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The emergence of a robust lithium gallium oxide surface layer on gallium-doped LiNiO₂ cathodes enables extended cycling stability†

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LiNiO₂ is a promising cobalt-free cathode for lithium-ion batteries due to its high theoretical capacity and low cost. Although intensely studied, the occurrence of several phase transformations and particle pulverization causing capacity fading in cobalt-free LiNiO₂ have yet to be effectively resolved. Herein, a sol-gel synthesis process is utilized for gallium (Ga) doping of LiNiO₂ at 2% (solution-doping) and 5% (excess-doping) molar ratios. Transmission electron microscopy and X-ray diffraction Rietveld refinement reveal the opportune formation of an α -LiGaO₂ shell at 5% doping beyond the solubility limit of 2%. Alongside solution-doping at the Ni and Li crystallographic sites, the emergence of this α -LiGaO₂, isostructural and lattice-matched to the $R\bar{3}m$ LiNiO₂, is shown to improve capacity retention by a factor of 2.45 after 100 cycles at C/3. Particles with the LiGaO₂ shell experience significantly less pulverization during extended cycling. In contrast, the solution-doped LiNiO₂ with 2% Ga experiences extensive particle fracturing similar to the baseline undoped LiNiO₂. In turn, no significant electrochemical performance difference is found between the solution-doped and baseline LiNiO₂. The evidence garnered suggests that a surface gallium oxide phase achievable with excess Ga is key to enabling extended cycling using Ga doping.

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Introduction

The surge in demand for energy storage has prompted the search for lower-cost lithium-ion battery materials. To ease supply bottlenecks and thereby lower cost, batteries free of contentious cobalt are intensely desired by industries and governments. Among several compositions of cathodes the scientific community hopes to expand the family of viable transition metal oxides LiMO_y (where M = Mn, Ni, Fe...) due to their inherent ability to seamlessly intercalate and deintercalate lithium within layered structures.^{1,2} LiNiO₂ in particular can achieve theoretical capacities upward of 270 mA h g⁻¹ with the participation of one mole of lithium in the intercalation process.³ Furthermore, LiNiO₂ would be a cobalt-free alternative to LiNi_xMn_yCo_zO₂ (NMC) high-capacity chemistries in use today. Despite the numerous advantages of cobalt-free LiNiO₂ such as its high energy density, favorable redox properties

(Ni³⁺ → Ni⁴⁺ conversion largely achievable from 3.0 to 4.3 V), and drop-in compatibility with existing cobalt-bearing chemistries, there are several challenges that hinder its commercialization. The H₂ ↔ H₃ phase transformation appears detrimental to sustained cycling.⁴ Repeated transitions with the accompanying fluctuations in unit cell volume result in fatigue strain within the material. The aforementioned stresses induce deformation and microstructural damage to the particles. Particle cracking increases the electrochemical surface area and electrolyte penetration, which promotes excessive formation of cathode-electrolyte interphases (CEI). The interphase growth consumes electrolyte and Li⁺ inventory, thereby compromises long-term cycling stability.^{5,6} At deeper charge-states, transition from an O3-type (ABCABC stacking) to an O1-type (ABAB) lattice due to interlayer anionic repulsion at low lithium contents occurs, which exacerbates the mechanical instability.⁴

Another major challenge facing the viability of LiNiO₂ is the pervasive oxygen (O₂) loss at high potential yielding detrimental spinel and rock-salt surface phases. Biasi *et al.*⁷ utilized operando X-ray diffraction (XRD) and differential electrochemical mass spectrometry (DEMS) to gain insight into the dynamics impacting the stability of LiNiO₂. The authors reported vigorous O₂ evolution in the solid-solution regions of H₂ and H₃ phases, which dropped to a local minimum during the actual H₂ ↔ H₃ transformation. In contrast, DEMS by Park *et al.*⁸

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† Electronic supplementary information (ESI) available: Variance and SD calculations, literature review, XRD fittings and refinement results, EDS (line scan) data and line scan simulation, impedance profile, differential capacity plot, and SEM images of cycled electrodes. See DOI: <https://doi.org/10.1039/d3ma01102j>



found O_2 loss on uncoated- $LiNiO_2$ spanning the entire $H_2 \leftrightarrow H_3$ transformation range while minimal O_2 loss from graphene-coated $LiNiO_2$ coincides with a depressed $H_2 \rightarrow H_3$ peak and extended cycling. Hu *et al.*⁹ quantified the gas evolution by single crystal and polycrystalline nickel-rich $LiNi_{0.76}Mn_{0.14}Co_{0.1}O_2$ (NMC76) using DEMS. They observed the onset of O_2 evolution at 4.5 V on polycrystalline NMC76. Undetectable O_2 evolution in the single crystal counterparts coincided with extended cycling stability of the nickel-rich oxide. Wang *et al.*¹⁰ used *in situ* XRD to correlate the mechanical breakdown of $LiNiO_2$ and Mg/Ti-doped $LiNiO_2$ cathodes with O_2 loss during charge–discharge cycling. The authors *in situ* electron microscopy show the emergence of stacking faults identified as O1 from O_2 loss induced by elevated temperatures and supported by *ab initio* molecular dynamic (MD) calculations. The authors also resolved *via* electron tomography the emergence of cracks both in the bulk and on the surface of particles upon the O_2 release. Cheng *et al.*¹¹ used DFT to estimate the average O_2 release energy from $LiNiO_2$ at various lithiation states. From fully lithiated $Li_{1-x}NiO_2$ ($x = 0$) to 75% delithiated $Li_{1-x}NiO_2$ ($x = 0.75$), the O_2 release energy drops from 1.27 eV to 0.15 eV at $T = 30$ °C and $p(O_2) = 0.2$ atm. External energy input such as an increase in the temperature to $T = 200$ °C is predicted to result in a spontaneous O_2 loss in $Li_{1-x}NiO_2$ ($x = 0.75$) with a release energy of -0.05 eV. This finding is thermodynamically consistent with the fact that O_2 release is ubiquitous under a high applied potential (> 4.0 V vs. Li/Li^+) as per the experimental gas analysis studies listed above.

From the preponderance of evidence in the literature, O_2 release with the accompanying mechanical damage and irreversible phase conversion appears incontrovertible for the neat (polycrystalline) $LiNiO_2$. However, Cheng *et al.*¹¹ predicted that the addition of dopants with an affinity for surface segregation would increase the average O_2 release energy in 75% delithiated $Li_{1-x}NiO_2$. Dopants such as tungsten (W), antimony (Sb),

tantalum (Ta), and titanium (Ti) increase the predicted O_2 release energy to 0.53, 0.56, 0.40 and 0.35 eV, respectively. Synthesized 2% Sb doped $LiNiO_2$ with the most positive O_2 release energy retains $\sim 5\%$ higher capacity compared to undoped $LiNiO_2$ at C/5 over 60 cycles.

As it stands, a variety of dopants shown in Table S1 (ESI[†]) have been explored to stabilize the cycling of $LiNiO_2$ including multi-atomic dopants. Fig. 1 summarizes data collected for dopants gallium (Ga), tungsten (W), niobium (Nb), aluminum (Al), and zirconium (Zr). A notable feature is the variability of capacity retention of undoped $LiNiO_2$ prepared by various research groups using different synthesis approaches. The calculated variances for all dopants recorded in Fig. 1 are provided in Table S2 (ESI[†]). A standard deviation $\sigma \approx 20\%$ is calculated for undoped $LiNiO_2$ from the 50th cycle data collected herein.^{12–27} The data collected for Al, Nb, Zr, and W show less prominent variance, albeit possibly due to a concentration of publications in a small set of laboratories; the obtained standard deviations are below 10%. Ga in $LiNiO_2$ has a binding affinity for oxygen and may improve structural stability during cycling. Wu *et al.*¹³ found that introducing Ga at the manganese (Mn) site in nickel-rich layered oxide cathode materials (NCM) effectively mitigates cation mixing at synthesis, which boosts capacity retention and thermal stability. Ga as a dopant holds promise towards increasing the longevity of $LiNiO_2$,^{14,16,17} nonetheless, capacity retention data over 50 cycles show a variance ($\sigma \approx 17\%$) similar to that of undoped $LiNiO_2$. For example, Nishida *et al.*¹⁷ demonstrated nearly 100% capacity retention after 50 cycles for 2% Ga-doped $LiNiO_2$ while Song *et al.*²² reported $\sim 45\%$ retention after the same 50 cycles with 2.5% Ga-doped $LiNiO_2$. The high variance is due to a significant part of Ga incorporating into the bulk (solid-solution) as well as segregating as lithiated gallium oxide secondary phases, the function of the latter still being largely unclear. From XRD analysis, Kitsche *et al.*¹⁴ reported that the introduction of 2–5%

