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Upconversion luminescence in cellulose composites (fibres and paper) modified with lanthanide-doped SrF₂ nanoparticles[†]

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The use of functional nanomaterials and their combination with organic polymers leads to the formation of advanced composites and hybrid inorganic–organic systems having unique properties. The use of such materials as anti-counterfeiting agents (invisible under ambient conditions) is crucial for modern security systems and for further identification of labelled items and documents in various industrial applications. Here, the fabrication of functional paper by *in situ* incorporation of luminescent cellulose fibres into its structure, during the formation of paper pulp, is shown. In order to produce luminescent fibres, an *in situ* modification is made during the spinning process, using lanthanide-doped upconverting nanoparticles (SrF₂:Yb³⁺,Er³⁺). At first glance (in daylight), the functionalized paper cannot be distinguished from its unmodified form. However, when exposed to invisible near-infrared laser light (975 nm), bright green illumination appears. The modified paper preserves good mechanical durability, which is important for its potential for further processing in documents and other commercially available forms. Protecting documents and various materials with this kind of paper may open new horizons in materials engineering, forensics, and other industrial applications.

Introduction

Nowadays, functionalized bulk, micro-, and nano-sized materials are extensively studied due to their unique properties, the combination of several functionalities in one system (multifunctional capabilities), the possibility of manipulation on a sub-micro-scale, high spatial resolution, and access to previously inaccessible small-sized regions.^{1–5} Currently, interest in the formation of lanthanide-doped nanomaterials is growing, almost exponentially.^{6–10} This is mainly due to their favourable optical, electronic, magnetic, and catalytic properties.^{10–13} Inorganic materials doped with Ln³⁺ ions

usually exhibit resistance to photobleaching, high-temperature and high-pressure treatment, stability of the 3+ oxidation state, and low cytotoxicity, *etc.*^{11–18}

In the case of optical characteristics, lanthanide ions (mainly Ln^{3+}) may exhibit multi-range luminescence (*i.e.* down-shifting) and upconversion luminescence in UV-Vis-NIR (ultravioletvisible-near-infrared) spectral regions, long luminescence lifetimes, and large spectral shifts of the emission compared to excitation, etc.^{12-14,19,20} Upconversion is a non-linear optical process, *i.e.* the emission of anti-Stokes radiation, based on the absorption of two or more photons with lower energy and, then, emission of one photon with higher energy.^{15,21,22} Upconverting phosphors are worthy of particular attention because they allow the observation of visible light activated with lower energy NIR radiation, enabling "invisible activation of the visible effect," which is very useful in various applications, especially in the field of advanced document protection and modern security systems.¹³ Two examples related to this field, in which luminescence (UV-excited) and upconversion (NIR-excited) luminescence of Ln³⁺-based materials can be used, include (I) luminescent dactyloscopic powders for advanced fingerprint detection and (II) luminescent inks working as anti-counterfeiting agents for banknote protection.23,24

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Paper

In recent years, scientists have been working on the synthesis of a wide range of nanomodifiers for materials with various unique properties, providing innovative and highly functional composites.^{25–29} One of the continually growing areas of science is the development of high-quality nanophosphors, which can be incorporated into some polymer-based materials, such as fibres or paper. The composites obtained in this way can be used to protect paper (documents), banknotes, or textiles.^{13,23,24}

Erdman et al. obtained UV-sensitive, optically active cellulose fibres containing 0.5% w/w Sr₂CeO₄, Gd₂O₃F₆:5%Eu³⁺, or CeF₃:5%Tb³⁺ nanoparticles (NPs), exhibiting blue, red, and green emission, respectively.³⁰ The obtained fibres show high photoluminescence intensity under UV light irradiation, and the character of the compounds yields fibres that emit light of different colours. Li et al. obtained a new type of luminescent fibres, working as optical temperature sensors, based on the detection of fluorescence intensity ratio (FIR).³¹ The fibres contained upconverting NPs (NaYF₄:Er³⁺,Yb³⁺) working as optical temperature sensors. NPs were embedded in optical multi-mode quartz graded fibre, using fibre fusion technology. The presented results showed that the fabricated sensor has good sensing performance in the range of 40 to 80 °C. Reproducibility of the sensor's FIR parameter, confirmed during heating-cooling cycles, indicates good stability and reliability, which proves rationality for the construction of a luminescence fibre sensor. Such fibres can be used as temperature sensors in scientific applications, industry, and other areas.

