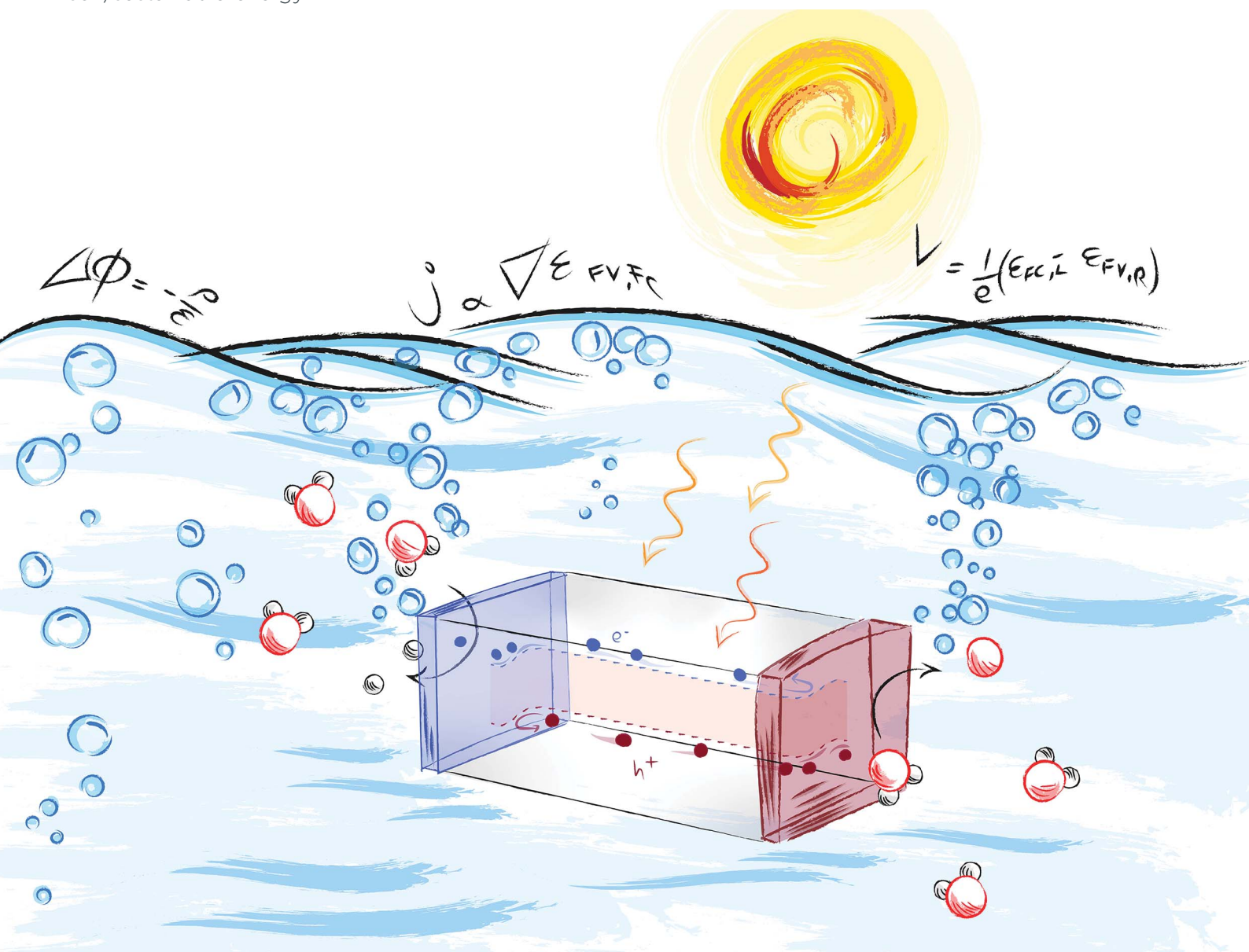


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# The role of selective contacts and built-in field for charge separation and transport in photoelectrochemical devices

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Direct photoelectrochemical (PEC) solar water splitting has the potential to be a key element in a sustainable energy supply chain. However, integrated PEC systems based on metal oxides still lack the high efficiencies required for large-scale, economically feasible applications. A main obstacle for the realization of higher solar-to-hydrogen efficiencies is the appropriate design of the semiconductor–catalyst and semiconductor–electrolyte interfaces. Thus, a more accurate understanding of the energy loss mechanisms and the driving forces that determine the charge separation, transport and recombination of electrons and holes in a PEC device would be instrumental for the selection of the most appropriate design routes. In this context we highlight a common misconception within the PEC research community, which is to consider the built-in electrical field at the solid/liquid interface as essential for charge separation. We subsequently emphasize the established viewpoint within the photovoltaic research community that the gradient of the electrochemical potential is the principle driving force for charge separation and efficient solar energy conversion. Based on this realization, we argue that improved contact design in PEC devices should be one of the main research directions in the design of PEC devices. To address this challenge, we take a closer look at how optimized contacts have been constructed so far and present potential design approaches which can be used to further improve the performance of PEC devices.

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## 1 Introduction

The use of solar energy is widely accepted as a key element of a sustainable energy system. However, large-scale storage must be feasible, as the local availability of sunlight on earth follows diurnal and seasonal cycles. Sustainably produced fuels are a very attractive way to store extensive amounts of energy for long periods of time. The established low-cost competitor for artificial solar energy harvesting is natural photosynthesis, yet efficiencies are at around 1%,<sup>1</sup> which creates a land use conflict with food production. A simple and maybe the ‘cleanest’ chemical fuel is green H<sub>2</sub>, since only water vapor and no CO<sub>2</sub> is released during its use.<sup>2–4</sup> One way of producing green H<sub>2</sub> is the approach of direct solar water splitting,<sup>5,6</sup> where the light absorbing semiconductor is in direct contact with an aqueous electrolyte. It follows from the spectral distribution of the sunlight that tandem devices are needed to generate more than the minimum required photovoltage of 1.23 V plus

overpotentials at current densities that allow efficient operation. Solar-to-hydrogen (STH) efficiencies close to 20% have been achieved already,<sup>7</sup> using III–V semiconductors with functionalized surfaces.<sup>8–10</sup> So far, quite large device costs, together with limited stability and difficulties in scale up, are among the obstacles towards mass production of PEC devices. This is why metal oxides (MOs) are considered a promising material class, as they offer more opportunities to utilize inexpensive, earth abundant and non toxic elements. However, the STH eff. of full MO tandem cells still exhibit low efficiencies around 1–3% and insufficient stabilities, which needs to be at least greater than 5 to 10 years.<sup>6,11,12</sup> As a result, new oxide materials are being explored, but despite the intensive efforts by the community, breakthroughs towards commercially viable, highly efficient oxide-based devices have yet to be realized. This might also be a consequence of the underestimation of the importance of appropriate selective contacts, which has significant implications for the overall device design. It is important to realize that PEC devices work, on the opto-electronic level and regarding their thermodynamics, in full analogy to conventional photovoltaic (PV) cells. For PV devices, it is now well understood that the selectivity of the front and back contacts is a key feature needed for efficient charge separation and to finally deliver high current and voltage. Furthermore, the role of the built-in

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electric field (E-field) at the pn-junction had to be reevaluated, since it was shown to be incorrect to attribute the charge transport and separation just to the E-field at the junction.<sup>13–16</sup> These important realizations have not yet been fully embraced by the PEC community.<sup>17</sup> In the following, we provide arguments for a change in perspective regarding the way the charge separation takes place in PEC devices and we elaborate consequences for the construction of functional devices and their contacts. Since it is theoretically possible to separately consider and optimize the solar absorber and the electrochemical (EC) components of a PEC device,<sup>18,19</sup> we will first focus on the absorber component. We begin with a brief review and reminder of the fundamental processes and forces that lead to the generation, transport, and separation of charge carriers in semiconductors. This is followed by a description of different concepts of “selective” contacts with a focus on solid/metal and solid/liquid interfaces. Finally, a comprehensive picture of a PEC cell under working conditions is given and possible routes towards higher efficiencies are presented. We conclude that the contact optimization is, just like the optimization of the bulk absorber properties, essential for efficient PEC devices.

