Chemical tuning of dynamic cation off-centering in the cubic phases of hybrid tin and lead halide perovskites†

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Hybrid halide perovskites combine ease of preparation and relatively abundant constituent elements with fascinating photophysical properties. Descriptions of the chemical and structural drivers of the remarkable properties have often focused on the potential role of the dynamic order/disorder of the molecular A-site cations. We reveal here a key aspect of the inorganic framework that potentially impacts the electronic, thermal, and dielectric properties. The temperature evolution of the X-ray pair distribution functions of hybrid perovskites ABX₃ [A⁺ = CH₃NH₃ (MA) or CH(NH₂)₂ (FA); B²⁺ = Sn or Pb; X⁻ = Br, or I] in their cubic phases above 300 K reveals temperature-activated displacement (off-centering) of the divalent group 14 cations from their nominal, centered sites. This symmetry-lowering phenomenon, previously dubbed emphanisis in the context of compounds such as PbTe, is attributed to Sn²⁺ and Pb²⁺ lone pair stereocchemistry. Of the materials studied here, the largest displacements from the center of the octahedral sites are found in tin iodides, a more moderate effect is found in lead bromides, and the weakest effect is seen in lead iodides. The A-site cation appears to play a role as well, with the larger FA resulting in greater off-centering for both Sn²⁺ and Pb²⁺. Dynamic off-centering, which is concealed within the framework of traditional Bragg crystallography, is proposed to play a key role in the remarkable defect-tolerant nature of transport in these semiconductors via its effect on the polarizability of the lattice. The results suggest a novel chemical design principle for future materials discovery.

1 Introduction

Inorganic and hybrid organic-inorganic halide perovskites ABX₃ [A⁺ = Cs, CH₃NH₃ (MA), or CH(NH₂)₂ (FA); B²⁺ = Ge, Sn, or Pb; X⁻ = Cl, Br, or I] have attracted significant research attention of late due to their impressive optoelectronic performance, ease of preparation, and abundant constituent elements. Since the first application of hybrid lead iodides in photovoltaic (PV) devices in 2009,1 the conversion efficiency of record perovskite PV cells has risen to over 20%,2 and the field has broadened substantially to include the pursuit of lead-free materials,3–11 bromides and mixed halides for light emission and detection applications,12–17 and layered perovskite-derivatives for enhanced stability.18–20 However, key aspects regarding the origins of the remarkable functionality of these materials remain enigmatic. Among them are: why do the low rates of carrier trapping and recombination16,17,21–23 in these relatively soft, solution-processed materials approach those of the best high purity III–V semiconductors, leading to long carrier lifetimes and long diffusion lengths?21,24 Why are the carrier mobilities so modest21,22,25 relative to the calculated carrier effective masses and typical scattering rates? In answering these questions, much attention has focused on the potential importance of the A-site organic molecular cations in the hybrid systems,16–28 but recent reports suggest that all-inorganic analogues exhibit many of the same structural tendencies29,30 and favorable transport properties.23,31–34

A clue then to the unusual properties potentially lies in these systems being proximate in phase space (composition, temperature, pressure, strain) to symmetry-lowering distortions of the octahedral coordination environment of the group 14 divalent cation. The presence of this instability and the role that it may play in the properties of the perovskites have been hinted
at in prior reports, but have not been explored in detail, nor have the impacts on the properties been fully considered. The strength of this effect is dictated by the stability of the ns\(^2\) level of the isolated lone-pair bearing cation and the electro-negativity of the halogen. In the case of the perovskites studied here, the Goldschmidt tolerance factor, as influenced by the size of the A-site cation and potentially the shape of the A cation, is also likely to play a role, providing guidelines for tuning these effects in a rational manner.