Luminescent compounds and fibres, which provide new or additional functionalities, are also a focus of scientists involved in the development of new paper or paper-based composite products for special applications (e.g. authentication purposes, control of information circulation, storage of hidden information). Strong interest in these products is not simply due to the desired luminescent properties. One field of research is connected with surface modification of paper. Zuxue et al. obtained magnetic paper by coating its surface with Tb-doped germanium borosilicate glass.32 The glass modifier was ground into small particles and modified with graphene oxide by electrostatic interaction. This type of paper can be used for information storage or industrial security. Research shows that magnetic paper has been successfully produced, and its mechanical properties were also improved by the performed modification. Another possibility for paper functionalization is to introduce other types of fibres, with different properties, into the paper structure. Shi and Wang obtained a paper composite with carbon fibres.33 The modification enhanced the mechanical properties of the paper and had a negligible effect on the electrical properties. Chang et al. obtained polyaniline and zirconium phenylphosphonate-modified cellulose fibres, which may be used for flexible paper-based electrodes.³⁴

Nevertheless, modification of paper structure with luminescent fibres (containing functional NPs) is one of the most interesting advanced paper applications for document protection and other security purposes. The fibres, being a security agent in paper, can be made of natural or synthetic polymers, while luminescence modification can be done by dying the surface of the fibres or incorporating luminescent material into the polymer mass during the fibre formation process.^{35,36}

Luminescent lyocell fibres, obtained earlier by our research group, have many advantages related to their biodegradability because they utilize the same compound present in natural fibres used in paper production, *i.e.* cellulose.³⁷ This means lyocell fibres could have better binding properties. These properties can be further increased by fibre fibrillation during the refining process. Interestingly, fibrillation and partial damage of lyocell fibres during the refining operation does not affect their luminescence properties. Moreover, we obtained paper-containing artificial fibres showing UV-excited luminescence properties, which allows us to estimate fibre orientation on-line production during the paper manufacturing process.³⁸ In this work, we show that the presence of luminescent fibres incorporated in paper may have applications other than security, which are of crucial importance from the point of view of the paper production process.

To the best of our knowledge, this is the first report showing the possibility of manufacturing upconverting paper, protected by gently incorporated fibres and functionalized with NIR-excited luminescent NPs, exhibiting the upconversion phenomenon. The presented article discusses the paper composite production with special and highly efficient luminescence properties, obtained by incorporating lyocell fibres with upconversion properties into the paper mass.

Experimental

Materials

Rare earth (RE) oxides, Er_2O_3 and Yb_2O_3 (99.99%, Stanford Materials, United States), were dissolved separately in hydrochloric acid (HCl; ultra-pure, 37%, Sigma-Aldrich, Poland) to obtain the respective RE chloride solutions. Ammonium fluoride (NH₄F; \geq 98%, Sigma-Aldrich, Poland) was used as the source of fluoride ions. Strontium chloride hexahydrate (SrCl₂·6H₂O; \geq 99%, Sigma-Aldrich, Poland) and citric acid trisodium salt dihydrate (97%, Sigma-Aldrich, Poland) were used as received, without further purification. Deionized water was used for the synthesis.

Cellulose pulp (Rayonier Ltd) containing 98 wt% of α -cellulose, with an average polymerization degree of about 1250 DP, and *N*-methylmorpholine *N*-oxide (NMMO), as a 50% aqueous solution (from Huntsman Holland BV, the Netherlands), were used for the preparation of the spinning dope. The propyl ester of gallic acid (Tenox PG[®]) from Aldrich (Gillingham, Dorset, UK) was applied as an antioxidant.

Commercial bleached softwood kraft (BSK) pine pulp was used for laboratory paper sample production. Pulp was obtained from one of the Polish paper mills in the form of sheets with a dryness of about 93.3%. The pulp was disintegrated according to ISO 5263-3:2004 standard and refined in a PFI laboratory mill according to ISO 5264-2:2011.

