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## Package delivered: folate receptor-mediated transporters in cancer therapy and diagnosis

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Neoplasias pose a significant threat to aging society, underscoring the urgent need to overcome the limitations of traditional chemotherapy through pioneering strategies. Targeted drug delivery is an evolving frontier in cancer therapy, aiming to enhance treatment efficacy while mitigating undesirable side effects. One promising avenue utilizes cell membrane receptors like the folate receptor to guide drug transporters precisely to malignant cells. Based on the cellular folate receptor as a cancer cell hallmark, targeted nanocarriers and small molecule–drug conjugates have been developed that comprise different (bio) chemistries and/or mechanical properties with individual advantages and challenges. Such modern folic acid-conjugated stimuli-responsive drug transporters provide systemic drug delivery and controlled release, enabling reduced dosages, circumvention of drug resistance, and diminished adverse effects. Since the drug transporters' structure-based *de novo* design is increasingly relevant for precision cancer remediation and diagnosis, this review seeks to collect and debate the recent approaches to deliver therapeutics or diagnostics based on folic acid conjugated *Trojan Horses* and to facilitate the understanding of the relevant chemistry and biochemical pathways. Focusing exemplarily on brain and breast cancer, recent advances spanning 2017 to 2023 in conjugated

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nanocarriers and small molecule drug conjugates were considered, evaluating the chemical and biological aspects in order to improve accessibility to the field and to bridge chemical and biomedical points of view ultimately guiding future research in FR-targeted cancer therapy and diagnosis.

# 1 Introduction

## 1.1 Cancer therapy – state of the art

Global cancer statistics estimated the incidence and mortality for 36 cancers in 185 countries with 19.3 million new cancer cases and almost 10 million cancer deaths in 2020.<sup>1</sup> Breast cancer was diagnosed in 2.3 million patients (11.7%), while the share of brain cancer was only 0.3 million cases (1.6%) due to treatment-associated complications of glioblastoma brain tumours. Europe, with 9.7% of the global population, accounts for 22.8% of all cancer cases and 19.6% of cancer's death toll. Despite the massive effort put into cancer prevention and the advanced approaches developed to tackle cancer in the past

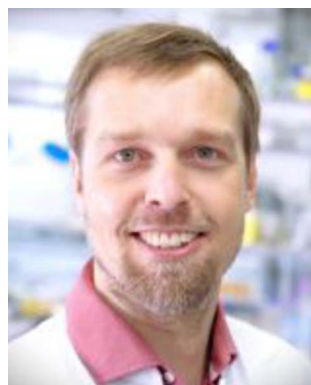
decade,<sup>2</sup> new methodologies and seminal breakthroughs in cancer therapeutics are desired to cut these numbers. Hope is put in implementing nanotechnology tools, combined with artificial intelligence, to boost structural-based drug transporter design to pave the way for effective and selective cancer therapy.<sup>3</sup> Among these approaches, nanocarriers (NCs) have gained a major role. These are nano-transporter systems of one to 500 nm in size utilized as transport modules for drugs. NCs were designed not only to modulate the drug's pharmacokinetics and pharmacodynamics compared to the administration of free drugs but also to increase safety and efficiency by limiting undesired side effects.<sup>4</sup> Accordingly, NCs have been designed with high encapsulation capacities, tailored surface chemistry, and clever concepts to conjugate the therapeutic/diagnostic agents.<sup>5</sup> Size, shape, and surface characteristics determine the drug delivery efficiency, drug's half-life, and drug cytotoxicity (Fig. 1). In parallel, small molecule–drug conjugates (SMDCs), releasing a potent cytotoxic agent when reaching a destination – e.g., the tumour microenvironment, decreasing the off-target toxicity – have been developed. Here, a small molecule acts as a targeting structure to direct the conjugate, replacing the antibody in the otherwise similar concept of antibody–drug conjugate but without its immunogenic nature.<sup>6</sup> NCs and SMDCs are applied to develop passive or active targeting systems to deliver therapeutics to cancer cells.<sup>2g,4a</sup> The concept of drug delivery *via* passive targeting was initially utilized, e.g., by taking advantage of the more leaky vasculature of some tumours rendering it more permeable for macromolecules than in healthy tissues. This universal pathophysiological phenomenon allows macromolecular compounds or particles such as albumin or polymer-conjugated drugs beyond certain sizes



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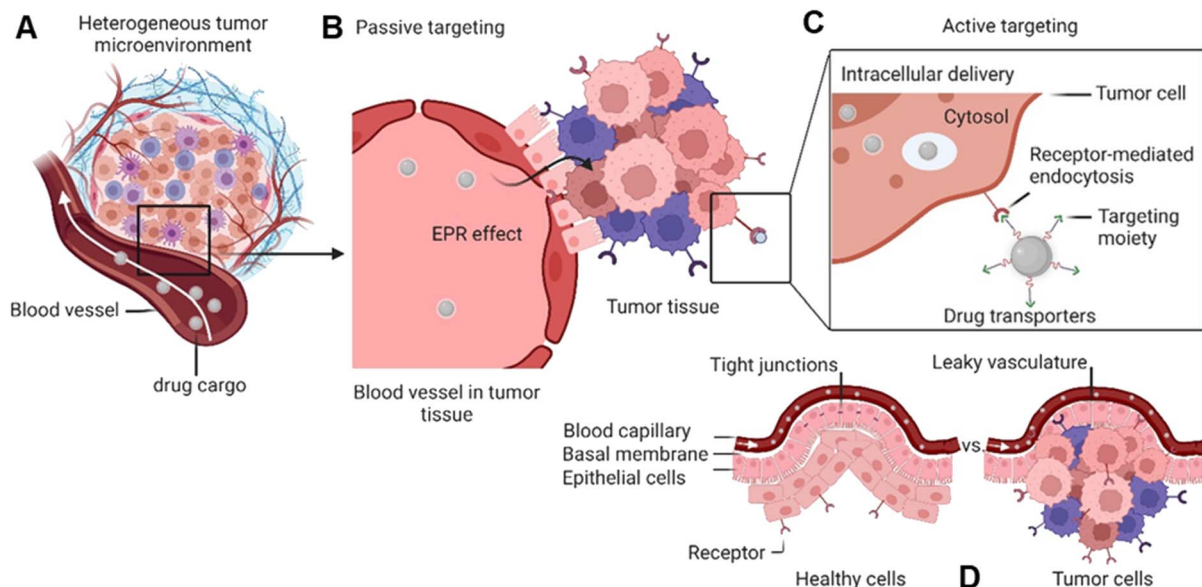


Fig. 1 Passive and active targeting systems for delivery of therapeutics into cancer cells. (A) Heterogeneous tumour microenvironment. (B) Passive targeting through the EPR effect for accumulating NCs inside the tumour. (C) Drug transporter internalization into the cytosol via receptor-mediated endocytosis. (D) Blood capillary system of healthy cells vs. cancer cells.

(above 40 kDa) to accumulate and be retained in the tumour tissue. It was coined as the enhanced permeability and retention effect (EPR, see Fig. 1). However, the EPR effect is not universal due to differences in the tumour microenvironment such as degree of vascularization, lymphatic vasculature, immune systems activity, and angiogenesis patterns.<sup>7</sup> As a result, not all tumours may exhibit a substantial EPR effect, limiting the applicability of drug delivery systems relying on this effect. Besides, the lack of cellular specificity of drug transporters in cancer cells impedes drug accumulation and efficiency, consequently leading to drug resistance.<sup>8</sup> Meta-analysis studies by Chan *et al.*<sup>9</sup> and Lin *et al.*<sup>10</sup> have indeed shown that the median delivery efficiencies were only 0.7% of administered drug transporters dose accumulated in high EPR xenografted tumours, which is due to endothelial barriers, endosomal escape, and clearance from the blood via the kidney and liver.<sup>4a,11</sup> This highlights the challenges associated with narrow drug accumulation in tumours and confirm the need for more innovative drug delivery strategies to enhance drug delivery to tumours. Hence, active targeting strategies have been developed based on medical, chemical, and structural considerations, revolutionizing medicinal chemistry and grossly enhancing selectivity (Fig. 1).

Targeted drug transporters facilitate selective delivery to primary cancer sites and metastasis lesions, particularly in cases involving tumours with poor EPR effect.<sup>4a</sup> Targeting drug delivery utilizing dedicated plasma membrane receptors (Fig. 1) is considered to increase cellular uptake and enhance the cytotoxicity of its cargo.<sup>12</sup> Several targeted-based strategies, *i.e.*, receptor-mediated transporters, monoclonal antibodies, carbohydrate-binding proteins (lectins) for cell-surface recognition, and targeting vaccine delivery, have been utilized to modulate targeted drug delivery.<sup>13</sup> The most effective targeted

delivery systems to accumulate cytotoxic agents rely upon cell surface proteins that tend to be overexpressed in malignant tissues, such as folate receptors,<sup>14</sup> glucose transporters,<sup>15</sup> epidermal and hepatocyte growth factor receptors,<sup>16</sup> transferrin,<sup>17</sup> prostate-specific membrane antigen,<sup>18</sup> angiopep-2,<sup>19</sup> and asialoglycoprotein receptors.<sup>20</sup> The FR $\alpha$  expression in metastatic triple-negative breast cancer (TNBC) patients is significantly higher than in early-stage patients.<sup>21</sup>

On the other hand, the blood–brain barrier and brain–tumour barrier restrict drug delivery into the brain, resulting in poor diagnosis and treatment.<sup>22</sup> Transportation of NCs and SMDCs via folate receptor-mediated strategy improves the drug accumulation on tumour site. Apart from that, drug transporters can deliver specific drugs to inhibit the efflux transporters like P-glycoprotein and mediate multidrug resistance in brain tumour treatment.<sup>23</sup>

Accordingly, promising to overcome the passive targeting limitations, innovative folic acid-conjugated drug transporter systems have been given significant attention in recent years. Most of our understanding of FR-targeted drug transporters is based on *in vitro* and *in vivo* models using carcinoma cell lines and mouse xenografts (Fig. 2). Hence, the translation into clinical models is needed to explore the full potential of SMDCs and NCs in human or humanized model systems. Hence, the intrinsic relationship between the drug transporter's chemistry and biology might regulate the boundary that needs further justifications to address these knowledge gaps.

To this end, the present review attempts to collect, sort, and consider the available evidence of drug transporter chemistry and related physical properties, as well as its delivery and release mechanisms over the past five years. A wealth of original contributions has been published in this considered time frame. In order to keep the review and the number of citations





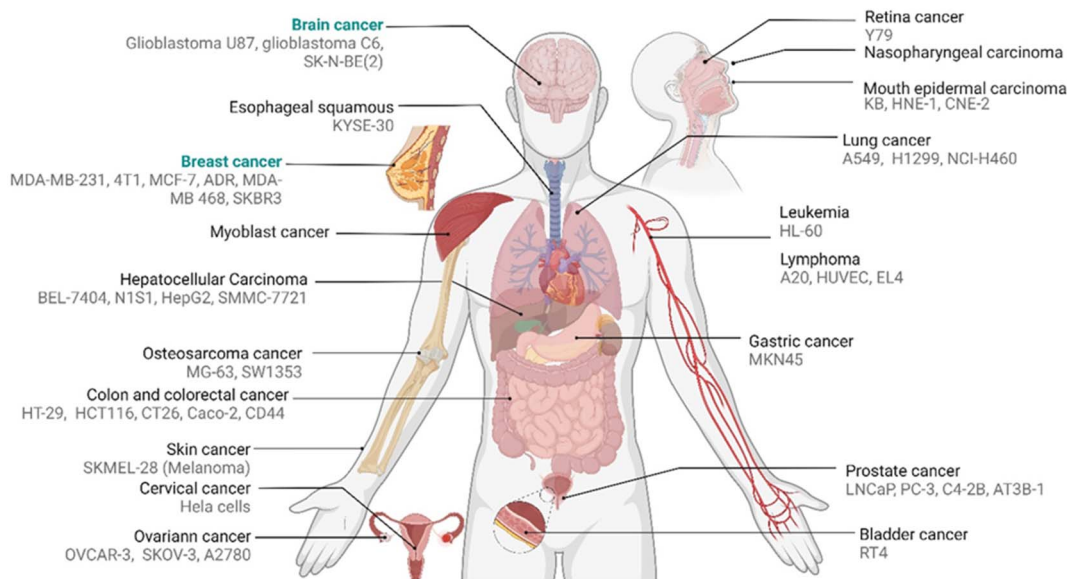


Fig. 2 Reported pertinent carcinoma cell lines corresponding to various FA-conjugated NCs and SMDCs for *in vitro* evaluation of distinct tumours.

in a manageable scale, we selected based on the comprehensiveness of the material characterization, data reliability as far as it could be judged from the publication, and on originality and chemical aspects of the approach. We will focus on brain and breast cancers since both malignancies have different biological backgrounds and physiological barriers impeding access (*e.g.*, blood–brain barrier). A further major aspect is shedding light on the relation the chemical modification of drug transporters into their biological aspect to the outlook of forthcoming directions in targeted cancer therapy and diagnosis. Apart from chemical interpretation, we discuss pathophysiological and pre-clinical challenges and barriers toward an effective and safe translation into clinical application.

## 1.2 Drug transporters

The concept of targeted drug delivery has been around for two centuries, and active targeting remains a fascinating approach

for scientists to design multi-functionalized therapeutics.<sup>24</sup> Despite the rapidly growing domain of small molecule–drug conjugates (SMDCs), only Lutathera (<sup>177</sup>Lu-DOTATATE) targeting peptide receptor is approved for gastroenteropancreatic neuroendocrine tumours.<sup>6a,25</sup> In addition, the folate receptor targeted SMDCs, such as vintafolide (folatedesacetylvinblastine hydrazide), OTL-38 (Pte-Tyr-NIR-dye), EC17 (folate–fluorescein isothiocyanate), etarfolatide (folate-<sup>99m</sup>Tc), *etc.* are in the clinical trial.<sup>6a,26</sup> On the other hand, various types of folic acid (FA)-conjugated NCs utilized for targeted drug delivery have been developed and are schematically illustrated in Fig. 3A. To this end, the percentage of reported FA-conjugated NCs and SMDCs constructed for cancer diagnosis and therapy over the past years underlines their importance (Fig. 3B). The current landscape of Food and Drug Administration (FDA)-approved and currently in clinical phases tested drug transporters have been reviewed (Corrie *et al.*,<sup>27</sup> and Anselmo and Mitragotri *et al.*<sup>28</sup>). Liposomes,

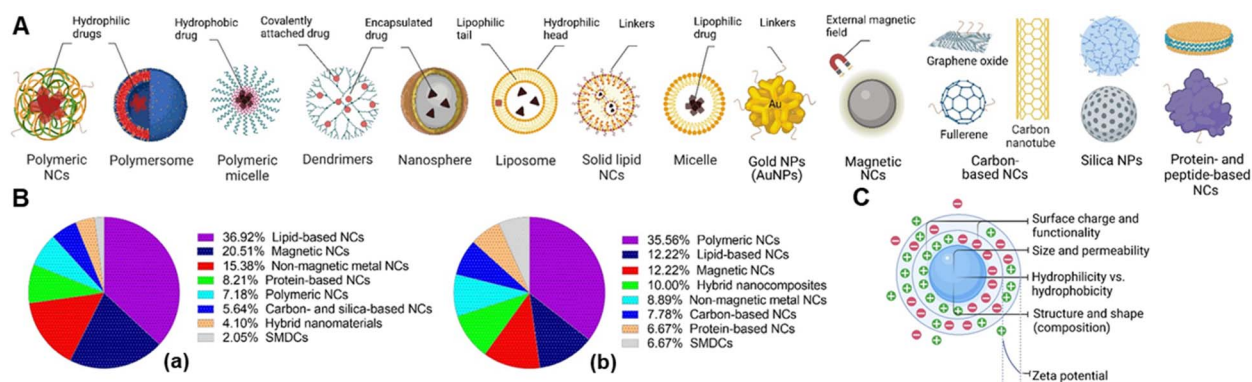


Fig. 3 (A) Various types of nanocarriers (NCs) utilized for targeted drug delivery. (B) The approximate percentage of reported FA-conjugated NCs for cancer management for all types of cancer (a) and specifically in brain and breast cancer (b). (C) Schematic visualization of NCs regarding the physical properties.



PEGylated liposomes, protein-based NCs, and polymeric NCs in general are the main NCs that have been approved as nano vehicles for drug delivery (Table 1).

Nanocarriers represent an excellent promise for efficient drug delivery due to their high surface area and volume ratio for drug encapsulation, enhancing drug pharmacokinetics and biodistribution, and cytotoxicity *via* active targeting strategies.<sup>29</sup> The physicochemical properties of NCs can be tuned as desired depending on the target cancer *via* altering their composition, morphology, size, shape, surface, and conjugation chemistry, ultimately significantly impacting their biological activity along the way and after reaching the tumour site.<sup>30</sup> Surface charge is a distinct property of NPs and refers to the net electric charge present on the surface of the particles due to charged functional groups or ions. The amphiphilic characteristics of NPs dictated by their hydrophobic and hydrophilic properties, which are fundamental determinants controlling their interactions within complex biological matrices. However, the surface charge and hydrophobicity/hydrophilicity can influence each other to some extent. For instance, charged functional groups on the NP's surface can contribute to its hydrophilicity, making it more likely to interact with water molecules. A neutrally charged surface may be hydrophilic (using, *e.g.*, zwitterions or poly(ethylene glycol)). In contrast, a charged surface may be hydrophobic if the (negative or positive) charge density is low because of, for example, hydrophobic linkers.

In parallel, the zeta ( $\zeta$ )-potential needs to be considered as a parameter that depends on the surface charge directly related to the colloidal stability of NCs in suspension over time and influences their early adsorption (or adhesion) onto the cell membrane circulation time, metabolism, clearance, and recognition by cells of the immune system. Thus, various aspects of interfacial phenomena regarding the  $\zeta$ -potential in chemistry that satisfyingly interplayed with biology evaluations have been studied.<sup>31</sup> The schematic visualization of NCs regarding the physical properties is depicted in Fig. 3C. The  $\zeta$ -potential should not be considered an absolute criterion on its own. The  $\zeta$ -potential, which is the electrical potential at the plane of shear or the hydrodynamic slip plane near a solid surface, serves as an indicator of the electrostatic repulsion forces acting between particles. The repulsion force helps to prevent the aggregation or flocculation of NPs. Particles have high  $\zeta$ -potentials (either positive or

negative), the electrostatic repulsion between them promoting dispersion and stability. A range of  $\pm 25$  mV is often considered a guideline for sufficient repulsion force to maintain colloidal stability. The  $\zeta$ -potential is not static and can shift depending on the environment. For example, in a physiological medium, the high concentration of counter ions (such as salts) screens the electrostatic repulsion, reduces the effective  $\zeta$ -potential and weakens the repulsion forces, which may cause NC agglomeration, even if their potential is beyond  $\pm 25$  mV in deionized water. Moreover, highly charged NCs will interact strongly with proteins (protein corona) and other macromolecules, making them less stable in serum than neutrally charged but hydrophilic NCs. Therefore, only  $\zeta$ -potential values may not fully capture the NP's stability in complex biological environments.

Apart from the surface charge, particle size mainly affects the drug pharmacokinetics *via* the biodistribution of drug-loaded cargo to the cancer tissue by the EPR effect. Indeed, the optimal particle size is between 20–200 nm to prevent particle clearance in the kidney and liver. Larger particles are recognized and phagocytosed by Kupffer cells in the liver from the bloodstream. In comparison, smaller particles below the renal filtration threshold (typically around 5–6 nm) can be excreted through kidney filtration and eliminated *via* urination.<sup>9</sup> It is worth noting that particle size alone is not the only factor determining NP's clearance. Other factors, such as surface charge, surface modifications, and surface coatings, can also influence the interaction with the immune system and clearance pathways.<sup>32</sup> For example, the choice of spacers and linkers in the chemical modification of NCs and SMDCs holds the potential to influence crucial factors such as size, shape, and charge.<sup>33</sup> In parallel, these selections can also exert a significant impact on loading capacity, circulation time within the bloodstream, and the subsequent release dynamics upon accumulation at the tumour site.<sup>34</sup> (refer to Section 1.3). Zhang *et al.* recently reported the chemical structure of charge-reversal NCs to enhance their cellular uptake to achieve prolonged blood circulation and decreased systemic toxicity.<sup>35</sup> These factors were interpreted by Patra *et al.* in detail to control renal clearance and improve the success rate of clinical translation of NCs in cancer diagnosis and therapy<sup>36</sup> (refer to Section 4 for more details).

Table 1 The current overall status of approved or actively undergoing clinical assessment of nanocarriers (NCs)

Name	Vehicle (loaded drug)	Cancer type	Ref.
Doxil <sup>a</sup>	PEGylated liposome (doxorubicin)	Breast and ovarian cancer	37
Onivyde <sup>b</sup>	PEGylated liposome (irinotecan)	Solid tumour entities: metastatic pancreatic cancer and breast cancer (phase I)	38
Myocet <sup>c</sup>	Liposome (doxorubicin)	Metastatic breast cancer	39
Abraxane <sup>d</sup>	Albumin-bounded NC (paclitaxel)	Metastatic breast cancer	40
Lipusu <sup>e</sup>	Liposome (paclitaxel)	Breast cancer and non-small cell lung carcinoma (NSCLC)	41
Genexol-PM <sup>f</sup>	Copolymeric micelle (paclitaxel)	Breast cancer and NSCLC	42
EndoTAG-I <sup>g</sup>	Liposome (paclitaxel)	Triple-negative breast cancer	43

<sup>a</sup> FDA-approved nanocarrier (Cyelax in European union (EU)) composed of hydrogenated soy phosphatidylcholine (HSPC), cholesterol, and DSPE-PEG<sub>2k</sub>. <sup>b</sup> Known as MM-398. <sup>c</sup> European Medicines Agency (EMA)-approved nanocarrier composed of egg phosphatidylcholine (EPC) and cholesterol. <sup>d</sup> FDA-approved nanocarrier. <sup>e</sup> Approved in China. <sup>f</sup> Approved in South Korea (composed of the polylactide-*block*-PEGs copolymer). <sup>g</sup> Developed by MediGene (composed of cationic dioleoyltrimethylammoniumpropane (DOTAP) and neutral dioleoylphosphatidylcholine (DOPC)).



### 1.3 Structural design, loading, and release chemistry

**1.3.1 Structural design.** Fine-tuning the physicochemical properties of folic acid (FA)-conjugated NCs and SMDCs utilizing biocompatible linkers and spacers with negligible toxicity to achieve desired pharmacological activity and remain intact during systemic circulation.<sup>12,44</sup> The use of linkers and spacers is crucial to ensure the stability and integrity of the drug transporters and used to connect the drug payload or transporters to the targeting ligand (folic acid; FA) and allow for controlled release of the drug at the target site. Linkers and spacers are molecular components strategically designed to fulfil multiple functions, *e.g.*, cleavage in response to specific stimuli, within drug transporters. These are strategically chosen based on the desired drug release profile, target site conditions, and the specific therapeutic goals of the drug delivery system. Besides, a precise structural design of drug transporters needs to be tailored not only for successful drug delivery and controlled release but also to overcome the main biological barriers like stability in the bloodstream, evasion of the reticuloendothelial system (RES), and overcoming cellular barriers, such as endosomal escape for effective intracellular drug delivery on its journey (see Section 4). However, the FR-targeted NCs displayed a releasing itinerary after internalization into cancer cells, which depends on (i) the composition of NCs, (ii) the type of stimuli-responsive linkers and spacers employed in NCs or SMDCs, (iii) degradation rate upon internal and external stimuli at the tumour site.

