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### Introduction

Aromatic and aliphatic hydrocarbon hydroxylation via a formally  $Ni^{N} = O$  oxidant<sup>†</sup>

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The reaction of  $(NMe_4)_2[Ni<sup>II</sup>(L<sup>Ph</sup>)(OAc)]$  (**1[OAc]**, L<sup>Ph</sup> = 2,2',2"-nitrilo-tris-(*N*-phenylacetamide); OAc = acetate) with 3-chloroperoxybenzoic acid (*m*-CPBA) resulted in the formation of a self-hydroxylated Ni<sup>III</sup>-phenolate complex, **2**, where one of the phenyl groups of L<sup>Ph</sup> underwent hydroxylation. **2** was characterised by UV-Vis, EPR, and XAS spectroscopies and ESI-MS. **2** decayed to yield a previously characterised Ni<sup>II</sup>-phenolate complex, **3**. We postulate that self-hydroxylation was mediated by a formally Ni<sup>IV</sup>=O oxidant, formed from the reaction of **1[OAc]** with *m*-CPBA, which undergoes electrophilic aromatic substitution to yield **2**. This is supported by an analysis of the kinetic and thermodynamic properties of the reaction of **1[OAc]** with *m*-CPBA. Addition of exogenous hydrocarbon substrates intercepted the self-hydroxylation process, producing hydroxylated products, providing further support for the formally Ni<sup>IV</sup>=O entity. This study demonstrates that the reaction between Ni<sup>II</sup> salts and *m*-CPBA can lead to potent metal-based oxidants, in contrast to recent studies demonstrating carboxyl radical is a radical free-chain reaction initiator in Ni<sup>II</sup>/*m*-CPBA hydrocarbon oxidation catalysis.

A direct route to oxidative arene hydroxylation remains a challenge.<sup>1</sup> Natural systems provide excellent inspiration, utilising  $O_2$  and a metal to insert an oxygen atom into aromatic amino acids. In mammalian cells, pterin dependant amino acid hydroxylases including phenylalanine hydroxylase, tyrosine hydroxylase, and tryptophan hydroxylase, employ a non-heme Fe<sup>IV</sup>=O for aromatic oxidation *via* electrophilic attack.<sup>2-5</sup> Synthetic models inspired by these enzymes have emulated this chemistry, although with limited insight into the active oxidant and intermediates in arene hydroxylation.<sup>6-14</sup> Mononuclear non-heme Fe systems can undergo self-hydroxylation of a pendant aryl ring.<sup>15-17</sup> For Cu systems, intramolecular aryl oxidation occurs mostly *via* dinuclear oxidants.<sup>6,10,13,18,19</sup>

Homogeneous Ni<sup>II</sup> complexes when combined with peracids have been shown to be highly effective catalysts, capable of saturated hydrocarbon hydroxylation<sup>20–26</sup> and olefin

epoxidation.<sup>27,28</sup> Mechanistic analysis of these reactions suggests terminal Ni<sup>III</sup>-oxyl (Ni<sup>III</sup>-O<sup>•</sup>) or Ni<sup>IV</sup>=O adducts are the oxidising moiety.<sup>22-24,29-32</sup> Such catalytic systems were also found capable of the hydroxylation of benzene.<sup>33</sup> Bis-µ-oxo-Ni2<sup>III</sup> complexes have been implicated in such arene hydroxylation reactivity.<sup>34-36</sup> However, mononuclear terminal Ni-O' or Ni=O complexes remain elusive, although a plethora of Ni-OX  $(OX = OCl, O_2CCH_3, OCO_2H, ONO_2)$  have recently appeared.<sup>37-45</sup> The oxo-wall axiom, where the occupation of anti-bonding orbitals in a M=O molecular orbital is maximised when the d-electron count >4 (Ni<sup>IV</sup> =  $d^6$ , Ni<sup>III</sup> =  $d^7$ ), provides an explanation for the lack of tetragonal Ni=O complexes.46,47 There remains, thus, considerable lack of clarity as to the identity of Ni-oxygen adducts in hydroxylation catalysis. For example, Hartwig and coworkers recently suggested that Ni<sup>II</sup>/peracid mediated hydroxylation did not involve Ni=O.48 They postulated, with reasonable experimental support, that an organic free-radical chain mechanism facilitated by carboxyl radical led to essentially 'Ni-free' hydroxylation. Herein, we probe the reaction of a Ni<sup>II</sup> complex with 3-chloroperoxybenzoic acid (m-CPBA), showing that a formally Ni<sup>IV</sup>=O species forms and is capable of arene and alkane hydroxylation, without the involvement of carboxyl radical.

