



# Helical electronic transitions of spiroconjugated molecules†‡

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The two  $\pi$ -systems of allene can combine into helical molecular orbitals (MOs), yet the helicity is lost in the  $\pi$ - $\pi^*$  transitions due to excited state mixing. In spiroconjugated molecules the relative orientation of the two  $\pi$ -systems is different and consequently only half the  $\pi$ -MOs become helical. We show that the helicity of the electronic transitions of methyl-substituted spiro-pentadiene is symmetry protected. As a result, helical  $\pi$ -conjugation can manifest in observable electronic and chiroptical properties.

Allenes, cumulenes, and polyynes have two perpendicular  $\pi$ -systems, which lie each in their plane.<sup>1,2</sup> One may intuitively expect the  $\pi$ -electrons to be separately delocalized in the molecular planes. However, the two  $\pi$ -systems can mix, and consequently the electrons may not be restricted to the planes. In allene (Scheme 1), the orbital-mixing is mediated by the p-orbitals on its central sp-hybridized carbon as shown in Fig. 1. Whenever we consider these two p-orbitals, an initial choice is made for their orientation.<sup>3-5</sup> By convention, we orient allene relative to its two mirror-planes. Shown in Fig. 1a, the  $p_x$  and  $p_y$  basis functions will each have optimal overlap to one end of the molecule. However, the  $p_x$  and  $p_y$  basis functions can be rotated 45° around the allenic axis by making linear combinations of the two as shown in Fig. 1b. This forms a so-called coarctate orbital system, which means there is continuous  $\pi$ -overlap between the carbon termini despite their 90° relative orientation.<sup>3,6</sup> The choice between these two orientations is arbitrary.<sup>3,4</sup>

More recently, Honda *et al.*, synthesized a radical cation of spiro-pentasiladiene (Scheme 1) and found that spin and charge can delocalize between its two perpendicular  $\pi$ -systems.<sup>7</sup> Let us consider the carbon-analogue spiro-pentadiene (Scheme 1). Its

two  $\pi$ -systems are connected through a formally saturated spiro-carbon.<sup>8,9</sup> Shown in Fig. 1b, we can make the same orbital rotation in spiro-pentadiene as we did for allene. The p-orbitals on the formally saturated spiro-carbon will participate in the  $\sigma$ -bonds of the molecule and are normally considered in the form of sp-hybrid orbitals. However, these p-orbitals are mathematically part of the carbon basis set and are available as relay orbitals for the through-bond coupling between the two  $\pi$ -systems. In similar fashion,  $\sigma$ -orbitals mediate through-bond coupling between the perpendicular  $\pi$ -systems in larger tricyclic analogues of spiroconjugated molecules (Scheme 1) as described by Gleiter and co-workers.<sup>10,11</sup>

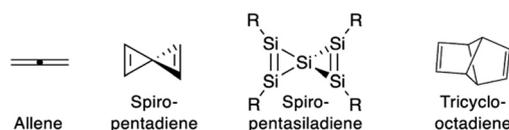
In chiral allenes, cumulenes, and polyynes the electron delocalization between the two  $\pi$ -systems is expressed in the form of helical MOs.<sup>3,12,13</sup> The helical  $\pi$ -conjugation in these molecules is also called the electrohelicity effect. While the molecules are single-handed chiral, both helicities are present in their electronic structure.<sup>12</sup> The chirality associated with the electronic structure is thus more complex than that of the molecular structure.<sup>14</sup> The optical activity of odd-carbon cumulenes is limited by the near-degeneracy of their helical MOs.<sup>15</sup> Although the helical HOMO and HOMO-1 can be split energetically by substituents,<sup>15-17</sup> this dual-helicity limits the possibility of experimentally observable effects arising from the MO helicity. Still, relations to molecular properties have been proposed.<sup>12,18-23</sup> Given that the through-bond electron delocalization in spiroconjugated molecules is closely related to that in allene (Fig. 1), an interesting question is whether similar types of  $\pi$ -systems are also limited by the dual-helicity?

Here we describe observable effects arising from helical  $\pi$ -conjugation through analysis of canonical MOs. While the

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† We dedicate this paper to Emilie Garner.

