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Introduction

Bi(hetero)aryl motifs are widely found in bioactive natural products and are commonly utilized as chiral auxiliaries and ligands.¹ These compounds have been synthesized by transition metal-catalyzed coupling between aryl halides (RX) and aryl metal species (RM) which requires pre-functionalization of the parent (hetero)arenes. Transition metal-catalyzed dehydrogenative C–H/C–H coupling may provide an appealing option.² In addition, several non-metal protocols,³ such as hypervalent iodine chemistry,⁴ electrochemical oxidations,⁵ and photoredox catalysis,⁶ have also emerged. In many cases, however, control of chemo (homo- vs. heterocoupling)- or regio-selectivity remains challenging. For instance, oxidative functionalization of phenols may lead to homo-coupling and/or quinone formation.⁷ Control of site-selectivity of phenols $(o_1, m_1, p_1, \text{ and } O_1)^8$ and indoles⁹ also presents a prominent challenge in the absence of directing groups for which extra steps are required for the installation and removal.¹⁰

We anticipated that inverting the polarity of nucleophilic indoles may enable precise control of chemoselectivity for the

Ortho-selective C–H arylation of phenols with N-carboxyindoles under Brønsted acid- or Cu(I)-catalysist

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Control over chemo- and regioselectivity is a critical issue in the heterobiaryl synthesis via C–H oxidative coupling. To address this challenge, a strategy to invert the normal polarity of indoles in the heterobiaryl coupling was developed. With N-carboxyindoles as umpoled indoles, an exclusively ortho-selective coupling with phenols has been realized, employing a Brønsted acid- or Cu(I)-catalyst (as low as 0.01 mol%). A range of phenols and N-carboxyindoles coupled with exceptional efficiency and selectivity at ambient temperature and the substrates bearing redox-active aryl halides (-Br and -I) smoothly coupled in an orthogonal manner. Notably, preliminary examples of atropselective heterobiaryl coupling have been demonstrated, based on a chiral disulfonimide or a Cu(I)/chiral bisphosphine catalytic system. The reaction was proposed to occur through S_N^2 substitution or a Cu(I)–Cu(III) cycle, with Brønsted acid or Cu(i) catalysts, respectively. **EDGE ARTICLE**
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oxidative C–H heterobiaryl coupling.¹¹ In the past, C3-umpoled indoles were accessed through electrophilic activation, $12a, b$ oxidation,^{12c,d} or hydroarylation of *N*-acylindole derivatives.^{12e-g} More recently, the groups of Kita, Yorimitsu, Procter, and Maulide have developed metal-free C–H heteroarylation based on the activation of sulfoxides.13,14 In this context, Yorimitsu and coworkers reported an ortho-selective coupling of phenols with the activated (hetero)aryl sulfoxides that was proposed to proceed through an interrupted Pummerer attack to form the sulfoxonium I and charge-accelerated [3,3]-sigmatropic rearrangement to afford the biaryl compound.^{15a} Procter and coworkers developed heteroarylation of phenols with activated benzothiophene-S-oxides,^{15b-d} which occurred selectively at the ortho-^{15c} or para-position^{15d} of phenols (Scheme 1A).

In continuation of our interest in aliphatic Umpolung chemistry based on N–O bond redox,¹⁶ we turned our attention to the aromatic Umpolung by way of N-hydroxyindoles which was pioneered by Somei and coworkers.¹⁷ Somei and others disclosed C3-arylation of indoles via [3,3]-sigmatropic rearrangement of N -carboxyindoles¹⁸ that were accessed by nucleophilic aromatic substitution $(S_NAr)^{18a,b}$ or by the O-arylation of N-hydroxyindoles with biaryliodonium salt.^{18c} S_N2['] substitution N-hydroxyindoles with indoles and pyrroles was also demonstrated (Scheme 1B).^{18d,e} Nonetheless, these biaryl coupling had extremely limited scope and occurred with only modest yields and selectivity. More recently, Buchwald and coworkers demonstrated Cu-catalyzed asymmetric alkylation of N-carboxyindoles.¹⁹ Despite these advances, the development of a general and selective oxidative C–H arylation protocol based on the N-hydroxyindole derivatives as umpoled coupling