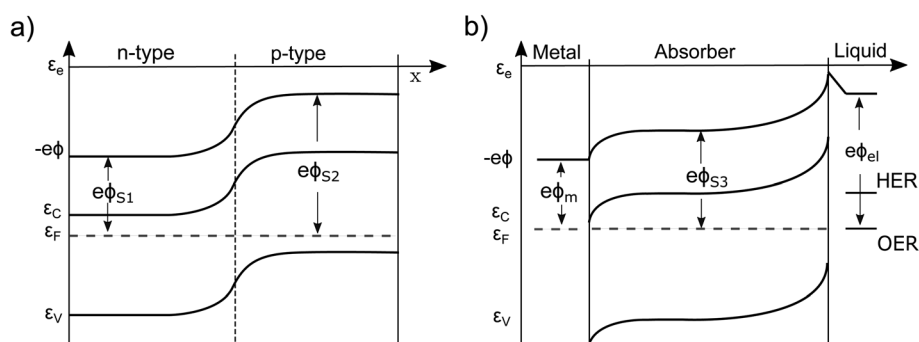
## II Charge-separation and transport

### A Questioning the role that the built-in electric field plays for charge separation

Currently, the most common interpretation of photocurrent generation from a photoelectrode within an electrolyte is to assume that the separation of electron–hole pairs takes place only in a region near the surface due to the presence of an internal electric field, originating from the band-bending at the solid/liquid interface established in dark equilibrium.<sup>17,20</sup> This view is originally based on a description by Gärtner for the pn-junction.<sup>21</sup> This internal electric field is often assumed to be an independent force which drives the charges (positive and negative) in opposite directions towards the respective contacts. However, there are several problematic aspects of this explanation. For instance there are well-known devices such as crystalline silicon (c-Si) solar cells, that are in fact by volume

99% free of any built-in field,<sup>15,22</sup> but still represent the working horse of the world-wide PV market. Also dye-synthesized solar cells (DSSCs) are known to be practically field-free due to the screening by the electrolyte solution.<sup>23</sup> This is also true for nanostructured PEC devices, which cannot be expected to develop a significant space charge layer due to their spatial limitations.<sup>24</sup> Even more important are the theoretical inconsistencies of this explanation as outlined by Würfel.<sup>14</sup> First, if an electric field accelerates charges its potential energy is converted into kinetic energy. Inside of a (semi-)conductor, this kinetic energy is partially dissipated during scattering events, resulting in unavoidable ohmic losses. Continuous charge separation requires a constant acceleration of charges and, therefore, a constant energy input in order not to violate the first law of thermodynamics. This energy can only be provided by an external source, such as an external applied voltage or by incident sunlight. Consider now a semiconductor junction in equilibrium, *i.e.*, in the dark and without any applied potential. Even though an electric field is typically present in such a junction (the so-called ‘built-in’ field), no net charge separation occurs. However, charge separation does occur when the junction is illuminated, even though continuous illumination, followed by charge redistribution, actually *reduces* the magnitude of the electric field at the junction.<sup>25</sup> This clearly implies that the mere presence of a built-in electric field cannot drive charge separation. Secondly, we must consider the electrical potential in a PV cell (used *e.g.* to drive an electronic device) to represent at some point a closed circle. Any charge that would make a “full round” in this circuit must not have gained or lost energy as any electric field based on static charges is conservative.<sup>14</sup>

To get more insight, let us consider the moment when the semiconductor and the electrolyte (or the p- and the n-type semiconductor) are brought into contact. Immediately, charge transfer occurs between the phases and the electrochemical potentials equalize. A built-in potential  $V_{bi}$  is established depending on the respective work function ( $\phi$ ) differences of the two semiconductors  $V_{bi} = \phi_{S1} - \phi_{S2}$  or the semiconductor and the electrolyte  $V_{bi} = \phi_{el} - \phi_{S3}$  (without considering surface



**Fig. 1** (a) A typical pn-junction in the dark. The band-bending has its origin in the diffusion of electrons from the n-doped into the p-doped region. (b) A semiconductor immersed in an electrolyte. Ideally, the Fermi level of the n-doped semiconductor aligns with the redox level of the most active species (depending on pH). A space-charge region is formed.  $-\epsilon\phi$ ,  $\epsilon_{C/V}$ ,  $\epsilon_F$ ,  $e\phi_{S1-3,m,el}$  are the electric potential energy (typically referred to as vacuum level), the conduction/valence band energy, the Fermi energy and the material work functions, respectively. HER/OER are the hydrogen/oxygen evolution reaction redox potentials.



states), as depicted in Fig. 1a and b. After the potentials have equalized, charge transfer still occurs back and forth due to Brownian motion. The net transfer rate is, however, zero, even though an electric field is present. This is because there is also a gradient in the concentration of free carriers within the space-charge region, the effect of which exactly compensates the effect of the electric field. Thus, the net force that acts on the free charge carriers in this region is zero ergo the net current is zero. A likely cause for the misconception that the electric field drives charge separation is the often made distinction between drift and diffusion currents in much of the literature. These are, however, purely mathematical constructs. Independent drift and diffusion currents do not exist within semiconductors. There is only one current, which is determined by the net force that is given by the sum of the force fields that the concentration gradient and the electric field exert on each type of charge carrier. The built-in field in dark equilibrium is therefore not sufficient to explain the charge carrier separation. In fact, carriers in non-equilibrium also can move *against* the direction of this E-field, if a sufficiently large gradient in the chemical potential forces them to do so.<sup>13</sup> Either way, we need to conclude that the explanation outlined at the beginning must be considered as insufficient and easily misleading from a thermodynamic point of view. It disregards the role of the carrier concentration (*i.e.* the chemical potential) and ignores the fact that the E-field distribution and magnitude itself changes dramatically under illumination, depending on the working point of the device. Therefore, the driving forces under non-equilibrium conditions and their true origins will be discussed in detail in this work. Also, the actual role that the built-in potential plays for efficient PV and PEC devices will be discussed. Further, we will present how in general built-in asymmetries, particularly in the form of so-called “selective contacts” enable charge separation, based on an approach developed by P. Würfel.<sup>13,14,26</sup> Finally, we will exhibit how such contacts can be constructed and how they can push the performance of PEC devices.

## B Excess carrier generation, free energy extraction and charge carrier transport

A solar cell converts radiant energy into electrical energy by the absorption of photons leading to the generation of free electron hole pairs and a subsequent extraction of the charge carriers. In general, the amount of energy in a solar cell that can actually perform work is considered as the Helmholtz free energy  $F$ , given by the inner energy,  $U$ , minus the product of temperature  $T$  and entropy,  $S$ .

$$F = U - TS. \quad (1)$$

The exchange of free energy  $dF$  is carried out by the removal of  $dN_{e,h}$  electrons or holes. In the steady-state operation mode (for continuous illumination) of a PV/PEC cell, the volume occupied by the charge carriers and the temperature is constant. Therefore, only chemical and electrical energy is exchanged. Further, equal amounts of electrons and holes are removed. In a semiconductor the chemical potential,  $\mu$ , and the

electrical potential,  $e\phi$ , are fundamentally coupled since every change in charge is associated with a change in the number of electrons and holes. Therefore, only chemical and electrical energy are exchanged, which leads to a change in free energy given by

$$(\mu_i + z_i e \phi) dN_i = \eta_i dN_i \quad (2)$$

Here,  $\mu_i$  is the chemical potential of species  $i$ ,  $z_i$  is the electrical charge,  $\phi$  is the electrical potential, and  $\eta$  is the electrochemical potential, with the subscript  $i$  referring to either electrons (e) or holes (h). Electrons and holes are added or removed in equal numbers during excitation, recombination and charge extraction. Any change in free energy by carrier extraction is thus the sum of the electrochemical potentials of the exchanged charge carriers and can be further identified with the difference in the quasi Fermi levels (QFLs), of the electrons ( $\varepsilon_{FC}$ ) and holes ( $\varepsilon_{FV}$ )

$$dF = dF_e + dF_h = (\eta_e + \eta_h) dN = (\varepsilon_{FC} - \varepsilon_{FV}) dN. \quad (3)$$

QFLs are commonly used to describe the carrier population that is present in a semiconductor after photoexcitation and subsequent thermalization towards the respective band edge. The quasi Fermi level splitting (QFLS) in the bulk ( $\varepsilon_{FC} - \varepsilon_{FV}$ ) represents the maximum possible extractable energy per charge-carrier, which must be collected before the carriers reach final equilibrium by relaxation.<sup>14,27</sup>

An important consequence of the fact that there is only one electrochemical potential for each charge carrier in a material is the existence of only one driving force for carrier transport, given by the gradient of the electrochemical potential. It describes the general case in which both an E-field ( $\nabla\phi$ ) and/or a concentration gradient ( $\nabla\mu_{e,h}$ ) can be present and interdependent. Thus, only one physical current density ( $j_{Q,e,h}$ ) for the respective charge carriers exists. It can be expressed by using the conductivity,  $\sigma_{e,h}$ , and  $z_{e,h}$  (which is  $-1$  for electrons,  $+1$  for holes):

$$j_{Q,e,h} = -\frac{\sigma_{e,h}}{z_{e,h}e} \nabla(z_{e,h}e\phi + \mu_{e,h}) = -\frac{\sigma_{e,h}}{z_{e,h}e} \nabla(\eta_{e,h}) = \frac{\sigma_{e,h}}{e} \nabla(\varepsilon_{FC,FV}). \quad (4)$$

A simple example to analyze this principle is the case of an equilibrated pn-junction in the dark (illustrated in Fig. 1a). There is clearly a gradient in the electrical potential ( $\nabla\phi \neq 0$ ), but at the same time there is a gradient in the chemical potential in opposite direction and of the same magnitude ( $e\nabla\phi = -\nabla\mu_{e,h}$ ). This leads to  $\nabla\eta_i = 0$ , *i.e.* a flat Fermi level and no net driving force for the charge-carriers. In this sense the built-in potential  $V_{bi}$  can be understood as byproduct of connecting two materials with different chemical potentials. Equilibration follows by charge carrier exchange, building up the field to compensate for this initial difference.<sup>15</sup> This is of course equally true for the solid-liquid junction in dark equilibrium pictured in Fig. 1b.



