



Cite this: *Phys. Chem. Chem. Phys.*,  
2022, 24, 5001

# Real-time monitoring of aerosol particle formation from sulfuric acid vapor at elevated concentrations and temperatures†

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In the present study, time-resolved aerosol particle formation from sulfuric acid vapor is examined with special attention to the stabilization of molecular clusters in the early phase of unary nucleation. An important factor governing this process is the amount of condensable acid vapor. Here it is produced from fast gas-phase reactions in a batch-type reaction cell for which we introduce modifications enabling real-time monitoring. The key component for size- and time-resolved detection of ultrafine particles is a new 1 nm-SMPS. With this new tool at hand, the effect of varying the precursor concentration over two orders of magnitude is investigated. We demonstrate the ability to tune between different growth scenarios as indicated by the size-resolved particle traces which exhibit a transition from sigmoidal over quasi-stationary to peak-like shape. The second key parameter relevant for nucleation studies is the temperature-dependent cluster evaporation. Due to a temperature rise during the mixing stage of the experiment, evaporation is strongly promoted in the early phase. Therefore, the present study extends the  $T$ -range used in, e.g., smog chambers. We investigate this temperature effect in a kinetic simulation and can successfully combine simulated and measured data for validating theoretical evaporation rates obtained from DLPNO-CCSD( $T_0$ )-calculations.

Received 6th October 2021,  
Accepted 30th January 2022

DOI: 10.1039/d1cp04580f

rs.c.li/pccp

## 1 Introduction

Atmospheric properties are coupled to various dynamic processes, such as new particle formation from gas-phase precursors. Field observations have demonstrated the importance of secondary aerosol formation for the appearance of cloud condensation nuclei. They identified sulfuric acid as one crucial ingredient to initiate the nucleation process.<sup>1,2</sup> The combination of laboratory, field and theoretical studies is a promising approach for a better understanding of nucleation mechanisms and the interaction of involved molecules. An illustrative example for this interplay of disciplines is the EUCAARI project, able to observe sub-2 nm particle formation at atmospheric concentrations of sulfuric acid.<sup>3</sup> In that study, the strong correlation of total particle number and vapor concentration highlights the special role of H<sub>2</sub>SO<sub>4</sub> as also

demonstrated by flow tube<sup>4–6</sup> and chamber experiments.<sup>7,8</sup> It is known that nucleation parameters obtained from experiments depend on the gas injection method. For instance, the commonly used oxidation of SO<sub>2</sub> by OH-radicals leads to a more homogeneous concentration profile of H<sub>2</sub>SO<sub>4</sub> than the liquid injection. Therefore, it allows for longer growth times and lower critical saturation ratios.<sup>3,9,10</sup> Another *in situ* source of sulfuric acid is the fast oxidation of SO<sub>2</sub> *via* stabilized Criegee Intermediates (sCIs).<sup>11,12</sup> Approximately ten years ago, our group started applying this method in a batch-type reaction cell to produce high supersaturations within a few seconds.<sup>13,14</sup> In addition to the independence from any inlet geometry (as opposed to liquid injection), working with high concentrations of acid is beneficial regarding the potential influence of impurities. For instance, ammonia is omnipresent in nucleation studies and has been identified as a contaminant in the CLOUD chamber.<sup>15</sup> However, the high concentration of sulfuric acid used in our experiments minimizes the influence of trace amounts of ammonia on nucleation.<sup>16</sup>

Building on that high supersaturation approach, a kinetic model incorporating molecular gas-phase reactions and particle dynamics was derived. Results from simulations based on this model are compared to particle size distributions (PSDs) measured at the end of each batch indicating a strong

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/d1cp04580f



dependency on molecular cluster stabilities.<sup>17</sup> This finding was supported in a recent study, in which kinetic modeling and comparison to final PSDs at elevated relative humidity were used to validate quantum chemically derived cluster evaporation rates and their humidity dependency.<sup>16</sup>

Due to limitations of the previous experimental setup, the particle measurements could not be started directly after gas expansion. However, such transient data is an essential target for further validation of the H<sub>2</sub>SO<sub>4</sub> nucleation model. In this work, a new experimental setup is characterized and applied within a wide concentration range. By variation of the initial SO<sub>2</sub> concentration, the kinetic traces of the smallest measured particles (down to around 2 nm) can be tuned from a sigmoidal to a peaked shape, also including transition-like profiles. In addition to time-dependent measurements, we also report the influence of precursor concentration on the final PSDs measured at the end of the experiment. To complete the picture, we performed kinetic simulations of PSDs and time profiles, now also including an explicit treatment of the temperature profile after expansion.

## 2 Experimental

### 2.1 Reaction cell and new gas filling procedure

As shown in Fig. 1, the central part of the apparatus is a spheric reaction cell with a volume of 64 l, pumped down to <0.04 mbar between individual batches and operated at 1000 mbar during measurements, as originally introduced by Wolf *et al.*<sup>13</sup> Teflon coating on the inner wall minimizes adsorption of reaction products. Coolant (ethylene glycol/water) is pumped between this inner and an outer wall by a cryostat (LAUDA WK 500) to maintain a temperature of 22.5 ± 0.5 °C for most of the time, being monitored by thermocouples at four different positions.

Two additional, opposite flanges equipped with KBr windows and a White mirror alignment<sup>18,19</sup> (gold coating, 12 m path length) allow coupling of an IR spectrometer (Bruker Vertex 70v) used for calibrating the concentration of the precursor species SO<sub>2</sub> (Messer, ≥99.98%) diluted with N<sub>2</sub> (Air Liquide, ≥99.9990%). Small amounts of SO<sub>2</sub> (ppb range) are filled in the pre-evacuated system from this calibrated mixture by monitoring the pressure rise (MKS Baratron, 10<sup>-4</sup> mbar precision).

Other precursors to produce sulfuric acid *via* Criegee Intermediates are O<sub>3</sub> and *trans*-2-butene, both filled in separated premixing chambers having volumes of 42 l and made of stainless steel. While the alkene (abcr, ≥99.0%) is filled into its chamber directly, O<sub>3</sub> is freshly produced from O<sub>2</sub> (Linde, ≥99.9990%) with a silent discharge lab ozonizer (Sander 301.7) and stored on silica beads at 220–230 K. It is subsequently transported into the ozone chamber with helium (Linde, ≥99.996%) as the carrier gas and the exact amount of O<sub>3</sub> is determined by means of UV absorption (lamp: NNI 60/35 XL, absorption path = 37.6 cm, pressure independent absorption coefficient<sup>20</sup> (253.7 nm, 293–298 K) = 298.3 m<sup>2</sup> mol<sup>-1</sup>, changing less than 1% in this temperature window<sup>21</sup>). Furthermore, the O<sub>3</sub> concentration was cross-calibrated with the IR system of the reaction cell.

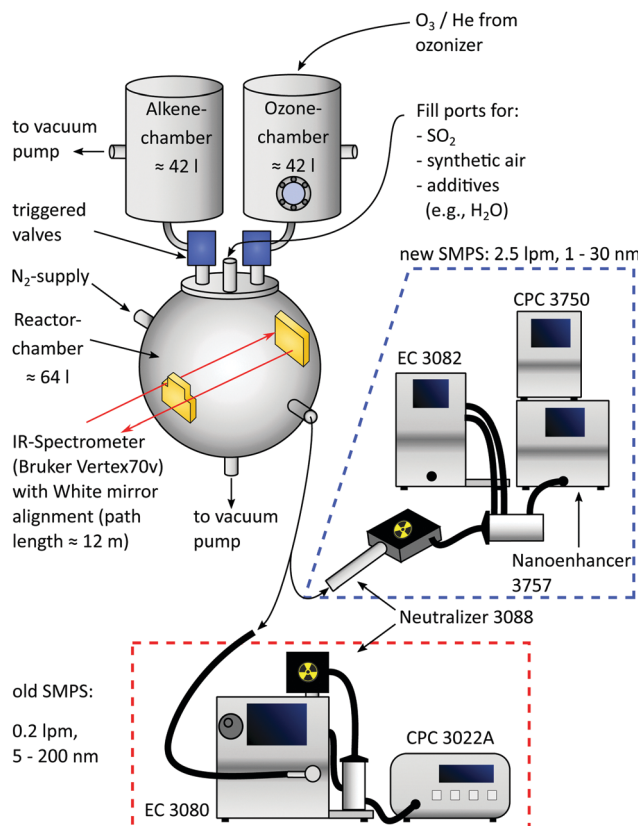


Fig. 1 Scheme of the modified batch reactor. The main changes are new premixing chambers operated at 2.1 bar and equipped with triggered valves, a new IR spectrometer and a new 1 nm-SMPS operated in tandem mode.

