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# Photoluminescent polymer micelles with thermo-/pH-/metal responsibility and their features in selective optical sensing of Pd(II) cations†

 Haruka Takebuchi and Ren-Hua Jin \*

Photoluminescent polymers can be divided into two types of structures: one is the well-known conventional  $\pi$ -conjugated rigid chain polymers bearing  $\pi$ -conjugated chromophores in their side chains, and the other is the common flexible polymers without  $\pi$ -conjugated chromophores in their main or side chains but with a feature of clustering electron-rich and/or dipole groups in their main and/or side chains. In this work, we found a new photoluminescent polymer comprising theophylline (T) and imidazole (I) residues in a suitable ratio in the side chains on the common polystyrenic block (PVB-T/I). We synthesized a block copolymer (denoted as P2) consisting of hydrophobic PVB-T/I and hydrophilic poly(*N*-isopropylacrylamide), and we investigated its self-assembly into micelles and their micellar features, such as thermo-responsibility, fluorescence emission, pH, and metal ion-dependent photoluminescence, in detail. Especially, the micelles self-assembled from P2 showed intrinsic blue emission which was emitted from the charge transfer association between T and I residues in the intra-chains. Weakening the association by adjustment of the pH or addition of metal ions could evidently reduce the photoluminescence in the micellar state. Very interestingly, among many metal cations, only Pd<sup>2+</sup>, which can chelate strongly with theophylline, strongly quenched the photoluminescence from the micelles. Therefore, the polymer micelles functioned as an optical sensor for Pd(II) ion not only by spectroscopy but also with the naked eye.

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## Introduction

Amphiphilic macromolecules have great potential for accessing functional nanomaterials because their hydrophilic and hydrophobic components prefer self-organization in aqueous media, affording nanostructured entities such as spherical micelles, rods, worms, vesicles and other higher-level hierarchical structures.<sup>1a–e</sup> Amphiphilic macromolecules used in functional nanomaterials are also called smart polymers, which can respond to heat,<sup>2–5</sup> pH,<sup>6–8</sup> light,<sup>9–13</sup> and solvents.<sup>14–16</sup> These smart polymers can be applied in the fields of nanosensors, bioimaging, catalysts, *etc.* Among them, temperature-responsive copolymers with a lower critical solution temperature (LCST)<sup>2–5</sup> and upper critical solution temperature (UCST)<sup>17,18</sup> have been studied most widely. As is well known, poly(*N*-isopropylacrylamide) (PNIPAM) is often used as an LCST-type polymer, and it shows a sharp coil-globule phase transition at temperatures near 32 °C.<sup>19–23</sup> The design of copolymers possessing PNIPAM blocks or segments in different structural dimensions is a very attractive and desirable process

to approach thermo-responsive materials with additional responsivities.<sup>19</sup> Light-responsive polymers are interesting molecules that can be combined with thermoresponsive polymers.

There are many reports about luminescent polymers with  $\pi$ -conjugated moieties<sup>24–31</sup> such as pyrene,<sup>24,25</sup> porphyrin,<sup>26</sup> and rhodamine<sup>27,28</sup> in their side chains and/or end groups. Although these luminescent polymers have high potential for sensors, fluorescence probes and imaging materials, they possess poor hydrophilicity and/or readily cause aggregation quenching. Therefore, they are not good candidates for use in the aggregated state in aqueous systems. As a useful tool for adapting photofunctional polymers to aqueous media, the polymers are often constructed as amphiphiles possessing hydrophobic luminescent segments and hydrophilic moieties, by which it is feasible to respond not only to light but also to environmental changes such as heat and pH.<sup>32–39</sup> These designed polymers can enhance the effect of the luminescence emission and thus make it possible to control the ON/OFF switching of their sensing power, which exerts its function in a certain environment. For example, introducing some moieties with the property of aggregation-induced emission (AIE) in the hydrophobic block could enhance the fluorescence emission of the amphiphilic block copolymer in aqueous media due to the appearance of self-assembled entities driven by hydrophobic interactions

Department of Material and Life Chemistry, Kanagawa University, 3-2-7 Rokkakubashi, Yokohama 221-8686, Japan. E-mail: rhjin@kanagawa-u.ac.jp

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between the AIE moieties.<sup>40</sup> Different from such AIE systems, in the case of aggregation-caused quenching (ACQ),  $\pi$ -conjugated polymers such as poly[fluorenyl-*alt-p*-phenyleneethynylene] (PFPE) backbones with pendant carboxylic acid groups can function very well as fluorescent sensors for distinguishing aliphatic and aromatic amines in aqueous media; aliphatic amines associated with pendant groups of carboxylic acid cause extension of the  $\pi$ -conjugated polymer chains to overcome ACQ, while aromatic amines interact with the  $\pi$ -conjugated polymer chains with  $\pi$ - $\pi$  interactions, forcing ACQ.<sup>31</sup>

In the issue of  $\pi$ -conjugated polymers, the imidazole-conjugated structure is also very effective for developing photoluminescent materials.<sup>41</sup> Imidazole is a heterocyclic compound with an imine group as a proton acceptor and an amine group as a proton donor in the same aromatic ring, and imidazole itself is also sensitive to pH and/or metal ions. The architecture of  $\pi$ -conjugated polymers containing imidazole rings or imidazole-condensed rings has attracted much attention because of their photonic properties as charge transfer chromophores and the unique photophysical process of excited-state intramolecular proton transfer (ESIPT).<sup>42–44</sup> Imidazole-conjugated polymers bearing proton-donating phenol side groups can cause effective ESIPT by photoexcitation when they form intramolecular hydrogen bonds between the imidazole and phenol groups.<sup>45</sup> In this system, however, the covalent conjugation between the electron donor and electron acceptor (often an imidazole moiety) is indispensable. Although there are remarkable achievements of polymers possessing imidazole-condensed rings as side chains on their photoactive main chains, such as polythiophene and polycabazole, it is rare that luminescent polymers contain imidazole rings but possess no  $\pi$ -conjugation backbone structures.

Recently, nonconventional fluorescent polymers without  $\pi$ -conjugation structures have also drawn great research interest.<sup>46–48</sup> Among them, water-soluble hyperbranched polyethyleneimine (h-PEI) is especially interesting. Luo and coworkers reported that h-PEI reacted with aldehydes could function as light emission polymers due to the formation of Schiff base bonds.<sup>49–51</sup> In this hyperbranched structure, the Schiff base bonds could crowd into clusters which are very similar to AIE to increase the radiative decay when excited.<sup>50,51</sup> They also demonstrated that the emission of the Schiff base bond-based h-PEI could respond to pH or acidic compounds and metal ions in aqueous media.<sup>49</sup> In practice, many polymers, even those without conventional chromophores (only have groups of cyano, imine, carbonyl, tertiary amine, *etc.*), are also potentially photoluminescent materials, as the polymers afford definite aggregates through which space conjugation occurs.<sup>52</sup> Polyacrylonitrile is a typical nonconventional chromophore which can emit blue fluorescence in the solid state when excited by UV light.<sup>53</sup>

