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Revealing large room-temperature Nernst coefficients in 2D materials by first-principles modeling*

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Two-dimensional (2D) materials have attracted significant attention owing to their distinctive electronic, thermal, and mechanical characteristics. Recent advancements in both theoretical understanding and experimental methods have greatly contributed to the understanding of thermoelectric properties in 2D materials. However, thermomagnetic properties of 2D materials have not yet received the same amount of attention. In this work, we select promising 2D materials guided by the physics of the Nernst effect and present a thorough first-principles study of their electronic structures, carrier mobilities, and Nernst coefficients as a function of carrier concentration. Specifically, we reveal that trilayer graphene with an ABA stacking exhibits an exceptionally large Nernst coefficient of 112 μ V (KT)⁻¹ at room temperature. We further demonstrate that monolayer graphene, ABC-stacked trilayer graphene, and trilayer phosphorene (AAA stacking) have large Nernst coefficients at room temperature. This study establishes an ab initio framework for the quantitative study of the thermomagnetic effects in 2D materials and demonstrates high fidelity with previous experimental data.

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Introduction 1.

The remarkable discovery of graphene has spurred the emergence of a novel category of materials referred to as two-dimensional (2D) materials. These materials boast distinctive physical and chemical traits, particularly their electrical and optical properties, which offer unique prospects in the development of electronic and optoelectronic devices such as transistors, photodetectors, light-emitting diodes,³ and solar cells.^{4,5} Optimistic projections even envision atomically thin 2D materials as a viable post-silicon alternative, particularly as conventional electronics reach the constraints of traditional scaling.6

In particular, 2D materials and thin films emerged as encouraging structures to enhance the efficiency of thermoelectric generators (TEGs) because of their extraordinary heat and charge transfer properties.⁷⁻⁹ TEGs aim to convert waste heat into usable electrical energy and work based on the Seebeck effect in which a temperature gradient induces a voltage in the same direction. The ratio of the developed voltage difference to the temperature gradient is known as the Seebeck coefficient. There have been significant recent advancements aimed at enhancing the overall efficiency of TEGs and thermionic energy converters through the discovery of materials with exceptional properties. 10-14 As a great illustration, superior thermoelectric conversion efficiencies were reported in thin films, 15 2D alloys, 16 Janus monolayers, 17 highelectron gases, 18 layered materials, 19,20

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superlattices, ^{21,22} graphene TEGs, ²³ and nanostructured/heterostructured 2D materials. ²⁴

Despite the Nernst effect being discovered before the Seebeck effect, it has not received as much attention and study as the Seebeck effect. Walther Nernst observed the "Nernst effect" in bismuth, 25 where a transverse voltage arises under a temperature gradient and a perpendicular magnetic field. Similar to the Seebeck coefficient, the Nernst coefficient quantifies the generated voltage relative to the temperature difference. In the presence of a magnetic field, electrons and holes move in opposite directions (due to the Lorentz force, $\vec{F} = q\vec{\nu} \times \vec{H}$), causing an accumulation on opposite sides of the material, resulting in the Nernst voltage. It wasn't until decades later that researchers began to examine the Nernst effect in metals. Smith observed the Nernst signals in rare metals, antimony, nickel, lead, cadmium, and cobalt^{26,27} followed by studies of bismuth-tin and nickel alloys.²⁸ Later, semiconductors were shown to exhibit larger Nernst signals at room temperature, namely Si, 29 Ge, 30 and InSb. 31

The prevalent technological application of the Nernst effect is cryogenic cooling, widely employed in quantum computing, superconductors, and cryogenic hardening. 32,33 Sustaining a system at cryogenic temperatures necessitates ongoing monitoring of heat fluxes.³⁴ A Nernst-Ettingshausen cooler provides more precise temperature control than achieving thermal equilibrium conventionally with a reservoir and liquid nitrogen. Recently, there has been a proposition to develop thermopiles based on the Nernst effect. Nernst-based thermopiles offer advantages over their Seebeck-based counterparts, including greater flexibility in structures, more efficient device architectures, and lower costs. 35-37 Lastly, Nernst thermopiles are frequently employed in thermal radiation detection. While they may exhibit lower performance compared to photoconductors, they operate efficiently across a broad spectrum of wavelengths and do not require refrigeration.38

