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Remarkable water-soluble ZnO nanocrystals: from 'click' functionalization to a supramolecular aggregation enhanced emission phenomenon†

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The preparation of ZnO nanocrystals with enhanced stability and photoluminescence intensity in an aqueous environment is a challenging task. We report on an one-pot two-step organometallic approach for the synthesis of processable alkyne-functionalized quantum-sized ZnO crystals and their efficient phase transfer protocol from an organic solvent to an aqueous environment involving the surface modification by the Cu-mediated azide–alkyne cycloaddition. Remarkably, the water-soluble NCs not only maintain the photoluminescence properties after the post-synthetic surface modification, but also exhibit the unprecedented (for II–VI semiconductor nanocrystals) aggregation enhanced emission phenomenon.

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Introduction

The exceptional characteristics of semiconductor nanocrystals (NCs) make them pertinent as functional materials of diversified applicability in many areas of research, including optoelectronics, sensing, photovoltaics and bioimaging.¹ While the spellbinding quantum-sized world has been dominated by cadmium-based NCs for the last two decades^{1,2} the potential practical and large-scale applications of these NCs are limited due to their negative biological and environmental impacts.³ Remarkably, for biomedical applications water solubilization of nanoparticles is also indispensable; however, the photoluminescence (PL) of semiconductor NCs is known to be often quenched in an aqueous environment.⁴

ZnO-based nanostructures represent a highly desired alternative for heavy-metal based systems due to their biocompatibility⁵ and intrinsic physicochemical properties.⁶ For the past two decades, the inorganic sol–gel process has unceasingly played a key role in advancing the research on nanocrystalline ZnO forms. Nevertheless, this favorable inorganic method has several

drawbacks, which extremely limit the NCs' further use; for example, particularly substantial impediments are the low reproducibility and poor stability of ZnO quantum-sized crystals.^{7,8} Moreover, the resulting ZnO NCs are also not stable in a water environment and diverse surface modification strategies of the NCs' water solubilization and stabilization have been developed.⁹ Due to the aforementioned impediments of the sol–gel method, the development of new efficient and reproducible synthetic methods affording well-defined and properly passivated quantum-sized ZnO crystals with enhanced stability and photoluminescence intensity in an aqueous environment has become highly desirable.

Greatly attractive and alternative methods to the inorganic sol–gel route are wet organometallic procedures. For example, Chaudret *et al.* elaborated the room-temperature synthesis of ZnO nanoparticles *via* controlled exposure to air of a homoleptic zinc alkyl precursor solution in the presence of long chain amines.¹⁰ More recently, Williams reported a new route for the synthesis of ZnO-based nanocomposites through the hydrolysis of a mixture of diethylzinc with substoichiometric quantities of inorganic zinc carboxylates or zinc phosphinates.¹¹ Independently, we have developed two organometallic procedures affording high quality quantum-sized ZnO crystals which involve, respectively, (i) the self-supporting method based on the controlled exposure of the [EtZn(X)]_n-type precursor to air leading to ZnO NCs with surface-anchored X-type ligands,¹² and (ii) the hybrid method involving the direct hydrolysis of ZnEt₂ along with the subsequent ZnO NCs' surface decoration with selected X-type ligands.¹³ For example, we demonstrated that the latter two-step procedure provides NCs exhibiting ultra-long luminescence lifetimes with an average time constant of 2.2 μs for the slow component,^{12e,13}

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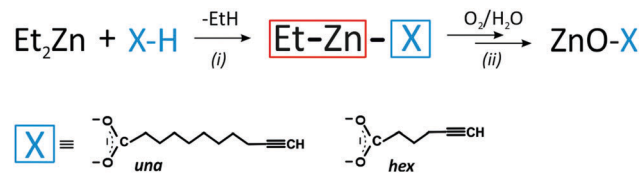
† Electronic supplementary information (ESI) available: Additional STEM and HRTEM analysis, size distribution of ZnO NCs, dynamic light scattering, NMR spectroscopy, FT-IR spectroscopy, powder X-ray diffraction studies, UV-vis spectroscopy and PL lifetime measurements. See DOI: 10.1039/c7qm00586e

which is several orders of magnitude longer from that observed for ZnO nanostructures derived from the sol-gel process;¹⁴ these unique properties open prospects for both photovoltaic and photocatalytic applications. In turn, our ongoing studies show that the mentioned self-supporting organometallic approach produces bio-safe^{12c} and highly processable ZnO NCs at an air/water interface,^{12a,b} which can be subject to their first surface functionalization *via* Cu(I)-mediated click chemistry while retaining the PL properties of the NCs.^{8a}