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Scheme 1 Inversion of polarity in the heterobiaryl coupling.

partners remains elusive. We disclose herein two catalytic systems for the union of umpoled indoles 1 with phenols 2, viz. HNTf₂ or $\left[\text{Cu(OTf)}\right]_{2} \cdot \text{C}_{6}\text{H}_{6}$, that operate at ambient temperature with exceptional efficiency, generality, and chemo- and regioselectivity (Scheme 1D). Details of this discovery, preliminary enantioselective control of atropisomerism, and the mechanistic study are described.

Results and discussion

The requisite N-hydroxyindole derivatives were synthesized according to the literature.²⁰ Particularly, for the C2-substituted N-hydroxyindoles used in this study, a sequence comprising Sonogashira coupling of o-halonitrobenzenes, partial reduction into hydroxylamines, and Larock-type cyclization, were found general.^{20d} With an N-carboxyindole 1a and 3,5-dimethoxyphenol 2a as prototypical substrates, we set out to explore the possibility of a non-metal catalyzed C–H/C–H coupling, employing various Brønsted acids (entries $1-6$, Table 1).^{21,22} Weak acids underwent a sluggish conversion to 3aa (entries 1 and 2). The product 3aa had two inequivalent methoxy groups in the 1 H and 13 C NMR spectra and was assigned as an orthoisomer to the OH, which was later confirmed by the singlecrystal X-ray diffraction analysis of a related 3ba.²² Superacids, such as TfOH, $HBF₄$ or $HNTf₂$ significantly accelerated the formation of 3aa, reducing the reaction time to several hours (entries 3–5). Unlike TfOH and HBF_4 which were not completely

Table 1 Coupling of 1a and 2a: Brønsted and Lewis acid catalysts^a

^a **1a** (0.1 mmol), 2**a** (0.2 mmol) and catalyst (10 mol%) in CHCl₃ (0.1 M).
^{*b*} Determined by ¹H NMR with CH₂Br₂ as an internal standard. $^{\prime\prime}$ Determined by ¹H NMR with CH₂Br₂ as an internal standard.
^c Starting 1a remained. ^{*d*} 0.2 M in CHCl₃. ^{*e*} The catalyst was added as a stock solution in CHCl₃ (entry 7) and in EtOAc (entries 16–18). Messy mixture due to decomposition of 1a. $\frac{g}{2}$ -Ph-indole 4a (10%) was observed as byproduct. h CHCl₃ (0.05 M). ^{*i*} 2a (1.2 equiv.).

soluble, $HNTf₂$ formed a homogeneous solution in chloroform and gave more reproducible results. The reaction was further accelerated at a higher concentration (entry 6). Reduction of the catalyst loading to 1 mol% still maintained significant activity, furnishing 3aa in 85% yield in a day (entry 7).

Although $HNTf_2$ provided an efficient metal-free catalytic system, we went on to examine Lewis acids for more efficient cross-coupling (entries 8–18, Table 1; see Table S1† for full details of optimization).²² Lewis acids, such as $Fe(OTf)_{3}$ and $Zn(OTf)₂$ gave excellent yields of 3aa, although it took several days (entries 7–9). A dramatic acceleration could be observed with $Cu(OTf)_{2}$, but the reaction was accompanied by the extensive decomposition of starting materials and 3aa was obtained in only 53% yield (entry 11). Reducing the amount of $Cu(OTf)_2$ gave marginally improved yield (entry 12). On the other hand, $Cu(i)$ catalysts were significantly more efficient (entries 13–15). For instance, the reaction with $\left[\text{Cu(OTf)}\right]_2 \cdot C_6H_6$ was complete only in 5 min at room temperature, delivering 3aa in 76% yield (entry 15). To our initial surprise, lowering the catalyst loading and diluting the reaction mixture led to a higher yield (entries 16 and 17; Table S4†). Presumably, this is due to poor solubility of $\left[\text{Cu(OTf)}\right]_2 \cdot C_6H_6$ in CHCl₃ and only a tiny amount of soluble catalyst mediated the reaction, while excess Cu-catalyst led to unselective decomposition of 1a. This observation led us to lower the catalyst loading to 0.01 mol% of