The examination of the Nernst effect in 2D materials has predominantly centered on the anomalous Nernst effect. This effect, originating from a charge current propelled by a temperature gradient, serves as a tool to explore the topological characteristics of materials. Its sensitivity to the Berry curvature in the vicinity of the Fermi level makes it particularly adept at probing these material properties.³⁹ The exploration of the Nernst effect in 2D ferromagnets and antiferromagnets featuring topologically nontrivial electronic band structures holds significant importance. The anomalous Nernst effect has been studied in 2D van der Waals materials such as Fe₃GeTe₂, ³⁹ transition-metal dichalcogenides, ⁴⁰ Co₂MnGa, ⁴¹ FeCl₂, ⁴² and WTe₂. ⁴³ The experimental setup for measuring the Nernst coefficient is more complex than for the Seebeck coefficient, involving the application of an external magnetic field along with a temperature gradient. The Nernst effect hasn't received as much attention as the Seebeck effect and literature on the ordinary Nernst effect in 2D materials is considerably scarce (e.g., 1T-TaS $_2$, ⁴⁴ quasi-two-dimensional CsCa $_2$ Fe $_4$ As $_4$ F $_2$, ⁴⁵ NbSe $_2$, ⁴⁶ graphene, ⁴⁷⁻⁴⁹ and Mo $_{1-x}$ W $_x$ Te $_2$ ⁵⁰), particularly at higher temperatures.

In this paper, we employ a first principles workflow to study the ordinary Nernst effect of 2D materials at room temperature. After describing our physics-informed materials selection we summarize the experimental data available for Seebeck and Nernst coefficients for the selected materials in the carbonfamily (graphene, its bi- and trilayers, and graphite) and phosphor-family (phosphorene, its bi- and trilayers, and black phosphorus). We then utilize density functional theory to study the electronic structure of the selected materials and predict their mobilities and Nernst coefficients as a function of carrier concentration using the established ab initio workflow. We reveal that trilayer graphene with an ABA stacking exhibits an exceptionally large Nernst coefficient of 112 µV (KT)⁻¹ at room temperature. Further, the maximum Nernst coefficients at room temperature are large for monolayer graphene (40 μV (KT)⁻¹), ABC-stacked trilayer graphene (35 μV $(KT)^{-1}$), and AAA-stacked trilayer phosphorene (44 μ V $(KT)^{-1}$).

2. Theory and computational methods

Solution of the Boltzmann transport equation (BTE) in the presence of a magnetic field within the relaxation time approximation yields a transport distribution function for small magnetic fields:

$$\Xi_{ij}^{H}(\varepsilon) = \frac{1}{VN_{k}} \sum_{n,k} \nu_{i,nk} \tau_{nk} \left[\nu_{j,nk} - \Omega \tau_{nk} \nu_{j,nk} \right] \delta(\varepsilon - \varepsilon_{nk})$$
 (1)

where n and k are indicative of the band index and wave vector, respectively. τ is the relaxation time and ν is the carrier velocity vector. Ω is an operator in reciprocal space as $\Omega =$

 $\frac{q}{\hbar}(\vec{v} \times \vec{H}) \cdot \vec{\nabla}_k$ that operates on velocity and relaxation time. Within BTE, response functions are defined as follows, where f is the Fermi–Dirac distribution and μ the chemical potential:

$$B_{ij}(H,T) = -\frac{q}{T} \int \mathcal{Z}_{ij}^{H}(\varepsilon)(\varepsilon - \mu) \frac{\partial f(\varepsilon, \mu, T)}{\partial \varepsilon} d\varepsilon$$
 (2)

$$\sigma_{ij}(H,T) = -q^2 \int \Xi_{ij}^H(\varepsilon) \frac{\partial f(\varepsilon,\mu,T)}{\partial \varepsilon} d\varepsilon$$
 (3)