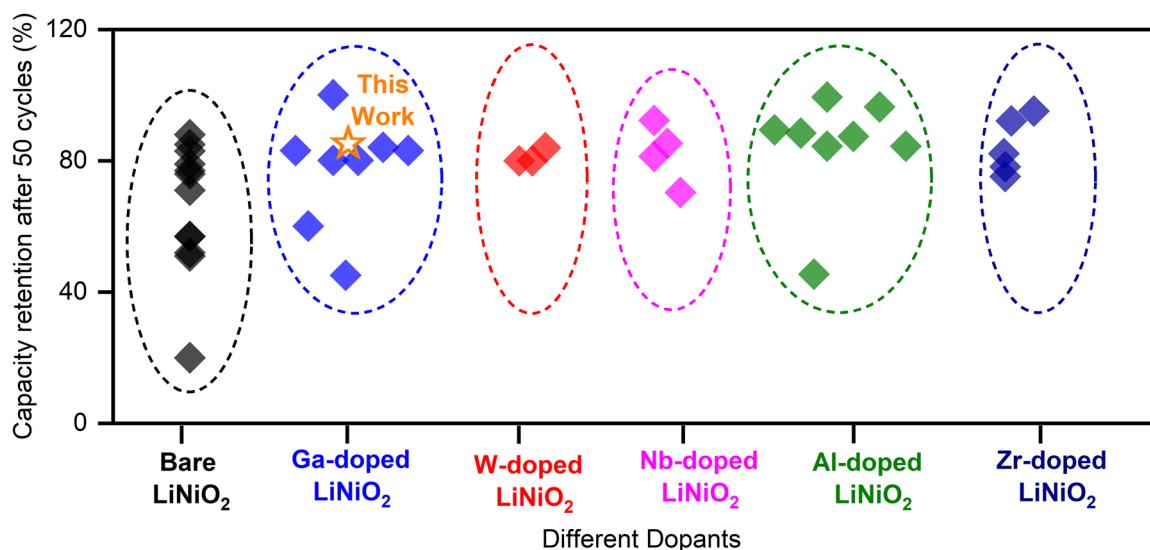


Fig. 1 Capacity retention at 50 cycles for $LiNiO_2$ with various dopants from the literature.^{12–27}



nominal Ga mole fraction, which exceeds the solubility limit estimated at 2%, lead to the formation of the Li_5GaO_4 impurities. From 2% up to 5% nominal Ga doping, the capacity retention of $\text{Li}_{1-y}\text{Ga}_y\text{NiO}_2$ after 100 cycles at C/2 jumped from $\sim 80 \text{ mA h g}^{-1}$ to $140\text{--}150 \text{ mA h g}^{-1}$. The best performance is achieved at 4% Ga which retained 78% of its initial capacity after 100 C/2 charge–discharge cycles. The report is not definitive as to whether the improved retention stems from a positive impact of the Li_5GaO_4 impurities (possibly a surface coating) or from the bulk doping of Ga within the LiNiO_2 lattice. The uncertainty as to the origin of measurable improvements with Ga doping prompts the present contribution. It is hypothesized here that surface gallium oxide is key to stabilizing the electrochemical cycling under Ga doping.

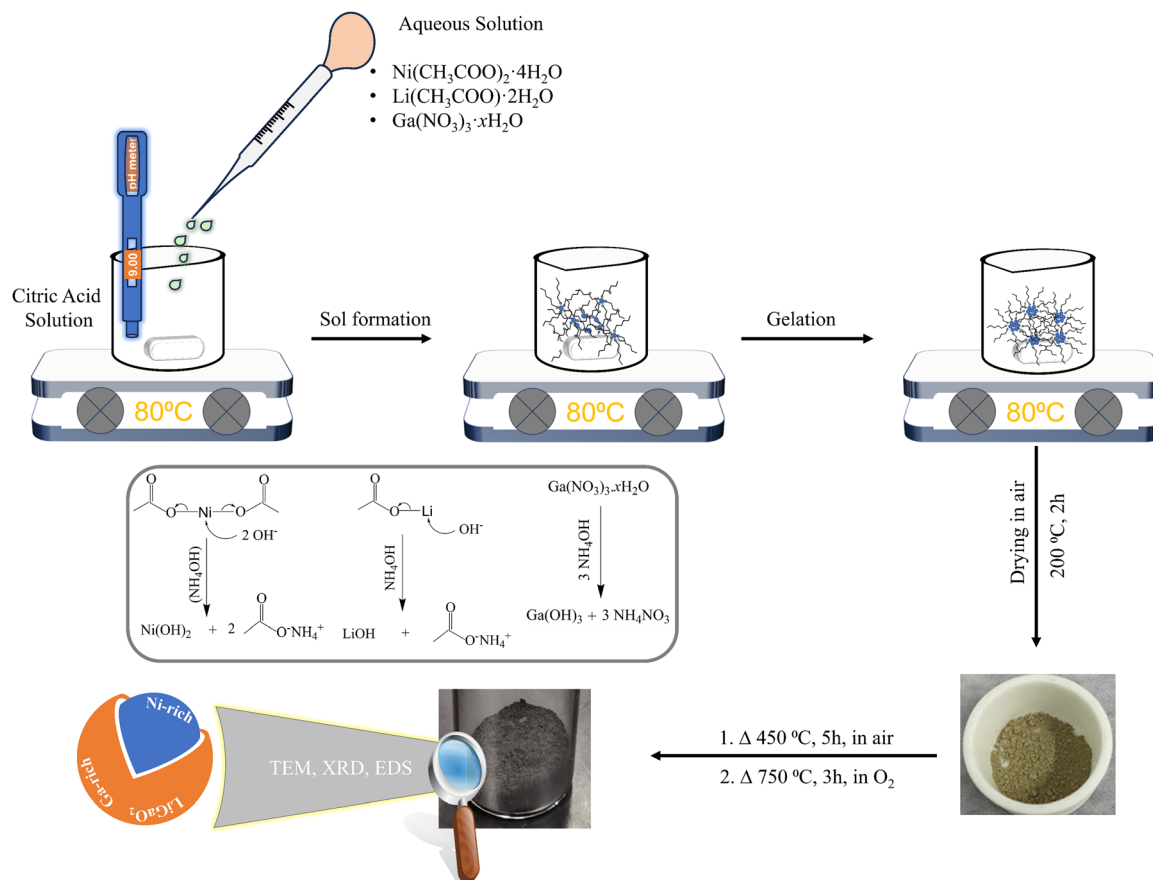
Herein, a comparison is made between undoped, solution-doped (near solubility of 2% Ga), and excess-doped LiNiO_2 (5% Ga) in an effort to discern the role of secondary gallium oxide phases. Our sol–gel synthesis in the presence of excess Ga resulted in an unexpected (reported single-phase synthesis at 3 MPa synthesis pressure²⁸) lithium gallate ($\alpha\text{-LiGaO}_2$) phase on the surface of the LiNiO_2 particles. The $\alpha\text{-LiGaO}_2$ (symmetry: $R\bar{3}m$, lattice: $a = b \approx 2.911 \text{ \AA}$, $c \approx 14.466 \text{ \AA}$) surface phase may have formed at the standard synthesis pressure because of its lattice-match against LiNiO_2 (symmetry: $R\bar{3}m$, lattice: $a = b \approx 2.8713 \text{ \AA}$, $c \approx 14.1861 \text{ \AA}$). The formation enthalpy of

LiGaO_2 ($\Delta H_f = -918.352 \text{ kJ mole}^{-1}$) is $\sim 50\%$ more exothermic than that of LiNiO_2 ($\Delta H_f = -612.450 \text{ kJ mole}^{-1}$) forecasting a greater thermodynamic barrier to O_2 loss from LiGaO_2 as a surface phase on LiNiO_2 . The simultaneous surface coating and solution-doping of the LiNiO_2 enhanced the capacity retention by a factor of 2.45 over the undoped LiNiO_2 . In contrast, the solution-doped LiNiO_2 yielded no measurable boost in capacity retention. Post-cycling scanning electron microscopy (SEM) indicates that the excess-doped LiNiO_2 withstands pulverization after 100 cycles while the solution-doped and undoped LiNiO_2 fracture extensively. These findings suggest that the LiGaO_2 surface layer is key to preserving the structural and surface integrity of the material towards extended cycling. The findings offer an informed approach to enhancing the electrochemical performance of cobalt-free LiNiO_2 with Ga doping.