**1.3.2 Loading chemistry.** Loading (encapsulation) chemistry is essential to trap therapeutic or imaging agents into a carrier matrix and improve their solubility, stability, and bioavailability by altering their biodistributions. Thus, the size and chemical composition of targeted NCs and SMDCs having various intramolecular interactions impact the network structure of carriers to trap various drug types. Therefore, loading and release chemistry need to be aforesought compelling a successful preclinical evaluation. For example, several studies demonstrated<sup>45</sup> that hydrogen bonds have

significant properties to interact with hydrophilic or hydrophobic drugs to adjust loading efficiencies<sup>46</sup> and maintain intact drug delivery during blood circulation, reduce systemic adverse to the healthy cell and enhance permeability into tumour tissues.<sup>5</sup> In addition, drug loading capacity below 10% wt/v is a crucial shortcoming that needs to be improved during the fabrication and chemical modifications *via* creating nanoporous materials, conjugation of drugs to the NC or fabricating carrier-free nano-agents.<sup>47</sup>

**1.3.2.1 Linkers.** Linkers carrying modifiable functional groups such as thioether (sulphide, sulfoxide, thioketal),<sup>48</sup> acetal (ketal),<sup>49</sup> carbamate,<sup>50</sup> amine and hydrazine,<sup>51</sup> hydroxyl,<sup>52</sup> borate ester,<sup>51,53</sup> disulfide,<sup>54</sup> acetyl-hydrazone,<sup>55</sup> and carbodiimide,<sup>56</sup> (in particular *via* EDC-NHS cross-linked method)<sup>57</sup> are necessary for a facile conjugation with or release of the cargo drug from NC and SMDCs<sup>44d</sup> (Fig. 4A). EDC-NHS cross-linking method is commonly employed to conjugate carboxylic acid (–COOH) moieties with primary amine (–NH<sub>2</sub>) groups, resulting in the formation of an amide bond. For example, amino acids such as glycine, serine, and lysine contain both amino and carboxyl groups, and can therefore serve as linkers. Disulphide linkers are responsive to the reducing environment found in intracellular compartments that can be selectively fractured, for instance, by intracellular glutathione, enabling intracellular drug release.<sup>58</sup> Clickable linkers such as azides or alkynes allow for specific and rapid conjugation reactions with complementary functional groups.<sup>59</sup> Light-responsive linkers such as photocaged C40-oxidized abasic site (PC4AP) incorporated into peptide- and protein–drug conjugates that undergo photo-decaying in response to light irradiation.<sup>60</sup>

The incorporation of stimuli-cleavable linkers into drug delivery systems provides a powerful strategy for on-demand drug release. Structural modifications of the heterobifunctional linker may control the physicochemical properties of NCs,<sup>2c</sup> SMDCs,<sup>44d</sup> and antibody–drug conjugates,<sup>6b</sup> resulting in more effective cancer therapy and diagnosis. For example, disulphide-containing linkers displayed superior activity against folate receptor-positive FR(+) cells<sup>54,61</sup> and could lead to

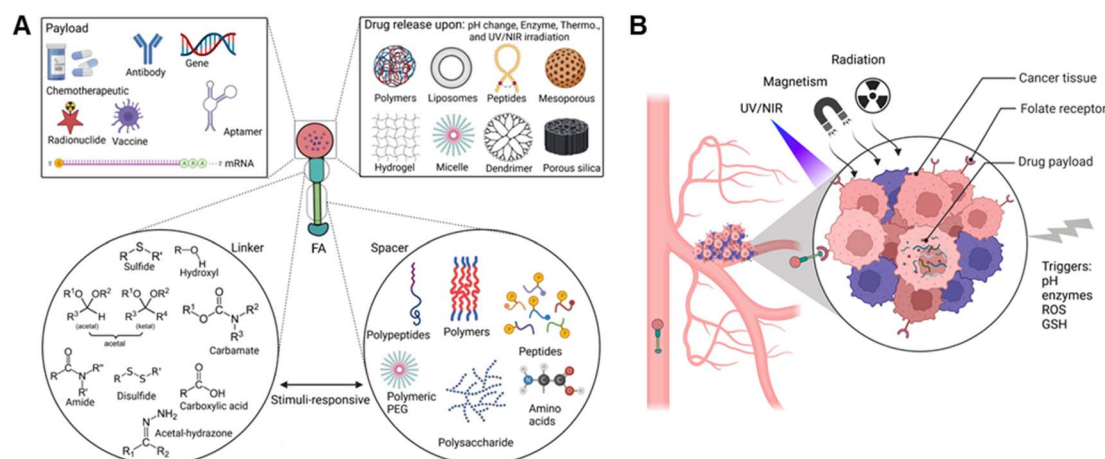


Fig. 4 (A) Schematic view of FA-conjugated drug transporter including folic acid, linker, spacer, and drug payload. (B) Stimuli-responsive drug release triggered *via* internal or external stimuli at the site of action.





the payload release upon reduction by glutathione.<sup>54</sup> According to Song, Ding, and Yang *et al.*, the utilization of amide, diselenide, and ester linkers has significantly promoted on-demand drug release.<sup>62</sup> Notably, pH-responsive linkers such as hydrazine and acetal linkers can be disintegrated from acid-labile functional counterparts due to a lower endosomal and lysosomal pH than cytosol pH.<sup>63</sup> Drugs such as mitomycin C<sup>64</sup> and camptothecin<sup>65</sup> are masked using benzyl carbamate disulphide and disulphide carbonate, respectively. In a different example, a thioether propargyl carbamate linker can be conjugated to a cysteine residue through site-specific protein modification.<sup>66</sup>

**1.3.2.2 Spacers.** Spacers are flexible molecules with different lengths or polarity that have been extensively utilized in bioconjugate chemistry and need to be biodegradable, non-toxic, and biocompatible, having functional groups to correlate linkers with other bioconjugates, such as folic acid and therapeutic agents (or *vice versa*) (Fig. 4A). Although spacers and linkers are often equivalently categorized in the literature, they must be classified according to discreet chemical properties and activation (degradation) mechanisms. Hence, spacers could respond to stimuli for degradation after accumulating in tumour tissue (which could be different from linkers) to release the payload. Thus, spacers could have similar structural functionalization to bond with NCs and SMDCs, but not necessarily. However, spacers are generally applied to reduce steric bulkiness for two main reasons: (i) to accelerate the release process (drug release triggered by stimuli like enzyme, redox potential, and reactive species), (ii) to increase the distance between the triggered cleavable bonds conjugated between the folic acid and drug transporter. Spacers are not only used for stimuli-responsive payload NCs,<sup>67</sup> but also utilized for SMDCs,<sup>60</sup> and prodrugs concepts<sup>68</sup> for on-demand drug release.

**1.3.3 Release chemistry.** The ultimate objective in achieving effective drug delivery lies in achieving precise and controlled payload release triggered upon stimuli. The structure of spacers and linkers utilized in NCs or SMDCs can be fractured at the tumour site and prevent premature release, resulting in a precise release of the therapeutics or imaging agents. Disassembling of spacers and linkers upon stimuli has been recently studied.<sup>69</sup> Among various spacers, the stimuli-triggered degradation of self-immolative polymeric spacers has been extensively discussed.<sup>67,70</sup> For example, inserting poly(ethylenglycol) (PEG) and polyethylene (PE) as a spacer between the linker and the therapeutic agent or FA structure could strongly correlate with polymer length and flexibility.<sup>71</sup> Moreover, proteins, peptides, and polypeptides are remarkable examples of conformational sequenced amino acids that can be exploited as flexible spacers.<sup>72</sup> Furthermore, it is crucial to take into account the cumulative and sustained release of payload to ensure optimal efficacy in both therapeutic interventions and diagnostic applications. Thus, the controlled-release mechanism and precise kinetic of stimuli-responsive NCs after accumulation in tumour tissue upon on-demand stimuli guarantee high-dose drug delivery and reduce undesired uptake by non-malignant cells.<sup>62,73</sup> Generally, the successful release mechanism of stimuli-responsive NCs and SMDCs relies on triggered

cleavability within the tumour microenvironment (internal stimuli), such as pH, enzymatic acidity, glutathione, hypoxia, redox potential change *via* ROS, or external stimuli, such as UV-vis-NIR irradiation, electromagnetic or magnetic induction, ultrasound, temperature, photo induction, and mechanical factors. These elements facilitate the controlled degradation of drug transporters into individual units through the fracture of linkers and spacers, ensuring effective drug delivery (Fig. 4B).<sup>74</sup>

#### 1.4 Folate receptors – distinct cellular markers

Folate receptors (FRs) are single-chain glycoprotein-based receptors (35–40 kDa) that are expressed in four isoforms (FR $_{\alpha}$ , FR $_{\beta}$ , FR $_{\gamma}$ , and FR $_{\delta}$ ).<sup>61</sup> Those isoforms display almost 70% amino acid sequence identity. FR $_{\alpha}$ , FR $_{\beta}$ , and FR $_{\delta}$  are glycosylphosphatidylinositol-anchored proteins, whereas FR $_{\gamma}$  lacks the GPI-anchor region.<sup>75</sup> Cellular uptakes of folic acid (FA) occur *via* FR $_{\alpha}$  and FR $_{\beta}$ , which are located on the cell surface by a c-terminal GPI-anchor. Despite the sequence divergence of FR $_{\alpha}$  and FR $_{\beta}$  on their carboxy-terminal, the binding affinities to FA and its reduced folate forms (*i.e.*, methyltetrahydrofolate and tetrahydrofolate) are relatively similar. In this process, FA and reduced folate bind to the FRs (binding affinity ( $K_d$ )  $\sim 10^{-10}$  M) in the extracellular milieu and are then internalized into the cell, followed by the subsequent release of FA into the cytosol. Dann *et al.* reported structural models of the endocytic trafficking of FRs and their pH-dependent conformational changes.<sup>76</sup> Changes in FR conformation at pH 7.4 before the association of folate in an open state (Fig. 5A). In contrast, the FR interacted with folate *via* amino acid residues aspartic acid (Asp)97, tryptophan (Trp)154, histidine (His)151, and serine (Ser)150 (Fig. 5B). The close form in acidic pH (pH range  $\sim 5.6$  to 7.2), the conformation of FR was changed after folate release (Fig. 5C).<sup>76</sup>

The pterin ring of the folate molecule is located at the end of the active site cavity. At the same time, the 4-aminobenzoyl moiety interacts *via* hydrophobic interactions in the central region of the cavity. In contrast, the  $\gamma$ -carboxylate of the glutamyl tail is partially exposed to solvent.<sup>76</sup> This group is more accessible to solvents than the pterin amine (which is poorly reactive), which makes it a preferred site for modification and conjugation while maintaining the affinity of FA to the FR. A very classical route that should be mentioned is the activation of the carboxylic acid to form the folate *N*-hydroxysuccinimide (NHS) ester, which is then reacted with a primary amine on the bioconjugation partner, forming stable amide bonds. Of note, the pterin amine can potentially participate in chemical reactions. However, the pterin ring system leads to electron delocalization and stabilization of the overall structure, reducing its reactivity and making it less prone to undergo nucleophilic reactions. In the context of drug conjugation, the limited reactivity of this amine requires additional activation or modification steps to enhance its reactivity and enable efficient conjugation with molecules or carriers. However, the conjugation on the pterin amine site of FA decreases the affinity to the FR.

FR $_{\alpha}$  is predominantly overexpressed in brain, colon, kidney, ovarian, breast, and lung cancers.<sup>77</sup> In contrast, the expression of



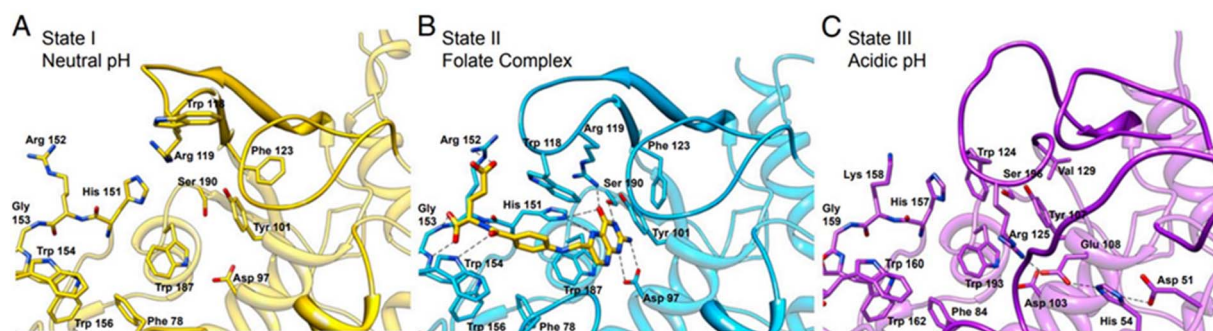


Fig. 5 The active site cavity of the folate receptor. (A) Conformational changes in the residues that interact with folate in the open form at neutral pH. (B) The folate complex. (C) The closed form at acidic pH. Reproduced from ref. 76 (CC BY 4.0).

FR $_{\beta}$  is detected mainly in activated macrophages due to stimulation by mediators of inflammation.<sup>78</sup> The expression of FRs in carcinomas is approximately 300-fold higher than in healthy cells, estimated to be 1–10 million copies per cancer cell,<sup>44d,79</sup> and the receptor-recycling rate is higher in malignant than in non-malignant cells.<sup>80</sup> Of note, FA is a non-immunogenic water-soluble B vitamin that can be converted to tetrahydrofolate *via* dihydrofolate reductase. Besides, the FA is an essential cofactor in single-carbon methylation reactions and two steps of *de novo* purine biosynthesis, which is required for amino acid metabolism, DNA synthesis, and repair.<sup>81</sup> In principle, FA endocytosis is crucial for tumour tissues to sustain their chronic proliferation.<sup>82</sup>

FRs have the most potential for prognostic biomarkers for a selective internalization of FA-conjugated drug transporters *via* FR-targeting by the cancer cells, known as the –Trojan Horse– for the delivery of therapeutics. Accordingly, the FA molecule can be decorated by glutamic acid (at the  $\alpha$ - or  $\gamma$ -positions) to drug transporter, with minimal change of their binding affinity to the FRs (Fig. 6A). Therefore, drug transporter with small nucleotide size to large polymeric or protein constructs have been considered for targeted delivery of drugs and multidrug to the tumour tissue by FR-mediated endocytosis to enter the cytosol.<sup>83</sup> Fig. 6B provides a schematic illustration demonstrating an FA-conjugated drug transporter and the process of its internalization *via* FR-mediated pathways. Cellular drug uptake reveals that FA-conjugated drug transporter is internalized into endosomes by FR-mediated endocytosis and detached from FR encountered with a slight drop of pH to about five within the endosome through the action of proton pumps.<sup>84</sup> FRs ideally return to the cell surface for further FA-conjugated drug transporter internalization, and the functionally active drug cleaved in the lysosome enables drug accumulation in cancer cells.

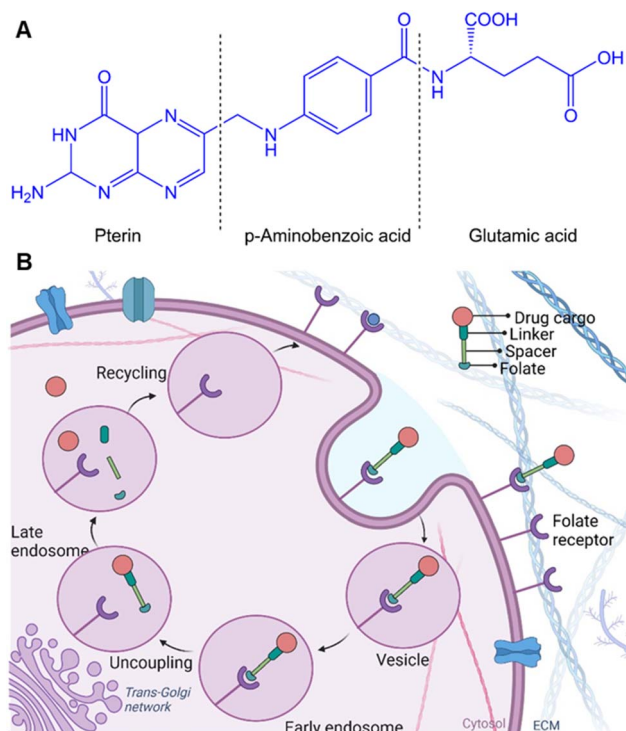


Fig. 6 (A) The chemical structure of folic acid (or folate) consists of pterin, aminobenzoic acid, and glutamic acid units. (B) Schematic illustration of FA-conjugated transporters internalization entered the cytosol.

## 2 Folic acid (FA)-conjugated nanocarriers

### 2.1 Breast cancer

Breast cancer predominantly arises from mutations affecting steroid receptors, specifically estrogen (ER) and progesterone (PR) receptors.<sup>85</sup> This malignancy manifests primarily through several molecular subtypes, with a notable emphasis on hormone receptor-positive variations. These subtypes encompass the ER- and PR-positive Luminal A and ER-positive Luminal B categories. Conversely, the human epidermal growth factor receptor 2 (HER2)-enriched subtype of breast cancer, constituting a distinct category, is characterized by the absence of ER and PR receptor expression, thus leading to a notably more unfavourable prognosis.<sup>86</sup> Conclusively, basal-type breast cancer, often referred to as triple-negative cancer, exhibits an absence of ER, PR, and HER2 expression, leading to an even graver prognosis and markedly reduced survival rates. Current treatment options depend on the type, stage, and individual conditions, usually a combination of surgery,





chemotherapy, and radiotherapy, and are associated with substantial adverse effects with severe personal and societal impact.<sup>87</sup> To ameliorate these challenges, FR-targeted strategies by utilizing the FA-conjugated nanocarriers (NCs) hold considerable promise in facilitating the specific delivery of chemotherapeutics to cancer cells.<sup>88</sup> The following section will review the advances in the field of FA-conjugated NCs for treating – or diagnosing – breast cancer *in vitro* and *in vivo*.

**2.1.1 Polymeric nanocarriers.** Polymers contain repeating subunits with several functional groups having a large surface area/volume ratio and the ability to conjugate with biomolecules or encapsulate (entrap) molecules in the particle bulk or its surface. The essential advantages of polymeric NPs (PNPs) driving lasting interest are their multifunctional ability to conjugate with drugs, low immunogenicity, high biocompatibility, and biodegradability – *e.g.*, natural polymers – making them an appropriate candidate for targeted drug delivery. PNPs are stimuli-responsive drug transporters; thereby, their physicochemical properties, such as size, surface charge, and morphology, can be tuned by adjusting the molecular and structural composition. For example, their morphology can be altered by varying the preparation method and composition matrix, leading to the formation of micelles, spheres, core-shell particles, or capsules as desired for better drug loading and release control.<sup>5</sup>

Chitosan is widely utilized to build drug transporters due to its unique properties, such as nontoxicity, hydrophilicity, and water solubility. Chitosan is a linear cationic polysaccharide composed of randomly distributed  $\beta$ -(1  $\rightarrow$  4)-linked  $\text{D}$ -glucosamine and  $N$ -acetyl- $\text{D}$ -glucosamine that has been considered to fabricate PNPs. Chitosan's properties can be improved and

tailored to introduce new functional groups on its skeleton through chemical modifications. Sohail *et al.*<sup>89</sup> grafted thiol and folic acid (FA) onto chitosan to formulate PNPs for delivery of docetaxel (DTX), resulting in an enhanced internalization into MDA-MB-231 cells and improving the oral absorption level of DTX (Fig. 7A). In this method, drug is encapsulated into PNPs using the ionotropic gelation technique with triphosphosphate (TPP) as the crosslinking agent.<sup>90</sup> The positively charged amine groups on chitosan can interact with the negatively charged phosphate groups on TPP to form a nanoparticle structure *via* ionotropic gelation. In this context, Shao *et al.*<sup>91</sup> and Li *et al.*<sup>92</sup> utilized TPP to formulate cross-linked FA-conjugated chitosan-based NPs to deliver ligustrazine and catechin to breast cancer cells. When NPs are introduced into the body, they may interact with various cell types, including immune cells, endothelial cells, and other healthy cells. The reported formulations<sup>91,92</sup> had no significant cytotoxicity *in vitro* as high as  $\sim 0.5 \text{ mg mL}^{-1}$  of unloaded PNPs. However, Sohail *et al.*<sup>89</sup> first found that PNPs show improved antitumour cytotoxicity ( $\text{IC}_{50} \sim 0.58 \mu\text{g mL}^{-1}$ ) against MDA-MB-231 cells, which is significantly lower than free DTX. Additionally, *ex vivo* analysis demonstrated that in the presence of verapamil ( $100 \mu\text{g mL}^{-1}$ ), DTX absorption of DTX-loaded thiolated-chitosan-based NPs was enhanced, which is related to the P-glycoprotein (P-gp) efflux pump inhibition. The apparent permeability coefficient enhancement ratio from the apical to the basolateral surface of rat intestine was reported to be about 11-fold higher for the thiolate-modified PNPs due to the inhibitory effect of their thiolated bonds to conjugate with cysteine of the protein tyrosine phosphatase, indicating a promising avenue in FA-conjugated NC research. The impact of thiolation on the

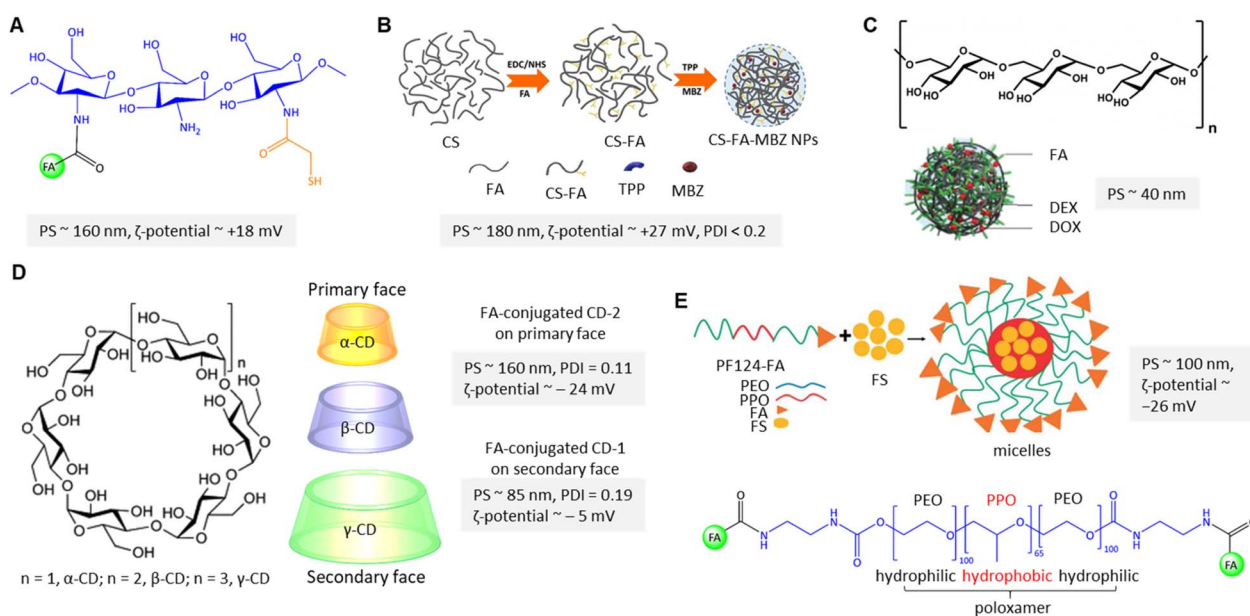


Fig. 7 (A) The chemical structure of polymeric FA-conjugated thio-chitosan. (B) The preparation of CS-FA-MBZ NPs. Adapted from ref. 94 (CC BY 4.0). (C) Structure of dextran along with DOX@DEX-FA NPs. Adapted with permission from ref. 97. Copyright 2018, Royal Society of Chemistry. (D) Structure of cyclodextrins along with folate-conjugated CD-1 and CD-2. (E) Schematic view of FS-PF-FA micelle preparation along with the chemical structure of FA-PLGA-FA. Adapted with permission from ref. 103. Copyright 2018, Taylor & Francis.



chemical, physical, and biological properties of chitosan is extensively reviewed by Bernkop-Schnürch.<sup>93</sup> As shown in Fig. 7B, Rafienia *et al.*<sup>94</sup> fabricated MBZ-loaded FA-conjugated chitosan-based NPs cross-linked with TPP to increase their mechanical strength, stability, and drug release properties. The cylindrical subcutaneous implants containing the chitosan-based NPs are implanted in BALB/c mice xenografted with triple-negative 4T1 cells, which are known to be designed for under-skin implantation for sustained release of the drug.<sup>95</sup> The implanted NPs in the tumour-bearing mice's flank were degraded after 18 days, released the NPs on 4T1 cells, internalized with FR-mediated endocytosis, and inhibited tumour volume growth.

The degree of folic acid (FA) substitution refers to the number of FA conjugated to each chitosan molecule that significantly affects NPs properties such as size, morphology, release profile, loading efficiency, and loading capacity. Curcumin (CUR)-loaded chitosan-based NPs reported by Bagheri-Khoulenjani *et al.*<sup>96</sup> showed the highest degree of substitution when the 16 : 1 ratio of FA : H-chitosan (400 kDa) was utilized. However, the 1 : 1 ratio of FA with L-chitosan (40 kDa) showed better loading efficiency (~90%), and faster CUR release kinetics by decreasing the pH from 7.4 to 5. However, the choice between H-chitosan and L-chitosan for FA conjugation depends on the specific application and desired properties of the resulting NPs.

In contrast to chitosan, dextran is a branched polysaccharide consisting of  $\alpha$ -1,6 linked glucose monomers with  $\alpha$ -1,3 branches that have been used to encapsulate hydrophobic and hydrophilic drugs (Fig. 7C). However, the drug-loaded dextran-based NPs stability and release profile can be affected by the physiological environment, such as pH and ionic strength. Yang and Li *et al.* explored pH-dependent self-assembled doxorubicin (DOX)-loaded FA-conjugated dextran NPs that can be degraded in an acidic tumour microenvironment.<sup>97</sup> The esterification of the accessible  $\gamma$ -COOH of FA and -OH of dextran was reported as the central polymeric core to encapsulate the DOX (Fig. 7C). The DOX release was about 76% at pH 5.5, significantly higher than at pH 7.4 (~42%). The authors claimed that the high degree of substitution (79 FA molecules/per dextran) is due to protonation/dissociation of the free  $\alpha$ -COOH at  $pK_a$  ~5.8 not only stabilized dextran NPs but also enhanced *in vitro* FR-mediated cellular uptake of FA-decorated NPs in FR(+) 4T1 cells. They reported that FA-conjugated PNPs show the highest tumour inhibition, about 75%, compared to non-targeted NPs.

Cyclodextrins (CDs) are amphiphilic cyclic oligosaccharides with 6 to 8 glucopyranose units that can encapsulate poorly water-soluble drugs in the inner hydrophilic cavity and release the content under physiological conditions of tumour tissue (Fig. 7D). Bilensoy *et al.* reported active targeting delivery of paclitaxel (PTX) *via* FA-conjugated CD-NPs for reducing toxicity and increasing the PCX antitumour efficacy for metastatic breast cancer.<sup>98</sup> In their system, the FA was conjugated through the C<sub>6</sub> linker chain onto the CD's derivatives on the secondary face (FCD-1 with neutral surface charge) and primary face (FCD-2 with negative surface charge) to render active targeting (Fig. 7D). The reported PNP formulation has caused cytotoxicity

and cellular uptake of FCD-1 NPs into the 4T1 cells. The large number of aliphatic chains of FCD-1 compared to FCD-2 provided stronger interactions with PTX and more sustained drug release. The *in vitro* PTX release was about 96% after 24 h. Due to the low aqueous solubility of PTX, a mixture of Cremophor EL (CrEL), and dehydrated ethanol (1 : 1 ratio v/v), a compatible anticancer activity was reported in so-called CrEL formulations.<sup>99</sup> Along the same lines, Bilensoy and colleagues state that CrEL-free PTX-loaded FCD-1 and FCD-2 NPs significantly reduced tumour burden.<sup>98</sup> It was shown that FCD-1 NPs significantly improved the survival rate of mice by reducing *in vivo* toxicity to healthy tissues. An enhanced anti-tumour efficacy was achieved by administrations of 1.25 mg kg<sup>-1</sup> of FCD-1 NPs per day for 20 days compared to unloaded FCD NPs.