Having the response functions we define the Nernst coefficient (*Q*) as $Q = Q_{yx}(H, T)$ where:

$$[Q(H,T)] = [\sigma(H,T)]^{-1}[B(H,T)] \tag{4}$$

For a detailed clarification, ref. 51 and 52 should be consulted. Eqn (1) demonstrates that the Nernst coefficient directly depends on the relaxation time which is inversely proportional to the effective mass.^{53,54} Moreover, analytical models prove that the magnitude of the Nernst coefficient largely grows with carrier mobility.⁵⁵

First-principles calculations of layered materials were carried out using density functional theory (DFT) with a projector augmented wave method 56 via the Quantum Espresso

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package (version 7.1).⁵⁷ Experimental lattice parameters were taken from the literature and the atomic positions were fully relaxed to reach the minimum energy. Van der Waals correction 'dft-d'.^{58,59} was applied to handle the cross-plane force between layers. While the van der Waals correction is critical to obtaining the correct interlayer spacings, we demonstrate that this correction does not affect the calculated thermomagnetic properties (*cf.* ESI†). Moving to the second rung of Jacob's ladder, the Engel–Vosko exchange functional was employed for band structure calculations. This exchange–correlation functional appeared to be relatively accurate for band gaps of solids.⁶¹ Further details of DFT calculations can be found in the ESI.†

The transport distribution function 1 needs to be assessed on a sufficiently fine mesh which was provided via maximally localized Wannier function (MLWF) basis sets as implemented in the Wannier90 package. 62 ElecTra code 63 was used to compute carrier mobility where both electron-phonon and ionized impurity scattering mechanisms were taken into account. Inputs to ElecTra (bulk and shear moduli, dielectric constant, phonon frequency, band gap, and deformation potentials) were taken from literature, where available (see ESI† for details). The accuracy of the calculated scattering rates was analyzed by comparing theoretical mobility to the previous experiments. Finally, the Nernst coefficient was calculated using semi-classical BTE in the presence of a magnetic field within relaxation time approximation as implemented in the NERTAW code. 51,52 Fig. 1 illustrates the workflow used in this work.

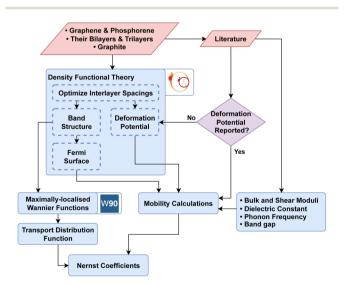


Fig. 1 The workflow followed in this work identifies 2D materials with high Nernst coefficients. Optimal interlayer distances, band diagrams, Fermi surfaces, and deformation potential (where not available in literature) were calculated by DFT for 2D materials in the carbon and phosphor families. MLWF was utilized to calculate the transport distribution function. Bulk and shear moduli, dielectric constants, band gaps, and phonon frequencies from literature were collected to calculate the mobility. Lastly, transport distribution function and mobility were integrated to predict the Nernst coefficients in the NERTAW framework. 51,52

Results and discussion

First, we will detail the 2D materials selection and discuss the available experimental Seebeck and Nernst effect data for the selected materials. Then we will describe the atomistic and electronic structures of the 2D materials studied and finally analyze the mobility and Nernst properties we calculated.

3.1. Materials selection

Based on unique electronic properties or high room temperature Nernst coefficients in the bulk we selected promising 2D material candidates. Graphene exhibits well-known unique electronic properties such as the Dirac cone and a linear dispersion near the K point. For this reason, we consider mono-, bi-, and tri-layer graphene, as well as bulk graphite which has shown a moderately large Nernst coefficient at low temperatures (few Kelvin).64 Further, the Nernst coefficient of black phosphorus has been reported to reach an impressive value of 80 V K⁻¹ at 280 K under a 6 Tesla magnetic field. We hence further consider mono-, bi-, and trilayers of black phosphorus in our analysis. Materials with a large bandgap (such as 2D MoS₂ or 2D BN) were not considered since a large bandgap typically results in an insufficient presence of opposite charges within the gap and hence a negligible Nernst signal. Furthermore, we excluded 2D materials in which magnetic moments and spin-orbit coupling effects play key roles. There are a few additional 2D candidates that could be of interest for future exploration that we did not consider here due to lack of previous experimental mobility and Nernst data in both the low-dimensional and bulk polymorphs. For example, silicene, germanene, and the MoSi₂N₄ family⁶⁶ may be of interest for future studies.