Experimental

Synthesis

In nominal molar ratio terms, 1% Ga-doped LiNiO_2 (denoted “1% Ga- LiNiO_2 ”) to 6% Ga-doped LiNiO_2 (denoted “6% Ga- LiNiO_2 ”) were synthesized for comparison with the baseline LiNiO_2 . A sol–gel synthesis route was utilized as illustrated in Scheme 1. To produce LiNiO_2 powders doped with different Ga



Scheme 1 Schematic of the synthesis route of 0–6% Ga- LiNiO_2 using a sol–gel method.



Table 1 Quantities of metal salts and chelating reagent (in grams) added during the synthesis of 0% to 6% Ga–LiNiO₂

Compound	Li(CH ₃ COO)·2H ₂ O	Ni(CH ₃ COO) ₂ ·4H ₂ O	Ga(NO ₃) ₃ ·xH ₂ O	Citric acid
0% Ga–LiNiO ₂	1.101	2.480	—	1.000
1% Ga–LiNiO ₂	1.101	2.455	0.026	1.000
2% Ga–LiNiO ₂	1.101	2.438	0.050	1.000
4% Ga–LiNiO ₂	1.101	2.438	0.101	1.000
5% Ga–LiNiO ₂	1.101	2.438	0.135	1.000
6% Ga–LiNiO ₂	1.101	2.438	0.153	1.000

molar concentrations (0% to 6%), aqueous solutions of Li(CH₃COO)·2H₂O, Ni(CH₃COO)₂·4H₂O, and Ga(NO₃)₃·xH₂O were prepared in a 50 mL volume of deionized water. Concurrently, a chelating agent solution was prepared by dissolving 1 g of citric acid in 100 mL of deionized water. The solution pH was maintained at 9 through the addition of NH₄OH. The specific quantities of the aforementioned metal salts and citric acid employed in the synthesis are itemized in Table 1. The aqueous metal salts solution was introduced dropwise into the citric acid solution under constant stirring followed by heating at a temperature of 80 °C to produce the gel. The gel was subsequently dried at 200 °C for 2 h, producing the precursor powder. The precursor underwent a 450 °C treatment for 5 h in open atmosphere to eliminate carbonaceous impurities. Finally, the powder was annealed at 750 °C in a tube furnace under high-purity oxygen (99.997% O₂, Keen Gas grade 4.7) for 3 h to obtain the final cathode material. The heating and subsequent cooling rates were 5 °C min⁻¹.

Material characterization

X-ray diffraction (XRD) patterns were obtained using a Bruker D8 Discover ($\lambda_{\text{Cu}} = 1.5406 \text{ \AA}$) diffractometer in Bragg–Brentano mode. Patterns were collected from 10° to 80° 2 θ for the as-synthesized as well as cycled 0%, 2% and 5% Ga–LiNiO₂ electrodes. Scanning electron microscopy (SEM) and energy-dispersive X-ray spectroscopy (EDS) were performed using a JEOL JSM-7400F at 3 kV and 15 kV, respectively. High resolution transmission electron microscopy (HRTEM, JEOL JEM-2010F) was obtained for pristine 0% and 5% Ga–LiNiO₂ at 200 kV. Prior to imaging, the powders were dispersed in isopropyl alcohol (IPA) under sonication and drop-cast onto TEM grids. X-ray photoelectron spectra (XPS) of 0%, 2%, and 5% Ga–LiNiO₂ cathodes were carried out using a Thermo Scientific K-Alpha XPS spectrometer (Al K α source, spot size = 400 μm).

Electrode fabrication, battery assembly, and galvanostatic cycling

To produce 0–6% Ga–LiNiO₂ electrodes for electrochemical testing, slurries were prepared by mixing 80% active material, 10% super P carbon, and 10% PVDF (polyvinylidene difluoride) in *N*-methyl-2-pyrrolidone (NMP) using a planetary centrifugal mixer (Thinky AR-100). The resulting slurry was coated onto an aluminum foil using a doctor-blade with the wet coating thickness set to 200 μm . The coated electrodes were dried at 120 °C in a vacuum oven for 24 h followed by calendaring to a thickness of $\sim 50 \mu\text{m}$ resulting in a mass loading of $\sim 4 \text{ mg cm}^{-2}$.

CR2032 coin cells were assembled in an argon-filled glovebox (<0.1 ppm O₂ and H₂O); 1 M LiPF₆ in ethylene carbonate/ethyl methyl carbonate (1 M LiPF₆ in EC : EMC = 1 : 1 v/v) procured from Millipore SigmaTM was used as the electrolyte. Lithium foil and LiNiO₂ electrodes were 14 mm in diameter and a 16 mm Celgard 2325 trilayer microporous separator was used. Half cells were constructed in the configuration LiNiO₂ (0–6% Ga) || 40 μL of 1 M LiPF₆ in EC : EMC = 1 : 1 v/v || Li foil. Galvanostatic charge–discharge (GCD, NEWARE battery cycler) measurements were performed at various C-rates (1C = 180 mA h g⁻¹) within the potential window of 3.0–4.3 V. Electrochemical impedance spectroscopy (EIS) was performed using a PARSTATTM PMC-200 bipotentiostat with perturbation frequencies from 1 MHz to 0.01 Hz.

Results and discussion

Ga is doped from 0% to 6% into LiNiO₂ to understand the electrochemical performance of Ga doped LiNiO₂. EDS is used to empirically quantify the molar ratios of Ga to Ni in the doped particles. Fig. S1 (ESI[†]) provides details of the EDS data collected for the 1% to 6% Ga–LiNiO₂ powders. Performing spectroscopy at three randomly selected locations in the dispersed powder, some heterogeneity is observed in the levels of Ga from particle to particle. The average Ga/Ni molar ratios are slightly lower than the targeted values for all doping levels (Table S3, ESI[†]). Deviation from the targeted value is likely due to unquantified hydration on the Ga(NO₃)₃·xH₂O precursor during precursor weighing. 0–6% Ga–LiNiO₂ half-cells were cycled at C/3 for 100 cycles between 3.0 V and 4.3 V and their performance is presented in Fig. 2a. The summary of capacity retention in Fig. 2b shows scattered performance gains below 2% doping; 2% molar ratio of Ga is the reported solubility limit of Ga in LiNiO₂ at standard pressures.¹⁴ The best performance below 2% doping is found at $\sim 1\%$ Ga; retention decreases again from 1 to 2% Ga. Most interestingly, Ga doping beyond its solubility limit of 2% results in a notable jump in the retention not attributable to potential cell to cell variations. Retention jumps from the 30% range to the >70% range and remains above this threshold from 4 to 6%. The overall best capacity retention rate in the studied doping range of 0 to 6% is found at $\sim 5\%$ Ga doping with a retention of 76% at cycle 100 in a half-cell. Based on the retention trends in Fig. 2b, undoped LiNiO₂ (0% Ga), 2% Ga–LiNiO₂, and 5% Ga–LiNiO₂ were targeted to elucidate the electrochemistry and crystallography behaviors of undoped, solution-doped (at the solubility limit of 2%), and excess-doped LiNiO₂; the goal being to understand



