

Cite this: *Sustainable Energy Fuels*,  
2024, 8, 1048

# Low-grade waste heat recovery for wastewater treatment using clathrate hydrate based technology†

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Effectively recycling low-grade waste heat is crucial for advancing decarbonization and achieving net-zero emissions, yet current methodologies are limited by inefficiencies in extracting energy from sources with low exergy. This study introduces an innovative approach leveraging hydrate formation and dissociation to utilize low-grade waste heat in purifying wastewater. By directly heating (low-grade waste heat) liquid R134a, our method induces bubble formation, thereby enhancing hydrate nucleation and growth. Our system demonstrates exceptional energy efficiencies, reaching up to 23.5%, and exhibits a high removal efficiency for wastewater with high concentrations of organic and heavy metal contaminants, including methylene blue (86.4%), Cr<sup>3+</sup> (98.0%), Ni<sup>2+</sup> (98.3%), Zn<sup>2+</sup> (98.0%), and Cu<sup>2+</sup> (97.1%). This approach not only offers a sustainable pathway for waste heat utilization but also addresses critical challenges in wastewater treatment. This technology demonstrates substantial potential in both low-grade waste heat recovery and wastewater treatment.

Received 6th November 2023  
Accepted 22nd January 2024

DOI: 10.1039/d3se01440a

rsc.li/sustainable-energy

## Introduction

Modern society grapples with significant energy and environmental challenges, largely arising from industrial growth. Industrial development, while driving the global economy, contributes substantially to primary energy consumption and CO<sub>2</sub> emissions.<sup>1,2</sup> Over 130 countries have adopted or are considering ambitious targets, such as net-zero emissions or climate neutrality, to mitigate climate change.<sup>3,4</sup> Approximately 50% of the energy input in industrial operations dissipates as waste heat, contributing to a significant energy waste.<sup>1,5</sup> Notably, low-temperature waste heat (<100 °C), comprising about 156 EJ (roughly 63% of total waste heat), remains largely untapped.<sup>6</sup> Therefore, improvements in energy efficiency and waste heat recovery are crucial for achieving net-zero emissions.<sup>7</sup>

Industrial waste heat is typically classified into high-grade ( $T > 673$  K), medium-grade ( $373$  K  $< T < 673$  K), and low-grade ( $T < 373$  K) categories.<sup>8,9</sup> Low-grade heat constitutes over 50% of the total dissipated heat resources.<sup>10</sup> Established technologies like heat pumps,<sup>11</sup> steam turbines,<sup>12</sup> and organic rankine cycles (ORCs)<sup>13</sup> can effectively harness high-grade and medium-grade waste heat due to their elevated temperatures. Conversely, low-grade waste heat recovery poses significant challenges owing to its proximity to ambient temperature.<sup>8</sup> While adsorption chillers for refrigeration have been employed to utilize low-grade waste heat above 333 K,<sup>14–17</sup> their adoption is limited by low efficiency and large physical footprint. Novel technologies such as thermoelectric materials,<sup>8,18,19</sup> chemical conversion approaches,<sup>20</sup> and thermally regenerative electrochemical cycles<sup>21</sup> have emerged as potential solutions for converting low-grade waste heat into power. In recent years, membrane distillation (MD), which uses low-grade heat energy for desalination or wastewater treatment as a sustainable alternative to fossil fuels, has gained increasing attention. This interest aligns with sustainable energy policies.<sup>22,23</sup> The MD method has demonstrated promising results, achieving water recovery rates of up to 92.8% in treating ion exchange regeneration effluent from power stations.<sup>24</sup> Despite these advancements, challenges such as membrane fouling and scaling, which can decrease flux and compromise water quality, persist.<sup>24,25</sup> While these technologies mark significant advancements in using low-grade waste heat for energy recovery, their energy efficiency and cost-effectiveness require further enhancement.<sup>26,27</sup> However, when compared to these emerging methods, traditional approaches