Our thermomagnetic calculation workflow (*cf.* Fig. 1) was performed under a magnetic field of 0.4 T at room temperature (300 K) for all selected materials.

3.2. Previous literature of Seebeck and Nernst effects in selected 2D materials

Due to graphene's weak electron-phonon interaction its mobility is drastically higher than other 2D materials. Comprehensive theoretical analyses and experimental investigations suggest that the Seebeck coefficient in graphene could attain values similar to those observed in bulk semiconductors by reducing the carrier density. ⁶⁷ High mobility and competitive Seebeck coefficient in graphene lead to a substantial thermoelectric power factor. ⁶⁸ Furthermore, phenomena such as the Hall effect, quantum corrections to the conductivity, and the dynamical transport emerged to be extraordinary in graphene. ^{69,70}

In contrast, there is a limited number of studies on the Nernst effect in graphene. Yan *et al.*⁷¹ probed the Nernst effect in graphene for Dirac fermions subjected to charged impurity scatterings and a weak magnetic field at low temperatures. The transverse thermoelectric power was found to be intricately linked with the Hall conductivity and the longitudinal thermoelectric power. Liu *et al.*⁷² introduced a systematic method for

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adjusting the mobility of identical graphene devices and explored how the thermomagnetic transport properties of graphene depend on carrier mobility. Distinctly enhanced Nernst signals were observed with higher mobility. From an experimental point of view, the majority of the Nernst effect in graphene has been conducted at low temperatures. The Nernst coefficient of graphene at 20 K under a magnetic field of 5 Tesla was measured to be nearly 20 $\mu V K^{-1.73}$ In a similar study, the Nernst coefficient of graphene was reported as high as 30 $\mu V~K^{-1}$ at 200 K. To our knowledge literature lacks a thorough assessment of the thermomagnetic effects in graphene near and above room temperature.

Ma et al. 74,75 numerically studied the thermoelectric and thermal transport properties in trilayer graphene with various stacking orders in the presence of a magnetic field and disorder. Specifically, in the case of biased ABA stacking, unique characteristics were observed as a result of the Landau level (LL) crossing between electron and hole bands, which strongly indicated a semimetallic band overlap. Furthermore, thermoelectric conductivities showed clear asymptotic behavior, depending on the ratio of the width of the disorder-broadened LLs to temperature. The calculated Nernst signal exhibited a prominent peak at the central LL with magnitudes on the order of $k_{\rm B}/e$. Interestingly, the sign of the Nernst signal changed at the other LLs.

Hu et al. 65 presented a thorough analysis of the thermoelectric as well as thermomagnetic effects of bulk single-crystalline black phosphorus across a wide range of temperatures and magnetic fields. Below a critical temperature of approximately 250 K, electrical transport was primarily governed by extrinsic charge carriers of the hole type, exhibiting high mobility that surpasses 10⁴ cm² (V s)⁻¹ at low temperatures. Thermal transport measurements exhibited a peak in-plane thermal conductivity of around 180 W (m K)⁻¹ at roughly 25 K. As noted above, the Nernst coefficient was observed to reach a high value of 80 μV K⁻¹ at 280 K and a 6 Tesla magnetic field. In addition to the experiments, thermomagnetic effects in bulk black phosphorus were numerically analyzed under the impact of both a magnetic field and disorder. The quantized Hall conductivity displayed similarities to that of a traditional 2D electron gas and the Nernst signals were enhanced by applying a voltage between top and bottom layers. 76 Phosphorene has surfaced as a promising contender for electronic and optoelectronic devices due to its distinct in-plane anisotropy, high carrier mobility, and a customizable direct band gap.⁷⁷