### **Results and discussion**

**1**[OAc] (Scheme 1) was prepared according to a previously reported method.<sup>49</sup> Addition of *m*-CPBA (1.0 equiv.,  $CH_2Cl_2$ ) to

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Scheme 1 Preparation of 2 and 3 from 1[OAc].

1[OAc] (0.50 mM,  $CH_3CN$ ) at -40 °C led to the formation of new electronic absorption features at  $\lambda$  = 390, 675 and 950 nm, attributed to the formation of a new species (defined as 2, Fig. 1). A colour change from a pale green to a dark green colour was noted and a maximum yield was achieved within 60 s. The new absorption spectrum was markedly different to that obtained when 1[OAc] was reacted with aliphatic peracids,<sup>49</sup> indicating a different species had formed. Exactly one equivalent of m-CPBA was required to yield the maximum yield of 2 (Fig. S1<sup>†</sup>). 2 displayed a half-life  $(t_{1/2})$  of 6000 s at -40 °C (Fig. S2<sup>†</sup>) and we observed a rapid disappearance of its electronic absorption features upon warming to 25 °C, implicating 2 was a reactive species that could only be stabilised at low temperature. The product of the thermal decay of 2 was the previously characterised square-planar Ni<sup>II</sup>-phenolate complex 3,<sup>49</sup> where one of the phenyl groups of the pendant phenylcarboxamidate ligands had undergone oxygen atom insertion at the ortho position (Scheme 1). This led us to postulate that 2 was a precursor to Ni<sup>II</sup>-phenolate 3, and was either a terminal Ni=O entity or a Ni<sup>III</sup>-phenolate adduct.

ESI-MS of 2 displayed a signal at m/z = 486.0787 with the appropriate isotopic distribution pattern for an ion containing Ni. This mass peak is 15 mass units greater than the parent  $[(Ni(L^{Ph}))]^{-}$  ion and was assigned to the  $[(Ni(L^{Ph}))-(H) + (O)]^{-}$ ion (expected m/z = 486.0838, Fig. S3<sup>†</sup>). This signal shifted by 2 atomic mass units (a.m.u.) when 2 was prepared with the <sup>18</sup>O-m-CPBA isotopomer (Fig. S4,† an optimised method for the preparation of <sup>18</sup>O-m-CPBA is provided in the ESI<sup>†</sup>). We concluded that 2 had been oxidised, had incorporated a single oxygen atom, and that the incorporated oxygen atom was derived from m-CPBA. The incorporated O-atom could indicate the formation of a Ni=O entity or a ligand-hydroxylated product. The loss of an H-atom would suggest that the ligand had been hydroxylated and the resulting alcohol had lost its proton, ruling out a Ni=O entity. In the reaction between 1[OAc] and aliphatic peracids,<sup>49</sup> we observed a product with a mass peak at m/z = 487.10, consistent with that product containing a Ni-oxide core. 2, with a net one hydrogen atom difference, appears to be more likely a ligand-oxidised product, where the loss of a hydrogen atom may indicate ligand oxidation.

The X-band electron paramagnetic resonance (EPR) spectrum of 2 displayed an axial signal ( $g_{\perp} = 2.20$ ,  $g_{\parallel} = 2.01$ ,  $g_{av} = 2.14$ , Fig. 2) with a three-line hyperfine splitting in  $g_{\parallel}$ . Double



Fig. 1 Electronic absorption spectra of 1[OAc] (0.50 mM, black trace), 2 (blue trace) formed from the reaction of 1[OAc] (0.50 mM, CH<sub>3</sub>CN) with *m*-CPBA (1.0 equiv.) at -40 °C, and 3 (red trace) from the thermal decay of 2.

integration of the EPR envelope showed a yield of  $75 \pm 20\%$ compared to a TEMPO (2,2,6,6-tetramethylpiperidin-1-yl)oxyl) radical standard analysed under the same conditions. The average g-value ( $g_{av} = 2.14$ ) was consistent with a d<sup>7</sup>,  $S = \frac{1}{2} \text{Ni}^{\text{III}}$ species.<sup>50,51</sup> The axial signal would suggest that the Ni<sup>III</sup> ion was located in either a square planar, square pyramidal, or another tetragonally distorted coordination environment. The observed hyperfine coupling value (A = 65 MHz) was consistent with typical values observed for coupling to  $^{14}N$  (I = 1) nuclei. We believe that the observed coupling stems from an axial coordinating N-atom with an unpaired electron in the  $d_z^2$  Nitype-orbital.<sup>50,51</sup> In contrast, the product of the reaction between 1[OAc] and aliphatic peracids yielded an isotropic EPR signal with no <sup>14</sup>N-hyperfine,<sup>49</sup> consistent with a Ni<sup>III</sup>-(hydr)oxide in a highly symmetric (octahedral or trigonal bipyramidal) ligand field. Taken together, these results indicate that the oxidation of 1[OAc] with *m*-CPBA resulted in the formation of a Ni<sup>III</sup> entity that had undergone a dramatic symmetry change from a pseudo-trigonal bipyramidal geometry in

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Fig. 2 Black trace: X-band EPR spectrum of 2 (10.0 mM) in a frozen  $CH_3CN$  solution, collected at 77 K, 2.01 mW microwave power, and 0.3 mT modulation amplitude. Blue trace: simulation of experimental data for 2.