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3se01440a>



like organic rankine cycles (operating at 373 K–393 K) and thermally regenerative electrochemical cycles, though relatively mature, show markedly lower efficiency. These established systems are capable of converting only 2–9% of waste heat into power.<sup>28</sup> This disparity highlights the urgent need for innovative technologies that can achieve higher recovery efficiencies, improved economic performance, and enhanced practical feasibility. Clathrate hydrates are crystalline compounds with an ice-like solid structure formed by capturing guest molecules (such as CH<sub>4</sub>, CO<sub>2</sub>, and C<sub>3</sub>H<sub>8</sub>) within a cage-like framework of hydrogen-bonded water molecules, typically under conditions of high pressure or low temperature.<sup>29,30</sup> Pure hydrates consist solely of water and guest molecules, offering unique structural characteristics.<sup>31</sup> This characteristic has made hydrate-based desalination a promising method, where treated water is derived through hydrate formation, separation, and dissociation.<sup>32,33</sup> Previous studies have reported desalination removal efficiencies of over 80%, with freshwater recovery rates exceeding 30%.<sup>34</sup> Despite extensive research on hydrate-based methods for desalination, limited literature has focused on wastewater treatment. Our preliminary experiments in organic wastewater treatment using hydrates have achieved removal efficiencies above 90%,<sup>35,36</sup> yet the broader application of hydrates faces challenges due to slow formation rates. This sluggishness is largely ascribed to the random nature of crystal nucleation and constraints in heat and mass transfer. While strategies like the use of kinetic promoters or new guest molecules have shown promise in accelerating hydrate formation,<sup>33</sup> some approaches may not be feasible for water treatment. Despite these challenges, the distinctive attributes of hydrates have captured significant attention in fields such as desalination,<sup>32</sup> gas storage,<sup>30</sup> cold storage,<sup>37</sup> gas separation,<sup>38</sup> sludge dewatering<sup>39</sup> and CO<sub>2</sub> capture,<sup>31</sup> aligning with the goal of net-zero emissions.<sup>31</sup> Thus, overcoming the challenge of slow hydrate formation, a key obstacle stemming from unpredictable nucleation and heat and mass transfer inefficiencies, is essential for the practical deployment of hydrate-based technologies.

Crystal nucleation is an intricate stochastic process driven by density and energy fluctuations, involving the direct assembly of monomers across surface and volume free-energy barriers.<sup>40</sup> Under static conditions, the presence of nucleation barriers and critical nuclei presents formidable challenges for crystal formation. However, physical perturbations, such as stirring and shear flows, can promote nucleation and significantly reduce the induction time.<sup>30–32</sup> Crystal growth, an amalgamation of matter and energy transfer, is influenced by various factors. Boiling, renowned for its strong convective heat transfer properties, stands out as a formidable source of disturbance.<sup>41</sup> During the boiling process, the rapid formation of voluminous bubbles culminates in their ascent to the free surface, where they rupture, releasing their vapor content and yielding maximal heat flux. The dynamic interplay of bubble generation, movement, and collapse serves to augment mass and heat transfer processes.<sup>42</sup>

In this study, we present a groundbreaking approach for harnessing extra low-grade waste heat below 343 K to facilitate the production of clean water from industrial wastewater,

leveraging the power of hydrate technology. The utilization of extra low-grade waste heat induces the ebullition of liquid guest molecules, thereby promoting hydrate nucleation and formation through enhanced mass and heat transfer phenomena.

The mechanism of enhanced hydrate formation, the system energy efficiency, and the removal efficiency for high concentrations of wastewater were analyzed. These remarkable findings underscore the immense potential of this innovative technology in various domains, including low-grade waste heat recovery, low-temperature CO<sub>2</sub> capture, seawater desalination, and sewage treatment.