Next we take a look at the continuity equations, which relate the changes of the charge carrier densities with time  $\left(\frac{\partial n_{e,h}}{\partial t}\right)$  at any point in the device to the respective carrier- in and out flow ( $\nabla j_{Q,e,h}$ ), generation ( $G_{e,h}$ ) and recombination ( $R_{e,h}$ )

$$\frac{\partial n_{e,h}}{\partial t} = G_{e,h} - R_{e,h} - \nabla \frac{j_{Q,e,h}}{e}. \quad (5)$$

In steady state operation  $\frac{\partial n_i}{\partial t} = 0$ , the free carrier generation is given by the absorption of photons, while  $R_i$  is determined by Shockley–Read–Hall (SRH) recombination, radiative recombination and Auger recombination and their respective rates.<sup>28</sup> The relationship between the net charge density and the electrical potential, is given by Poisson's law:

$$\Delta \phi = -\frac{\rho}{\varepsilon}. \quad (6)$$

Here  $\varepsilon$  is the permittivity and  $\rho$  is the net charge density, including free charge carriers as well as charged dopants, recombination centers, and traps. Eqn (4)–(6) represent a set of coupled partial non-linear differential equations which can be solved with appropriate boundary conditions numerically for PV and PEC devices.<sup>29–32</sup> By this, one finally obtains the  $J$ – $V$  characteristics of any given “classical” device. Analytical solutions are accessible for certain situations, *e.g.* for an idealized pn-junction leading to the well-known diode equations.<sup>14,27</sup> Since a current needs to be extracted from a solar cell, charge carriers must be exchanged at the contacts. Therefore, surface recombination plays a critical role and is briefly discussed.

**1 Interfacial transfer & surface recombination.** Surfaces and interfaces are central for the understanding and the functionality of PV and PEC devices. On the one hand, charges need to be transported in series across the interfaces towards the external load *e.g.* by thermionic emission, field emission or

tunneling, summing up to the total current delivered by the device. On the other hand, interfaces represent important centers for trap-assisted recombination leading to a detrimental loss of excess carriers. Transport and recombination can be expressed collectively by means of surface recombination.<sup>27</sup> The surface recombination rate,  $R_{s,e}$ , for electrons is defined as

$$R_{s,e} = S_{R,e} n_e \quad (7)$$

where  $n_e$  is the concentration of electrons at the surface and  $S_{R,e}$  is the surface recombination velocity (SRV), which is a measure for how fast electrons recombine at the surface. An analogous definition follows for the hole recombination rate  $R_{s,h}$ . For a complete device four surface recombination velocities need to be considered, one for each of the carrier types at both contacts. The majority carrier SRV must be generally high to ensure efficient transport across the interface, while the minority carrier SRV must be small to avoid recombination losses.<sup>25</sup> A well-understood example is a semiconductor/metal contact. It can be described mathematically by an infinitely large surface recombination velocity ( $\nu_{R,e/h} \rightarrow \infty$ ), which is compensated by a dark generation rate, that is likewise infinitely large. Any additional charge carrier generation, *e.g.* by illumination, does not lead to a significant change to the (dark) charge carrier concentrations.<sup>14</sup> An important consequence implied by this, is the fact that the quasi Fermi levels, split in the bulk under illumination, join at a metal contact as depicted in Fig. 2a. In other words, there are no individual populations of electrons and holes remaining at the contact, since direct exchange by recombination leads to an equilibration, whereupon only one joint electrochemical potential ( $\varepsilon_F$ ) remains. Beyond metal/semiconductor contacts, semiconductor surfaces and their electronic properties are very versatile and complex and thus their exact nature it still a topic requiring more extensive evaluation, especially for novel semiconductors. Surfaces exhibit dangling bonds and uncoordinated atoms and if they are freely exposed to air or water they adsorb or react *e.g.* with  $H_2O$  or  $O_2$ ,

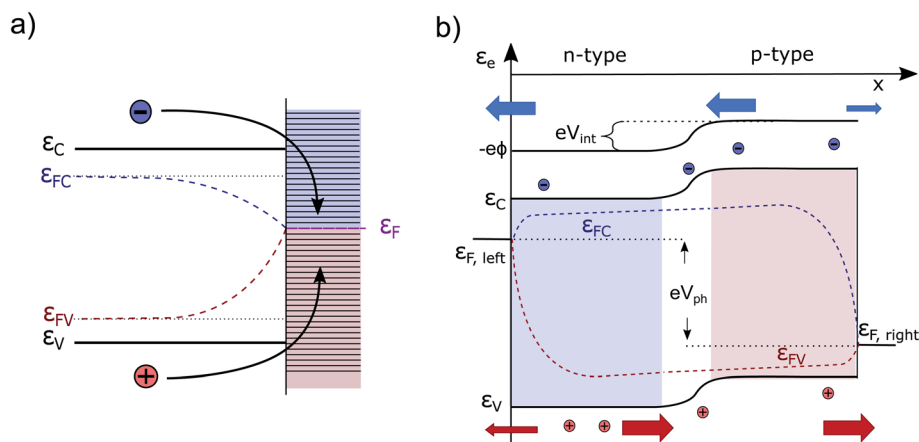


Fig. 2 (a) Interface of an unpassivated semiconductor–metal contact. Surface states are illustrated by horizontal dashes. Fast recombination leads to a coinciding of the (bulk) QFLS. (b) pn-junction under homogeneous illumination under conditions close to the maximum power point (*i.e.*, neither short nor open circuit). Fermi level gradients are exaggerated to demonstrate the principle, also note the merging of  $\varepsilon_{FC}$  and  $\varepsilon_{FV}$  at the metal contacts. Principle adapted from ref. <sup>14</sup>.



which typically results in the formation of multiple surface states. The concentration of such surface states can reach up to  $10^{15} \text{ cm}^{-2}$ , which is in the same order of magnitude as the number of atoms at the surface.<sup>18</sup> Energetically, these states are commonly spread continuously across the surface as pictured in Fig. 2a, resulting in high surface recombination velocities ( $\sim 10^5$  to  $10^6 \text{ cm}^{-1}$  (ref. 14)). However, in some cases surfaces get (self-)passivated by native oxide formation,<sup>33</sup> leading to substantially lower surface recombination velocities. For example Si/SiO<sub>2</sub> or lattice matched III-V hetero-interfaces exhibit recombination velocities as low as  $10 \text{ cm s}^{-1}$ . It cannot be stated generally if or at which energetic position the QFLs at a specific interface will coincide (and if the Fermi level will be pinned or not at this position). However, every PV/PEC device has at least one contact point, typically a metal, a catalyst or a liquid, at which the quasi Fermi levels merge.