**1[OAc]** into a square planar, square pyramidal, or other tetragonally distorted structure in **2** with <sup>14</sup>N-hyperfine in the axial ligand field.

Ni-K-edge XANES (X-ray absorption near edge structure) analysis was performed on 1[OAc] and 2 (Fig. 3, S5 and Table S1<sup>†</sup>). Analysis of a sample of 1[OAc] showed a K-edge energy of 8343.4 eV,<sup>49</sup> with a distinct pre-edge feature at 8332.7 eV corresponding to an electronic-dipole forbidden 1s-to-3d transition, which gains intensity in non-centrosymmetric geometries due to p-d mixing.<sup>52,53</sup> The Ni-K-edge for 2 exhibited an edge energy of 8344.4 eV, with a +1.0 eV blue shift compared to 1[OAc] (Table 1). Since the edge energy provides a measure of the relative electron density and effective charge on the Ni atom, its variation can be indirectly correlated to a change in the oxidation state and is therefore in line with the oxidation of Ni<sup>II</sup> to Ni<sup>III</sup> proposed for 2.52 The pre-edge region showed a 1s-to-3d transition at 8333.1 eV (+0.4 eV compared to **1[OAc]**) with a peak area of  $5.2 \times 10^{-2}$  eV. The shift and the decrease of area observed reflected a clear change in the ligand field (and possibly oxidation state) between 1[OAc] and 2. The observations suggest a geometry change from a distorted octahedral coordination (in which the forbidden 1s-to-3d transition gains intensity form p-d orbital mixing) in 1 **[OAc]** to a square pyramidal environment in 2 (in which, due to the higher centrosymmetric character, the intensity of the forbidden 1s-to-3d transition is highly reduced). For 2, an additional peak, assigned to a 1s-to- $4p_z$  transition with shakedown contributions, was observed at 8338.3 eV, suggesting the presence of a square-pyramidal geometry. These observations

are diagnostic of a square pyramidal Ni<sup>III</sup> complex and are consistent with the proposed structure for **2** and our EPR results.

Evaluation and analysis of the extended X-ray absorption fine structure (EXAFS) for 1[OAc]<sup>49</sup> and 2 was performed (Fig. 3, Tables S2-S5<sup>†</sup>). EXAFS data for 1[OAc] were previously best fit with a first shell composed of 5 or 6 N/O scatters at 2.05 Å (5 N/O: deviation <0.01 Å; 6 N/O: deviation <0.05 Å). Fitting of EXAFS data for 2 showed a first coordination shell composed of 5 N/O scatterers, divided in two sub-shells: 3 N/O scatterers at 1.90 Å and 2 N/O scatterers at 2.06 Å. A fit including 4 short scatterers and 1 longer scatterer, or indeed any other combination of 5/6 N/O scatterers provided poorer fits. This is consistent with the coordination number of 5 at the Ni center in a square pyramidal geometry, as indicated by XANES and EPR. We postulated that 2 was likely a Ni<sup>III</sup>-phenolate adduct, based on our ESI-MS results and the observation that the Ni<sup>II</sup>-phenolate complex 3, formed from 2. The first subshell was consistent with the proposed structure, matching the Ni–O(Ph) distance (1.89 Å) observed in the crystallographic data obtained for 3 (vide infra).<sup>49</sup> The fit was completed by a second shell composed of 2 C at 2.56 Å, 3 C at 2.71 Å and 3 C at 2.84 Å. All Ni/ligand bond lengths were consistent with single bonds and there was no indication of a terminal Ni=O entity, which would be expected to display a shorter Ni-O distance (~1.60-1.70 Å). Overall, XAS analysis suggested that 2 was a 5-coordinate complex, likely in a square-pyramidal environment, consistent with its assignment as a Ni<sup>III</sup>-phenolate adduct (Fig. 4).