Apparatus

X-ray diffraction patterns (XRD) were measured with a Bruker AXS D8 Advance diffractometer in the Bragg–Brentano geometry (6–60° 2θ range) using Cu K α 1 radiation (λ = 1.5406 Å). The reference data

were taken from the Inorganic Crystal Structure Database (ICSD). Compositions of the prepared materials were analysed by inductively coupled plasma-optical emission spectrometer (Varian ICP-OES VISTA-MPX). Transmission-electron-microscopy (TEM) images were acquired using a FEI Tecnai G2 20 X-TWIN transmission electron microscope and accelerating voltage of 200 kV. DLS (dynamic light scattering) and zeta potential measurements were performed using a Malvern Zetasizer Nano ZS instrument. Sample concentration for measurements was 0.25 mg mL⁻¹. Zeta potential was measured at physiological pH. Scanning electron microscope (SEM; FEI Quanta 250 FEG), using an EDAX detector, was used to examine the morphology and distribution of elements *via* energy dispersive X-ray analysis (EDX) in modified fibres.

Emission spectra were recorded using an Andor Shamrock 500 spectrometer connected to a Peltier-cooled Andor Indus (silicon) CCD (charge-coupled device) camera. A fibre-coupled, solid state diode pumped (SSDP) continuous wave (CW) NIR 975 laser was used as the excitation source. Luminescence decay curves were measured using an Opolette 355LD UVDM tuneable laser (with a repetition rate of 20 Hz) and a Quanta-Master[™] 40 spectrophotometer using a Hamamatsu R928 photomultiplier detector. An optical microscope, Delta Optical IPOS-810, with a CCD camera was used for imaging cellulose fibres.

To prepare the cellulose solution, a high-efficiency laboratoryscale Ikavisk kneader (IKAVISC kneader type MKD 0.6-H60; IKA-ANALYSENTECHNIK, Heitersheim, Germany) was used. The operating volume of this apparatus is about 300 mL. The system is equipped with temperature sensors, a stirrer, and a torque moment counter (for measurement of torsional moment). These parameters are recorded by a computer. A laboratory-scale spinning machine was used to produce the fibres, which were formed by the dry-wet method, using a spinneret with 18 orifices.

Fluorescence measurements were performed with a confocal laser scanning microscopy system, LSM 780 (Zeiss), equipped with a femtosecond tuneable infrared laser for two-photon excitation. The images were taken in reflection mode, at excitation wavelengths of 980 and 405 nm, using a Plan-Apochromat $63 \times /1.40$ oil immersion objective. The fluorescence spectra were recorded in lambda-mode, excitation wavelength 980 nm.

Zwick materials tensile testing machines, type BZ2.5/TN1S (Ulm, Germany) with TestXpert v. 7.1 software, were used as a powerful, flexible, and cost-effective tensile testing solution for fibres. The linear density of the fibers was determined according to ISO 1973:1995 (E), and the conditioned tenacity and elongation at break were measured according to PN-85/P-04761/04. Laboratory paper sheets of 70 g m⁻² were formed in a Rapid-Köthen apparatus, according to standard ISO 5269-2:2004. Samples were, then, conditioned at 23 ± 1 °C and 50 ± 2% RH, according to standard ISO 187:1990.

Paper samples were formed in the laboratory using the Rapid-Köthen apparatus. Surface roughness of the samples was measured according to ISO 8791-2:2013 standard. Air permeability of paper samples was estimated according to ISO 5636-3:2013 standard.

Synthesis of the luminescent NPs

SrF₂ NPs doped with 20 mol% Yb³⁺ and 1 mol% Er³⁺ were synthesized based on our previously reported procedure.³⁹ For preparation of 3.5 mmol of product, 2.77 mL of 1 M SrCl₂ and 0.70 mL of 1 M YbCl₃ were mixed with 0.14 mL of 0.25 M ErCl₃ aqueous solutions and were added to 20 mL of 1 M sodium citrate (NaCit) solution (complexing agent). Then, 5 mL of 4.20 M NH₄F solution was added to the solution containing SrCl₂ and LnCl₃ salts. The pH of the final mixture was adjusted to 7.5. The as-prepared transparent solution was transferred into a 50 mL Teflon-lined vessel and hydrothermally treated for 12 h (180 °C, 20 bars) in an externally heated autoclave (Berghof DAB-2). After completion of the reaction, the resulting white precipitate was purified by centrifugation and rinsed several times with water and ethanol. The final product was dispersed in water.

