those of [Ni₆(PET)₁₂]⁰, and the TNC structure shrank overall due to inclusion (Table S4†). Furthermore, we performed natural bond order analysis (Table S3†). We found that the bond order of Au-Ni is relatively high, while that of Au-S is small for $[AuNi_6(PET)_{12}]^+$. Small bond orders on S are similarly observed for other metal ions from the calculations for [AgNi₆(PET)₁₂]⁺ and $[CuNi_6(PET)_{12}]^+$. This suggests that the interaction with the Ni sites of Ni₆(PET)₁₂ plays an essential role in metal inclusion. From these results, we can consider that for $[Ni_6(PET)_{12}]^0$, the Au ion is suitable for orbital hybridization and inducing stabilization without distorting the TNC structure. Considering the fact that a similar interpretation has also been obtained for [AgPt₆(C12)₁₂]⁺, 40 it can be concluded that in the formation of metal ion-included TNCs composed of group 10 elements as hosts, the main driving force for inclusion is whether the guest group 11 elements can easily form bonding orbitals with the host TNCs.

Metal ion inclusion in $M_6(PET)_{12}$ (M = Ni, Pd, and Pt)

We also investigated whether the hexanuclear TNCs $[M_6(PET)_{12}]^0$ (M = Ni, Pd, and Pt) can include metal ions as

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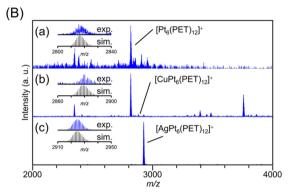


Fig. 5 Positive-ion MALDI-mass spectra (a) before and after adding (b) Cu(i) and (c) Aq(i) salts into (A) $Pd_6(PET)_{12}$ and (B) $Pt_6(PET)_{12}$ solutions. The insets show the comparison of the isotope patterns between the experimental spectrum (blue) and the calculated one (black). Several peaks attributed to byproducts are assigned and summarized in Tables S5 and S6.†

well (Fig. 5, Tables S5 and S6†). The MALDI-MS spectra suggested that for the Pt TNC ([Pt₆(PET)₁₂]⁰) and Pd TNC ([Pd₆(PET)₁₂]⁰), Cu and Ag ions were selectively included, whereas the Au ion was not included (Fig. 5). This result is consistent with the previous studies, 40,41 in which the Ag ion was included in $[Pt_6(SR)_{12}]^0$ (SR = C8 or C12), indicating that the difference in the kinds of SR ligands (PET vs. C8 vs. C12) affects only a little on the selectivity of the included metal ion in $[M_6(SR)_{12}]^0$.

To deepen our understanding of the origin of the selectivity of metal ions, we next investigated the correlation between the ring spaces and the size of the included metal ions. The diagonal metal-to-metal distances are 6.34, 6.24, and 5.85 Å for $[Pt_6(SR)_{12}]^0$, $[Pd_6(SR)_{12}]^0$, and $[Ni_6(SR)_{12}]^0$, respectively.^{33,40,49} From these values and the van der Waals radii⁴⁹ of each metal ion, the pore sizes of $[Pt_6(SR)_{12}]^0$, $[Pd_6(SR)_{12}]^0$, and $[Ni_6(SR)_{12}]^0$ have been estimated to be 2.84, 40 2.98, 33 and 2.59 Å, 21 respectively. Here, the ionic diameters of Cu⁺, Ag⁺, and Au⁺ are 1.92, 2.52, and 2.74 Å, respectively.50 These results indicate that even though the pore of $[Ni_6(SR)_{12}]^0$ is smaller than those of $[Pt_6(SR)_{12}]^0$ and $[Pd_6(SR)_{12}]^0$, the larger size group 11 metal ions, Au ions, were included in $[Ni_6(PET)_{12}]^0$. These results indicate that the match between the size of the pore and the included metal ions is not sufficient to explain the selective

inclusion of metal ions (Fig. S12†). It can be assumed that the ease of inclusion changes depending on the binding energy between the Au ions and the group 10 elements of the TNCs. In fact, previous studies reported^{40,41} that the six Pt-Ag bonds are not of equal length in [AgPt₆(SR)₁₂]⁺, but are biased, indicating that a relatively strong interaction (bonding) occurs between Ag^+ and $[Pt_6(SR)_{12}]^0$. Therefore, it can be presumed that the ease of constructing their bonding orbitals is the main driving force for inclusion, rather than the match between the size of the TNC pore and the metal ion to be included.