‡ Electronic supplementary information (ESI) available: Computational details, schematic overview of change of density, silicon and germanium analogues, cyclically-linked molecules, spirononatriene, tricyclic spiro-analogues. See DOI: 10.1039/d1cc01904j



Scheme 1 Overview of molecules.



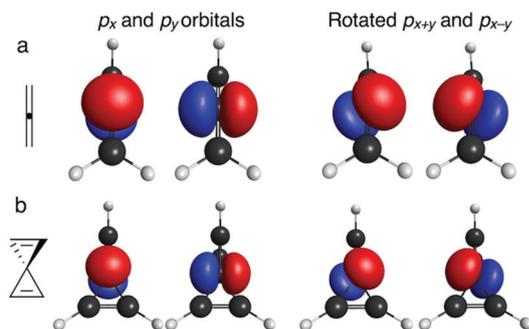


Fig. 1 p-Orbitals of the central carbon atom represented in two different rotations of allene (a) and spiro-pentadiene (b).

choice of orbitals is non-unique, canonical MOs provide a simple and didactic explanation for the  $\pi$ -mixing effect without affecting the end point. We uncover the existence of helical  $\pi \rightarrow \pi^*$  transitions in spiroconjugated molecules, which we demonstrate is a symmetry-protected property. We also revisit the  $\pi \rightarrow \pi^*$  transitions of a simple allene in which the helical behavior is limited by mixing of excited states of opposite helicity. We discuss the experimental implications of optical transitions with helical change of electron density and high rotatory strengths that correlate with the helicity.

Allene is routinely described as having two orthogonal  $\pi$ -systems lying in each their plane.<sup>1,2</sup> The highest occupied MO (HOMO) and HOMO-1 are degenerate  $\pi$ -orbitals as shown in Fig. 2a. However, when the symmetry of the molecule is reduced from  $D_{2d}$  to  $C_2$  by substituting two of the hydrogens, the  $\pi$ -systems mix and helical  $\pi$ -MOs are formed.<sup>3,12</sup> In the case of *R*-1,3-dimethylallene the HOMO is an M-helix and the HOMO-1 is a P-helix. In  $D_{2d}$ -allene, the resulting  $\pi \rightarrow \pi^*$  transitions between the two degenerate occupied and two degenerate unoccupied  $\pi$ -MOs consist of the four possible linear combinations of excitations between the two sets of MOs. The four transitions are non-degenerate and belong to  $A_2$ ,  $B_1$ ,  $A_1$ , and  $B_2$  irreducible representations as outlined in Fig. 2b.<sup>24</sup> Only the  $S_0 \rightarrow S_4$  ( $B_2$ ) transition is allowed (same

irreducible representation as  $\mu_{x,y,z}$ ). The substituents change little, and the first three transitions remain quasi-forbidden in dimethylallene. The effect of substituents and solvents on the optical properties of allene has been studied in great detail, and we refer to the extensive literature for analysis of their spectra.<sup>15,25-27</sup> The transitions of dimethylallene are almost equally-weighted combinations of excitations from occupied  $\pi_{P/M}$  to unoccupied  $\pi_{P/M}^*$ . The specific configuration weights will be method dependent, see computational details in ESI† part A.

Fig. 2c shows the change of electron density during the  $\pi \rightarrow \pi^*$  transitions of *R*-1,3-dimethylallene. The change of density appears primarily in the two planes of the molecule and there is little indication of mixing between the two  $\pi$ -systems. This lack of helicity can be directly attributed to mixing of excitations of opposite helicity. The four  $\pi \rightarrow \pi^*$  transitions of dimethyl allene are all superpositions of helical excitations. Provided in the schematic overview in Fig. S5 (ESI†), any individual excitation involving helical MOs yields a helical pattern in the change of electron density; however, transitions between their linear combinations show a linear pattern.