Afterwards, both premixing chambers are filled with synthetic air (Air Liquide, ≥99.9990%) to 2.1 bar and expansion into the reaction cell is done within 1.15 s by triggered valves (VAT, model: 21628-KE61-000). Due to the elevated premixing pressure, the expansion ends at 1 bar in the reactor cell and thus allows for immediate sampling with the new Scanning Mobility Particle Sizer (SMPS), being a crucial improvement compared to the former design. The reactor concentrations of O<sub>3</sub> and butene after expansion are 0.8 ± 0.1 ppm and 18 ± 1 ppm, corresponding to 26 ± 1% of the initial filling (see ESI†). Blind batches only containing these two species were performed to check the reactor's purity, expecting no significant particle formation.<sup>22</sup> Especially at working with high amounts of acid, this background is negligible.

### 2.2 Implementation of the SMPS

The installation of a second SMPS enables the operation in tandem mode: the initial phase of the experiment is sampled with a 1 nm-SMPS (TSI 3938E57) consisting of an electrostatic classifier (TSI 3082), aerosol neutralizer (TSI 3088), 1 nm-DMA (TSI 3086), condensation particle counter (TSI 3750) and a Nanoenhancer (TSI 3757). Two key improvements of implementing this system are a high sample flow rate (2.5 l min<sup>-1</sup>), reducing the transport time from the reactor to the CPC



(see ESI†), and the lower detection cutoff size. To further reduce transport time and particle losses, the 1 nm-SMPS was operated in a compact design<sup>23</sup> allowing sampling from 1.4 to 30 nm. With this tool at hand, tracking of transient species is realized by two approaches: in the first case, the 1 nm-SMPS is operated at a fixed voltage, hence providing continuous concentration–time profiles of particles with a defined electrical mobility diameter. This is very similar to the DMA train approach of Stolzenburg *et al.*,<sup>24</sup> but instead of operating six instruments at the same time in one experiment, we change the voltage with each batch and combine the data afterwards. This is a better choice for our setup, because the reactor volume is much smaller than a large chamber and the observation time would be limited by the summed up flow rate of six instruments. In the second mode, the rod voltage is rapidly scanned yielding an averaged particle size distribution much like a “snapshot”. With a preset purging time, it provides new PSDs in intervals of 30 s (see Section 4.3). Any time frames can be captured by introducing a delay between the expansion pulse and the beginning of the first scan.

The second SMPS, as used in previous studies, consists of the electrostatic classifier (TSI 3080), aerosol neutralizer (TSI 3088), NDMA (TSI 3085) and condensation particle counter (TSI 3022A). Because of its lower flow rate (0.2 l min<sup>-1</sup>), it is only operated at the end of the experiment providing PSDs from 5 to 200 nm of the relaxed and “quasi-static” system. Such a final PSD evolves only very slowly, merely by coagulation<sup>17</sup> and wall deposition (0.5–0.8% min<sup>-1</sup>).<sup>13</sup>

While sampling with both SMPS systems, the withdrawn volume is replaced by N<sub>2</sub> (Air Liquide, ≥99.9990%) to maintain a constant pressure in the cell.

**2.2.1 Data processing.** Sampling small particles or using low flow rates causes diffusion losses to, *e.g.*, tubing and therefore measured number concentrations have to be corrected by the penetration efficiency  $P_{\text{tot}}(D_p)$ . For the 1 nm-SMPS, such factors are provided by the manufacturer.<sup>25</sup> Penetration through connecting tubing from reaction cell to both SMPS systems can be calculated according to Soderholm<sup>26</sup> (see ESI†). This correction procedure is relevant when plotting size distributions because here, size-specific properties like the mode diameter are usually of interest. Hence, the corrected absolute numbers are required.

In contrast, absolute numbers are not essential for focusing on the relative evolution of a particular size class by examining the time profiles. A more practical reason in this context is that plotting absolute numbers could cover a number-concentration range of several orders of magnitude. Therefore, the visibility of less abundant species would be impaired. We circumvent this issue by normalizing the traces to their respective maximum. As a result, figure clarity is improved while important parameters such as appearance times<sup>27</sup> of different size classes are still depicted. In very few cases, artifacts were detected, probably resulting from switching the SMPS's bypass to the cell outlet. Minor and temporary pressure changes, which are corrected manually, can then cause false counts on the CPC, resulting in a strong and unphysical signal peak. Fortunately, such

artifacts are easy to distinguish from the actual signal and are not considered in the plots (see ESI†).

## 3 Theory and modeling

### 3.1 Quantum chemical calculations

Theoretical  $T$ -dependent evaporation rate constants of non-hydrated sulfuric acid clusters (SA)<sub>2–5</sub> were calculated using electronic structure methods. The initial cluster configurations were taken from the workflow presented by Kubečka *et al.*<sup>28</sup> (for full details, see the ESI†). The cluster sampling procedure was performed at 298.15 K. The formation free energies at different temperatures  $T$  were calculated using the standard relation

$$\Delta G_{\text{bind}} = \Delta H_{\text{bind}} - T\Delta S_{\text{bind}}, \quad (1)$$

where  $\Delta G_{\text{bind}}$ ,  $\Delta H_{\text{bind}}$  and  $\Delta S_{\text{bind}}$  are the Gibbs free energy, enthalpy and entropy of cluster formation from vapor monomers, respectively. Here we assume that  $\Delta H_{\text{bind}}$  and  $\Delta S_{\text{bind}}$  remain constant over the studied temperature range. The cluster geometries and vibrational frequencies were calculated using density functional theory with the  $\omega$ B97X-D functional and a 6-31++G(d,p) basis set. The entropy changes are calculated based on the quasi-harmonic approximation<sup>29</sup> using a 100 cm<sup>-1</sup> cutoff. The single point energies of the clusters were calculated using a high-level DLPNO-CCSD(T<sub>0</sub>)<sup>30,31</sup> method employing an aug-cc-pVTZ basis set. The DLPNO-CCSD(T<sub>0</sub>)/aug-cc-pVTZ// $\omega$ B97X-D/6-31++G(d,p) level of theory has been shown to yield low errors compared to higher-level methods<sup>32,33</sup> and is in general recommended for modeling atmospheric molecular clusters.<sup>34,35</sup> We carefully checked that the same cluster configuration was the global free energy minimum across all the studied temperatures.