Finally, we show the result for the inclusion properties obtained using $[Ni_4(PET)_8]^0$ or $[Ni_5(PET)_{10}]^0$ as a precursor. In this case, only a small amount of Ni₄(PET)₈ or Ni₅(PET)₁₀ doped with group 11 elements was observed in the MALDI-MS spectra (Fig. S13-S15 and Table S7†). These results imply that the TNCs must have pores at least similar to or larger than the size of the group 11 element ions to cause inclusion. In addition, the introduction of Ag or Au ions resulted in peaks corresponding to $[AuNi_n(PET)_{2n}]^+$ (n = 6-8) and $[AgNi_6(PET)_{12}]^+$ in the MALDI-MS spectra (Fig. S13-S15†), despite using highpurity $[Ni_4(PET)_8]^0$ and $[Ni_5(PET)_{10}]^0$ as precursors. This result confirms the above assumption that the dissociation and polymerization of Ni(SR)₂ units in $[Ni_n(SR)_{2n}]^0$ occur relatively easily in solution, leading to the transformation into more thermodynamically stable TNC structures. For relatively small TNCs such as $[M_4(SR)_8]^0$ (M = Ni, Pd, and Pt) and $[Pd_5(SR)_{10}]^0$, there is also a possibility that doping with Au or Ag induces the formation of a double-crown shell structure. 48,51-54 Indeed, in our study, when heterometal ions were introduced into [Ni₄(PET)₈]⁰, weak peaks corresponding to the double-crown shell structure were observed.

Based on the above results, it can be concluded that the primary factors for forming stable metal ion-included TNCs composed of group 10 elements as hosts are: (1) the TNCs have pores similar to or larger than the size of the included metal ions and (2) the formation of bonding orbitals between the included metal ions and the TNCs is promoted.

Conclusions

In this study, we aimed to understand the selectivity of the inclusion of metal ions within a ring structure of TNCs and the factors contributing to this process using SR-protected Ni, Pt, and Pd TNCs as hosts. As a result, we elucidated that [Ni₆(PET)₁₂]⁰ selectively includes Cu, Ag, and Au ions, which are group 11 elements, in solution. Notably, the Au ionincluded Ni₆(PET)₁₂ ([AuNi₆(PET)₁₂]⁺) exhibited high stability. The various experimental and theoretical studies suggested that $[Ni_6(PET)_{12}]^0$ has: (1) pores of sufficient size that can include Au ions and (2) Ni ions that facilitate the formation of bonding orbitals with Au ions. Furthermore, investigation of the ease of inclusion of various ions (Cu⁺, Ag⁺ and Au⁺) into [M₆(SR)₁₂]⁰ (M = Ni, Pd and Pt) suggested that the main driving force for the inclusion was not the compatibility

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between the size of the TNC pore and the metal ion, but the ease of constructing the bonding orbitals. These findings are expected to contribute to a better understanding of host-guest interactions in TNC systems and provide clear design guidelines for the formation of various inclusion complexes.

Author contributions

T. Kawawaki and Y. Negishi designed the experiments and conducted measurements with K. Takemae S. Tomihari. T. Naito, M. Takagi, T. Shimazaki M. Tachikawa performed the DFT calculations. T. Kawawaki, M. Tachikawa and Y. Negishi wrote the paper. All authors approved the final version of the manuscript.

Data availability

All data generated in this study are provided in the manuscript and its ESI.†

Relevant data are available from the corresponding authors (T. Kawawaki, M. Tachikawa and Y. Negishi) upon reasonable request.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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