Advancing the self-supporting organometallic methodology, herein we report on an efficient phase transfer of alkyne-stabilized ZnO NCs from an organic solvent to water by their post-synthetic functionalization *via* Cu(I)-mediated click reaction. Strikingly, we revealed that the aliphatic chain length of the alkyne-capping ligand strongly affects the ZnO NCs' solubilization. A combination of 5-hexynoic acid with hydrophilic 2-[2-(2-azidoethoxy)ethoxy]ethanol triggers the effective phase transfer process, during which the structure and optical properties of the ZnO NCs are well-protected, while analogues attempts involving 10-undecynoic carboxylate capping ligands failed. Moreover, water-soluble NCs not only maintain the PL and exhibit ultra-long-lived electron-hole separation after the post-synthetic surface modification, but also exhibit a spectacular PL enhancement upon the NCs' supramolecular aggregation in an aqueous environment. We note that the aggregation-induced emission (AEE) and the related aggregation induced emission (AIE) phenomenon have hitherto been commonly associated with small non-luminescent in the solution state, but with high emission in aggregated or solid state organic molecules (AIEgens).¹⁵ Nowadays, attracted by these unique characteristics, many researchers have worked on the design and preparation of new types of AIEgens. However, to date there have been only a handful of examples of novel AIE systems including Ag/Au¹⁶ and Cu nanoclusters,¹⁷ Ga nanohybrids,¹⁸ and Cu-In-S quantum dots.¹⁹ And to the best of our knowledge, this is the first example of the AEE effect observed for II-VI quantum-sized semiconductor NCs.

Results and discussion

Clickable alkyne-functionalized ZnO-X NCs (where X = a deprotonated carboxylic acid with a terminal acetylene unit) were prepared using the recently developed one-pot two-step self-supporting organometallic approach.^{8a,12} For this purpose, two commercially available bifunctional carboxylic acids were selected as pro-ligands, namely 10-undecynoic acid (abbreviated as *una*-H) and 5-hexynoic acid (abbreviated as *hex*-H) (Scheme 1). Initially, [EtZn(X)]_n-type precursors were synthesized *in situ* through the equimolar reaction of commercially available ZnEt₂ with the selected X-H pro-ligands. In the second step, a solution of the corresponding ethylzinc precursor in THF was exposed to air to initiate transformations leading to ZnO NCs coated with the respective carboxylate X-type ligand shell (Scheme 1), further abbreviated as ZnO-*una*1 NCs and ZnO-*hex*1 NCs (for details, see the Experimental section). The average



Scheme 1 Schematic representation of (i) the synthesis of an [EtZn(X)]_n-type precursor and (ii) its transformation into X-type carboxylate coated ZnO NCs.

diameters of the resulting ZnO NCs were assessed from scanning transmission electron microscopy images, which were obtained from at least 100 NCs. The average diameters of the ZnO-*una*1 NCs and ZnO-*hex*1 NCs are 4.8 ± 0.5 nm (which is consistent with previously reported data^{8a}) and 4.5 ± 0.5 nm, respectively (see Fig. 1a, b and Fig. S1–S3 in the ESI†). The inorganic core diameters nicely corroborated with the corresponding average hydrodynamic diameters of 8.7 nm^{8a} and 5.6 nm (see Fig. S4a in the ESI†). The as-prepared ZnO NCs were additionally characterized using powder X-ray diffraction (PXRD), infrared spectroscopy (FTIR) and nuclear magnetic resonance (NMR) (for details, see the Experimental section and the ESI†).