3.3. Atomistic and electronic structures

In this section, we will discuss the atomistic and electronic structures of the selected materials. The unit cells are displayed in Fig. 2, and the electronic structures of the carbonfamily materials are plotted close to the Fermi level in Fig. 3. The full band diagrams of the carbon-family materials and the electronic structure of trilayer phosphorene are shown in ESI.†

A single-layer sheet of carbon, one atom thick, forms a hexagonal lattice that gives rise to a distinctive band structure. The electronic dispersion of freestanding graphene possesses

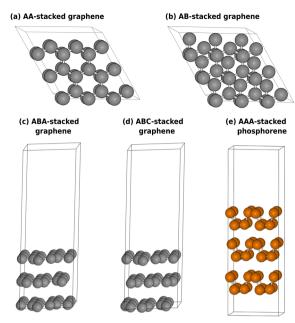


Fig. 2 Top view of AA (a) and AB (b) stacked bilayer graphene. Side view of ABA (c) and ABC (d) stacked trilayer graphene. Armchair configuration 1 and 2 × 2 × 1 supercells are shown for graphene and phosphorene, respectively.

a unique conical shape near the Fermi energy, wherein the tips of these cones precisely meet at the Fermi level (Fig. 3b). This distinctive feature enables us to describe their behavior through a model of massless fermions that adhere to the principles outlined in the Dirac equation. 7,78,79 The Dirac cone gives rise to remarkable charge carrier mobility in graphene.

The AB stacking of bilayer graphene is more stable than the AA stacking by a small energy difference. Unlike AA stacked (Fig. 2a), the AB stacked bilayer is an asymmetric configuration (Fig. 2b) known as Bernal. For the AB stacking, it has been observed that the interlayer coupling disrupts the symmetry and isotropy of its energy bands. This transformation results in the linear bands of monolayer graphene becoming parabolic (Fig. 3c), leading to a notable reduction in the overlap between its valence and conduction bands.80 In an AA bilayer structure, carbon atoms are situated directly above each other in successive layers. AA stacking has been experimentally observed in disordered or pregraphitic carbon, also known as turbostratic graphite.81 The AA configuration exhibits unique electronic properties, characterized by two degenerate electronic and hole bands crossing at the Fermi energy (Fig. 3d).

The ABA stacking of trilayer graphene is more stable than the ABC stacking82 (illustrated in Fig. 2c and d, respectively). It has hence been challenging to synthesize the pure ABCstacked trilayer phase,82 despite the intriguing properties expected from this configuration. Electronic structure calculations indicate that the electronic states of trilayer graphene exhibit a significant dependence on the stacking sequence. Trilayer graphene with ABA stacking demonstrates three bands

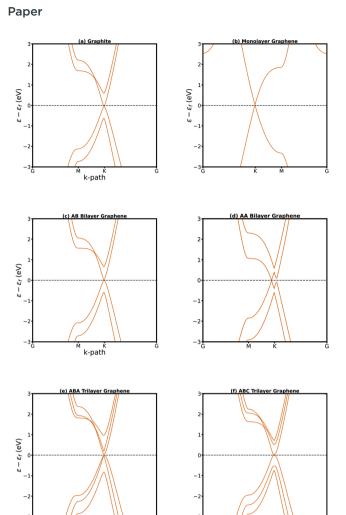


Fig. 3 Electronic structure of graphite (a), monolayer (b), AB stacked bilayer (c), AA stacked bilayer (d), ABA stacked trilayer (e), and ABC stacked trilayer graphene (f). In each case zero is the intrinsic Fermi level.

in proximity to the Fermi level (ε_f), with two crossing it at the K point (Fig. 3e) whereas in ABC stacking a parabolic band only touches ε_f at the K point (Fig. 3f).

Monolayer and bilayer phosphorene have wide band gaps (1.75 and 1.40 eV, 83 respectively). However, trilayer phosphorene in the armchair configuration (illustrated in Fig. 2e) possesses a relatively narrower band gap of 1.02 eV.