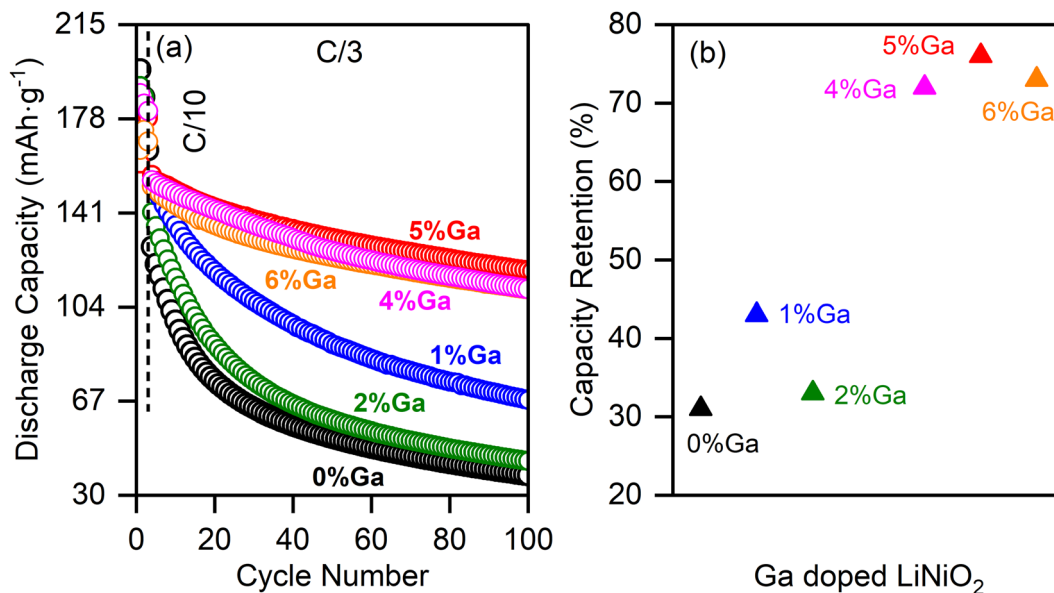


Fig. 2 Capacity retention during cycling of 0% to 6% Ga–LiNiO₂. (a) Discharge capacity versus cycle number at C/3; the first three formation cycles were obtained at C/10. (b) Capacity retention at cycle 100 of the various Ga doped LiNiO₂ summarized from (a); the best retention is found at ~5% Ga. Retention percentages are calculated as the ratio of discharge capacity at cycle 100 over the first C/3 capacity.

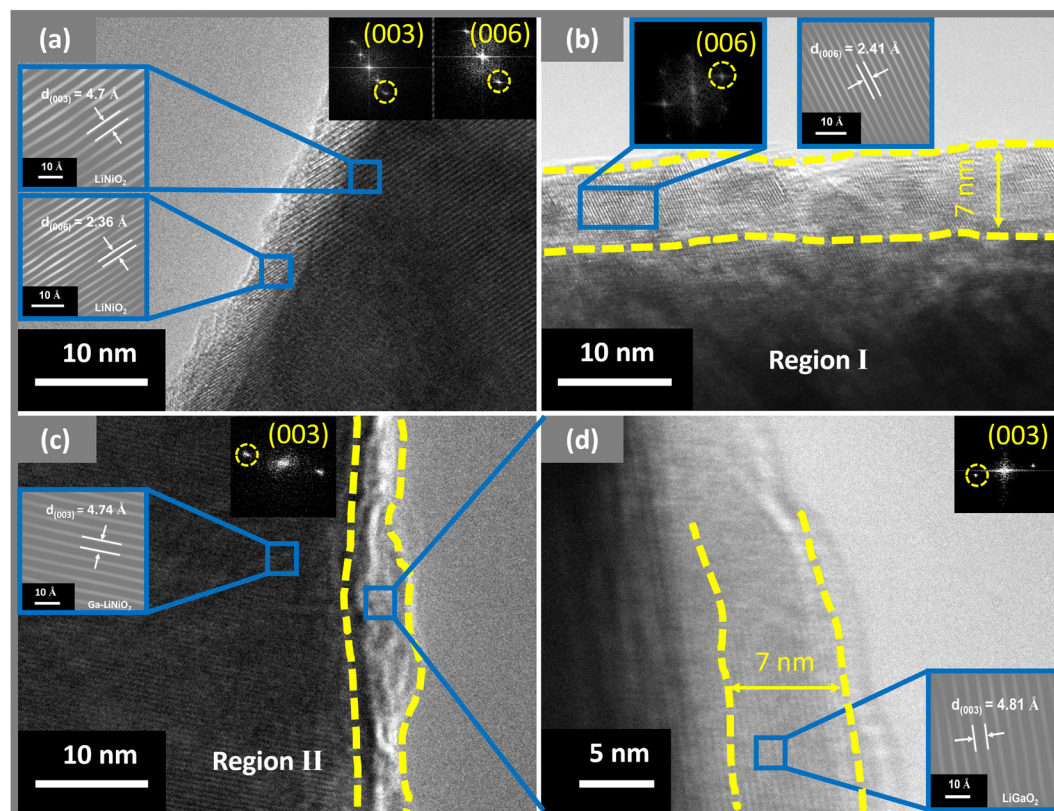


Fig. 3 HRTEM images of (a) 0% Ga–LiNiO₂, (b) 5% Ga–LiNiO₂ obtained in region I, (c) 5% Ga–LiNiO₂ obtained in region II, and (d) surface phase detected on 5% Ga–LiNiO₂. Corresponding FFT and IFFT images are shown in the inset.

the role or lack thereof of the Ga dopant surface segregation on cycling stability. Thereby, the bulk and surface crystallography

of the as-synthesized material was investigated by HRTEM (Fig. 3). HRTEM of undoped LiNiO₂ shown in Fig. 3a reveals



d-spacings measuring 4.7 Å and 2.36 Å, which are readily assigned to the (003) and (006) Miller planes of LiNiO₂ in the *R*3̄*m* space group,²⁹ thereby confirming the intended phase. The 5% Ga–LiNiO₂ displays a slightly expanded core lattice with a (003) *d*-spacing of 4.74 Å (Fig. 3c); the larger Ga atom ($r(\text{Ga}^{3+}) = 0.62 \text{ \AA}$ vs. $r(\text{Ni}^{3+}) = 0.56 \text{ \AA}$) explains the *c*-expansion and corroborate a solution of Ga in the bulk of LiNiO₂.^{14,30} However, the surface structure of the 5% Ga–LiNiO₂ differs significantly from its bulk; a thin shell (~7 nm) with larger plane spacings of 2.41 Å (Fig. 3b) and 4.81 Å (Fig. 3d) is resolved. Surprisingly, these measured lattice spacings match well with the reported parameters of the *R*3̄*m* isomorph of LiGaO₂ (α -LiGaO₂, lattice: *c* ≈ 14.466 Å),²⁸ whereby 4.81 Å and 2.41 Å match with the (003) and (006) plane spacings (14.466 Å/3 = 4.822 Å and 14.466 Å/6 = 2.411 Å). The presence of the LiGaO₂ surface phase on 5% Ga–LiNiO₂ is confirmed through the Ga₂p_{3/2} XPS peak at 1117.13 eV, (Fig. S2e, ESI†). On the other hand, 2% Ga–LiNiO₂ (Fig. S2d, ESI†) shows extremely weak to non-existent Ga XPS signals indicating mostly bulk doping and no Ga-containing surface layer (note that the Ga signal from the bulk in 2% Ga–LiNiO₂ is resolved in EDS). HRTEM of 2% Ga–LiNiO₂ was not attempted as XPS and EDS line scan data discussed later below ruled out a shell phase. Ga doping reduces cation mixing favouring the anticipated Ni³⁺ as is evident by the increasing the Ni³⁺/Ni²⁺ ratio in XPS with increasing Ga content (Table S4, ESI†).