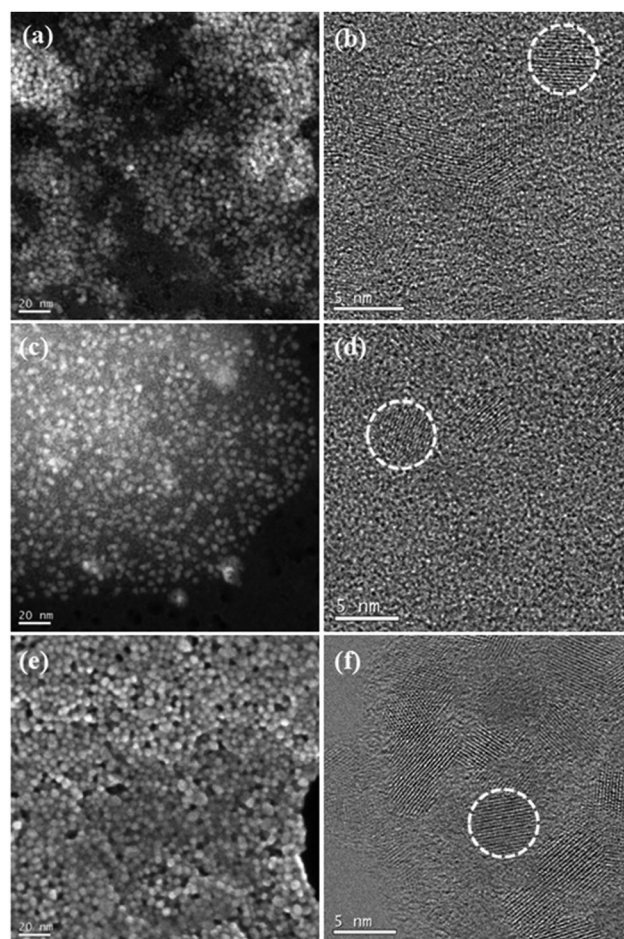
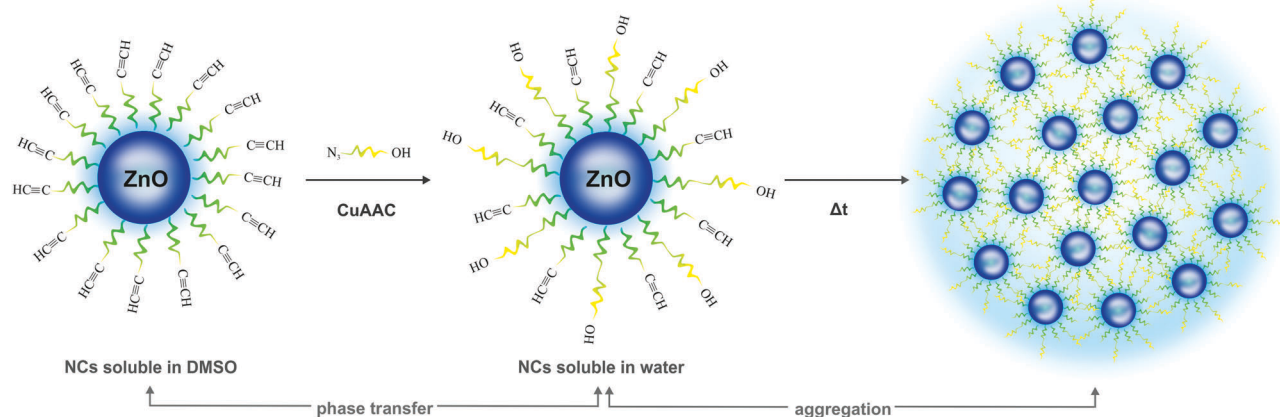


Fig. 1 STEM and HRTEM micrographs for (a and b) ZnO-*hex*1 NCs (DMSO), (c and d) ZnO-*hex*2 NCs (DMSO), and (e and f) ZnO-*hex*2 NCs (after 3 days in H₂O).



Scheme 2 Graphical representation of the ZnO-hex1 NC functionalization via the CuAAC process leading to water-soluble ZnO-hex2 NCs and their tendency to aggregation accompanied by the PL enhancement.

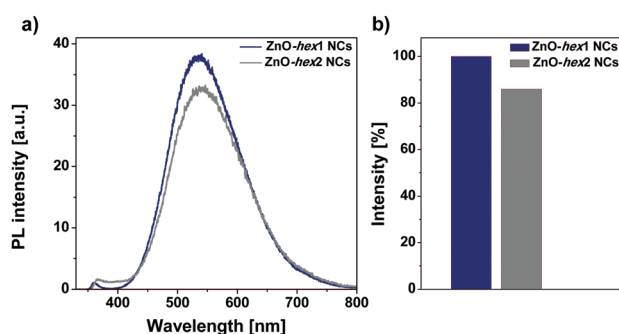


Fig. 3 (a) PL emission spectra of ZnO-hex1 NCs (before the CuAAC reaction) and ZnO-hex2 NCs (after the CuAAC) in DMSO; and (b) the corresponding bar graphs representing the slight PL intensity suppression. PL intensities were measured with excitation at 330 nm.