## Materials and methods

### Materials and apparatus

The simulated wastewater was prepared by adding a certain amount of methylene blue, Hexahydrate chromium trichloride (CrCl<sub>3</sub>·6H<sub>2</sub>O, 99.0%), nickel sulfate hexahydrate (NiSO<sub>4</sub>·6H<sub>2</sub>O, 98.5%), zinc sulfate heptahydrate (ZnSO<sub>4</sub>·7H<sub>2</sub>O, 99.5%), and copper sulfate pentahydrate (CuSO<sub>4</sub>·5H<sub>2</sub>O, 99.0%) to deionized water. More detailed information can be found in the ESI.† Deionized water (dw) was sourced from an in-lab water purification system (Aquapro2S, Aquapro International Company LLC, USA). Hydrate formation was facilitated within a high-pressure chamber possessing an internal volume of 7710 mL. The source of waste heat was substituted with a consistent heat source provided by a pair of 200 W-rated electric heaters, designed to accurately simulate diverse temperature heat resources. Chamber temperature control was enabled through a water bath (XT5718RCE800L, Xutemp, Hangzhou, Co., Ltd). The course of the experiment was diligently documented using a digital camera (EOS 6D, Canon Company, lens model EF24-105 mm f/4L IS USM, Japan). Additional detailed information is available in the ESI.†

### Experimental setup and procedures

Fig. 1 illustrates that our system comprises two primary components: a wastewater treatment system for producing clean water, and a heat system for harnessing low-grade waste heat and facilitating hydrate formation. R134a, recognized for its superior boiling and condensation properties and extensive use in refrigeration,<sup>43</sup> serves as the guest molecule in our study. It was chosen for hydrate formation in wastewater due to its favorable formation conditions and cost-effectiveness.<sup>35,36</sup> The process converts water molecules into solid hydrate with R134a molecules. Notably, pure hydrates consist solely of water and guest molecules,<sup>29–31</sup> which enables the production of clean water following separation and hydrate dissociation. The treated water and R134a can be collected and reused, promoting a closed-loop system. For more in-depth details on hydrate-based wastewater treatment, refer to our previous publications and the provided ESI.†<sup>35</sup>

The second component of our system is the heating mechanism located at the bottom of the chamber, as depicted in Fig. 1b. The passage of hot water or steam through the base heats the underlying surface. This heat is then conducted to the liquid R134a in contact with this surface, triggering its boiling. Due to the buoyancy effect, bubbles ascend through the liquid



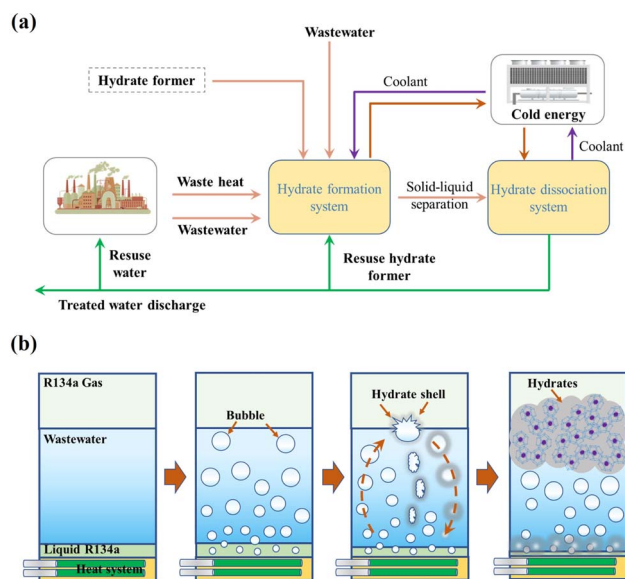


Fig. 1 (a) Industrial flowsheet using hydrate-based technology for low-grade waste heat recovery and water reclamation from wastewater. Stepwise wastewater treatment procedure: hydrate formation, solid–liquid separation, hydrate dissociation; (b) schematic diagram illustrating hydrate formation via low-grade waste heat: the waste heat is directly applied to heat the liquid hydrate former, triggering bubble generation. The energy disturbance, resultant from bubble collapse, can facilitate crystal embryos to overcome the crystal-nucleation barrier and the critical nucleus barrier, thereby accelerating hydrate formation.

region. During this ascent, they encounter colder liquid layers, resulting in a gradual decrease in volume, sometimes to the point of rupture. Simultaneously, hydrate shells rapidly encapsulate these bubbles. These shells possess a fragile and porous structure, composed of overlapping flakes of gas hydrate.

