A three-in-one-bullet for oesophageal cancer: replication fork collapse, spindle attachment failure and enhanced radiosensitivity generated by a ruthenium(II) metallo-intercalator†

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Substitutionally inert ruthenium(II) polypyridyl complexes have been developed as DNA intercalating agents yet cellular DNA damage responses to this binding modality are largely unexplored. Here, we show the nuclear-targeting complex \([Ru(phen)_2(tpphz)]^{2+}\) (\(phen = 1,10\)-phenanthroline, tpphz = tetrapyridophenazine) generates rapid and pronounced stalling of replication fork progression in p53-deficient human oesophageal cancer cells. In response, replication stress and double-strand break (DSB) DNA damage response (DDR) pathways are activated and cell proliferation is inhibited by growth arrest. Moreover, mitotic progression is compromised by \([Ru(phen)_2(tpphz)]^{2+}\), where the generation of metaphase chromosome spindle attachment failure results in spindle assembly checkpoint (SAC) activation. This dual mechanism of action results in preferential growth inhibition of rapidly-proliferating oesophageal cancer cells with elevated mitotic indices. In addition to these single-agent effects, \([Ru(phen)_2(tpphz)]^{2+}\) functions as a radiosensitizer with efficiency comparable to cisplatin, which occurs through a synergistic enhancement of DNA damage. These results establish that DNA replication is the target for \([Ru(phen)_2(tpphz)]^{2+}\) and provide the first experimental evidence that ruthenium-based intercalation targets multiple genome integrity pathways in cancer cells, thereby achieving enhanced selectivity compared to existing DNA-damaging agents such as cisplatin.

Introduction

Small molecules that interfere with DNA replication are widely-used anti-cancer drugs and are often employed in combination therapy alongside ionising radiation (IR) to treat cancer. 1,2 One example of a clinical radiosensitizer is the platinum drug cisplatin, which generates both inter and intra-strand Pt-DNA cross-links and double-strand breaks (DSBs) that slow cell-cycle progression through S-phase, exacerbating IR-induced DNA damage. 3,4 Although cisplatin-based chemoradiotherapy is highly effective in many cases, oesophageal cancers are marked by poor 5-year survival rates, typically <20%. 5 Cisplatin is associated with nephrotoxicity which limits dose escalation and attempts to improve the outcome of patients with oesophageal cancer using alternative DNA-damaging chemotherapy such as doxorubicin have been unsuccessful. 6 Application of these potent cytotoxic agents has also been hampered by the fact that the majority (77%) of oesophageal cancers lack p53 function 7 and therefore possess a reduced capacity to activate apoptotic pathways in response to significant DNA damage. 8 Thus, less toxic compounds that operate by alternative mechanisms of action and can also function as radiosensitizers are required.

As typified by \([Ru(bpy)_2(dppez)]^{2+}\) (bpy = 2,2-bipyridine, dppez = dipyridophenazine), 9 substitutionally inert ruthenium(II) polypyridyl complexes (RPCs) that interact with DNA solely via intercalation have been developed as site- and structure-specific luminescent DNA binding agents. 9,10 Recent X-ray crystal structures have provided molecular insight into RPC metallo-intercalation in unprecedented detail. 11-16 This includes evidence that \([Ru(phen)_2(dppez)]^{2+}\) (\(phen = 1,10\)-phenanthroline) adopts multiple intercalative geometries 12 and \(Ru(dppez)\) semi-intercalation induces marked kinking of duplex DNA; 13 a structural distortion similar to that observed following platination. 17 Based on these studies with isolated DNA, the cellular uptake and anti-cancer properties of RPCs have become of increasing interest. 18-20 However, despite the large number of DNA-interactive RPCs that have now been screened for anti-
cancer activity,21 few have unequivocally been shown to have genomic DNA as their pharmacological target.23–24 As a result, cellular DNA damage responses to lesions generated by RPC mono-intercalation are completely unknown; a considerable barrier to therapeutic development of this class of DNA-binding agent. Moreover, while RPCs have generated much interest as photosensitizers for photodynamic therapy (PDT),25–27 studies of RPCs in combination with IR have been rare.23,28,29 This is surprising as radiotherapy is a mainstay of cancer medicine: high-energy X-rays or targeted radionuclide therapeutics have a far greater depth of penetration in tissue than visible light and radiotherapy is therefore capable of targeting a wider range of cancers.2,9