 $[Cu(OTf)]_2 \cdot C_6H_6$, to obtain 3aa in quantitative yield (entry 17). When the amount of nucleophile 2a was reduced to 1.2 equivalent, 89% of 3aa was still obtained, attesting to the remarkable chemoselectivity of this protocol (entry 18).

With two different cross-coupling conditions established (conditions A: 10 mol% of $HNTf_2$ and conditions B: 0.01 mol% of $\left[\text{Cu(OTf)}\right]_2 \cdot C_6H_6$, we explored the general applicability of the phenol nucleophiles in the heterobiaryl coupling with umpoled indole 1a (Table 2). Initially, monocyclic phenols (2a–2p) were

 a Reaction conditions: 1a (0.1 mmol) and 2 (2 equiv.) in CHCl₃; conditions A (10 mol% of $HNTf_2$, in CHCl₃ (0.2 M)) and conditions B (0.01 mol% of $\text{[Cu(OTf)]}_2 \cdot C_6H_6$ in CHCl₃ (0.05 M)); isolated yield after chromatography. ^b Regioisomeric ratio $(H_a : H_b)$. ^c 20 mol% of HNTf₂.
^d 0.1 mol% of [Cu(OTf)]₂ · C₆H₆. ^e At 60 °C.

employed in the reaction. Not surprisingly, electron-richer phenols underwent more facile coupling (e.g. 2a vs. 2b; 2i vs. 2j; 2k vs. 2l). Phenols having ortho-substituents (2e–2g) underwent markedly slower reaction, suggesting developing steric congestion in their transition states. Interestingly, an electrondonating group at the m-position of phenols, accelerated the coupling reaction, compared to the p-position (e.g. $2i$ vs. $2k$; $2j$ ν s. 21). This is presumably because the *m*-substituent is placed para- to the reacting center, increasing the electron-density in the proposed [3,3]-sigmatropic rearrangement (e.g. in IV to 3, Scheme 1C). Likewise, the arylation of 2c preferentially occurred at H_a having -OMe (rather than Me) at the p-position. Notably, in case regioisomeric mixture was obtained $(2c, 2h-i, 2n,$ and $2t)$,²³ similar ratios were noted in both catalytic systems, which led us to posit that they partly share the same catalytic manifold. In general, Cu(I)-catalyst had the more general scope and can be reliably employed for substrates that are challenging with HNTf₂ catalyst (*e.g.* 2f and 2p). Fused phenols (2q–2ad) were then examined and they also underwent smooth coupling with 1a with exclusive selectivity for the ortho-position. For example, 1-naphthol $2q$, H_4 -1-naphthol $2r$, and 2-naphthol $2s$ underwent uneventful coupling to form 3aq–3as, as their single respective regioisomers. Unexpectedly, H4-2-naphthol 2t slightly favored substitution at the less activated C1 over C3 $(cf. 2d)$, which is presumably due to a subtle conformational influence. Easily oxidized catechol derivatives $(2p \text{ and } 2v)$, and phenols embedded within indoles $(2w$ and $2x)$, carbazole $(2y)$, and phenanthrol (2z) smoothly reacted. Functional groups such as esters (2p), bromo- (2aa, 2ab), chloro- (2ac), and iodoarenes (2ad) furnished respective products uneventfully. Compatibility of these aryl halides suggested that the current protocol could be used in an orthogonal fashion to other transition metalcatalyzed coupling. The current ortho-selective indolylation provided a unique opportunity for the modification of phenolic natural products. For example, TBS-protected resveratrol 2ae²⁴ coupled with 1a only at H_a using HNTf₂ (condition A), although the yield of 3aae was modest (28%). Alternatively, with Cu(i) catalyst (condition B), exclusive indolylation at H_b was obtained to give its regioisomer 3aae' in 71% yield.²³ Although a proper rationale for this divergence could not be found at the moment, the ability to switch regioselectivity in a catalyst-dependent manner must be highly useful. With some phenols (2m and 2w–2y), a reduced indole 4a from 1a was observed in varying amounts. However, even in these cases, side reactions such as oxidative dimerization into 2,2'-biphenol or 3,3'-bisindole derivatives could not be observed, which suggested highly chemoselective coupling of 1a. To demonstrate the practicality of the current method, synthesis of 3aa and 3ak was conducted in a gram scale (3 mmol of 1a), giving comparable yields (3aa: 75% (condition A) and 97% (condition B); 3ak: 48% (condition A) and 68% (condition B)). Lastly, 2,6-disubstituted 2af with both ortho-positions blocked underwent p-arylation instead, but very slowly. It should be pointed out that the current coupling requires unprotected phenol and did not work with anisole derivative such as 2ag, even under forcing conditions (60 \degree C, both conditions A & B). Likewise, other electron-rich (hetero) arenes, such as indoles, pyrrole, and benzofurans, failed to Edge Article