A volume of 4000 mL of simulated wastewater was introduced into the chamber, followed by the injection of R134a gas at 0.5 MPa and a temperature of 275 K. Subsequently, the electric heaters were engaged to elevate the temperature of the liquid R134a until the bottom layer approached 281 K. At this point, the electric heaters were deactivated. Following the formation of the hydrate, a liquid–solid separation procedure was employed to isolate pure hydrate. The final step involved the dissociation of pure hydrate into water and R134a.

### Measurements and analysis

The absorption spectra of methylene blue in the wastewater were documented employing a UV-Vis-NIR spectrophotometer (Lambda750S, USA). The concentrations of various heavy metals present in the wastewater were quantified using an inductively coupled plasma optical spectrometer (ICP, AVIO 500, PerkinElmer, USA).

### Calculations

Comprehensive insights into the efficiency of wastewater treatment and energy utilization can be found as follows.

The thermal energy required for heating wastewater and R134a is calculated using eqn (1) and (2):

$$Q_w = \int_{T_1}^{T_2} m_w C_w dT \quad (1)$$

$$Q_R = \int_{T_1}^{T_2} m_{R134a} C_{R134a} dT \quad (2)$$

where  $Q_w$  and  $Q_R$  represent the energy absorbed for heating wastewater and R134a, respectively.  $C_w$  and  $C_{R134a}$  denote the specific heat capacities of wastewater and R134a, while  $m_w$  and  $m_{R134a}$  are their masses.  $T_1$  and  $T_2$  indicate the initial and final temperatures after heating cessation. The energy consumption for hydrate formation is then derived using eqn (3) and (4):

$$Q_{\text{absorb}} = Q_w + Q_R + Q_{R134a} \quad (3)$$

$$Q_{\text{resource}} = \int_{t_1}^{t_2} q dt \quad (4)$$

In these equations,  $Q_{\text{absorb}}$  is the total absorbed energy and  $Q_{R134a}$  represents the latent heat of vaporization of R134a. The integral  $\int_{t_1}^{t_2} q dt$  accounts for the total heat supplied by the heat source from time  $t_1$  to  $t_2$ . It is assumed that the conversion rate of hydrate is 80%.<sup>44,45</sup>

Thus, the thermodynamic energy efficiency of low-grade waste heat harvesting could be expressed as:

$$\eta_E = \frac{Q_{\text{absorb}}}{Q_{\text{resource}}} \quad (5)$$

## Results and discussion

Boiling heat transfer stands out as a potent mechanism for extracting substantial thermal energy from a surface, primarily due to its high heat transfer coefficient. A significant quantity of thermal energy is transferred directly to the liquid water through thermal convection induced by bubble boiling.<sup>41,42</sup> Previous research has established that bubble formation plays a critical role in enhancing the nucleation and growth of gas hydrates.<sup>46,47</sup> In this study, the low-grade waste heat was used to generate gas bubbles to promote hydrate formation, as shown in Fig. 2. Three thermocouples were inserted at different locations in the chamber to monitor the temperature trajectories. A pressure transducer was attached to the top of the chamber to monitor the pressure changes during hydrate formation.

Fig. 2a shows the temperature and pressure conditions during the experimental procedure. During gas injection from the top of the chamber, the temperature at the top and middle positions increased due to the Joule-Thomson effect. The temperature at the bottom position remained steady. With the pressure increase during gas injection, gaseous R134a gradually liquefied and accumulated at the bottom of the reactor due to the density difference between liquid water and R134a, as shown in Fig. 2b. After gas injection, the temperature at the top and middle positions decreased. Upon the initiation of heating,



