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# Electron delocalisation in conjugated sulfur heterocycles probed by resonant Auger spectroscopy†

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We propose a novel approach for an indirect probing of conjugation and hyperconjugation in core-excited molecules using resonant Auger spectroscopy. Our work demonstrates that the changes in the electronic structure of thiophene (C<sub>4</sub>H<sub>4</sub>S) and thiazole (C<sub>3</sub>H<sub>3</sub>NS), occurring in the process of resonant sulfur K-shell excitation and Auger decay, affect the stabilisation energy resulting from  $\pi$ -conjugation and hyperconjugation. The variations in the stabilisation energy manifest themselves in the resonant S KL<sub>2,3</sub>L<sub>2,3</sub> Auger spectra of thiophene and thiazole. The comparison of the results obtained for the conjugated molecules and for thiolane (C<sub>4</sub>H<sub>8</sub>S), the saturated analogue of thiophene, has been performed. The experimental observations are interpreted using high-level quantum-mechanical calculations and the natural bond orbital analysis.

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## 1 Introduction

Conjugation is an important concept in organic chemistry describing electron delocalisation over the molecule through the overlapping molecular orbitals. The interaction between p-orbitals or  $\pi$ -orbitals leads to  $\pi$ -conjugation, whereas the interaction between the orbitals involving at least one  $\sigma$ -bond is commonly referred to as hyperconjugation.<sup>1–3</sup> In a broad sense that we adopt in this work, one can distinguish geminal and vicinal hyperconjugation involving interaction of orbitals at the same atom or at the adjacent atoms, respectively<sup>3</sup>.

Although electron delocalisation in conjugated systems cannot be directly observed experimentally, it can be probed indirectly through observable phenomena resulting from the electron delocalisation. For example, thermodynamic stability of aromatic compounds was often interrogated in experimental chemistry to quantify the degree of conjugation relative to the reference compounds.<sup>4</sup> Vibrational spectroscopy was shown to be sensitive to hyperconjugation in hydrazides in the gas phase and in solution.<sup>5</sup>

From the theoretical side, the conjugation phenomenon can be addressed by using the Natural Bond Orbital (NBO) method.<sup>6,7</sup> In this approach, localised bonds and lone pairs are the basic units to describe the chemical structure of molecules following the Lewis model. The so-called stabilisation energy can be used to quantitatively estimate the

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conjugation “strength” describing how much the potential energy of a molecular system with delocalised electron density is lower with respect to a hypothetical reference system with an ideal Lewis structure.

Stability of conjugated systems is generally considered as a characteristic of an electronic ground state. Extension of the conjugation concept to the lowest electronically excited states and its relevance for chemical reactivity has been addressed in ref. 8. Despite the numerous studies on core ionisation of conjugated molecules exposed to X-ray radiation (see for example ref. 9 and 10), to the best of our knowledge, a possible evolution of stabilisation energy in the process of core excitation and consecutive electronic decays has not been addressed so far.

One of the most powerful techniques providing access to the dynamic changes of electronic structure in core-excited molecules is resonant Auger spectroscopy (RAS) (see ref. 11 and references therein). Our work demonstrates a novel application of RAS as a probe of conjugation and hyperconjugation effects in core-excited aromatic molecules. We demonstrate, both experimentally and theoretically, that the changes of electronic structure of thiophene ( $C_4H_4S$ ) and thiazole ( $C_3H_3NS$ ), occurring in the process of resonant sulfur K-shell excitation and Auger decay, affect the stabilisation energy resulting from  $\pi$ -conjugation and hyperconjugation. The variations in the stabilisation energy manifest themselves in the resonant S  $KL_{2,3}L_{2,3}$  Auger spectra of thiophene and thiazole. The choice of these molecules is motivated by the growing attention to their role as building blocks for promising organic materials used for solar cells, chemical sensors, photovoltaic devices, among other applications.<sup>12–17</sup> The results obtained in the conjugated molecules are compared to the resonant Auger spectra of thiolane ( $C_4H_8S$ ), the saturated analogue of thiophene. The experimental observations are qualitatively reproduced with high-level quantum-mechanical calculations and are interpreted in terms of conjugation and hyperconjugation effects using the NBO method.

## 2 Computational details

### 2.1 Multiconfigurational calculations for core-excited states

In order to estimate the transition energies to the core-excited states, we applied the Inner-Shell Multiconfigurational Self-Consistent Field (IS-MCSCF) procedure.<sup>18</sup> In this state-specific method, the active space is split in multiple groups, as in the Restricted Active Space Self-Consistent Field<sup>19,20</sup> (RASSCF) formulation: one group containing the inner-shell orbitals (labelled as RAS1), and another group containing the remaining orbitals of interest (labelled as RAS2 and, when necessary, RAS3). Each of these groups has a particular electronic occupancy assigned, according to the target state, and is optimised in different SCF steps, avoiding the variational collapse for the convergence of highly excited states. The procedure is adjustable and can also be generalised to any construction of active spaces.<sup>21</sup> Using this procedure we can obtain the individual

vertical absorption transitions, the shape of the molecular orbitals and estimate the Auger electron kinetic energies.

For the ground state of the thiophene and thiazole molecules, we applied a wave function CASSCF(12e,10o), with 12 electrons in the active space and 10 orbitals including the bonding and antibonding pairs of orbitals related to the  $\sigma_{S-C}$  (4 orbitals) and  $\pi$ -bonds (4 orbitals), and the orbitals related to sulfur lone pairs (2 orbitals). An equivalent selection was made for the thiolane molecule, using a wave function CASSCF(8e,6o), including the bonding and antibonding pairs related to the  $\sigma_{S-C}$  (4 orbitals) and the orbitals related to sulfur lone pairs (2 orbitals). The same active spaces were applied as the valence active spaces of IS-MCSCF calculations (RAS2) describing the lowest core-excitation transitions.

When calculating the core-excited states using the IS-MCSCF method, we include their corresponding core orbitals in the active space. For the sulfur K-shell excitation, the RAS1 group contains the orbital equivalent to S 1s and its occupancy is constrained to one electron, moving the other 1s electron to the RAS2 valence group. For the final states, resulting from sulfur Auger KLL spectator decay, the RAS1 group contains the three S 2p orbitals and its total occupancy is constrained to four electrons so that one electron is moved to the valence active space and another one, related to the Auger emission, is removed from the system.

The state-specific IS-MCSCF wave functions for the intermediate  $1s^{-1}V$  core-excited states were directly calculated selecting their state symmetry. For the final  $2p^{-2}V$  states, we applied a state-average (SA) multiconfigurational wave function between all the investigated states, amounting to six states for thiophene and thiazole molecules (SA6-IS-MCSCF) and three states for thiolane molecule (SA3-IS-MCSCF).