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[Ru(phen)$_2$(pphz)]^{2+} \quad (pphz = \text{tetrapyrido}[3,2-a:2',3'-c:3',2''-h:2'',3''-j]\text{phenazine}), \quad \text{Ru1} \quad \text{(Fig. 1a)}, \quad \text{is a water-soluble hydrophilic mono-intercalator (log P = -1.24, DNA Kd = 3 \times 10^5 \text{M}^{-1}) that shows in vitro anti-cancer activity30 and toxicity has been established in murine models.22 Here, we present a detailed characterisation of the cellular response to DNA damage generated by Ru1 in p53-deficient oesophageal cancer cells and explore the complex in combination with IR.
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Results and discussion

Nuclear localisation of Ru1

Previous confocal laser scanning microscopy (CLSM) and transmission electron microscopy (TEM) studies indicated that Ru1 targets nuclear DNA.31 ICP-MS (inductively coupled plasma mass spectrometry) analysis confirmed high (>70%) cellular ruthenium content in isolated nuclear fractions of Ru1-treated oesophageal cancer cells (Fig. S1 in the ESI†) while visualisation of intracellular MLCT (metal to ligand charge-transfer) emission of Ru1 in OE21 oesophageal squamous cell carcinoma (ESCC) cells showed strong overlap with the DNA dye DAPI (Fig. S2†). In comparison, OE21 cells treated with \([Ru(phen)$_2$(dppz)]^{2+} \quad (\text{log P = -1.48 [ref. 18])} \text{possessed a 13-fold lower average cellular Ru content and a 28-fold reduction in nuclear Ru content than cells treated with Ru1 (Fig. S1a†). In addition to this, minimal nuclear MLCT emission in } [Ru(phen)$_2$(dppz)]^{2+} \text{-treated cells was evident by CLSM (Fig. S2†). These results indicate substantially greater cellular uptake and enhanced nuclear targeting are demonstrated by Ru1 over } [Ru(phen)$_2$(dppz)]^{2+}.

Ru1 stalls replication fork progression

On the basis of our recent discovery that the multi-intercalator \([Ru(dppz)$_2$(PIP)]^{2+} \quad (\text{PIP} = \text{2-(phenyl)imidazo[4,5-f][1,10]phenanthroline}) \text{is able to stall replication forks,23 the ability of Ru1 to similarly affect DNA replication was examined by DNA fibre assay. By sequential incorporation of halogenated nucleotides and immunofluorescence staining, this technique allows visualisation of the progression of individual replicating DNA strands.35,36 Accordingly, OE21 cells were pulse-labelled with the thymidine analogue CldU for 30 min before treatment with Ru1 and concomitant labelling with a second thymidine analogue (IdU) for an additional 30 min, thereby allowing examination of the direct impact of Ru1 upon replication fork progression (Fig. 1b). Strikingly, the addition of 21 \mu M Ru1 (the 24 h IC$_{50}$ concentration, Table 1) in this manner resulted in a large decrease in median IdU tract length in DNA fibres, indicating extensive replication fork stalling generated due to the inclusion of the complex (Fig. 1c). This was accompanied by a 1.7-fold increase in completely stalled/terminated replication forks (CldU tract only – Fig. 1d). This marked impact on replication fork progression indicates pronounced and rapid DNA replication inhibition is generated directly by the addition of Ru1; an unprecedented result for a substitutionally inert metal complex that binds DNA solely by mono-intercalation.
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**DNA damage response (DDR) activation by Ru1**

To examine DNA damage response (DDR) cell signalling activation in response to lesions generated by Ru1, we carried out western blot analyses of protein extracts of OE21 cells using antibodies against the phosphorylated (activated) forms of several DDR signalling proteins. In Ru1-treated cells, an increased level of Chk1 phosphorylation at Ser345 and phospho-ATR (at Ser428) (ATR = ataxia telangiectasia and Rad3-related protein) at 3 h onwards indicated activation of ATR/Chk1 signalling (Fig. 2a), in agreement with generation of replication stress as a result of stalled replication forks. An increased level of phospho-Chk2 (Thr68) and γH2AX (Histone H2AX phosphorylated at Ser139), both of which are generated following DNA double-strand damage, was also apparent, the level of each increased with Ru1 exposure time (Fig. 2a). Comparable temporal DDR activation induced by Ru1 was observed in wild type p53-containing MCF7 breast cancer cells, showing that DDR activation occurs independently of p53 status (Fig. S3f). These results show Ru1 induces both replication stress and double-strand break (DSB) DDR pathway activation; a hallmark of replication fork collapse.†