### C A pn-junction under working conditions

**1 Carrier collection and capacitive elements.** Fig. 2b illustrates the band diagram for an ideal scenario of a pn-junction in a PV device under homogeneous illumination and close to the maximum power point (MPP). To understand the distinct roles the pn-junction plays for the functionality of the device it is instructive to consider the different junction conditions at short circuit and open circuit potential.<sup>34</sup> (1) At short circuit current ( $I_{\text{sc}}$ ) the pn-junction represents a sink for the respective minority charge carriers. It ideally keeps the carrier concentration and chemical potential at the same value as it is in the dark and thus facilitates a steady current flow from the p-towards the n-doped section and *vice versa*. The junction acts as what we later denote as “selective contact” for the respective minority carriers; under short circuit conditions, carrier collection is optimal. (2) At open circuit voltage ( $V_{\text{oc}}$ ) the junction represents the capacitive element that builds up charge and thereby generates the change in electrical potential that is needed to finally deliver a (photo-)voltage.<sup>22,34,35</sup> The photovoltage delivered by the junction under illumination pictured in Fig. 2b reduces the built-in potential that was present in the dark (Fig. 1a). This results in an internal voltage  $V_{\text{int}} = V_{\text{bi}} - V_{\text{ph}}$ . In other words the voltage drops over the junction. For any point on the  $JV$ -curve, both fundamental functions (carrier collection and capacitive charging) are realized to some extent. However they don't necessarily have to be fulfilled by a single pn-junction, but can be distributed over a (more complex) device.

The free energy per electron-hole pair ( $V \times e$ ), that can be extracted from a device, is given by the difference in the Fermi levels at the surface contacts ( $\varepsilon_{\text{FC, left sc}}, \varepsilon_{\text{FV, right sc}}$ )<sup>13,14,18</sup>

$$V = \frac{1}{e} (\varepsilon_{\text{FC, left sc}} - \varepsilon_{\text{FV, right sc}}). \quad (8)$$

The electron and hole currents are at every point driven through the device by gradients of the QFLs. The main voltage losses as visible from  $\nabla \varepsilon_{\text{FC, FV}}(x)$  appear in the bulk or at the contacts, as a consequence of series resistances and/or

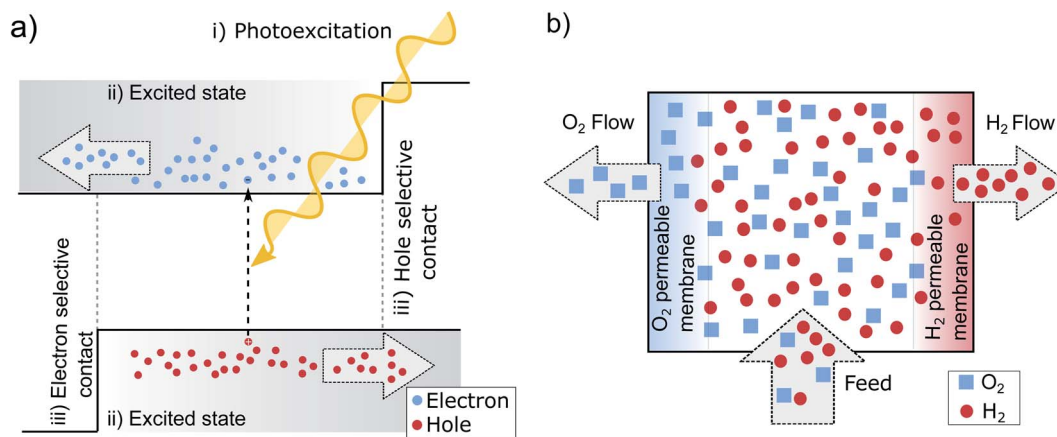
recombination. Depending on the charge carrier type and its spatial position, the current flow is dominated by drift or diffusion.

**2 Transport: drift, diffusion, the role of SRH recombination.** To what extent the charges are transported by drift or diffusion depends on the magnitude and spatial distribution of the effective built-in field.<sup>22</sup> Inside of the quasi-neutral regions, minority carriers are transported predominantly by diffusion. Within the depletion region, drift dominates for both carrier types.<sup>27</sup> In both regions a distinct drift length ( $L_{\text{Drift}} = \mu\tau E$ ) and a diffusion length ( $L_{\text{Diff}} = \sqrt{\frac{\mu\tau k_{\text{B}} T}{e}}$ ) exist.  $L_{\text{Drift}}$  and  $L_{\text{Diff}}$  are

both a function of the mobility lifetime product ( $\mu\tau$ ) and determine how far (minority) charge carriers can travel before they recombine. The square root-dependence of the diffusion length implies  $L_{\text{Diff}} < L_{\text{Drift}}$  for reasonably large  $\mu\tau$  products (that are always needed for a substantial collection efficiency) and henceforth a large depletion region is beneficial for the efficiency of many device structures.<sup>22,25</sup> However, one should bear in mind that charge transport strongly depending on a large built-in field would often lead to a loss in fill factor (FF), since at the MPP,  $V_{\text{int}}$  is significantly smaller than at  $I_{\text{sc}}$ . Furthermore, a large depletion volume is not universally helpful to achieve high efficiencies, since SRH recombination is generally larger in depleted areas (as  $n_{\text{e}} \approx n_{\text{h}}$ ). Therefore, large depletion areas should be avoided, if the diffusion length is sufficient for adequate charge transport.<sup>22</sup> Prominent examples for which the absorber layer is mainly depleted are thin film technologies such as a-Si,<sup>36</sup> CdTe,<sup>37</sup> organic PV<sup>38,39</sup> and ultra-thin film solar cells.<sup>40</sup> Well-known devices which are almost entirely field free are c-Si cells,<sup>14</sup> halide perovskites solar cells (PSCs)<sup>41</sup> and DSSC's.<sup>23</sup> It can be safely assumed that the picture is equally diverse for PEC water splitting devices, since they are based on very different absorber materials and cell designs. For metal oxides we expect built-in fields to play a large role for the charge transport since  $\mu\tau$ -products are known to be small.<sup>4</sup>

**3 Free energy losses.** Although carrier drift plays a large role for charge transport in many device structures, this is not in contradiction to the thermodynamic arguments made before, namely that a static E-field cannot constantly separate charges without an energy source. Here, it needs to be realized that the process initially leading to non-equilibrium conditions is the generation of photo-excited charge carriers.<sup>15</sup> This photo-generation forms two quasi Fermi levels for electrons and holes, which are the necessary prerequisites for the internal driving forces to emerge. In this way the energy gradient used to drive out the carriers can be understood as being sacrificed from the free (chemical) energy of the electrons and holes.<sup>42</sup> In full consistency with thermodynamics, the field-assisted part of the transport process is accompanied by heat production (and therefore entropy generation) referred to as Joule heating.<sup>43,44</sup> However, reducing the built-in field to avoid Joule heat production cannot be considered a promising device optimization route, since there is unavoidable entropy generation also from charge carrier diffusion and extraction.<sup>45</sup> A more promising optimization route to avoid resistive transport- or other





**Fig. 3** (a) The three main preconditions for successful solar energy conversion: (i) photoexcitation, (ii) an excited state with a sufficient lifetime that allows for effective charge transport (drift or diffusion) (iii) two selective contacts.<sup>47</sup> (b) Principle of semipermeable membranes separating H<sub>2</sub> and O<sub>2</sub>. The different partial pressures between the chambers, increased by pumping of additional H<sub>2</sub> and O<sub>2</sub> (similar to the absorption of new photons in the solar cell), allows to separate the gases, if two semipermeable membranes are present. As long as the partial pressure of the gases in the middle is higher than in the outer compartments, the pressure gradient results in a driving force that separates the gases.<sup>14</sup>

bulk losses would be for example a maximization of the associated absorption-mobility-lifetime ( $\alpha\mu\tau$ ) products<sup>46</sup> and the design of optimized contacts.<sup>16</sup>

#### D Selective contacts as fundamental working principle

If we take a step back from the specifics of the pn-junction, we can identify the necessary prerequisites for a working PV device.<sup>13,47</sup> Needed is electron-hole pair generation by photoexcitation (i) an excited state with a sufficient lifetime (ii) and two distinct terminals, each one accepting only one charge carrier type (iii) as is pictured in Fig. 3a. The pn-junction realizes these terminals by doping, which leads to an asymmetry in electron and hole conductivities. At the left-hand contact predominately electrons are extracted since these are the majority carriers in the n-type region and their conductivity and concentration is high. In the p-type region on the other hand, the holes are selectively extracted at the contact for the same reason. The junction in between the layers collects the respective minority carriers. In this way, the n- and p-doped areas can be understood as being sandwiched between two so-called selective contacts. The principle on how these contacts facilitate charge separation, might be best understood in analogy to two gases separated by semipermeable membranes,<sup>14</sup> illustrated in Fig. 3b. The middle chamber is fed by a constant inflow of H<sub>2</sub> and O<sub>2</sub>, which is analogous to photogeneration of new electron hole pairs within the absorber. This leads to an increase in partial pressure, that drives out the gases towards the neighboring chambers. The semipermeable membranes on both sides, in analogy to the contacts of a solar cell, facilitate the separation by letting through one molecule, but rejecting the other.