Sarrafzadeh and Khorramizadeh investigated  $\beta$ -CD with seven glucopyranose units to incorporate zinc oxide (ZnO).<sup>100</sup> ZnO with a high surface area and low toxicity has the ability not only to encapsulate the drugs but also to conjugate with CUR, as described by the authors. In addition, ZnO mediates anti-cancer effects on its own. Therefore, ZnO  $\beta$ -CD nanostructures functionalized with 3-mercaptopropionic acid (MPA) and FA in order to target the delivery of CUR to MDA-MB-231 cells. The MPA can be coordinated by substituting the S atom at the ZnO site, while  $\beta$ -CD can bind to the ZnO surface.<sup>101</sup> The hydrodynamic particle size was reported at about 120 nm with a  $\zeta$ -potential of -22 mV.<sup>100</sup> The authors claimed that the CUR was mainly placed into  $\beta$ -CD cavities on the surface of ZnO. However, CUR loaded in the outer layer of  $\beta$ -CD is not excluded. The authors reported that FA-conjugated PNPs displayed superior toxicity activity against MDA-MB-231 cells, with no effect on healthy HEK 293 cells.

Poloxamers, also called pluronic, belong to amphiphilic triblock copolymers that have been used to fabricate PNPs suitable as water-insoluble drug carriers due to their core-shell structures, critical micelle concentration value (CMC), and a higher ratio of hydrophilic-lipophilic balance (HLB) in aqueous media.<sup>102</sup> Following this rationale, Bothiraja *et al.* fabricated FA-conjugated triblock pluronic F127 micelles in which festin (FS) is encapsulated in hydrophobic poly(propylene oxide) (PPO) cores (Fig. 7E).<sup>103</sup> Rupture of the micelles and full cumulative release of FS were reported within 12 h, while the initial burst release was about 30–40%. Notably, about 80% of FS was released from the micellar cores at pH 5, which was higher than at pH 7.4 (~50%). In addition, the authors found that FS's cellular uptake from FA-conjugated micelles increased about 6-fold compared to non-targeted micelles. In another study, a mixed pluronic PF127/F68 micelle was utilized by Patil and co-workers.<sup>104</sup> In this design, the micelle was conjugated with FA for targeted delivery of chrysin to MCF-7 cells and enhanced the drug's oral bioavailability. Pluronic F68 is composed of a shorter hydrophobic polypropylene core resulting in low loading capacity due to its high CMC value. To address this problem, the proportional contribution of F127 and F68 must be considered to balance the HLB and improve the drug encapsulation efficiency and release.<sup>105</sup> The proportion affected micelle size from 152 to 420 nm ( $\zeta$ -potential ~ -21 mV), which



is attributed to the hydrating of polymer chains.<sup>104</sup> The authors found that about 75% of chrysin was released after 24 h from the micelles at pH 6.8. The CMC of the FA-conjugated mixed micelle was 1.52 mg mL<sup>-1</sup>, which was lower than the FA-conjugated PF127 micelle due to its higher lipophilicity. The GI<sub>50</sub> value of the conjugated micelle was reported at about 16.5 mM, higher than free chrysin and non-conjugated micelles.

Several polyesters such as PGA, PBL, PVL, PCL, PLA, PLGA, and PDO have been used for the fabrication of amphiphilic block copolymers. In this context, Vu-Quang and Tran *et al.* reported a self-assembled pluronic P123-grafted chitosan nanogel conjugated with FA for the co-delivery of PTX/CUR to MCF-7 cells.<sup>106</sup> Pluronic P123 was activated by *p*-nitrophenyl chloroformate (NPC) and substituted with a poly-3-amino-1-propanol sidechain. The resulting NPC-P123-OH is conjugated with -NH<sub>2</sub> of chitosan at pH 5 *via* carbamate formation. The size of the nanogels was distributed about 51 nm utilizing a micelle admixture of chitosan : P123 with a weight ratio of 1 : 20 and a CMC value of 0.08 mg mL<sup>-1</sup>. Both PTX and CUR were encapsulated in the hydrophobic PPO core. The cumulative release rate was reported as about 23% of PTX/CUR at pH 5.6 after 48 h. The CMC indicates the polymeric network's micellar stability, size, and viscosity that influence drug loading efficiency and release from the micelles. The authors reported more sustainable stability at a lower concentration of P123 ( $\zeta$ -potential  $\sim$  +39 mV) and a lower CMC profile ( $\sim$ 0.036 mg mL<sup>-1</sup>). In addition, the synergistic effect of PTX/CUR was confirmed *via* observation of a pronounced anticancer activity for dual-loaded micelles (IC<sub>50</sub>  $\sim$  5.7 nM) compared to PTX-loaded micelles (IC<sub>50</sub>  $\sim$  8 nM). In line with the above investigation, the approach was studied in multi-drug resistant MCF-7/ADR cells by Hong *et al.* utilizing pH-sensitive pluronic L61 unimers for the co-delivery of CUR and DOX.<sup>107</sup> Unimers refer to individual polymer chains (micelles) formed in solution with unassembled structures. Micellar copolymer poly-histidine (Phis)-PLA-PEG-PLA-Phis and pluronic 127 (F-pHSM-L61/CUR/DOX) was partially conjugated with FA for two reasons: first, the hydrophilic poly(ethylene oxide) structures of F127 ensure the prolonged circulation of the micelles and could also promote gelation.<sup>108</sup> Second, L61/CUR facilitates endosomal escape to overcome the

MDR of breast cancer.<sup>109</sup> The authors found that the pluronic L61/CUR micelles downregulated the expression of P-gp in response to drug efflux from the cancer cells.<sup>107</sup> *In vivo* DiR fluorescence imaging after administration of FA-conjugated DOX/CUR/DiR micelles onto the tumour-bearing mouse model exhibited the accumulation of DiR in the tumour site, cell proliferation inhibition, and mitochondria-mediated cell death. Poly(ADP-ribose) polymerase protein (PARP) cleavage corroborated that the antitumour effect is associated with pro-apoptotic effects. Very recently, Yang and Liu *et al.* designed dual-targeted pH-sensitive polymeric micelles constructed using the hyaluronic acid-modified poly-histidine (HA-PHis) and FA-conjugated F127.<sup>110</sup> Interestingly, the effect of FA-conjugated DTX-loaded micelles on the cell survival rate (IC<sub>50</sub>) in HepG2 and MCF-7 cells was reported about 2.5 and 10  $\mu$ g mL<sup>-1</sup>, respectively.

The  $\alpha$ -tocopheryl polyethylene glycol succinate (TPGS) is a water-soluble synthetic derivative of  $\alpha$ -tocopherol combining hydrophilic PEG and hydrophobic alkyl chain (Fig. 8A). In this context, Su and Ping *et al.* utilized TPGS2k, a polymeric carrier, to conjugate the FA and mitoxantrone (MTO) (Fig. 8B).<sup>111</sup> This system was designed to deliver MTO *via* FR-targeting to MCF-7 cells. The optimized CMC of TPGS2k, MCT, and FCT were found to be about 0.0251, 0.072, and 0.0338 mg mL<sup>-1</sup>, respectively, lower than that of TPGS1k (0.2 mg mL<sup>-1</sup>). A lower CMC can contribute to improved stability of micelles and resistance to dissociation in certain contexts, such as the bloodstream. The authors found that the initial drug release at pH 5 was 35% for MTO-MCT and 40% for MTO-FMCT. In contrast, the cumulative drug release reached 76%, and 86% after 40 h, remarkably higher than that observed at pH 7.4.

Advanced breast cancers tend to metastasize in bones, lungs, liver, and brain;<sup>112</sup> therefore, several studies have been performed utilizing biomarkers for diagnosis and chemotherapy.<sup>113</sup> The bones are the first site of action (60–80%) often detected in those with stage IV breast cancer.<sup>114</sup> Recently, Chiang and Chiu *et al.* reported dual bone- and tumour-targeted chemotherapy utilizing a polymeric-based vehicle comprising PLGA core coated with alendronate-modified FA-conjugated TPGS to deliver PTX to 4T1 cells and bone matrix (Fig. 8C).<sup>115</sup> Alendronate, a member of the N-containing bisphosphonate,

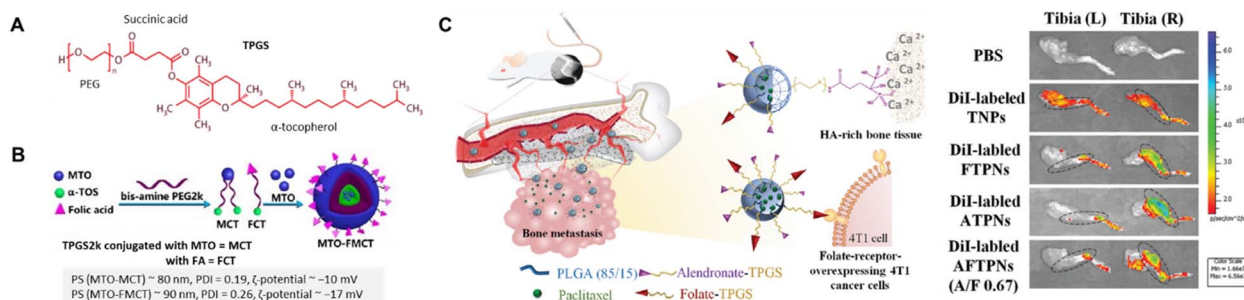


Fig. 8 (A) The chemical structure of TPGS. (B) Schematic diagram of MTO-FMCT NPs. Reproduced with permission from ref. 111. Copyright 2017, American Chemical Society. (C) ALN/FA-decorated PTX-loaded NPs utilized for bone metastatic breast cancer (left) and *ex vivo* NIR fluorescence images of the isolated tibias of 4T1 tumour-bearing mice at 8 h post-injection with PBS and different DiI-labeled NPs (right). Reproduced with permission from ref. 115. Copyright 2020, Royal Society of Chemistry.





can be conjugated to TPGS, providing additional functionalities such as targeting bone tissue<sup>115</sup> or inhibiting osteoclast activity.<sup>116</sup> The results demonstrated a superior alendronate-mediated binding affinity for hydroxyapatite in the bone matrix using Rho-labelled NPs. An elevated level of cellular uptake of drug payload *via* FR-targeting to FR(+) 4T1 cells was reported compared to FR(−) A549 cells. Meanwhile, *in vivo* PTX accumulation in bone metastases was monitored *via* enhanced fluorescence signals of the tumour-bearing right tibia compared to the left tibia after intravenous injection of various DiI-loaded PNPs (Fig. 8C).

PLGA enhances the bioavailability of encapsulated drugs from degradation and premature release. Hence, an FA-conjugated PLGA-based NC reported by Debnath *et al.* for co-delivery of gemcitabine (GEM) and CUR to MDA-MB-231 and MCF-7 cells,<sup>117</sup> to address an issue for TNBC that has become increasingly resistant to GEM due to overexpression of hypoxia-inducible factors. The authors reported a biphasic release pattern with an initial burst that was followed by a sustained release of GEM/CUR. The FA-conjugated drug-loaded PNPs led to a strong apoptotic cell death attributed to significantly upregulated p53 and Bax proteins. At the same time, B-cell lymphoma 2, cyclooxygenase-2, NF-κB, and p65 were down-regulated in PNP-treated cancer cells. PLGA-based NPs can also be radiolabelled by attaching a chelator to the surface of the NPs that can be complex with the radioisotope. In another study, the authors fabricated technetium-99m (<sup>99m</sup>Tc)-radiolabelled

PLGA-based NPs for non-invasive diagnostic imaging and FR-targeted delivery of epigallocatechin-3-gallate against MDA-MB-231 and MCF-7 cells.<sup>118</sup> NCs were radiolabelled with <sup>99m</sup>Tc using stannous chloride dihydrate (SnCl<sub>2</sub>·2H<sub>2</sub>O) as a reducing agent, enabling the tracking and non-invasive imaging of the NCs *in vivo*. The reported scintigraphy images by authors showed higher tumour accumulation of <sup>99m</sup>Tc-labeled FA-conjugated PNPs than non-targeted PNPs.

In general, the chemical modification of polymers on the surface or core *via* linkers and lipophilic agents is a promising strategy to improve nanomaterial's performances, solubility, and multi-functionalization ability to conjugate with other molecules. For example, a unique PNP was constructed by Zhang *et al.* through the conjugation of two units of hydrophobic PCL *via* S–S bonds to the hydrophilic PEG7.5k segment using mercaptoethanol (Fig. 9A).<sup>119</sup> This copolymer was utilized for the co-delivery of DOX and indocyanine green (ICG) as an imaging and hyperthermia agent to EMT-6 cells. DSPE-PEG2k-FA was utilized for FR-targeted delivery; thereby, hydrophobic tails of DSPE interacted with the hydrophobic block and PEG-FA located on PNP's surface. The film hydration method was used in their system to admix PCL-SS-PEG-SS-PCL and DSPE-PEG2k-FA. In the following, DOX/ICG were trapped into polymer after sonication. In line with this polymeric design, Danafar *et al.* served lysine as a linker to conjugate FA and PEG to form a multifunctional drug delivery system.<sup>120</sup> FA can be conjugated to one end of lysine *via* the –NH<sub>2</sub> group, while PEG can be

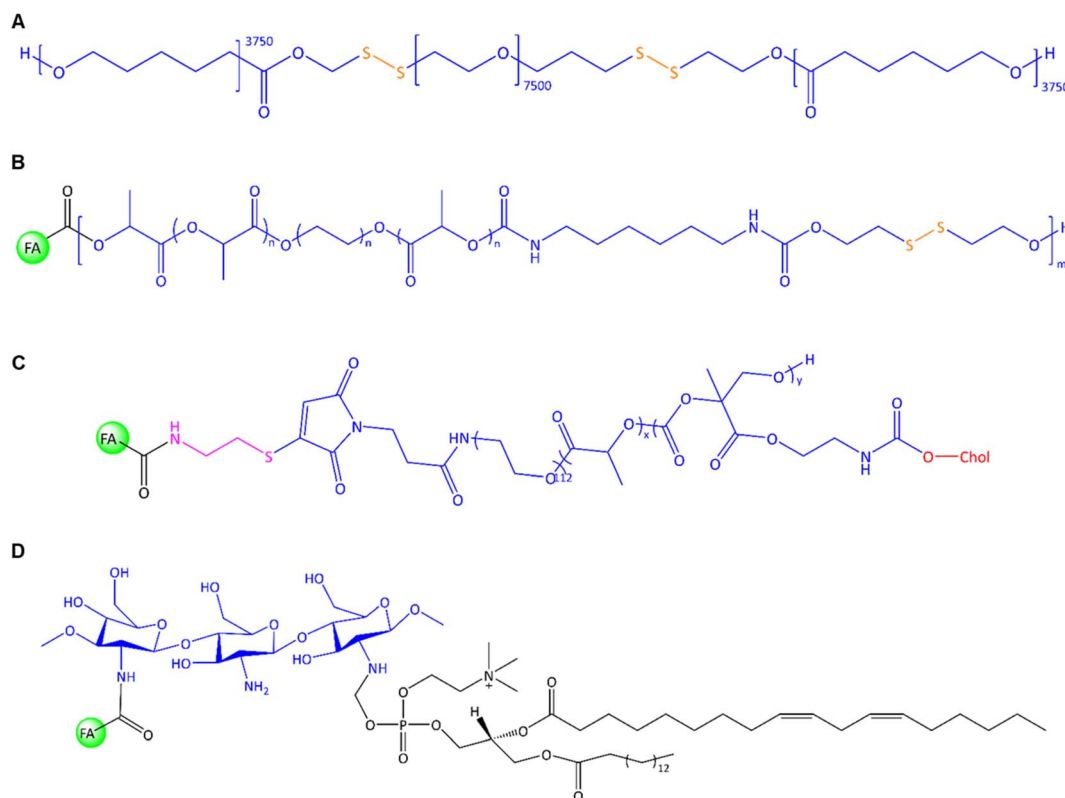


Fig. 9 The chemical structure of (A) PCL-ss-PEG-ss-PCL, (B) FA-conjugated chitosan-lipid NPs, (C) FA-PEG-*b-p*-(MTC-Chol-*co*-LA) lipopolymer, (D) FA-conjugated chitosan/phospholipids (lipoid S75).



conjugated to the other end of lysine *via* the  $-COOH$  group. The obtained FA-lysine-PEG-PCL micelles were utilized to deliver tamoxifen (TMX) to MCF-7 cells. The TMX-loaded FA-conjugated micelles had a diameter of 97 nm with a  $\zeta$ -potential of about  $-23$  mV. Cumulative TMX release at pH 5.5 was about 60% within 72 h, twice than that observed at pH 7.4. The authors found that the MCF-7 cell viability was decreased by about 53% using TMX-loaded FA-conjugated micelles instead of non-targeted micelles.<sup>120</sup> In another study, they utilized the same micellar system to co-deliver TMX and quercetin to 4T1 cells.<sup>121</sup> The authors found that by applying FA-conjugated micelles containing the highest dose of TMX/quercetin ( $\sim 20$   $\mu\text{g mL}^{-1}$ ), the cell viability decreased to about 29%. In another work, an FA-conjugated PEG2k-DSPE nanoemulsion was constructed by Hu *et al.* using high-pressure homogenization.<sup>122</sup> The PTX was loaded into a PEGylated nanoemulsion to achieve *in vivo* delivery to 4T1 cell-based tumours in mice. Surface modifications *via* PEGylation are utilized not only to extend their plasma half-life circulation but also to abrogate their systemic elimination *via* the reticuloendothelial system.<sup>123</sup> An *in vitro* cumulative release of 47% was reported after 12 h, along with a higher uptake into 4T1 cells of the FA-conjugated PNPs compared to the non-targeted NPs. The authors reported *in vivo* studies focusing on tumour growth inhibition, reduced drug side effects, and prolonged survival, resulting in enhanced antitumour effect and interference of passive and active targeting using PEGylated PNPs.<sup>122</sup>

Further, Koul *et al.* utilized redox-responsive PNPs *via* ring-opening polymerization of lactide with PEG that was followed by isomerization polymerization of this copolymer and 2-hydroxyethyl disulphide (Fig. 9B).<sup>124</sup> The random multiblock FA-PLA-PEG-PLA-urethan-S-S was used to deliver DOX to MCF-7, BT474, and L929 cells. Urethane (carbamate) contributes to the stability and mechanical properties of the NCs, while disulphide linkages can be selectively cleaved in the presence of reducing agents such as glutathione (GSH). The reaction of OH-PLA-PEG-PLA-OH with 2-hydroxyethyl disulphide and hexamethylene diisocyanate under an  $N_2$  atmosphere led to the formation of multiblock copolymer that later conjugated with FA in the presence of NHS/DCC. Drug release studies showed different outcomes in neutral and acidic pH in the presence and absence of GSH as a reducing agent. The authors found that about 72% of DOX was released at pH 5.5, higher than at pH 7.4 ( $\sim 18\%$ ). The drug release profile upon GSH showed accelerated drug release at pH 7.4 and 10 mM GSH ( $\sim 55\%$  drug release after 96 h). Enhanced *in vitro* uptake into MCF-7 cells of up to 22% was reported for FA-conjugated PNPs compared to non-targeted PNPs. *In vivo* studies of Ehrlich ascites tumours in mice showed that about 91% of the tumour regressed by using FA-conjugated PNPs compared to free DOX-treated mice with only 35% anti-tumour activity.

In a recent approach, self-assembled lipopolymeric NPs with higher stability than liposomes were utilized by Chitkara *et al.* to deliver DTX *via* FR-targeting for the treatment of TNBC using MDA-MB-231 cells.<sup>125</sup> The authors grafted an amphiphilic lipopolymer with cholesterol and DL-lactide by microwave-assisted ring-opening polymerization. The microwave energy promotes

the opening of cyclic monomers (lactide) and their subsequent polymerization into linear chains enhances reaction rates, and yields uniform polymerization compared to traditional methods. The structure of FA-PEG-*b-p*-(MTC-Chol-co-LA) lipopolymer is shown in Fig. 9C. Two major advantages of PEG chain biopolymers are: first, the self-assembly of PEG chain copolymers and the form of disc-like micelles with stacked-like morphology that enable a higher drug payload, and second, linear or branched aliphatic polycarbonates are susceptible to stimuli-responsive degradation.<sup>126</sup> However, the authors reported that about 13% of DTX was released in the first 12 h, while the cumulative release reached around 77% after 7 days.<sup>125</sup> The fabricated FA-conjugated lipopolymeric NPs offered a desirable property profile and showed significant *in vitro* and *in vivo* stability, prolonged DTX release on the tumour site, a significant intracellular uptake, improved pharmacokinetic profile, enhanced EPR effect, improved cytotoxicity, apoptosis, and change in expression levels of Bcl-2, BAX, and Ki-67.

Following these findings, Li and Zhu *et al.* reported that the Bax, Bcl-2, caspase-3, and caspase-9 were activated in apoptotic cells by extrinsic and intrinsic pathways utilizing FA-conjugated chitosan-based NPs *via* co-delivery of DOX and oleanolic acid.<sup>127</sup> The highest mRNA expression levels were exhibited for those genes and induced apoptosis in MDA-MB-231 cells. This concept was further exploited in an exciting study by Khan and Madni *et al.*, utilizing FA-conjugated chitosan-phosphatidylcholine-based NPs to enhance the antitumour efficiency of cisplatin toward SK-OV-3, A2780, and MCF-7 cells.<sup>128</sup> In this system, the phosphate head group of lipid S75, consisting of 70% phosphatidylcholine, engages in interactions with the positively charged FA-conjugated chitosan (Fig. 9D). Notably, the ratio of lipid : FA-chitosan in the ionic gelation method impacts NP's size and polydispersity index and the encapsulation efficiency of cisplatin. Gel-like particles can be created by inducing the cross-linking of polymers through electrostatic interactions between oppositely charged ions. They found a sustained release profile of up to 90% within 48 h. Folate receptors mediate higher cellular uptake of FA-conjugated cisplatin-loaded PNPs and enhanced cytotoxicity of cisplatin-loaded PNPs compared to free cisplatin *in vitro*.

PEGylation has been applied for clinical NC formulation to shield particles from opsonization and reduce the rapid uptake by the reticuloendothelial system of the blood.<sup>123b</sup> Another study by Arias *et al.* showed the great potential of FA-conjugated PEGylated PLGA NPs for targeted 5-FU delivery.<sup>129</sup> The authors optimized several polyvinyl alcohol (PVA) concentrations (0.5–1.5% w/v) and sonication time (from 0.5 to 5 min) to stabilize uniform size distribution, polydispersity, and optimal formulation of PLGA-PEG-FA NPs. The negative surface charge of FA-PEG-PLGA NPs at about  $-15$  mV exhibited a relatively lower value before FA conjugation. By protonation of  $-NH_2$  groups of FA, the negative charge on PLGA is diminished. The authors reported that the initial burst release of 5-FU was only 25% after 1 h, attributed to 5-FU release that is weakly bound on the surface. In contrast, complete polymer degradation after 6 days led to about 80% 5-FU release. Cytotoxicity studies in FR(+) MCF-7 and HT-29 cells demonstrated that the  $IC_{50}$  of FA-



conjugated PNPs was 4-fold lower than that of the non-targeted PNPs *in vitro*.