In spiroconjugated molecules, two  $\pi$ -systems are separated by an  $sp^3$ -hybridized carbon atom.<sup>8,9</sup> The two  $\pi$ -systems are oriented  $90^\circ$  relative to each other and interact by a well-described through-space interaction.<sup>28</sup> Still, the  $\pi$ -electrons are not fully delocalized between the two rings in carbon-based spiroconjugated systems.<sup>29,30</sup> Spiropentadiene is the simplest case, constituting two ethene units fused together through a fifth spiro-carbon. Similar to allene, the parent spiro-pentadiene has  $D_{2d}$  symmetry with four  $\pi$ -electrons.<sup>31</sup> A fairly unstable motif due to bond strain,<sup>32</sup> spiro-pentadiene has been synthesized with some variation including its sila- and germa-analogues.<sup>33-36</sup> Shown in the left column of Fig. 3a, its HOMO and HOMO-1 are degenerate and each have clear  $\pi$ -character on one side of the molecule. The LUMO and LUMO+1 are non-degenerate and the two  $\pi$ -segments mix due to through-space  $\pi$ -overlap, which is characteristic of spiroconjugated systems.<sup>8,9,28</sup> The disubstitution we performed on

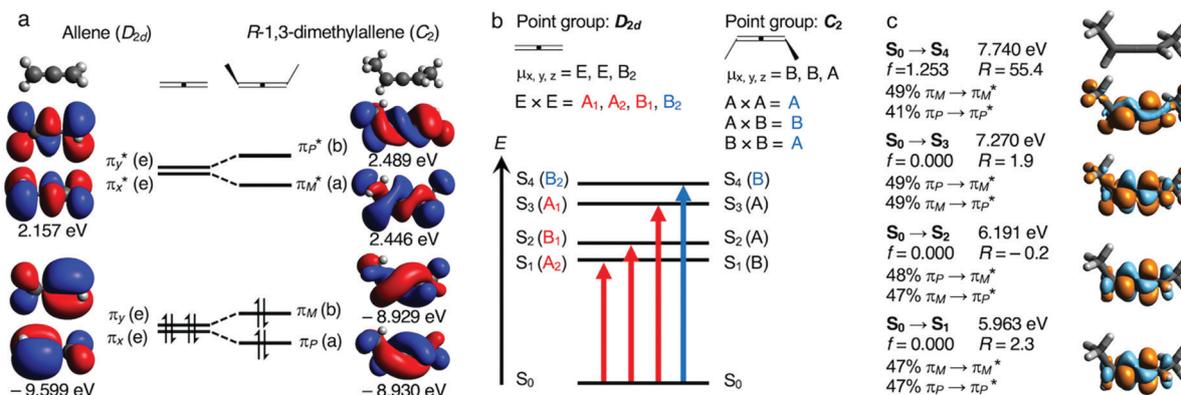
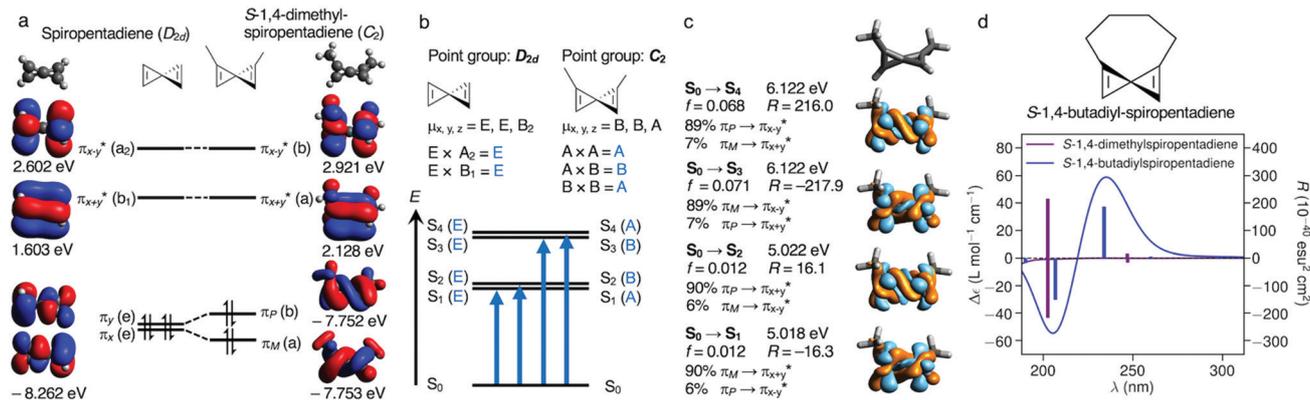


Fig. 2 (a) HOMO-1 to LUMO+1 of allene and *R*-1,3-dimethylallene. (b) Overview of their first four electronic transitions, and their symmetry designations. Electric-dipole ( $\mu_{x,y,z}$ ) allowed transitions are in blue. (c) Change of electron density for the  $S_0 \rightarrow S_1$ ,  $S_0 \rightarrow S_2$ ,  $S_0 \rightarrow S_3$  and  $S_0 \rightarrow S_4$  transitions of *R*-1,3-dimethylallene. Oscillator strengths,  $f$ , are dimensionless. Rotatory strengths,  $R$ , are in  $10^{40}$  erg esu cm per Gauss. Depletion of density is in orange. Computed at the  $\omega$ B97X-D/def2-TZVP level.