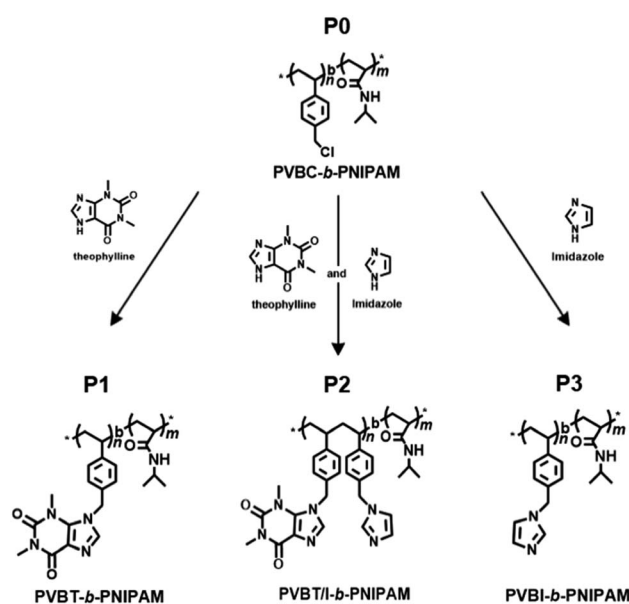
Very recently, we revealed that theophylline, an imidazole-condensed xanthine derivative, is a strong receptor of Pd(II) ions due to the formation of an extraordinary stable complex with Pd(II), and we found that polymers bearing residues of the theophylline coordinated-Pd(II) complex could function as good catalysts in C–C coupling reactions.<sup>54,55</sup> Herein, we focused our attention on multiple stimuli-responsive issues in the design of

an amphiphilic block copolymer comprising a pH/photo-responsive non-conjugated hydrophobic polystyrenic block with pendant rings of a xanthine derivative (theophylline) and imidazole and a thermo-responsive hydrophilic block of PNIPAM. We firstly synthesized a block copolymer that consisted of a poly(vinylbenzyl chloride) (PVBC) block and PNIPAM block *via* RAFT polymerization, and then we introduced theophylline (xanthine derivative) and/or imidazole pendants into the PVBC block. By changing the ratio of theophylline (T) and imidazole (I) in the pendants, we prepared targeting polymers of PVB(T/I)-*b*-PNIPAM, where T/I was altered to 100/0, 77/23 and 0/100. It was found that PVB(T)-*b*-PNIPAM (**P1**, T/I = 100/0) and PVB(T/I)-*b*-PNIPAM (**P2**, T/I = 77/23) appeared to be amphiphiles that could easily form micelles in aqueous media, while PVBI-*b*-PNIPAM (**P3**, T/I = 0/100) became a double-hydrophilic block copolymer which dissolved in water. All the polymers showed thermo-responding ability due to possessing a PNIPAM block. Very interestingly, polymer **P2** with T/I in a definite ratio in its side groups showed remarkably blue emission, although **P1** and **P3** themselves are weakly or not emissive under the same conditions. We revealed that intra-polymer chain associations between theophylline and imidazole in the side chains of **P2** functioned as an intricate emitter to afford blue emission. This emitter acted as an excellent optical sensor for Pd(II) ion because Pd ion strongly chelates with the theophylline moiety, resulting in dissociation of the emitter and thus in quenching of the photoluminescence.

## Results and discussion

### Preparation and characterization of the PVB(T/I)-*b*-PNIPAM block copolymers

Scheme 1 shows the strategy to synthesize our target PVB(T/I)-*b*-PNIPAM polymers possessing theophylline and/or imidazole



Scheme 1 Representation of the synthesis of the PVB(T/I)-*b*-PNIPAM block copolymers.



pendants in the polystyrenic block. The block copolymer of PVBC-*b*-PNIPAM, in which the side group  $-\text{CH}_2\text{Cl}$  on PVBC is very active to react easily with nucleophiles, was synthesized by our previously reported method *via* a standard RAFT polymerization procedure.<sup>56,57</sup> Firstly, VBC was polymerized using cyanomethyl benzodithioate (CMB) as a chain transfer agent and AIBN as the initiator. Then, the PVBC obtained was used as a macro-chain transfer agent in copolymerization with NIPAM for preparation of block copolymer PVBC<sub>*n*</sub>-*b*-PNIPAM<sub>*m*</sub>-SC(=S)Ph. (see Scheme S1†). Finally, the end groups of dithioate on PVBC<sub>*n*</sub>-*b*-PNIPAM<sub>*m*</sub>-SC(=S)Ph were removed to obtain the end-deactivated block copolymer PVBC<sub>*n*</sub>-*b*-PNIPAM<sub>*m*</sub> (**P0**). The polymerization degrees of *n* and *m* in **P0** are 74 and 383, respectively, which were estimated by <sup>1</sup>H NMR end analysis of the integral value method (for details, see Fig. S1†). The related GPC profiles before and after copolymerization are displayed in Fig. S2,† and the polydispersity  $M_w/M_n$  values are summarized in Table S1.† We performed the introduction of theophylline and imidazole compounds onto **P0** by dissolving **P0**, theophylline and/or imidazole in DMF and heating the solution at 80 °C for 24 h. The three isolated polymers of **P1**, **P2** and **P3** are very soluble in chloroform, so we characterized the polymers by <sup>1</sup>H NMR spectroscopy using CDCl<sub>3</sub> as the solvent. It was found that the chemical shift due to  $-\text{CH}_2\text{Cl}$  at 4.48 ppm for **P0** completely disappeared after reaction with theophylline (T) or imidazole (I) or a mixture of T/I, indicating the high reactivity of **P0** with imidazole ring compounds (Fig. 1 and S3†). As shown in Fig. 1, **P1** showed four new peaks due to theophylline residues compared to **P0**. The signals at  $\delta$  3.33 ppm and 3.53 ppm are ascribed to the two methyl groups on  $-\text{NCH}_3$  of theophylline, and the weak peaks at  $\delta$  7.35 ppm and 7.55 ppm are due to the condensed imidazole ring ( $-\text{N}=\text{CH}$ ). The peaks due to PNIPAM at  $\delta$  4.00 ppm of the methine group ( $\text{N}-\text{CHMe}_2$ ) and  $\delta$  1.34 ppm of the two methyl groups on the isopropyl group did not change after the reaction. In contrast, the methylene peak ( $-\text{CH}_2\text{Cl}$ ) at

4.51 ppm on the PVBC block shifted to 5.39 ppm ( $-\text{CH}_2\text{N}$ ) after introducing theophylline. The introduction ratio was *ca.* 99%, which was calculated from the ratio of the integral values of peaks c ( $-\text{NCH}_3$ , theophylline residue) and b ( $-\text{CH}_2\text{N}$ , methylene in the PVBC block) (see Fig. S3†). **P2** and **P3** were characterized similarly, and their <sup>1</sup>H NMR spectra are shown in Fig. S4.† The reaction of **P0** with T/I (feeding molar ratio of 70 : 30) produced the corresponding polymer **P2** with a ratio of T/I of 77/23. The introduction ratios estimated by the <sup>1</sup>H NMR spectra are summarized in Table S2.†

Imidazole and theophylline dissolved in chloroform showed absorption peaks at 241 nm and at 273 nm, respectively (Fig. S5†). With this as a reference, we measured the UV-Vis absorption of polymers **P0**–**P3**. As shown in Fig. 2A, there are no absorption peaks at 273 nm for **P0** or **P3**, which contain no theophylline moiety. In contrast, **P1** and **P2** showed absorption at 273 nm due to the presence of theophylline residues in the pendant. In the expansion of the spectral line from 300 to 400 nm (Fig. 2A'), we can see that **P3** with only imidazole in its pendants gives a tailing line, while **P2**, which contains both imidazole and theophylline in its side chains, exhibits an obvious shoulder at around 340 nm, although **P1** has no absorption in this area.