Additionally, to describe the higher-energy transitions in the S 1s absorption spectra, we extended the IS-MCSCF calculations to core-excited states related to the excitations beyond the active spaces described above. These calculations setup include an additional active space (RAS3) containing four virtual orbitals of a given symmetric irreducible representation. The single-electron excitation from the RAS1 to the RAS3 is described by constraining the electron occupancy of RAS3 to one. The excited states were obtained by SA-IS-MCSCF calculations, in which each RAS group is optimised in a distinct self-consistent step, with the first four states equally weighted (SA4-IS-MCSCF). For each irreducible representation, an individual SA4-IS-MCSCF calculation was performed, in which the RAS3 space was composed by four orbitals assigned to this representation.

Electronic transition energies were obtained as a difference between the ground and excited states energies ( $\Delta$ MCSCF). Oscillator strengths for S 1s excitations were obtained using the IS-MCSCF molecular orbitals as the basis to the calculation of the transition dipole moments between the ground state and the excited states using the Restricted Active Space Configuration Interaction (RASCI)<sup>19</sup> method.

Ground state equilibrium geometries were obtained by the geometry optimisation procedure at the second-order Møller-Plesset perturbation theory (MP2) level (see ESI†).<sup>22</sup> Relativistic

scalar effects were recovered by 4th Douglas–Kroll–Hess method (DKH).<sup>23–25</sup> We applied the core-extended Dunning's correlated consistent polarized basis set, aug-cc-pCVTZ-DK.<sup>26–31</sup> A similar approach was previously applied to sulfur core-hole states providing a good agreement with the experiment.<sup>32</sup> All calculations were done using MOLPRO 2012 package.<sup>33,34</sup>

## 2.2 Natural bond orbitals for core-excited states

The role of conjugation and the related stabilisation energy in the process of resonant Auger decay of core-excited states was evaluated using the NBO method relying on the second-order perturbation theory analysis of the Fock matrix.<sup>35–37</sup> The NBO analysis was previously applied in the assignment of XANES (X-ray absorption near-edge spectroscopy) spectra of actinide complexes.<sup>38</sup>

The NBOs are an orthonormal set of localised one-centre or two-centre orbitals describing the electron density distribution on the atoms (lone pairs) and between the atoms (bonds) in a molecule. This set of localised orbitals represents the Lewis structure. For the ideal localised Lewis structure representation, the NBO occupancy  $q$  is an integer ( $q = 2$  for filled orbitals and  $q = 1$  for open-shell orbitals). In NBO analysis, the electron delocalisation is described as a deviation from the ideal Lewis structure towards the molecular orbitals picture and is reflected in a variation of the NBO occupancy from an integer.

The stabilisation energy ( $\Delta E_{\text{da}}^{(2)}$ ) can be used to quantify the strength of the conjugation effect due to electron delocalisation between an occupied donor NBO(d) and a non-occupied acceptor NBO(a), and is calculated as:

$$\Delta E_{\text{da}}^{(2)} = q_{\text{da}} \frac{F_{\text{da}}^2}{\epsilon_{\text{a}} - \epsilon_{\text{d}}}$$

where  $q_{\text{da}}$  is the maximum electron population of the donor orbital that can be transferred to the acceptor orbital,  $F_{\text{da}}$  is the off-diagonal NBO Fock matrix element, and  $\epsilon_{\text{d}}(\epsilon_{\text{a}})$  is the donor (acceptor) orbital energy.<sup>35</sup> The Lewis-type NBO interactions related to conjugation effects,<sup>3,39,40</sup> such as  $\pi$ -conjugation effect (delocalisation over  $\pi$ -orbitals and lone pairs) and the hyper-conjugation effect (delocalisation over  $\sigma$ -orbitals and lone pairs), were evaluated for the investigated heterocyclic molecules. The threshold for considering the NBO interactions was set to 1 meV.

As the perturbation theory analysis of the Fock matrix requires a single electronic configuration wave function, we described each  $1s^{-1}V$  and  $2p^{-2}V$  core excited state with a state-specific Restricted open-shell Hartree–Fock (ROHF) method.<sup>41</sup> A two-step self-consistent procedure was applied, similar to the approach used in the IS-MCSCF calculations. Ground-state wave functions were obtained by the Restricted Hartree–Fock (RHF) method.<sup>42</sup> Then, using the molecular orbitals resulting from these calculations, we obtained the NBO sets for each electronic state involved in our analysis.

## 3 Experiment

The measurements were performed at the HAXPES end station, based on a hemispherical electron analyser, installed on the GALAXIES beam line at the synchrotron SOLEIL.<sup>43,44</sup> The vapour of the studied samples, liquid at room temperature, was transferred to the experimental chamber through a sealed gas inlet system. The pressure inside the chamber was kept constant during the measurements. We recorded the resonant Auger S  $KL_{2,3}L_{2,3}$  spectra for thiophene, thiolane, and thiazole molecules in the gas phase with a total resolution of about 0.3 eV, including the photon bandwidth and the spectrometer resolution. The electron kinetic energy and the photon energy were calibrated using the well-known Argon LMM Auger spectrum and Argon  $2p^{-1}$  photoelectron spectrum,<sup>45</sup> which were measured under the same experimental conditions.

The Auger S  $KL_{2,3}L_{2,3}$  spectra were obtained for the photon energies between 2470 eV and 2480 eV tuned in steps of 0.1 eV for thiophene and thiolane, and 0.2 eV for thiazole. This photon energy range stretches from below the S  $1s \rightarrow$  LUMO (lowest unoccupied molecular orbital) resonant excitation to beyond the S  $1s$  ionisation threshold located around 2478 eV. The fine-tuning of the photon energy allows visualising the Auger spectra as 2D maps showing a continuous evolution of the Auger electron kinetic energy as a function of the photon energy. The X-ray absorption-like partial electron yield (PEY) spectra for sulfur K-edge were obtained by integrating the intensity of the 2D maps signal over electron kinetic energy.

## 4 Results and discussion

### 4.1 Absorption spectra

The experimental PEY and the calculated absorption spectra near the sulfur K-edge for thiolane, thiophene, and thiazole molecules are presented in Fig. 1. The calculated spectra were shifted by  $-3.2$  eV for thiazole and  $-2.7$  eV for thiophene and thiolane, respectively, to compare with the experimental spectra. The calculated vertical transitions were broadened with Voigt functions. The Lorentzian part of a Voigt function represents the lifetime broadening of the S  $1s$  hole, 0.52 eV full-width at half-maximum (FWHM).<sup>46</sup> The Gaussian part accounts for the experimental resolution and the broadening due to a possible nuclear motion in the core-excited states, which varies for different states.