As we recently showed, for carboxylate ligand-coated ZnO NCs the aggregation process at the air/water interface was driven by interdigitation of coating ligands instead of the inorganic core-core merging.^{12a,b} Accordingly, it is reasonable to propose that in the case of ZnO-hex2 NCs the supramolecular aggregation is also driven by the NCs' heterogeneous capping layers.²⁰

These observations prompted us to investigate the ZnO-hex2 NCs' behavior in terms of the AEE phenomenon related to the poor photoluminescence of separate molecules in solution and their significantly stronger emission in the aggregated state.^{15,21} Strikingly, the emissivity of ZnO-hex2 was found to be strongly dependent on the water fraction in DMSO solution (Fig. 4). The most enhanced fluorescence intensity of two orders of magnitude was achieved in a solution containing 10% of DMSO and 90% of water (v/v). The PL dramatically increased and the maximum shifted from 555 nm to ~539 nm (note that both PL peaks observed in Fig. 4 increased differently). The presence of water induced the aggregation of NCs, which was also confirmed by DLS analysis (see Fig. S5 in the ESI†). The addition of 50% of H₂O (v/v) led to rapid diameter growth and after 24 h three ZnO NC populations were observed. In a solution containing 90% of H₂O (v/v) NCs, the hydrodynamic diameters were even greater, and after 5 days three

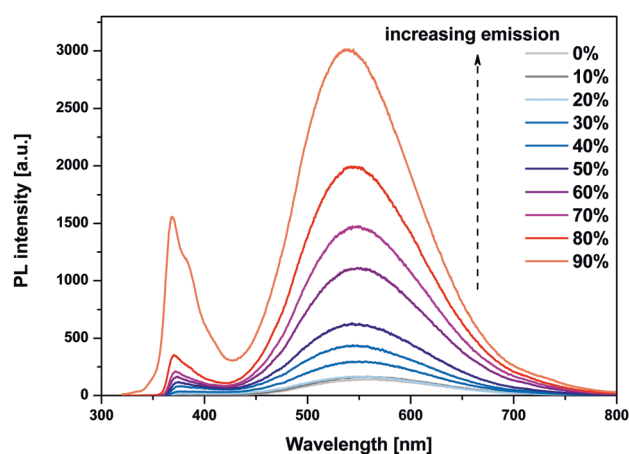


Fig. 4 PL emission spectra of ZnO-hex2 NCs exhibiting the AEE phenomenon; i.e. 0% – pure DMSO, 90% – sample in 10% DMSO/90% H₂O (v/v). PL intensities were measured with excitation at 330 nm.

populations of ~79, 122 and 220 nm diameters were found (see Fig. S5 in the ESI†).

The AEE and AIE effects known for small organic molecules have been extensively explored for the last decade;¹⁵ however, to the best of our knowledge, these phenomena have not been recognized yet for II–VI semiconductor NCs. Our studies demonstrate that the post-synthetic ZnO NCs' organic shell modification *via* conjugation with appropriate subunits induces supramolecular aggregation of the NCs, which in turn induces emission enhancement of the organic-inorganic core-shell type nanohybrid material. Nevertheless, at this stage of studies the mechanistic foundation behind the AEE phenomenon observed for ZnO-hex2 NCs in different DMSO–water mixture solvents is yet far from an explicit explanation and, undoubtedly, further research is demanded.

Additionally, for further characterization of ZnO-hex2 NCs the radiative recombination lifetimes were determined using time-resolved fluorescence spectroscopy. The decay profile for the NCs dispersed in DMSO revealed four components of the charge carrier dynamics, with two fast and two slow time

constants of 18 ns, 54 ns, 0.45 μ s and 1.30 μ s, respectively. Analogously, for the ZnO-*hex*2 dispersed in a 50% DMSO/50% H₂O (v/v) mixture also two fast and two slow decay components were observed with time constants of 5 ns, 20 ns, 0.13 μ s and 0.45 μ s, respectively (for details see Fig. S13 in the ESI†). As evidenced, for the NCs prepared using the self-supporting organometallic methodology the charge carrier lifetimes are significantly greater than typically observed for ZnO nanostructures derived from the sol-gel method for which luminescence decays of \sim 10–20 ps were reported.¹⁴ Thus, these data are another instance of the superiority of the self-supporting organometallic procedure in the preparation of high quality ZnO quantum-sized crystals over the traditional sol-gel process.