To understand the thermophysical properties of the polymers, we performed differential scanning calorimetry (DSC) measurements for the block copolymers of **P0**–**P3** (Fig. 3A) and the homopolymers of PVBC<sub>74</sub>, PVBT<sub>74</sub> and PNIPAM<sub>334</sub>. As can be seen from Fig. 3B, the glass transition temperatures ( $T_g$ ) for the homopolymers of PVBC<sub>74</sub> and PNIPAM<sub>334</sub> appeared at 89.2 °C and 124.8 °C, respectively. After the introduction of theophylline into PVBC<sub>74</sub>, the corresponding PVBT<sub>74</sub> showed a  $T_g$  of 180.3 °C, indicating that the pendant theophylline makes the polymer chains rigid and enhances the interactions between the polymer chains. In comparison, the block copolymers **P0**–**P3**, which consisted of PNIPAM<sub>383</sub> and polystyrenic blocks possessing

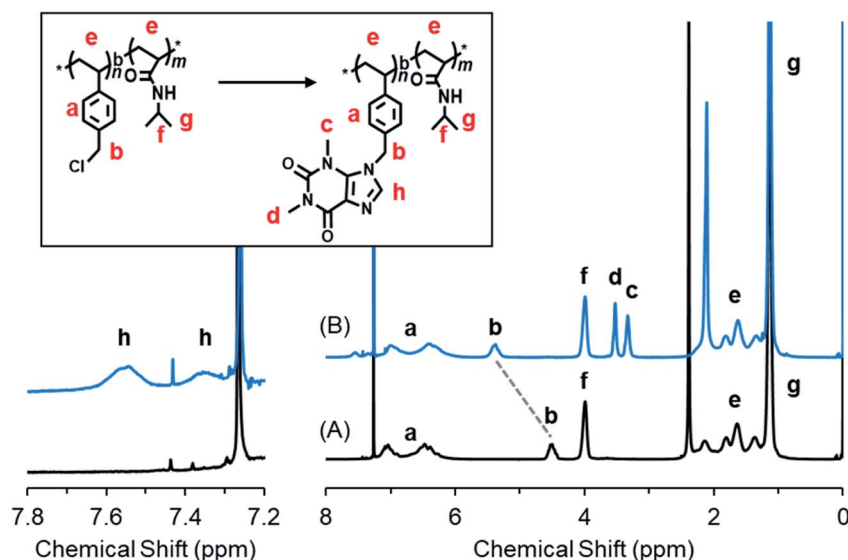


Fig. 1 <sup>1</sup>H NMR spectra of (A) PVBC<sub>74</sub>-*b*-PNIPAM<sub>383</sub> (**P0**) and (B) **P1** in CDCl<sub>3</sub> at 25 °C.



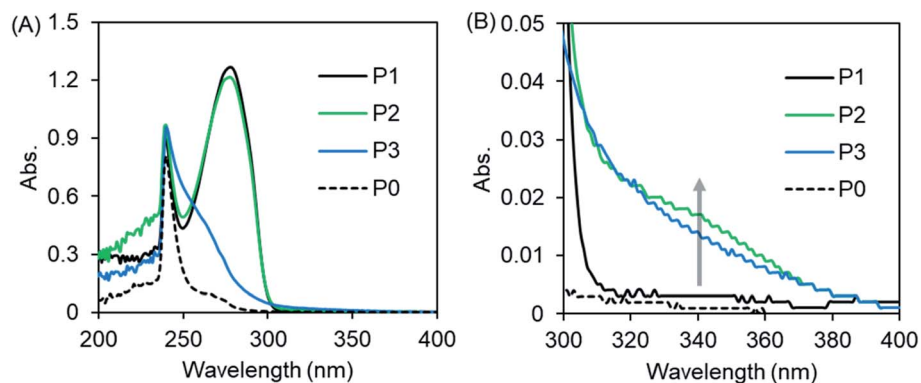


Fig. 2 (A) UV-vis spectra of PVB(T/I)-*b*-PNIPAM in  $\text{CHCl}_3$  measured at 25 °C. (B) is the enlarged area around 300–400 nm of (A). The polymer concentration is 2 g  $\text{L}^{-1}$ .

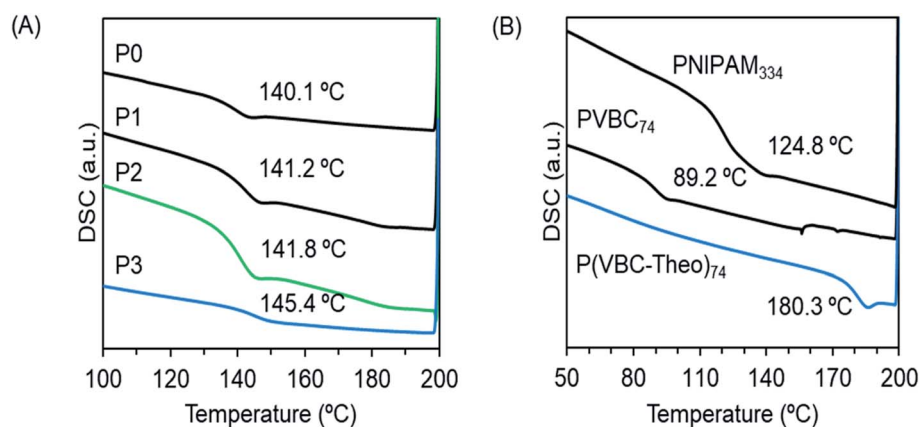


Fig. 3 DSC curves of the second heating of the (A) block copolymers and (B) homopolymers.

different side chains, showed similar  $T_g$  values of around 141–145 °C, which are higher than the  $T_g$  values of the homopolymers PVBC<sub>74</sub> and PNIPAM<sub>334</sub> but lower than the  $T_g$  of the homopolymer PVB<sub>74</sub>. It seems that there is no formation of individual phases from the two blocks of polystyrenic derivatives (with smaller DP 74) and PNIPAM (with larger DP 383). Probably, the good compatibility between the two blocks enhances the miscibility of the polymer chains, leading to a homogeneous phase with a new glass transition temperature ( $T_g$ ).

### Micelle formation and temperature-responsiveness

Theophylline itself is easily soluble in water and methanol. However, the homopolymer PVB<sub>74</sub> with theophylline pendants was not soluble in water, even after heating to 100 °C. Moreover, unexpectedly, this homopolymer with a high  $T_g$  was not easily soluble in DMF or methanol, but was very soluble in chloroform at room temperature and also soluble in DMSO upon heating. Therefore, we think that in the polystyrenic chain with theophylline pendants, the inter-chain and/or intra-chain  $\pi$ - $\pi$  interactions between the theophylline moieties and between theophylline and phenyl residues in the side chains may feature in its physical performance. This idea would be helpful in understanding the behavior of block copolymers in aqueous

systems. We confirmed that the block copolymers of **P1** and **P2** with the hydrophilic PNIPAM block are easily soluble in chloroform and DMSO but do not show good solubility in water, methanol or DMF, while **P3** is soluble in water and chloroform. Therefore, **P1** and **P2** could be described as amphiphilic block copolymers, while **P3** should be considered to be a double-hydrophilic block copolymer. Here, we performed micellization of **P0**, **P1** and **P2** by the solvent selection method. After adding a small amount of a DMSO solution of **P0**, **P1** and **P2**, respectively, to an excess amount of water, it was found that **P1** and **P2** resulted in micellar dispersion at 2 mg  $\text{mL}^{-1}$  concentration, but **P0** at the same concentration led to precipitation. In contrast, direct dissolution of **P3** in water resulted in a transparent aqueous solution (2 mg  $\text{mL}^{-1}$ ).

Since the block copolymers possess longer PNIPAM blocks, they should exhibit thermo-responsibility in aqueous media. Therefore, we conducted micellar dispersions of **P1** and **P2** and the solution of **P3** in a thermo-heater-equipped DLS and UV-Vis spectrometer to investigate the thermo-responsivity of the polymers in aqueous media. At room temperature, the **P1** and **P2** dispersions showed hydrodynamic diameters of 89 and 71 nm, respectively, indicating the formation of polymeric micelles, while the **P3** solution did not show a light scattering



signal because of the molecular solution (see Fig. 4A). As shown in Fig. 4B, when the dispersions were heated to 45 °C, the hydrodynamic diameter increased to 380 nm for **P1** but decreased to 24 nm for **P2**. In comparison, at 45 °C, heating-triggered micellization occurred from the molecular solution of **P3** due to dehydration of the PNIPAM block, showing a hydrodynamic diameter of 200 nm. On the other hand, at a wavelength of 500 nm, we compared the temperature-dependent transparencies of the samples. As can be seen from Fig. 4C, below 30 °C, the transmittance of the dispersion of **P1** was over 30%, but it decreased to near zero as the temperature increased to 35 °C. The transmittance of the **P3** dispersion decreased from 92% to 50% as it was heated to 50 °C, and the micelles of **P2** only showed a slight change from 87% to 77% even when heated to 50 °C. This change is in agreement with the heat-induced change of the micellar size. The smaller the micellar size, the higher the transparency, and the larger the micellar size, the lower the transparency. Apparently, each polymer that possessed the PNIPAM block indicated an LCST but at different temperatures. The LCSTs for **P1**, **P2** and **P3** appeared at 30 °C, 30 °C and 34 °C, respectively. Here, the relationship between the transmittance and heating/cooling cycles for the micellar dispersion of **P1** were conducted in the