We start the discussion with the thiolane molecule (Fig. 1a). Thiolane can exist in the envelope ( $C_s$ ) and twisted ( $C_2$ ) enantiomeric forms, with the latter being the most stable one in the gas phase.<sup>47</sup> The experimental PEY spectrum (green dots) shows an intense peak at the photon energy of 2472.6 eV and a shoulder at  $\approx 2473.5$  eV. These features are attributed in the calculated absorption spectrum to the S  $1s \rightarrow 12b^*$  and S  $1s \rightarrow 14a^*$  transitions for the twisted form (solid green line) and S  $1s \rightarrow 10a''^*$  and S  $1s \rightarrow 16a'^*$  transitions for the envelope enantiomer (solid orange line). The natural orbitals are labelled in symmetry notation (see also Fig. 2). Our calculations show very similar results for transition energies in the two

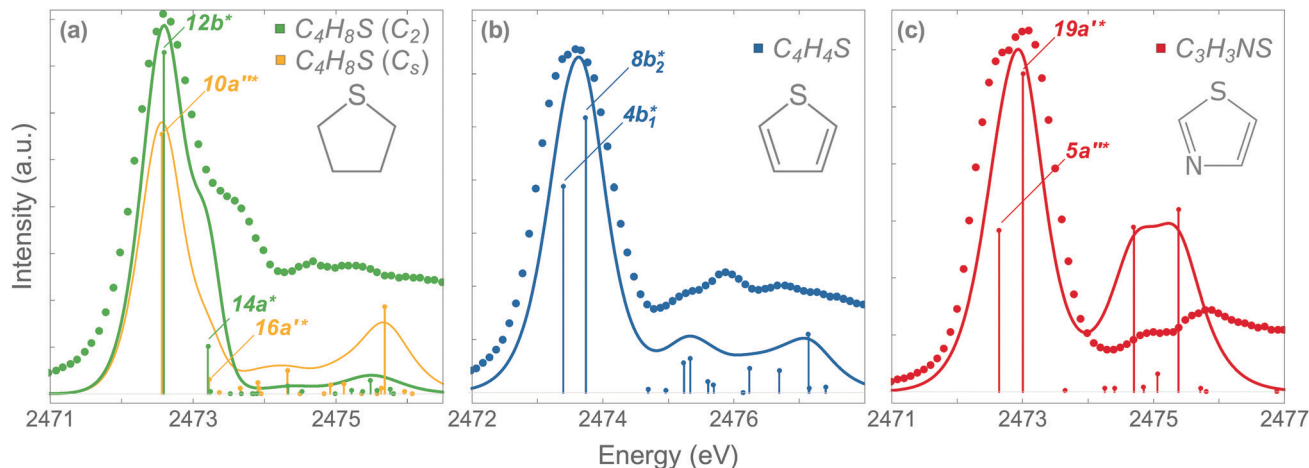


Fig. 1 The absorption spectra near S K-edge in thiolane (a), thiophene (b) and thiazole (c). The dots and solid lines correspond to the experimental points and the calculated spectra, respectively. The energy axis was shifted in the calculated spectra by  $-2.7$  eV in thiolane and thiophene and by  $-3.2$  eV in thiazole.

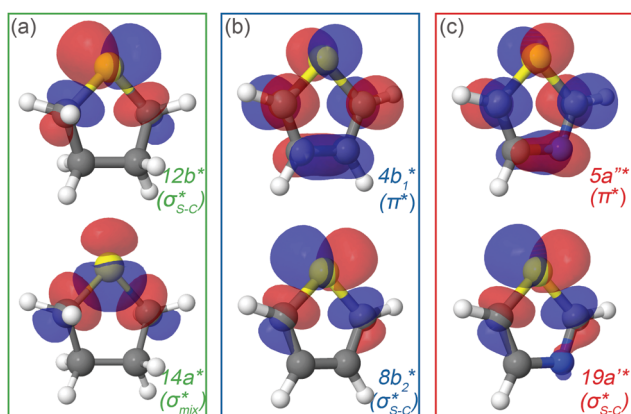


Fig. 2 Natural orbitals obtained at the IS-MCSCF level for (a) thiolane in twisted conformation, (b) thiophene and (c) thiazole corresponding to S  $1s \rightarrow$  LUMO/LUMO+1 excitation.

enantiomeric forms (see Table 1). Therefore, we will limit our further discussion to the most stable twisted enantiomer. The results for thiolane in the envelope enantiomeric form can be found in the ESI.†

The thiophene PEY spectrum is presented in Fig. 1b together with theoretical calculations. The experimental spectrum (blue dots) is dominated by a broad, barely resolved peak composed of the transitions from S  $1s$  shell to the first two unoccupied molecular orbitals at the photon energies of 2473.2 eV and 2473.6 eV, which our calculations attribute to S  $1s \rightarrow 4b_1^*$  and S  $1s \rightarrow 8b_2^*$  transitions, respectively. A similar unresolved peak composed of two overlapping transitions was earlier reported in thiophene S  $1s$  NEXAFS around the photon energy of 2473.4 eV.<sup>48</sup>

The dominant peak in the experimental PEY spectrum of thiazole (Fig. 1c) has a clear double-peak structure with the maxima at 2472.6 eV and 2473.2 eV, which we attribute to S  $1s \rightarrow 5a^{**}$  and S  $1s \rightarrow 19a^{**}$  transitions, respectively.

Although the calculated absorption spectra agree quite well with the experimental PEY measurements for the two lowest

absorption transitions, discrepancies are observed at higher excitation energies due to the limitations of the SA multi-configurational approach applied to describe their electronic structure. Moreover, the appropriate description of these diffuse states with a mixed valence-Rydberg character requires a larger basis set than the one used in the present study. Here we focused our interest on the lowest absorption transitions as described below.

In the IS-MCSCF method, each core-excited state is described by a wave function which can be represented by a unique set of one-electron natural orbitals.<sup>49</sup> Fig. 2 shows the natural orbitals corresponding to the S  $1s \rightarrow$  V excitation in thiolane, thiophene and thiazole molecules, where V stands for LUMO or LUMO+1. Detailed information about the natural orbitals, such as their occupancies and irreducible representations can be found in ESI.†

For thiolane, the shapes of the obtained natural orbitals (Fig. 2a) allow us to assign the  $12b^*$  (LUMO) as the  $\sigma_{S-C}^*$  orbital. The  $14a^*$  (LUMO+1) in thiolane was previously assigned as  $\pi_{CH_2}^*$ ,<sup>48</sup> by an analogy with the transition observed in cyclic<sup>50</sup> and non-cyclic<sup>51</sup> alkanes. However, analysing the occupancy of the calculated natural orbitals, we can attribute it to an anti-bonding  $\sigma_{S-C}^*$  orbital with a mixed Rydberg character of s- and p-atomic orbitals from sulfur and the nearest carbon atoms, which we label as  $\sigma_{mix}^*$ .