Quantum chemical calculations on 1[OAc]<sup>49</sup> and 2 were performed at the density functional theory (DFT; PBE0(B3DJ) -ZORA-def2-TZVPP) level of theory (see ESI for details, Fig. S6-S8 and Tables S6-S8<sup>†</sup>). The geometry optimised structure of 1 [OAc] in the S = 1 state is in good agreement with the molecular structure determined by single-crystal X-ray diffraction analysis (deviation <0.03 Å) and EXAFS (deviation <0.11 Å). This demonstrates that the applied method would be effective for a prediction of the geometry of 2 ( $S = \frac{1}{2}$ ). Our calculations demonstrate that a square pyramidal Ni<sup>III</sup>-phenolate complex represents an excellent fit with our spectroscopic analyses for 2 (Fig. 4). According to our calculations, the Ni<sup>III</sup> centre in 2 exhibits a square pyramidal coordination sphere with an average NiN/O distance of 1.87 Å in the basal plane and a Ni–N distance of 2.02 Å in the apical axis. The EXAFS fit of 2 was best described with a 3 + 2 N/O fit. The EXAFS bond lengths are in good agreement with the computational model (deviation <0.06 Å). The fit of the second subshell composed of 2 C at 2.56 Å (deviation -0.09 Å), 3 C at 2.71 Å (deviation 0.01 Å) and 3 C at 2.84 Å (deviation 0.08 Å) is consistent with a highly unsymmetrically coordinating carboxamidate ligand. In order to assess the possibility of a 6-coordinate Ni<sup>III</sup> core in 2, a CH<sub>3</sub>CN molecule was introduced in the nickel coordination sphere of the optimised structure for 2. However, geometry optimization of this solvent adduct led to loss of the CH<sub>3</sub>CN molecule and reformation of 2. The proposed structure is also consistent with the observed axial EPR signal for 2, with <sup>14</sup>Nhyperfine observed in  $g_z$  consistent with an axial carboxami-



**Fig. 3** Top left: Ni K-edge XANES spectrum of **1**[**OAc**] (black trace) and **2** (blue trace). Inset: detailed pre-edge region of XANES spectrum. Top right: second derivative of the pre-edge region. Bottom: best fit to  $k^3$ -weighted EXAFS of **1**[**OAc**] (left) and **2** (right), reported in *R*-space and *k*-space (inset). Experimental data are shown as dashed lines, best fits are shown as solid lines.

date donor (Fig. 4). Calculation of the *g*-tensor at the DFT level of theory supports the axial symmetry around Ni<sup>III</sup> (calculated:  $g_{\perp} = 2.16$ ,  $g_{\parallel} = 2.02$ ;  $g_{av} = 2.11$ ; experimental:  $g_{\perp} = 2.20$ ,  $g_{\parallel} = 2.01$ ,  $g_{av} = 2.14$ , Fig. 2) and the calculated <sup>14</sup>N hyperfine coup-

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ling constant of A = 66.8 MHz (experimental: A = 65 MHz) in the apical direction is in accordance with the experimental EPR findings. The DFT results (structure and *g*-tensor) suggest that the Ni<sup>III</sup> ion in 2 remains 5-coordinate in solution and is in agreement with the EPR and XAS results.

In order to assess further the preparation of 2 and its properties, we reacted 1[OAc] with two alternative oxidants. The reaction between **1[OAc]** and bis(3-chlorobenzovl)peroxide (2.0 equiv.) at 25 °C yielded a new complex with an electronic absorption feature at  $\lambda = 455$  nm within 2000 seconds, which was different to that obtained for 2 (Fig. S9-S11<sup>†</sup>). The reaction was performed at 25 °C, because the same reaction at -40 °C was too slow to produce meaningful results. ESI-MS of this mixture displayed a peak at m/z = 626.0947, assigned to a Ni<sup>III</sup>-3-chlorobenzoate (m-CBA) adduct [Ni(m-CBA)(L<sup>Ph</sup>)]<sup>-</sup> (expected m/z = 626.0867). The signal corresponding to  $[(Ni(L^{Ph}))-(H) +$ (O)<sup>-</sup> observed for 2 was not observed. In contrast to *m*-CPBA, bis(3-chlorobenzoyl)peroxide is known to undergo homolytic cleavage of the O-O bond,48 generating a benzyloxyl radical that can react with 1[OAc] and presumably lead to the formation of [Ni<sup>III</sup>(*m*-CBA)(L<sup>Ph</sup>)]<sup>-</sup>. Additionally, an X-Band EPR spectrum of this species (Fig. S10<sup>†</sup>) displayed a more isotropic character, with no N-hyperfine, when compared to 2. The overlay of these spectra revealed a different speciation. In fact, the EPR spectrum of  $[Ni^{III}(m-CBA)(L^{Ph})]^{-}$  displayed similarities with that obtained for the Ni<sup>III</sup>-(hydr)oxide complex (isotropic signal, no <sup>14</sup>N hyperfine),<sup>49</sup> consistent with a highly symmetri-