The X-ray diffraction (XRD) spectra of all 0–6% Ga–LiNiO₂ are shown in Fig. S3 (ESI†). Detailed XRD analyses of the three

targeted Ga–LiNiO₂ powders (0%, 2% and 5% Ga) are presented in Fig. 4a. The major peaks are all indexable to the intended α -NaFeO₂-type crystal for all three levels of doping. Well-segregated (006)/(012) and (110)/(108) reflections (Fig. 4b and c) confirm the layered structure of the produced oxides. The $I_{(003)}/I_{(104)}$ ratios in all three powders exceed 1.2 indicating negligible cation mixing. Rietveld refinements of 0%, 2%, and 5% Ga–LiNiO₂ result in satisfactory weighted profile reliability factors (R_{wp}) of 7.4%, 7.12% and 8.47%, respectively (Fig. 4d–f). The full-width at half-maximum (FWHM) of the (003) peaks (Table S5, ESI†) show significant broadening for 5% Ga–LiNiO₂ (21–33% greater than the 0 and 2% Ga–LiNiO₂ doping levels, see Fig. S4a, ESI†) pointing again to a potential secondary *R*3̄*m* phase. Aided by the HRTEM findings, a secondary phase of α -LiGaO₂ is introduced during the refinement of 5% Ga–LiNiO₂; refinement in the absence of this secondary phase yielded poor R_{wp} . An overall downshift of the (003) reflection is observed as the Ga content increases from 0% to 2% to 5% (Fig. S4a, ESI†) indicating an increase in the *c*-parameter from Ga-doping. Expansion of the *c*-parameter upon Ga doping is confirmed by the refinement (Table 2), which is supported by the HRTEM findings above. The *c/a* ratios are similar for the undoped LiNiO₂ and Ga-doped LiNiO₂ phases; the insertion of Ga in the LiNiO₂ lattice does not appear to significantly affect the extent of trigonal distortion within the materials. The detailed refinement results are provided in Tables S6, S7 and S8 (ESI†) for 0%, 2%, and 5% Ga–LiNiO₂, respectively. Occupancy refinement for the undoped LiNiO₂ (*i.e.*, 0% Ga) finds

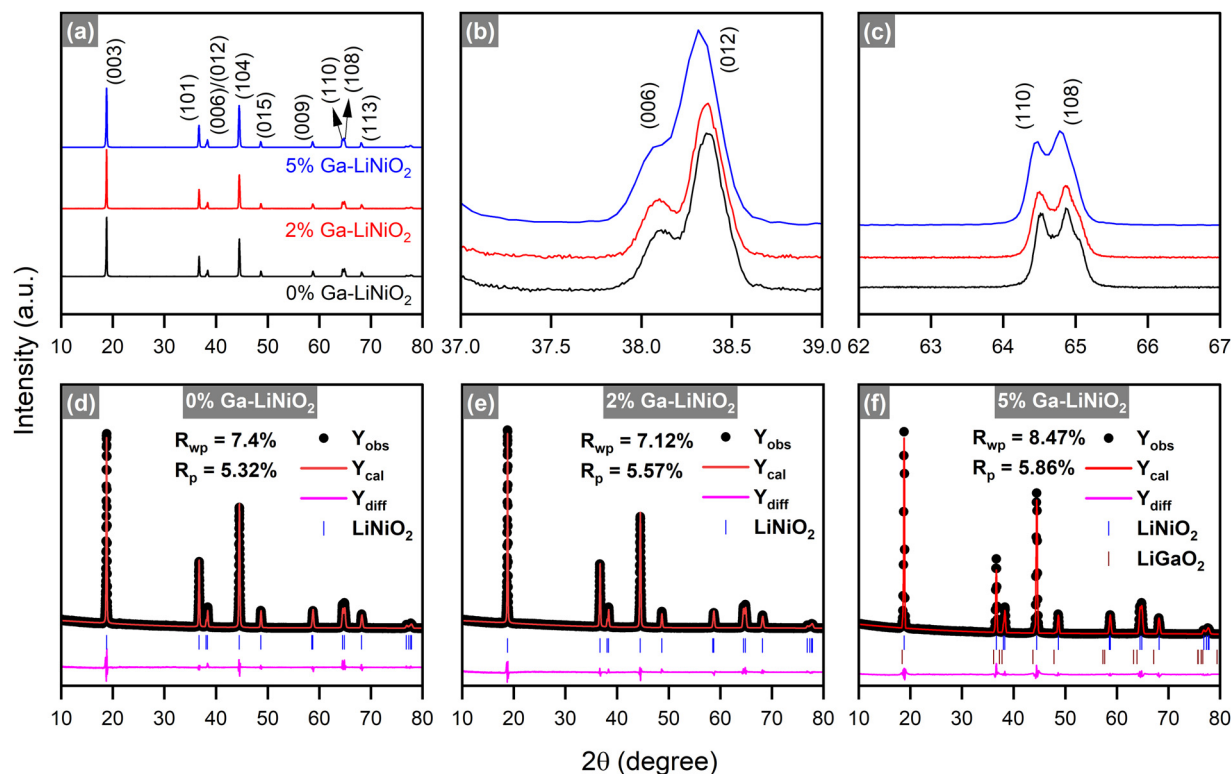


Fig. 4 (a)–(c) X-ray diffraction patterns and (d)–(f) Rietveld refined profiles of 0% Ga–LiNiO₂, 2% Ga–LiNiO₂, and 5% Ga–LiNiO₂. The 2θ axis is with respect to Cu K α ($\lambda = 1.5406 \text{ \AA}$).



Table 2 Refined cell parameters and c/a ratio of synthesized LiNiO_2 and gallium doped LiNiO_2

	a (Å)	c (Å)	c/a
LiNiO_2	2.8770	14.2052	4.9375
2% Ga- LiNiO_2	2.8814	14.2293	4.9383
5% Ga- LiNiO_2			
Phase 1 (Ga-doped LiNiO_2)	2.8810	14.2263	4.9379
Phase 2 (LiGaO_2)	2.90648	14.4356	4.9667

$\sim 3.6\%$ Ni at the Li site (Wyckoff 3a) reciprocated by $\sim 3.6\%$ Li at the Ni site (Wyckoff 3b). In the Ga-doped powders, Ga in the bulk is found to predominantly occupy the Li site with a minor presence at the Ni site for both 2% Ga- LiNiO_2 and 5% Ga- LiNiO_2 . Notably, acceptable refinement ($R_{\text{wp}} < 10\%$) for the 5% Ga- LiNiO_2 did not necessitate increase in the Ga occupancy (vs. occupancy in 2% Ga- LiNiO_2) at the 3a and 3b sites. Specifically, a Ga occupancy of 1.6–1.7% at the Li 3a site was calculated after Rietveld convergence for both the 2% and 5% levels of doping. Furthermore, the change in the primary phase c -parameter between 2% and 5% Ga-doped (~ 0.003 Å) is 6.7 times less than the ~ 0.02 Å increase from 0% to 2% Ga-doped (Table 2). These observations confirm the reported bulk solubility limit near 2% Ga at standard pressure conditions.¹⁴

Kitsche *et al.*¹⁴ reported the growth of secondary peaks corresponding to Li_5GaO_4 in the range $8.4 \leq 2\theta_{\lambda=0.62\text{Å}} \leq 13.3$ ($20.97 \leq 2\theta_{\lambda=1.5406\text{Å}} \leq 33.44$), which strengthened with the increasing Ga-content. Magnifying this region in our spectra (Fig. S4b, ESI[†]), no peaks corresponding to Li_5GaO_4 are resolved in our Ga doped powders. Instead, minor peaks attributed to Li_2CO_3 , a common impurity on LiNiO_2 , are resolved in both the undoped and Ga doped powder spectra. Furthermore, the surface phase observed by HRTEM displays a d -spacing of 4.81 Å; the nearest d -spacing in the Li_5GaO_4 crystal is ~ 4.6 Å for the (002) planes excluding it as the likely phase. The referenced study utilized a chelating agent-free wet-mixing synthesis approach and annealing under O_2 was done at 700 °C. In contrast, our sol-gel synthesis makes use of citric acid as a chelating agent and the annealing is performed at 750 °C under O_2 . It is understood that minor alterations in the synthesis approach can significantly impact the phase(s) in the final product.

HRTEM in Fig. 3b and c above suggests a shell phase on the 5% Ga- LiNiO_2 particle which, from the evidence discussed thus far, appears to be Ga rich ($\alpha\text{-LiGaO}_2$). An EDS line scan is obtained for 2% and 5% Ga- LiNiO_2 with the goal of resolving such core-shell structure from the predictable signal patterns in such configuration. Modeling of the expected line scan signal pattern for a spherical core-shell configuration is provided in Fig. S5 (ESI[†]) with the corresponding pseudo-code of the simulation. In a core-shell configuration such as that shown schematically in Fig. 5a, the Ga signal would jump to a maximum at the edge ($x_1 \rightarrow x_2$) of the scan where the beam would graze mostly the Ga-rich shell and then decrease to local minimum where it would plateau onward ($x_2 \rightarrow x_3$). The Ni-rich core signal would increase to a maximum and remain at this