An interpenetrating polymeric network (IPN) is a hydrogel-based drug carrier comprising at least two polymers cross-linked – simultaneously or sequentially – with each other.<sup>130</sup> An IPN refers to a unique type of polymer structure where two or more polymer networks are intertwined or interlocked at a molecular level without covalent bonds. Raj *et al.* utilized an IPN comprising carboxymethyl cellulose and egg white (EW) that was cross-linked with PEG and PVA to deliver cyclophosphamide (CP) to MCF-7 cells.<sup>131</sup> The authors blended the carboxymethyl cellulose with EW *via* the heat coagulation process to improve the mechanical properties of IPN and CP release efficiency. In principle, hydrogen bonds in cellulose hydrogels enhanced physicochemical properties and pH sensitivity expanding its applications.<sup>132</sup> The low drug loading is attributed to the steric barrier of cross-linked PEG, which prevents IPN aggregation and stabilizes its structure. Notably, the hydrodynamic size of FA conjugation on CP-loaded IPN was reported at about 239 nm (DPI ~0.19) with a  $\zeta$ -potential of –36 mV, confirming grafting of FA-EW conjugate on the polymer surface. The encapsulation efficiency of CP-loaded FA-conjugated IPN was reported at about 94% higher than carboxymethyl cellulose-EW IPN (~64%) because of the higher capacity of cross-linked PEG/PVA to entrap the CP. Furthermore, the authors found that the CP release from FA-EW/CP IPN at pH 5 (~55%) is relatively higher than at pH 7.4 (~29%) after 48 h.<sup>131</sup>

Multi-shelled hollow capsules, including organic, polymer, metal oxides, and metallic-based capsules, are mainly utilized in drug carriers due to their layer-by-layer assembly to create a unique internal cavity to carry drugs and the well-controlled release upon stimuli. The choice of materials depends on the desired properties of the capsules, such as biocompatibility, stability, and responsiveness to external stimuli. The distinct compartments within the capsules can be loaded with different drugs, enabling combination therapies or sequential release of multiple therapeutic agents. In a pioneering study, Kim *et al.* reported FA-conjugated hollow polymeric capsules (HPCs) for delivery of DOX to MCF-7 cells and mouse embryonic fibroblast (NIH/3T3) cells.<sup>133</sup> As shown in Fig. 10G, the benzenedimethanol-based HPCs, and naphthalenedimethanol-based HPCs were synthesized *via* a self-assembly Friedel–Crafts polymerization composed of hydroxyl-branched hollow capsules. The authors assume that the –OH was converted to –COOH in order to conjugate with the FA molecule and stabilize DOX through  $\pi$ – $\pi$  interactions within the aromatic structure. The authors have developed an acid–base interaction-mediated self-assembly method to generate *in situ* functionalized HPCs with tuneable wall thickness.<sup>134</sup> The particle's porosity provided a maximum DOX encapsulation of up to 86%. An efficient drug release of up to 50% was reported after 30 h in an acidic medium. In comparison, the cumulative release was only 16% after 150 h under neutral and weak basic conditions due to the pH-responsible release performance of PNPs. Furthermore, the *in vitro* delivery of DOX to MCF-7 cells showed that FA-HPCs had higher cellular uptake than non-targeted HPCs. Noteworthy, naphthalenedimethanol-based capsules had stronger DOX

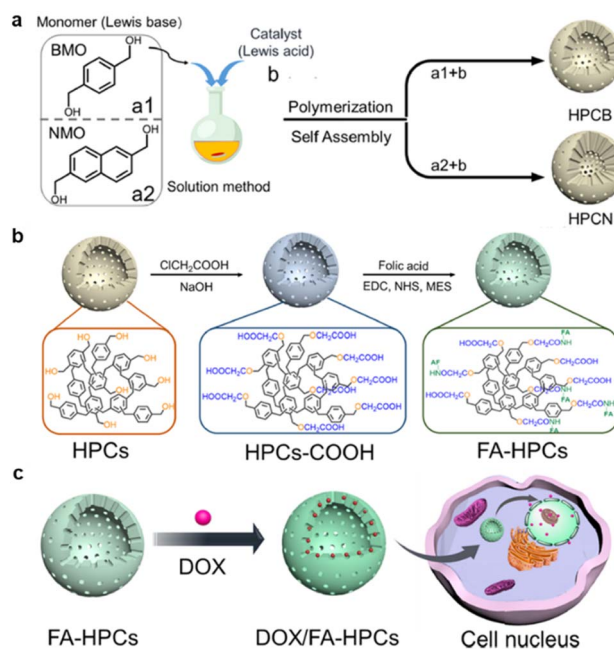


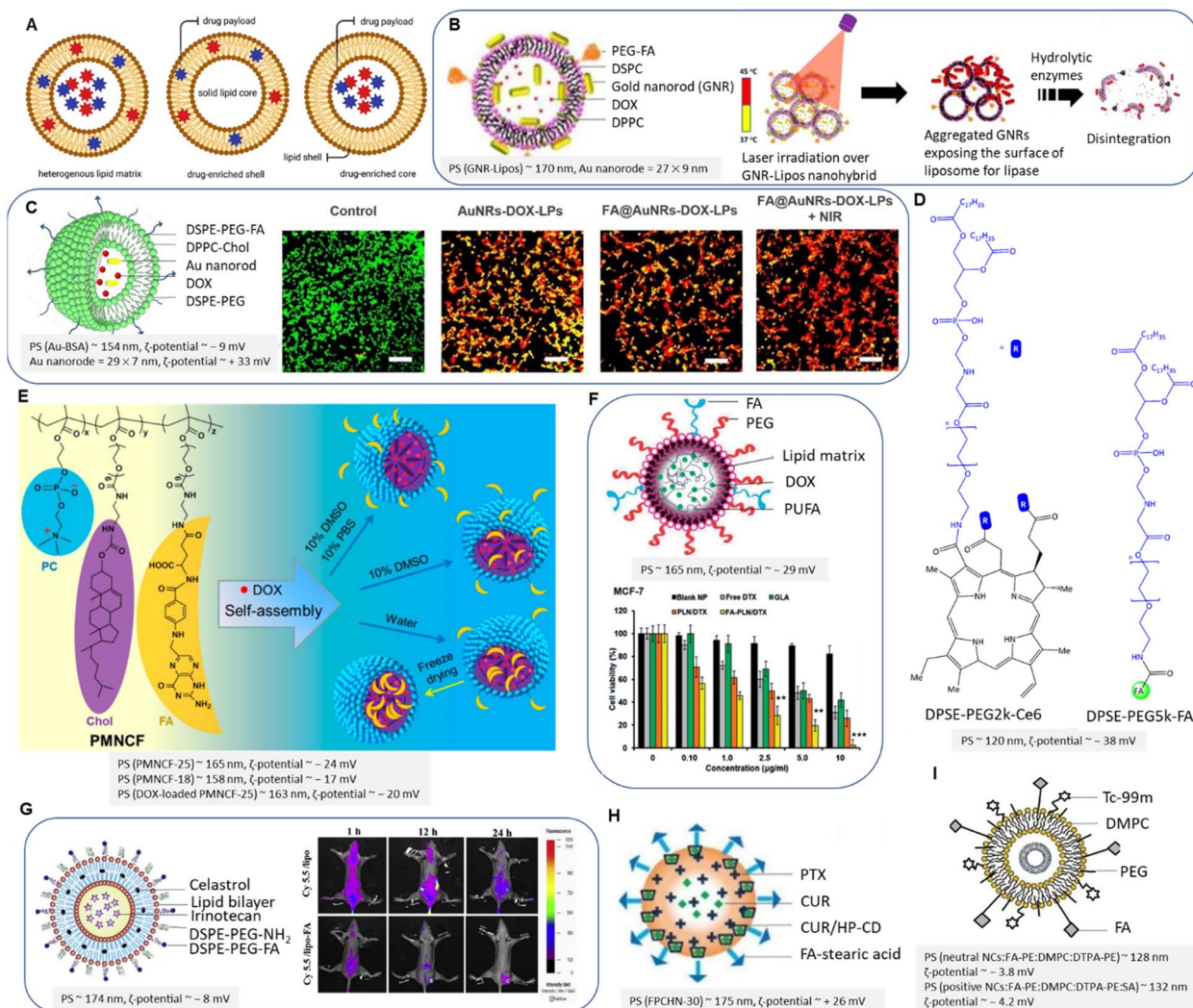
Fig. 10 Schematic illustration of the FA-conjugated hollow polymeric capsule FA-HPCs for delivery of DOX. (a) Self-assembly of HPCs, (b) FA-conjugated HPC synthesis, (c) illustration of drug delivery to cancer cells. Reproduced with permission from ref. 133. Copyright 2021, American Chemical Society.

fluorescence inside the nuclei due to higher  $\pi$ – $\pi$  interactions. Multi-shelled structures possess several desirable properties, including high loading capacity, sequential drug release, and the ability for multifunctional modification, making them versatile and attractive for receptor-mediated targeted therapies.

**2.1.2 Lipid-based nanocarriers.** Self-assembled lipid-based nanocarriers (NCs) are formed based on the hydrophobicity of lipid tails and hydrophilicity of head groups in an amphiphilic process in an aqueous solution. Hence, therapeutics can be dispersed into the lipid matrix *via* a drug-enriched shell model and drug-enriched core model (Fig. 11A) that depends on the composition and applied formulation techniques, NC size, surface charge, and drug loading capacity. Liposomal systems are one of the subsets of (phospho)lipid-based NCs composing spherical lipid bilayers that were developed to improve pharmacokinetics performance biodistribution delivery of hydrophobic or hydrophilic therapeutics. In this context, Selvaraj and Srivastava *et al.* reported DOX-loaded FA-conjugated Au nanorods and liposomes for dual chemotherapy and imaging-guided photothermal therapy (PTT) upon NIR irradiation for cancer metastasis (Fig. 11B).<sup>135</sup> They found that Au nanorods are located on both the inner and outer surfaces of the self-assembled liposome when a 1 : 9 ratio of dipalmitoylphosphatidylcholine (DPPC): 1,2-distearoyl-*sn*-glycero-3-phosphocholine (DSPC) was utilized. The gold rods stabilize the liposome and prevent premature drug release. In contrast, the drug was trapped during the film hydration and sonication process. The complete NC disintegration and subsequently DOX release and uptake by MDA-MB-231 cells was reported upon near-infrared







**Fig. 11** (A) Schematic representation of lipid matrix, drug-enriched shell model and drug-enriched core model. (B) Schematic illustration of gold nanorods-liposome "FA-PEG-GNR-Lipos" (left) and the schematic release of embedded liposomes upon NIR (right). Adapted with permission from ref. 135. Copyright 2018, American Chemical Society. (C) Schematic of FA@AuNRs-DOX-LPs (left) and CLSM images of calcein-AM/EthD-1 stained 4T1 cells treated with NCs upon NIR (right). Rearranged with permission from ref. 137. Copyright 2018, Elsevier. (D) Chemical structure of PTX@FA-NLC-PEG-Ce6. (E) Schematic illustration of PMNCF structure and micelle formation. Reproduced with permission from ref. 140. Copyright 2019, American Chemical Society. (F) FA-conjugated PUFA-based LNPs and antitumour activity of NCs *in vitro* after 24 h. Reproduced from ref. 143 (CC BY). (G) FA-conjugated liposomes (left) and *in vivo* biodistribution of NCs on MDA-MB-231 tumour-bearing mice (right). Reproduced with permission from ref. 145. Copyright 2018, Elsevier. (H) PTX/CUR-HP-CD co-loaded LNPs. Adapted from ref. 148 (CC BY 3.0). (I) FA-conjugated radiolabeled liposome. Reproduced with permission from ref. 155. Copyright 2019, Elsevier.

irradiation (NIR,  $\lambda = 750$  nm) at pH 2 within 12 h. Au nanorod/liposome system was aggregated after irradiation, while hydrolytic lipase led to full disintegration of liposome at acidic pH of tumour microenvironment, and consequently the DOX release. This NC system also displayed a good contrast after NIR exposure in computer tomography as well as transmission electron microscopy imaging. Similarly, the luteolin (LUT)-loaded liposomal system coated with poly-lysine-FA, as reported by Mudavath *et al.*,<sup>136</sup> is an interesting formulation that delivered the payload upon NIR laser at  $\lambda = 808$  nm. The size of the FA-conjugated LUT-loaded liposome was about 180 nm with a positive surface charge of +33 mV. LUT was reported to inhibit cell migration and proliferation by regulating vascular

endothelial growth factor (VEGF) expression and induced apoptosis *via* up-regulation of caspase-3.

PEGylated artificial phospholipid vesicles were mainly used to stabilize the chemotherapeutics and prolong blood circulation. PEGylation forms a hydrophilic layer on the liposome surface, resulting in reduced affinity to the mononuclear phagocyte system, reduced systemic toxicity, and clearance immunogenicity. Han, Park, and Choi *et al.* introduced a relevant liposomal platform intending to evaluate *in vivo* activity of breast tumour regression by the synergistic effect of PT and DOX chemotherapy.<sup>137</sup> The liposomes are composed of DPPC/cholesterol/DSPE-PEG2k. The seedlessly synthesized Au nanorods were coated with bovine serum albumin (BSA) to reduce



the toxicity caused by cetrimonium bromide as an emulsifier. The co-loaded DOX and Au nanorods were decorated with FA-conjugated liposomes (Fig. 11C). About 46% of encapsulated DOX was released at endosomal environmental pH upon exposure to NIR ( $\lambda_{\text{ex}} = 808 \text{ nm}$ ) for 5 min. FA-conjugated liposomes induced significantly higher toxicity against 4T1 cells ( $\text{IC}_{50} \sim 3.1 \mu\text{g mL}^{-1}$ ) than non-targeted carriers. Cell viability decreased upon NIR irradiation, and a higher dose of DOX entered the cell ( $\text{IC}_{50} \sim 1.9 \mu\text{g mL}^{-1}$ ), which is attributed to local hyperthermia. Confocal laser scanning microscopy imaging of calcein-AM/EthD-1 stained 4T1 cells before and after treatment indicated that the most efficient anti-tumour effects belong to synergistic therapy using FA-conjugated NPs and NIR (Fig. 11C). In another study, Feng *et al.* constructed an FA-conjugated PEGylated nanostructured lipid carrier loaded with PTX and photosensitizer chlorin e6 (Ce6) for effective photothermal therapy.<sup>138</sup> The amine group of DSPE-PEG2k was conjugated with Ce6 to enhance water solubility, while FA interacted with amphiphilic DSPE-PEG5k-NH<sub>2</sub> guided targeted drug delivery (Fig. 11D). This nanocarrier system enhanced the solubility of PTX and Ce6, increased their intracellular uptake, and produced sufficient local ROS, such as singlet oxygen<sup>139</sup> that was triggered by laser irradiation *via* electron intersystem crossing, eventually inducing increased cytotoxicity on MDA-MB-231 cells by moderate synergistic effects. The cell viability of cancer cells was reported at about  $95 \mu\text{g mL}^{-1}$  of FA-conjugated LNPs irradiated with light of wavelength 660 nm.<sup>138</sup> The cumulative release value of PTX was about 55% within 72 h. The *in vivo* imaging of tumour-bearing nude mice after NPs injection showed increased fluorescence intensity regarding FA-conjugated NPs than non-targeted NPs (Fig. 11D).

Contrary to liposomes, micelles are closed lipid monolayers with a hydrophobic or hydrophilic core with hydrophobic fatty acids on the surface (known as an inverted micelle). Micelles are extensively utilized not only for efficient endosomal escape due to their self-assembly structure having a hydrophobic core and a hydrophilic shell but also related to their higher affinity to accumulate in cancer cells. In this context, Gong *et al.* reported on FA-conjugated cell membrane mimetic copolymeric micelles (PMNCF) constructed *via* amidation reaction of the  $-\text{O}-\text{C}=\text{O}$  of PMN with the  $-\text{NH}_2$  of phosphorylcholine zwitterion and cholesterol.<sup>140</sup> Of note, free-radical copolymerization was utilized by the authors to develop PMN copolymers.<sup>141</sup> The FA molecule conjugated at the end of the polymer side chains bearing the amino group (Fig. 11E). The FA conjugation and equal ionic charges of phosphorylcholine zwitterion affect cancer cell targeting and cellular uptake. By increasing the percentage of dimethyl sulfoxide to 10% of the solution, the authors reported better FA solubility and higher FA connectivity to the micelle surface. Hence, the killing efficacy was enhanced to 160% upon the above optimization. The molecular weight of PMNCF influences the NPs size,  $\zeta$ -potential, and consequently cell viability. Cell viabilities of DOX-loaded micelles ( $0.02 \text{ mg mL}^{-1}$ ) reduced free DOX toxicity to 20% for normal L929 cells. The strong hydrophobicity of the cholesterol core led to the well-controlled release of hydrophobic DOX at pH 7.4 and decreased cytotoxicity. Increasing the hydrophobicity of the

micellar core induced a higher loading capacity and sustained DOX release, which follows previous research.<sup>142</sup>

Polyunsaturated fatty acids (PUFAs) are another group of cell membrane-compatible molecules that was utilized by Yong and Kim *et al.* to design FA-conjugated PUFA-based lipid NPs to increase the efficacy of DTX in multi-resistant metastatic breast cancers (Fig. 11F).<sup>143</sup> This compatibility can enhance the effectiveness and bioavailability of these NCs in drug delivery applications. The results corroborated that the PUFA synergistically improved the anticancer efficacy of DTX against MCF-7 and MDA-MB-231 cells by inducing a G2/M phase arrest and cell apoptosis in line with other investigations. A dose-dependent cytotoxic effect reported by exposing cells to  $10 \mu\text{g mL}^{-1}$  of DTX yielded 50% cell death in MCF-7 cells. One-half of the loaded DTX was released from FA-conjugated NPs after 96 h. The authors also reported that the PUFA/DTX combination not only downregulated the expression of PARP, caspase-3, and caspase-9 but also blocked the phosphorylation of the Akt signalling pathway in tumour models revealed by western blot analysis. This phenomenon is in accordance with the downregulation of the phosphatidylinositol 3-kinase (PI3K) and protein kinase B (Akt) signalling pathway in breast cancer to regulate cell growth, cell proliferation, and apoptosis.<sup>144</sup> In addition, the authors found that the Bcl-xl as a transmembrane protein family was markedly downregulated upon treatment with FA-conjugated lipid NPs.

FR-targeted liposomes loaded with bioactive agents exhibited selective cytotoxicity against FR(+) breast cancer cells. As depicted in Fig. 11G, FA-conjugated celastrol- and irinotecan-loaded liposomes were fabricated and evaluated by Yong and Kim *et al.* for treating FR(+) MCF-7 and MDA-MB-231 cells.<sup>145</sup> Liposomal NPs were prepared by a thin-film hydration technique<sup>146</sup> utilizing DPPC, cholesterol, and DSPE-PEG-FA. Irinotecan and celastrol with different solubility rates were safely encapsulated in lipophilic and aqueous environments of the lipid bilayer resulting in a sustained release mechanism. Of note, irinotecan has gastrointestinal toxicity and myelosuppression, limiting its usage and administration.<sup>147</sup> *In vitro* uptake of both drugs was reported for FR(+) cells using FA-conjugated PEGylated liposomes, whereas their uptake in A549 as FR(−) lung cancer cells was insignificant. This was demonstrated by Cyanine 5.5 loaded liposomes that yielded higher intensity using FA-conjugated liposomes than non-targeted liposomes (Fig. 11G). In addition, tumour cell volumes, angiogenesis, and cell proliferating markers CD31 and Ki-67 were significantly downregulated, while the PARP and caspase-3 were upregulated by treating with FA-conjugated drug-loaded liposomes. Unlike the above research, for the purpose of overcoming MDR in MCF-7 and ADR cells, a sequential release of encapsulated CUR in the lipophilic cavity of 2-hydroxypropyl- $\beta$ -cyclodextrin (HP- $\beta$ -CD) and PTX-trapped in FA-conjugated LNPs reported by Baek and co-workers (Fig. 11H).<sup>148</sup> The hydroxypropyl groups introduced into the  $\beta$ -CD molecule improve its solubility and enhance its ability to interact with hydrophobic molecules. This molecule was utilized to improve drug stability and water-solubility for earlier release of CUR compared to PTX. Several clinical trials utilizing



CUR have reported its impact on the expression and regulation of growth factors, protein kinases, inflammatory cytokines, and apoptosis-related proteins.<sup>149</sup> However, a faster CUR release enables P-gp mediated efflux pump inhibition,<sup>150</sup> which allows increased cellular uptake and cytotoxicity of PTX. It is known that P-gp suppression in a dose-dependent manner of CUR can be achieved by downregulating the PI3K, AKT, and NF- $\kappa$ B pathways.<sup>151</sup>

Solid lipid NCs were designed by admixing glyceryl monostearate and TPGS in the oil phase to the polysorbate 80 in the aqueous phase and blended with stearic acid and FA in the organic lipid phase.<sup>148</sup> However, they found that the lipophilicity, location of drugs on lipid NPs, amount of used HP- $\beta$ -CD, the lipid matrix, surfactant concentration, and solubility of the drugs could affect the release profile of drugs from NPs.<sup>148</sup> The same strategy was employed using dual CUR/GEM-loaded PNPs.<sup>117</sup> In another work, lipoprotein-based NCs were fabricated by Pandita *et al.*, comprised of phosphatidylcholine, cholesterol, and stearyl amine.<sup>152</sup> The natural biocompatibility and targeting capabilities make lipoprotein a promising platform for targeted drug delivery, imaging, and diagnostics, *e.g.*, by incorporating fluorescent dyes or contrast agents into low-density lipoproteins. The authors found that the FA was conjugated to BSA by amino groups and oriented outward lipophilic center of NCs. Resveratrol (RSV) was loaded into LNPs, and about 91% of the drug was released within 72 h. FA-conjugated LNPs inhibited the growth of MCF-7 and A549 cells with an IC<sub>50</sub> value of 9.6 and 16.8  $\mu\text{g mL}^{-1}$ , respectively.

Strategies using radiolabeled NCs are one of the major studies on the limitation of endogenous (interstitial) radiotherapy.<sup>153</sup> For example, technetium-99m (<sup>99m</sup>Tc) and indium-111 (<sup>111</sup>In), gallium-67 (<sup>67</sup>Ga), gadolinium-153 (<sup>153</sup>Gd), iodine-123 (<sup>123</sup>I), and copper-67 (<sup>67</sup>Cu) are known as  $\gamma$ -emitting radionuclides that have been employed for non-invasive monitoring of the biodistribution and accumulation of the drug *via* single photon emission computed tomography (SPECT), while iodine-131 (<sup>131</sup>I) has been used as  $\beta^+$  emitter in positron emission tomography (PET).<sup>154</sup> To visualize liposome distribution and their accumulation sites, a <sup>99m</sup>Tc-radiolabeled liposomal platform was employed by Silindir-Gunay *et al.* for molecular tumour imaging SPECT and CT.<sup>155</sup> In principle, NCs such as liposomes can be labeled by indirect labeling that involves attaching a radiolabeled molecule (such as a chelator or a targeting ligand) to the surface of previously prepared NCs using conjugation chemistry<sup>156</sup> or by direct labeling *via* incorporating a radiolabeled ligand or chelator to label metal radionuclides into the NC's surface during the preparation.<sup>157</sup> The authors reported neutral and positive charged FA-conjugated and PEGylated DTPA-PE containing liposomes (Fig. 111). DTPA was applied as a metal chelating agent for direct radiolabeling of liposomes with <sup>99m</sup>Tc. The authors formulated this liposomal platform according to the film hydration method using DMPC, PEG2k-DSPE, cholesterol, and DTPA-PE.<sup>155</sup> In this design, the particle size increased by adding a positive charge inducer, such as stearyl amine, to liposomes. FA-conjugated liposomes (either neutral and positively charged) were effective as tumour-imaging agents and exhibited a significant uptake

enhancement and brighter fluorescence than unmodified liposomes in 4T1 breast cancer cells *in vitro*.

**2.1.3 Magnetic nanocarriers.** Magnetic nanoparticles (MNPs) are extensively utilized in drug delivery due to their specific on-demand drug release mechanism *via* an external magnetic field. The MNPs are also well known for *in vitro* and *in vivo* diagnostics such as MRI application, CT, PET, SPECT, and hyperthermia effect *via* alternating magnetic fields (AMF).<sup>158</sup> Of note, MNPs can be coated with polymers *via* cross-linking reactions or inorganic matrices such as silica to reduce MNPs' susceptibility to leaching and mediating toxicity while generating the potential to be activated by alternating magnetic field (AMF).<sup>159</sup> With this in mind, Ramazani and Rezaei *et al.* reported MNPs prepared by co-precipitation of FeSO<sub>4</sub> and FeCl<sub>3</sub> to obtain Fe<sub>3</sub>O<sub>4</sub>-(COOH)<sub>n</sub> superparamagnetic iron oxide nanoparticles (SPIONs), which were coated with PCA-PEG copolymer.<sup>160</sup> In this design, the hydroxy groups of the PEGylated surface of MNPs were conjugated with the carboxylic acid of FA, while the PCA was employed for the initial coverage surface of MNPs. Quercetin was loaded into MNPs to treat MDA-MB-231 and HeLa cells. About 60% of cells were killed by treatment with 100  $\mu\text{g mL}^{-1}$  of quercetin loaded in FA-conjugated Fe<sub>3</sub>O<sub>4</sub>@PCA-PEG within 24 h. In addition, the authors reported a reduction in the signal intensity at higher iron concentrations, indicating a negative contrast enhancement of MNPs in MRI as a dark signal (T2 MRI contrast). MNPs, due to their unique magnetic properties, can induce signal voids of decreased signal intensity in the surrounding tissues, creating a "negative contrast" effect in order to provide valuable diagnostic information in medical imaging applications. Notably, the positive contrast agents have more radiopaque in T1-weighted MRI.<sup>161</sup> Combinations of MNPs and metal-organic frameworks (MOFs) have gained significant attention for the development of magnetic MOF composites. MOFs exhibit high surface areas, tunable pore sizes, chemical stability, and versatile chemical compositions, enabling them to host and deliver diverse molecules in a controlled manner. In this context, a CUR/5-FU-loaded magnetic MOF reported by Khoobi *et al.* was coated with chitosan and decorated to the FA molecule on the surface *via* electrostatic interactions.<sup>162</sup> The surface adsorption after modification of Fe<sub>3</sub>O<sub>4</sub>@Bio-MOF with FA-chitosan conjugate was changed to a positive value of  $\zeta$ -potential (Fig. 12A). In this work, they verified a selective uptake of FA-conjugated NCs towards MDA-MB-231 cells by active and passive targeting and releasing the 5-FU upon pH change.<sup>162</sup> The initial burst release of 5-FU at pH 5.5 occurred after about 10 h (~40%), followed by a sustained release up to 87% after 78 h. They reported that a higher release of 5-FU could be attributed to the sensitivity of the FA-chitosan layer in the acidic tumour microenvironment. However, the MRI displayed negative contrast enhancement, confirming the NC's ability to be applied as a diagnostic agent and a T2 MRI contrast. This phenomenon is in accordance with the T1-T2 dual-modal MRI for diagnosis using contrast agents.<sup>163</sup> Similarly, a chitosan-coated MOF decorated with FA was fabricated by Karmakar *et al.* to deliver CUR toward MDA-MB 468 and 4T1 cells.<sup>164</sup> The size of FA-conjugated NCs was about 117 nm with a  $\zeta$ -potential of about -11 mV, while the