temperature range between 25 °C and 45 °C with 10 min intervals. As shown in Fig. 4D, the thermo-response is highly reversible in each recycle. **P2** and **P3** also responded well with reversibility on heating and cooling cycles (data not shown). The thermo-response of **P1** was also confirmed by <sup>1</sup>H NMR spectroscopy in D<sub>2</sub>O (see Fig. S6<sup>†</sup>). At 25 °C, only the peaks due to the isopropyl group of PNIPAM appeared. This is because of the formation of core-shell micelles in which the polystyrenic block with theophylline pendants formed a core (non-solvated solid state) while the PNIPAM block formed a shell layer (solvated coil state). When heated to 45 °C, the peaks due to the chemical shifts of PNIPAM disappeared, indicating the phase-transition of the PNIPAM shell into dehydrated globules from hydrated coils. Photographs of the samples in vials at room temperature and under heated conditions are displayed in Fig. S7.<sup>†</sup> The solutions of **P1** and **P3** became remarkably opaque, while that of **P2** did not show distinguishable cloudiness. These findings are also in agreement with the argument based on the results shown in Fig. 4.

Based on the above results, the thermo-responsivity of the micellar dispersions can be explained as illustrated in Fig. 5. Below the LCST, **P1** exists as core-shell micelles with a PVBT core and PNIPAM shell. Above the LCST, the shell of the

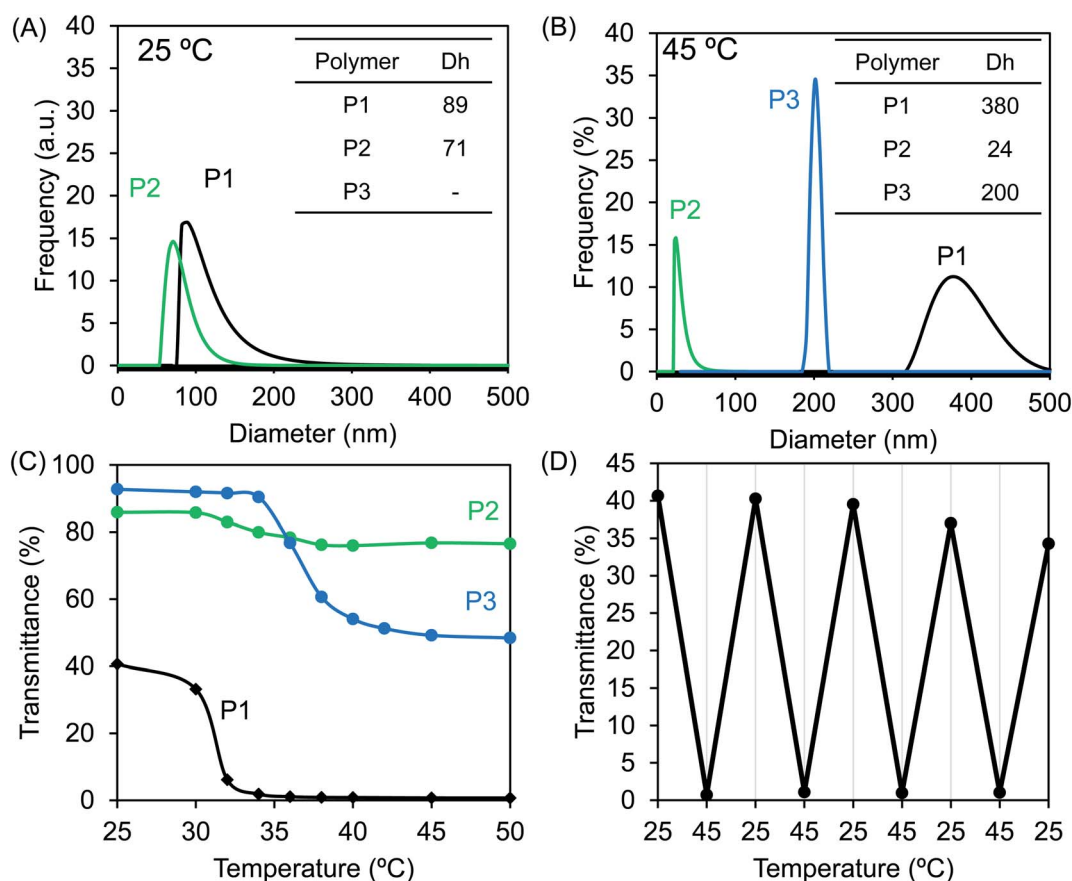


Fig. 4 DLS traces of aqueous dispersions of **P1**–**P3** (concentration: 2 g L<sup>-1</sup>) at (A) 25 °C and (B) 45 °C. (C) Temperature-dependent transmittance of the aqueous dispersions of **P1**–**P3** (2 g L<sup>-1</sup>) at λ = 500 nm. (D) Transmittance variations for the dispersion of **P1** (2 g L<sup>-1</sup>) depending on the heating-cooling cycle between 25 °C and 45 °C at λ = 500 nm.



Polymers		Temp. < LCST	Temp. > LCST
P1			
P2		Core: [PVBT PVBT/I] Shell: PNIPAM	
P3			

Fig. 5 Schematic of the self-assembly of the temperature-responsive PVB(T/I)-*b*-PNIPAM series in water.

micelles from **P1** loses water due to dehydration of PNIPAM, and some inter-micellar interactions take place to form larger micellar aggregates. This is reversible with heating and cooling. Similarly, **P2** forms core-shell micelles below the LCST. However, the micelles of **P2** do not associate with each other above the LCST but form smaller micelles. This should arise from the contributions of intra-micellar interactions: that is, the shrinking of the PNIPAM shell leads the PNIPAM chains to collapse onto the micellar core and enhances the intra-micellar polymer-to-polymer interactions between PNIPAM and the theophylline/imidazole moieties existing in the core surface. Compared to **P1**, the thermo-response of **P2** micelles is restricted only to intra-micelle contact. It seems that the pathway of thermo-response towards inter-micellar or intra-micellar is determined by the core components; the core gathered with theophylline alone causes inter-micellar association of the shells, while the core consisting of random arrangements of theophylline/imidazole leads only to intra-micellar collapse. In contrast to both the amphiphilic polymers of **P1** and **P2**, the double hydrophilic block copolymer **P3** is a unimer coil below

the LCST but becomes core-shell micelles with the formation of the PNIPAM core and PVBI shell above the LCST.

### Emission and pH-response of amphiphilic block copolymers

As we mentioned above (Fig. 2B), **P2** with imidazole and theophylline pendants exhibits an obvious shoulder above 300 nm in its UV-Vis spectrum in chloroform. This attracted our attention to examine the possibility of using the polymer as a chromophore because the shoulder might be related to charge transfer associations between imidazole and theophylline. Therefore, we firstly examined the micellar and unimer solutions of **P1–P3** by fluorescence spectroscopy. As shown in Fig. 6A, **P2** emitted noticeable fluorescence at 425 nm when excited at 365 nm. In comparison, the emission intensity of **P1** is about 1/3 that of **P2**, while the emission is very faint for **P3**. As the micellar dispersion of **P2** was heated to 45 °C, the emission intensity decreased slightly from 905 to 726 (Fig. 6B). This also suggests the above description, in which the collapse of the PNIPAM shell onto the micellar core due to heating causes interactions between PNIPAM and the theophylline/imidazole moieties in the core, which somewhat weakens the interactions between theophylline and imidazole. It was also confirmed that **P2** emits fluorescence emission at the same concentration in chloroform solution, but the emission intensity is lower than that in the micellar state (Fig. S8A†). Here, it should be noted that compared to **P2**, block copolymers with components of T/I of about 30/70 or 13/87 show inferior fluorescence emission (Fig. S8E†). It seems that the components of T/I (in molar) near 70/30 in the polymer pendants are better for emitting photoluminescence and favor retention of the isolated micellar state without inter-micellar aggregation in its thermo-response. This is a desirable characteristic for use as smart micelles.