Conclusions

In conclusion, we have successfully developed an efficient protocol for phase transfer of hydrophobic X-type ligand coated ZnO NCs from an organic solvent to water using the CuAAC reaction, *i.e.* one of the most frequently used click reactions for bioconjugation. Conversely to the commonly observed luminescence quenching of quantum-sized semiconductor crystals upon their aggregation in aqueous medium,⁴ the reported water-soluble ZnO-*hex*2 NCs exhibited both ultra-long-lived electron-hole separation and the unprecedented (for II–VI semiconductor nanomaterials) AEE effect dependent on the water fraction in DMSO solution. The reported results pave the way for countless types of colloidal semiconductor quantum-sized crystals as exceptional water-processable building blocks for the next generation of hybrid devices. Further studies on the effect of NCs' organic shells on AEE and related AIE phenomena are in progress.

Experimental

General considerations

The precursor synthesis and the click reaction on the surfaces of the NCs were conducted in an inert gas atmosphere using standard Schlenk techniques. THF, used as a solvent, was dried and distilled from a sodium-potassium alloy and benzophenone prior to use. All reagents were purchased from commercial vendors and used in a dry nitrogen atmosphere.

Methods

The NMR spectra were acquired on a Bruker 300 MHz spectrometer at 298 K. The infrared spectra were recorded on a FTIR Tensor II Bruker spectrometer. Powder XRD data were collected on a Siemens D5005 diffractometer (Bruker AXS). Measurements employed Ni-filtered Cu K α radiation of a copper sealed tube charged with 40 kV voltage and 40 mA current in a Bragg-Brentano geometry with a beam divergence of 1 deg. in the scattering plane. The sample was spread over the surface of a porous glass plate fixed to the sample holder. Diffraction patterns were measured in the scattering angle range of 10–80 degrees by step scanning in steps of 0.02 degree. The sizes

and morphologies of the ZnO NCs were imaged using a Cs corrected scanning transmission electron microscope (STEM, Hitachi HD 2700, 200 kV). The scanning transmission electron microscopy (STEM) observations were carried out in three modes: SE, HAADF and HR TEM. Nanocrystal samples were drop-cast (DMSO solution) onto 300-mesh, holey carbon-coated copper grids (Quantifoil). Afterward, the excess solvent was evaporated at room temperature. Optical absorption (UV-vis) spectra for ZnO NC colloidal suspensions in DMSO, DMSO/H₂O or H₂O were collected on a Hitachi U-2910 spectrophotometer. A standard quartz cell (Hellma) with a 10 mm path length was used and rinsed with appropriate solvent before each run. Photoluminescence (PL) measurements were carried out using a Hitachi Fluorescence Spectrophotometer F-7000. The hydrodynamic diameter of NCs was determined by Dynamic Light Scattering (DLS) performed on a Malvern Zetasizer Nano Z (note that (a) freshly-prepared solutions of ZnO-*hex*1 NCs and ZnO-*hex*2 NCs in DMSO, DMSO/H₂O or H₂O were filtered before the DLS analysis through a 0.2 micron filter to remove any dust particles, (b) in the case of ZnO-*hex*2 solutions in DMSO/H₂O or H₂O we wait for the formation of aggregates and then perform DLS measurements). The PL lifetimes were measured at 20 °C using a single-photon counting system UV-VIS-NIR Fluorolog 3 Spectro-fluorimeter (Horiba Jobin Yvon). The solid-state pulsed NanoLED (λ_{max} = 336 nm) was used as an excitation source. PL decay signals with a nanosecond resolution were obtained using a photomultiplier tube. The instrument response function was acquired with a LUDOX scatterer. The obtained luminescence decay curves were fitted using a four-exponential function.

Synthesis of [EtZn(X)]_n (where X = *una* or *hex*) and its transformation into ZnO-X NCs