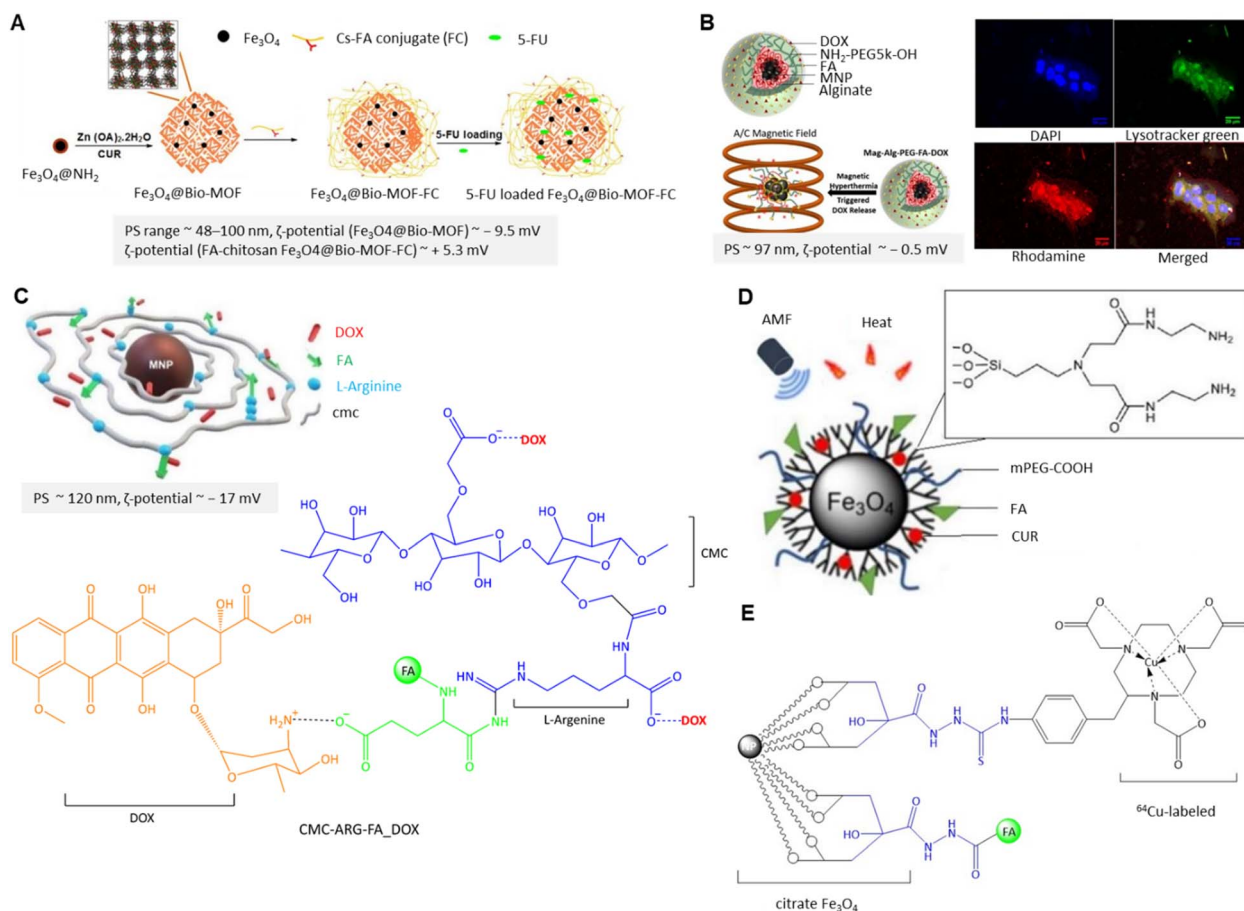


Fig. 12 (A) Schematic preparation of 5-FU-loaded  $\text{Fe}_3\text{O}_4$ @Bio-MOF-FC. Rearranged with permission from ref. 162. Copyright 2019, Elsevier. (B) Schematic of DOX-loaded Mag-Alg-PEG-FAG (left) and magnetic hyperthermia effect on DOX release from MNPs along with confocal fluorescence microscopy images of the uptake of rhodamine-labelled Mag-Alg-PEG-FA NPs by the MDA-MB 231 cells after 24 h under a static magnetic field (right). Reproduced from ref. 167 (CC BY). (C) 3D illustration of DOX-loaded CMC-ARG-FA MNPs along with the chemical structure of CMC-ARG-FA-DOX. Reproduced with permission from ref. 168. Copyright 2020, Royal Society of Chemistry. (D) Schematic representation of the FA-mPEG-PAMAM G3-CUR@SPIONS. Adapted from ref. 172 (CC BY). (E) The chemical structure of FA-conjugated  $^{64}\text{Cu}$ -labeled MNPs.

hydrodynamic size of the CUR-loaded IRMOF-3@FA NCs was increased to about 371 nm. About 55% of CUR was released from MNPs within 24 h, at pH 5.5. ROS levels increased about 1.5-fold for MDA-MB 468 and 4T1 cells after treatment, triggering cell death *via* ROS-induced apoptosis by disrupting the mitochondrial membrane. FA-conjugated MNPs-induced apoptosis in TNBC cells by downregulation of Bcl-2 and upregulation of Bax.<sup>165</sup> Besides, the authors reported increased activity of c-Jun N-terminal kinases (JNK) as the regulatory pathway of Bcl2, Bax, and p53. Noteworthy, the p53 tumour suppressor is partly involved in apoptosis by inducing Bax expression.<sup>166</sup>

Alginate (Alg)-PEG copolymer was employed by Angelopoulou *et al.* to coat the condensed magnetic iron oxide NPs (termed co-MIONS; Fig. 12B) not only to improve the DOX loading efficiency by about 10% *via* Alg shell but also for a better response than conventional magnetic nanocrystals to a magnetic field in MRI by employing co-MIONS.<sup>167</sup> PEG (OH-PEG-NH<sub>2</sub>) is conjugated to the carboxylic acid end group of Alg, while FA is conjugated to the

hydroxyl terminal group of PEG to produce FA-functionalized pegylated co-MIONS. The MNPs exhibited sustained DOX release of about 60% within 48 h, responsive to pH and magnetic hyperthermia (Fig. 12B). In the acidic tumour microenvironment, the -COOH of Alg protonated and facilitated DOX release. The granular distribution of the MNPs in the cytoplasm after 24 h (Fig. 12B). The FA-conjugated MNPs enhanced DOX uptake and increased apoptosis and cytotoxicity against the MDA-MB-231 cells under a 0.5 T magnetic field. Similar MNPs named “all-in-one nanosoldier” were reported by Mansur *et al.* to treat MDA-MB-231 cells through DOX release, magnetic hyperthermia, and ROS-induced therapy.<sup>168</sup> The carboxymethyl cellulose (CMC) was utilized to coat FA-conjugated MNPs (Fig. 12C). The FA was coordinated to L-arginine (Arg) grafted on CMC through amide bonds. The DOX was loaded by electrostatic interactions between negatively charged carboxylate of CMC and Arg, while the FA interacted with protonated -NH<sub>2</sub> of the DOX. The initial burst release of DOX within the first 5 h resulted in an accumulation of approximately 50%. The authors reported that the release profile



was not significantly affected by the pH of the medium at pH 5.5 and 7.4, which showed overall DOX/CMC interactions balance and DOX solubility. Their findings indicated ferroptosis contributed to the magnetic hyperthermia, while DNA dysfunction was attributed to the intracellular release of DOX. Furthermore, ROS therapy and DOX chemotherapy utilizing FA-conjugated MNPs led to cell death in FR(+) cells than FR(-) cells. Following the above-reported investigations, Zhang and Zhao *et al.* introduced DOX-loaded SPIONs coated with PEG/PEI polymers and conjugated with FA for MRI-guided targeting chemotherapy.<sup>169</sup> SPIONs refer to iron oxide NPs that can be uniformly dispersed in a solution without significant aggregation. They exhibit superparamagnetic properties under an external magnetic field but lose their magnetization when the field is removed. Their uniform size distribution and superparamagnetic properties make them ideal for targeted drug delivery, MRI, magnetic hyperthermia, and *in vitro/in vivo* cell labeling and tracking. Monodispersed SPIONs can be developed by the polyol method due to good colloidal stability with a predicted small hydrodynamic size.<sup>170</sup> However, Zhang and Zhao *et al.* used PEG/PEI polymers first to improve the stability and dispersion of SPIONs in aqueous solutions. Second, amino groups of PEI can be co-conjugated to the carboxylic group from the FA.<sup>169</sup> Indeed, PEI provides dispersion stability by promoting repulsion between NPs, preventing aggregation or precipitation. Conversely, PEG contributes to hydrogen bonding on the SPION surface and more prolonged circulation time, enhancing the overall performance and biodistribution of the NCs. DOX was loaded into the polymer's network through electrostatic attraction and hydrogen bonding, which allowed the DOX to be released at acidic pH (about 90% of DOX was released at pH 5 within 48 h). Tumour growth was inhibited by *in vivo* magnetic hyperthermia treatment towards mice bearing MCF-7 xenograft tumour upon intravenous administration of FA-conjugated SPIONs. Monitoring of SPIONs aggregation in cancer cells by MRI using a superconducting quantum interference device (SQUID) exhibited high saturation magnetization with a negative value of  $T_2$  contrast agent and  $\tau_2$  relaxivity of about  $81 \text{ mM}^{-1} \text{ S}^{-1}$ .

In another study, Zarrabi and Makvandi *et al.* utilized FA-conjugated MNPs coated with  $\text{SiO}_2$  and hyperbranched polyglycerol (hPG), wherein MRI signal intensity using MNPs showed a relation between increasing the NPs uptake in the MCF-7 cells and decreasing the signal related to the  $T_2$  relaxation time.<sup>171</sup> The hPG belonging to the dendritic polymer is used to coat MNPs in order to improve their dispersibility in aqueous solutions and enhance stability. They found that FA-conjugated MNPs showed a higher relaxivity of about  $23 \text{ mM}^{-1} \text{ S}^{-1}$  than non-targeted MNPs. Interestingly, a synthesized SPION system by Ghaznavi and Shakeri-Zadeh *et al.* was coated with two branched polymers, including methoxy-PEGylated poly(amidoamine) and amino-propyl triethoxysilane and trimethoxysilane, for FR-targeted delivery of CUR to treat breast cancer.<sup>172</sup> Cross-linking of polymers on the surface of NPs is a process that involves creating covalent or physical cross-linkages between polymer chains to enhance stability, controlled release, and tailored surface properties. As shown in Fig. 12D, the cross-linked polymeric micelles on the surface of SPIONs can be

triggered by pH and AMF. The release rate of CUR at pH 5.5 was about 40% compared to that observed at pH 7.4 (~20%). The authors claimed that cell death from necrosis to apoptosis triggered by thermo-chemotherapy strategy upon AMF treated with FA-conjugated MNPs was significantly higher than non-targeted MNPs towards KB and MCF-7 cells. In the following, genipin cross-linked aminated starch and zinc oxide were utilized by Maji *et al.* to coat FA-conjugated iron oxide MNPs to deliver CUR to human lymphocytes, HepG2, and MCF-7 cancer cells.<sup>173</sup> The average size of MNPs has been reported to be about 88 nm with a positive  $\zeta$ -potential of +43 mV. The ZnO network could reduce aminated starch's toxicity and increase the CUR loading capacity. The authors applied a variation of ZnO concentration to find that the 0.5% of ZnO with 3% genipin cross-linked aminated starch led to the highest encapsulation efficiency (~76.8%) and up to 58% of cell viability decreased after MNPs optimization. Notably, the oxidative stress *via* ROS production in HepG2 cells was enhanced with increasing concentration of ZnO and reduced tumour growth.

In a pioneering study and as discussed in Section 2.1.2 regarding radiolabelled NCs, Yu *et al.* synthesized dual-mode MNPs for PET- and MRI-based diagnosis of cancer cells.<sup>174</sup> Citrate-stabilized  $\text{Fe}_3\text{O}_4$  NPs were modified with hydrazine to allow conjugation with FA and 1,4,7-triazacyclononane-1,4,7-triacetic acid (NOTA) (Fig. 12E). In the last step,  $^{64}\text{Cu}$  was chelated with NOTA. Besides, other *N*-functionalized polyazacycloalkane chelators, *e.g.*, DOTA,<sup>175</sup> TETA,<sup>176</sup> AAZTA/DATA,<sup>177</sup> and DOTA/PCTA<sup>178</sup> are frequently used to capture the  $^{64}\text{Cu}$  radiolabel. The nitrogen atoms within the polyazacycloalkane structure serve as donor sites to coordinate with the radioisotope. At the same time, the functional groups such as carboxylic acids – for example, in NOTA – can provide additional coordination sites and enhance the stability of the metal complex. Interestingly, Yu *et al.* found a significant radiochemical purity of about 82% in NOTA- $^{64}\text{Cu}$  MNPs, which was stable in buffer solution and human serum within 24 h.<sup>174</sup> They found a higher uptake in FR(+) KB cells than FR(-) cells such as A549 and SKBR3. Furthermore, numerous radiotracers have been used to image the drug distribution and assess therapeutic response in clinical investigations. In particular, radionucleotide imaging is often used to diagnose and management of breast cancer patients because of the overexpression of HER2.<sup>179</sup> By analysing the distribution and intensity of the radioisotope uptake, physicians can obtain valuable information about the extent of HER2-positive tumour lesions, their size, and their metastatic spread. Notably, radionuclide imaging is often used with other imaging modalities, *e.g.*, mammography, ultrasound, or MRI, to evaluate breast cancer patients. As an example, the biodistribution and safety of  $^{64}\text{Cu}$ -NOTA-trastuzumab have been studied as a PET tracer for HER2(+) cancer patients.<sup>180</sup> Similarly, the first in-patient breast cancer HER-PET studied utilizing [ $^{18}\text{F}$ ]GE-226 radiotracer, for non-invasive HER2 imaging in primary and metastatic tumours.<sup>181</sup>

**2.1.4 Non-magnetic metal nanocarriers.** Non-magnetic metal NCs, known as inorganic NCs, are fabricated due to their bimolecular shell-metal core structures and surface



coating's ability to prolong the blood circulation lifetime.<sup>182</sup> Gold, silver, selenium, and tellurium are the most utilized metals in drug delivery in combination with laser irradiation and NIR thermal ablation.<sup>183</sup> For example, the unique optical properties of Au, including surface plasmon resonance (SPR), make them suitable for applications in combination with laser irradiation or NIR thermal ablation. Indeed, the AuNPs have sparked interest due to their ability to exert localized SPR, photothermal conversion capacity upon irradiation, smooth surface conjugations and modifications with biomolecules, and size-tunability.<sup>184</sup> In addition, AuNPs have an adequate drug loading capacity, controlled drug release profile, and photo-thermal stability.

Furthermore, non-magnetic metal NCs can be coated with proteins, polymers, and silica *via* a layer-by-layer assembly, sol-gel, reduction, seed-mediated growth, laser-induced, and photo-induced methods.<sup>185</sup> FA-conjugated and silica-coated AuNPs were reported by Salehi and Alizadeh *et al.* as a way to deliver methotrexate (MTX) toward MDA-MB-231 and MCF-7 cells.<sup>186</sup> In their system, the thiol group binds to the silica surface, forming thiol-functionalized silica-coated NPs. Subsequently, AuNPs were immobilized into a sol-gel matrix *via* thiol linkers. MTX, as well as FA, were loaded into the Au@SiO<sub>2</sub> platform. The FA-conjugated AuNPs had a mean size of about 105 nm with a  $\zeta$ -potential of about +13 mV that was changed to -19 mV after MTX loading.

In principle, MTX can tightly bind to dihydrofolate reductase and inhibit the synthesis of DNA, purines, and thymidylate.<sup>187</sup> In addition, 5-FU is a thymidylate synthase inhibitor that limits the thymidine substrate for DNA synthesis and cell division in TNBC tumours.<sup>188</sup> Both MTX and 5-FU can have off-site toxic effects on cells, which may contribute to side effects experienced by patients. By addressing the challenges associated with 5-FU resistance, poor bioavailability, and off-site toxic effects, researchers aim to optimize the use of these drugs in the management of TNBC tumours. In this context, Singh *et al.* fabricated chitosan-coated FA-conjugated 5-FU-loaded AuNPs and tested its impact in MCF-7, HepG2, and HEK293 cells cancer cell lines.<sup>189</sup> The aminopolysaccharide chitosan offers several hydroxyl and amino groups as binding sites for 5-FU, FA, and AuNP. In this system, trisodium polyphosphate (TPP)-decorated AuNPs loaded with 5-FU and coated with FA-conjugated chitosan. The hydrodynamic sizes of the FA-conjugated AuNPs were reported to be about 149 nm with a highly positive  $\zeta$ -potential of about +57 mV, which is related to the high stability of the colloid dispersion. The release efficiency of 5-FU had a partial pH-dependent manner within 72 h (~90% at pH 5 and ~86% at pH 7.4).

For delivery of 5-FU, Kim *et al.* utilized citrate/PEG (CPEG)-stabilized AuNPs decorated with the thiol group of thioglycolic acid (TGA) in order to conjugate to the -COOH of TGA-AuNPs (Fig. 13).<sup>190</sup> The carboxyl-terminated PEG (CPEG) acts as a stabilizing agent, yielding higher stability of AuNPs by preventing agglomeration.<sup>191</sup> The author claimed that the carboxyl moieties on the surface of AuNPs (Au-S-CH<sub>2</sub>-COOH) can be conjugated with the -NH<sub>2</sub> of the FA molecule. The targeted AuNPs exhibited over 50% 5-FU release at pH 5, while the drug

release at pH 7.4 was only reported about 24% within 12 h. The Au-S bonds are cleaved at pH  $\leq$  6 in the tumour microenvironment, led to nanostructure disintegration and 5-FU release to suppress MCF-7 cell growth *via* a combination of cytotoxic effects of 5-FU and antifolate activity of FA-TGA to prevent FA metabolism and prevent cell proliferation. *In vitro* cytotoxicity studies displayed no toxicity to healthy cells up to 200  $\mu\text{g mL}^{-1}$  of 5-FU and inhibited the proliferation of MCF-7 cells at a concentration of 25  $\mu\text{g mL}^{-1}$ .

A unique polysaccharide-coated fluorescein isothiocyanate (FITC)-labelled AuNPs was designed by Mahesh and Kandasamy *et al.*<sup>192</sup> The carboxyl groups of the polysaccharide (extracted from the gum kondagogu to capped the AuNPs) conjugated with the -OH of FITC and -NH<sub>2</sub> of FA molecule. The cellular uptake of FA-conjugated AuNP (NP's size  $\sim$ 37 nm,  $\zeta$ -potential  $\sim$  -23 mV) displayed a significant FITC delivery to FR(+) MCF-7 cells than FR(-) A549 cells for cellular imaging.

In principle, disruption of intracellular redox homeostasis by ROS inducers causes DNA mutation and apoptosis of cancer cells.<sup>193</sup> ROS-induced cancer therapy is considered to regulate pro- and anti-apoptotic proteins, *e.g.*, caspases and Bcl-2 family proteins.<sup>194</sup> In this context, Wang *et al.* constructed FA-conjugated copper oxide nanoparticles (CuONPs) that could alter the expression of Bcl-2 and upregulation of cytochrome-C, Bax, caspase-3, and caspase-9 expressions *via* activation of mitochondrial ROS generation.<sup>195</sup> CuONPs were coated with aminated starch to deliver *Helianthus tuberosus* extracts to MDA-MB-231 cells. According to the literature, the aminated starch was used as a capping agent for CuONPs and as a linkage to conjugate with -COOH of FA.<sup>196</sup> The authors reported that FA-conjugated CuONPs triggered cancer cell apoptosis *via* regulating Bcl-2/Bax and caspase cascade activation from ROS-stressed cytochrome C of mitochondria.<sup>195</sup> Generally, NPs targeted mitochondria could significantly increase ROS release, membrane integrity loss, EPR effect initiation, and leak of cancer cells' nuclear materials into the cytosol.<sup>197</sup>

**2.1.5 Protein- and peptide-based nanocarriers.** Nanocarrier platforms utilizing proteins and peptides are one of the most interesting drug transporters due to their inherently non-toxic

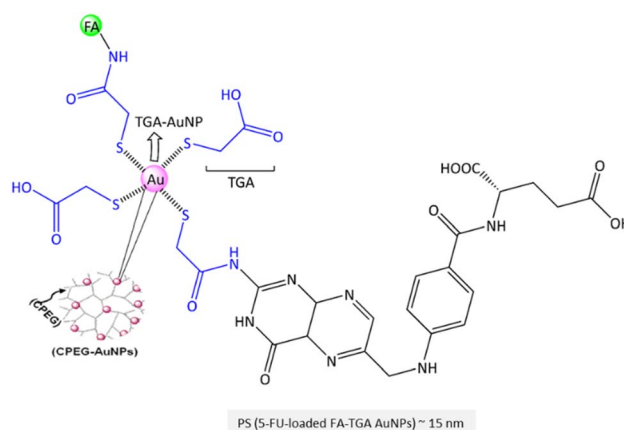


Fig. 13 The chemical structure of TGA-AuNPs.





properties and multi-targeting capabilities.<sup>198</sup> Proteins are naturally occurring macromolecules with diverse structures and functionalities in the nanoscale. Peptides are chains of amino acids (<100) that can be designed and synthesized to possess specific properties. However, enzymatic digestion of protein- and peptide-based NCs by various proteases or recognisability by the immune system are among the main challenges of this approach.<sup>199</sup> Over the last half-decade, various peptides<sup>200</sup> and proteins<sup>201</sup> have been used to develop NCs for drug delivery due to their facile surface functionalization, good cell penetrability, and prolonged half-life. Ongoing research aims to optimize their design, stability, and surface functionalization to overcome biological barriers, increase drug bioavailability, and maximize treatment outcomes. One example is the bovine  $\beta$ -lactoglobulin ( $\beta$ LG), containing 162 amino acid residues with different hydrophobic and hydrophilic characters employed to design pH-responsive NCs. It has a tertiary structure composed of an eight-stranded  $\beta$ -barrel with a hydrophobic cavity in the center having an ability to bind to hydrophobic molecules. Hence, Firuzi *et al.* prepared FA-conjugated  $\beta$ LG-based NPs to deliver DOX to MCF-7 and MDA-MB-231 cells.<sup>202</sup>  $\beta$ LG has a good gelling ability in desolvation and coacervation processes to gain cross-linked NPs. Electrostatic repulsion between the amino groups of  $\beta$ LG leads to the rapid loss of the cross-linked networks and therefore triggers the DOX release. The release efficiency of DOX was reported to be about 68% at pH 4. The cytotoxic effect of DOX-loaded NPs at a concentration of 55 nM against MCF-7 cells was about 1.8-fold higher than free DOX at a concentration of 98 nM.

Human serum albumin (HSA) is the most abundant protein in human blood plasma. Of note, a single-chain polypeptide of HSA containing 585 amino acids helps to increase the solubility of lipophilic drugs. It has a three-dimensional structure with multiple  $\alpha$ -helices and many disulfide bonds. HSA is an attractive candidate for protein-based NCs due to its biocompatibility, drug-loading capacity, and ability to self-assemble into NPs. Hence, Akbarian *et al.* employed HSA to construct protein-based NPs to deliver artemether *via* its encapsulation into protein by desolvation technique.<sup>203</sup> The hydrodynamic diameter of NPs has been reported to be about 198 nm with a  $\zeta$ -potential of  $-23$  mV. The authors claimed that FA conjugations to the HSA NPs significantly enhanced the artemether uptake in the MDA-MB-231 cells than non-targeted NPs.<sup>203</sup> In another case, Mi and Fan *et al.*<sup>204</sup> utilized bovine serum albumin (BSA) is a protein derived from cow's blood plasma that shares 80% sequence homology with HSA<sup>205</sup>) to enhance ginsenoside Rg5 solubility and effective delivery to breast cancer cells *via* folate receptor. The size of FA-conjugated BSA-NPs was about 200 nm (DPI  $\sim 0.08$ ) with a  $\zeta$ -potential of  $-22$  mV. The authors found that the Rg5's release efficiency at pH 5 was significantly faster than at pH 7.4. The cumulative release reached about 46% at pH 5 within 48 h and then increased to 86% after 96 h. Moreover, in an MCF-7 xenograft mouse model, FA-conjugated Rg5-loaded BSA NPs inhibited tumour growth more efficiently than Rg5-BSA NPs and Rg5 itself. Real-time biodistribution was followed by DiR-labeled NPs revealing that Rg5-BSA NPs could also accumulate in tumours *via* the EPR effect. A similar formulation

method was used by Kunjiappan and Panneerselvam *et al.* to fabricate FA-conjugated myricetin-loaded BSA-based NPs but with a smaller particle size of about 78 nm (PDI  $\sim 0.54$ ) and a positive  $\zeta$ -potential of  $+38$  mV.<sup>206</sup> In another example, Danafar and Davaran *et al.* reported another FA-conjugated BSA-based NPs for the delivery of chrysin.<sup>207</sup> The NPs had a spherical shape, with a diameter of about 97 nm (DPI  $\sim 0.18$ ) with a negative  $\zeta$ -potential of  $-11$  mV.<sup>206</sup> The loading capacity was reported at about 2%, and only 20% of the myricetin was released at pH 7.4. The subsequent release reached 35% after 96 h, while the subsequent release enhanced to 57% at pH 5.8 due to NPs dissociation and release of the encapsulated myricetin. Besides, the myricetin release from FA-conjugated NPs was higher than non-targeted NPs, with higher efficiency at pH 5.4 compared to pH 7.4. An effective decrease in the viability of MCF-7 cells by the targeted NPs compared to non-targeted NPs was observed.

Despite the several advantages, including their low cytotoxicity, abundant sources, and significant uptake into the targeted tumour cells, they face challenges like less stability to maintain their integrity and functionality and manufacturing complexity and cost compared to other synthetic carriers.

**2.1.6 Carbon and silica-based nanocarriers.** Carbon and silica-based nanomaterials have been utilized as drug carriers for cellular and optical imaging, PT, and photodynamic therapy (PDT).<sup>208</sup> In principle, functionalization of nanomaterials, such as silica, graphene oxide, fullerenes charcoal, carbon quantum dots, nanotubes, and nano onions can be integrated for FR-targeted NCs (Fig. 14A). These NCs possess advantages, such as high surface area to trap drugs, adjustable pore size, structural stability, facile functionalization, and high biocompatibility. However, poor solubility and low bioavailability concerns are the most significant challenges in clinical practice.