The same analysis for the  $1s^{-1}V$  excited states is presented in Fig. 2b and c for thiophene and thiazole, respectively. In both molecules, the LUMO and LUMO+1 are attributed to  $\pi^*$  and  $\sigma_{S-C}^*$  orbitals, respectively. Our assignment regarding thiophene agrees with the previous experimental study<sup>48</sup> and is further confirmed by  $\Delta$ SCF<sup>52</sup> and PBE (Perdew–Burke–Ernzerhof) density functional<sup>53</sup> calculations.

## 4.2 Auger KLL decay

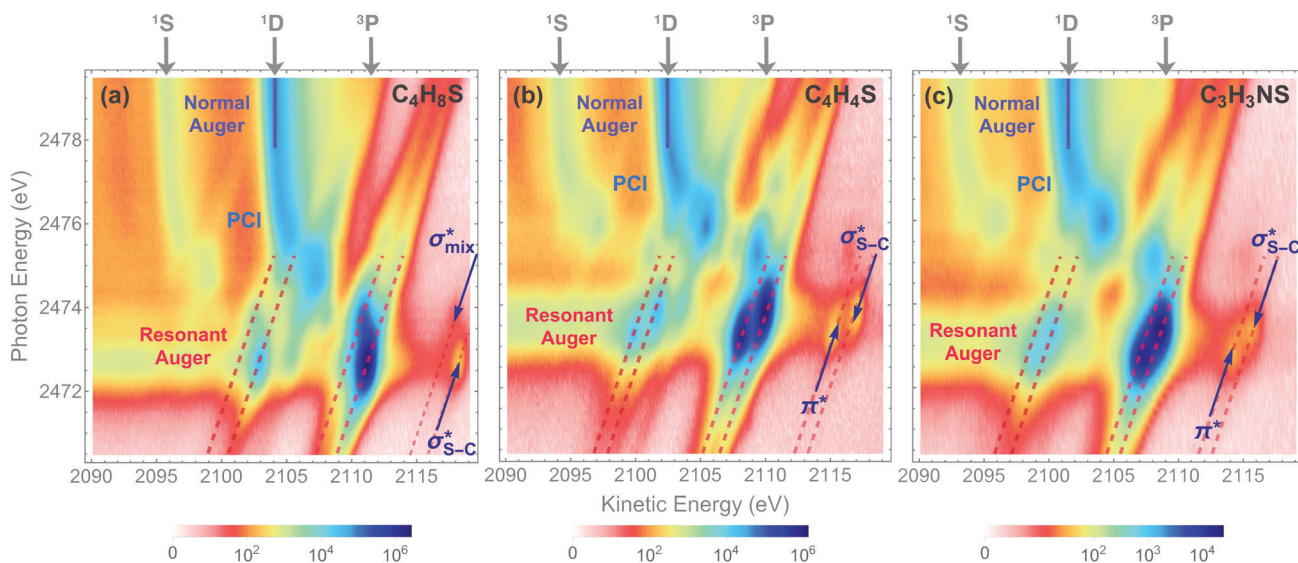
The dominant relaxation mechanism of  $S^*$  ( $1s^{-1}V$ ) core-excited states is the so-called KLL spectator Auger decay leading to a

**Table 1** Experimental and calculated energies  $E$  of the intermediate  $S\ 1s^{-1}V$  and final  $S\ 2p^{-2}(^3P)V$  states with respect to the ground state and  $S\ KL_{2,3}L_{2,3}$  resonant Auger electron kinetic energies  $E_{kin}(1s^{-1}V \rightarrow 2p^{-2}(^3P)V)$  in thiolane, thiophene, and thiazole. Energies are given in eV

Molecule	Intermediate state			Final state		Auger electron energy	
	Attribution	$E_{exp.}$	$E_{calc.}$	Main configuration	$E_{calc.}$	Exp.	Calc.
Thiolane twisted	$S\ 1s \rightarrow 12b^*$ ( $S\ 1s^{-1}\sigma_{S-C}^*$ )	2472.6	2475.29	$S\ 2p_x^{-1}2p_z^{-1}12b^*$	356.25	2118.1	2119.04
				$S\ 2p_x^{-1}2p_y^{-1}12b^*$	356.63		2118.66
$C_4H_8S\ (C_2)$	$S\ 1s \rightarrow 14a^*$ ( $S\ 1s^{-1}\sigma_{mix}^*$ )	2473.5	2475.91	$S\ 2p_y^{-1}2p_z^{-1}12b^*$	356.71	2117.9	2118.58
				$S\ 2p_x^{-1}2p_y^{-1}14a^*$	357.76		2118.15
				$S\ 2p_x^{-1}2p_z^{-1}14a^*$	357.98		2117.93
				$S\ 2p_y^{-1}2p_z^{-1}14a^*$	358.14		2117.76
Thiolane envelope $C_4H_8S\ (C_2)$	$S\ 1s \rightarrow 10a''^*$ ( $S\ 1s^{-1}\sigma_{S-C}^*$ )	2472.6	2475.26	$S\ 2p_x^{-1}2p_z^{-1}10a''^*$	356.27	2118.1	2118.99
				$S\ 2p_x^{-1}2p_y^{-1}10a''^*$	356.64		2118.62
				$S\ 2p_y^{-1}2p_z^{-1}10a''^*$	356.73		2118.53
	$S\ 1s \rightarrow 16a^*$ ( $S\ 1s^{-1}\sigma_{mix}^*$ )	2473.5	2475.92	$S\ 2p_x^{-1}2p_y^{-1}16a^*$	358.18	2117.9	2117.75
				$S\ 2p_x^{-1}2p_z^{-1}16a^*$	358.44		2117.49
				$S\ 2p_x^{-1}2p_z^{-1}16a^*$	358.58		2117.34
Thiophene $C_4H_4S$	$S\ 1s \rightarrow 4b_1^*$ ( $S\ 1s^{-1}\pi^*$ )	2473.2	2476.10	$S\ 2p_x^{-1}2p_y^{-1}4b_1^*$	360.01	2115.2	2116.09
				$S\ 2p_x^{-1}2p_z^{-1}4b_1^*$	360.09		2116.00
				$S\ 2p_y^{-1}2p_z^{-1}4b_1^*$	360.18		2115.91
	$S\ 1s \rightarrow 8b_2^*$ ( $S\ 1s^{-1}\sigma_{S-C}^*$ )	2473.6	2476.44	$S\ 2p_x^{-1}2p_z^{-1}8b_2^*$	358.47	2116.8	2117.97
				$S\ 2p_x^{-1}2p_y^{-1}8b_2^*$	358.88		2117.56
				$S\ 2p_y^{-1}2p_z^{-1}8b_2^*$	359.10		2117.34
Thiazole $C_3H_3NS$	$S\ 1s \rightarrow 5a''^*$ ( $S\ 1s^{-1}\pi^*$ )	2472.6	2475.84	$S\ 2p_x^{-1}2p_y^{-1}5a''^*$	359.77	2114.2	2116.07
				$S\ 2p_x^{-1}2p_z^{-1}5a''^*$	359.88		2115.96
				$S\ 2p_y^{-1}2p_z^{-1}5a''^*$	359.95		2115.89
	$S\ 1s \rightarrow 19a'^*$ ( $S\ 1s^{-1}\sigma_{S-C}^*$ )	2473.2	2476.20	$S\ 2p_x^{-1}2p_y^{-1}19a'^*$	358.58	2115.5	2117.62
				$S\ 2p_x^{-1}2p_y^{-1}19a'^*$	358.96		2117.24
				$S\ 2p_y^{-1}2p_z^{-1}19a'^*$	359.18		2117.02