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provide the corresponding coupled product, under both conditions A and B (for a list of unsuccessful nucleophiles, see Chart S1†).

We then inspected substituted indoles for generality, using 2a as a phenolic partner (Table 3). The presence of various aryl groups at C2 having different electron-density were well tolerated as in the formation of 3ba–3fa. Various groups at C2, such as 2-naphthyl (3ga), 1-naphthyl (3ha), and alkyl groups (3ia–3la) were also allowed. Next, substitution at the C4–C7 of indoles was investigated. Those with 6-CF₃ $(1m)$, 6-Me $(1n)$, 5-F/6-F/7-F (10, 1p, and 1q), 4-Br (1r), and 6 -CO₂Me (1s) all reacted smoothly. Despite steric hindrance, indoles having a 4-Mesubstituent (1t–1v) delivered the corresponding products 3ta– 3va uneventfully.

Notwithstanding the generality demonstrated in Table 3, the feasibility of the coupling with phenols requires the presence of C2-substitution. For example, C2/C3-unsubstituted indole derivative 1w failed to give the desired product under both conditions. Instead, with $HNTf_2$ catalyst, a mixture of Nsubstitution products 5wa and 5wa['] were obtained in modest yield (eqn (1) , Scheme 2). Presumably, in the absence of flanking C2-substituents, a C-attack of phenols that is sterically more demanding than an O-attack may be preferred. Substrate 1x having C3–Me moiety underwent C3-selective coupling followed by cyclization, providing a benzofuroindoline 6xa in low yield (eqn (2)). In contrast, 1y with a C3-Ph group failed to couple with 2a but instead underwent unprecedented 1,5-carboxy rearrangement to afford 7y in modest yield (eqn (3)).

^{*a*} Reaction conditions: **1a** (0.1 mmol) and **2** (2 equiv.) in CHCl₃; see text for conditions A and B. Isolated yield after chromatography. b 20 mol% of HNTf₂. \degree At 60 \degree C.

Scheme 2 Reactions of differently substituted N-carboxyindoles.