**Impact of dppz on replication fork progression**

Although X-ray crystal structures have confirmed that DNA intercalation of RPCs is achieved primarily through the insertion of extended coordinated polypyridyl ligand(s) between base pairs, it is unknown whether this effect drives bioactivity. As poor solubility prevented the use of free tpphz, the cellular response to free (non-coordinated) dppz (Fig. 1a) – a close structural analogue of tpphz and the prototypical polypyridyl intercalating ligand – was also examined. As seen in Fig. 1b-d, replication fork progression in OE21 cells was impaired after the addition of 7 μM dppz, as indicated by a substantial decrease in IdU tract length and large (three-fold) increase in levels of stalled forks compared to mock-treated. The similarity of the impact on replication fork progression for dppz and Ru1 is consistent with the notion that polypyridyl ligand intercalation is responsible for replication inhibition. However, approximately two-fold greater levels of stalled forks are generated by dppz than Ru1, indicating a more potent replication block generated by the organic ligand (Fig. 1d). Examining DDR activation due to dppz treatment, high pChk1 levels and decreased levels of the DSB damage marker γH2AX and pChk2 were also examined. As seen in Fig. 1b–d, replication fork progression in OE21 cells was impaired after the addition of 7 μM dppz, as indicated by a substantial decrease in IdU tract length and large (three-fold) increase in levels of stalled forks compared to mock-treated. The similarity of the impact on replication fork progression for dppz and Ru1 is consistent with the notion that polypyridyl ligand intercalation is responsible for replication inhibition. However, approximately two-fold greater levels of stalled forks are generated by dppz than Ru1, indicating a more potent replication block generated by the organic ligand (Fig. 1d). Examining DDR activation due to dppz treatment, high pChk1 levels and decreased levels of the DSB damage marker γH2AX and pChk2 were also examined.
activation in comparison to Ru1 treatment were apparent, indicating reduced DSB damage pathway activation by dppz (Fig. 2b and d).

In comparison to Ru1 and dppz, [Ru(phen)2(dppz)]^{2+} demonstrated substantially reduced impact on cell proliferation along with no evidence of DDR activation (Fig. 2c and d). This may be explained by the reduced cellular uptake of [Ru(phen)2(dppz)]^{2+} compared to Ru1 (vide supra). The low bioactivity and poor nuclear targeting of [Ru(phen)2(dppz)]^{2+} are in agreement with other studies. 

**Global response to Ru1-induced DNA damage**

Depending upon the precise lesion generated, the cellular response to unrepaired DNA damage is typically either cell-cycle arrest or cell death. 

High levels of γH2AX foci are retained for a substantial time after Ru1 treatment of OE21 cells (Fig. 3a and b), indicating prolonged DDR activation generated by the complex and the persistence of unrepaired DNA. Examining impact on cell-cycle progression, treatment of cycling OE21 cells with Ru1 induced a two-fold increase in G2/M phase cells compared to control (Fig. 3c). A distinct cell-cycle response was induced in response to dppz where instead cells accumulated in G1 or early S phase (Fig. 3c). In contrast to cisplatin treatment, limited evidence of annexin-V-positive cells, no observable karyorrhexis and reduced cleaved caspase 3 expression was observed in OE21 cells treated with Ru1 (Fig. 3d, S4 and S5†), indicating low levels of apoptosis. Despite this, a loss of proliferative capacity as a result of Ru1 treatment was shown by clonogenic survival assay (Fig. 3e). Furthermore, numerous growth-arrested Ru1-treated OE21 cells appeared “flattened” with enlarged nuclei (Fig. S5†), a characteristic phenotype of senescence. These results are therefore consistent with permanent growth arrest being the primary response to Ru1-generated DNA damage in OE21 cells; although Trypan blue staining indicates ~20% necrotic cell death to accompany this outcome (Fig. S5a†).