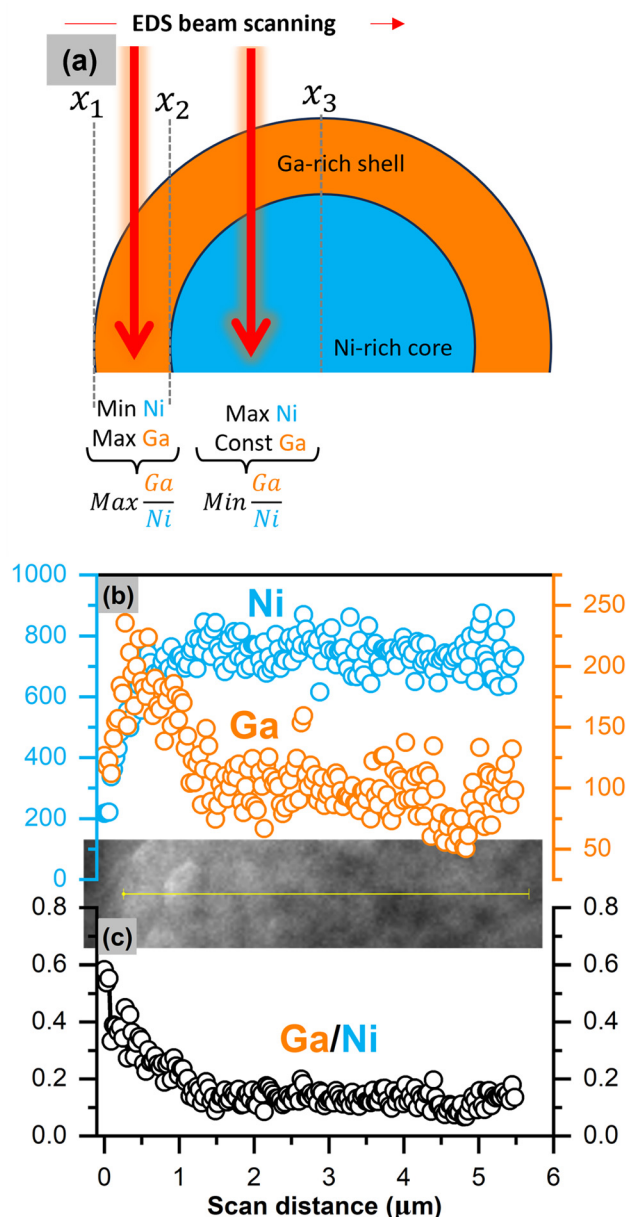


Fig. 5 (a) Schematic representation of core-shell model under the EDS line scan. (b) and (c) Ga and Ni EDS count and ratio versus EDS scan distance from particle's edge for 5% Ga- LiNiO_2 . The image inset between (b) and (c) is the actual SEM image during the line scan.

maximum as the beam scans toward the particle center. This pattern is verified in the experimental data collected for 5% Ga- LiNiO_2 as shown in Fig. 5b whereby starting from the edge of the particle inward, the EDS signal of Ga jumps to a maximum before decreasing and plateauing to a constant value. Meanwhile, the Ni signal increases to a maximum value and maintains this maximum value as the beam moves towards the particle center. Both signals track well with the core-shell EDS simulation (see Fig. S5a, ESI[†]). The Ga/Ni ratio calculated over a line scan (Fig. 5c) is highest at the edge of the scan, rapidly decreases before plateauing, again tracking the simulation trend in Fig. S5b (ESI[†]). In contrast, for the 2% Ga- LiNiO_2



(anticipated as a solid-solution), the Ga and Ni signals both jump to their maxima whence the EDS beam reaches the particle (Fig. S6, ESI[†]). Unlike the 5% Ga–LiNiO₂, the Ga signal in the 2% Ga–LiNiO₂ does not decrease meaningfully before plateauing. Instead, the Ni and Ga signals fluctuate about the maxima during the spatial scan indicating a homogenous solution. Thereby, we confirm a Ga-rich shell on 5% Ga–LiNiO₂ which we assign to α -LiGaO₂ based on HRTEM, XRD, and XPS discussed above while at 2% Ga no Ga-rich shell is detected but instead a solid-solution.

The galvanostatic charge–discharge profiles of undoped and Ga–LiNiO₂ are shown in Fig. 6a–c. The 0%, 2%, and 5% Ga-doped LiNiO₂ achieved initial C/10 discharge capacities of 197 mA h g⁻¹, 192 mA h g⁻¹, and 174 mA h g⁻¹, respectively. On the one hand, the systematic reduction in the extracted specific capacity as the Ga content increases is partly due to the increasing proportion of inactive Ga phases; the calculated theoretical specific capacities in Table S9 (ESI[†]) support this trend. On the other hand, the measured loss of ~ 20 mA h g⁻¹ after 5% Ga doping is disproportionate *versus* the expected ~ 6 mA h g⁻¹ loss from the doping especially at such low C/10 rate; the LiGaO₂ surface phase could be impeding the Li⁺ exchange at the surface.

Indeed, a higher charge transfer resistance (R_{ct}) is found for 5% Ga–LiNiO₂ electrodes ($R_{ct} \sim 130 \Omega$) compared to the 0% ($R_{ct} \sim 105 \Omega$) and 2% ($R_{ct} \sim 100 \Omega$) Ga–LiNiO₂ electrodes (Fig. S7a, ESI[†]). Decreased kinetics is therefore the likely source of the ~ 20 mA h g⁻¹ decrease in capacity between undoped and 5% doped Ga–LiNiO₂ at C/10.

The evolution of distinct phases is probed *via* the differential capacity (dQ/dV); the 3rd cycle is used for this purpose in order to analyze the stabilized state of the cell (Fig. 6d). The typical dQ/dV peaks revealing five distinct phases (H₁, H₁', M, H₂ and H₃) of LiNiO₂ are present in the undoped and Ga-doped cathodes.^{7,31,32} The dQ/dV peak intensities of H₁' \leftrightarrow M, M \leftrightarrow H₂, and H₂ \leftrightarrow H₃ interconversions generally become lower and broader as the Ga content increases. However, the H₂ \leftrightarrow H₃ peaks of the 5% Ga–LiNiO₂, both on oxidation and reduction, are most significantly depressed and shifted rightward (to higher voltages) compared to both 0% and 2% Ga–LiNiO₂; the right-shift is consistent on the first and second cycles (Fig. S8, ESI[†]). Although some shift in the H₁' \leftrightarrow M and M \leftrightarrow H₂ as Ga content increases can be noted, they are subdued compared to the displacement of the H₂ \leftrightarrow H₃ in 5% Ga–LiNiO₂. Biasi *et al.*⁷ concluded using DEMS that O₂ loss in LiNiO₂ is most vigorous during the solid-solution delithiation of the H₂ and later H₃ phases. Nonetheless, the intensity of H₂ \rightarrow H₃ peak correlates with the O₂ loss; DEMS by Park *et al.*⁸ found O₂ loss on uncoated-LiNiO₂ spanning the entire H₂ to H₃ phase range while minimal loss from graphene-coated LiNiO₂ coincides with a depressed H₂ \rightarrow H₃ peak. Thereby, the upshift (+20 mV) and magnitude reduction of the anodic H₂ \rightarrow H₃ conversion as Ga increases herein signal reduction in detrimental O₂ loss likely afforded by the surface α -LiGaO₂ on the 5% Ga–LiNiO₂. It is notable that the H₂ \rightarrow H₃ peak of the 2% Ga–LiNiO₂ does not differ as significantly in position and

magnitude from the undoped LiNiO₂ (contrary to the 5% Ga–LiNiO₂, Fig. 6d). Specific capacities of 38 mA h g⁻¹, 45 mA h g⁻¹, and 118 mA h g⁻¹ are recorded at the 100th C/3 cycle for 0%, 2%, and 5% Ga–LiNiO₂, respectively (Fig. 2a). In keeping with its depressed and upshifted anodic H₂ \rightarrow H₃ peak signaling a lower rate of O₂ loss, the 5% Ga–LiNiO₂ retains distinctly higher capacity at C/3 (76%) after 100 cycles compared to 2% Ga–LiNiO₂ (33%) and 0% Ga–LiNiO₂ (31%).

The coulombic efficiencies (CE) during cycling are shown in Fig. S9 (ESI[†]). The first cycle CE are 89.3%, 89.7%, and 82% for 0, 2, and 5% Ga, respectively. The lower initial CE of 5% Ga–LiNiO₂ is tentatively ascribed to the presence of LiGaO₂ surface coating causing higher impedance in the early cycles (Fig. S7a, ESI[†]). Following three formation cycles, the CE of the 0% and 2% Ga–LiNiO₂ progressively increases, crossing 99% at cycle 25. The 5% Ga–LiNiO₂ on the other hand achieved a CE of $\sim 99.6\%$ immediately after three formation cycles and maintained this CE for the remaining 100 cycles. The more stable CE of 5% Ga–LiNiO₂ aligns with indications of reduced O₂ loss and may further reflect the absence of particle pulverization and accompanying more stable CEI compared to the 0 and 2% Ga–LiNiO₂ (discussed below).