**1 Definitions of contact selectivity.** Only recently two quantitative definitions of contact selectivity have been presented. The first approach is based on minority and majority charge carrier currents that are driven by concentration differences at the interface. The ratio of these currents defines the selectivity. The smaller the respective minority carrier

concentration compared to the majority carrier concentration, the better is the selectivity.<sup>48</sup> The second proposed definition explains selectivity in terms of contact resistances. The ratio of the ohmic resistance for majority carriers and the recombination resistance of the minority carriers defines the respective selectivity.<sup>49</sup> For a high selectivity, a contact with a maximum resistance for the minority carriers and a maximum conductance for the majority carriers is needed. Both definitions capture somewhat different physical situations.<sup>15</sup> However, what they have in common is that the selectivity depends (for most cases) exponentially on the built-in potentials, as described by Rau *et al.*<sup>15</sup> A greater difference in equilibrium carrier concentrations between the left and the right contacts, achieved by doping for example, increases the contact selectivity since it helps to minimize majority and minority carrier related losses at the contacts. This concentration difference correlates with the magnitude of the equilibrium built-in potential, however it is independent of how the E-field is spatially distributed within the absorber (ergo if the space charge region is small or large). In the following, we will stick to a rather qualitative description that allows to capture very different situations within the same framework. A contact is understood to be selective if it has a high conductivity for one of the carrier types and if recombination losses are minimized. There are multiple strategies to construct contacts with such favorable properties as will be presented in the next section. Notably, a built-in potential in direction of the current flow as pictured for the pn-structure above, is not universally needed for a working PV device.<sup>13,14</sup> Nevertheless, such an E-field is still helpful (and usually present), as it assists both the charge transport and the contact selectivity.

### III The toolbox for selective contact design in PV and PEC cells

In the following, we will provide some examples of how contacts of PV and PEC cells can be designed. We briefly look at the effect



of doping, as well as at different types of heterostructures including so-called semi reflective-, transport-, blocking-, dipole- and passivation layers, and at tunnel junctions. To start with, Schottky barrier-, ohmic- and solid/liquid-junctions are discussed in more detail since the latter are considered to be most relevant for PEC devices.

### A Semiconductor/metal interface – Schottky and ohmic junctions

An idealized semiconductor/metal interface can be fully described by the work function of the metal,  $\phi_m$ , the work function of the semiconductor,  $\phi_s$ , and its electron affinity,  $\chi$ . Let us first look at the case  $\phi_s < \phi_m$  in an n-doped semiconductor as depicted in Fig. 4a. After contact, the semiconductor Fermi energy equalizes itself to the Fermi level in the metal, as a finite number of electrons flow from the semiconductor into the metal. This results in a band-bending and a barrier of the height  $\phi_B = \phi_m - \chi_s$ . Such a Schottky contact shows the well-known diode-like rectifying current–voltage (CV) behavior due to the space-charge region, which is depleted of majority carriers.<sup>50,51</sup> The situation is different if  $\phi_s > \phi_m$ , pictured for an n-doped semiconductor in Fig. 4b. Now electrons from the metal occupy lower states within the semiconductor, again the Fermi levels align. Such a contact

has now a resulting CV characteristic that is comparable to an ohmic resistor and is therefore named ohmic contact.<sup>52</sup> Analogous alignment takes place in a p-doped semiconductor for  $\phi_s < \phi_m$  and  $\phi_s > \phi_m$ , as pictured in Fig. 4c and d. Again, a rectifying and an ohmic contact can be created. Therefore, one can build a PV device, regardless of the absorber doping, by simply using two different metals as contacts. The difference of the two metal work functions, together with the band gap of the semiconductor, limit the extractable photovoltage of such a device, which is called Schottky junction solar cell.

The contact selectivity in a Schottky solar cell can be understood from the symmetry between Fig. 4a and c compared to Fig. 4b and d. The same band-bending, which leads to a rectifying behavior for the majority carriers, is ohmic for the minority carriers and *vice versa*, simply because the band-bending is associated with a depletion or accumulation of electrons or holes. Although in principle, the preparation of Schottky contacts should be easy, this is not the case due to the intricacies arising from the atomistic properties of the metal/semiconductor interface. The above discussion was on idealized metal/semiconductor junctions, but several effects lead to imperfect contacts. One reason is the so-called Schottky effect, an unavoidable image-force induced lowering of the potential

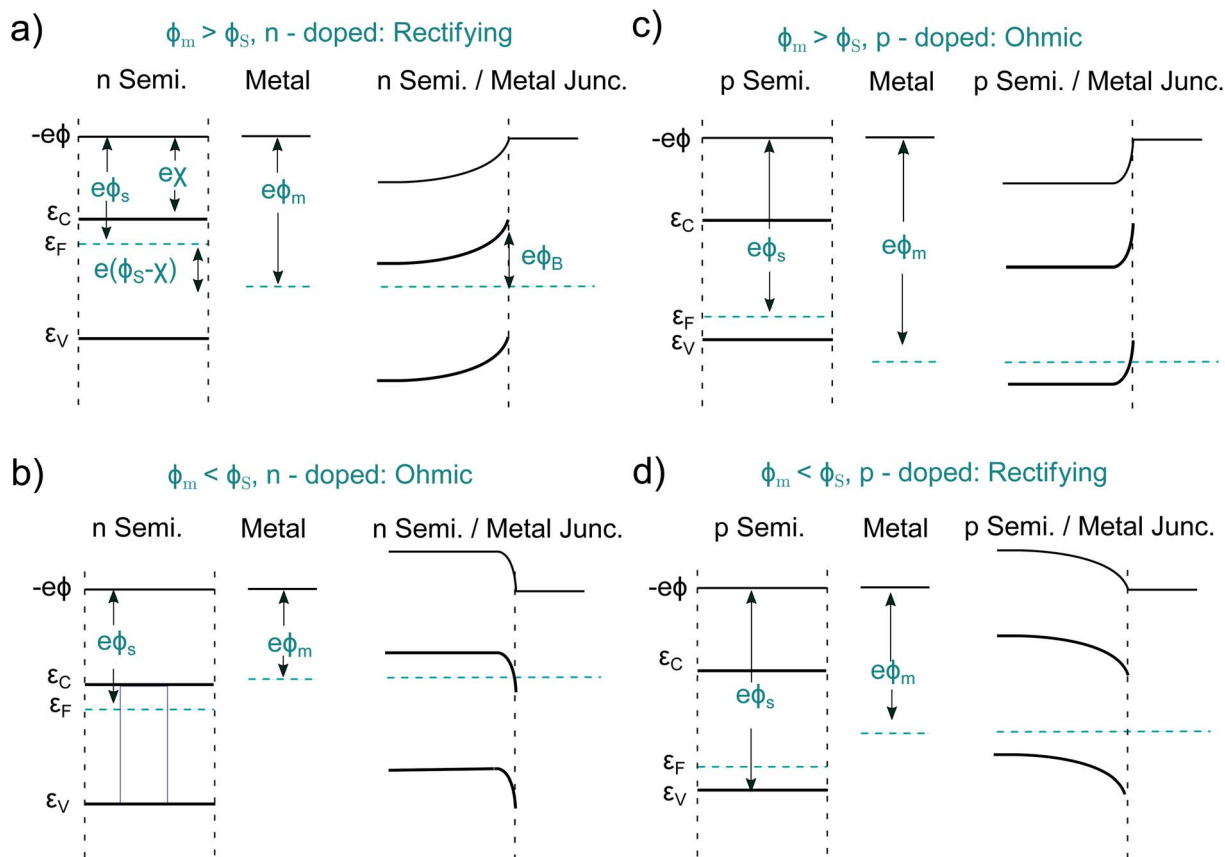


Fig. 4 Four types of idealized semiconductor/metal junctions. (a) Rectifying contact based on a n-doped semiconductor. (b) Ohmic contact based on a p-doped semiconductor. (c) Ohmic contact based on a n-doped semiconductor. (d) Rectifying contact based on a p-doped semiconductor. Note that the accumulation regions of ohmic contacts (b and c) are typically thinner than the depletion regions for Schottky contacts (a and d).



barrier. Even more important are surface- or interface states. If the number of interface states,  $D_{it}$ , between the metal and the semiconductor approaches infinity ( $D_{it} \rightarrow \infty$ ), the barrier height  $\phi_B$  becomes completely independent of the metal work function.<sup>50</sup> This is called Fermi level pinning. Surface states may even lead to the formation of ohmic junctions when one would expect at first a Schottky junction to form, as it was shown for n-Si and  $\text{WO}_3$  junctions.<sup>53</sup> That underlines that minimizing surface states is key when it comes to the preparation of Schottky contacts. In practice, however, the necessary exchange of minority carriers across a Schottky barrier almost always leads to a high level of surface recombination since a sufficient number of majority carriers remains present in the depleted region. In fact it turned out that some “well-working Schottky contacts” had actually been unintended pn-heterojunctions. This happened *e.g.* at the Cu contact of n-doped CdS, where p-doped  $\text{Cu}_2\text{S}$  was formed.<sup>14</sup> We will later point out similar effects at the semiconductor/electrolyte interface. Instead of a metal contact, transparent, conductive oxides (TCO's), such as fluorine-doped tin oxide (FTO) or indium tin oxide (ITO), are regularly used.<sup>54,55</sup> Alternatively, so-called metal-insulator-semiconductor- (MIS-) junctions can be created.<sup>56,57</sup> The insulator layer helps to passivate defects and thus to reduce recombination, while the majority carrier current flows effectively *via* tunneling. The two latter approaches already represent more elaborate (hetero-)contact designs addressing the shortcomings of “normal” Schottky contacts.