The evaporation rate constants, that is, the frequencies at which a cluster of  $i+j$  molecules decomposes to constituents of  $i$  and  $j$  molecules, were calculated from the thermochemistry by the standard relation<sup>34–36</sup>

$$\gamma_{i+j \rightarrow i,j} = \beta_{i,j} \frac{P_{\text{ref}}}{k_{\text{B}} T} \exp\left(\frac{\Delta G_{i+j} - \Delta G_i - \Delta G_j}{k_{\text{B}} T}\right). \quad (2)$$

Here  $\beta_{i,j}$  is the rate constant of the corresponding collision between parties  $i$  and  $j$ ,  $k_{\text{B}}$  is the Boltzmann constant, and  $P_{\text{ref}}$  is the reference pressure at which the quantum chemical calculations are performed. Following the common approach,  $\beta_{i,j}$  is calculated as the hard-sphere collision rate, which results in the following temperature dependence for the evaporation rate:

$$\begin{aligned} \gamma_{i+j \rightarrow i,j} &= \left(\frac{3}{4\pi}\right)^{1/6} \left[6k_{\text{B}}T \left(\frac{1}{m_i} + \frac{1}{m_j}\right)\right]^{1/2} \left(V_i^{1/3} + V_j^{1/3}\right)^2 \\ &\times \frac{P_{\text{ref}}}{k_{\text{B}} T} \exp\left[\frac{\Delta H_{i+j} - \Delta H_i - \Delta H_j - T(\Delta S_{i+j} - \Delta S_i - \Delta S_j)}{k_{\text{B}} T}\right] \\ &= AT^{-1/2} \exp\left(\frac{\Delta H_{\text{add},i+j}}{k_{\text{B}} T}\right), \end{aligned} \quad (3)$$



where  $m_i$  and  $V_i$  are the mass and volume of complex  $i$ , respectively. In the final Arrhenius-type form,  $A$  is a temperature-independent cluster-specific constant and  $\Delta H_{\text{add},i+j}$  is the enthalpy of the clustering reaction.

### 3.2 Kinetic model and particle dynamics

The kinetic model used to validate the upper evaporation rates has recently been presented<sup>16,17,37</sup> and combines solving of the gas phase and cluster kinetics (Logesoft software package, version 1.02.013<sup>38</sup>) and particle dynamics. For the latter, we use a sectional method,<sup>39</sup> whose bins are chosen in agreement with those of the SMPS and are given by eqn (4):

$$d_i = d_\alpha \times \left( \frac{d_\Omega}{d_\alpha} \right)^{\frac{i-1}{h_{\text{tot}}-1}} \quad (4)$$

Here,  $d_i$  is the diameter of the  $i$ -th section and  $d_\alpha/d_\Omega$  correspond to the smallest/largest bin. The smallest species, treated as a particle, is the sulfuric acid hexamer, for which a diameter of 1.032 nm can be derived from its mass and density of  $1.7 \text{ g cm}^{-3}$ , assuming a spherical cluster shape. Said value was reported by Murphy *et al.*<sup>40</sup> and is based on the correlation of scattered light ( $\propto$  optical equivalent diameter) and aerodynamic equivalent diameter ( $\propto$  density).

As one main interest of this work is the investigation of temperature effects on molecular cluster evaporation (for a detailed discussion, see Section 4.5), we have modified the model in the following way: measured temperature profiles from our reactor cell were used as an additional input. With this, the simulation temperature and all  $T$ -dependent rates are updated every second. The temperature-dependent evaporation rates of initial acid clusters up to the pentamer were fitted according to eqn (3) in the interval from 296 to 325 K. The resulting parameters, given in Table 1, were used as input for the gas phase solver. In accordance with earlier work,<sup>16</sup> the obtained rates were multiplied by a scaling factor (SF) to reproduce the experimental data. Hence, this paper provides a simple parametrization for SA evaporation being evaluated under kinetic control.

In addition to the  $T$ -dependency of cluster evaporation, we have included a temperature-dependent reaction rate for the decomposition of stabilized Criegee Intermediates as  $k(T) = A \exp(-E_a/k_B T)$ . For this, an Arrhenius parameter of  $A = 1.4 \times 10^{13} \text{ s}^{-1}$  and an activation energy of  $E_a = 64.6 \text{ kJ mol}^{-1}$  were

**Table 1** Arrhenius parameters of temperature-dependent  $\text{H}_2\text{SO}_4$  cluster decomposition rates ( $\gamma_{i+j \rightarrow ij} = AT^{-1/2} \exp(\Delta H_{\text{add},i+j}/k_B T)$ ), calculated at the DLPNO-CCSD(T<sub>0</sub>)/aug-cc-pVTZ// $\omega$ B97X-D/6-31++G(d,p) level of theory using the quasi-harmonic approximation. SA = Sulfuric Acid,  $\text{H}_2\text{SO}_4$

| Reaction  | $A \text{ (s}^{-1}\text{)}$ | $\Delta H_{\text{add}} \text{ (kJ mol}^{-1}\text{)}$ |
|---|-----------------------------|--|
| $\text{SA}_2 \rightarrow 2\text{SA}$                | $5.39 \times 10^{19}$       | -74.3  |
| $\text{SA}_3 \rightarrow \text{SA}_2 + \text{SA}$   | $3.85 \times 10^{19}$       | -67.7  |
| $\text{SA}_4 \rightarrow \text{SA}_3 + \text{SA}$   | $2.21 \times 10^{22}$       | -89.9  |
| $\text{SA}_4 \rightarrow 2\text{SA}_2$              | $3.28 \times 10^{21}$       | -83.3  |
| $\text{SA}_5 \rightarrow \text{SA}_4 + \text{SA}$   | $2.84 \times 10^{21}$       | -90.2  |
| $\text{SA}_5 \rightarrow \text{SA}_3 + \text{SA}_2$ | $4.25 \times 10^{23}$       | -105.8   |

fitted from theoretical data provided by Kuwata *et al.*<sup>41</sup> It is consistent with the formerly used rate constant of  $76 \text{ s}^{-1}$  (room temperature) reported by Fenske *et al.*<sup>42</sup>

## 4 Results and discussion

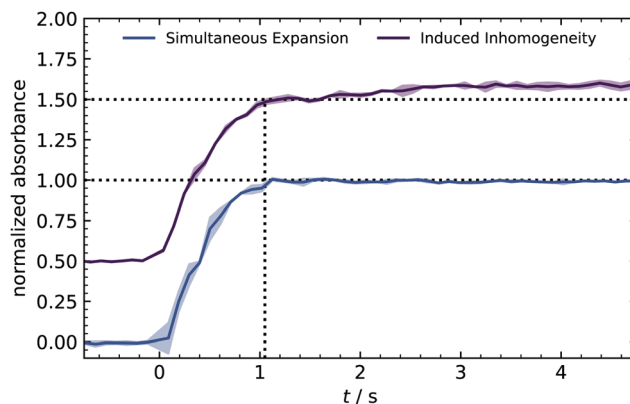
In the following subsections, we will retrace and discuss the consecutive steps of the experiment, starting with the mixing of the gaseous reactants in the new setup. After that, monitoring of transient particle species under different conditions is demonstrated and completed by inspecting the final PSDs. Finally, results from kinetic modeling<sup>17</sup> are compared to the experimental findings.

### 4.1 Characterization of the gas expansion

At the very beginning, a series of time-resolved IR measurements is used to crosscheck the homogeneous distribution of reactants expanded into the reactor. Ozone was used as a tracer substance because its strong IR absorption results in a clear signal for concentrations above 10 ppm. Furthermore, the bands ( $970\text{--}1073 \text{ cm}^{-1}$ ) are isolated from other compounds (*e.g.*, water absorption), making the integration easier and more reliable. With the rapid scan mode, IR spectra were recorded every 0.1 s, and the integrated absorption is plotted in Fig. 2.

During the valve opening (1 s), the signal shows a sharp rise and stays constant afterward. The absence of fluctuations indicates a homogeneous distribution of species because a control expansion with intentional inhomogeneity caused a slight drift.

Another aspect of concentration linked to the filling method is the sCI yield. Previous alkene ozonolysis studies reported suppression of aerosol formation at low pressures, caused by a decreased stabilization of CIs due to the lower collision rate.<sup>14,22</sup> Since the reduction of sCI stabilization starts below 200 mbar, we assume that yields at 1000 mbar (new expansion) are comparable to those calibrated at 450 mbar in earlier work.



**Fig. 2** Time-resolved IR absorbance from an ozone-only expansion. Absorbance was integrated from  $970\text{--}1073 \text{ cm}^{-1}$ . The solid line shows normalized and averaged absorbance of two individual batches conducted with 30 and 50 ppm ozone. The filled area represents the standard deviation ( $1\sigma$ ). An offset of 0.5 was added to the second line for the sake of visualization.