Postmortem XRD analysis of the electrodes after the 100th lithiation cycle shows substantial downshift in the $2\theta_{(003)}$ value for 0% and 2% Ga-doped LiNiO₂ (Fig. 7a) compared to the as-synthesized state. This downshift suggests the post-cycling Li content in those cathode materials is significantly lower (expanded *c*-axis) compared to the as-synthesized powder. The $2\theta_{(003)}$ of 5% Ga-doped LiNiO₂ experiences approximately half the $2\theta_{(003)}$ downshift of 0% and 2% Ga-doped LiNiO₂ after 100 cycles, indicating less Li⁺ loss in agreement with its increased capacity retention in Fig. 2a.

One of the defining modes of failure of LiNiO₂ cathode particles is cracking and pulverization during extended cycling.⁸ Therefore, pre-, and post-cycling SEM images of particles in the electrodes are presented in Fig. 7b–g. The starting active material for all three levels of doping are spheroidal aggregates of nano-sized primary particles into secondary particles on the order of 10–20 μm . The undoped 0% (Fig. 7b vs. Fig. 7e) and solution-doped 2% (Fig. 7c vs. Fig. 7f) Ga–LiNiO₂ particles experience extensive pulverization after 100 C/3 cycles. The starting aggregates are shattered into their primary particles in Fig. 7e and f. In contrast, the 5% Ga–LiNiO₂ shows far fewer shattered aggregates after 100 cycles (Fig. 7d vs. Fig. 7g). Multiple locations on the electrode surfaces were surveyed to ensure the statistical validity of these observations (see Fig. S10–S12, ESI[†]). Shattering of the secondary particle such as seen for the 0% and 2% Ga–LiNiO₂ produces smaller particles increasing the surface area exposed to the electrolyte. In turn the large area increases parasitic reactions at the cathode|electrolyte interface that consume Li⁺ from active cathode.^{29,33,34}

HRTEM images were acquired for 0%, 2%, and 5% Ga–LiNiO₂ samples after 100 cycles to investigate the cathode surface. In Fig. 7h and i, both the 0% and 2% Ga-doped LiNiO₂ particles have a thick amorphous (no diffraction spots in the FFT) inter-phase layer on the surfaces. Conversely, no such amorphous



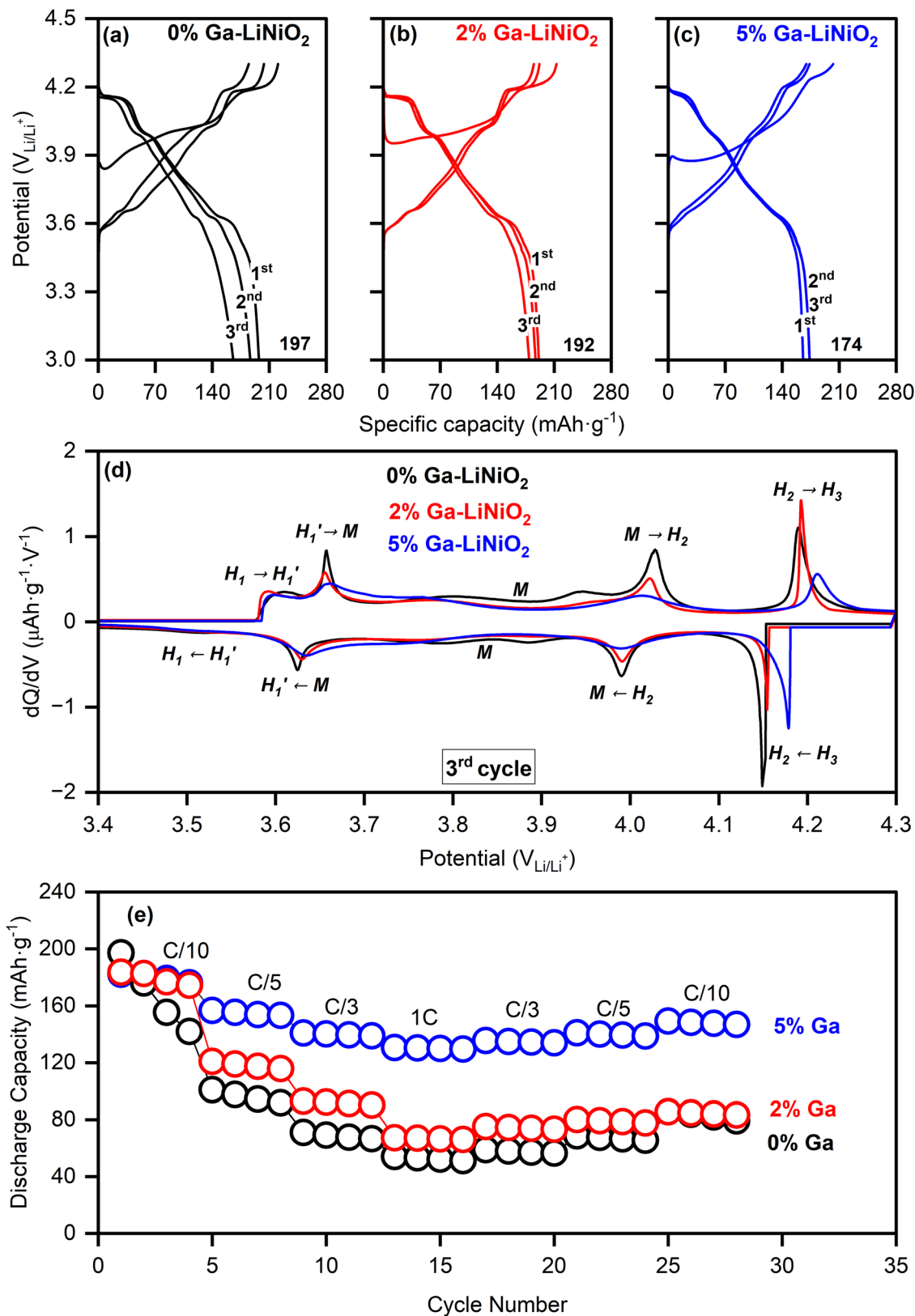


Fig. 6 Galvanostatic charge–discharge at C/10 (1C = 180 mA g⁻¹) of (a) 0% Ga–LiNiO₂, (b) 2% Ga–LiNiO₂, (c) 5% Ga–LiNiO₂. (d) Differential capacities (dQ/dV) versus voltage of the same. (e) Rate capability performance of 0%, 2%, and 5% Ga–LiNiO₂.