The targeting of genomic DNA without generating high levels of apoptosis by Ru1 is a distinct cellular response compared to cisplatin. While at first this appears surprising, Ru1 and cisplatin possess different DNA binding modalities (cisplatin forms covalent Pt–DNA adducts while Ru1 binds non-covalently via intercalation) and growth arrest in response to DNA damage is common, particularly in cells that lack functional p53 and thus possess a reduced capacity to activate apoptosis. It is also evident that Ru1 demonstrates a different mechanism of action compared to structurally-related hydrophobic mitochondrial-targeting RPCs, which induce reactive oxygen species (ROS)-mediated apoptosis. As the hydrophilic Ru1 instead targets nuclear DNA, this illustrates the role of organelle targeting in determining RPC bioactivity.

The differential DDR activation and cell-cycle deregulation exhibited by Ru1 and dppz are also noteworthy. This may be rationalised by the greater levels of stalled forks generated by dppz resulting in a potent G1-S block. For Ru1, fork collapse instead results in slowed progression through S-phase and the accumulation of DSB damage, culminating in G2/M checkpoint activation. This indicates the Ru11 metal centre influences bioactivity and is not solely a “carrier” for the hydrophobic polypyridyl intercalating ligand. More detailed structural binding studies of Ru1 and dppz with DNA could be highly illuminating and provide a molecular basis for these observations.

**Ru1 generates metaphase chromosome non-attachment**

Close inspection revealed Ru1 induced chromosome misalignment in metaphase OE21 cells (Fig. 4a), possibly as
a consequence of non-attachment of sister chromatids to spindle microtubules. To test this, z-stack confocal analysis and 3D reconstruction confirmed dispersion of condensed chromosomes in Ru1-treated populations with evidence of complete failure of attachment to the mitotic spindle (Fig. 4b and S6, ESI Videos 1 and 2†). Misalignment was a consequence of failure of any kinetochore attachment as no monotelic, syntelic, or merotelic figures were observed. These mitotic aberrations accounted for ~8% of the total cell population at the IC\textsubscript{50} concentration of Ru1 compared with 1.2% for control cells (Fig. 4c). Examining the impact of Ru1 on m-phase progression, an increase in prophase, prometaphase and metaphase cells was observed with an accompanying decrease in anaphase, telophase and cytokinesis populations (Fig. 4d and e). Increased levels of chromatin-associated activated (phosphorylated) p44/42 MAPK (mitogen-activated protein kinases) of aberrant metaphases indicated activation of the spindle assembly checkpoint (SAC) by Ru1 (Fig. 4f and S7†) and confirming the absence of merotelic attachment as the latter do not activate the SAC.\textsuperscript{41–43} In addition, treatment with Ru1 resulted in a large increase in cells containing multiple micronuclei (Fig. 4g and S8†), indicating that despite activation of the SAC, a significant proportion of these cells fail to maintain SAC-induced mitotic arrest and progress through mitosis; such observations are a documented consequence of fragmented or detached chromosomes generated during mitosis.\textsuperscript{44} These results are therefore consistent with DNA damage accumulated during mitosis causing errors in chromosome segregation and spindle attachment failure, thereby resulting in SAC activation and delayed metaphase-to-anaphase transition.\textsuperscript{45} This phenotype has been observed with other DNA-damaging agents, but these studies have always required genetic knockdown or co-treatment with a Chk1 inhibitor to abrogate the G2 checkpoint to achieve this outcome.\textsuperscript{46–48} It is therefore significant that Ru1 generates this effect applied as a single-agent.