Control experiments at 1 ppm SO<sub>2</sub> where [sCI] represents the bottleneck confirm this assumption.<sup>37</sup> The second point inspected in this section is temperature evolution. Collisions of monomers, clusters and particles that determine the forward reaction rate of particle formation are relatively weak functions of temperature. Contrastingly, temperature significantly affects the backward reaction rate in terms of evaporation in an atmospherically relevant temperature range. This importance of temperature on particle formation has been reported in various studies, for example, for binary systems of sulfuric acid and water<sup>10,43</sup> or in the presence of ammonia.<sup>44,45</sup> To better understand the monitored particle formation, we characterized the temperature profile during the experiment and compared this data for the bottom, side, and top of the reactor, as shown in Fig. 3. Here, three regimes can be identified: for times prior to the expansion ( $t < 0$  s) and at later times ( $t > 80$  s), the temperature is  $\approx 296$  K as maintained by the cryostat. At the bottom position, this temperature recovers slightly earlier, most likely due to proximity to the cooling system. However, the deviation is small enough to be neglected.

In contrast, a significant and interesting change in the expansion time regime (0–80 s) is observed. All thermocouples show a rapid temperature rise of 31.5 K at the bottom/side and 20.3 K at the top position. As can be seen here, our setup provides a unique setting for testing cluster evaporation at a relatively high  $T$  and complements, *e.g.*, chamber studies. The latter are usually performed between approx. 200–300 K because only low and steady-state acid concentrations can be produced.<sup>8,10</sup> Temperatures recover to values of  $< 300$  K after 35 s for the bottom of the cell and 70 s for the other positions. As opposed to other techniques,<sup>46</sup> in which expansion of small amounts of carrier gas results in adiabatic cooling, this experimental setup behaves like a shock tube, accounting for the temperature rise. However, it should be noted that the maximum temperature changes are limited due to the deviant geometry and the relatively moderate pressure difference of 2 bar. This deviation from optimal shock tube geometry can also weaken the reflected wave and thus account for a smaller  $T$ -change at the top. Additionally, a slight temperature drop of the remaining gas in the premixing chamber is measured (not shown) as it would be expected on a shock tube's driver side. In other shock tubes, temperature drops of 50 K were measured in that zone and introduced for nucleation studies by Peters.<sup>47</sup>

A complete temperature profile was obtained by additional measurements in the cell center using a second thermocouple with identical response characteristics. From Fig. 3, a similar temperature rise of approx. 30.7 K is observed, decaying in a comparable way as at the other positions. As illustrated in the lower panel of Fig. 3, the spatial gradient is close to zero for  $t > 70$  s. Contrarily, an initial gradient is present, representing the cooling process from the walls to the reactor center. With the temperature profiles from different positions at hand, we can describe the whole system's temperature evolution and consequently incorporate this behavior in the improved kinetic model discussed in Section 4.5. We have chosen to use the

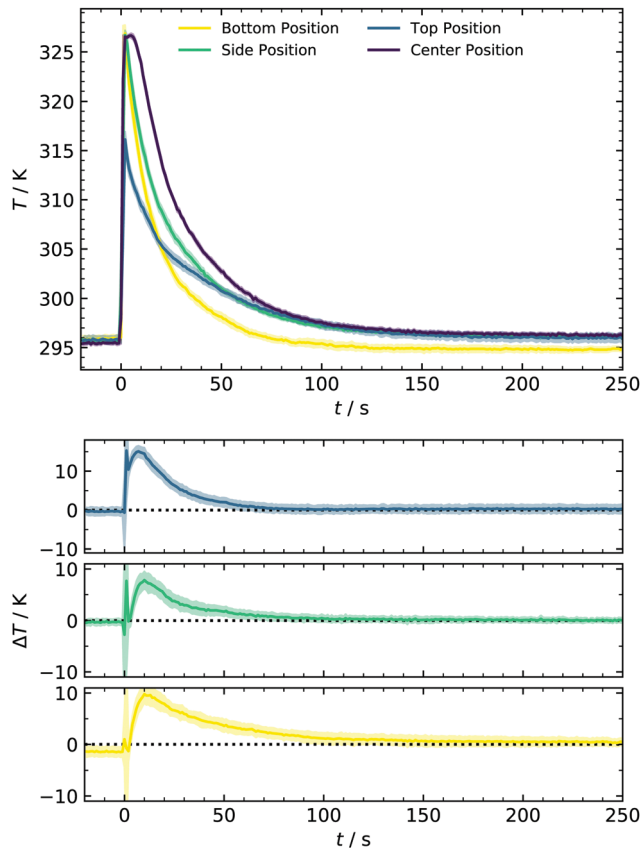


Fig. 3 Upper panel: evolution of temperature in the new batch reactor with premixing chambers operated at 2.1 bar. The filled area represents the standard deviation ( $1\sigma$ ), calculated from individual batches. Lower panel: spatial temperature gradient ( $\Delta T = T_{\text{center}} - T_i$ ) as a function of the experimental time.

$T$ -profile of the center position since it represents the majority of the total reactor volume. Due to the mentioned gradient, it furthermore represents an upper limit of accessible gas phase temperatures and temperature effects on nucleation in the current setup.

#### 4.2 Time profiles of individual particle size classes

After expanding the gases into the reaction chamber, the experiment continues with monitoring the particle formation for 5 min, which was chosen to agree with previous studies of our group. This observation period is much shorter compared to chamber experiments<sup>7</sup> due to working with high sulfuric acid concentrations. An example of controlling the nucleation behavior by changing [SO<sub>2</sub>] is depicted in Fig. 4. It shows the normalized kinetic traces of representative particle sizes. In panel (a), all size classes have a sigmoidal trace. Although even the lowest concentration of approx. 2–3 ppb SO<sub>2</sub> is high compared to other experimental work,<sup>4</sup> the participation of some oxidation products from the ozonolysis is likely. Simulations performed in this [SO<sub>2</sub>]-range required additional cluster stabilization to reproduce the experimental findings and therefore support this assumption.<sup>16</sup> Key characteristics like the appearance times can be seen in the subplots. They are linked