singly charged  $S\ (2p^{-2}V)$  final state. Here two  $2p$  electrons participate in the Auger decay, one filling in the  $S\ 1s$  core hole and the other one being ejected to the continuum. The  $S\ KLL$  Auger spectra for the three investigated molecules are presented in Fig. 3 as 2D maps showing Auger electron kinetic energies as a function of the incident photon energy. The intensity is represented by a colour scale. At the top of the 2D

maps, above the  $S\ 1s$  ionisation threshold (around 2478 eV), one can observe the onset of normal KLL Auger lines. The kinetic energy of a normal Auger line is generally independent of the photon energy. However, close to the ionisation threshold, normal Auger lines are distorted and shifted to higher kinetic energy due to the presence of the post-collision-interaction (PCI) effect.<sup>54–56</sup> The sloped curves below the



**Fig. 3** 2D maps of Auger  $S\ KL_{2,3}L_{2,3}$  spectra recorded in (a) thiolane, (b) thiophene, and (c) thiazole. The labels  $^1S$ ,  $^1D$ , and  $^3P$  show the multiplet lines corresponding to the terms of the  $2p^{-2}$  final-state configuration in the normal Auger spectra. Solid blue lines highlight the strongest  $2p^{-2}$  ( $^1D$ ) multiplet line. The areas of resonant KLL Auger spectra are indicated with dashed red lines around the photon energy of 2473 eV. The  $2p^{-2}V(^3P)$  final states corresponding to the first two excited states are labelled in the 2D maps.

ionisation threshold highlighted by the dashed lines around the photon energy of 2473 eV correspond to the resonant KLL spectator Auger decay.

The S KLL normal Auger spectrum consists of three multiplet lines corresponding to the  $^1S$ ,  $^1D$ , and  $^3P$  terms of  $2p^{-2}$  final-state configuration. The strongest line of the multiplet  $^1D$  is highlighted by a solid blue line. Naturally, the multiplets of the normal Auger spectrum observed above the ionisation threshold are reproduced for every  $2p^{-2}V$  final state in the resonant Auger spectrum. However, due to the screening of the core by the spectator electron promoted to the valence molecular orbital  $V$ , the whole  $2p^{-2}V$  multiplet structure is shifted to higher kinetic energies by a so-called spectator shift. Generally, the higher the energy of the core-excited state  $1s^{-1}V$  with respect to the ground state, the smaller the spectator shift in the final state  $2p^{-2}V$ . This is illustrated in Fig. 3a for the case of thiolane. The multiplet structure of the resonant Auger spectrum with a spectator electron in a Rydberg state (photon energy  $\approx 2475$  eV) is only slightly blue-shifted with respect to the normal Auger spectrum, whereas the resonant Auger spectrum with a spectator electron in the  $\sigma_{S-C}^*$  (LUMO) (photon energy = 2472.6 eV) has the largest spectator shift of around 8 eV compared to the normal Auger spectrum.

The situation is different, however, in thiophene and thiazole (Fig. 3b and c), where the largest spectator shift corresponds to the resonant Auger decay with the spectator electron in the  $\sigma^*(LUMO+1)$ . In contrast, the resonant Auger decay with the spectator electron in the  $\pi^*(LUMO)$  manifests a smaller spectator shift. Such effect indicates that a rearrangement of the electronic structure in the process of resonant excitation and Auger decay in these molecules reverses the order of the first two excited states on the energy scale. For example, in the core-excited state of thiophene  $E(1s^{-1}\pi^*) < E(1s^{-1}\sigma^*)$ , whereas in the final state  $E(2p^{-2}\pi^*) > E(2p^{-2}\sigma^*)$ , where  $E$  is the energy of the state with respect to the ground state. The same inversion holds for thiazole. Consequently, for the Auger electron kinetic energies  $E_{kin}$  we observe that  $E_{kin}(1s^{-1}\pi^* \rightarrow 2p^{-2}\pi^*) < E_{kin}(1s^{-1}\sigma^* \rightarrow 2p^{-2}\sigma^*)$  in the spectra of both molecules.

The experimentally observed inversion of the excited states is supported by our high-level quantum-mechanical calculations. The IS-MCSCF calculations provide the energy values for the intermediate core-excited S  $1s^{-1}V$  and the final S  $2p^{-2}V$  electronic states that allow obtaining kinetic energy of Auger electrons as  $E(1s^{-1}V) - E(2p^{-2}V)$ . Table 1 summarises the experimental and calculated energies of the core-excited and final states, the corresponding Auger electron kinetic energies, the electronic configurations, and the assignment of the orbitals involved. For the final  $2p^{-2}V$  states, the main electronic configurations of the states with the lowest energies ( $2p^{-2}(^3P)V$ ) are shown; note that the  $2p_i^{-2}V$  configurations with  $i = x, y, z$  do not contribute to these states.

The results of our calculations for all the investigated molecules corroborate the experimental observations. Comparing the calculated energy order for the first two core-excited states and for the corresponding final states in each of the molecules, we can observe that the order of these states is

preserved in thiolane and reversed in thiophene and thiazole. This inversion is further reflected in the calculated resonant Auger electron kinetic energies, which qualitatively reproduce our experimental observations.

### 4.3 NBO analysis

To understand why the energy order of the core-excited and final states reverses in the conjugated thiophene and thiazole molecules, we need to assess the role of  $\pi$ -conjugation and hyperconjugation effects in the electronic structure of these molecules. Such assessment has been done using the NBO method introduced briefly in Section 2. Considering the single-reference wave function required for this analysis, we applied the IS-ROHF approach to obtain the molecular orbitals related to the investigated excited states. The energies of core-excited and final states calculated at this level of theory qualitatively agree with IS-MCSCF results presented in Table 1.