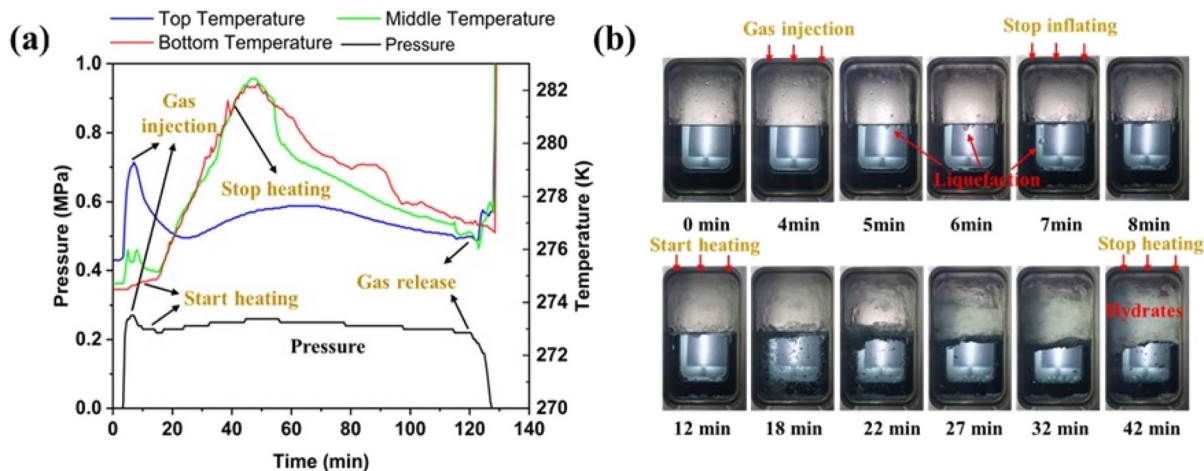


Fig. 2 Enhanced hydrate formation utilizing low-grade waste heat. (a) Graph depicting the temperature and pressure trends throughout the hydrate formation process. (b) Sequential photographic representation showing bubble dynamics and the morphology of hydrate formation. Post-heating, a profusion of bubbles forms, rises, and bursts within the fluid.

the temperature at the chamber's bottom rose from 274.8 K to 281.7 K. As the temperature rose, a large amount of liquid R134a vaporized and began to produce bubbles, as shown in Fig. 2b. This period coincided with the formation of numerous bubbles. These bubbles ascended swiftly, bursting upon reaching the liquid–gas interface, and were promptly succeeded by hydrate formation. The temperature in the middle position of the chamber also increased to nearly 282 K due to the boiling heat transfer. Massive amounts of hydrates formed during the heating period. Notably, R134a begins to dissociate if the temperature exceeds 283 K at 0.38 MPa (absolute pressure).<sup>35</sup> Therefore, the maximum temperature was maintained below 283 K. Subsequently, the heating stopped, resulting in a decrease in temperature at the bottom and middle. Finally, the bubbles produced by boiling heat transfer and the hydrate formation rate also decreased.

The hydrate formation rate is limited by mechanisms of intrinsic kinetics and mass and heat transfer. For hydrophobic

gas guest molecules with relatively low solubility in water, a sufficient concentration in water is required to form hydrates. During hydrate formation, the gas concentration in water can be hundreds of times its solubility. Previous literature proved that the presence of bubbles in hydrate-dissociated water can provide massive amounts of gas molecules for hydrate formation.<sup>46,47</sup> On the other hand, it is difficult for hydrate nucleation to occur in a static state. Under gas-saturated or water-saturated conditions, the formed hydrate will cover the gas–water interface, hindering mass transfer and further hydrate formation, as shown in Fig. 3a and b. Thus, some methods such as mechanical stirring, bubbling, or pressure oscillation can promote hydrate nucleation and reduce induction time.