**Fig. 3** (a) HOMO–1 to LUMO+1 of spiropentadiene and S-1,4-dimethylspiropentadiene. (b) Overview of their first four electronic transitions, and their symmetry designations. Electric-dipole ( $\mu_{x,y,z}$ ) allowed transitions are in blue. (c) Change of electron density for the  $S_0 \rightarrow S_1$ ,  $S_0 \rightarrow S_2$ ,  $S_0 \rightarrow S_3$  and  $S_0 \rightarrow S_4$  transitions of S-1,4-dimethylspiropentadiene. (d) Simulated ECD spectrum of S-1,4-dimethylspiropentadiene and butadiyl-linked spiropentadiene. Oscillator strengths,  $f$ , are dimensionless. Rotatory strengths,  $R$ , are in  $10^{40}$  erg esu cm per Gauss. Depletion of density is in orange. Computed at the  $\omega$ B97X-D/def2-TZVP level.

allene has a similar effect on spiropentadiene (Fig. 3a). The symmetry is reduced from  $D_{2d}$  to  $C_2$  and the degenerate  $\pi_x$  and  $\pi_y$  mix through-bond *via* the central carbon atom into helical  $\pi_p$  and  $\pi_M$ . This MO-mixing is similar to that seen in saturated triangulanes where helical  $\sigma$ -orbitals appear,<sup>37</sup> but in dimethylspiropentadiene  $\pi$ -orbitals of both helicities are present. The unoccupied  $\pi_{x+y}^*$  and  $\pi_{x-y}^*$  are largely unchanged by the methyl substituents.

The four  $\pi \rightarrow \pi^*$  transitions in  $D_{2d}$ -spiropentadiene will be two degenerate pairs belonging to the E representation (direct products of the E with  $A_2$  and  $B_1$  representations in Fig. 3b).<sup>24,38,39</sup> All four  $\pi \rightarrow \pi^*$  transitions are electric-dipole allowed (belonging to one of the irreducible representation of  $\mu_{x,y,z}$ ), and this does not change when the symmetry is reduced by substituents. In dimethylspiropentadiene the electronic transitions become non-degenerate, but do not split by much energetically. Different from dimethylallene, the transitions are not equally weighted linear combinations. Take the two lowest energy MO excitations;  $\pi_p \rightarrow \pi_{x+y}^*$  belong to the B irreducible representation, while  $\pi_M \rightarrow \pi_{x+y}^*$  belong to A. These two excitations are symmetry-protected and cannot mix to form a superposition. Listed in Fig. 3c, there is a contribution from higher energy excitations of same symmetry. These excitations into  $\pi_{x-y}^*$  make small contributions to the  $S_0 \rightarrow S_1$  and  $S_0 \rightarrow S_2$  transitions, and *vice versa*  $\pi_{x+y}^*$  to the  $S_0 \rightarrow S_3$  and  $S_0 \rightarrow S_4$  transitions (see Fig. S2 and S3, ESI<sup>†</sup> for method dependence).

As a consequence of their symmetry-protected helicity, properties relating to the electronic transitions will not be limited by the dual-helicity. The four  $\pi \rightarrow \pi^*$  transitions have clear helicity associated to the change of electron density as shown in Fig. 3c. The mixing of the two perpendicular  $\pi$ -systems is thus reflected in the electron density. This is the effect of the through-bond interaction mediated by the spiro-carbon, and it is a conclusive outcome of helical  $\pi$ -conjugation in spiroconjugated molecules.