Let us first consider  $\pi$ -conjugation in the conjugated molecules, resulting from electron delocalisation over the donor lone pairs or  $\pi$ -NBOs and the acceptor  $\pi^*$ -NBOs. Fig. 4 shows the donor-acceptor NBO interactions involved in  $\pi$ -conjugation

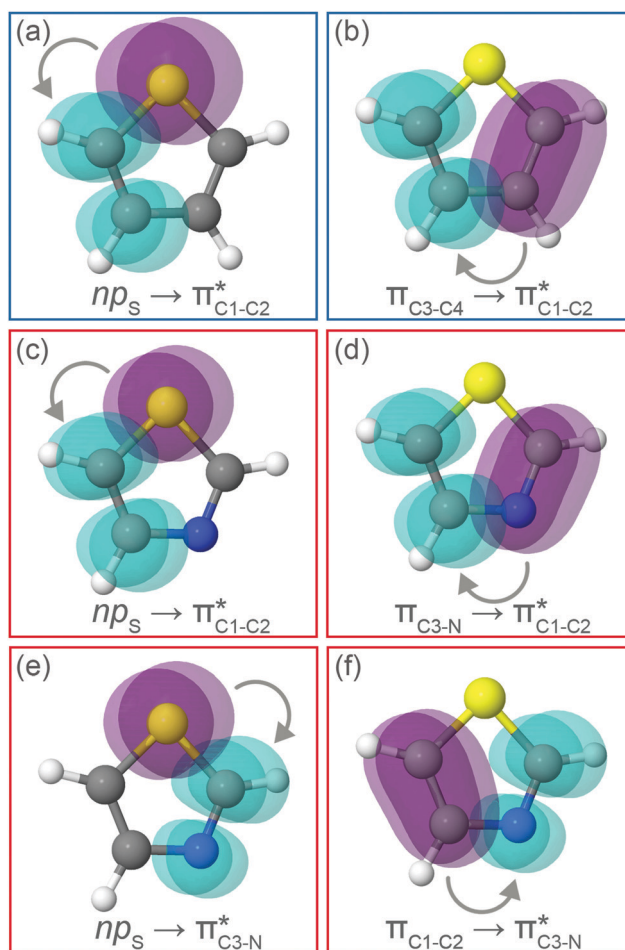


Fig. 4 Natural bond orbitals representation of the second-order perturbation interaction between donor (in purple) and  $\pi^*$  acceptor (in cyan) for (a and b) thiophene and (c–f) thiazole at the electronic ground state.

in thiophene and thiazole in the electronic ground state. The acceptor NBOs (shown in Fig. 4 in cyan) are the antibonding  $\pi_{C-C}^*$  and  $\pi_{C-N}^*$  orbitals. Several donor NBOs must be considered. There are two lone pairs at the sulfur atom: one with a hybrid  $sp^2$ -character oriented in the plane of the molecule and another one with a pure p-character (labelled “ $np_s$ ” in the following), which is oriented out of the plane of the molecule. One can expect, therefore, that only the interaction between the  $\pi^*$ -NBO acceptor and the  $np_s$  lone-pair donor can efficiently contribute to the stabilisation of the Lewis structure (see Fig. 4a, c and e), whereas the interaction with the  $sp^2$  lone pair is negligible. Another stabilising interaction occurs between the bonding  $\pi_{C-C}$  and  $\pi_{C-N}$  NBOs and the alternate antibonding  $\pi^*$ -NBO (Fig. 4b, d and f).

The stabilisation energies  $\Delta E^{(2)}$  obtained for the most relevant NBO interactions contributing to  $\pi$ -conjugation are presented in Table 2 for thiophene and thiazole in the ground, the intermediate  $S\ 1s^{-1}\pi^*$  and the final  $S\ 2p^{-2}\pi^*$  states. We see that the stabilisation energy values decrease between the ground and the intermediate core-excited states. The stabilisation is further reduced upon the relaxation to the final state *via* KLL spectator Auger decay. Particularly important is the stabilising interaction between the  $np_s$  lone pair and the  $\pi^*$ -NBO. In the ground state, this interaction results in a high stabilisation energy. The situation dramatically changes in the intermediate  $S\ 1s^{-1}\pi^*$  and the final  $S\ 2p^{-2}\pi^*$  states, where  $\Delta E^{(2)}$  decreases, respectively, by about a factor of five and a factor of ten compared to the ground state.

Our analysis shows that the observed weakening of stabilisation energy is related to the decrease of the off-diagonal NBO Fock matrix element  $F_{da}$ , which describes the overlap between the donor and acceptor NBOs, and the enhancement of the energy difference  $\varepsilon_a - \varepsilon_d$  between the donor and acceptor NBOs (see eqn (1)). Core excitation at the S 1s shell followed by KLL Auger decay leads to contraction of the electron density in the  $np_s$  lone pair NBO and, consequently, to a weaker overlap with the acceptor  $\pi^*$ -NBO. Meanwhile, the energy difference  $\varepsilon_a - \varepsilon_d$  between the donor and acceptor NBOs increases due to a relatively strong reduction of the localised  $np_s$  orbital energy  $\varepsilon_d$  caused by the increased core charge. This trend indicates a significant reduction of  $\pi$ -conjugation in both thiophene and thiazole upon core excitation and Auger decay. The detailed information on the NBO orbital energy differences  $\varepsilon_a - \varepsilon_d$  and

off-diagonal NBO Fock Matrix elements  $F_{da}$  for the investigated molecules can be found in the ESI.†

Now let us consider hyperconjugation. In the investigated molecules this effect results from electron delocalisation between the donor lone-pair or  $\sigma$ -NBOs and the acceptor  $\sigma^*$ -NBOs. We focus our discussion on the interactions where the antibonding  $\sigma_{S-C}^*$  NBOs act as acceptors. The donor  $\sigma$ -NBOs include the geminal  $\sigma_{C-C}$ ,  $\sigma_{C-N}$  and  $\sigma_{C-H}$ , as well as the vicinal  $\sigma_{C-H}$ . Furthermore, in thiazole, a nitrogen atom lone pair with a hybrid  $sp^2$ -character (labeled “ $np_N$ ”) acts as a vicinal donor NBO. Fig. 5 shows the donor-acceptor NBO interactions involved in the discussed hyperconjugation interactions in thiophene, thiazole, and thiolane in the electronic ground state. In Fig. 5 the vicinal hyperconjugation interactions are shown in the right column, while the left and middle columns correspond to the cases of geminal hyperconjugation interactions.

The stabilisation energies  $\Delta E^{(2)}$  for the most relevant NBO interactions contributing to hyperconjugation are presented in Table 3 for all the investigated molecules. In the ground state, stabilisation energy values are relatively low for all the considered NBO interactions. Specifically, the stabilisation energy is close to zero for the cases of geminal hyperconjugation where the electron delocalisation leads to weakening of the involved  $\sigma$ -bonds. In contrast, in the vicinal hyperconjugation interactions the non-zero stabilisation energies in the ground state result from the enhancement of the  $\pi$ -character of a bond between two  $\sigma$ -bonded adjacent atoms. Core excitation at the S 1s shell followed by spectator KLL Auger decay leads to a moderate increase in stabilisation energy values for all the considered cases.