The current synthetic progress along with drug delivery, bioimaging, and biomedical applications of silica<sup>209</sup> and carbon<sup>210</sup> based NCs have been so far addressed. The  $\pi$ - $\pi$  stacking interactions in carbon-based NCs dominate excellent internal interactions with drugs *via* supramolecular forces and facilitate binding with drugs and biomolecules.<sup>211</sup> Silica-based NPs offer high surface area, tunable porosity, and excellent biocompatibility. They can be loaded with therapeutic agents for targeted drug delivery, and their surface can be modified for optical imaging and photothermal therapy (PTT). In this context, FA-conjugated mesoporous silica NPs (MSNs) were developed by Capan *et al.* to deliver DOX to breast cancer cell lines.<sup>212</sup> They functionalized MSNs ( $\sim 50$  nm,  $\sim +27$  mV) using 3-aminopropyltriethoxysilane to produce MSNs-NH<sub>2</sub> that was conjugated with FA ( $\sim 60$  nm,  $\sim +11$  mV) *via* NHS/EDC protocol. Obtained results show that FA-conjugated NPs have superior anti-cancer effects on ZR-75-1 and T-47D cells, without notable toxicity on L929 cells. Similarly, Mehravi *et al.* utilized MSNs for gadolinium (Gd<sup>3+</sup>) delivery to image breast cancer cells.<sup>213</sup> In this method, the silica-diethylenetriamine tetraacetic acid-Gd<sup>3+</sup> complex was conjugated *via* siloxane linkage to the surfactant-extracted FA-MSNs and doped with rhodamine B isothiocyanate to fabricate fluorescent-doped nanoprobe. Relaxometry showed that NCs have good T1-weighted MRI contrast



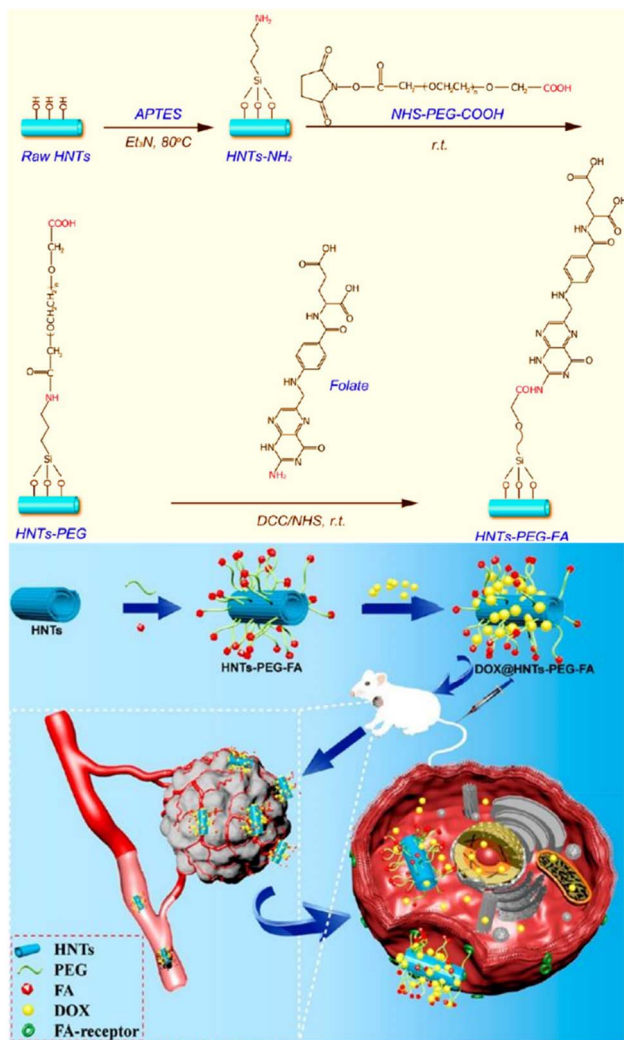


Fig. 14 The representation of the chemical structure of HNTs-PEG-FA along with the schematic synthesis procedure for DOX-loaded HNTs-PEG-FA (top) and the targeting release of DOX from NCs in the cancer cells (bottom). Reproduced with permission from ref. 232. Copyright 2018, American Chemical Society.

agents by delivering a sufficient amount of  $Gd^{3+}$  as contrast agents into cancer cells. This is attributed to their ability to deliver sufficient  $Gd^{3+}$  into cells through FR endocytosis efficiently. Another example of carbon-based NCs having a large surface area is based on fullerenes. Serda *et al.* recently reported triple-bonded [60]fullerene triazoles that successfully localized in MCF-7 cells.<sup>214</sup> Tuning [60]fullerene *via* functionalization through, for instance, 1,2,3-triazole linker group tailored electronic properties and interactions with (bio)molecules. Nano onions with multi-shelled structures are a unique and fascinating class of NCs that offer a high surface area-to-volume ratio for drug loading. In this context, Wang and He *et al.* reported that silica-carbon nano onion targets tumour vasculature to specifically release P-gp inhibitor and control DOX delivery into tumour cells.<sup>215</sup> They showed the superior light absorption property of nano onion in the NIR, leading to controlled P-gp inhibitor and DOX release at a low NIR power.

Graphene oxide (GO) and the above-mentioned carbon- and silica-based NCs are distinct nanostructures with unique properties. GO possesses a large surface area and excellent optical properties, making it suitable for cellular imaging and targeted drug delivery. Additionally, the photothermal properties of GO can also be exploited for PTT. For the purpose of supramolecular force engagement, Chen *et al.* fabricated a pH-sensitive FA-conjugated GO NPs for the targeted delivery of DOX to MCF-7 cells.<sup>216</sup> In their system, FA-conjugated DOX-loaded GO (GOFA) was further encapsulated in a thermo-sensitive hyaluronic acid-chitosan-*g*-poly(*N*-isopropylacrylamide) (HACPN) hydrogel. Of note, hydrogels are stimuli-responsive nanomaterials utilized for drug delivery and wound dressing.<sup>217</sup> The release of DOX was 5-fold higher at pH 5.5 than at pH 7.4. An augmented *in vitro* cytotoxicity of FA-conjugated NCs against MCF-7 was reported with an  $IC_{50}$  value of  $7.3 \mu\text{g mL}^{-1}$  compared to non-targeted NCs ( $IC_{50} \sim 10 \mu\text{g mL}^{-1}$ ) and free DOX ( $IC_{50} \sim 32 \mu\text{g mL}^{-1}$ ). The administration of NCs to mice xenografted with MCF-7 cells (MCF-7/Luc) yielded a tumour volume decrease (2-fold/21 days). In another example, methyl acrylate (MA), as a pH-sensitive polymer, can be conjugated to the GO surface through amide and ether linkers<sup>218</sup> that are utilized to improve the degradability of GO after accumulation in a physiological environment.<sup>219</sup> Rajan *et al.* utilized MA to graft on GO surface (GO-*g*-MA) that further loaded with PTX and conjugated with FA to FR-targeting of NCs into MDA-MB-231 cells.<sup>220</sup> The GO-*g*-MA was produced by *in situ* atom transfer radical polymerization.<sup>221</sup> The PTX release from such NCs at pH 5.5 was about 65% after 24 h.<sup>220</sup> The NCs showed significant cytotoxicity ( $IC_{50} \sim 75 \mu\text{g mL}^{-1}$ ). In addition, increased levels of caspase-8, caspase-3, and cytochrome c activities were reported using FA-conjugated NCs. *In vivo* assessment showed a significant reduction in tumour growth in rats during the 6 weeks of treatment which is attributed to cell-cycle arrest induction, followed by mitochondria-mediated apoptosis.

Carbon quantum dots (CQDs) are fluorescent NPs with excellent biocompatibility, easy surface functionalization, good aqueous solubility, and outstanding optical properties. In an attempt to improve visualization, Shuang *et al.* implemented FA-conjugated fluorescent carbon dots (CDs) for photostability fluorescence imaging of FR(+) HepG2 cells compared to FR(−) PC-12 cells.<sup>222</sup> The CDs were synthesized utilizing dandelion leaf as the carbon source and ethylenediamine as the nitrogen source in a hydrothermal process. Incorporation of nitrogen atoms into CD structures is an effective way to augment their quantum yield, thereby extending their utility in cellular labelling and bioimaging applications. The reported CDs demonstrated a quantum yield of 13.9%. Additionally, these carbon dots featured amino groups on their surfaces for conjugation with FA moieties. The reported average size was 3.5 nm with a  $\zeta$ -potential of  $-15$  mV. The fluorescence spots in the cytoplasm attributed to the FA-conjugated CDs in cancer cells, revealing successful FR-targeting. In the following, Dong *et al.* studied the uptake of fluorescent FA-immobilized CDs by MCF-7 and HepG-2 cells for intracellular bioimaging.<sup>223</sup> The average size was reported to be about 3.4 nm with a  $\zeta$ -potential of about  $-22$  mV. A high quantum yield of 17% was reported when  $-NH_2$  groups of



the CD surface were conjugated with  $-\text{COOH}$  groups of FA molecule. In line with the above observation, hyaluronan-conjugated nitrogen-doped carbon quantum dots (*n*CQDs) were reported by Ravi and co-workers.<sup>224</sup> CQDs were conjugated with protoporphyrin IX as a natural ligand of CD44 receptors for bioimaging.<sup>224</sup> Notably, fluorescent CDs conjugated with protoporphyrin IX were frequently employed for bioimaging and targeting cancer cells *via* singlet oxygen ( $^1\text{O}_2$ ) formation by utilization of the molecule's photosensitizer capabilities.<sup>225</sup> Moreover, Li and Qu *et al.* utilized FA to produce nitrogen-doped FA-derived CDs by hydrothermal-assisted method for HeLa cell imaging.<sup>226</sup> The hydrothermal method holds significant appeal due to its inherent advantages, which encompass relatively gentle reaction conditions and the inherent potential for facile functionalization. The average CD size was reported as about 5.4 nm (lattice spacing: 0.21 nm). The authors reported high fluorescence quantum yields up to 94% ( $K_f \sim 6.14 \times 10^7 \text{ S}^{-1} > K_{nr} \sim 0.36 \times 10^7 \text{ S}^{-1}$ ) *via* condensation of FA in water. They also found a direct effect of pH on the fluorescence intensity of the obtained CDs. In a similar study, Shuang *et al.* reported CDs formed by active dry yeast and then conjugated with FA molecule.<sup>227</sup> These CDs were reported spherical and monodispersed with an average size of 3.4 nm with a  $\zeta$ -potential of about  $-16 \text{ mV}$ . The FA-conjugated CDs provided superior internalization into FR(+) HepG2 cells than FR(−) PC12 cells, resulting in a much stronger green fluorescence in FR(+) cells. Recently, Farhadian *et al.* reported nitrogen-doped CQDs modified with FA and DOX conjugation on the surface for bioimaging.<sup>228</sup> The size of CQDs was reported to be about 7 nm. A higher cytotoxicity effect was observed for CQD-FA-DOX toward 4T1 and MCF7 cells compared to free DOX. Interestingly, about 86% of the loaded DOX was released from CQD-FA-DOX after 72h at pH 5.5. In a unique study, Chatterjee *et al.* designed graphene quantum dots (GQDs) by a bottom-up approach through pyrolysis of citrate and conjugation with FA molecule by carbodiimide chemistry.<sup>229</sup> It is worth mentioning that two versatile methodologies provide adaptable pathways for crafting carbon dots (CDs) with properties tailored for specific applications. In bottom-up approaches, molecular precursors are assembled from smaller carbon units while top-down strategies entail the disintegration of diverse carbon nanomaterials. These approaches collectively offer a range of options to create CDs optimized for various application requirements. The quantum yield for FA-GQD was reported at about 9%. The size range was 3.5–8 nm with a  $\zeta$ -potential of about  $-13 \text{ mV}$ . The authors claimed that GQDs were non-toxic to healthy cells at a concentration of  $1 \text{ mg mL}^{-1}$ . However,  $2.5 \text{ } \mu\text{g mL}^{-1}$  of FA-GQDs reduced the cancer cell viability up to 75% after 48 h. In a similar approach, Zheng *et al.* utilized citrate as the carbon source and diethylamine as the nitrogen source to fabricate FA-conjugated nitrogen-doped GQDs used MCF-7 cells.<sup>230</sup> Interestingly, increasing the amount of nitrogen doping resulted in more binding sites on *n*GQDs for FA conjugation and emitting a stronger fluorescence intensity after entry into tumour cells. At an average size of 5 nm (lattice spacing  $\sim 0.24 \text{ nm}$ ), the cell viability was reported to be 97% after 24 h incubation. At the same time, fluorescence stability of FA-conjugated *n*GQDs after

incorporation by FR(+) MCF-7 cells was observed. Alternatively, the incorporation of sulfur into GQDs is a feasible approach, as evidenced by the work of Kadian and Manik *et al.*<sup>231</sup> The average size was reported about 5 nm with a lattice spacing of 0.35. Irradiation of FA-sGQDs at  $\lambda_{\text{ex}}$  370 nm exhibited a blue fluorescence with an emission band at 455 nm. Fluorescence microscopy of FR(+) MCF-7 and FR(−) CHO cells utilizing FA-conjugated sGQDs confirmed successful FR-targeting.

Carbon nanotubes (CNTs) exhibit remarkable mechanical, thermal, and electrical attributes, rendering them suitable for diverse applications such as drug delivery, photodynamic therapy (PDT), and imaging. In recent times, intrinsically mesoporous halloysite nanotubes (HNTs) have emerged as promising alternatives to CNTs. This is attributed to their advantages of lower cost, superior water dispersibility, and reduced toxicity. The presence of halloysite nanotubes, within NCs significantly modulate drug loading and release characteristics. In a pioneering study, He and Liu *et al.* fabricated HNTs conjugated with PEG and FA as DOX carriers to MCF-7, 4T1, L02, and HepG2 cells (Fig. 14).<sup>232</sup> The HNT length is shortened to about 200 nm by ultrasonic scission. PEGylation of aluminosilicate HNTs-NH<sub>2</sub> using NHS-PEG-COOH followed by FA conjugation not only provide an FR-targeting platform but also prolonged HNTs circulation time and controls their dosing interval. HNTs are negatively charged ( $\zeta$ -potential  $\sim -24 \text{ mV}$ ), so after PEGylation and FA conjugation, the NCs become nearly neutral ( $\zeta$ -potential  $\sim +1 \text{ mV}$ ). DOX release from FA-conjugated HNTs is reported to be up to 35% at pH 5.3 and induces significant FR(+) MCF-7 cell death and apoptosis compared to FR(−) L02 cells *in vitro*. In addition, the authors reported that the level of caspase-3 activity utilizing 4T1 cells treated with FA-conjugated HNTs is increased, which is higher than non-targeted NCs and free DOX. In contrast, Bcl-2 activity is decreased when treated with the FA-conjugated HNTs.

**2.1.7 Hybrid nanomaterials.** Hybrid nanomaterials are defined as a chemical conjugate of nanometric organometallic or an organic/inorganic component, combining the unique properties of each material to optimize drug delivery and release. In particular, FA-conjugated drug-loaded multifunctional nanohybrid is an advance in targeted multimode imaging and cancer therapy.<sup>233</sup> For instance, the strong absorption of AuNPs to convert the NIR to heat by the LSPR effect makes them a privileged platform for localized photothermal therapy.<sup>234</sup> On the other hand, graphene oxide (GO) can manipulate the photothermal efficiency by altering the size, shape, and density of NPs.<sup>235</sup> In this context, Goyal *et al.* utilized AuNPs deposited on GO sheets and decorated with FA for targeted delivery of DOX to MCF-7 and HeLa cells and photothermal ablation under NIR irradiation.<sup>236</sup> In this design, the FA was conjugated to the hydrophilic sulfonated GO surface, attaining fluid colloidal stability. NIR laser Irradiation with  $\lambda = 808 \text{ nm}$  increased the DOX and ionic Au release from the nanohybrid. G0/G1 cell-cycle arrest increased DNA intercalation and early apoptosis upon NIR stimulus. The *in vitro* release of DOX and AuNPs was enhanced by about 10% at pH 5.3 than at pH 7.4. The silica/GO hybrid system provided a high drug-loading efficiency *via*  $\pi$ - $\pi$





stacking interactions of GO and pore adsorption of mesoporous silica NPs.<sup>237</sup> For example, Hadadzadeh *et al.* immobilized FA-conjugated AuNPs on amine-modified dendritic silica-coated reduced GO nanosheets for delivering CUR to MCF-7 and A549 cells, as well as for cell imaging.<sup>238</sup> The nanosheets were produced *via* an oil-water biphasic stratification approach, similar to the method for immobilizing AuNPs onto the silica-coated reduced GO by Zhao and co-workers.<sup>239</sup> However, the size of FA-conjugated NPs was reported to be about 1  $\mu\text{m}$ , while the nanosheet thickness is about 5–25 nm (pore diameter  $\sim$ 3 nm).<sup>238</sup> The cumulative release of CUR at pH 5.7 after irradiation with 808 nm laser for 1 h was about 3-fold higher than without irradiation. The FR(+) MCF-7 cells displayed high cellular uptake of FA-conjugated nanohybrid, enhanced cytotoxicity, and significant cell apoptosis compared to the FR(–) A549 cells, emphasizing the role of FR-targeting for drug internalization. A similar bifunctional GO/silica hybrid was reported by X. Wu, M. Wu, and Zhao *et al.* that can be stimuli-triggered *via* pH change and NIR irradiation with the goal of delivering DOX to breast cancer cells.<sup>240</sup> To prolong blood circulation time, Shakeri-Zadeh and Montazerabadi *et al.* fabricated a PEGylated gold/iron oxide core cell-shell nanohybrid conjugated with FA molecule for targeted photothermal therapy.<sup>241</sup> Iron oxide MNPs were obtained using a mix of  $\text{FeCl}_3/\text{FeCl}_2$  and 3-aminopropyltrimethoxysilane that was finally immobilized with AuNPs. In this study, cysteamine was utilized to conjugate PEG-FA on the surface of the gold core-shell. The authors reported that the nanohybrid did not induce a high level of toxicity in KB and MCF-7 cells due to the biocompatible PEGylated surface. In parallel to the above achievements, a nanohybrid was reported by Selvaraj *et al.*, composed of Au nanorods centralized in mesoporous silica and conjugated with FA as a diagnostics tool.<sup>242</sup> Encapsulated Au nanorods in FA-conjugated silica displayed a specific *in vivo* biodistribution in tumours at a minimal dose (10 mg kg per body weight) until 24 h. Interestingly, the authors also reported the impact of surface modification on nanohybrid-induced haemolysis (red blood cell damage). When employing 3-aminopropyl triethoxysilane as a linker, a minimal haemolytic effect of approximately 5% was observed. In contrast, nanohybrids lacking surface functionalization exhibited a significantly higher level of haemolysis, exceeding 75%. In this system, the inherent red fluorescence of DOX was utilized to monitor drug delivery to 4T1 and NIH-3T3 cells *in vitro*. Enhanced fluorescence in the cytoplasm demonstrated a successful internalization *via* the FA-FR axis. Notably, the *in vivo* toxicity and renal clearance within an hour post-injection showed a high CT contrast (due to Au nanorods) with respect to dose augmentation in the heart, liver, spleen, and kidneys compared to pre-injected mice. The healthy glomerulus of the kidney indicates the successful renal clearance of the nanohybrid construct, further demonstrating its nontoxicity.

An emerging and interesting approach is smart lipid-polymer nanohybrids. Due to their core-shell nanostructures that combine biodegradable PNPs with biomimetic lipid-based NPs, ensuring adequate drug encapsulation and release upon stimulation. One example is the FA-conjugated chitosan-coated solid lipid NPs designed to deliver the steroid-mimetic letrozole

(LTZ) to MCF-7 and PC-12 cells (Kashanian *et al.*).<sup>243</sup> Tripalmitin glyceride: stearic acid in a 2 : 3 ratio with 5 mg of LTZ and 20 mg chitosan was mixed and homogenized based on an oil-in-water homogenization protocol. The obtained particle had a size of 148 nm (PDI  $\sim$ 0.301) with a positive  $\zeta$ -potential of about +6 mV. Of note, the electrostatic repulsion between NPs with low  $\zeta$ -potential – closer to zero, is reduced. This reduction in repulsive forces could potentially lead to an NPs aggregation. However, the aggregation tendency is influenced by other factors like particle charge (highly charged NPs interact with proteins and macromolecules), as well as the physiological medium. The cytotoxicity study using FA-conjugated LTZ-loaded nanohybrid for MCF-7 cells with an  $\text{IC}_{50}$  value of 79 nM proved the efficiency of FR targeting compared to free LTZ that did not reach the  $\text{IC}_{50}$  value in the investigated concentrations after 24 h. In another study, a PEGylated phytosomal phospholipid bilayer enveloping casein-loaded micelles decorated with FA was reported by Elzoghby *et al.* targeted delivery of fungal-derived *Monascus* yellow pigments (MYPs) and resveratrol (RSV) to MCF-7 cells.<sup>244</sup> A high colloidal stability of NCs with a size of 137 nm ( $\zeta$ -potential  $\sim$  –21 mV, PDI  $\sim$ 0.27), and 272 nm ( $\zeta$ -potential  $\sim$  –36 mV, PDI  $\sim$ 0.21) was reported for FA-casein micelles and PEGylated PC-casein micelles, respectively. Both FA and PEGylated micelles significantly reduced vascular endothelial growth factor (VEGF), aromatase, CD1, and NF- $\kappa$ B activities compared to the free drugs. In addition, the caspase-3 activity was found at an elevated level compared to the control groups.

Ding and Wang *et al.* developed a PTX-loaded mesoporous silica NPs decorated with FA and arginine-glycine-aspartate (Arg-Gly-Asp) RGD tripeptide sequence with a high affinity for FR and integrin  $\alpha_v\beta_3$  expressed on the surface of human breast cancer MCF-7 cells.<sup>245</sup> This approach exploits the finding that integrin expression in metastatic breast cancer cells is higher than in healthy cells like non-malignant MCF-10A cells or HeLa cells.<sup>246</sup> Accordingly, NHS-PEG-FA and NHS-PEG-RGD conjugation onto the NP's surface provides an active tumour-targeting therapy *via* FR and integrin  $\alpha_v\beta_3$ .<sup>245</sup> The long PEG chains enhance the stability of the NPs *in vivo*. The positive  $\zeta$ -potential value is attributed to the interaction between  $-\text{NH}_2$  groups on the surface with tripeptide sequence opposite to the negative  $\zeta$ -potential of MSNs-NPs ( $\zeta$ -potential  $\sim$  –18 mV). The calculated  $\text{IC}_{50}$  value of free PTX and PTX-loaded NCs on MCF-7 cells after 48 h was 35 and 22  $\text{ng mL}^{-1}$ , respectively, indicating a 1.6-fold greater inhibitory efficacy (antitumour activity) of PTX-loaded NPs than that of free PTX.

## 2.2 Brain cancer

Metastatic brain tumours represent about one-third of all primary brain tumours. The heterogeneous microenvironment of glioblastoma and the blood-brain barrier (BBB) restricts the transport of therapeutic or diagnostic agents, impeding effective intervention significantly. Since the discovery of the BBB concept by Paul Ehrlich in 1885, drug transportation *via* the microvascular unit using specific transcellular transporters has been intensely studied, and a number of options to foster drug accumulation have been identified.<sup>247</sup> Especially the leaky BBB



of tumours might contribute to overcome the above limitations.<sup>248</sup> Nanocarriers (NCs) became promising approaches for brain cancer treatment, and among them, lipid-based NCs and liposomes, micelles, and polymeric NCs are in clinical trial investigations. A number of plasma membrane proteins may be utilized to target the brain cancer cells, *e.g.*, drug efflux transporters, including folate receptor (FR), organic anion-transporting polypeptides (OATPs), and P-gp similar to the breast cancer cells. The FR-targeting and dual-receptor targeting NCs could increase the BBB cross rate to reach tumour cells. Many advances and challenges are reported in NC design, blood circulation journey, and uptake *via* FR-targeting that needs to be updated.<sup>4a,5</sup> As shown in Fig. 15A, Liao *et al.* reported pH-sensitive FA-conjugated chitosan-coated magnetic nanoparticles (MNPs) to deliver DOX and TPP to U87 cells.<sup>249</sup> The cationic structure of chitosan provides substitutions *via* nitrogen and oxygen atoms to cross-link with DOX and TPP. The release profile of DOX from uncoated NPs is less stable than from chitosan-coated NPs, and chitosan-coated MNPs are more potent in DOX release at pH 5.7. The authors reported a successful cellular uptake of DOX and tumour growth suppression of human glioblastoma U87 cells *in vitro* that were boosted by the application of magnetic fields. The *in vivo* evaluations utilizing immune-incompetent BALB/c nude mice revealed a decreased tumour growth upon magnetic guidance of MNPs by the enhanced local DOX release. In another study, Khoei *et al.* utilized SPION coated with triblock copolymer PEG-PBA-PEG to deliver temozolomide (TMZ) (Fig. 15B).<sup>250</sup> In this

design, the FA molecule is conjugated on both sides of the triblock copolymer for dual-targeting. A self-assembled spherical nanostructure was formed to provide a hydrophobic core to load the lipophilic TMZ, along with a hydrophilic shell that stabilizes NCs in aqueous media without the need for an additional stabilizer. Of note, the alkyl group of TMZ at the oxygen-6 and nitrogen-7 positions of guanine causes DNA mismatch repair to double-strand breaks and leads to cancer cell apoptosis. Higher FBS serum concentration led to a higher initial release rate of TMZ.<sup>250</sup> MRI images of MNPs administered to glioma-bearing rats exhibited high-intensity signals in the T2-weighted imaging in pre-injection independent of an external local magnetic field (Fig. 15B). In contrast, post-injection images reflected a negative contrast (black dots) enhancement utilizing FA-conjugated MNPs by passing through BBB and accumulating in the rat tumour area in the presence of an external magnetic field.