In the above emission spectrum measurements, the amounts of the two residues of T and I in **P2** solution (2 mL of 2 g L<sup>-1</sup>) are equal to 3.4 mmol and 1.0 mmol, respectively. Therefore, we prepared a solution containing **P1** (only theophylline pendants) and **P3** (only imidazole pendants) with a ratio of T/I of 77/23 (in molar) and subjected the solution to fluorescence measurements to confirm whether the mixture could

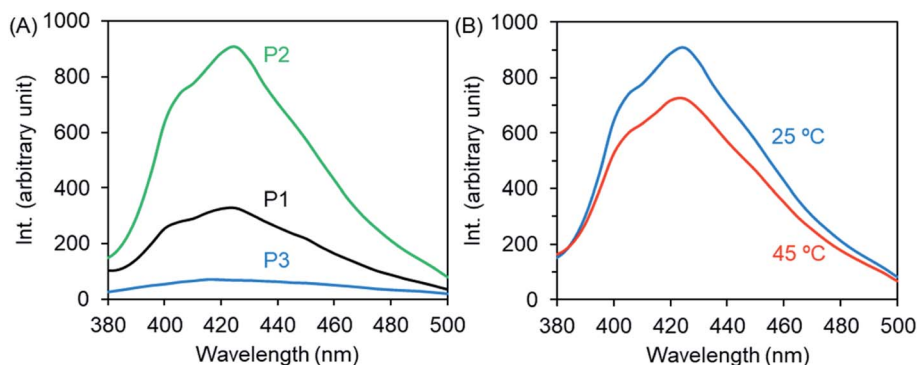


Fig. 6 Fluorescence emission spectra ( $\lambda_{\text{ex}} = 365 \text{ nm}$ ) of the micellar and unimer solutions of **P1–P3** (2 g L<sup>-1</sup>) at room temperature (A) and at 45 °C (B).



function as an emitter similar to **P2**. As shown in Fig. S8D,<sup>†</sup> it is obvious that there is no enhancement of the emission from the mixture. We also performed comparative fluorescence measurements by using two aqueous solutions; one contained monomeric theophylline and imidazole in a molar ratio of 77/23 (3.4 mmol (0.60 mg)/1.0 mmol (0.07 mg) in 2 mL water),  $[T] + [I] = 0.22 \text{ mmol mL}^{-1}$ , and the other was a mixture of imidazole with **P1** micelles with a ratio of T/I of 77/23. In both cases, however, no fluorescence increment appeared (Fig. S8B and C<sup>†</sup>). These results indicate that the emission could not be strengthened from the mixtures containing theophylline and imidazole residues either as low molecular state or as polymeric pendants in the given conditions. Only **P2**, which has random copolymeric structures containing theophylline and imidazole in a suitable ratio in its pendants, emits fluorescence strongly at low concentration. Accordingly, the appearance of remarkable emission from **P2** should be assigned to the synergy effect of the intramolecular (intra-chain) interactions between the moieties of theophylline and imidazole in the pendants, which are crowded in one polymer chain. As a derivative of xanthine, the ring in the theophylline plane condensed with imidazole would be more electron-poor than the imidazole ring itself. Therefore, the imidazole plane could act as an electronic donor to interact with the theophylline plane *via*  $\pi$ - $\pi$  interactions to form charge-transfer-like associates. The appearance of a shoulder around 340 nm in the UV-Vis spectrum of **P2** (Fig. 2B) could be related to the associates caused by  $\pi$ - $\pi$  interactions. From the excitation spectrum of the micellar dispersion of **P2** (Fig. S8A<sup>†</sup>), we observed that **P2** showed a remarkable absorption peak at 365 nm, which is a maximum excitation wavelength, either in the aqueous micellar state or in chloroform solution. This excitation peak should be attributed to emitters that may be charge transfer (or excimer- and exiplex-like) associates in the clusters of theophylline and imidazole residues introduced densely in the polymer side chains. In these associates, the

bond of imine  $\text{-C=N-}$  in theophylline or imidazole is basic and is thus able to function as a proton acceptor and/or ligand to metal cations. Therefore, we expect that the fluorescence emission from the associates could respond to pH and/or metal cations because the imine  $\text{-C=N-}$  is capable of accepting protons or of chelating with metal cations.<sup>49</sup>

Here, we prepared **P2** micelles at different pH values by adding a DMSO solution of **P2** to excess water pH-adjusted in advance with HCl or ammonia solution, and then we examined their fluorescence emissions. As shown in Fig. 7A, the emission intensity is the same in the cases of pH 7.0 and 10.2 but became very weak at pH 2.2. This unambiguously indicates that the forces supporting the emitters formed *via*  $\pi$ - $\pi$  interactions from theophylline and imidazole residues are weakened due to competitive interactions arising from protonation of the imine bond  $\text{-C=N-}$ . We also compared the diameters of the micelles prepared in the different pH conditions. In Fig. 7B, it can be seen that the micellar diameters become larger by nearly ten times (278 nm) in the acidic conditions (pH 2.2) compared to the neutral (33 nm) and basic (25 nm) conditions. It needs to be noted here that the emission and diameter of the micelles did not change as the pH was lowered to 4 from 7. However, as the pH values changed in the order of 3.2, 2.9 and 2.7, the micellar sizes and the fluorescence emissions increased and decreased, respectively, in a stepwise fashion (see Fig. S9<sup>†</sup>). We think that during the formation of core-shell micelles, the protonated  $\text{-N=C-}$  bonds in the hydrophobic block weaken the hydrophobic and  $\pi$ - $\pi$  interactions between the hydrophobic blocks and thus cause the core sediment to lack close packing, resulting in core-loose micelles with larger diameters. The thermo- and excitation-responsibility were also examined for the pH-adjusted **P2** micelles. As shown in Fig. S10A,<sup>†</sup> the light transmittance at a wavelength of 500 nm is very low (about 15%) for the micelles formed at pH 2.2 but high (about 90%) for the micelles formed at both pH 7.0 and 10.2 at 25 °C. As they were

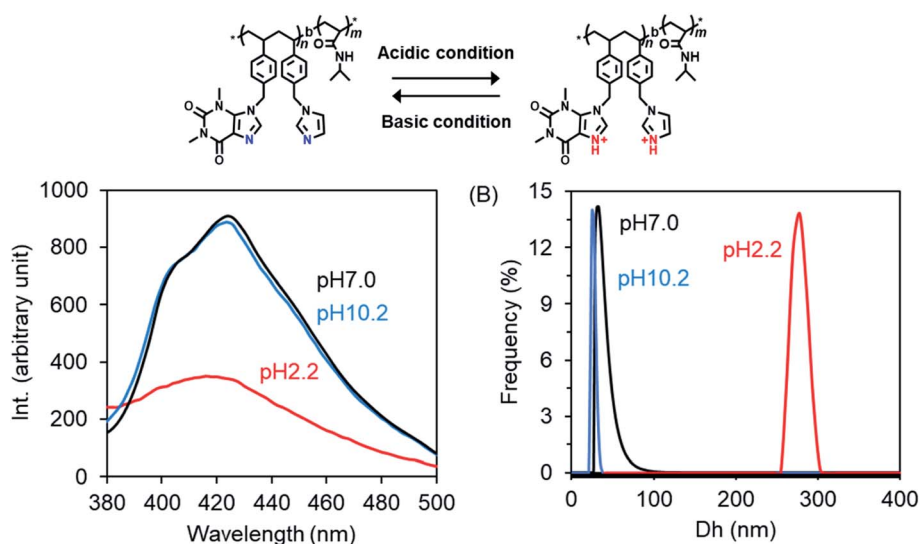


Fig. 7 (A) Fluorescence emission spectra ( $\lambda_{\text{ex}} = 365 \text{ nm}$ ) of **P2** dispersions with different pH values at 25 °C. (B) DLS traces of **P2** dispersions with different pH values at 25 °C (concentration of **P2**:  $2 \text{ g L}^{-1}$ ).