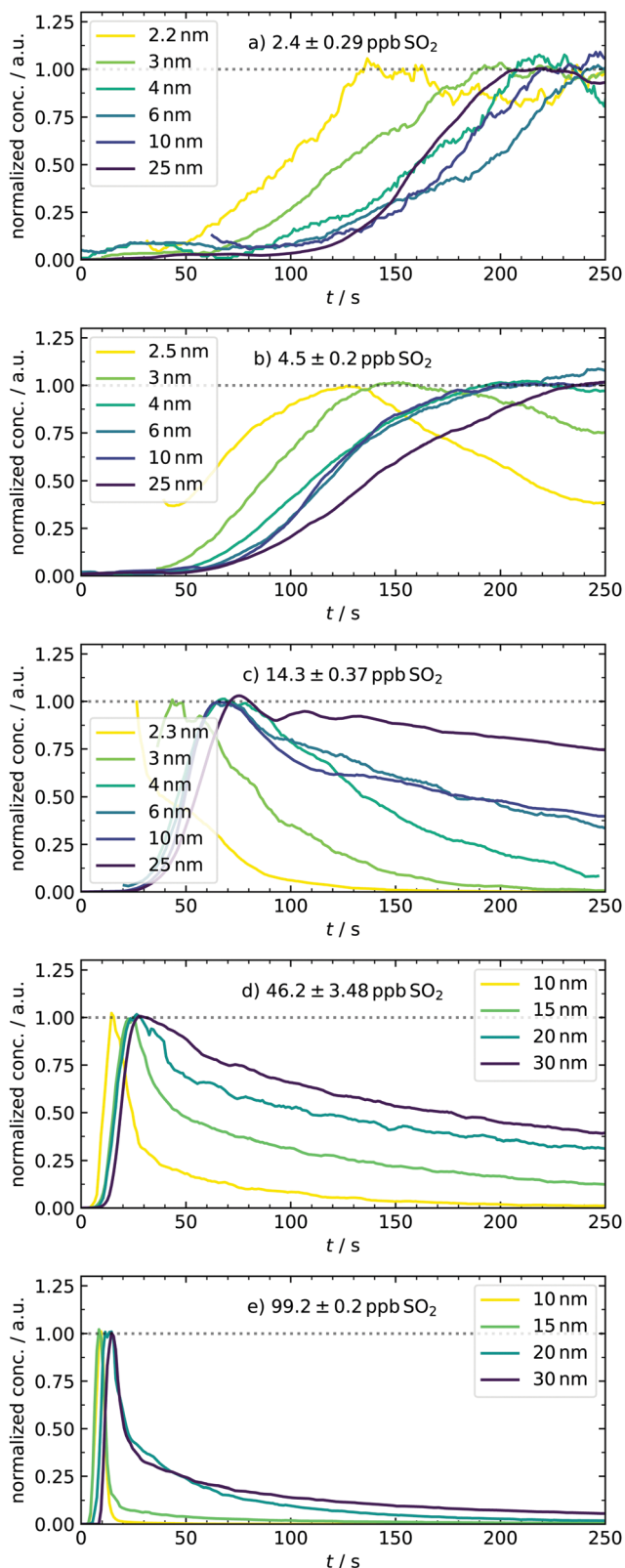


Fig. 4 Normalized traces of representative particle diameters (for DMA bin resolution and  $\text{H}_2\text{SO}_4$ -concentration profiles see ESI†) under various amounts of initial  $\text{SO}_2$ , demonstrating the strong variations of the system's growth behavior. For the sake of clarity, data were smoothed using the Savitzky–Golay filter. The mentioned pressure-related artifacts were not plotted, accounting for the gaps.

to the apparent growth rate since the difference in appearance times is the period required to grow from one to the next size bin.<sup>9,27</sup> In our case, the growth of smaller particles with  $D_p = 2\text{--}3$  nm is seemingly slower than that of the larger ones because the traces of small species are separated, and that of larger start to converge. This observation stands in contrast to measurements from CLOUD.<sup>7</sup> However, the different concentration-time profiles of condensable vapors in both experiments must be considered. While chamber experiments work at low but quasi-constant concentrations of  $\text{H}_2\text{SO}_4$ , the production of high amounts of acid in our batch apparatus has a pulse-like profile, occurring parallel to the formation of the first particles. In another study, such ramping of vapor concentrations (in that case HOM) was shown to lead to enhanced and non-linear growth rates at larger particles,<sup>48</sup> generally showing the importance of time-dependent vapor concentrations. Another major reason for discrepancies is the difference in relative humidity (RH). We present dry experiments at  $\text{RH} \approx 0.1\%$ , whereas said CLOUD chamber experiments were performed at RH of 60%. As reported by Chen *et al.* and Hamil, such a high humidity supports particles growing to detectable sizes.<sup>49,50</sup> The special role of sub-3 nm particles is also reflected in the next scenario with doubled precursor material [4.5 ppb, panel (b)], leading to a very significant particle formation. A clear shift towards earlier appearance times is observed for all particle diameters. In addition to that, the traces of small species start to change from a sigmoidal to a peak-like shape. As an important finding, the concentration of particles with  $D_p = 2.5$  nm is quasi-stationary at  $t \approx 110$  s. This system reflects a sensitive transition state and is thus a promising test scenario for future work. For example, it would be interesting how the temporal behavior of the system and hence the traces change when stabilizing compounds are added. Therefore, our novel method of detecting transient behavior provides a potential indicator for the impact of atmospheric substances on sulfuric acid nucleation.

At 14.3 ppb of initial  $\text{SO}_2$  [panel (c)], a level is reached at which the kinetic model starts to predict the particle formation correctly as an unary nucleation process.<sup>16</sup> This is underlined by the slight jump of the best scaling factor (BSF = best scaling factor, applied to match simulated to experimental PSDs) between the 4.5 and 14.2 ppb simulation (Table 2). This additional increase of  $\text{SO}_2$  leads to further reduction of characteristic times. Here, not only the appearance and maximum-concentration time can be extracted, but we can also observe the complete vanishing of smaller particles as they grow into larger size bins. In accordance with the definition of appearance time,<sup>27</sup> we could identify size-dependent vanishing times. Another thing to note about the first set of conditions is that the particles appear only after full recovery of the temperature peak or during its advanced decline. The variable appearance times for these first scenarios raise an interesting question regarding the nucleation process: is the late appearance mainly controlled by a reduced collision rate of vapor molecules as expected when less precursor material is used? Or are clusters already present, but their growth is suppressed during the period of enhanced temperature and evaporation? At this point,



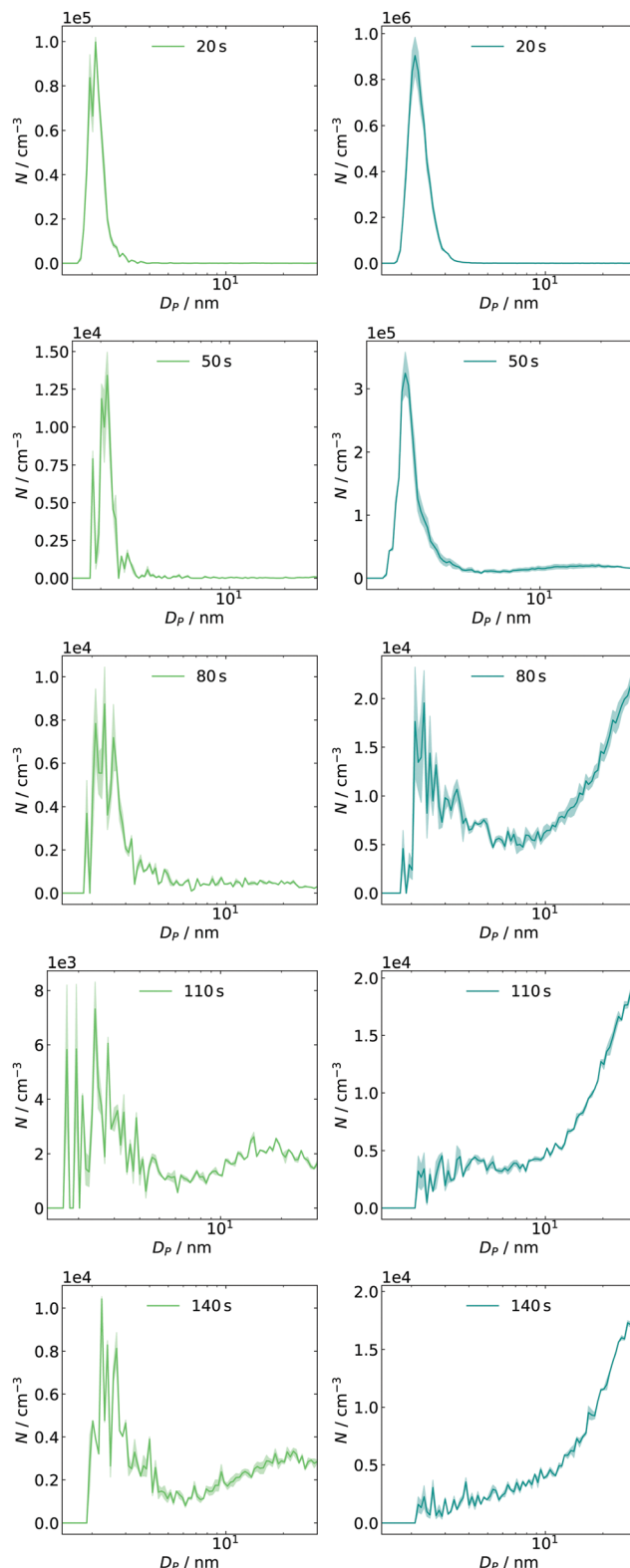
**Table 2** Best scaling factors (BSFs) applied to the evaporation rates calculated on the DLPNO-CCSD(T<sub>0</sub>)/aug-cc-pVTZ// $\omega$ B97X-D/6-31++G(d,p) level of theory. Values with *T* are based on the experimental temperature profile, the control runs without *T* are based on a constant value of 296 K