Our analysis shows that the observed enhancement of  $\Delta E^{(2)}$  is mainly caused by larger  $F_{da}$  values in the core-excited and final states compared to the ground state. Creation of a positive charge at the core of the S atom induces redistribution of electron density in the  $\sigma_{S-C}$  towards the S atom and in the donor  $\sigma_{C-C}$  and  $\sigma_{C-H}$  NBOs to the adjacent C atom. Since polarisation of the acceptor  $\sigma_{S-C}^*$  NBO is reversed with respect to the  $\sigma_{S-C}$ , its electron density shifts to the C atom. The described electron density redistribution leads to a stronger overlap between the donor  $\sigma$  and acceptor  $\sigma^*$  NBOs and, consequently, larger  $F_{da}$  values.

Additionally, a slight reduction of the energy difference  $\varepsilon_a - \varepsilon_d$  between the donor and acceptor NBOs, caused by a relative

**Table 2** Results from the second-order perturbation theory analysis of Fock matrix in NBO basis. Stabilisation energies  $\Delta E^{(2)}$  for the  $\pi$ -conjugation interactions in eV. Symmetrically equivalent NBO interactions are omitted due to redundancy

Molecule	NBO orbital		Stabilisation energy $\Delta E^{(2)}$				
	Donor	Acceptor	Ground	S $1s^{-1}\pi^*$	S $2p_x^{-1}p_z^{-1}\pi^*$	S $2p_x^{-1}p_y^{-1}\pi^*$	S $2p_y^{-1}p_z^{-1}\pi^*$
Thiophene C <sub>4</sub> H <sub>4</sub> S	$np_s$	$\pi_{C1-C2}^*$	1.75	0.35	0.17	0.18	0.20
	$\pi_{C3-C4}$	$\pi_{C1-C2}^*$	1.20	0.82	0.69	0.69	0.70
Thiazole C <sub>3</sub> H <sub>3</sub> NS	$np_s$	$\pi_{C1-C2}^*$	1.57	0.38	0.20	0.21	0.23
	$np_s$	$\pi_{C3-N}^*$	2.45	0.42	0.24	0.24	0.28
	$\pi_{C3-N}$	$\pi_{C1-C2}^*$	1.57	1.57	1.50	1.51	1.52
	$\pi_{C1-C2}$	$\pi_{C3-N}^*$	1.02	0.32	0.29	0.29	0.29

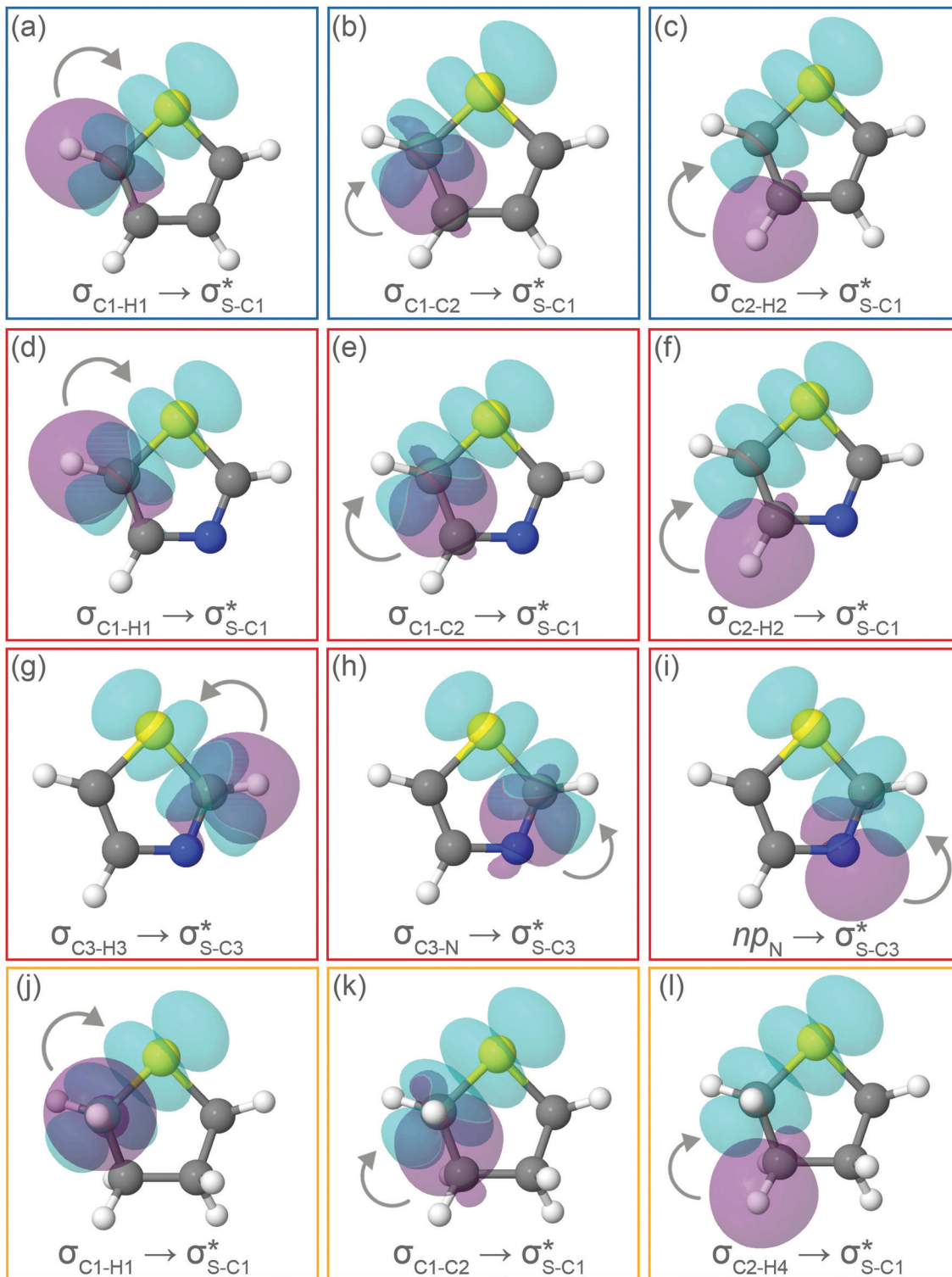


Fig. 5 Natural Bond Orbitals representation of the Second-Order Perturbation interaction between donor (in purple) and  $\sigma_{S-C}^*$  acceptor (in cyan) for (a–c) thiophene, (d–i) thiazole, and (j–l) twisted thiolane conformer in the electronic ground state.

decrease of the acceptor  $\sigma_{S-C}^*$  NBO energy  $\epsilon_a$  due to the presence of the charged core of the S atom, further contributes to the observed increase of stabilisation energy. Therefore, we can conclude that the considered hyperconjugation interactions and the related stabilisation of the  $\sigma$ -orbitals are enhanced in the intermediate and the final

states. In the conjugated molecules, the enhanced stabilisation leads to the decrease of the S  $2p^{-2}\sigma^*$  final state energy with respect to the  $2p^{-2}\pi^*$  state, thus contributing to the experimentally observed effect.