heated, the micelles formed at pH 2.2 turned opaque at 31 °C, while the transparency decreased slightly to 74% at 38 °C for the micelles formed at pH 7.0 and to 84% at 36 °C for the micelles formed at pH 10.2. This means that the smaller micelles formed at the higher pH values showed higher LCSTs than the larger micelles formed at lower pH, although the PNIPAM homopolymer itself has no pH-related thermo-response. In addition, in the excitation spectra of the micelles, the absorption at the maximum excitation wavelength is lower for the micelles formed at pH 2.2 than for the micelles formed at pH 7.0 and 10.2 (see Fig. S10B†), which is in agreement with the fluorescence emission response to pH changes (see Fig. 7A). Therefore, it is conclusive that amphiphilic **P2** randomly bearing theophylline/imidazole in the side chains on the hydrophobic block is a unique photoluminescent polymer with thermo- and pH-responses, although it contains no  $\pi$ -conjugated polymeric chains. The photoluminescence should arise from the charge-transfer-like  $\pi$ - $\pi$  association between imidazole and theophylline in adjacent spaces in which clusters crowded by the basic imine bond  $-C=N-$  would play a central role in the emission.<sup>49,52</sup> Once the imine  $-C=N-$  is protonated, the  $\pi$ - $\pi$  associations of the theophylline and imidazole residues will be disturbed, and thus the photoluminescence will be reduced. As far as we know, this is a new insight into the architecture of photoluminescent polymers comprising imidazole analogous residues but without rigid imidazole-conjugated polymeric chains. These photoluminescent polymers would have very interesting potential in optical-mediated response to metal ions because the basic  $-C=N-$  prefers chelation interactions with metal cations and not only with protons.

### Palladium sensing by photoluminescence

Recently, we developed a strategy to fabricate nano/micro polymeric materials using theophylline-Pd complexation as a driving force.<sup>54,56</sup> In contrast to imidazole, theophylline (T) can chelate with Pd(II) even in strong acidic conditions to form a stable complex consisting of  $T_2Pd$ . Thus, the moiety of theophylline features selective adsorption of palladium in mixed metal ions. In order to screen the relationship of the photoluminescence of **P2** with metal cations, we prepared a series of **P2**/metal micellar dispersions by the addition of a small amount of **P2** solution in DMSO to excess aqueous solutions containing metal acetates (2.5 mM) of  $Ag^+$ ,  $Ba^{2+}$ ,  $Zn^{2+}$ ,  $Cu^{2+}$  and  $Pd^{2+}$ , and we measured their fluorescence. Fig. 8 shows the emission intensities of the **P2**/metal mixtures. Interestingly, the emission decreased somewhat in the presence of  $Ag^+$ ,  $Ba^{2+}$ ,  $Zn^{2+}$  and  $Cu^{2+}$ , but was completely quenched in the case of  $Pd^{2+}$ . In the micelles containing  $Ag^+$ ,  $Ba^{2+}$ ,  $Zn^{2+}$  and  $Cu^{2+}$ , the micellar turbidity remained without changes, and no sediments or precipitates occurred even if they were placed for a long time (Fig. 8, top). Remarkably different to these metal ions, the dispersion of **P2**/ $Pd^{2+}$  resulted in yellow sediment within a few minutes. Heating the mixture of **P2**/ $Pd^{2+}$  to 45 °C caused a quick gel-like dispersion, losing the feature of a smooth liquid (Fig. S11A†). This suggests that even after metal complexing, PNIPAM in the shell layer on the sediment can still

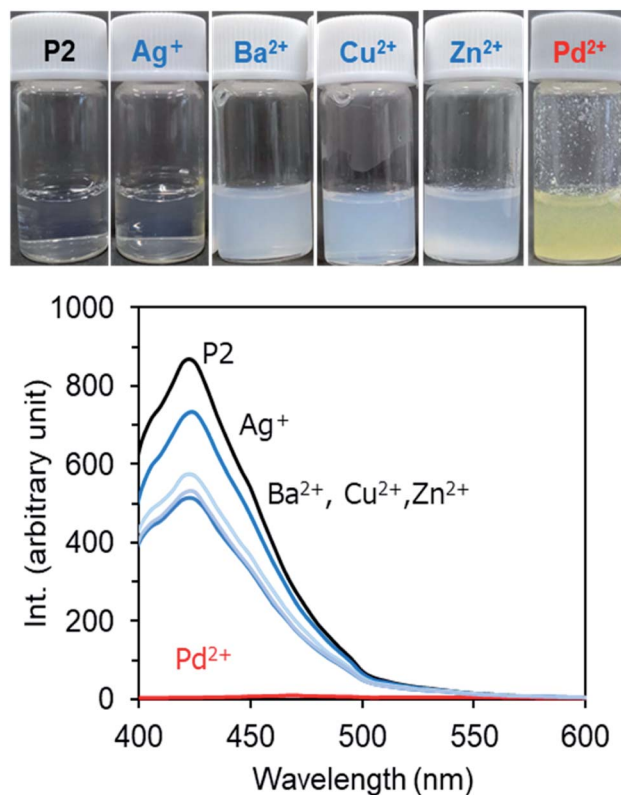


Fig. 8 Bottom: Fluorescence emission spectra ( $\lambda_{ex} = 365$  nm) of micellar dispersions of **P2** ( $2 \text{ g L}^{-1}$ ) in the presence of 2.5 mM metal acetate at room temperature. Top: photographs of the dispersions.

express sensitive thermal responsiveness. In other words, **P2** can function well as a  $Pd^{2+}$ -responding and thermo-responding material. We visualized the sediments that appeared at room temperature by scanning electron microscope (SEM). It can be seen in Fig. S11B† that the sediments are aggregates from rods with thorn structures, where the diameters of the rods are about 200 nm. This suggests that  $Pd^{2+}$  cation could play the role of a cross-linker for the micelles due to chelation with theophylline residues. After mixing **P2** with  $Pd^{2+}$  cations, in addition to the self-assembly process of **P2**, an event of T-Pd-T cross-linking of chelation *via* intra- and/or inter-polymer chains and *via* inter- and intra-micelles is probable. Any pathway could weaken and prevent the  $\pi$ - $\pi$  interactions between theophylline and imidazole and thus result in extinguishment of the photoluminescence. In this sense, it is expectable that **P2** could be used as an optical sensor for detection of  $Pd^{2+}$  cations. For the purpose of sensing  $Pd^{2+}$  cations, we prepared a micelle dispersion of **P2**/ $Pd^{2+}$  with a low concentration of Pd(II) (0.025 mM, 5.6 ppm). This dispersion did not afford sediments/precipitates and remained transparent. As visualized by SEM, the casting sample of a micellar dispersion of **P2** ( $2 \text{ mg mL}^{-1}$ )/ $Pd^{2+}$  (0.025 mM) looked like a film, where numerous micellar spheres with a diameter of 100 nm fused with each other (Fig. S11C†). Compared to the case of **P2** only, interestingly, the photoluminescence intensity of the micellar dispersion **P2** ( $2 \text{ mg mL}^{-1}$ )/ $Pd^{2+}$  (0.025 mM) decreased greatly to 20%. That is, the