| [SO <sub>2</sub> ]/ppb | BSF <sub>1</sub> (with <i>T</i> ) | BSF <sub>2</sub> (without <i>T</i> ) | BSF <sub>2</sub> /BSF <sub>1</sub> (%) |
|------------------------|-----------------------------------|--------------------------------------|--|
| 2.0                    | 0.0026                            | 0.0066                               | 254                                    |
| 4.0                    | 0.004                             | 0.0115                               | 288                                    |
| 14.2                   | 0.008                             | 0.034                                | 425                                    |
| 46.8                   | 0.011                             | 0.075                                | 682                                    |
| 99.2                   | 0.015                             | 0.115                                | 767                                    |

it remains elusive which of the two effects dominates and explains the observed profiles. This is a nice example where the interplay of our new transient data and theory can clarify the underlying nucleation mechanics, as shown in Section 4.5. In contrast to the observed delay, the experiments conducted at the highest sulfuric acid amounts [46.2 and 99.2 ppb, panels (d) and e)] show particle formation already during times of elevated temperatures. Because nucleation occurs simultaneous to the enhanced *T* here, we expect cluster evaporation to play a role. Unfortunately, it was not possible to measure sub-10 nm particles in these cases because the separation of actual signal and possible artifacts is less reliable due to the rapid growth. The representative size bins are thus different for these conditions, with diameters of up to 30 nm. For 46.2 ppb SO<sub>2</sub>, the trend of more peak-like traces is continued, being most pronounced for the smallest particle diameter, whereas larger ones decay significantly slower. At the highest concentration (99.2 ppb), the individual traces are all peak-like and start to converge, corresponding to rapid growth. Because of this observation, a further increase of the SO<sub>2</sub> concentration is not useful for investigating this size range. Overall, the system showcases very different growth behaviors accessible by tuning the initial amount of SO<sub>2</sub> in a range of two orders of magnitude.

### 4.3 Transient particle size distributions

The above-discussed approach of monitoring narrow electrical mobility diameter bins over a certain period of time is only one possibility to investigate the system's evolution in phase space. An alternative approach is switching from continuous time and discrete sizes to a full size distribution measured at individual time frames. Using a second method is important to crosscheck the results mentioned above. Such measurements can easily be applied in atmospheric studies<sup>51</sup> since the scan time of an SMPS is short (seconds) compared to the particle dynamics (hours to days). In the reaction cell with a fast-growing system, this condition is hard to fulfill and scan times were therefore set as short as possible. However, the settings are limited by some factors: on the one hand, fixed down scan and purge times have to be considered.<sup>25</sup> On the other hand, the upscan time as a free parameter can cause smearing effects if it is below 10 s.<sup>52</sup> Thus, a scan time of 12 s was chosen, resulting in a total of 30 s for a complete cycle. Exemplary results are displayed for two concentrations of SO<sub>2</sub> in Fig. 5. The initial offset of 20 s between expansion and start of the first scan was



**Fig. 5** Time-dependent size distributions for 4 ppb (left, green) and 14 ppb (right, blue) of initial SO<sub>2</sub> including post-processed diffusion loss correction for SMPS and tubing.

introduced as a delay in the experiment control software. By changing this delay, other sample times can be accessed. In the



left column ( $\approx 4$  ppb) of Fig. 5, we can observe an intense mode at  $D_p \approx 2$  nm, decaying to a tenth within the next time frame. At 80 s, the formation of larger species can be seen, which agrees with the observation from the monitoring of single size bins. The subsequent particle growth, indicated by the mode diameter shift from 15 to 25 nm (see  $t = 110$  and 140 s), also agrees with the measured traces. The corresponding time frames at 14 ppb  $\text{SO}_2$  are shown in the right column. Here, the number of initial particles is approx. one order of magnitude higher than before. Again, their number decreases as they are consumed by condensational growth, and a larger particle size mode appears at  $t \approx 50$  s, agreeing well with the values obtained from time profiles. The general finding of an enhanced formation and growth is also reflected in the next frames, in which the distribution's mode diameter grows out of the upper detection bound.

In both cases, particles are less abundant in the intermediate diameter range (approx. 4–10 nm). This finding could be interpreted as a barrier in the growth process resulting in a pre-equilibrium of the sub-3 nm particles. Once such a barrier is passed and growth gets more efficient again, they are removed quickly (also by efficient coagulation).

#### 4.4 Final particle size distributions

Finally, particle size distributions are scanned from approx. 5 nm to 200 nm at  $t = 460$  s (and thenceforth every two minutes), replicating the experimental procedure from our earlier work.<sup>17</sup> Especially the total particle mass concentration obtained from the PSDs is an important quantity for comparison since it is only a function of produced sulfuric acid.<sup>22</sup> One purpose of collecting final distributions is to use them as a consistency check between individual batches: every repetition done with the same nominal amount of  $\text{SO}_2$  resulted in the same total mass (within the measurement uncertainty of approx. a factor of 2). Thus, the combination of the dynamic data sets from different batches, as shown in Fig. 4, is permitted. The other application is to have them as a final reference for the simulation.

The resulting averaged PSDs (Fig. 6) also take diffusion loss correction and multiple charge correction<sup>25</sup> (for large particles) into account. The data were fitted with a lognormal distribution because noise at large sizes disproportionately impacts the mass calculation in the SMPS software (cubic relationship of size and mass). The resulting fit parameters and a particle density of  $1.7 \text{ g cm}^{-3}$ <sup>40</sup> (also see Section 3.2) were then used to recalculate the total mass concentration. The same fit was done to obtain the total number concentration and results are given as sub-plots of Fig. 6. From the linear correlation of mass and gas-phase concentration it can be deduced that  $\text{SO}_2$  is the limiting ingredient in the system for the whole range of examined conditions. This is important for two reasons: firstly, the substantial excess of ozone and consequently the formed sCI makes the method robust towards small deviations of the ozone filling. Secondly, high excess of  $\text{O}_3$ /butene/sCI over  $\text{SO}_2$  results in pseudo-first-order kinetics for its oxidation process and results in rapid and high supersaturations of  $\text{H}_2\text{SO}_4$ .

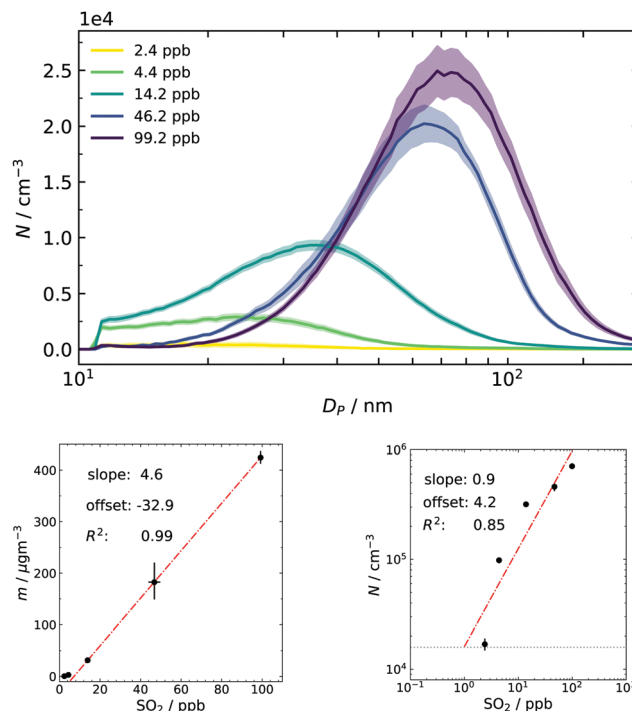


Fig. 6 Upper panel: final particle size distributions sampled after 460 s as a function of initial  $\text{SO}_2$ . Between 6 and 19 individual samples were averaged. The filled area represents the standard deviation of the mean ( $1\sigma$ ). Lower left panel: Total mass concentration derived from the lognormal fit of the upper size distributions. Lower right panel: Total number concentrations derived from the lognormal fit of the upper size distributions.