**Ru1 demonstrates distinct cancer cell-selectivity**

The dual-mode mechanism of action of Ru1 implies that rapidly-proliferating cells with an elevated mitotic index and/or mutations of mitotic checkpoint genes (characteristics of many oesophageal cancers\textsuperscript{49,50}) would be more susceptible to treatment. Accordingly, a panel of p53-deficient oesophageal cancer cell lines defective in Aurora kinase function\textsuperscript{51} demonstrated a two-fold greater potency than cisplatin in OE21 cells (Table 1 and Fig. S9†). Immortalised human small airway epithelial hSAEC1-KT cells, which are p53-functional, have a slow growth rate and low mitotic index,\textsuperscript{52} were used as a control. Comparable cellular and nuclear uptake of Ru1 in the three cancer cell lines was observed (Fig. S1†), however, derived half-inhibitory (IC\textsubscript{50}) concentrations indicated that the relative potency of Ru1 was greatest towards OE21 cells (Table 1 and Fig. S9†), which possess the highest mitotic index and growth rate (Table S1†). Notably, Ru1 demonstrates a two-fold greater potency than cisplatin in OE21 cells after 72 h incubation (Table 1). Encouragingly, reduced activity of Ru1 towards normal hSAEC1-KT cells was observed. In contrast, cisplatin showed comparable activity towards the cancer cell lines and consistent apoptosis induction across all cell lines tested, including hSAEC1-KT cells (Table 1 and Fig. S4b†). Dppz showed consistent activity towards the three cancer cell lines (Table 1) while the topoisomerase inhibitor doxorubicin demonstrated a three-fold reduction in potency towards OE21 cells compared to OE33 or FLO-1 cells after 72 h exposure (Table S2†). These results
indicate the cell-selectivity profile demonstrated by Ru1 is not a general outcome for DNA-damaging agents. The observation that dppz demonstrated comparable or greater potency than Ru1 and substantially greater effects than [Ru(phen)2(dppz)]2+ is particularly striking as several RPC PDT candidates containing dppz-derived ligands exert bioactivity by light-activated ligand dissociation and it is often assumed their activity is due to the metal centre coordinating to DNA.\textsuperscript{56,21,23,54} These results are therefore consistent with the hypothesis that “uncaged” ligands play a significant role in these cases.\textsuperscript{24}

**Mutagenicity of Ru1**

DNA cross-linking agents such as cisplatin are often mutagenic.\textsuperscript{55} Although increased micronuclei formation indicates Ru1 induces genotoxic stress, this effect was most apparent in OE21 cells (Table S3†), a cell line highly susceptible to genotoxic insult due to chromosomal instability and Aurora kinase B dysfunction.\textsuperscript{49} Employing the hypoxanthine phosphorybosyl transferase (HPRT) forward mutation assay in V79 Chinese hamster cells we show that although Ru1 does increase mutation frequency above control, the rate is approximately two-fold lower than for cisplatin (Table 2).

**Ru1 radiosensitizes cancer cells via DNA damage enhancement**

Many DNA-targeting drugs are employed as clinical radiosensitizers, as they induce specific DNA lesions and/or target cell-cycle progression to achieve synergy with IR targeted to cancer cells.\textsuperscript{12} To determine whether Ru1 functions as a radiosensitizer, three oesophageal cancer cell lines were pre-treated with sub-IC\textsubscript{50} concentrations of Ru1 (2 \(\mu\)M) for 24 h before irradiation (0–8 Gy IR; \(137\text{Cs-}\gamma\)rays; dose rate = 0.81 Gy min\(^{-1}\)) and relative cytotoxicity assessed by clonogenic survival. Fig. 5a shows that Ru1 decreases the surviving fraction (S.F.) of all cell lines in combined treatment compared to radiation alone. Single-agent Ru1 at this low concentration had a negligible impact on clonogenic survival (S.F. values > 0.87, Table S4†), thereby demonstrating the synergistic combination of Ru1 with IR. Resultant dose modification factors (DMFs) at surviving fractions of 0.1 were 1.19–1.31 for Ru1: a comparable level of radiosensitization to treatment of the same cell lines with sub-cytotoxic doses of cisplatin (DMFs at 0.1 = 1.05–1.44). The concentration required for radiosensitization by Ru1 offers a significant improvement over previous work using the multi-intercalator [Ru(dppz)\(_2\)(PIP)\(_2\)]\(^{2+}\) and is similar to more cytotoxic Ru(arene)-halide monocationic complexes, which – like cisplatin – rely upon metal-centred reactivity and the formation of coordination bonds with DNA.\textsuperscript{56}