(a) The procedure for [EtZn(*una*)]_n synthesis and its transformation into ZnO-*una*1 NCs as well as ZnO-*una*1 NCs' properties were previously reported.^{8a} (b) [EtZn(*hex*)]_n: 1.0 mmol of 5-hexynoic acid (112 mg) was kept *in vacuo* for 2 h before it was dissolved in THF (4 mL) and cooled to –78 °C in a nitrogen atmosphere. Then, ZnEt₂ (0.5 mL of a 2 M solution in hexane, 1 mmol) was added dropwise under vigorous stirring. The reaction was gradually warmed up to room temperature. After 3 h the product [EtZn(*hex*)]_n as a white solid was isolated, dried *in vacuo* and characterized spectroscopically. ¹H NMR (C₆D₆, 300 MHz, 298 K): δ = 0.93 (q, ZnCH₂CH₃, 2H), 1.31 (m, –CH₂C \equiv , 2H), 1.38 (t, ZnCH₂CH₃, 3H), 1.60 (t, –CH₂–, 2H), 1.72 (s, \equiv CH, 1H), 1.89 + 2.29 (m, –CH₂CO₂–, 2H) ppm; ¹³C NMR (C₆D₆, 75 MHz, 298 K): δ = 18.61, 25.06, 30.69, 36.50, 68.40, 70.02, 84.04, 188.51 ppm; IR (ATR): $\bar{\nu}$ = 3285 (vw), 2961 (vw), 2939 (vw), 2910 (vw), 2872 (vw), 2114 (vw), 2079 (vw), 1540 (vs), 1426 (s), 1408 (s), 1353 (w), 1320 (w), 1304 (w), 1274 (w), 1260 (w), 1233 (vw), 1161 (vw), 1097 (vw), 1056 (w), 1023 (w), 1016 (w), 896 (w), 872 (w), 856 (w), 795 (w), 632 (m), 538 (m), 439 (m) cm^{–1}. (c) Preparation of ZnO-*hex*1 NCs: our synthetic protocol for preparing ZnO-*hex*1 NCs involves two steps conducted in a one-pot manner. In the first step, an organozinc precursor [EtZn(*hex*)]_n was synthesized *in situ* through the equimolar reaction of ZnEt₂ with the selected prolignand

(*hex*-H). ZnEt₂ in hexane (0.5 mL of a 2 M solution in hexane, 1 mmol) was added dropwise to a solution of 1.0 mmol of 5-hexynoic acid (112 mg) in −78 °C in THF, and then the reaction mixture was allowed to warm to room temperature and stirred for 3 h. In the second step, a solution of the [EtZn(*hex*)]_n precursor in THF was exposed to air to initiate transformations and stirred for 2 days at room temperature. Subsequently, the liquid residual was evaporated *in vacuo*. Then the ZnO-*hex*1 NCs were redispersed in THF and were precipitated using hexane, centrifuged, and washed again with hexane. The as-prepared ZnO-*hex*1 NCs were dried under vacuum and characterized. ¹H NMR (DMSO-*d*₆, 300 MHz, 298 K): δ = 1.63 (quint, −CH₂−, 2H), 2.16 (t, −CH₂C≡, 2H), 2.76 (s, ≡CH, 1H), 3.38 (s_{br}, −CH₂CO₂−, 2H) ppm; ¹³C NMR (DMSO-*d*₆, 75 MHz, 298 K): δ = 18.72, 26.23, 35.34, 72.48, 85.75, 179.60 ppm; IR (ATR): $\bar{\nu}$ = 3293 (vw), 3273 (vw), 2957 (vw), 2940 (vw), 2911 (vw), 2874 (vw), 2114 (vw), 1591 (w), 1525 (vs), 1450 (m), 1411 (m), 1401 (m), 1358 (w), 1325 (vw), 1309 (vw), 1286 (vw), 1234 (vw), 1171 (vw), 1054 (vw), 1031 (vw), 962 (vw), 926 (vw), 847 (vw), 778 (vw), 742 (w), 677 (w), 650 (w), 641 (w), 476 (m) cm^{−1}.

CuAAC reaction on ZnO-X NCs' surfaces

ZnO-X NCs prepared from 1 mmol of the [EtZn(X)]_n precursor and 0.02 mmol of catalyst ([Cu(C₁₂H₈N₂)[P(C₆H₅)₃]₂][NO₃·1/2CH₂Cl₂]) were placed in a Schlenk vessel and kept *in vacuo* for two hours before their solubilization in 2 mL of a THF solution. In another vessel with 2 mL of THF placed in a cooling bath (−20 °C) 1 mmol of 2-[2-(2-azidoethoxy)ethoxy]ethanol was added and after 30 min of cooling the mixture was exposed to vacuum in order to remove the oxygen (the flask was covered with aluminum foil to prevent the azide photodecomposition). Subsequently, the mixture was transferred with a syringe to the vessel with ZnO-X NCs (covered with aluminum foil), warmed up slowly to room temperature and stirred for 2 hours. After that time, the resulting product was isolated from the solution, washed two times with THF and dried *in vacuo*. We noted that even the application of a two-fold excess of azOH did not affect the conversion degree of the surface ligands. The as-prepared ZnO NCs were characterized.