Then we turned our attention to atrop-selective indolylation of phenols. Synthesis of axially chiral indolyl biaryl compounds has been previously realized by chiral Brønsted acid,²⁵ and transition metal catalysts.²⁶ However, given the unprecedented reversed polarity of indoles, it is highly intriguing whether the current heterobiarylation protocol can be rendered enantioselective. Toward this end, 1z having 4-Me and N-mesitylene carboxylate as a leaving group was prepared (Scheme 3). Two catalytic systems appeared as viable solutions: a disulfonimide (R) -DSI-1 (10 mol%) delivered the coupled product 3zr in almost quantitative yield, albeit with a low 27% ee. To our delight, $Cu(i)/DM$ -Segphos combination delivered 3zr in 54% ee. The modest yield in the latter case was due to the extensive decomposition of 1z into the reduced indole 4z (68%) and $1,1'$ bi(2-naphthol) (29%). These preliminary results offer an outstanding prospect of successful enantio-control in the future. Chemical Science Fouriers Article components (article is article in the commercial interesting on the creative Commons are the common and the creative Commons are as a sphered of the published published published publishe

> Having established the generality in the indolylation of phenols as well as the feasibility of the asymmetric synthesis, we then conducted a kinetic analysis of the $Cu(1)$ -catalyzed system that gave consistently high yield (>95%) with little sideproducts. The reaction was determined to be the first order in both 1a and 2a, and a half order in dimeric $\left[\text{Cu(OTf)}\right]_2 \cdot C_6H_6$. The latter result suggested that the dominant form of the Cuspecies is an inactive off-cycle dimer that is in equilibrium with an active monomeric $Cu(1)$ complex.²⁷

Scheme 3 Preliminary atropselective indolylation of 2-naphthol.

The most enlightening piece of evidence was obtained from the deuterium labeling study (Scheme 4). For the measurement of the kinetic isotope effect, we prepared d -1a and d_3 -2a,²² and the $k_{\rm H}/k_{\rm D}$ was determined from the rate constants $k_{\rm obs}$ $({\rm s}^{-1})$ measured in separate reactions.²⁸ The reaction of d -1a with 2a showed a significant primary kinetic isotope effect $(k_H/k_D = 1.72)$ and in the reaction of 1a and d_3 -2a, k_H/k_D (2.08) were observed (eqn (4) and (5)). When both d-1a and d_3 -2a are deuterated (eqn (6)), a combined isotope effect was manifested. These kinetic isotope effects suggested that re-aromatization step $\left(\mathbf{IV} \text{ to } 3\right)$ in which both the C3-H bond of the indole d -1a and the *ortho-*C-H bond of the phenol d_3 -2a are cleaved may, at least in part, limit the turnover. Interestingly, significant incorporation of deuterium at the N1 of 3aa was observed when deuterated phenol d_3 -2a was employed. For instance, employing d -1a as the only deuterium source resulted in a minimal introduction of d-atom (eqn (4)), but the use of d_3 -2a resulted in a larger degree of d-incorporation at N1 (eqn (5)). This indicated the N1–H hydrogen mostly came from the ortho-hydrogen of the phenol 2a.

Based on the above data, the mechanism of the heterobiaryl coupling of umpoled indoles was put forward as in Scheme 5. From the precedents of [3,3]-sigmatropic rearrangement of Nphenoxyindoles III to the product 3 (Scheme 5A), we initially considered similar routes for both Brønsted acid- and Cu(I) catalysis. However, the required S_N^2 attack forming a weak N-O bond (50–60 kcal $\mathrm{mol}^{-1})$ was difficult to imagine. 29 To this end, we attempted to prepare N-phenoxy-2-Ph-indole 9 from the reaction of N-hydroxy-2-Ph-indole 8 with a benzyne precursor (eqn (7)). We could not observe 9 during the reaction, and the corresponding [3,3]-sigmatropic rearrangement product 10 was directly obtained in 48% yield in 2 h. This result suggested that the [3,3]-rearrangement of the intermediate 9 is not catalyzed by H^* or Cu(I). Furthermore, in contrast to our current protocols which required electron-rich phenols for efficient conversion, unsubstituted phenol underwent smooth [3,3]-rearrangement at rt. These led us to consider an alternative mechanism.