	μ <sub>eff</sub> (B.M.)	$\lambda_{\max}$ (nm), $\varepsilon$ (mol L <sup>-1</sup> cm <sup>-1</sup> )	$g\left(g_{\mathrm{av}} ight)$	Pre-edge energy (eV)	Edge energy (eV)	Ni–O (Å)
1[OAc]	3.09	320 (2800), 405 (170), 657 (100)	_	8332.7	8343.4	$2.091(3)^a 2.324(2)^a$
2	_	390 (3000), 675 (1300)	2.20, 2.01(2.14)	8333.1	8344.4	1.89 <sup>b</sup>
$[Ni^{III}(O-H\cdots OAc)(L^{Ph})]^{2-49}$	1.47	450 (8000), 650 (2300)	2.13	8333.3	8344.2	$1.94^{b}$
$[Ni^{III}(m-CBA)(L^{Ph})]^{-}$	-	455 (4600), 675 (1200)	2.22, 2.13, 2.07 (2.14)	—	—	—

<sup>a</sup> Determined by XRD. <sup>b</sup> Determined by EXAFS.



Fig. 4 Optimized structure with the axis system of the *g*-tensor orientation (left, hydrogen atoms omitted for clarity) and ChemDraw structure (right) of the mono-anions of **2**.

cal Ni<sup>III</sup> environment. Taken together, the two contrasting electronic and spectral properties of  $[Ni^{III}(m\text{-CBA})(L^{Ph})]^-$  and 2 suggests that the reaction of 1[OAc] with *m*-CPBA does not result in the generation of a Ni<sup>III</sup> benzoate adduct.

Importantly, we were also able to generate 2 using peroxybenzoic acid (PBA) as an alternative to *m*-CPBA. The obtained UV-Vis and EPR features assigned to 2 prepared with PBA matched those of 2 that had been generated using *m*-CPBA (Fig. S12 and S13†). The identification of the same product suggests a similar oxidation route suggesting that *m*-CPBA and PBA act to transfer an oxygen atom to **1**[**OAc**] resulting in the formation of **2**.

Peroxybenzoic acids such as m-CPBA or PBA can undergo homolytic or heterolytic O-O bond scission upon reaction with metals (Scheme S1<sup>†</sup>).<sup>54</sup> For the reaction of **1**[OAc] with m-CPBA, an O-O bond heterolysis mechanism would result in a formally two-electron oxidised Ni<sup>IV</sup>=O species and the corresponding carboxylic acid. Alternatively, O-O bond homolysis would yield a formally Ni<sup>III</sup>=O species and an arylcarboxyl radical (ArCOO'). This radical would undergo further decarboxylation (loss of CO<sub>2</sub>) to form a chlorobenzene radical that may abstract H', Cl', or HO' radicals from the solvent or other species in solution to yield chlorobenzene, dichlorobenzene, or 3-chlorophenol respectively. Accordingly, we investigated the organic decay products from the reaction of *m*-CPBA with 1[OAc] by gas chromatographic flame ionization detection (GC-FID, Fig. S14<sup>†</sup>). This showed no indication of chlorobenzene, 1,3-dichlorobenzene, or 3-chlorophenol. However, we were able to identify 3-chlorobenzoic acid in the reaction mixture. <sup>1</sup>H NMR revealed that 3-chlorobenzoic acid was formed in ~90% yield (with respect to starting [1[OAc]] (Fig. S15<sup>†</sup>)). This result was in line with an O-O bond heterolysis mechanism resulting in the formation of the formally Ni<sup>IV</sup>=O entity, that we surmise is a precursor to the Ni<sup>III</sup>phenolate complex 2. No evidence for O-O bond homolysis was obtained.