All four electronic transitions have notable rotatory strengths, in particular the  $S_0 \rightarrow S_3$  and  $S_0 \rightarrow S_4$  transitions. Each pair of near-degenerate transitions have opposite helicity

in the change of electron density and opposite sign of the rotatory strength. The rotatory strength of each transition describes the difference in the absorption rate of left- and right-polarized light. Consequently, circularly polarized light will be more likely to absorb due to transitions of a specific helicity. This result may open the possibility for imaging the helical electron density arising directly from a unique MO using photoemission tomographic imaging if circularly polarized light is applied.<sup>40,41</sup>

The total chiroptical response of dimethylspiropentadiene will be small due to the opposite signs in the rotatory strengths, because the optical activity is experimentally observed as the difference in absorption of left- and right-polarized light ( $\Delta\epsilon$ ). This is clear from the simulated electronic circular dichroism (ECD) spectrum shown in purple in Fig. 3d. The two sets of near-degenerate transitions in dimethylspiropentadiene have large rotatory strengths but  $\Delta\epsilon$  remain almost zero.

To split the transitions energetically, we examine a strained spiropentadiene by applying a cyclically connected butadiyl-substituent as illustrated in Fig. 3d. This system assumes a chair-like conformation, which alters both the dihedral and bond angles of the spiro-segment. This strain alters the energetics of the frontier MOs considerably by unwinding or overwinding the helices.<sup>13,21</sup> The chiroptical response is increased in S-1,4-butadiylspiropentadiene and the equivalently constrained allene (see Fig. S6 and S7, ESI<sup>†</sup>). This strained spiropentadiene species has a strong chiroptical response compared to the dimethylspiropentadiene. The big rotatory strengths of the  $S_0 \rightarrow S_3$  and  $S_0 \rightarrow S_4$  transitions now give rise to two opposite peaks in the simulated ECD spectrum shown in Fig. 3d. The extra strain is likely to destabilize the molecule. However, it serves as a proof-of-concept for how the structure of spiroconjugated molecules can be manipulated to maximize the chiroptical response.

The analysis we have made here for spiropentadiene is also valid for its silicon and germanium analogues. Helical  $\pi$ -MOs appear in spiropentasiladienes and spiropentagermadienes,



and the lowest-lying  $\pi \rightarrow \pi^*$  transitions have helical change of density. Different from the carbon systems,  $\sigma$ -components contribute to the electronic transitions and seem to limit the helicity. A full overview is provided in Fig. S8 (ESI $\ddagger$ ). These results all demonstrate how perpendicular  $\pi$ -systems mix into helical ones in spiroconjugated molecules and their analogues. In conjunction with recent experimental work by Honda *et al.* on electron delocalization between the perpendicular  $\pi$ -systems in spiropentasiladiene,<sup>7</sup> our results imply that helical  $\pi$ -conjugation has experimentally appreciable consequences in small spiroconjugated systems.

We have made a brief assessment of the extent to which helical  $\pi$ -conjugation can also be present in larger spiroconjugated motives and tricyclic analogues.<sup>39</sup> In spiroononatetraene there are eight  $\pi$ -electrons and the MO symmetries differ from spiropentadiene. The HOMO–2 and HOMO–3 of dimethylspiroononatetraene are clearly helical (Fig. S9, ESI $\ddagger$ ) but are less relevant for observable properties. Tricyclic spiro-analogues where the parent system has  $D_{2d}$  symmetry will also have similar mixing of its two  $\pi$ -systems. However, there will be additional nodal planes due to the extra  $\sigma$ -bonds (Fig. S11 and S12, ESI $\ddagger$ ).<sup>10,11,42</sup> The electrohelicity effect thus appears to be less pronounced in larger systems.

In summary, we have explored how helical  $\pi$ -conjugation between the perpendicular  $\pi$ -systems of allene and spiropentadiene affect their electronic transitions and the helicity thereof. In allene the helicity of the  $\pi \rightarrow \pi^*$  transitions cancel out as they are all linear combinations of excitations involving both helicities. In spiropentadiene, the  $\pi \rightarrow \pi^*$  transitions are dominated by a single helical configuration, which is symmetry-protected from mixing with energetically near-degenerate excited states of opposite helicity. These transitions have clear helicity associated to the change of electron density. Different from allene, the electrohelicity effect is connected to the optical properties of spiropentadiene. The sign of the rotatory strength of each transition correlates with the helicity. The properties of substituted spiropentadienes thus hold potential for spectroscopic verification of helical  $\pi$ -conjugation.

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## Conflicts of interest

There are no conflicts to declare.

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