In this study, low-grade waste heat was used to generate gas bubbles to promote hydrate formation. Continuous bubbles were generated by boiling from the bottom of the chamber. The bubbles would break up once they rose to the gas–liquid interface at the top of the cell. The breakage frequency of

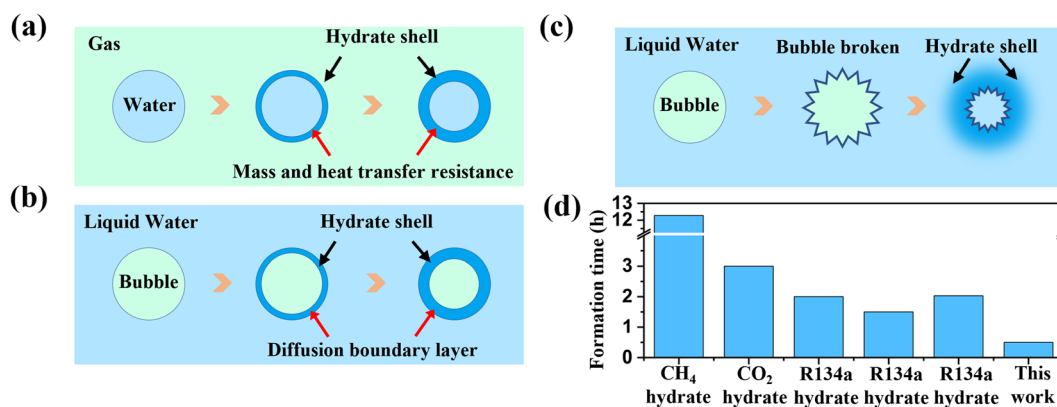


Fig. 3 The schematic diagram of hydrate formation under different conditions; (a–c) Schematic diagrams representing the mass and heat transfer barriers under varying formation conditions; the development of a hydrate shell in conditions (a) and (b) inhibits heat and mass transfer, while bubble rupture in condition (c) overcomes mass transfer resistances, augmenting the diffusion contact area. (d) A comparative analysis of formation times across different hydrate formers and methods.





bubbles at the gas/liquid interface increased with the heat flux, increasing the turbulence in the chamber. Bubble rupture, akin to an explosion, released vast amounts of energy and substances into the liquid water. The energy disturbance caused by this bubble collapse helped crystal embryos surpass the crystal-nucleation and critical nucleus barrier,<sup>48–50</sup> thereby reducing the induction time for hydrate crystals. In addition, bubble rupture disseminated more R134a molecules into the water, enhancing the contact area between R134a and water molecules. This increased mass and heat transfer rate expedited hydrate formation, enabling this method to surmount the mass and heat transfer barrier, as depicted in Fig. 3c–e. As a result, the hydrate formation time was slashed to 30 minutes, just a quarter of the time taken by traditional methods.<sup>51–55</sup>

Subsequent to hydrate formation, a variety of separation methods were employed to isolate the solid hydrate from the liquid. Clean water was obtained through hydrate dissociation. Fig. 4 shows the removal efficiency achieved through different separation methods. The maximum removal efficiency exceeded 90% for heavy metal wastewater. For organic or mixed organic-heavy metal wastewaters, the removal efficiency was analogous, demonstrating the extensive applicability of the hydrate method for diverse wastewater types. The dissociated water could be reclaimed and reused. After several cycles of hydrate formation and decomposition, it was even possible to obtain pure water. For more detailed information on wastewater treatment based on hydrates, please refer to our previous work.<sup>35</sup>

Energy utilization efficiency represents a pivotal metric in assessing low-grade waste heat utilization technologies. Despite persistent advancements, energy efficiency remains constrained due to thermodynamic limitations, primarily arising when the

heat source's temperature approximates ambient conditions.<sup>3,5</sup> Conventional methodologies result in a substantial loss of exergy.<sup>6</sup> In this study, we introduce an equation (eqn (6)) to evaluate the thermodynamic energy efficiency of low-grade waste heat harvesting, founded on the hydrate-based method. A more comprehensive breakdown of the calculation procedure can be found in the ESI.†

$$\eta_E = \frac{Q_{\text{absorb}}}{Q_{\text{resource}}} = \frac{\int_{T_1}^{T_2} C_{R134a} dT + \int_{T_1}^{T_2} C_w dT + Q_{R134a}}{\int_{t_1}^{t_2} q dt} \quad (6)$$

where  $\int_{T_1}^{T_2} C_{R134a} dT$  and  $\int_{T_1}^{T_2} C_w dT$  are the sensible heat of R134a and water liquid absorbed by the temperature rise from temperature  $T_1$  to  $T_2$ .  $Q_{R134a}$  is the latent heat of vaporization of R134a.  $\int_{t_1}^{t_2} q dt$  is the total heat provided by the heat source from time  $t_1$  to  $t_2$ .