In the reaction between 1[OAc] and *m*-CPBA, we found that the addition of aliphatic hydrocarbon substrates resulted in a decrease in yield of 2 (thus interception of the putative Ni<sup>IV</sup>=O oxidant). The obtained yield of 2 appeared to be dependent on the magnitude of the C-H bond dissociation energy of the substrate (Fig. S16†). For example, addition of toluene to 1[OAc], prior to the addition of *m*-CPBA, resulted in a decrease in the yield of 2 to ~85% of the original yield of 2 when no hydrocarbon substrate was present. GC-FID analysis revealed the formation of benzaldehyde in the reaction mixture (yield = 10% w.r.t [1[OAc]], Fig. S17<sup>†</sup>). Similarly, a decreased yield of 2 was observed when tetrahydrofuran (~70% of original yield of 2), cyclohexene (~50%), cumene (~30%) and 1,4-cyclohexadiene (CHD, <25%) were added to the reaction mixture. Interestingly, analysis of the cyclohexene post-reaction mixture products revealed the presence of 1,2-epoxycyclohexane (20% yield) as the major product, with minor products cyclohexene-1-ol (8.0%) and cyclohexene-1-one (5%) (Fig. S18<sup>†</sup>). The preferential epoxidation of alkene over C-H abstraction of the  $\alpha$ -carbon, is a typical outcome for a terminal M=O entity,<sup>27,55,56</sup> demonstrating that the active oxidant is likely a terminal Ni=O species. These interception studies and the identification of epoxide products, alongside the observation of arene hydroxylation, support the formation of a formally Ni<sup>IV</sup>=O oxidant from the reaction of **1[OAc]** and *m*-CPBA prior to the formation of 2.

The formation of a Ni<sup>III</sup>-phenolate (2) from a formally Ni<sup>IV</sup>=O entity should involve a radical-type aromatic substitution reaction (Scheme 2). An initial attack of the formally Ni<sup>IV</sup>=O into the  $\pi$ -system of the pendant arene ligand would result in the formation of a C–O bond and an arene  $\pi$ -radical. This intermediate Ni<sup>III</sup>-phenoxyl species is postulated to rapidly lose a hydrogen atom regenerating aromaticity in the final product 2. In order to probe this mechanism, we attempted to identify the faith of the hydrogen atom, using the isotopically labelled 1[OAc]-D<sub>15</sub>.49 A <sup>2</sup>H NMR of the post reaction mixture of **1[OAc]-D**<sub>15</sub> with *m*-CPBA revealed the presence of a peak at  $\delta$  = 5.51 ppm that we assigned as CHDCl<sub>2</sub> (Fig. S19<sup> $\dagger$ </sup>). CH<sub>2</sub>Cl<sub>2</sub> is present in the reaction mixture to solubilise *m*-CPBA. We speculate that a deuterium atom exchange of free D (released in the aromatisation of the phenolate) with CH<sub>2</sub>Cl<sub>2</sub> occurred. Nonetheless, the observation of new <sup>2</sup>H resonances would suggest the formation of H/D atom radical species, providing support for the mechanism postulated in Scheme 2, indicating the involvement of a Ni<sup>IV</sup>=O adduct in electrophilic aromatic substitution and hydrocarbon oxidation.

Varying the concentrations of 1[OAc], while keeping the concentration of *m*-CPBA and temperature constant, showed little to no difference in the rate of formation of 2 (Fig. S20†). The conversion of Ni<sup>IV</sup>=O into 2 should be a unimolecular reaction and therefore the rate of formation of 2 should remain unaffected by changing the concentration of 1[OAc] in the reaction between 1[OAc] and *m*-CPBA. This is thus indicative of intramolecular ligand oxidation.

We also explored the rate formation of 2 for 1[OAc]-D<sub>15</sub>. For the reaction of 1[OAc]-D<sub>15</sub> with *m*-CPBA, we observed the same



Scheme 2 Postulated mechanism of conversion of 1[OAc] to 2

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chromophore as observed in the reaction of 1[OAc] and *m*-CPBA (defined as 2-D<sub>14</sub>, Fig. S21<sup> $\dagger$ </sup>). In the <sup>1</sup>H NMR of the warmed post reaction mixture we observed the expected methylene peaks that displayed as six inequivalent resonances in the  $\delta$  = 3.0–5.0 ppm region (Fig. S22†), as well as observing a NH signal at  $\delta$  = 10.36 ppm, that was previously observed in 3.49 In contrast, none of the aryl CH resonances identified for 3 were present in the post-reaction mixture, consistent with the perdeuteration of the arene. The reaction outcome was thus the same and the rate of formation of the Ni<sup>III</sup>-phenolate adduct was unchanged whether the proto- or deutero-ligand was employed. The rate of formation of 2-D<sub>14</sub> ( $k_{obs} = 0.059 \text{ s}^{-1}$ ) was very close to that measured for 2 (0.071  $s^{-1}$ ), suggesting no involvement of the aryl H/D-atoms in the rate limiting step for formation of 2. We surmise, supported by the collected evidence, that electrophilic aromatic substitution is rate-limiting. That is, attack of the electrophilic oxo ligand in a formally Ni<sup>IV</sup>=O species on the arene ring of one of the pendant arms of the ligand. This is consistent with results obtained elsewhere for analogous Fe and Cu systems.57-62

Table 2 Activation parameters for selected M=O and  $bis-\mu-(M-O-M)$  mediated aryl hydroxylations