A closer look at the lower concentration regime of sulfur dioxide in the mass plot shows a slight deviation from the linear behavior and a small negative offset. This can be interpreted as a hint for activation or co-nucleation of sulfuric acid and probably small traces of products from the ozonolysis. Further support for this hypothesis was also seen in earlier simulation results, in which the model underestimated particle formation at very low concentrations of pure sulfuric acid because it did not include these possibilities.<sup>16</sup> Another tentative support is given by the double logarithmic plot of number and precursor concentration: usually, a slope close to unity is associated with activation of preexisting clusters/particles.<sup>6,53</sup> An offset was needed to obtain a reasonable fit. This offset can be physically interpreted as the concentration of background species, which is seemingly in the same order of magnitude as the lowest concentration of  $\text{SO}_2$  in our experimental series.

#### 4.5 Kinetic modeling

At first, we discuss the influence of including the measured temperature profile in the model by comparing experimental and simulated final PSDs. In the example case of around 50 ppb  $\text{SO}_2$ , a reasonable match was found when a scaling factor of 0.011 was applied (Fig. 7). However, the simulation result does not reproduce the experimental data when the simulation temperature is set to a constant value of 296 K. As a result





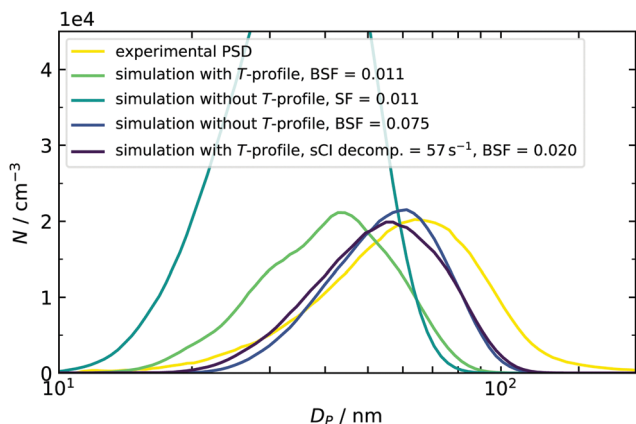


Fig. 7 Temperature effect on the simulated PSD at an initial  $\text{SO}_2$  concentration of 46.8 ppb. The simulated size distributions were smoothed to compensate their jagged shape, resulting from the sectional simulation scheme.<sup>17,37</sup> Smoothing of the distribution was done with a moving average filter of five adjacent data points.

the simulation does not account for the enhanced temperature and cluster evaporation in the mixing stage. As clusters in the early phase are stabilized, the final particle number is increased, but the mean diameter is reduced. The latter finding is explained by the fact that the total amount of condensable vapor is limited and redistributed between a high number of initial small particles, leading to less condensable monomer per seed species.

A second control simulation was used to get a quantitative estimate of the importance of temperature. Here,  $T$  was held constant at 296 K and a higher SF was applied. This leads to two similar distributions. In one case, the dynamics were governed by temperature, and in the other, by artificially increased destabilization. We can therefore compare both and conclude that the role of the temperature profile is similar to more than a doubling of the evaporation rates. This is true for all concentration scenarios covered in this work, and the scaling factors with and without using temperature profiles are listed in Table 2. Within the five quotients of the BSFs (right column of Table 2), a trend from 254% (2 ppb) to 767% (99.2 ppb) can be found, indicating that using the correct temperature profile becomes more important at enhanced amounts of  $\text{SO}_2$ . A plausible explanation can be given by a correlation of times, when small clusters are present in the simulation and the corresponding temperature at this time: in the high concentration case, the model predicts initial clusters, *e.g.*, sulfuric acid dimers to be present in the first seconds. Because the temperature is enhanced here, the sensitivity towards  $T$  is very pronounced. On the other hand, using low initial amounts of  $\text{SO}_2$  results in a later appearance of clusters when the temperature already begins to recover, and the effect of  $T$  is therefore reduced (for simulated time profiles of SA dimers, see ESI†). Even if the  $T$ -effect is reduced, it is still significant and can partly be responsible for the late appearance of species. This indicates that our nucleation mechanism is not limited by a low collision number. In other words, the assumption of working in

a high-level concentration regime is justified in all cases. Values for  $\text{BSF}_2$  (without  $T$ -profile) given above can reproduce the findings of a preceding study.<sup>16</sup> However, the new data sets require slightly more stabilization for the same or comparable conditions (10 and 100 ppb vs. 14.2 and 99.2 ppb, both  $\text{RH} < 0.1\%$ ) because the evaporation rates described in Sections 3.1 and 3.2 have increased as well. This is caused by using different starting geometries<sup>28,54</sup> and using the quasi-harmonic approximation for calculating vibrational frequencies, which can increase the free energies of clusters.<sup>55</sup> Looking at the individual set of scaling factors with and without explicit treatment of  $T$ -profiles reveals two additional results: firstly, the BSF never exceeds a value of 1. This means that the rates derived from quantum chemical calculations tend to overpredict the cluster evaporation. This finding is consistent with our previous observations<sup>16</sup> and other literature, in which the DLPNO method is said to have a general “underbinding” tendency.<sup>56</sup> It should be noted here that other methods, such as RICC2/aug-cc-pV(T+d)Z, are known to have an opposed bias,<sup>33</sup> resulting in scaling factors larger than 1.<sup>16</sup> The mentioned underbinding of DLPNO rates becomes more pronounced at very low concentrations of  $\text{SO}_2$ , as smaller scaling factors are required for sufficient stabilization. As mentioned above and in our previous study, such further reduction of the BSF could also hint at the presence of additional low volatile components in our experiment, leading to an additional stabilization.<sup>16</sup> Oxidation products from the 2-butene ozonolysis are not only a possible candidate for stabilization in the low  $\text{SO}_2$  concentration regime, but they can also be scavenged by existing particles. This additional condensation of organic vapors was indicated by comparing the simulated and experimentally derived particle mass. At high concentrations of 50–100 ppb, the model underestimated the total particle mass. In Fig. 7, this is indicated by the shift of both simulated PSDs towards smaller particle diameters. The distribution with an included temperature profile (green line in Fig. 7) has even smaller diameters and hence less particle mass compared to that at constant 296 K (dark blue line in Fig. 7). This is explained by the faster decomposition of the sCIs in the first case, which are then not available for oxidation of  $\text{SO}_2$  and formation of sulfuric acid. An additional simulation (purple PSD) was done to separate the effect of sCI decomposition and, hence, to check the influence of deviations of produced particle mass. For this purpose, a fixed sCI decomposition rate (chosen in accordance to Kuwata at 296 K) was employed, while cluster decomposition remained temperature-dependent. As the BSF only required a small adjustment, we can conclude that slight mass deviations are of minor importance, but that the correct description of cluster evaporation is crucial.