## B Semiconductor/liquid interface – quasi-Schottky and quasi-ohmic junctions

The basics for understanding the semiconductor/liquid interface were laid out by Gerischer. Already in 1966, he recognized that the redox potential  $\eta_{el}$  in an electrolyte is analogous to the Fermi level in a semiconductor.<sup>58–60</sup> The electronically accessible energy levels of the redox system ( $\text{DOS}_{\text{Red/Ox}}$ ) are given by two Gaussian distributions, one for the oxidized and one for the reduced component of the redox couple. The effective redox level (*i.e.*, the ‘Fermi’ level of the solution) lies in between both, generally close to where the  $\text{DOS}_{\text{Red/Ox}}$  of the two components is equal. If a semiconductor is placed into the electrolyte, equilibrium formation immediately demands the electrochemical potentials to equilibrate and a junction is formed. The change in electric potential necessary can drop either over the semiconductor or over the Helmholtz double layer (HHL), a several Å thin layer of (specifically) adsorbed constituents of the electrolyte. For an idealized semiconductor/electrolyte contact, one can assume the drop of voltage over the HHL to be small, and therefore the dominant part of the contact potential difference drops across the semiconductor. For highly doped semiconductors, however, most of the drop falls across the HHL, as it does for metal electrodes. It was demonstrated that the dark CV characteristic of such a junction behaves similarly to a Schottky contact.<sup>59</sup> Still, we must note that having an electrochemical potential in an electrolyte does not mean that a free electron gas is formed. Charge transfer processes within the electrolyte are instead connected to chemical reactions or

charge reorganization of the ions in the solution, which are typically many orders of magnitude slower than purely electronic processes. Nonetheless, the solid/electrolyte interface features depletion of majority carriers in the semiconductor and shows rectifying behavior. Thus, we understand it to be selective in a similar way as a Schottky contact. The depleted semiconductor/electrolyte interface is able to act as a selective contact for holes (photoanode) or electrons (photocathode) in a PEC cell. It is also possible to design a solid/liquid contact to be “ohmic”, as done for buried-junction PEC cells.<sup>7</sup> Photoanodes and photocathodes in water splitting PEC (half) cells are based on semiconductor/liquid junctions, but it is still unclear how selective these junctions are. Conventional Schottky contacts, as commonly prepared in the lab, are known to be very problematic selective contacts, due to multiple interface states and a typically high level of surface recombination. We expect the challenges to be generally similar for quasi-Schottky contacts that form at semiconductor/electrolyte interface. In the presence of an electrolyte, multiple species might be desorbed, adsorbed or surface reconstruction and (photo)corrosion can take place. All of this potentially leads to multiple surface states. On one hand, certain surface states can be needed for catalysis since they serve as reaction intermediates (as *e.g.* described for  $\text{Fe}_2\text{O}_3$  (ref. <sup>61</sup>)) for the water splitting (half) reaction. On the other hand, they can be harmful for the device performance since they represent potential recombination centers for the electrons and holes. To prevent recombination, only one carrier type should be present at the surface and irreversible trapping of these carriers in surface states should be avoided. Interestingly, some solid/liquid interfaces show photo- or potential-induced corrosion that is actually helpful in this regard. One prominent example is the intentional photo-corrosion of p-doped InP,<sup>62</sup> leading to a buried pn-junction in analogy to the above-discussed Cu contact of n-doped CdS. For other cell designs additional “catalyst” layers were shown to be predominantly inhibitors of surface recombination rather than significantly influencing the charge transfer kinetics.<sup>63,64</sup> Yet the latter examples show already a modification of the contact and should better not be considered as prime examples of the original solid/liquid junction according to Gerischer's description.

## C Doping

The best-known example of how doping can be used to create selective contacts is the pn-homo-junction already discussed in section IIC. A common way for further improvement is the so-called p-i-n structure. Here an intrinsic or weakly doped absorber is sandwiched between two highly doped contact layers.<sup>14,18</sup> In the highly doped n- and p-layers, the concentration of the minority carriers is very small, while the opposite is true for the majority carriers. This reduces recombination and strongly increases the conductivity for the majority carriers. This principle has also been used in photoelectrochemical devices. One example is a p-i-n structure created in a hematite photoanode, which led to a significant improvement of the photocurrent and the onset potential.<sup>65</sup> Also, gradient doping is



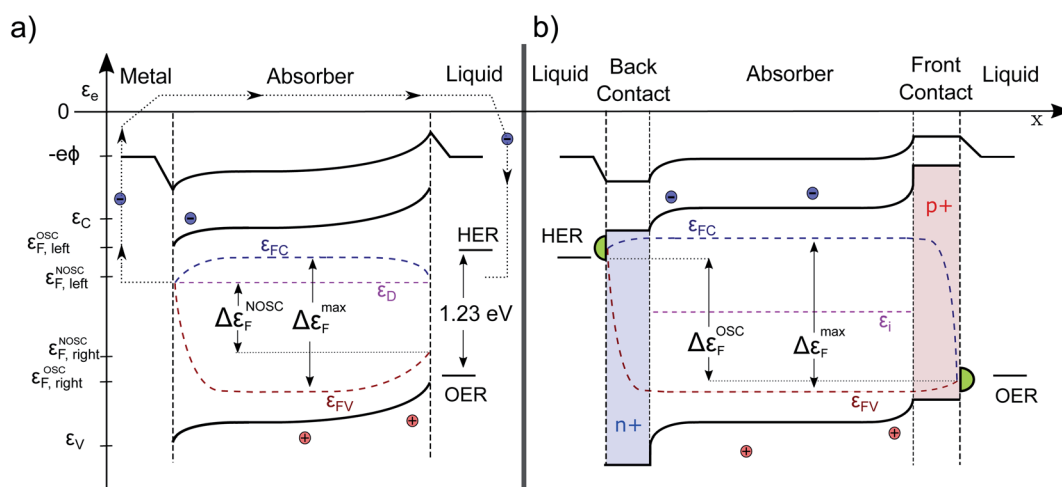
feasible.<sup>55,66–68</sup> In 2013, Abdi *et al.* achieved 4.9% STH eff. for a metal oxide-based, stand-alone solar water splitting device, based on a photoanode from BiVO<sub>4</sub> in a tandem configuration with c-Si.<sup>55</sup> Key element was the introduction of an n-type doping gradient in W-doped BiVO<sub>4</sub>, which helped to improve charge separation.

#### D Heterostructures: transport and blocking layers, window layers, dipole layers and tunnel junctions

Conventional heterostructures are made by combining two semiconductors with different electron affinities and/or band gaps, allowing to design band offsets. If also the work functions of the semiconductor (which can be manipulated by doping) differ, band bending is introduced, analogous to the case of pn-homojunctions. One example of such a pn-heterojunction is CdS-coated CuGaSe<sub>2</sub>, where the CdS “buffer” layer acts as an electron selective or hole blocking layer and facilitates charge separation by appropriate band alignment. Although such a system has been widely investigated for thin film PV applications, it has also been implemented as a photocathode for PEC water splitting.<sup>69</sup> However, next to typical semiconductors also other materials are feasible, as detailed below. To construct a well-functioning heterojunction, in terms of charge selectivity, one must use a material which provides an energetically favorable pathway for one type of charge carrier, while blocking the other type with a potential barrier. These layers are often referred to as hole blocking/electron transport layers (ETL) or electron blocking/hole transport layers (HTL) as illustrated in Fig. 5b. Other terminology can also be used to cover the function of the transport layer, these include “buffer layer” or “inter layer” and when transparent to the incident illumination the term “window layer” is also used.