A similar copolymeric MNP strategy was utilized by Khoei *et al.* for delivering TMZ to glioblastoma C6 cells.<sup>251</sup> The hydrodynamic particle size was reported to be about 48 nm with a  $\zeta$ -potential of  $-28$  mV. The authors found that more than 90% of TMZ was released within the first 2 h, while the sustained release was decreased due to the poor encapsulation of the drug in the inner hydrophobic core. The uptake of targeted MNPs into C6 cells is about 2.5-fold higher than that of non-targeted MNPs. The same MNPs were employed in another study as a carrier of TMZ for targeted chemotherapy and radiofrequency hyperthermia toward C6 cells.<sup>252</sup> About 55% of TMZ were

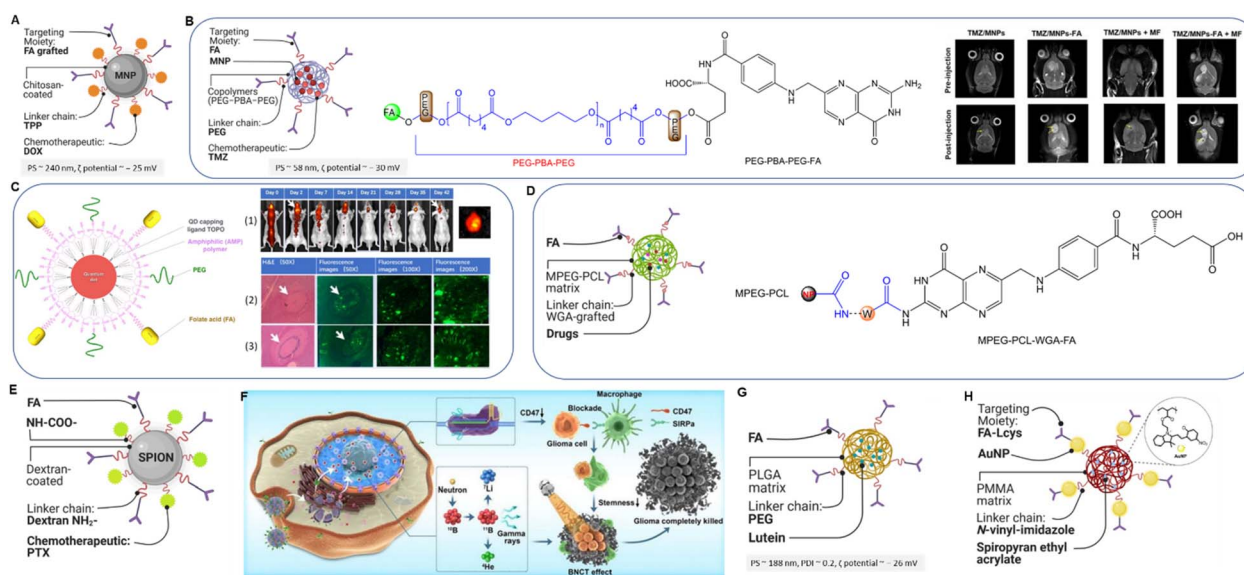


Fig. 15 (A) Schematic illustration of chitosan-coated NCs. (B) Schematic illustration of SPION-based NCs (left) and its copolymeric structure (middle) along with MRI images of glioma-bearing rats administered with different types of NCs upon external magnetic field (right). Reproduced with permission from ref. 250. Copyright 2019, American Chemical Society. (C) Schematic illustration of QD-FA NCs, (1) *in vivo* fluorescence imaging of tumour in mice brain after administration of QD<sub>800</sub>-FA over time, (2) *ex vivo* NIR imaging of brain after 15 days of intrathecal injection of FA-conjugated NCs, (3) H&E staining and fluorescence microscopy of U87MG tumour tissue slices from mice brains after intrathecal injection of QD<sub>800</sub>-FA after 2 days (c) and 14 days. Reproduced with permission from ref. 253. Copyright 2019, American Chemical Society. (D) Schematic illustration of polymeric NCs (left) and copolymerization structure of MPEG along with PCL conjugated with WGA and FA (right). (E) Schematic illustration of PTX-loaded FA-conjugated MNPs. (F) Therapeutic delivery *via* boron-containing liposome to glioma cell. Reproduced from ref. 261 (CC BY 4.0). (G) Schematic illustration of lutein-loaded PLGA-PEG-FA NPs. (H) Schematic illustration of FA-conjugated PGNPs immobilized Au<sup>3+</sup> ions.

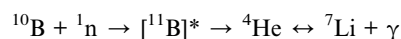


quickly released after 10 min in the presence of an alternating magnetic field (AMF), driving local hyperthermia ( $\sim 43$  °C) due to the magnetic properties of SPIONs. At body temperature (37 °C), TMZ release remained low. Lin and Li *et al.* reported a fascinating approach for *in vivo* imaging of mice brains using FA-conjugated NIR quantum dots (QDs, Fig. 15C).<sup>253</sup> FA-conjugated QD<sub>800</sub>-PEG (CdSeTe/ZnS) NPs were delivered *via* intrathecal injection in a mouse model with orthotopic transplanted FR (+) U87MG glioma cells, which can be activated at  $\lambda = 800$  nm (Fig. 15C (1)). By replicating the physiological and biomechanical conditions of tumours in their native tissues by transplanting tumour cell lines into animal models, orthotopic models provide valuable insights into the real-world behaviour of tumours, *e.g.*, primary tumour growth, invasiveness, and metastatic activity and the effectiveness of FR-targeted treatment. The study was evaluated for six weeks after injection *in vivo*. A high fluorescence signal appeared in the spinal cord and brain after 1 hour post-injection of FA-conjugated QDs, and a contrast enhancement was reported within two days (Fig. 15C (2,3)). The tumour region of interest exhibited a higher uptake of targeted QDs ( $\sim 90\%$  percentage of injected dose delivered (ID)  $g^{-1}$ ) compared to the non-targeted QD<sub>800</sub> ( $\sim 20\%$  ID  $g^{-1}$ ). Although *in vivo* fluorescence imaging can provide valuable information about the distribution and localization of fluorescently labelled compounds, the reported values are only semi-quantitative. In a similar study, Jayasree and Ajayaghosh *et al.* developed an FA-conjugated gold quantum cluster localized on C6 rat glial cells for fluorescent imaging and real-time tracking of PDT, which can be activated by NIR ( $\lambda = 1270$  nm) *via* local generation of  $^1O_2$  during the relaxation protoporphyrin IX exciting state to the ground state.<sup>254</sup>

To deliver etoposide, nitrogen mustard carmustine, and DOX across BBB to target human glioblastoma U87MG cells, Kuo *et al.* constructed MPEG-PCL NPs grafted with wheat germ agglutinin (WGA) and FA (Fig. 15D).<sup>255</sup> The copolymerization of MPEG and PCL was performed using a microemulsion-solvent evaporation method and finally conjugated with WGA and FA. The authors found that a shorter PCL chain in multidrug-loaded PNPs resulted in smaller NPs. In comparison, the longer PCL chain led to stronger hydrophobicity, enhancing drug entrapment efficiency. Notably, the incorporated WGA in nanostructured polymers has a high affinity to *N*-acetylglucosamine and sialic acid residues to bind cell surface receptors, which enhances cellular internalization and increases bioavailability.<sup>255</sup> In an innovative study by the same authors, tamoxifen- and lactoferrin-conjugated solid lipid NPs were utilized to deliver carmustine across the BBB to glioblastoma multiforme cells.<sup>256</sup> The presence of tamoxifen and lactoferrin improved the sustained release of carmustine and enhanced the transendothelial electrical resistance, permeability coefficient, and relative fluorescence of intracellular calcein-AM. Of note, tamoxifen could reverse efflux transporters like p-glycoprotein, while lactoferrin is utilized to modulate the receptor-mediated transcytosis across the BBB. Targeted drug delivery to glioblastoma U-87MG cells was assessed *in vitro* by Farhadi and co-workers, utilizing FA-conjugated ZnO NPs.<sup>257</sup> The viability for

U87MG cells decreased significantly at concentrations of 1.25 and 2.5  $mg\ mL^{-1}$  of the NPs, showing a dose-dependent effect.

Kang *et al.* reported that targeted chemo-proton therapy (TCPT) on C6 cells utilizing PTX-loaded FA-conjugated dextran-coated SPIONs as a means to improve the PTX efficacy in brain cancer treatments (Fig. 15E).<sup>258</sup> The authors found that PTX disrupted cell replication, while the non-toxic concentration of PTX ( $200\ ng\ mL^{-1}$ ) did not affect the cell viability. In addition, PTX was employed as a radiosensitizer to enhance the efficiency of photon beams in TCPT. In a similar manner, boron neutron capture therapy (BNCT) is utilized as a non-invasive approach *via* the accumulation of isotope  $^{10}B$  for non-operable tumours with a high ability to absorb neutrons upon irradiation to generate an epithermal neutron beam.<sup>259</sup>



Isolectin phospholipid-based liposomes contain hydrophilic boron NPs and cyanine dye 5 (Cy5) NIR fluorescent dye developed by Krishnan and Prasad *et al.*<sup>260</sup> The BNCT platform was utilized for the selective destruction of C6 cells. The surface of the liposome was coated using PMAO and PEG to improve stability and bioavailability. Polymer coating minimized opsonization and phagocytosis in blood circulations, and FA conjugation increased liposome uptake *via* FR-targeting. The authors found a significant *in vitro* cellular uptake of boron ( $2.06 \times 10^{11}$  atoms per cell) using the targeted liposomes in rat C6 glioblastoma cells and a better *in vitro* BBB model crossing compared to non-targeted liposomes. This level is well above the required level of  $\sim 10^9$  boron atoms per cell to facilitate BNCT. Inductively coupled plasma mass spectrometry analysis revealed that BBB transmissivity for the liposomes was higher than for the dye Cy5 itself. Alongside, endocytosis of targeted liposomes carrying boron was higher than that of the non-targeted counterpart. In the same direction, non-targeted liposomal NCs were recently developed by Chen *et al.* to deliver DOX and carbocyanine to the nucleus of GL261 cells (Fig. 15F).<sup>261</sup> Although the constructed liposomes lack receptor-mediated endocytosis, combining boron agents with chemotherapeutics led to tumour stemness reduction and improved prognosis compared to borocaptate sodium as a clinical drug. Neuroblastoma SK-N-BE(2) cells were utilized by Sambalingam and Renukuntla *et al.* to investigate the role of FA conjugation in the targeted delivery of lutein-loaded PLGA-PEG NPs (Fig. 15G).<sup>262</sup> The lutein uptake was enhanced about 2-fold after FA conjugation to the PNPs. In addition, a significant lutein accumulation was observed ( $6.5\ \mu g$  per  $10^6$  cells).

In a unique study, Mahdavian *et al.* introduced a spiropyran (SP) to merocyanine (MC) by photoisomerization by UV light as a probe for enhanced photodynamic therapy.<sup>263</sup> Photoresponsive FA-conjugated Au-decorated polymeric NPs were developed for this purpose (Fig. 15H). In this protocol, the acrylic NPs functionalized with SP, and imidazole groups were immobilized with  $Au^{3+}$  ions to obtain photoresponsive Au-decorated PNPs (named PGPNNPs). FA conjugation *via* an 1-





cysteine linker improves intracellular uptake by FR-targeting and provides a high local photothermal efficiency. In contrast, AuNPs immobilization enhanced plasmon-enhanced fluorescence and consequently higher ROS photogeneration. Of note, the author utilized non-polar SPs known as photoswitchable materials converting zwitterionic MC isomers under UV irradiation. In contrast, the coloured MC isomer is susceptible to an efficient triplet-singlet intersystem crossing.

### 3 Folic acid (FA)-conjugated small molecule–drug conjugates

Compared to nanocarriers, in this case, the cytotoxic drugs are directly conjugated to folic acid, partly with the aid of a linker molecule. The resulting chimeric molecules are referred to as cytotoxic FA-conjugated small molecule drug conjugates (SMDCs). Like the nanocarriers (NCs) reviewed above, the FA moiety allows a folate receptor (FR)-based targeting that is exploited for cancer diagnosis and therapy.<sup>44d</sup> The non-immunogenic nature and low molecular weight of SMDCs enable an effective penetration in solid tumours compared to the much larger antibody–drug conjugates.<sup>6a</sup> In FR-targeting, FA molecule conjugates by glutamic acid group (at the  $\alpha$ - or  $\gamma$ -positions) to multifunctional self-immolative linkers and spacers.<sup>61</sup> Spacers minimize the steric hindrance between the structure of the drug transporter and the FA molecule. At the same time, linkers were utilized due to their higher release kinetics upon stimuli and to improve the connectivity and stability of SMDCs.

Along this line, conjugated chemotherapeutic to albumin-binding moieties can be used to increase drug delivery and reduce the side effects. Hence, Gao and Chen *et al.* reported a FA/PTX-conjugated prodrug conjugated with Evans blue (EB) that binds to albumin with strong affinity, resulting in prolonged blood circulation and enhanced accumulation in the tumour tissue.<sup>264</sup> Notably, EB was frequently used as a marker for plasma volume determination in animal models.<sup>265</sup> As shown in Fig. 16A, the FA-PTX-EB ester prodrug was constructed by coupling a Fmoc-Cys(Trt)-OH linker with PTX *via* maleimide bond, while the linker's  $-NH_2$  bonded *via* an amide bond to FA-PTX.<sup>264</sup> The aqueous solubility of final prodrugs was reported at about 7 mg mL<sup>-1</sup>. *In vitro* PTX release ( $t_{1/2}$ ) from FA-PTX-EB was 9.15 h, which was a more sustainable release than FA-PTX (<4 h). Notably, the FA-PTX, PTX-EB, and FA-PTX-EB prodrugs showed an increased circulation half-life in mice of 3.82, 4.41, and 7.51 h, respectively, compared to free PTX (2.19 h). The uptake of FA-PTX-EB in MDA-MB-231 cells was about 66%, which is twice that of PTX-EB (~35%). In mice bearing MDA-MB-231 tumour xenografts, stronger EB fluorescence signals were observed for FA-PTX-EB than other prodrugs without FA. Meanwhile, *in vivo* therapeutic experiments of FA-conjugated PTX-EB resulted in improved tumour growth inhibition (~74%) compared to only PTX-EB (~50%). The authors reported that the expression level of CD46 in the presence of FA-PTX-EB was significantly decreased, which is relatively high in breast cancer cells to protect them from immune response, indicating an effective targeted cancer therapy.

Lytic peptides have a cationic amphiphathic character that could arrange into the amphiphathic structure of the lipid membrane and display potent cell penetration.<sup>266</sup> In this

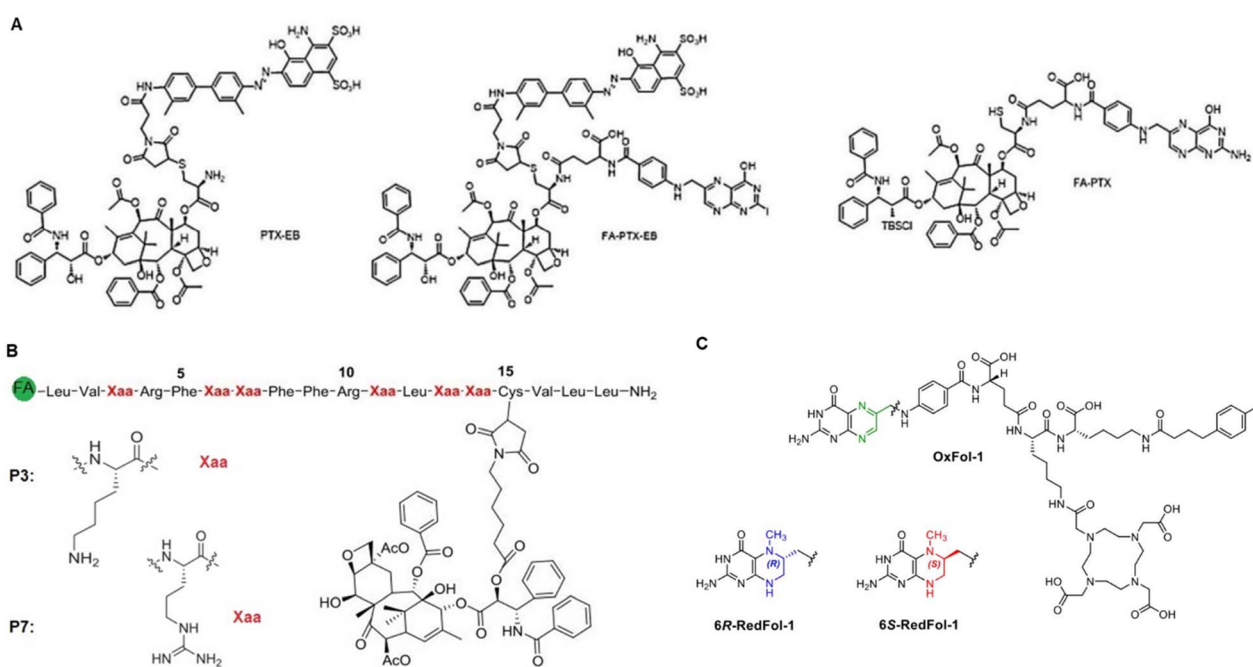


Fig. 16 (A) Schematic illustration of FA/PTX-conjugated prodrugs: PTX-EB, FA-PTX-EB, and FA-PTX. Reproduced from ref. 264 (CC BY-NC 4.0). (B) PTX-lytic peptides conjugate structures. Rearranged with permission from ref. 268. Copyright 2019, Elsevier; (C) DOTA-folate (6R- and 6S-RedFol-1) prodrug. Adapted from ref. 269 (CC BY 4.0).



context, peptides are widely utilized for drug delivery into cells *via* cell-penetrating peptides.<sup>267</sup> Consistent with this, Qian and co-workers synthesized PTX-lytic peptides that were substituted on 16-site cysteine-substituted named “P3–P7” targeting FR and showed enhanced cytotoxicity to MCF-7 and A2780 cells.<sup>268</sup> As shown in Fig. 16B, the thiol group of cysteine-containing peptides conjugated with PTX maleimide *via* Michael additions, while the *N*-terminal of peptide coordinated to FA molecule. The authors reported that FA-P7-PTX possessed a more substantial effect on cell toxicity ( $IC_{50} \sim 2.9 \mu M$ ) than FA-P3-PTX, attributed to the more robust membrane-disrupting activity in MCF-7 cells. The authors found that drug conjugates induced cell death by apoptosis *via* a mitochondria-dependent pathway. Thus, a significant increase in cleaved caspase-3 and cytochrome-C release indicated mitochondrial dysfunction and caspase-3-dependent apoptotic cell death. Furthermore, FA-P7-PTX reduced the growth of solid tumours by about 69% in an *in vivo* tumour model in mice (H22 cells), better than free PTX ( $\sim 49\%$ ).

Despite high efforts for cancer therapy utilizing SMDCs, a combination of targeted radionuclides is highly desirable. In an ingenious work, Müller *et al.* studied a preclinical evaluation of lutetium-177 (<sup>177</sup>Lu)-radiolabelled albumin-binding DOTA conjugates with 5-methyltetrahydrofolate (6*R*- and 6*S*-RedFol-1), as depicted in Fig. 16C, to examine the effect of <sup>177</sup>Lu-DOTA-RedFol-

1 isomers on FR(+) KB cells.<sup>269</sup> *In vitro* cellular uptake was reported at about 42–53%, higher than in <sup>177</sup>Lu-OxFol-1 conjugates reported in a previous study by Müller and co-workers.<sup>270</sup> (Fig. 16C). *In vivo*, uptake of RedFol-1 in tumour cells was increased 3-fold compared to OxFol-1.<sup>270</sup> Therefore, the authors concluded that the methylation of position 6 of FA could increase the affinity of the RedFol-1 (ref. 269) to mouse and human plasma proteins and increase blood retention compared to the OxFol-1 analog.<sup>270</sup> Accordingly, the effect of <sup>177</sup>Lu-DOTA-FA conjugates as a preclinical therapy was explored over 70 days on NF9006 tumours in mice in another work by Müller and co-workers.<sup>271</sup> Meanwhile, they found that the radiolabelled conjugates enhanced immune response to *anti*-cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) immunotherapy. Similar cellular uptake and internalization were reported for NF9006 cells as found for FR(+) KB cells with lower FR expression after 4 h incubation. In contrast, *in vitro* signal intensity of images on NF9006 cells was about 5-fold lower ( $\sim 21\%$ ) than the signal in KB cells. SPECT and CT imaging along with biodistribution studies, revealed a significant accumulation of FA-conjugated <sup>177</sup>Lu-DOTA in NF9006. The authors found that the tumour growth was delayed after administration of FA-conjugated [<sup>177</sup>Lu]Lu-DOTA in mice prior to anti-CTLA-4 therapy.<sup>271</sup> They also claimed that the radioactive isotope therapy enhanced the response to immune checkpoint (cytotoxic

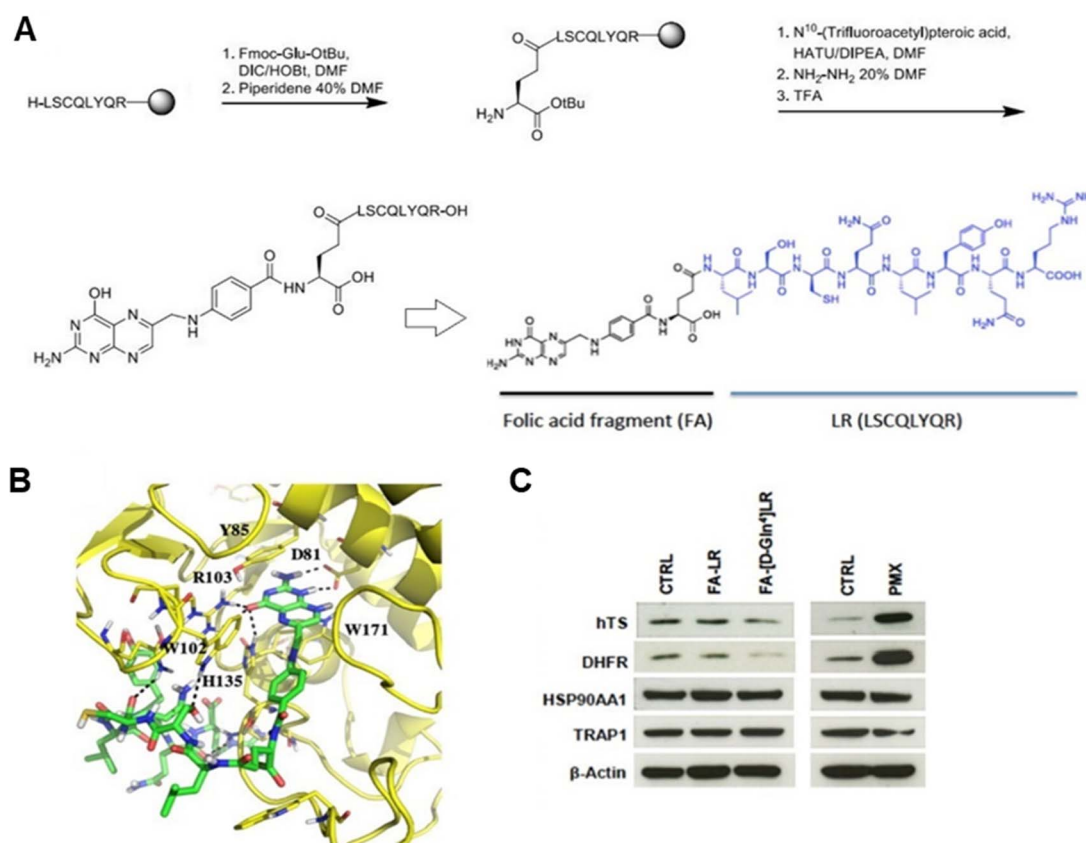


Fig. 17 (A) Synthesis procedure of the FA-[DGLn<sup>4</sup>]-LR conjugate. (B) FA-[DGLn<sup>4</sup>]-LR/FR<sub>α</sub> complexes (PDB: 4LRH). (C) Immunoblot analysis of hTS, DHFR, HSP90AA1, and TRAP1 in IGROV-1 cells, and pemetrexed (PMX) after 48 h in the presence of synthesized prodrugs. Reproduced from ref. 273 (CC BY 4.0).



CTLA-4) inhibitors *via* killer CD8<sup>+</sup> T cell infiltration of innate immune cells that have been recently reported.<sup>272</sup>

In line with peptide-based SMDCs, Costi *et al.* designed an FA conjugate with anticancer peptides that are able to bind human thymidylate synthase (hTS) to enter cancer cells through highly expressed FR<sub>α</sub> by decreasing the DHFR expression.<sup>273</sup> As shown in Fig. 17A, the FA was conjugated with the  $\gamma$ -position of the glutamic moiety of LSCQLYQR peptide from the amide bond, while N<sup>10</sup>-(trifluoroacetyl)pteroic acid condensed with [ $\gamma$ Glu<sup>0</sup>]-LSCQLYQR peptide to obtain FA-[DGLn<sup>4</sup>]LSCQLYQR with free -OH moiety that was considered to inhibit the hTS activity. The orientation of the pteridine ring provided several H-bonds and  $\pi$ - $\pi$  interactions, while the peptidic tail interacted with glutamine (Gln)-100, tryptophane (Trp)-102, and asparagine (Asn)-133 residues (Fig. 17B). The authors investigated the binding effect of FA-peptide conjugates with pemetrexed (PMX) and 5-FU as classical anticancer compounds directed to the TS active site. They found a reduced expression level of the hTS by about 20% using FA-[DGLn<sup>4</sup>]LSCQLYQR, which were 2.5-fold

upregulated by PMX and slightly increased in the presence of 5-FU. In short, they concluded that hTS, DHFR, heat shock protein HSP 90- $\alpha$  (HSP90AA1), heat shock protein 75 kDa, and mitochondrial precursor (TRAP1) expression were modulated that represent binding of the FA peptide at the monomer-monomer interface of hTS (Fig. 17C). Furthermore, the FA peptides can be combined with cisplatin, raltitrexed, and 5-FU to overcome drug resistance.