Preparation of the fibres

In order to obtain NIR-sensitive cellulose fibres, the $SrF_2:20\%$ $Yb^{3+},1\%Er^{3+}$ NPs, working as upconverting luminescent modifiers, were introduced into the cellulose matrix of the fibres. The following procedure was applied to obtain luminescent cellulose fibres. The $SrF_2:Yb^{3+},Er^{3+}$ NPs were mixed with 50% NMMO aqueous solution, cellulose pulp, and antioxidant and, subsequently, transferred to an Ikavisk kneader. Modifier was used in sufficient quantity to reach a concentration of about 0.5% w/w of dry cellulose fibres. The cellulose dissolution process was carried out in a kneader until a homogenous spinning dope was obtained. The fibres were spun using the dry-wet method in a laboratory spinning machine with a speed of 10 m min⁻¹, as described in previous works.⁴⁰

Preparation of the paper samples

A series of paper samples containing cellulose fibres modified with $SrF_2:Yb^{3+}, Er^{3+}$ NPs were obtained with the use of a Rapid-Köthen laboratory machine for paper production, according to ISO 5259-2:2001. Before the final paper was formed, disintegrated, and refined, the paper pulp was mixed for 10 min in a laboratory mixing device. Luminescent fibres, in 5 mm long sections ($\sim 30 \ \mu m$ thick), were added to the pulp at this stage to obtain 2 g of final paper sheets with the following concentrations of lyocell fibres: 0, 0.5, 1, 3, 5, and 10%. After formation, the paper sheets were dried for 10 min at 100 °C and conditioned according to ISO 187:1990.

Results and discussion

The hydrothermal method of synthesis yields luminescent NPs based on SrF_2 doped with 20% of Yb³⁺ and 1% Er^{3+} ions. These NPs were used as fluoride modifiers (FM) introduced into artificial cellulose fibres, giving them luminescence properties. The FMs have the pure cubic structure of the NPs, in accordance with the reference pattern Inorganic Crystal Structure Database ICSD #40414 (Fig. S1, ESI[†]). There are no additional XRD lines; hence, FMs were obtained without any impurities.

Paper

The XRD pattern of the modified fibre also confirmed the presence of FMs in the fibre matrix. Broadening of the reflexes corresponding to the SrF_2 :Yb³⁺, Er³⁺ NPs, observed in the XRD pattern (top) for the modified fibres, may be caused by chemicals used during *in situ* modification of the fibres, as well as by the mechanical stress applied, affecting the surface of NPs (partial amorphization and changes in the coordination environment) and leading to the formation of surface defects and strains in the crystals. According to TEM analysis, FMs have a slightly irregular morphology, as there are NPs with spherical or elongated shape-forming agglomerates (Fig. 1a). The average grain size of the FM NPs, calculated based on the TEM images, is 42 ± 10 nm (Fig. 1a inset).

DLS measurements revealed that the hydrodynamic diameter of the FM NPs (in water, room temperature) are predominantly in the range 75–120 nm (Fig. S2, ESI†). Based on the results of TEM measurements, FM NPs in water exist as agglomerates of approximately 8–34 NPs (or 2–3 NPs in agglomerate diameter). This result, as well as zeta potential value (-19.5 ± 8.1 mV; Table S2, ESI†), indicates that FM is suitable for use (in the modification process of cellulose fibre) in aqueous media from a technological point of view. However, a negative zeta potential (-20 mV) at physiological pH confirms the stability of NPs as water colloids (Table S1, ESI†).

The synthesized FMs were introduced into the fibre matrix, neither disturbing the spinning process nor destroying the final form of the fibres. In order to prove the high quality of the modified fibres, the mechanical properties of standard cellulose fibres (spun without any modifier), as well as fibres modified with NPs, were determined. Measurements of conditioned tenacity and elongation at break are shown in Table 1.

Tenacity of the fibres with 5% FM increased by about 7%, compared to the fibre without FM, which is connected with a

b)

d)

200 nm

5 µm

200 µm



 Table 1
 The linear density and mechanical properties of the pure and modified fibres

Sample	Linear density [tex]	Tenacity [cN/tex]	Standard deviation for tenacity	Elongation at break [%]
Fibre without FM	$1.060 \\ 1.252$	31.65	5.23	1.30
Fibre with 5% FM		33.88	4.97	0.55

good distribution of the modifier NPs in the polymer matrix of the fibres without any FM aggregations.