Transport layers can be composed of inorganic materials, including metal oxides (*e.g.* ETL: SnO<sub>2</sub>, TiO<sub>2</sub>, ZnO, In<sub>2</sub>O<sub>3</sub>, Ga<sub>2</sub>O<sub>3</sub>,

and HTL: MoO<sub>x</sub>, V<sub>2</sub>O<sub>x</sub>, NiO<sub>x</sub>), metal chalcogenides (*e.g.* ETL: CdS, Zn(O,S), ZnS, ZnSe, and HTL: CuInS<sub>2</sub>, CuS), metal pnictides (*e.g.* ETL: AlInP), metal halides (*e.g.* ETL: LiF, HTL: CuI), or metal–organic coordination polymers (*e.g.* HTL: CuSCN), as well as, organic materials such as charge selective polymers (*e.g.* HTL: poly-3,4-ethylenedioxythiophene (PEDOT:PSS), poly[bis(4-phenyl)(2,4,6-trimethylphenyl)amine] (PTAA)), functionalised carbonaceous materials (*e.g.* ETL: fullerene, phenyl-C61-butyric acid methyl ester (PCBM), and HTL: graphene oxide, 2,2',7,7'-tetrakis [N,N-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene (spiro-OMeTAD)) or by functionalising the absorber surfaces with a molecular monolayer. Depending on certain factors, such as the fabrication parameters (particularly temperature), surface chemistries and the lattice alignment the resulting energy levels and work functions may differ drastically. This results in a diverse array of transport layers that can be interchangeable and combined to act as ETLs and HTLs for different absorber types, and are crucial for optimising cell performance. As an example, the n-type AlInP/AlInPO<sub>x</sub> is an inorganic ETL that was recently optimised for the record III–V based PEC device.<sup>8</sup> For p-type CuBi<sub>2</sub>O<sub>4</sub> photocathodes, it was found that there is a significantly improved photon-to-current efficiency when using a Cu:NiO<sub>x</sub> electron blocking underlayer.<sup>70</sup> One promising approach to form efficient charge selectivity is to functionalize the surface of the absorber with self-assembled monolayers (SAMs) of which some possess large dipoles. The adsorbed (dipolar) molecules modify the work function of the absorber surface creating an energetic barrier for a certain carrier type. Since the transport layer is effectively a single monolayer, the ohmic losses are minimized. This is sufficiently effective for efficient absorber materials which possess high carrier diffusion lengths and do not require large electric fields to assist in charge transport. SAMs have therefore been utilised to optimise the performance of PSCs<sup>71</sup> and act as highly efficient



**Fig. 5** Band diagrams of PEC devices under homogeneous illumination: (a) n-doped absorber layer with a metal back- and a liquid front contact. Surface recombination leads to voltage losses, therefore the extractable energy per electron hole pair  $\Delta\epsilon_F^{\text{NOSC}}$  is significantly smaller than  $\Delta\epsilon_F^{\text{max}}$ . For water-splitting, an additional bias voltage would be necessary. (b) Weakly doped absorber sandwiched between two additional contact layers added for optimization. The voltage losses are significantly smaller, therefore the extracted free energy  $\Delta\epsilon_F^{\text{NOSC}}$  is closer to  $\Delta\epsilon_F^{\text{max}}$ . Furthermore, an additional catalyst (in green) is added to minimize the overpotentials, necessary to run the reaction.



molecular scale transport layers.<sup>72</sup> Also tunnel junctions have proven to be a very efficient contact principle,<sup>8,10</sup> here a wide-band gap layer is deposited thin enough to passivate defects, but still allow a significant majority carrier tunnel current. Another example of a successful combination of both doping and heterostructure design is given by a photocathode realized using p-i-n doped a-Si sandwiched between ZnO and TiO<sub>2</sub> contact layers.<sup>73</sup> A more detailed description of different heterostructures possible is found elsewhere.<sup>19,74</sup>

### E Further approaches: mobility junctions, reaction kinetics, and energy-selective contacts

Some other approaches that do not fit directly into the scheme of homo- and heterojunctions should be mentioned. An interesting concept is the so-called ‘mobility junction’, in which a material with a high electron mobility (and low hole mobility) is contacted with a material with a high hole mobility (and low electron mobility). Such devices are expected to show high charge separation efficiencies.<sup>13</sup> Efficient carrier separation also plays a key role in natural<sup>75–77</sup> and artificial<sup>78</sup> photosynthetic water splitting processes. Here, carrier selectivity is realized by offering distinct kinetically favored reaction pathways that enable the spatial separation of the carriers. Such favorable reaction pathways can also play an important role for certain semiconductor/electrolyte interfaces.<sup>79</sup> A notable third generation approach is the so-called hot carrier solar cell (HC-SC),<sup>80–84</sup> here the carriers must not only be spatially separated, but also collected in a narrow energy range using energy-selective contacts. This avoids thermalization losses<sup>85</sup> and can be achieved with resonant tunnelling structures<sup>84</sup> with phononic band gaps,<sup>86</sup> which allow for long phonon lifetimes. The latter approach could, in principle, also be used for the construction of hot carrier photoelectrochemical water splitting devices.

### F Applied bias

Semiconductor photoanodes and cathodes are often investigated under an externally applied bias potential. A reverse bias leads to a wider depletion layer and a lower concentration of majority carriers at the interface.<sup>4</sup> It is especially the latter effect that leads to a higher selectivity, as discussed above. In addition, the drift current density increases throughout the absorber as described in paragraph IIC2 and modelled in the literature (mostly for the examples of pin-junctions, in which a wide-spread depletion is apparent).<sup>15,22,27,87</sup> Thus, the applied bias is increasing the collection efficiency by accelerating the transport and also reducing recombination losses by improving the selectivity at the interface. Still, one should not consider additional bias to be a reasonable pathway towards contact optimization. It obviously comes at the cost of an additional free energy loss that could hardly be afforded in an optimized standalone device. We will further discuss this in paragraph IV.

### G Summarizing the toolbox

All the above-mentioned considerations, including doping and heterostructure designs help to enable or improve contact selectivity in one way or another. Often one would use multiple

effects in one layer or combine them by adding further components. It is also important to realize that any carefully designed and optimized interface may change due to surface oxidation and/or reconstruction upon simple exposure to air or electrolytes, under illumination, or under applied potential in an electrochemical system.<sup>88</sup> Yet one must consider that even low concentrations of adsorbates or dissolved molecules can impact the surface work function. This may even lead to the dissolution of functional layers, or the formation of new interlayers. Clearly, such effects can be (and usually are) very harmful for the contact and the overall device operation. To qualitatively evaluate the potential improvements by contact optimization, we will compare a ‘conventional’ PEC cell to one with optimized contacts using some of the tools described above in the next section.

## IV The ‘conventional’ vs. the ‘optimal’ PEC cell

### A The ‘conventional’ PEC cell

Finally, we turn our attention to a complete photoelectrochemical cell. In Fig. 5a, a wide-band gap absorber under homogeneous illumination is displayed (the same absorber in the dark is depicted in Fig. 1b). It has a metal contact on the left and an electrolyte contact on the right. We assume the absorber to be an n-doped photoanode and therefore the left contact is ohmic for the electrons. The gradient in  $\epsilon_{FC}$  drives the electrons towards the left contact and it does so at the cost of some photovoltage. At the same time, also some holes are driven towards the contact due to surface recombination, but since they are minority carriers, the Fermi levels join closer to the conduction band. Analogous behavior is observed at the semiconductor/electrolyte contact. This time, due to the depletion of electrons and the accumulation of holes in the space-charge region, mainly holes reach the surface. The quasi Fermi levels bend towards the surface, depending on the magnitude of the surface recombination velocity (and would even join for a very high level of surface recombination within a certain state as present *e.g.* in a metal catalyst). The gradient in hole QFL  $\epsilon_{FV}$  reflects transport and surface recombination losses that reduces the Gibbs free energy ( $\Delta G$ ) that can be finally delivered to drive the chemical reaction. The band bending at the illuminated solid/liquid interface is reduced by the amount of photovoltage delivered (in comparison to the case in the dark pictured in Fig. 1b). The illustrated device with non-optimized selective contacts (NOSC) delivers not enough free energy to split water and there is a significant loss compared to available free energy as denoted by the bulk QFLS ( $\Delta\epsilon_F^{NOSC} < 1.23 \text{ eV} < \Delta\epsilon_F^{max}$ ). Such devices are often investigated with an additional bias potential that is applied to determine the point at which the water splitting reaction is more favorable than the back reaction ( $V_{Bias} + V_{oc}$ ) and to determine how much current could be delivered. The bias potential (that will also increase the space charge region) can originate from a potentiostat or from an additional solar cell (tandem device). A detailed description and a numerical modeling of the transport processes that occur at



the Schottky and quasi Schottky contacts can be found in the work of Iqbal and Bevan.<sup>30</sup> Electron and hole current continuity equations are solved simultaneously to capture both photocurrent and photovoltage. The VB, CB and QFLs align according to the numerical model as described by us qualitatively. The only difference is that there is no bending of the electron QFL ( $\epsilon_{\text{FC}}$ ) towards the liquid surface. This is because the electron transfer velocity towards the liquid is deliberately set to zero throughout the simulation. Such optimized surface contact is an assumption that generally cannot be made.