DNA replication inhibitors often act as radiosensitizers by enhancing IR-induced cytotoxic DSB damage.\textsuperscript{57,58} Accordingly, levels of the DSB marker \(\gamma\)H2AX\textsuperscript{59} in cell cultures pre-treated with Ru1 before exposure to IR were examined. As shown in Fig. 5b and c, OE21 cells treated with Ru1 before IR (2 Gy) demonstrated a marked increase in \(\gamma\)H2AX foci compared to single-agent treatment groups. The large increase in \(\gamma\)H2AX foci in concomitant Ru1 and IR treatment indicated a substantial increase in IR-induced DSB formation compared to either treatment in isolation. A large increase in \(\gamma\)H2AX levels is sustained even at higher doses of IR (8 Gy) and Ru1 (10 or 20 \(\mu\)M) (Fig. 5d), implying a large therapeutic window exists to combine the effects of Ru1 and IR.

Compared to work employing RPCs as photosensitizers, where in situ singlet oxygen or cytotoxic species formation is required for phototoxicity,\textsuperscript{25} radiosensitization often requires a complimentary cellular mechanism of action to enhance the DNA-damaging effects of IR.\textsuperscript{57,58} Considering many small molecules that inhibit DNA replication such as gemcitabine and 5FU (fluorouracil) are potent radiosensitizers,\textsuperscript{56} it seems likely that the DNA-targeting properties of Ru1 and subsequent impact upon replication fork progression play a significant role in its radiosensitizing effects. Furthermore, G2/M phase cells are documented to be the most sensitive to IR-induced DNA damage,\textsuperscript{1,2} and so the G2 arrest and metaphase block generated in response to Ru1 – induced DNA damage would also be predicted to increase radiosensitivity; a concept supported by the high levels of \(\gamma\)H2AX visible in Ru1-treated metaphase cells exposed to IR (Fig. 5b). In addition to these effects, work employing cisplatin has also indicated DNA repair inhibition contributes to radiosensitization,\textsuperscript{4} and it will be interesting to measure DNA repair kinetics in Ru1-radiosensitized cells. Finally, although this work has established DNA as the primary target of Ru1, the peripheral coordination site on the tpphz ligand may additionally chelate metal ions.\textsuperscript{60,61} In agreement with this principle, the addition of ten-fold excess Zn\textsuperscript{2+} and Fe\textsuperscript{2+} ions to free or DNA-bound Ru1 resulted in a clear decrease in MLCT emission of the complex (Fig. S10†). Moreover, this effect was reversed by the addition of the metal chelator EDTA (ethylenediaminetetraacetic acid) for Zn\textsuperscript{2+} binding but, interestingly, not Fe\textsuperscript{2+}, thereby demonstrating a high affinity of Ru1 for ferrous iron. These observations raise the possibility that Ru1 functions as a metal ion chelator in addition to binding DNA. While the possibility of intracellular chelation impacting bioactivity cannot be discounted, this effect would not be predicted to interfere with intercalative DNA binding\textsuperscript{60,61} and the rapid block of replication fork progression by Ru1 and DDR activation timeframe are consistent with results obtained using non-chelating DNA-binding compounds such as [Ru(dppz)\(_2\)(PIP)\(_2\)]\(^{2+}\).\textsuperscript{31,54} However, this may be of relevance to other effects caused by Ru1 as iron chelators have been indicated to demonstrate radiosensitizing properties.\textsuperscript{53,54} Future work will explore these concepts.

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Conclusions

In summary, we present a detailed characterisation of the cellular response to [Ru(phen)2(tpphz)]2+ (Ru1) in p53-deficient oesophageal cancer cells, finding this ruthenium(ii) metallo-intercalator induces a potent replication block accompanied by replication stress and DSB damage repair pathway activation, without triggering apoptosis. To our knowledge, this is the first example of a substitutionally inert ruthenium(ii) mono-intercalator demonstrated to function as a replication inhibitor. In parallel to this, metaphase chromosome attachment is impaired by Ru1. This multi-mode mechanism of action results in growth inhibition of highly proliferative oesophageal cancer cells with elevated mitotic indices. Finally, efficient radiosensitization through synergistic DNA damage enhancement illustrates the efficacy of Ru1 in combination with IR, where the lower mutagenicity and reduced cytotoxicity of Ru1 compared to cisplatin would be predicted to be advantageous in its use alongside radiotherapy.

Conflicts of interest

There are no conflicts of interest to declare.

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Notes and references