Scheme 4 Kinetic isotope effect.

Scheme 5 A proposed mechanism

During the study on the asymmetric heterobiarylation (Scheme 3), we inspected the effect of N-carboxylate leaving groups on the %ee of the product 3zr, employing a set of Ncarboxylates of varying steric and electronic demand $(4-CF_3C_6$ - H_4CO_2 -, 4-MeO-C₆H₄CO₂-, and 2-Naph-CO₂-; Tables S5 and S6 in ESI†). Interestingly, with Brønsted acid (R) -DSI-1, the %ee of 3zr varied widely from 13% ee to 19% ee, depending on the carboxylates. In contrast, the %ee of 3zr with Cu(I)/DM-Segphos system remained almost similar (67–69% ee) irrespective of the leaving carboxylates. This suggest that the carboxylates play a role in the enantio-determining step of Brønsted acid-catalysis but not in the Cu(i)-catalysis. A concerted $S_N 2'$ mechanism^{18d,e} where the sulfonimides bind both to the N-carboxylate and the phenols (TS-1) may account for such an outcome (Scheme 5B).³⁰ In contrast, with $Cu(I)/L^*$ system, the enantio-determining step may come after the liberation of the carboxylate and the %ee of 3 may be insensitivity to the carboxylates of 1. Oxidative addition of Cu(I) into N-O bond in 1 to from aza-allyl Cu(III) $V,$ ¹⁹ followed by ligand exchange with phenols, and reductive elimination from VI to IV may account for the observation. In the reaction of 1 with a stoichiometric amount of Cu-complex (1:Cu $= 1:1$ in the absence of phenols, immediate $(1 h)$ decomposition of 1 was noted, further suggesting an oxidative addition of $Cu(_I)$ into 1.

As Somei suggested,^{18e} the carboxylate O-atom deviates from the indole plane (θ) as a result of repulsion between N- and O-lone pairs, which becomes more pronounced with a bulky C2 substituent on the indole 1 as in our case. The resulting $sp³$ like N1-atom may assist the proposed S_N^2 substitution by aligning the $\sigma^*(N-O)$ parallel to the $\pi^*(C=C)$ for facile nucleophilic attack at C3 or may facilitate the oxidative addition by allowing coordination of Cu(d) with both $\sigma^*(N-O)$ and $\pi^*(C=C)$ orbital.³¹

In the atrop-selective processes (Scheme 3), the incipient centered chirality in IV may be transferred to the axial chirality in 3 via a TS-2. The observation of small but discernible primary kinetic isotope effect with both d -1a and d_3 -2a could be rationalized by the simultaneous intramolecular proton transfers in the transition state such as TS-2.

Conclusions

In summary, we have developed an efficient ortho-indolylation of phenols, based on Brønsted acid- or $Cu(1)$ -catalyzed reaction of N-carboxyindole derivatives. We demonstrated that such polarity-inverted indoles participate in the C–H arylation with phenols, which is unprecedented to the best of our knowledge. We proposed that the reaction proceeded through an $S_N 2^{\prime}$ substitution for Brønsted acid catalysis or through oxidative addition for $Cu(i)$ -catalysis. The sp3 hybridized nitrogen of the N-carboxyindoles was proposed to facilitate both processes. We also demonstrated a preliminary atropselective biaryl synthesis. Efforts to improve the enantioselectivity and to understand the mechanistic underpinning more precisely are currently underway and will be reported in due course. Chemical Science

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Author contributions

C.-M. P. discovered the transformation and performed the initial study with $Cu(OTf)_2$ catalyst. N. H. N. and S. M. O. performed all the experimental work. S. S. conceived Brønsted acidand $Cu(i)$ catalysis, directed the project, wrote the paper, and designed mechanistic study. All authors discussed the results and commented on the manuscript.

Conflicts of interest

The authors declare no conflict of interest.

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