3.4. Mobility and Nernst coefficient

We calculated the mobility and Nernst coefficients for mono-, bi-, and tri-layers of graphene and phosphorene and also for bulk graphite. The Nernst coefficient of bulk black phosphorus was taken from literature. The calculated carrier mobilities are plotted in Fig. 4 against experimental values for graphene, AB stacked bilayer graphene, graphite, and p-type single-layer phosphorene. Our theoretical results for electron mobility of monolayer graphene are in close agreement with the measurements at room temperature. As the electron concentration

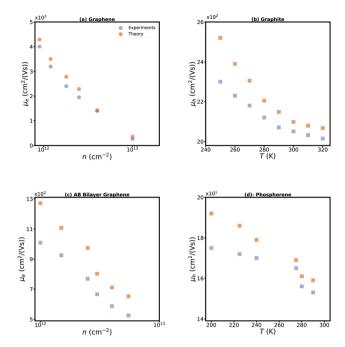


Fig. 4 Experimental carrier mobility of monolayer graphene⁸⁴ (blue circles) at T=300 K and our theoretical results (red circles) *versus* carrier concentration (a). Graphite hole mobility *versus* temperature⁸⁵ (b). Electron mobility of AB stacked bilayer graphene at room temperature *versus* carrier concentration⁸⁶ (c). Mobility of p-type single layer phosphorene *versus* temperature (d).⁸⁷

increases, there is a corresponding increase in the probability of scattering, which subsequently leads to a decrease in mobility (Fig. 4b). Similarly, the calculated mobilities of AB stacked bilayer graphene, graphite, and p-type single-layer phosphor-

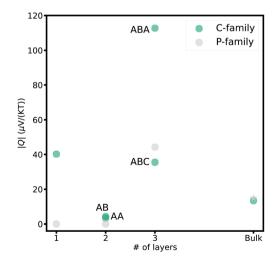


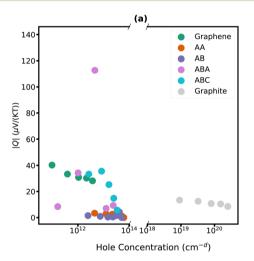
Fig. 5 Maximum computed Nernst coefficient (absolute value) *versus* number of layers for carbon and phosphorous from single layers of graphene and phosphorene to graphite and bulk black phosphorous at $T=300\,$ K. Nernst coefficient of bulk black phosphorus was taken from literature. ⁶⁵

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ene agree reasonably well with experimental data confirming the validity of our theoretical scattering rates.

The maximum Nernst coefficients for each material are summarized in Fig. 5. Among various carbon stacking configurations, the p-type ABA stacked trilayer exhibits the highest Nernst coefficient of 112 $\mu V (KT)^{-1}$ significantly larger than ABC stacked graphene (35 µV (KT)⁻¹) and monolayer graphene $(40 \mu V (KT)^{-1}).$

Both bilayer stackings of graphene exhibit a significantly lower Nernst coefficient ($\sim 4 \mu V (KT)^{-1}$) compared to monolayer graphene. For AB stacking, this is likely due to the notable reduction in the overlap between its valence and conduction bands (discussed in the previous section and shown in Fig. 3c) decreasing the charge carrier mobility. The electronic structure of AA stacked bilayer graphene gives rise to various electron and electron-phonon instabilities, including a shear-shift



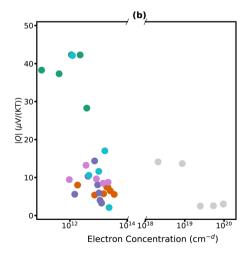


Fig. 6 Nernst coefficient of monolayer (green), AA stacked bilayer (orange), AB stacked bilayer (blue), ABA stacked trilayer (pink), ABC stacked trilayer (cyan) graphene, and graphite (silver) for a range of hole concentrations (a) and electron concentrations (b) at T = 300 K. Concentrations are in units of cm^{-d} where d is 3 for graphite and 2 for

instability.88 Such instabilities along with the degenerate bands lead to smaller Nernst coefficients.