For a comprehensive comparison of our approach with alternative methods, we present the total energy efficiency in Fig. 5. The organic rankine cycle (ORC), a traditional thermal-electricity conversion method, has been widely used for half a century. It utilizes low-grade heat to heat low-boiling-point organic working fluids to produce steam, subsequently generating electricity in a turbine. Due to condensing temperature and pressure requirements, typically less than 10% of the heat is converted to electricity. The conventional ORC system demonstrates maturity and superior performance compared to other methods when waste heat temperatures exceed 573 K. However, approximately 90% of low-grade waste heat exists below 573 K.<sup>56</sup> This is uneconomical for waste-heat recovery using the traditional Rankine cycle. Hence, researchers are still exploring other alternative technologies.

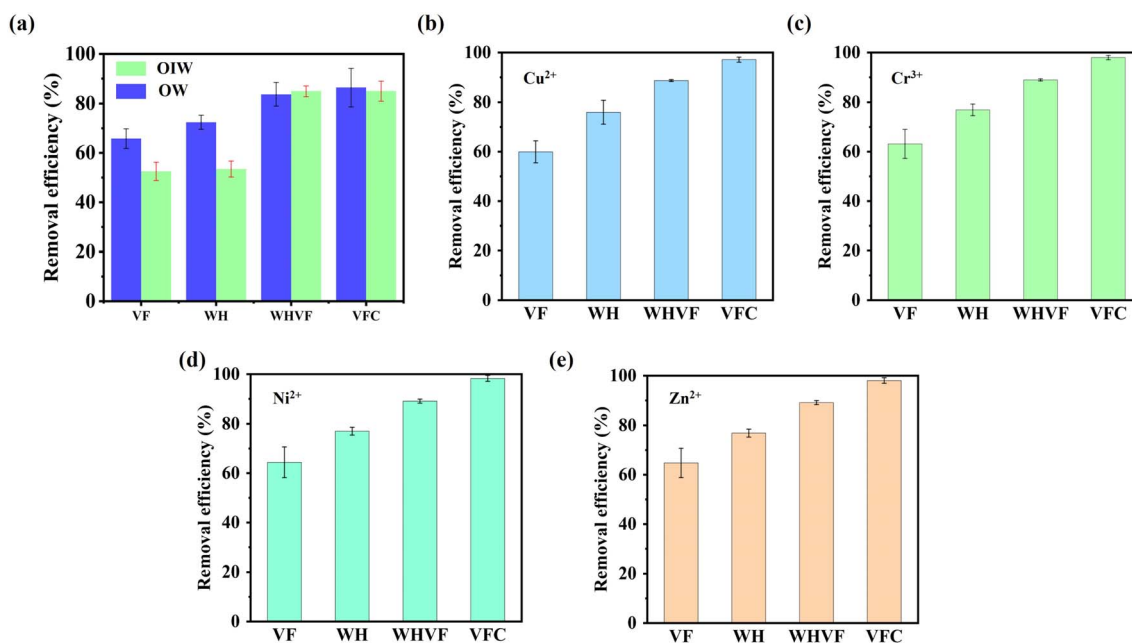


Fig. 4 Efficiency of pollutant removal across varying wastewater types: (a) efficiency in the removal of methylene blue from simulated organic wastewater (OW) and organic-inorganic wastewater (OIW); (b–e) efficiency in the removal of  $\text{Cu}^{2+}$ ,  $\text{Cr}^{3+}$ ,  $\text{Ni}^{2+}$ , and  $\text{Zn}^{2+}$  from simulated organic-inorganic wastewater.