	$\Delta H^{\ddagger}$ (kcal mol <sup>-1</sup> )	$\Delta S^{\ddagger}$ (cal mol <sup>-1</sup> K <sup>-1</sup> )	Ref
1[OAc]	$14.5 \pm 0.7$	$-1.4 \pm 0.1$	_
1[OAc]-D <sub>15</sub>	$14.3 \pm 0.5$	$-2.5 \pm 0.1$	_
$[Cu^{II}_{2}(O_{2})(xyl-H)]^{2+}$	$11.9\pm0.2$	$-8.4 \pm 0.5$	60
$[Ni^{III}_{2}(O_{2})(H-L-H)]^{2+}$	~13.9	~ - 15.1	61
$[Fe^{IV}(O)(N4Py^{2Ar})]^{2+}$	$17.4\pm0.4$	$-12.7 \pm 1.4$	62

xyl-H:  $N,N^{+}(1,3-\text{phenylenebis}(\text{methylene}))$ bis(2-(pyridin-2-yl)-N-(2-(pyridin-2-yl)ethyl)ethan-1-amine), H-L-H: 1,3-bis[bis(6-methyl-2-pyridylmethyl)aminomethyl]benzene, N4Py<sup>2Ar</sup>: 1,1-bis(6-(2,6-difluoro-phenyl)pyridin-2-yl)-N,N-bis(pyridin-2-ylmethyl)methanamine.

We probed the activation parameters for the reaction of **1**[**OAc**] with *m*-CPBA (Fig. S24–S26, Table S9†). The reaction activation enthalpy ( $\Delta H^{\ddagger}$ ) was determined to be 14.5 kcal mol<sup>-1</sup>. The reaction activation entropy ( $\Delta S^{\ddagger}$ ) was near-zero. This is in agreement with the unimolecular nature of the reaction. Furthermore, analysis of the Eyring plot for **1**[**OAc**]-**D**<sub>15</sub> to **2**-**D**<sub>14</sub> showed an almost indistinguishable slope compared to its proto-analogue. By comparing the slopes of the Eyring plot, a KIE (1.02) could be determined, unambiguously demonstrating that arene hydroxylation does not involve rate-limiting H<sup>+</sup> or hydrogen atom transfer. The observed activation parameters are in good agreement with literature precedent of arene hydroxylation's involving M=O and bis- $\mu$ -(M–O–M) complexes (Table 2).<sup>60–62</sup> The values are inconsistent with intermolecular (bimolecular) aromatic hydroxylation reactions as observed for Fe<sup>IV/V</sup>=O complexes.<sup>57–59</sup>

Having established considerable experimental evidence for a formally Ni<sup>IV</sup>=O precursor to 2, formed from O-O heterolysis in the reaction between 1[OAc] and m-CPBA, we performed quantum chemical calculations on the properties of the formally Ni<sup>IV</sup>=O unit. Geometry optimisations of [Ni(O)(NTA)]<sup>-</sup> was performed in three possible spin-states (Fig. 5, S29 and Tables S10–S12<sup>†</sup>), whereby S = 1 was the lowest in energy  $(0.00 \text{ kcal mol}^{-1})$  with respect to S = 0 (2.04 kcal mol}^{-1}) and S = 2 (6.85 kcal mol<sup>-1</sup>). Mulliken population analysis of the Ni=O moiety (S = 1) displayed a charge of 0.67 at Ni and -0.47at O. Interestingly, the spin was spread over the Ni-O unit (Ni: 0.83, O: 0.95) which points towards a formulation as Ni<sup>III</sup>-O'. For comparison, the Mulliken charge (0.61) and spin population (0.79) at Ni<sup>III</sup> in 2 was slightly reduced even though the same number of ligands are coordinated at the nickel atom. A Wiberg bond order of 1.40 showed that some double bond character between Ni and O was present, whereby the Nioxygen adduct was best described as either Ni<sup>III</sup>-O' or Ni<sup>IV</sup>=O. We therefore describe the active oxidant as being formally



Fig. 5 Optimized molecular structure of  $[Ni(O)(NTA)]^-$  (S = 1, left) and electron spin-density plot (right; H atoms omitted for clarity; iso-surface value at 0.005).

 $Ni^{IV} = O$ , with the understanding that this formalism can also be ascribed to  $Ni^{III} - O^{\bullet}$ .