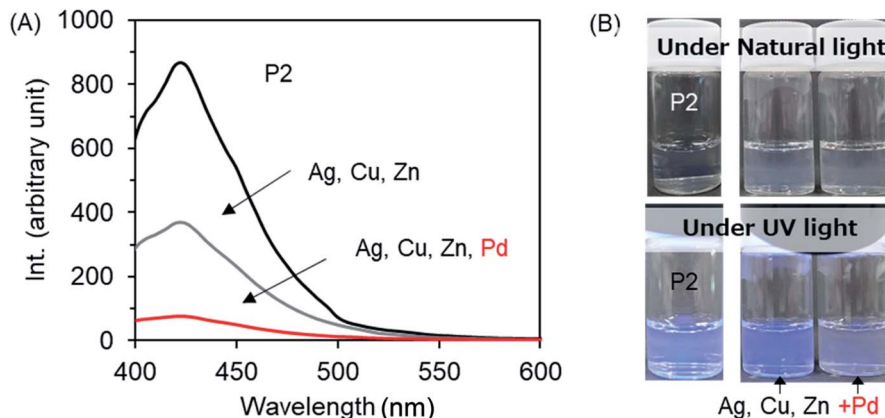


Fig. 9 (A) Fluorescence emission spectra ( $\lambda_{\text{ex}} = 365 \text{ nm}$ ) of micellar dispersions of **P2** ( $2 \text{ g L}^{-1}$ ) containing mixed metal acetates ( $\text{Ag}^+$ ,  $\text{Zn}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Pd}^{2+}$ ); the concentration of each ion is  $0.025 \text{ mM}$  at room temperature. (B) Photographs of the dispersions of **P2** with and without metal acetates under ambient light (top) and under UV light irradiation (bottom).

emission turns “ON” without  $\text{Pd}(\text{II})$  but turns “OFF” with  $\text{Pd}(\text{II})$ . This “on/off” behavior strongly suggests that the amphiphilic block copolymer of **P2** is a good candidate as an optical sensor for  $\text{Pd}^{2+}$  cations in aqueous media (Fig. S11D<sup>†</sup>).

In order to further confirm the sensing behavior of **P2** micelles, we performed post-addition of  $\text{Pd}^{2+}$  cations to a micellar dispersion. In Fig. S12,† the photographs and SEM images are displayed. Compared to the case of **P2**/ $\text{Pd}^{2+}$  micelles formed by simultaneous mixing of **P2** and  $\text{Pd}^{2+}$  cations, upon the post-addition of  $\text{Pd}^{2+}$  cations ( $2.5 \text{ mM}$ ), the **P2** micelles rapidly became turbid, with the formation of orange sediment (Fig. S12A<sup>†</sup>). This color suggests the formation of a  $\text{Pd}(\text{II})$ /theophylline complex which shows absorption at wavelengths around  $400\text{--}500 \text{ nm}$ .<sup>55</sup> The sediments visualized by SEM are fibrous network entities which are likely formed by linking of the fibrously fused micelles. Perhaps, the post-added  $\text{Pd}^{2+}$  cations chelate with the theophylline and imidazole residues which exist on the core surface and thus promote one-dimensional growth of inter-micellar fusion but do not destroy the micelles. In comparison, when the concentration of the post-added  $\text{Pd}^{2+}$  cation was as low as  $0.025 \text{ mM}$  ( $5.6 \text{ ppm}$ ), the **P2** micelles remained stable without sediments (Fig. S12B<sup>†</sup>), accompanying a noticeable reduction of the photoluminescence intensity to 9%. This indicates that  $\text{Pd}^{2+}$  cations at a lower concentration chelate with theophylline/imidazole residues *via* intra-micellar interactions and thus effectively quench the emission (Fig. S12C<sup>†</sup>). As a comparison, using the opaque mixture of **P1** + **P3** ( $T/I = 7/3$ ,  $2 \text{ g L}^{-1}$ ), we performed a similar experiment, as shown in Fig. S13 and 14,† in the presence of  $\text{Pd}$  cations at  $0.25$  and  $0.025 \text{ mM}$ . The emission from the mixture decreased only slightly (about 20%) when  $\text{Pd}^{2+}$  cations were added at  $0.025 \text{ mM}$ , while it decreased almost completely when the concentration of  $\text{Pd}^{2+}$  cations added was increased to  $0.25 \text{ mM}$ , although there was no precipitation. The ability of **P2** was well beyond that of the mixture of **P1** and **P2** to sense a low concentration of  $\text{Pd}^{2+}$  cations.

To confirm the selective palladium sensing, we further performed the examination of the photoluminescence of **P2** in the

case of co-existence of several metal cations. As shown in Fig. 9A, the emission intensity of **P2** was reduced to 44% in the co-presence of three ions of  $\text{Ag}^+$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$ . Comparatively, in the case of the co-presence of four ions of  $\text{Pd}^{2+}$ ,  $\text{Ag}^+$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$ , the emission intensity was reduced greatly to 8.7%, indicating high selectivity of **P2** for  $\text{Pd}^{2+}$  cations over other metal cations. This quenching effect is equal to the case of  $\text{Pd}^{2+}$  cations only. Without a doubt, even when  $\text{Pd}^{2+}$  cations are mixed with other metal cations such as  $\text{Ag}^+$ ,  $\text{Zn}^{2+}$ , and  $\text{Cu}^{2+}$ , the emission quenching is selectively induced by the palladium cations by excluding the other metal cations from moving close to **P2**. From the photographs in Fig. 9B, it can be seen clearly that the two micellar dispersions coexisting with several metal cations remained in the micellar state without sediments. Under UV light irradiation, the micellar dispersion containing  $\text{Ag}^+$ ,  $\text{Zn}^{2+}$ , and  $\text{Cu}^{2+}$  still emits noticeable blue light, while the micellar dispersion in which  $\text{Pd}^{2+}$  was added to  $\text{Ag}^+$ ,  $\text{Zn}^{2+}$ , and  $\text{Cu}^{2+}$  barely showed the original blue. This suggests that the sensing of  $\text{Pd}^{2+}$  cation by **P2** can be judged even with the naked eye.

There are many reports of the sensing of  $\text{Pd}^{2+}$  cations depending on an optical process using organic fluorescent compounds that can turn their emission “ON” or “OFF” when they chelate with  $\text{Pd}^{2+}$  cations.<sup>58–65</sup> However, the synthesis of the special fluorescent compounds is expensive. Our polymer reported here with common theophylline and imidazole moieties is not only inexpensive but also simply and effectively useable as an optical sensor for palladium cations.

## Conclusions

In summary, we found a new photoluminescent amphiphilic block copolymer featuring thermo-/pH-responsibility and excellent palladium ion response in aqueous media. In this block copolymer, the emitting of blue luminescence is caused by two randomly introduced moieties of theophylline and imidazole in the side chains of the hydrophobic block. That is, the intra-chain  $\pi\text{--}\pi$  interactions between theophylline and imidazole moieties (*i.e.*, in the same polymer chain) is an



intrinsic event that promotes the formation of a charge-transfer-like complex which effectively emits blue luminescence under UV-irradiation. Because our amphiphilic block copolymer consisted of a hydrophobic photoluminescent block and hydrophilic thermoresponsive block of PNIPAM, the copolymer can self-assemble into core-shell polymer micelles in aqueous media. The core features photoluminescence with pH and metal cation sensitivity, while the shell displays thermo-sensitivity. Therefore, the micelles can smartly respond to light, heat, pH and metal cations. As an important function, under UV irradiation with a wavelength longer than 350 nm, the micelles exhibit selective optical sensing of Pd<sup>2+</sup> cations in a mixture of several metal cations. The photoluminescence from the micelles turns “ON” without Pd<sup>2+</sup> cations but turns “OFF” with Pd<sup>2+</sup> cations. This is because the theophylline moiety has extraordinary coordination power for Pd<sup>2+</sup> cations, by which the emitter (*i.e.*, charge transfer associates of theophylline/imidazole) dissociates. Our finding in this work is the first example of the use of non- $\pi$ -conjugated imidazole derivatives in polymer side chains to generate photoluminescence. We believe that the polymer found here could be simply applied in sensing, catalysis and vehicles together with thermo-responsivity.