The lower value of elongation at the break of the modified fibres has less influence than elasticity of the modified ones. Lower elongation at break values of modified fibres, compared with unmodified ones, have also been reported in the case of the fibres modified with other inorganic luminescent modifiers.⁴¹

Fibre morphology was analysed using SEM, which enables characterization of the FM NP distribution enclosed in a polymer matrix of cellulose fibres. SEM images and photographs were taken with a camera, showing that the FM (white spots) is well distributed in the whole volume of the fibre polymer matrix (Fig. 1b–d and Fig. S3, ESI[†]).

EDX enables identification of particular elements of the FM incorporated in the modified fibres (Fig. 2). The chemical composition in certain areas of the selected fibre indicated the presence of Sr, F, Yb, and Er in the polymer matrix of the cellulose fibres. The applied modifier was well distributed in the polymer matrix. Once again, uniform distribution of the FM was demonstrated, without forming any FM aggregates. Such behaviour of the FM ensures uniform luminescent properties over the entire length and volume of the fibres. Additionally, ICP-OES analysis shows that the composition of FM agrees with the stoichiometry of the compound and amount of precursor used (Table S2, ESI†).

Confocal laser scanning microscopy was used to investigate fluorescence properties of the modified fibre, visualize FM NPs, and estimate their distribution within the cellulose matrix. For this aim, the modified fibre was investigated in reflection mode, at excitation wavelengths of 980 (to visualize FM NPs) and 405 (to visualize cellulose matrix) nm. A laser wavelength of 405 nm was utilized to excite autofluorescence (native fluorescence) emission of cellulose.⁴² As seen (Fig. 3a), the modified fibre exhibits luminescence after excitation at 980 nm. Multiple bright dots, FM NPs, are homogeneously distributed within the fibre volume. In contrast to FM NPs, the cellulose matrix, excited at 405 nm, emits fluorescence originating from FM NPs and cellulose matrix.

In continuation of this experiment, the fluorescence spectra were recorded in two regions of the modified fibre (Fig. 3b). The spectra are similar, revealing three emission bands centred at approximately 522, 546, and 653 nm. These bands were related to Er^{3+} ions' emission, indicating ${}^{2}\mathrm{H}_{11/2} \rightarrow {}^{4}\mathrm{I}_{15/2}$, ${}^{4}\mathrm{S}_{3/2} \rightarrow {}^{4}\mathrm{I}_{15/2}$, and ${}^{4}\mathrm{F}_{9/2} \rightarrow {}^{4}\mathrm{I}_{15/2}$ transitions.

In summary, the results of confocal laser scanning microscopy measurements demonstrated green emission of modified



Fig. 2 EDX analysis: SEM images of the fibre cross section with SrF₂: Yb^{3+} , Er^{3+} NPs, where the SEM image (a1) demonstrates the presence of strontium (a), fluoride (b), ytterbium (c), and erbium (d).

fibres after NIR excitation, characteristic for Er^{3+} ions. Further, the FM NPs are distributed homogeneously within the cellulose matrix, what is in good agreement with SEM EDS mapping results.

In order to determine the impact of cellulose luminescent fibre additives on the paper structure, the following properties were tested: surface roughness and air permeance. The results are presented in Table 2 and Fig. 4. The presence of luminescent lyocell fibres resulted in an increase of surface roughness and air permeance, which is beneficial in some applications, *e.g.* membrane technology. The increase of these parameters is the highest for paper containing 10 wt% of the lyocell fibres in mass. The surface roughness increased, in this case, by \sim 1.5 times and the air permeance by 3 times. Lyocell fibres mixed with paper pulp create a more porous paper structure, which means air can easily penetrate it, and surface roughness increases.