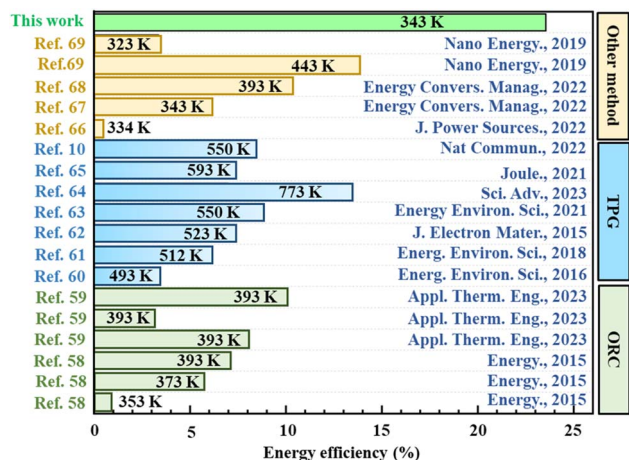


Fig. 5 Comparison of the energy efficiency of this study and literature results.

In recent years, as a clean and silent energy conversion technology, thermoelectric materials have shown the capability to directly convert heat into electricity, making them attractive for recycling low-grade waste heat and power generation. Thermoelectric energy conversion exploits the Seebeck effect to convert thermal energy into electricity. While many thermoelectric materials have been developed, they are primarily at a laboratory level. Other emerging methods, such as carbon capture with a waste heat utilization system, thermally regenerative ammonia batteries, or reverse electrodialysis heat engines, are also dedicated to industrial waste heat utilization fields. Unfortunately, the energy recovery efficiencies of these methods remain below 10% when operating below 373 K.<sup>57</sup>

In this study, low-grade waste heat was employed to directly heat liquid R134a until it reached its boiling point. The low boiling point of R134a allows utilization of low-grade waste heat even below 373 K. Moreover, the boiling process constitutes a direct heat-exchange process, thereby minimizing heat transfer losses. At a hot-side temperature of 373 K, the energy efficiency reaches 23.5%, surpassing the efficiencies achieved by other methods.<sup>10,58–69</sup> If the share of the actual projected angle of the total heat flow from the heating rod to the bottom of the reactor is considered, the theoretical energy efficiency should be 51.4%. By directly heating R134a using low-grade waste heat, without the need for an intermediate circuit, this method sidesteps the heat transfer constraints associated with ambient temperature and minimizes heat loss. Moreover, the approach does not necessitate intricate equipment. Given the affordability of R134a, this method holds significant commercial potential for harnessing low-grade heat energy, whilst concurrently facilitating the production of clean water from industrial wastewater.

## Conclusions

In this study, we have presented innovative strategies for harnessing low-grade waste heat through a hydrate-based method. This approach allows the direct use of low-grade waste heat to

form hydrates in heavy metal–organic wastewater, with clean water subsequently obtained through hydrate dissociation. Notably, system energy efficiencies can reach up to 23.5%. The treatment of each ton of wastewater absorbs 42 365 kJ of waste heat, equivalent to reducing carbon dioxide emissions by 39 031 tons. Importantly, full implementation of this method can be accomplished using existing equipment, eliminating the need for new complex machinery or advanced materials. Through this work, we highlight the immense potential of the hydrate-based method in waste heat recovery applications, all the while effectively addressing wastewater treatment.

## Author contributions

L. J. Sun performed the experiments and wrote the manuscript. Dr A. Hassanpouryouzband helped revised the manuscript. T. Wang, F. Wang and Chuanxiao Chen assisted with the experiments. A. Hassanpouryouzband and L. X. Zhang helped revise the manuscript. J. F. Zhao and Y. C. Song planned, supervised, and led the project.

## Conflicts of interest

The authors declare no competing financial interest.

## Acknowledgements

This study was supported by the National Natural Science Foundation of China (grant no. 52025066, 52020105007, 52006024). Lingjie Sun acknowledges the support of the state scholarship fund from the China Scholarship Council (no. 202206060072). This project received partial support from UKCCS through the CRYSTAL-CCS project funding.

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