As an additional and independent criterion for validating our model, we now focus on the simulated time profiles for particles of a certain size bin, as displayed in Fig. 8. As the first finding, we can see a shift of the apparent appearance times when the amount of  $\text{SO}_2$  is increased. As a second result, we observe a change of the shape for the time profiles from sigmoidal to progressively more peak-like cases. Also, the



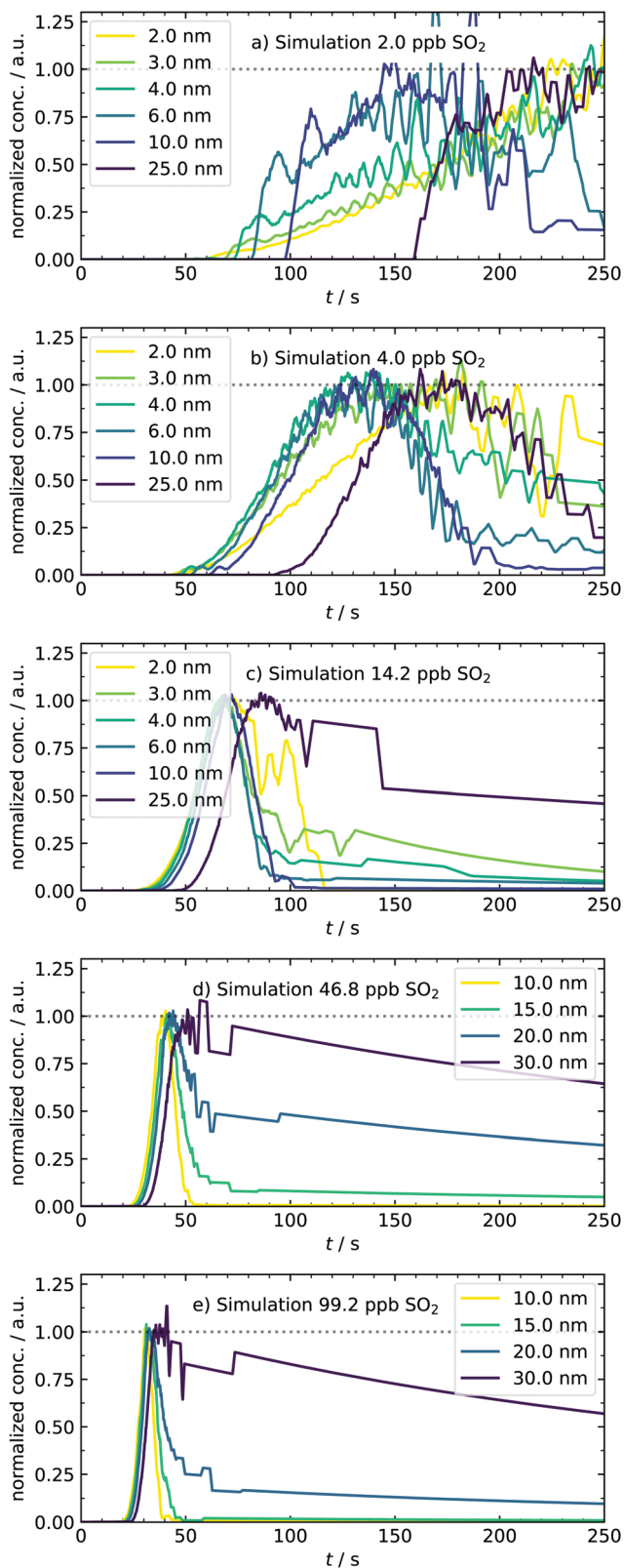


Fig. 8 Normalized traces of representative particle diameters under various amounts of initial  $\text{SO}_2$ , obtained from the temperature-dependent kinetic model. Simulated concentration–time profiles for  $\text{H}_2\text{SO}_4$  monomers and dimers are provided in the ESI.†

smallest clusters are now completely depopulated at later times. Both observations agree qualitatively very well with the experimental data of Fig. 4. Nonetheless, some differences between simulated and measured traces exist. The modeled traces and final PSDs are very sensitive to small variations of the scaling factors. Slight deviations of the simulated PSD can cause significant changes in the profiles. One example of a deviation between measured and simulated profiles is that the model predicts a too-fast growth of particles, which is seen as vanishing traces at the low concentration of 4 ppb of initial  $\text{SO}_2$  (Fig. 8, panel b). At this concentration and also at 2 ppb, the simulated particle mass is higher than the experimental result. In particular for the 2 ppb case the mass fitted from the experiment is uncertain since the size distribution is at the level of the background counts, which could partly explain the different masses. We can also identify reasons in the underlying model: in the current version, it assumes only unary nucleation. Therefore, clusters are only stabilized by downscaling their evaporation rate. Once they reach a certain size, they start to grow and scavenge the gaseous species. As a possible modification, stabilizing species for binary nucleation could be implemented in the kinetic mechanism. First test simulations indicated that introducing clusters of type  $(\text{H}_2\text{SO}_4)_{(n-1)}\text{X}$  led to a similar stabilization as for  $(\text{H}_2\text{SO}_4)_n$  clusters with downscaled evaporation rates. The main difference is that in the first case, the number of clusters becoming a seed species can additionally be controlled by the amount of species X. This is ongoing work and beyond the scope of this paper in which the experimental procedure and the underlying temperature offsets were addressed. The comparison of both  $T$ -cases shows the importance of including the temperature in our simulations and demonstrates that dynamic experiments can support and complement steady-state experiments (such as chamber studies) and simulations.<sup>45</sup>

## 5 Conclusion and outlook

Crucial improvements of our batch reactor experiment opened new possibilities for investigating sulfuric acid nucleation in the early phase under high vapor concentrations and at elevated temperatures (296–325 K). We characterized the temperature evolution resulting from a shock tube-like gas expansion. In addition, the homogeneous distribution of gaseous reactants in the cell was shown utilizing time-resolved IR measurements.

With the new 1 nm-SMPS, transient data of nanometer-sized particles (approx. 2–30 nm) can be recorded for different amounts of precursor species, either by monitoring the concentration profile of certain particle size classes or by fast scanned size distributions.

One main finding from the variation of  $\text{SO}_2$  was the ability to manipulate the temporal evolution of the nucleating vapor–particle system and prepare quasi-stable nanoparticle concentration–time profiles. Especially for future work, such latter conditions are a promising indicator for testing binary systems since a strong sensitivity towards the addition of stabilizing



compounds (e.g., water) is expected, based on previous measurements of final PSDs under elevated RH.<sup>16</sup> The measured time traces also serve as additional references for a modified kinetic simulation which now incorporates the experimental temperature evolution. Strong promotion of cluster evaporation was expected based on the elevated temperature within the first seconds of the experiment. The simulation reproduced this enhanced evaporation, taking temperature-dependent evaporation rates into account. Rates were derived from DLPNO-CCSD( $T_0$ ) calculations and provided in Arrhenius form. For more experimental control of evaporation dynamics, the temperatures should be kept high for a long time after the expansion pulse, too. Therefore, implementing a heating unit is planned as a convenient modification. Further work is planned with special interest on temperature-dependent evaporation under elevated RH, for which the cluster evaporation rates are usually non-trivial. With the improved simulation tools and the new experimental data, the system's complexity can be extended stepwise. The ultimate aim is to cover many different trace gases found in the atmosphere and to investigate their nucleation behavior by our novel approach of observing and modeling particle kinetics in the early stage.

## Conflicts of interest

There are no conflicts of interest.

## Acknowledgements

D. B. acknowledges support by the Fonds der Chemischen Industrie, grant no. 104371. T. Z. thanks the Deutsche Forschungsgemeinschaft for support (grants ZE890 3-1 and 3-2, numbers: 250936489 and 417233848). J. E. thanks the Independent Research Fund Denmark grant number 9064-00001B for financial support. The numerical results presented in this work were obtained at the Centre for Scientific Computing, Aarhus <https://phys.au.dk/forskning/faciliteter/cscaa/>. T. O. gratefully acknowledges the Swedish Research Council Vetenskapsrådet (grant no. 2019-04853) for financial support. For support in installing new parts and solving many technical questions, we thank Mike Zippert, Reinhard Hildebrandt, Andreas Knorr and their team from the mechanical/electronics workshop of our faculty. We thank Steven Celik for helping test the functions of the new parts of the apparatus and Alea Miako Tokita for performing test simulations with the modified kinetic mechanism. Furthermore, we thank Prof. Dr. Martin A. Suhm for his ongoing support. Finally, we thank Dr. Sebastian H. Schmitt, Dr. Torsten Tritscher and Dr. Carsten K ylkal for helpful advice on implementing the SMPS devices.

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