## Experimental section

### Materials

*N*-Isopropylacrylamide (NIPAM, 98%, Tokyo Kasei Kogyo Co.) was purified by recrystallization from *n*-hexane. 4-(Chloromethyl)styrene (VBC, 90%, Tokyo Chemical Industry Co.) was passed through a column of activated basic alumina (SIGMA-ALDRICH, neutral, Brockmann) to remove inhibitors. 2,2'-Azobis(isobutyronitrile) (AIBN, 98%, Tokyo Kasei Kogyo Co.) was purified by recrystallization from methanol. Cyanomethyl benzodithioate (CMB, 99%, SIGMA-ALDRICH), benzoyl peroxide (BPO, 75%+ wetted with *ca.* 25% water, Tokyo Chemical Industry Co.), Theophylline (99.0+%, FUJIFILM Wako Pure Chemical Co.), Imidazole (98.0+%, FUJIFILM Wako Pure Chemical Co.), *N,N*-dimethylformamide (GODO Co.), 1,4-dioxane (99.5+%, FUJIFILM Wako Pure Chemical Co.), dimethyl sulfoxide (99.5+%, FUJIFILM Wako Pure Chemical Co.), methanol (99.5+%, FUJIFILM Wako Pure Chemical Co.), and metal acetates (palladium, silver, barium, zinc, copper) (FUJIFILM Wako Pure Chemical Co.) were used as received.

### Synthesis of PVBC-CDB by RAFT polymerization

The PVBC-CDB macro-RAFT agent was prepared by RAFT polymerization as follows. VBC (10.0 g, 65.5 mmol), CMB (0.12 g, 0.66 mmol), AIBN (27 mg, 0.16 mmol), and 1,4-dioxane (4.35 g, 30 wt%) were added to a Schlenk flask. After the mixture was degassed thrice by freeze-pump-thaw cycles by purging with N<sub>2</sub> gas, the reaction solution was stirred at 80 °C for 21 hours. The crude product was diluted with THF, precipitated in a large amount of methanol three times and then dried under vacuum at 40 °C. Yield: 7.3 g. GPC:  $M_n = 10\,471$ ,  $M_w = 11\,998$ , PDI = 1.15, degree of polymerization (DP) = 74.

### Synthesis of PVBC-*b*-PNIPAM diblock copolymer

A typical procedure of the preparation of the PVBC-*b*-PNIPAM diblock copolymer and removal of the thiocarbonylthio end-groups is as follows.

PVBC<sub>74</sub>-CDB (1.00 g, equal to 87.1  $\mu$ mol of CDB end groups), AIBN (4.3 mg, 26  $\mu$ mol), *N*-isopropylacrylamide (NIPAM) (9.84 g, 87.1 mmol) and 1,4-dioxane (16.3 g, 60 wt%) were mixed in a Schlenk tube. After the solution was degassed by three freeze-pump-thaw cycles, the sample tube was heated at 65 °C for 6 hours. Then, the crude product diluted with THF was precipitated three times in *n*-hexane and dried at 40 °C *in vacuo*. Yield: 4.3 g. GPC:  $M_n = 60\,022$ ,  $M_w = 75\,649$ , PDI: 1.260, DP = 383.

The procedure of removal of thiocarbonylthio end-groups from the above diblock copolymer was as follows. A 100 mL round-bottomed flask was charged with PVBC<sub>74</sub>-*b*-PNIPAM<sub>383</sub>-CDB (2.0 g, 37  $\mu$ mol of CDB end groups,  $M_n$  (NMR) = 55 kg mol<sup>-1</sup>), BPO (0.177 g, 0.73 mmol), and 1,4-dioxane (60 mL). The solution was refluxed for 1 hour at 80 °C under nitrogen atmosphere to remove the end-groups of dithiobenzoate. The crude product was diluted with THF, precipitated in a large amount of *n*-hexane 4 times and then dried under vacuum. Yield: 1.8 g. GPC:  $M_n = 61\,177$ ,  $M_w = 98\,649$ , PDI = 1.61.

### Synthesis of PVBT-*b*-PNIPAM (P1)

PVBC<sub>74</sub>-*b*-PNIPAM<sub>383</sub> (0.09 g,  $M_w$ : 54 600, number of mol<sub>Cl</sub>: 0.13 mmol), theophylline (24 mg, 0.14 mmol), K<sub>2</sub>CO<sub>3</sub> (37 mg, 0.27 mmol), KI (45 mg, 0.27 mmol) and DMF (10 mL) were mixed in a 30 mL round-bottomed flask and reacted at 80 °C for 24 hours. After cooling to room temperature, the solid fraction was removed by suction filtering and the filtrate was dropped into water/methanol (*v/v* = 1/1) to precipitate the product. The white precipitates obtained were dried under ambient conditions. Yield: 91 mg.

### Synthesis of PVBT/I-*b*-PNIPAM (P2)

PVBC<sub>74</sub>-*b*-PNIPAM<sub>383</sub> (0.08 g,  $M_w$ : 54 600, number of mol<sub>Cl</sub>: 0.12 mmol), theophylline (17 mg, 0.094 mmol), imidazole (3 mg, 0.041 mmol), K<sub>2</sub>CO<sub>3</sub> (23 mg, 0.14 mmol), KI (19 mg, 0.14 mmol) and DMF (10 mL) were mixed in a 30 mL round-bottomed flask and reacted at 80 °C for 24 hours. After cooling to room temperature, the reaction mixture was poured into a small amount of water and then dialyzed against water three times. After freeze-drying, a white powder was obtained. Yield: 85 mg.

### Synthesis of PVBI-*b*-PNIPAM (P3)

PVBC<sub>74</sub>-*b*-PNIPAM<sub>383</sub> (0.09 g,  $M_w$ : 54 600, number of mol<sub>Cl</sub>: 0.13 mmol), imidazole (9 mg, 0.14 mmol), K<sub>2</sub>CO<sub>3</sub> (23 mg, 0.14 mmol), KI (19 mg, 0.14 mmol) and DMF (10 mL) were mixed in a 30 mL round-bottomed flask and reacted at 80 °C for 24 hours. After cooling to room temperature, the reaction mixture was poured into a small amount of water and dialyzed against water three times. After freeze-drying, a white powder was obtained. Yield: 89 mg.

### Preparation of the polymer micelles

In 0.2 mL of DMSO, 4 mg of polymer (P1 or P2 or P3) was dissolved with heating. Then, by dropping the solution into 1.8 mL



of water [or HCl (aq, pH 2.2) and ammonia (aq, pH 10.2) solutions or metal acetates aqueous solution (2.5 mM and 0.025 mM)] under stirring, a series of micellar dispersions with a concentration of 2 mg mL<sup>-1</sup> polymer were prepared. All the micellar dispersions were subjected to measurements with a thermo-equipped DLS spectrophotometer, thermo-equipped UV-Vis spectrometer and spectrofluorometer.

### Characterizations

<sup>1</sup>H NMR spectra were recorded on a JEOL JNM-ECA600 NMR and are reported in ppm downfield from tetramethylsilane. GPC profiles were recorded on a HLC-8320 instrument (Tosoh, Japan; column: Shodex Asahipak GF-510 HQ + GF-1G 7B; eluent: DMF containing 10 mM LiBr; flow rate: 0.6 mL min<sup>-1</sup>). Differential scanning calorimetry (DSC) experiments were performed on a HITACHI X-DSC-7000. UV-vis spectra were recorded on a SHIMADZU UV-2600 spectrometer supplied with a temperature-controlled cell positioner (CPS-100). The diameters of the polymer micelles in water were evaluated with an Otsuka Electronics FPAR-1000 DLS spectrophotometer. Fluorescence studies were carried out with a JASCO FP-8300 spectrofluorometer. The morphology of the nano-micelles was observed using a scanning electron microscope (SEM, Hitachi SU-8010). The SEM samples were prepared by dropping a polymer solution onto a silicon wafer.

### Conflicts of interest

The authors declare no conflict of interest.

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