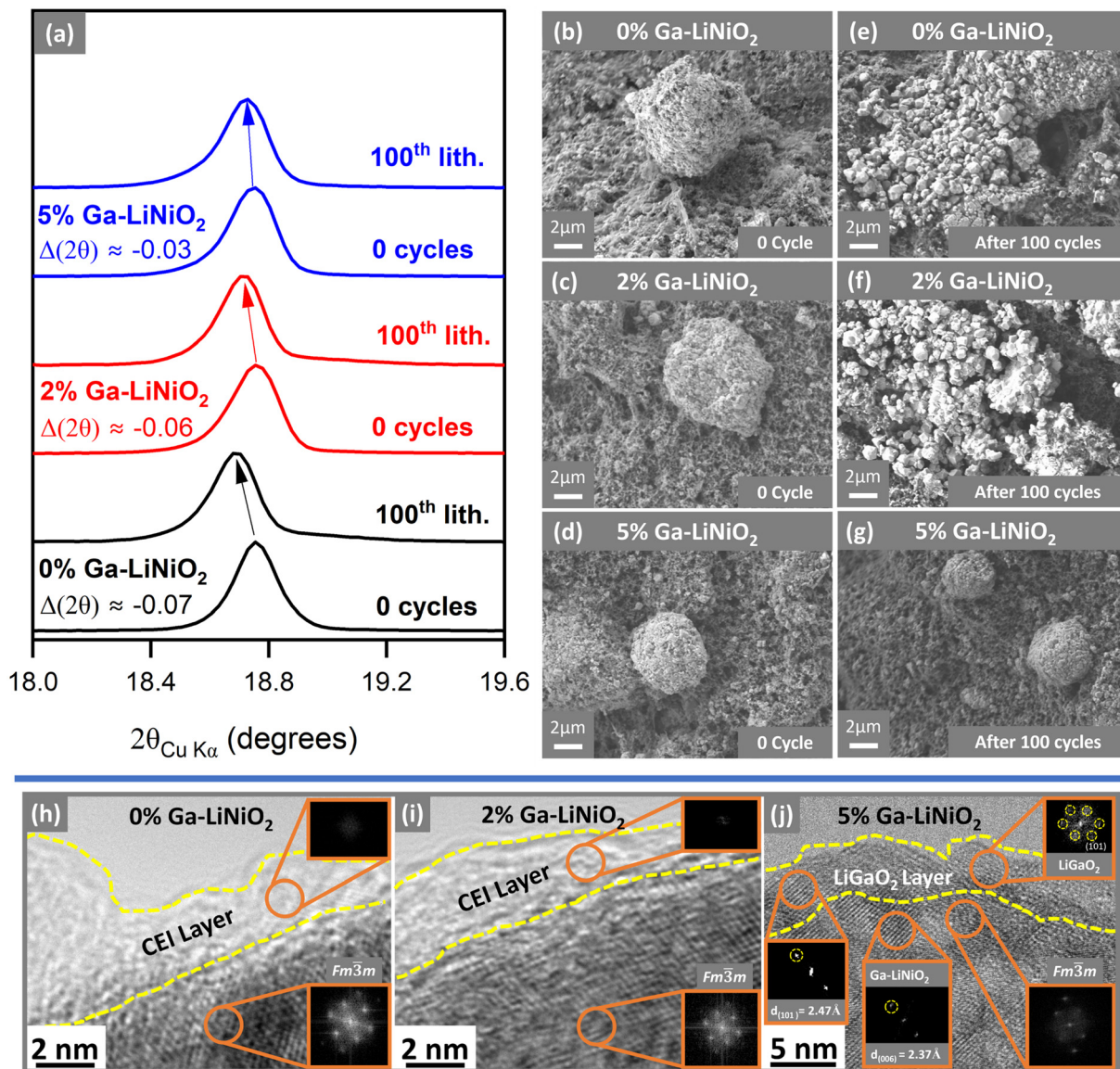


Fig. 7 (a) (003) XRD reflections of pristine vs. 100th lithiation cycle of 0%, 2%, and 5% Ga-LiNiO₂ half-cells SEM images of Pristine electrodes (b)–(d) and electrodes after 100 cycles (e)–(g) of 0% Ga-LiNiO₂, 2% Ga-LiNiO₂ and 5% Ga-LiNiO₂ cathodes. (h)–(j) HRTEM images of 0%, 2%, and 5% Ga-LiNiO₂ after 100 cycles.

phase is resolved on the surface of 5% Ga-doped LiNiO₂ sample (Fig. 7j). The presence of a LiGaO₂ surface layer on 5% Ga-doped appears to diminish the parasitic CEI reactions partly responsible for irreversible Li⁺ loss from the lattice. The surface LiGaO₂ on 5% Ga-LiNiO₂ has a more significant impact on increasing capacity retention compared to only bulk doping in 2% Ga-LiNiO₂. The preservation of the surface α -LiGaO₂ phase on 5% Ga-LiNiO₂, post 100 cycles, is confirmed *via* the *d*-spacing of 2.47 Å corresponding to its (101) miller planes (Fig. 7j). In contrast, no such *d*-spacing is found on the surface of 2% Ga-LiNiO₂ post 100 cycles (Fig. 7i); a confirmation that no surface gallate layer was formed at synthesis.

The absence of the surface gallate on 2% Ga-LiNiO₂ coincides with extensive near surface reconstruction to rocksalts in the *Fm3m* space group; the surface of 0% Ga-LiNiO₂ is similarly

reconstructed. In contrast, with α -LiGaO₂ remaining on the surface of 5% Ga-LiNiO₂, the *d*₍₀₀₆₎ = 2.37 Å corresponding the *R3m* layered LiNiO₂ is still detectable in the near surface region (Fig. 7j). A few *Fm3m* rocksalt spots are detected however, suggesting some, although markedly reduced surface reconstruction (Fig. S13, ESI†).

The rapid capacity fade of the 0% and 2% Ga-LiNiO₂ are easily attributable to their pulverization and surface changes. This claim is supported by the EIS of cycled electrodes. The impedance growth after 100 C/3 cycles is nearly fourfold lower for the 5% Ga compared to the 0% and 2% Ga-LiNiO₂ (Fig. S7b, ESI†), a reflection of the drastically diminished particle breakage and thereby lower exposed surface area for reconstruction and resistive CEI growth. Particle shattering has been linked to O₂ loss and mechanical fatigue from repeated phase



transitions.⁸ The surface α -LiGaO₂ observed by HRTEM and the accompanying depressed H₂ → H₃ transitions in 5% Ga-LiNiO₂ supports mitigation of these factors which explains the preservation of the integrity of secondary particles over 100 cycles.

Given the greater capacity retention at C/3, the rate capability of 5% Ga-LiNiO₂ was compared against the 2% and baseline 0% Ga-LiNiO₂ at C/10, C/5, C/3, and 1C rate (Fig. 6e). As expected, the capacity output of three cathodes decreases with increasing C-rate due to polarization; however, the performance of 5% Ga-LiNiO₂ significantly exceeds that of the baseline and 2% Ga-LiNiO₂ in agreement with the C/3 result in Fig. 2a. At 1C, 5% Ga-LiNiO₂ achieves ~130 mA h g⁻¹ while 2% and 0% Ga-LiNiO₂ only achieve ~67 and ~52 mA h g⁻¹ respectively. The intercalation kinetics appear more efficient in the presence of the surface gallate layer which matches with the suppressed growth of the charge transfer resistance shown in Fig. S7b (ESI[†]). As the 5% Ga-LiNiO₂ particles do not crack to expose more surface area, the growth of kinetically unfavorable cathode electrolyte interphase (CEI) is curtailed by the surface LiGaO₂ to maintain optimal intercalation kinetics.

Conclusions

Cobalt-free, Ga-doped LiNiO₂ were produced with 0%, 1%, 2% (solution-doped), and 4%, 5%, 6% (excess-doped) Ga to Ni molar ratios with the goal of resolving the role of surface gallium-oxide phases. Under the sol-gel synthesis method utilized, XRD, HRTEM, and EDS show the unusual formation of an α -LiGaO₂ surface layer on LiNiO₂ when the Ga molar ratio exceeds its reported solubility in LiNiO₂ in the excess-doped particles. Comparing the electrochemistries of solution-doped and excess-doped Ga-LiNiO₂, the α -LiGaO₂ coating appears key to softening the high-voltage H₂ → H₃ phase transformation, which correlates with the drastically reduced O₂ loss, surface reconstruction, and fracturing in the cathode material. Further validation using SEM imaging post 100 cycles shows markedly reduced particle cracking only in the excess-doped 5% Ga-LiNiO₂ particles; the undoped and solution-doped LiNiO₂ particles display extensive fracturing. Post-mortem HRTEM uncovers suppressed surface reconstruction of the particle only in the presence of the α -LiGaO₂ surface layer on 5% Ga-LiNiO₂. The result of the suppression of these damaging processes is significantly enhanced capacity retention and rate capability. A retention rate of 76% in half-cells is observed for 5% Ga-LiNiO₂ compared to 31% and 33% for similarly synthesized undoped and solution-doped LiNiO₂. This finding underscores the role and necessity of the surface gallium oxide phase in suppressing the processes responsible for O₂ loss, surface reconstruction, particle pulverization, and Li⁺ loss in LiNiO₂.

Author contributions

MM collected the research data and prepared the manuscript figures and drafting. KPCY is the research P. I. and provided the

research motivation, experimental planning, and manuscript editing.

Data availability

This research is supported by funds made available to the researchers by the University of Delaware. All raw data generated for the purpose of this article submission are the property of the University of Delaware and cannot legally be made available at the time of this submission. The authors reserve the right to use this data in future derivative work and retain sole rights to its use. No data from external databases were used in the production of this manuscript. All literature information in the manuscript is referenced in the citations.

Conflicts of interest

There are no conflicts to declare.

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