2 was capable of activating weak C-H bonds at -40 °C, reacting with 1,4-cyclohexadiene (CHD) in CH<sub>3</sub>CN at -40 °C (Fig. S30-S32<sup>†</sup>), but could not activate the strong C-H bond of toluene, as the putative Ni<sup>IV</sup>=O entity could. Analysis of the CHD post reaction mixture by <sup>1</sup>H NMR indicated the formation of benzene. Plotting the change in absorbance at  $\lambda = 390$  nm versus time and fitting the resulting curve with an exponential decay function, allowed us to determine a pseudo-first order rate constant ( $k_{obs}$ ). We plotted  $k_{obs}$  against a series of substrate concentrations to obtain a linear plot whose slope was used to determine a value for the second order reaction rate constant ( $k_2 = 0.010 \text{ M}^{-1} \text{ s}^{-1}$ , Fig. S33†). From these reactivity studies, we conclude that the formation of 3 from 2 is likely via the above-mentioned hydrogen atom transfer oxidation by 2 to yield a protonated, 1-electron reduced core (thus 3). 2 displayed  $k_2$  values comparable to previous Ni<sup>III</sup>-OX examples.<sup>63-65</sup> The metastable formally Ni<sup>IV</sup>=O entity was a superior oxidant, while [Ni<sup>III</sup>(O-H···OAc)(L<sup>Ph</sup>)]<sup>49</sup> was also a capable hydrocarbon oxidant.

In the reactions of Ni<sup>II</sup> catalysts with peroxy acids, the formation of Ni<sup>III</sup>-OH, Ni<sup>III</sup>-OOR, Ni<sup>III</sup>-O', and Ni<sup>IV</sup>=O entities are all plausible. In the reaction of 1[OAc] with the aryl peracids *m*-CPBA or PBA, herein, we collated evidence to suggest the formation of a transient formally Ni<sup>IV</sup>=O species. In contrast, in the reactions of 1[OAc] with aliphatic peracid (peroxyphenylacetic acid) or NaOCl we have trapped and characterized a masked Ni<sup>III</sup>=O complex (formally containing a [Ni<sup>III</sup>(O-H…OAc)(L<sup>Ph</sup>)] core).<sup>49</sup> Post-reaction mixture analysis showed that the aryl peracids underwent heterolytic O-O bond scission upon reaction with 1[OAc], whereas the aliphatic peracids underwent homolytic O-O bond scission, consistent with the formation of formally Ni<sup>IV</sup>=O and Ni<sup>III</sup>=O products, respectively. This observation was confounding to us, given the similarities in the peracid's properties. There is little difference in the O-O bond dissociation energy when comparing the two sets of peracids.<sup>66</sup> The aliphatic peracids have  $pK_a$  values approximately one  $pK_a$  unit greater (~8.2 versus ~7.5) than those of the aryl peracids. Our tentative hypothesis is that for aryl peracids, O-O bond heterolysis is accelerated by the relatively greater acidity of the H<sup>+</sup> catalysing O-O bond scission in the reaction of 1[OAc] with any peracids. In contrast, the less acidic protons of the aliphatic peracids appear to play no role, resulting in O-O bond homolysis. Critically, this divergent reactivity shows that while O-O bond homolysis may lead to carboxyl radical formation upon reaction between peracids and Ni<sup>II</sup>, heterolysis does not, but nonetheless yields a potent Ni-based oxidant capable of arene and alkane hydroxylation. Overall, the reactivity of 1[OAc] with peracids demonstrates the nuances associated with Ni<sup>II</sup>/peracid chemistry, showing aryl peracids yield formally Ni<sup>IV</sup>=O and carboxylate, while aliphatic peracids yield Ni<sup>III</sup>-OH and carboxyl radical. Critically, the Ni-based products were more than capable of a variety of oxidative transformations including aliphatic hydrocarbon hydroxylation, arene hydroxylation, and oxygen atom transfer.

### Conclusions

The formation of a Ni<sup>III</sup>-phenolate complex 2 from the reaction of **1**[**OAc**] with *m*-CPBA was observed. 2 was characterised by a suite of spectroscopic techniques namely UV-Vis, EPR, and XAS spectroscopies and ESI-MS. 2 decayed to yield a previously observed Ni<sup>II</sup>-phenolate complex 3. We postulated that selfhydroxylation was mediated by a formally Ni<sup>IV</sup>=O entity and collected a variety of kinetic and reaction product data to support that claim. Critically, addition of exogenous hydrocarbon substrates intercepted the self-hydroxylation process, resulting in hydroxylation of aliphatic substrates and the epoxidation of olefinic hydrocarbons. Overall, the reactivity of **1**[**OAc**] with peracids demonstrates the nuances associated with Ni<sup>II</sup>/peracid chemistry, showing formation of high-valent Ni–oxygen adducts and carboxyl radical that can mediate hydroxylation reactivity.

### Conflicts of interest

There are no conflicts of interest to declare.

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