To date, a few non-invasive molecular imaging using small-molecule conjugates have been reported.<sup>274</sup> A study conducted by Guo, Zhang, Khong, and Chen *et al.* further confirmed the use of <sup>177</sup>Lu-DOTA-PEG prodrugs conjugated with albumin truncated EB and fibroblast activation protein (FAP) for SPECT imaging.<sup>275</sup> The authors reported significant tumour growth suppression and high uptake in U87MG tumour cells after 96 h post-injection of <sup>177</sup>Lu-EB-FAP even without PEG linkers. In an ingenious work, Gois *et al.* reported a modular platform for constructing drug conjugates comprising tripodal boronate complexes featuring reversible covalent bonds with PEG and FA

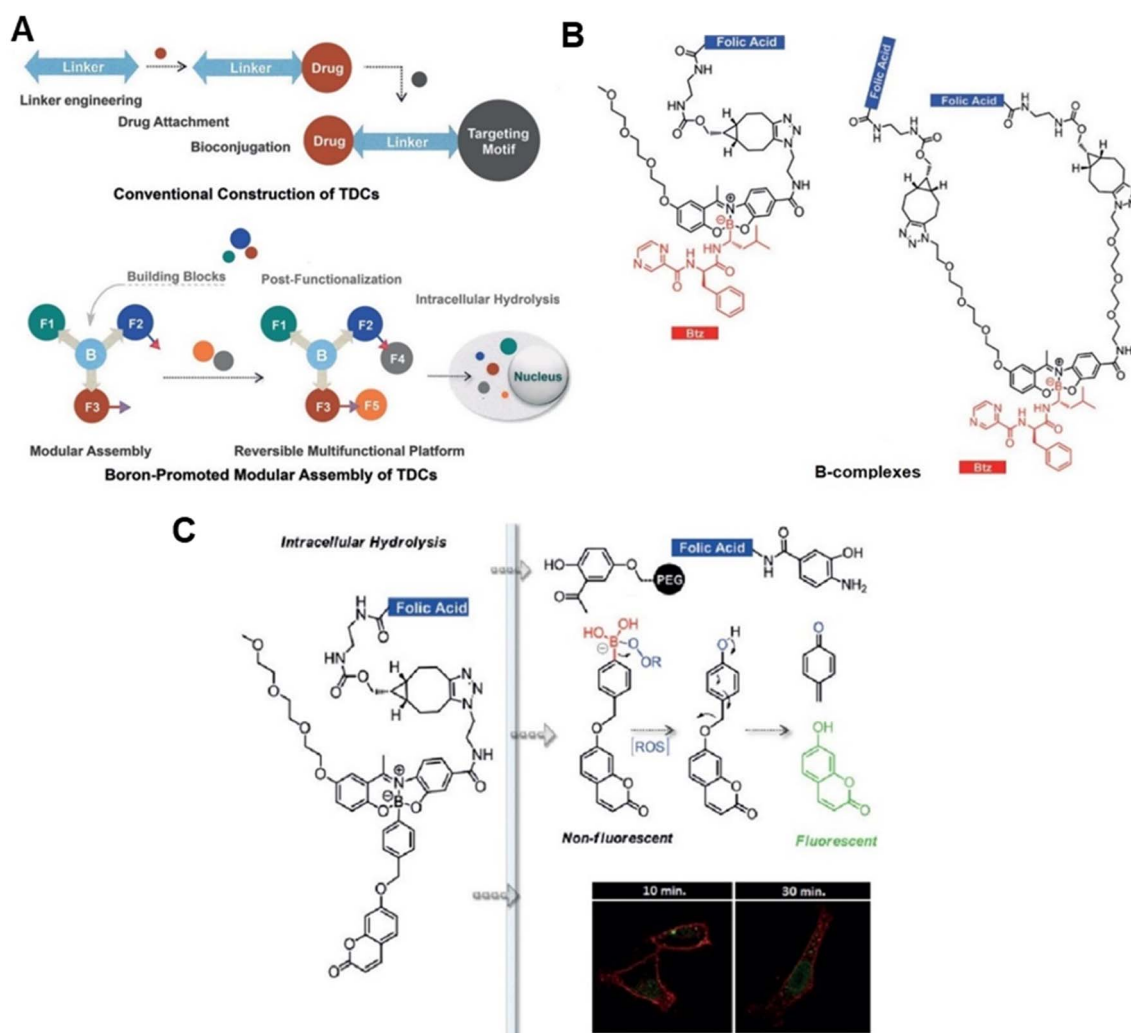


Fig. 18 (A) Linear construction of TDCs along with their boron-promoted assembly. (B) FA conjugation *via* SPAAC called "B-complexes". (C) Confocal fluorescence microscopy analysis of MDA-MB-231 cells incubated with B-complex. Reproduced with permission from ref. 276. Copyright 2017, Wiley-VCH.





to deliver bortezomib to MDA-MB-231 cells.<sup>276</sup> The linear construction of drug conjugates, along with the boron assembly and FA conjugation chemistry (Fig. 18A).<sup>276</sup> In this system, bortezomib (Btz), as a potent proteasome inhibitor, is conjugated through a boron atom to the product of the 4-hydroxy acetophenone, while the aminophenol components modified with a small PEG chain on one side and an azide in another side (Fig. 18B). The strain-promoted alkyne-azide cycloaddition was utilized by authors to post-functionalize with FA and cyclooctyne units. Without FA conjugation, the B-complex was inactive at a concentration of 100 nM, whereas after FA conjugation exhibited improved potency ( $IC_{50} \sim 67$  nM) against MDA-MB-231 cells. Interestingly, the bivalent FA-conjugated molecule exhibited similar activity ( $IC_{50} \sim 62$  nM). Both reported drug conjugates were only cytotoxic at higher concentrations (1–100  $\mu$ M) against 4T1 cells. However, as shown in Fig. 18C, boronic acids in this B-complex underwent oxidative cleavage, 1,6-rearrangement, and quinone methide/fluorescent coumarin release triggered by ROS in MDA-MB-231 and 4T1 cells incubated for 10 and 30 min that also refers to confocal fluorescence microscopy images.

## 4 Challenges and barriers

Despite the advantages of active targeting *via* the folate receptor and the significant progress made in recent years, the freshly designed NCs and SMDCs face physiological barriers in the

body – not to forget the financial and legal barriers that need to be taken before an application in humans is possible. Significant efforts are needed to overcome these challenges, and only a few of the proposed constructs will have the chance to advance closer to the market in the coming years.

### 4.1 Drug delivery challenges

Between their site of administration and the target site, multiple biochemical and physiological barriers of the body impede the desired accumulation of cancer cells. On the one hand, the stability of the construct in the (bio)chemical reality of the application site and, if relevant, the blood or other liquids of the body, predetermines its successful uptake into the cancer cells. On the other hand, adsorption to bulk proteins, recognition by the immune system, and the release kinetics at the target site modulate the maximum available amount of drug. Thirdly, the decay kinetics of the released drug, either due to clearance by drug transporters or metabolic processes are major driving forces that must be considered during NCs and SMDCs development strategies to achieve a successful formulation.

**4.1.1 Distribution by systemic circulation.** In order to allow NCs to enter cancer cells, biodistribution characteristics are essential. The exposure time of NCs to their target structures depends on the retention time of NPs in the bloodstream and their distribution to the tumour tissue. The retention time in the bloodstream is mainly defined by the rate of elimination of

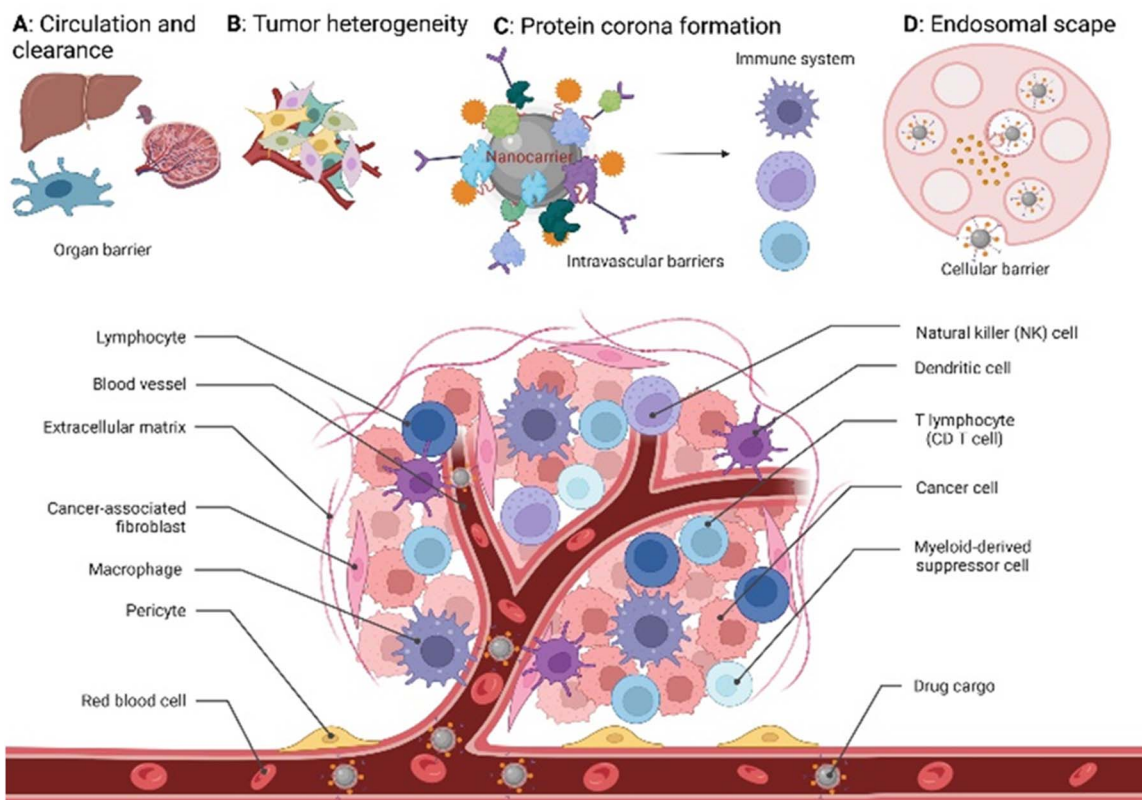


Fig. 19 Schematic illustration of tumour microenvironment along with main challenges that NCs face from their route of administration to the site of action.



the NPs by renal clearance or degradation by the reticuloendothelial system in the liver and the spleen<sup>277</sup> (Fig. 19A). Distribution to the tumour tissue is guided by tumour vascularization, the permeability of tumour vasculature, as well as interstitial fluid pressure and stromal density within the tumour tissue.<sup>278</sup> One key characteristic of NCs that influences these processes is the particle size. Other relevant factors are particle shape and  $\zeta$ -potential. These factors have to be well balanced to reduce elimination and increase tissue penetration characteristics of NCs for clinical application.<sup>277</sup> Examples discussed in the review include SMDCs-EB, radiolabelled SMDCs, gold NPs, and lytic peptide SMDCs.

**4.1.2 Tumour heterogeneity.** Tumour microenvironment heterogeneity has been recognized as a major challenge for many types of cancer treatment<sup>279</sup> (Fig. 19B). Heterogeneity can occur between different tumour models, tumour types, varying locations, and different developmental stages that are caused by genetic or epigenetic alterations that are intrinsic to tumour cells or occur during treatment. Heterogeneity affects tumour cells as well as the tumour environment. Significant for NCs are heterogeneous vessel density and permeability, blood flow distribution, and density and thickness of the extracellular matrix. On the cellular level, the expression of the folate receptor, other ion channels, and the activity of efflux pumps modulate the accumulation of targeted NCs as well as the cytotoxicity of the drugs delivered. These individual factors have to be considered when designing and developing NCs. Since some of these factors are dynamic, and can even vary between different metastasis of the same primary tumour, a highly personalized formulation (*e.g.*, by mixing pre-formulated constructs with specifically adapted properties) might be an ideal future approach for best anti-cancer effects.

**4.1.3 Protein corona formation.** Once NCs have been injected into the bloodstream, they are exposed to components of the blood and other body fluids. In particular, interacting with blood plasma proteins may alter their biodistribution and function *in vivo*.<sup>280</sup> As shown in Fig. 19C, plasma proteins can be deposited on the surface of NCs, and this process depends on several physicochemical parameters of the NCs, and the composition of the protein environment.<sup>281</sup> Hydrophilic or hydrophobic surfaces can attract protein binding through hydrogen bonds or hydrophobic or electrostatic interactions. In addition, surface charge influences the degree and composition of bound proteins.<sup>282</sup> Examples of corona proteins are albumin, proteins of the complement system, and lipoproteins. Cellular receptors recognize several of these corona proteins. For example, complement receptors on leukocytes can bind NCs decorated by complement factors; thereby, NCs are taken up by these cells *via* phagocytosis.<sup>283</sup> Scavenger receptors bind to lipoproteins and regulate the activity of dendritic cells and macrophages by interacting with toll-like receptors. Finally, Fc receptors can interact with antibodies coated on the NC surface to allow NC targeting. This reveals that plasma protein corona on the NC surface can significantly affect distribution, cellular targeting, and uptake, resulting in loss of effect and altered functional properties of the NCs, including activation of the innate immune system and triggering inflammatory responses.

**4.1.4 Endosomal escape.** NCs are entering the cells by endocytosis. Endocytosis is characterized by the internalization and formation of endocytic vesicles that transform into early and late endosomes and progress to the final lysosomes (Fig. 19D). Contents within the lysosomes are typically degraded. For NCs to deliver their full effect, they need to escape from endosomes. Membrane fusion, osmotic pressure, NPs swelling, and membrane destabilization are mechanisms that are explored for NCs to escape from endosomal entrapping and degradation.<sup>284</sup>

**4.1.5 Subcellular targeting.** As discussed above, apart from the size, shape, and surface charge of NCs, their cellular internalization by the target cells varied on the subcellular organelle level, *e.g.*, nucleus, mitochondria, and endoplasmic reticulum.<sup>285</sup> Therefore, precise drug transporter modification is needed to guide the on-demand release of the active drug on subcellular organelles.<sup>286</sup> Promising examples touched on in the review include liposomal NCs, polymeric micelles, polymeric-coated MOF and metallic NPs, and lytic peptides-based SMDCs. In this context, Krishnan *et al.* recently reviewed *in vivo* organelle targeting of drugs after internalization.<sup>287</sup>

## 4.2 Translation into the clinic

Nanocarrier-induced toxicity is a major and increasingly recognized challenge. Several modes of action have been proposed for NC's toxicity. The predominant mechanism is supposed to be an increased production of intracellular ROS. This, in turn, may introduce damage to several cellular structures, *e.g.*, the cell membrane, components of the cytoskeleton, DNA, mitochondria, and lysosomes, which results in cellular dysfunctions of intracellular transport, cell energy imbalance, autophagy, and degradation of macromolecules, mutagenesis, and finally the release of inflammatory mediators and apoptosis. These acute toxicity effects have to be systematically investigated in appropriate *in vitro* model systems.<sup>288</sup> In addition to acute toxic effects, chronic exposure toxicity is expected and therefore needs to be assessed as well. Hence, a number of *ex vivo* nanosafety assays and animal model studies (*i.e.*, organ toxicity – liver and kidney – , metabolic toxicity, pathological/haematological toxicity, and immune system toxicity) along with pharmacokinetics analysis (*i.e.*, evidence-based ADME) to assess *in vivo* biocompatibility of NCs have been studied,<sup>289</sup> still the step into the clinics was not yet taken due to the aforementioned risks. However, residence times of NCs are not characterized well and may differ between persistent and degradable NCs, which requires different assessment strategies.<sup>290</sup> This also includes investigations of bio-distribution and pharmacokinetics in appropriate animal models. It has been observed that the distribution of NCs might quite differ between different species.<sup>291</sup> Thus, it is crucial to develop standardized models and methods to assess the pharmacokinetics and chronic toxicity after long-term NC exposure.

Evidence levels for the safety and efficacy of drug transporters need to be rigorously established, ideally through clinical trials. Preclinical studies provide essential insights, but clinical testing in humans remains a prerequisite. To pave the way for clinical trials, several preconditions must be met. These



include details on how they are absorbed, distributed, metabolized, and excreted (pharmacokinetics), where they go in the body (biodistribution), and whether there are any long-term safety concerns, especially after extended exposure. Standardized models and methods for assessing chronic toxicity after extended NC exposure are essential. The costs and legal barriers associated with drug transporters are certainly pertinent, but equally critical are the scientific and safety preconditions that must be satisfied before these innovative therapies can be tested and utilized in human patients.

## 5 Conclusion and outlook

Targeted compound delivery made a significant progress in the recent years, enhancing therapeutic or diagnostic agent transportation to tumour sites. Despite this, several meta-analysis studies demand further improvements in the median delivery efficiency. Thus, different innovative strategies have been adopted to overcome limitations such as non-specific distribution or poor targeted precision. We here reviewed work that centres the folate receptor – folic acid conjugate axis, aiming to tackle the lingering question: to what extent does folate receptor targeting genuinely bolster delivery efficiency across various *in vivo* studies? Among the reported *in vivo* studies, notable improvements were shown in drug delivery efficiency, tumour size reduction, survival rates, and toxicity levels in FR(+) models compared to FR(–) counterparts. While there is variability in the results (due to a lack of standardized experimental conditions), particularly regarding the extent of improvement, FR targeting generally demonstrates enhanced delivery efficiency. Variations may also be linked to tumour types, FR expression levels, or other factors. Reduced side effects and improved therapeutic effects align with this trend. To evaluate FA-conjugated nanocarriers and small molecule drug conjugates based on the challenges and barriers outlined in Section 4, it is imperative to intensify the exploration of compatible carriers such as quantum dots, PEGylated, magnetic, and radiolabelled nanocarriers. These FA-conjugated nanocarriers have demonstrated remarkable potential, significantly enhancing mouse survival rates by decreasing *in vivo* toxicity to healthy tissues when compared to non-targeted nanocarriers. These platforms have consistently exhibited improved cell proliferation inhibition, induced mitochondria-mediated cell death, minimized drug side effects, and extended survival, ultimately enhancing antitumour effects. Progress *in vivo* diagnostics, achieved through the tracking and non-invasive imaging of nanocarriers *via* radiolabelled and magnetic nanocarriers for various imaging modalities like MRI, CT, PET, and SPECT, is noteworthy.

As discussed in this review, structure-based *de novo* design strategies must meet the requirements of the FR-targeted drug transporters to cancer cells, harnessing their structural potential for precise drug delivery. Release mechanisms, triggered by physicochemical stimuli, drive the liberation of therapeutic or diagnostic agents within the tumour microenvironment. This emphasizes the major importance of modified and biodegradable linkers including thioether, disulphide, amide, and ester

linkers, as well as adaptable spacers like polypeptides, amino acid residues, and PEG chains for the carrier design. Integration of versatile, self-immolative spacers and linkers, known for their biodegradability, non-toxicity, and biocompatibility, such as PEG, PE, and thiol linkers, further augments these strategies. Utilizing lipid-based and polymeric nanocarriers, primarily focused on ensuring optimal drug encapsulation efficiency, low toxicity, high biocompatibility, and finely tuned release dynamics at tumour sites, deserve special mentioning. In the last half-decade, significant progress has been made in overcoming the obstacles, which had traditional drug delivery reliant on the EPR effect. The meticulous tailoring of FA-conjugated carrier attributes, encompassing chemistry, morphology, delivery modalities, and pharmacodynamics, has certainty-guided precision in drug transporter design, concurrently enhancing efficiency while reducing elimination through the immune system, by improving the compatibility of functionalized carriers decorated with suitable stimuli-responsive linkers and spacers. Based on the substantial folate receptor over-expression in many cancer cells/cancer types, folate receptor-based strategies facilitated the drug delivery notably, but not throughout. Remarkable enhancements were observed for enveloped carriers with biocompatible polymers such as PEGylation and encapsulating drugs within the inner carrier layer, as opposed to surface loading, mitigating opsonization and phagocytosis in the bloodstream, thus reducing off-target toxic effects.

Further approaches have been explored, including liposomes, polymeric, and metal-based NCs, emphasizing the synergy between intricate (bio-) chemical design and insight into (patho-) physiological processes. Fabrication methodologies commonly involve the application of non-toxic stimuli-responsive linkers and spacers, aiming to augment the accumulation of drug-loaded transporters within tumour tissues and regulating or even controlling *in vivo* pharmacokinetics. Notable chemical examples encompass carbodiimide chemistry, employing EDC/NHS for folic acid conjugation.

A vast number of *in vitro* studies assessing the interaction between specific FA-conjugated transporters and FRs overexpressed on cancer cell surfaces, paving the ground for future developments. Complementary evaluations, such as carrier cytotoxicity, cellular uptake ( $IC_{50}$ ) in FR(+) *vs.* FR(–) cells, drug release kinetics (cumulative *vs.* sustained), drug release upon stimuli, cell labelling and tracking *via* fluorescent dyes, and pharmacokinetic profile provide a precast on the drug delivery efficacy, cytotoxicity, biocompatibility, and mechanism of action *in vivo*. A critical factor is the desired increase in toxicity of FA-conjugated transporters/drugs when compared to their non-targeted counterparts across various FR(+) cell lines in contrast to the FR(–) counterparts and non-malignant cells. This was observed consistently in the reviewed *in vitro* studies. The downstream effects on the targeted cells depends on the type of drug, but often comprises apoptosis or cell cycle inhibition, followed by expression level changes of pro- and anti-apoptotic proteins (*i.e.*, Bcl-2, caspase-3, -9, PARP, and cytochrome-C), apoptotic-oncoproteins (*i.e.*, p53, p65, Bax) and





cell proliferation markers (*i.e.*, Ki-67, VEGF), or membrane proteins (CD1, CD31, CD46).

It's essential to acknowledge that despite significant forward steps in FR-targeted drug delivery, the potential of improvement in delivery efficiency remains substantial. While most studies have delved into *in vitro* experiments employing cell lines, only a limited number have ventured into animal models. Prolonged blood circulation, carrier–protein interaction, and endosomal escape of FA-conjugated transporters *in vivo* remains extremely challenging. However, to advance the development of these drug transporters to a clinical level, it is crucial to consider the complex interplay between transport and elimination processes, accumulation in the target region, immune system interactions, cellular uptake, and impact on tumour cells.

While comprehensively addressing all parameters in drug transporter design remains a difficult task, emphasizing their functional attributes during development is pivotal. It's worth recognizing that not all introduced drug transporters possess the inherent potential or necessary financial backing to advance to practical application. Only the most promising strategies that cover these key chemical factors can contribute to bolstering the stability, mobility, and responsiveness of FA-conjugated nanocarriers, thereby enhancing their potential for improved bio-distribution and pharmacokinetics may evolve into therapeutic drug/diagnostic systems:

- surface functionalization: covalent attachment of folic acid (FA) to the nanocarrier's surface through stable chemical linkers like amide bonds ensures that the targeting ligand remains firmly attached, preventing premature detachment during circulation.

- Biodegradable linkers/spacers: using biodegradable linkers, such as disulphide or ester linkages, or spacers such as polypeptides and PEG chains can enhance carrier responsiveness. Linkers can be designed to break in response to specific stimuli like reducing environments (*e.g.*, glutathione) or pH changes, facilitating drug release at the target site. Conjugating multiple chemical moieties to the nanocarrier, including both folic acid (FA) and stimuli-responsive components can provide versatility and responsiveness, improving the carrier's ability to navigate complex *in vivo* environments.

- Stimuli-responsive carriers: smart polymers that respond to the cancers environmental cues can be incorporated into the nanocarrier's structure. These polymers can enable controlled drug release in response to specific conditions within the tumour microenvironment. Incorporating PEGylation on the nanocarrier surface can also enhance stability and circulation time by reducing opsonization, immune recognition, and clearance by the reticuloendothelial system. PEGylation also contributes to improved biodistribution.

- Drug encapsulation and release chemistry: effective drug encapsulation within the carrier matrix, through hydrophobic or electrostatic interactions, ensures drug stability during circulation and controlled release chemistry at the target site upon stimuli. This encapsulation can be fine-tuned chemically to optimize drug loading and release kinetics.

- Chemical stability and non-toxicity: ensuring that the nanocarrier itself is chemically non-toxic and stable under

physiological conditions is critical. Biocompatible materials that do not degrade rapidly in the bloodstream are essential to maintain carrier integrity during circulation.

- Size, morphology, and surface charge control: fine-tuning the size, morphology, and surface charge of the nanocarrier through chemical methods not only impacts its mobility and circulation properties but also influences interactions with biological components. Well-dispersed carriers often exhibit improved biodistribution.

Continued research efforts, in collaborative synergy among chemists, material scientists, life sciences researchers, and clinicians will prove pivotal in fully realizing the clinical viability of FR-mediated drug delivery.

## Abbreviations

NC	Nanocarrier
SMDC	Small molecule–drugs conjugate
NP	Nanoparticle
FR	Folate receptor
FR(+)	Folate receptor-positive
FR(–)	Folate receptor-negative
FA	Folic acid
TNBC	Triple-negative breast cancer
NIR	Near-infrared
MRI	Magnetic resonance imaging
AMF	Alternating magnetic field
BBB	Blood–brain barrier
LNP	Lipid-based nanoparticle
PNP	Polymeric nanoparticle
MNP	Magnetic nanoparticle
AuNP	Gold nanoparticle
nm	Nanometer
nM	Nanomolar
μM	Micromolar
mM	Millimolar
mV	Millivolt
mL	Milliliter
ζ	Zeta
PS	Particle size
IC <sub>50</sub>	Half maximal inhibitory concentration
PDI	Polydispersity index: the square of the standard deviation divided by the mean particle diameter

## Author contributions

M. A. conceptualized and reviewed the articles, analysed data, and drafted the manuscript. M. A. and K. W. designed the review procedure. M. A. and C. A. R. reviewed and drafted the challenges and barriers in targeted drug delivery. K. W. and S. B. revised and edited the manuscript and provided general oversight. T. v. W. undertook overall management of the manuscript. All the authors have approved the final version of the manuscript.

## Conflicts of interest

The authors declare no conflict of interest.



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