## B The “optimal” PEC cell

In Fig. 5b an improved configuration is sketched. The weakly doped absorber is sandwiched by two doped layers forming (buried) heterojunctions, which act as both selective contact and as capacitive element of the PEC cell. The back contact has a band alignment that does not allow holes to pass, furthermore it is strongly n-doped assuring a high electron conductivity. Thus, the voltage- and carrier-loss at the junction are minimized. At the front contact on the other side, the electrons are blocked, while the holes move with low resistance due to the high p-doping towards the electrolyte. The resulting voltage loss by surface recombination is likely to be significantly smaller. In such a configuration, the back contact is the photocathode and the front contact the photoanode. The delivered free energy per electron-hole pair is higher due to an optimized selectivity of the contacts (OSC) and close to the bulk maximum. In the sketched p-i-n structure the band bending appears only close to the contacts, since in an optimized device the  $\alpha\mu\tau$ -product should be large enough to ensure efficient transport by diffusion alone. Otherwise one could switch to an intrinsic absorber. Then the voltage drop would be more continuous over the absorber.<sup>22</sup> We note that, although the contacts are sketched to be symmetric in Fig. 5, this does not have to be the case. The voltage loss at one contact can be significantly higher than at the other.

It is instructive to see that the course of the electrochemical potential for the holes, in case of the conventional device (illustrated in Fig. 5a), is running nearly in parallel to the band-bending at the right hand contact. This is in full agreement with the intuitive picture of charge carrier separation driven by a built-in electrical field. Although this gradient indeed reflects a driving force, the energy needed for the transport of the charges has its origin in the electrochemical potential of the electron-hole pair, thus originating from the photon energy itself and not from the internal E-field. Therefore, one always has a trade-off between efficient charge delivery (current) and extracted energy per electron-hole-pair (voltage). This is indeed well-known also for a classical PV cell. The maximum current  $I_{\text{sc}}$  cannot be reached at  $V_{\text{oc}}$ . To get a high fill factor, it is desirable to minimize the gradient in Fermi level that is needed for charge transport and separation to finally obtain a maximum voltage. Similarly we can understand the reaction kinetics in the PEC cell. If the kinetics, for example for the OER, are too slow, this must be compensated by a higher overpotential. A high-performance catalyst, pictured in green in Fig. 5, is most

likely to be needed for an optimal PEC cell and can minimize this loss mechanism.<sup>18</sup> Detailed numerical modelling of p-i-n structures that backs up our qualitative descriptions has been performed multiple times: we find especially the work in ref. <sup>15</sup>, <sup>22</sup> and <sup>27</sup> very instructive. We refrain here from our own modeling since the performance of any structure would simply depend on the input parameters, which are for novel absorber/contact layers typically not known, yet.

**1 Further considerations for optimal PEC devices.** Next to the optimal-absorber, contact and catalyst properties other issues such as light management, stability and mass transport within the electrolyte need to be addressed. Regarding the photon management, a tandem configuration consisting of two absorbers is considered to be optimal for a water-splitting PEC cell.<sup>18</sup> This is because two well-chosen absorbers should be able to provide sufficient photovoltage to drive the electrochemical water splitting reaction (1.23 V + overpotentials).<sup>89</sup> Once a sufficient photovoltage is generated, any increase in overall energy conversion efficiency can only be achieved by increasing the photocurrent density at the respective working potential. This is in notable contrast to a PV cell, where the efficiency is always determined by the product of photovoltage and photocurrent. The efficiency of a multijunction PV tandem cell can, therefore, always be increased by increasing the number of absorbers. For the photoelectrochemical device it is further important that every additional layer, including the catalyst and the electrolyte, should have close to no parasitic absorption, and only minimal reflection losses. To also minimize the free energy losses originating from enthalpy expansion, light concentration<sup>90</sup> or light trapping<sup>91,92</sup> are likewise feasible and should be implemented. Clearly the device must also be stable for years and minimize resistive losses due to the transport of reaction educts and products. Unfortunately, there are cumbersome conflicts of objectives between these optimization goals, such as the tradeoff between mass transport and parasitic optical absorption in the electrolyte.<sup>93</sup> A careful choice of all components, in particular of the absorber, the contact layers and the catalyst is urgently required. Some of our general suggestions to construct highly efficient devices have also been made by other authors. For example Lichterman *et al.* proposed to use overlayers that are stable, catalytically active, have a built-in electronic asymmetry, are optically transparent and show a low resistance, a set of requirements for which they coined the term “SABOR”.<sup>94</sup> By using such elaborate structures it is certainly possible to reach reasonable efficiencies, but the differentiation between a PEC cell and a directly coupled PV-EC device becomes less distinct.<sup>95,96</sup>

**2 Promising research directions.** Our general statements imply significant consequences for the optimization of the overall PEC cell design and the best order of process steps towards this goal. The PEC performance and stability of a material itself are not giving too many insights at the very beginning, as they can change dramatically with the respective contact layers. To find a suitable absorber, the first focus should be set on the optical properties (high absorption and a uniform photo-generation yield<sup>97,98</sup> in the desired wavelength regime), followed by the need for good charge transport properties (high mobility,



long lifetime). Subsequently, the electronic structure must be analyzed in detail to scrutinize if bulk defect states, polaron<sup>99</sup> or exciton<sup>100</sup> formation leads to additional intrinsic voltage losses. Here, absolute photoluminescence measurements can play a critical role in identifying the QFL splitting in the bulk and thus the maximum net extractable photovoltage of the absorber.<sup>101,102</sup> If a suitable absorber (which would also make a great LED<sup>103</sup>), is found, selective contacts according to the design principles outlined in this manuscript need to be constructed. Especially highly doped heterostructures proved to be a promising approach for the contact design, but also dipole layers or certain co-catalysts were shown to be effective. Another focus should be put on *in situ/in operando* experiments to analyze the potentially highly dynamic nature of the contact layers to the electrolyte using methods such as ambient-pressure photoelectron spectroscopy or advanced operando optical spectroscopy.<sup>104</sup> They might demonstrate unexpected self-formation of blocking, passivating or conducting layers, for instance by (photo)corrosion.<sup>88</sup> Last, but not least, this perspective underlines again that the differences between PV-driven electrolysis and various PEC cell configurations must be seen mainly in the integration depth, since the basic light absorption, charge separation and chemical principles as well as the overall thermodynamic constraints remain the same. We hope the described design principles can enable the construction of more efficient PEC devices in the future.

## V Summary

To summarize, we argued that, just like in a PV cell, the only driving force for charge separation in a PEC device is the gradient of the electrochemical potential. The built-in E-field in the junction, predominantly present in the dark, is not in general sufficient to explain the direction of current flow, although it facilitates charge transport in many device structures. Most importantly the built-in field serves as a capacitive element that allows a photovoltage to develop. We find the contact selectivity to be a key guiding principle for the design of efficient devices and several advanced approaches to construct highly selective contacts were discussed. We further described a complete PEC device qualitatively and identified several possibilities for optimization. Current and voltage losses can be reduced in bulk by maximizing the  $\alpha\mu\tau$  product and losses at the contact can be minimized by ensuring a high charge carrier selectivity. All loss mechanisms are visible as gradients of the quasi Fermi levels, their maximum splitting corresponds to the maximum free energy available to drive the electrochemical reactions. This illustrates the importance of knowing the position of the quasi Fermi levels at every point in the device. Moreover, it suggests that quantum efficiency and photocurrent measurements, while useful, only tell part of the story. To achieve high fill factors and high STH efficiencies, charge transport should be efficient even in the absence of either internal or externally applied electric fields. We hope that this work contributes to a better understanding of the processes underlying charge transport and separation in PEC devices and to shift the attention of the community from “idealized” and

“conventional” solid–liquid junctions towards a more general concept of selective contacts that are needed to construct an efficient PEC device for solar energy conversion.

## Conflicts of interest

There are no conflicts to declare.

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