Spectroscopic properties of the obtained fibres, modified with SrF₂:Yb³⁺,Er³⁺ NPs, were analysed based on the upconversion emission spectra and luminescence lifetimes (see ESI[†]), recorded at λ_{exc} = 975 nm (Fig. 5a, and Fig. S4, S5, ESI†). In this system, Yb³⁺ ions act as sensitizers (light harvesting ions), effectively absorbing NIR light (see Fig. S4 and S6, ESI[†]) and transferring it (via energy transfer upconversion mechanisms) to the emitting Er^{3+} ions. The spectra, typical for Er^{3+} ions, show three intense bands centred around 525, 550, and 650 nm, related to the $^2H_{11/2}$ \rightarrow $^4I_{15/2},~^4S_{3/2}$ \rightarrow $^4I_{15/2}\text{,}$ and ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$ transitions, respectively (Fig. 5a). The calculated chromaticity coordinates, x = 0.191 and y = 0.565, were pointed in the yellow-green region (Fig. 5b). The luminescence colour was established as greenish, which can be observed in the photographs, where the modified fibres are irradiated with a laser at λ_{exc} = 975 nm (Fig. 5b, c and Fig. S3, S7, ESI[†]). The emission colour of the modified fibres is shifted slightly to the green region compared with the pure modifier, confirming the difference in the relative emission intensity between the bands located in the green spectral region (${}^{2}H_{11/2} \rightarrow {}^{4}I_{15/2}, {}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$) and red region



Fig. 3 Luminescence and fluorescence images of a modified fibre taken in reflection mode at excitation wavelengths of 980 and 405 nm (a), and luminescence spectra of a modified fibre taken at an excitation wavelength of 980 nm (b).

 $({}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2})$ for both samples. This is due to several reasons, such as (I) different light scattering on pure NPs compared to modified fibre; (II) reabsorption of emitted light by the fibre; and (III) a difference in power of the incident laser beam, caused by the initially mentioned effects (I and II), which, in the case of non-linear upconversion, may change the shape of the spectrum.

Upconversion of cellulose fibres was used as an additional (invisible, "secret") modifier to paper sheets.

The modification and application of different contents of modified fibres shows that the addition of even 0.5 wt% fibre is

Table 2	Basic properties of the pure and modified paper samples		
FM [%]	Surface roughness (Bendsten) [mL min ⁻¹]	Air permeance (Bendsten) [mL min ⁻¹]	
0	278 ± 21	1807 ± 67	
0.5	404 ± 33	1746 ± 70	
1	395 ± 49	1772 ± 41	
3	462 ± 41	2001 ± 39	
5	590 ± 88	2120 ± 51	
10	836 ± 68	2648 ± 94	

Paper



Fig. 4 Basic physical properties of paper samples at different concentrations of luminescent lyocell fibres: (a) surface roughness and (b) air permeance.



Fig. 5 Upconversion emission spectra of FM and modified fibres (a), a chromaticity diagram presenting the colour of the NPs and modified fibres' emission (b), and photographs taken with a CCD camera coupled to an optical microscope at $20 \times$ magnification (c and d).

enough to observe greenish emission in the paper with the naked eye. As expected, as the concentration of upconverting fibres increases, the luminescence properties of the paper are more visible, due to the higher density of fibres in the paper sheet (Fig. 6 and Fig. S7, ESI⁺).



Fig. 6 Photographs of modified paper sheets taken with a CCD camera coupled to an optical microscope.

Conclusions

We have shown it is possible to obtain upconverting luminescent cellulose fibres functionalized with lanthanide-doped NPs and introduce them into paper pulp in the form of an invisible (in daylight), "secret" modifiers, which can be revealed only under NIR laser irradiation, as bright greenish illumination. The functionalized fibres were obtained by a low-cost, facile, and easy to scale-up NMMO method. The performed SEM and EDX analyses show that the applied upconverting modifier (SrF₂:Yb³⁺,Er³⁺ NPs) is evenly distributed in the polymer matrix of cellulose fibres, without any aggregation, and has a good influence on the mechanical properties of the obtained fibres. The FM introduced into the polymer matrix of the fibres promotes the fibre spinning process and results in fibres with very good tenacity, positively influencing their utility properties. The presence of lyocell fibres in the paper structure causes an increase of basic physical parameters of the paper (surface roughness, air permeance), which is associated with differences in the structures of man-made cellulose fibres and natural fibres of paper pulp. This should be an important issue in further studies. The obtained experimental results allow for the development of modern and advanced document protection, performed in a low-cost and facile way.

Conflicts of interest

There are no conflicts to declare.

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