The exceptionally large Nernst coefficient of ABA-stacked graphene is likely attributed to high hole mobility due to the presence of a Dirac cone⁸⁹ (linear energy dispersion around ε_f) at the inner bands and additional bands at the intrinsic Fermi level, resulting in the coexistence of holes and electrons. Substantial carrier mobilities coupled with significant quantities of opposite charges that will be generated lead to an exceptionally large Nernst coefficient. Conversely, in trilayer graphene with ABC stacking, a parabolic band touches ε_f at the K point (Fig. 3f) which particularly lowers carrier mobility. As a result, the Nernst coefficient becomes smaller than that of the ABA stacked counterpart.

The phosphorene family consists of semiconductors with band gaps that vary significantly depending on the number of layers. Monolayer and bilayer phosphorene have wide band gaps, resulting in insufficient carrier concentrations and hence a Nernst voltage of zero. However, trilayer phosphorene has a narrower band gap of 1.02 eV,83 allowing opposite carriers to coexist in the gap. Additionally, the higher hole mobility⁸⁷ results in a substantial Nernst voltage in p-doped trilayer phosphorene of 44 μV (KT)⁻¹, which is larger than the experimentally observed Nernst voltage for the bulk, 15 μ V (KT)⁻¹.

The detailed dependencies of the Nernst coefficients Q with carrier concentration are plotted in Fig. 6 and 7 for carbonfamily materials and trilayer phosphorene, respectively. The maximum Nernst coefficient of Fig. 6 and 7 are summarized in Fig. 5 for each material.

Eqn (1) demonstrates that the Nernst coefficient depends on not only the band features and the number of states but also the relaxation time. A large Nernst coefficient in semiconductors is typically associated with the coexistence of both charge carriers, electrons and holes. As the chemical potential delves too deep into the bands, one type of charge carriers

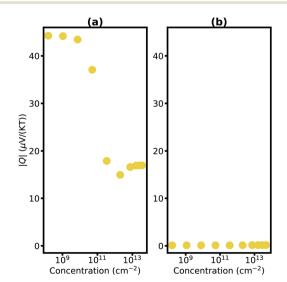


Fig. 7 Nernst coefficient of AAA stacked trilayer phosphorene versus charge carrier concentration for p-type (a) and n-type (b) at T = 300 K.

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becomes dominant – holes in the valence bands and electrons in the conduction band. This transition results in a decrease in the magnitude of the Nernst coefficient at high carrier concentrations, and larger Nernst signals at semiconductors are frequently observed near the intrinsic Fermi level. ⁹⁰ It is interesting to note, that for trilayer phosphorene as the holes become the dominant carriers and their concentration rises, there is a subsequent decrease in mobility, causing a diminution of the Nernst coefficient (Fig. 7b).

4. Conclusions

In this work, we have selected promising 2D materials and revealed that few-layer graphene and phosphorene exhibit large Nernst coefficients at room temperature. Specifically, the largest Nernst coefficient was predicted for trilayer graphene with the ABA stacking (112 μV (KT)⁻¹), followed by trilayer phosphorene (44 μV (KT)⁻¹) and then monolayer graphene (40 μV (KT)⁻¹) and trilayer graphene with the ABC stacking (35 μ V (KT)⁻¹). Our first-principles calculations have shown that the layer stacking order in trilayer graphene significantly affects the magnitude of the Nernst effect due to the distinct band structure features close to the Fermi level. Moreover, our predicted mobilities agree well with experimentally observed mobilities in the 2D materials, validating our ab initio calculation framework. This work paves the way toward large Nernst effect materials in next-generation cryogenic cooling devices and facilitates materials selection for thermomagnetic and thermoelectric applications.

Author contributions

S. Emad Rezaei: Investigation (lead); methodology (lead); visualization (equal); writing – original draft preparation (lead); writing – review and editing (equal); conceptualization (equal). Peter Schindler: Supervision (lead); conceptualization (equal); visualization (equal); writing – review and editing (equal); resources (lead).

Conflicts of interest

There are no conflicts to declare.

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