The hyperconjugation interactions occurring in the investigated molecules are not limited to the ones involving acceptor  $\sigma_{S-C}^*$  NBOs



**Table 3** Results from the second-order perturbation theory analysis of Fock Matrix in NBO basis. Stabilisation energies  $\Delta E^{(2)}$  for the hyperconjugation interactions in eV. Symmetrically equivalent NBO interactions are omitted due to redundancy

Molecule	NBO orbital		Stabilisation energy $\Delta E^{(2)}$				
	Donor	Acceptor	Ground	S $1s^{-1}\sigma^*$	S $2p_x^{-1}p_z^{-1}\sigma^*$	S $2p_x^{-1}p_y^{-1}\sigma^*$	S $2p_y^{-1}p_z^{-1}\sigma^*$
Thiophene C <sub>4</sub> H <sub>4</sub> S	$\sigma_{C1-H1}$	$\sigma_{S-C1}^*$	<0.01	0.06	0.16	0.18	0.18
	$\sigma_{C1-C2}$	$\sigma_{S-C1}^*$	<0.01	0.03	0.10	0.08	0.09
	$\sigma_{C2-H2}$	$\sigma_{S-C1}^*$	0.21	0.23	0.29	0.32	0.32
Thiazole C <sub>3</sub> H <sub>3</sub> NS	$\sigma_{C1-H1}$	$\sigma_{S-C1}^*$	<0.01	0.05	0.15	0.18	0.18
	$\sigma_{C3-H3}$	$\sigma_{S-C3}^*$	0.03	0.10	0.21	0.23	0.22
	$\sigma_{C1-C2}$	$\sigma_{S-C1}^*$	<0.01	0.03	0.11	0.10	0.10
	$\sigma_{C3-N}$	$\sigma_{S-C3}^*$	<0.01	0.04	0.09	0.08	0.08
	$\sigma_{C2-H2}$	$\sigma_{S-C1}^*$	0.18	0.20	0.27	0.29	0.29
	$np_N$	$\sigma_{S-C3}^*$	0.69	0.75	1.01	1.11	1.10
	$\sigma_{C1-H1}$	$\sigma_{S-C1}^*$	0.02	0.08	0.20	0.26	0.20
Thiolane twisted C <sub>4</sub> H <sub>8</sub> S (C <sub>2</sub> )	$\sigma_{C1-C2}$	$\sigma_{S-C1}^*$	<0.01	0.06	0.15	0.16	0.12
	$\sigma_{C2-H4}$	$\sigma_{S-C1}^*$	0.17	0.20	0.28	0.25	0.26

discussed above. For example, there exist vicinal hyperconjugation interactions between a sulfur-atom lone pair with a hybrid  $sp^2$ -character ( $np_S(sp^2)$ ), acting as a donor NBO, and the acceptor NBOs, such as  $\sigma_{C1-C2}^*$  and, specifically for the thiazole molecule,  $\sigma_{C3-N}^*$ . The stabilisation energy values for these interactions (see ESI†) are relatively low in the ground state and further decrease towards the final state. Therefore, in contrast to the previously discussed cases, these hyperconjugation interactions reduce the stabilisation of the  $\sigma$ -orbital in the final states and do not contribute to the experimentally observed effect.

To summarise, our NBO analysis shows that in conjugated molecules, relaxation of the intermediate S  $1s^{-1}V$  state *via* resonant Auger KLL decay to the final S  $2p^{-2}V$  state is accompanied by a significant destabilisation due to reduced  $\pi$ -conjugation. Furthermore, several hyperconjugation interactions contribute to an enhanced stabilisation in the final states. The combination of both effects results in the experimentally observed inversion of the energy order for the core-excited and final states in thiophene and thiazole molecules.

We would like to note that although the stabilisation energy  $\Delta E^{(2)}$  is a useful notion to quantify the conjugation effects, it cannot be directly related to any physical observable and, therefore, cannot serve for quantitative comparison to the experimental and calculated values of electron transition energies. A systematic analysis of a series of molecules using the NBO method may establish a correlation between the stabilisation energy and an experimental observable of interest, as recently demonstrated in the analysis of electron delocalisation in a series of isolated capped peptides.<sup>57</sup>

## 5 Conclusions

We have demonstrated a novel application of resonant Auger spectroscopy as a probe of conjugation effects in core-excited aromatic molecules.

High-resolution Auger sulfur KL<sub>2,3</sub>L<sub>2,3</sub> 2D maps for thiophene, thiazole, and thiolane molecules were recorded at the HAXPES end-station on the GALAXIES beamline at the

synchrotron SOLEIL. Analysis of the experimental data in the conjugated thiophene and thiazole molecules shows that in the process of core excitation and resonant spectator Auger decay, the energy order of the first two core-excited states and the corresponding final states reverses. This effect is absent in thiolane, the saturated analogue of thiophene.

Our IS-MCSCF calculations assign the lowest core-excited states in the conjugated molecules as S  $1s^{-1}\pi^*$  and  $1s^{-1}\sigma_{S-C}^*$ . The calculated electron transition energies reproduce the experimentally observed order inversion: in the intermediate state  $E(1s^{-1}\pi^*) < E(1s^{-1}\sigma^*)$ , whereas in the final state  $E(2p^{-2}\pi^*) > E(2p^{-2}\sigma^*)$ .

We attribute the observed effect to the fact that the stabilisation of the conjugated molecules resulting from  $\pi$ -conjugation and hyperconjugation is affected by the process of core-excitation and Auger decay. The NBO method allowed us to evaluate the stabilisation energy in the ground, the intermediate and the final states. A strong stabilising interaction between the sulfur p-type lone pair and the antibonding  $\pi^*$ -NBOs in the ground state is found to be significantly reduced in the intermediate and final states, leading to destabilisation of the  $\pi$ -system. On the other hand, an enhanced stabilisation resulting from hyperconjugation interactions between the antibonding  $\sigma^*$ -NBOs and the bonding  $\sigma$ -NBOs was found for the core-excited and final states with respect to the ground state. Therefore, a combination of both trends leads to the observed inversion of the energy order of the core-excited and the final states. We expect this phenomenon to be general and therefore applicable as a probe of conjugation in gas-phase molecules as well as in larger systems such as conjugated polymers.

## Conflicts of interest

There are no conflicts to declare.

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