ZnO-*una*2 NCs. ¹H NMR (DMSO-*d*₆, 300 MHz, 298 K): δ = 1.24 (s_{br}, −CH₂*una*− + −CH₂₂triazprod−, 16H), 1.45 (m, −CH₂*una*− + −CH₂₂triazprod−, 4H), 1.56 (t, −CH₂*una*− + −CH₂₂triazprod−, 4H), 2.07 (s_{br}, −CH₂CO₂*una*− + −CH₂CO₂triazprod−, 4H), 2.13 (td, −CH₂C≡triazprod−, 2H), 2.58 (t, −CH₂C≡*una*, 2H), 2.74 (s, ≡CH_{una}, 1H), 3.36 (m, −CH₂O₂triazprod−, 4H), 3.47 (m, −CH₂O₂triazprod−, 4H), 3.78 (t, −CH₂OH₂triazprod−, 2H), 4.45 (t, CH₂N₂triazprod−, 2H), 4.60 (t, −OH₂triazprod−, 1H), 7.81 (s, =CH−N₂triazprod−, 1H) ppm; *una* − signals assignable to *una* and triazprod − signals assignable to the triazole product bound to the surface; IR (ATR): $\bar{\nu}$ = 3400 (vw), 3279 (vw), 3134 (vw), 2921 (w), 2849 (w), 2105 (vw), 1595 (vw), 1535 (vs), 1454 (m), 1396 (m), 1367 (vw), 1356 (vw), 1337 (vw), 1316 (vw), 1261 (vw), 1209 (vw), 1197 (vw), 1116 (w), 1101 (w), 1073 (w), 1034 (w), 950 (vw), 933 (vw), 917 (vw), 882 (vw), 849 (vw), 802 (vw), 797 (vw), 742 (w), 723 (w), 699 (w), 670 (w), 643 (w), 576 (vw), 550 (vw), 461 (m) cm^{−1}.

ZnO-*hex*2 NCs. ¹H NMR (DMSO-*d*₆, 300 MHz, 298 K): δ = 1.66 (s_{br}, −CH₂*hex*−, 2H), 1.81 (s_{br}, −CH₂₂triazprod−, 2H), 2.18 (m_{br}, −CH₂C≡*hex*− + −CH₂C≡triazprod−, 4H), 2.62 (s_{br}, −CH₂CO₂triazprod−, 2H), 2.72 (s, ≡CH_{hex}, 1H), 3.39 (m, −CH₂CO₂*hex*−, 2H), 3.48 (m, −CH₂O₂triazprod−, 4H), 3.51 (m, −CH₂O₂triazprod−, 4H), 3.79 (t, −CH₂OH₂triazprod−, 2H), 4.46 (t, CH₂N₂triazprod−, 2H), 4.55 (s_{br}, −OH₂triazprod−, 1H), 7.81 (s, =CH−N₂triazprod−, 1H) ppm; ¹³C NMR (DMSO-*d*₆, 75 MHz, 298 K): δ = 18.82, 24.36, 26.13, 31.93, 50.45, 61.47, 70.01, 70.84, 72.46, 73.55, 85.73, 123.54, 129.93, 148.03, 179.80 ppm; *hex* − signals assignable to *hex* and triazprod − signals assignable to the triazole product bound to the surface; IR (ATR): $\bar{\nu}$ = 3395 (vw), 3278 (vw), 3138 (vw), 3080 (vw), 2931 (vw), 2913 (vw), 2867 (w), 2109 (vw), 1590 (s), 1411 (s), 1348 (w), 1304 (w), 1258 (w), 1219 (w), 1199 (w), 1117 (m), 1059 (s), 922 (w), 909 (w), 882 (w), 849 (w), 819 (w), 813 (w), 726 (w), 695 (w), 645 (m), 451 (vs) cm^{−1}.

AEE study of ZnO-*hex*2 NCs

To study the solvent-induced aggregation effect of ZnO-*hex*2 NCs, 200 μL of NC solution (~2.5 mg mL^{−1} stock solution in DMSO) and 1800 μL of an appropriate DMSO/water mixture were added to a glass tube, vigorously stirred and then transferred to a quartz cuvette for PL measurements.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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