Main-group cations with a valence state that is two fewer than the group valence (e.g., Sn\(^{2+}\), Sb\(^{3+}\), Ti\(^{4+}\), Pb\(^{2+}\) and Bi\(^{3+}\)) possess the lone pair s\(^p^0\) electronic configuration and are prone to symmetry-lowering distortions associated with the pseudo- or second-order-Jahn–Teller effects.\(^{38,39}\) Heavier cations have deep ns\(^2\) levels due to relativistic stabilization, reducing the strength of the on-site s–p hybridization. The higher energy of the ns\(^2\) levels of lighter cations, as well as the mixing with the anion p orbitals, leads to stereochemical expression of the lone pair stereochemical activity.\(^{40,44}\) This is exemplified in the AGeI\(_3\) (A = Cs, MA, FA) perovskite analogs,\(^{45}\) where the strong tendency for the activity of the 4s\(^2\) electrons of Ge results in room-temperature structures with highly distorted Ge environments, which also happen to be polar. In contrast, the heavier Sn and Pb atoms form halide perovskite compounds that can crystallize with these cations in nominally regular octahedral environments.

The choice of ligand is also a key factor with more electropositive anions resulting in greater interaction of the anion p states with the orbitals of the cation and thus greater propensity for the off-centered coordination polyhedra associated with lone pair stereoelectronic activity.\(^{43}\) When the propensity for lone pair stereoelectronic activity is not sufficiently strong relative to the thermal energy to produce a ferroically distorted phase, uncorrelated, local off-centering displacements of the main-group cation can result. Such displacements have recently been observed to emerge from a high symmetry phase upon heating in rock-salt group 14 chalcogenides,\(^{46,48}\) and this phenomenon has been termed "emphatisation." This phenomenon leads to substantial anharmonicity of the lattice dynamics, contributing to the observed ultralow thermal conductivity important for thermoelectrics,\(^{49}\) and has been a topic of intense interest since the first reports.\(^{36–57}\) Our recent work has shown a similar dynamic displacement of Sn\(^{2+}\) in CsSnBr\(_3\) at ambient and elevated temperatures,\(^{28}\) suggesting that an emphatic local distortion of the metal halide network may also be present in the technologically important hybrid halide perovskites.

We show here that the tendency for symmetry-lowering local distortions of the group 14 cation coordination environment exists across the hybrid halide perovskites. The pair distribution functions for ABX\(_3\) (A = MA or FA; B\(^{2+}\) = Sn or Pb; X\(^{−}\) = Br or I) calculated from X-ray scattering at and above 300 K reveal temperature-activated, dynamic off-centering of the lone pair-bearing Sn\(^{2+}\) and Pb\(^{2+}\) cations that is not observed through traditional crystallographic techniques. This local off-centering is described by displacements along \(<111>\), and we find the largest degree of off-centering in lead-free tin iodides, a moderate degree in lead bromides, and the smallest degree in the lead iodide compositions. This qualitative agreement with the chemical predictors of the lone pair stereoelectronic activity as enumerated for the group 14 chalcogenides,\(^{60}\) together with our prior \(ab\ ini\)ti study of the perovskite CsSnBr\(_3\),\(^{29}\) implicates the lone pairs as the driving force for this behavior. This phenomenon has profound implications on understanding the properties: systems displaying this proximal instability exhibit strongly anharmonic lattice dynamics leading to an elevated static dielectric response, which reduces carrier scattering, trapping, and recombination,\(^{58–60}\) as well as high coefficients of volumetric thermal expansion\(^{56,57,61–63}\) and unusual temperature evolution of the bandgap.\(^{29}\) The substantial lattice polarizability associated with this proximal instability is of particular importance as it may explain why the carrier mobilities are limited by scattering from phonons rather than charged defects\(^{22,64,65}\) and why carrier trapping and recombination rates are so low.\(^{71–73}\) This additionally lends credence to the hypothesis of large polaron formation\(^{66}\) that reconciles the small carrier effective masses from band theory with the modest mobilities observed in the experiment. Chemical control of this phenomenon, as demonstrated by the qualitative composition trends observed here, offers new design principles in the search for defect-tolerant semiconductors.

## 2 Experimental section

### 2.1 Sample synthesis

The hybrid perovskites were prepared following modifications of the previously reported procedures.\(^66\) PbO and CH\(_3\)NH\(_2\)Cl were purchased from Sigma-Aldrich. HC(NH\(_2\))\(_2\)Cl was prepared by stoichiometric addition of solid HC(NH\(_2\))\(_2\)O\(_2\)CCH\(_3\)) in 37% aqueous HCl, followed by rotary evaporation and washing with toluene to remove excess acetic acid.\(^63\) Black SnO was prepared following a modification of the literature procedure.\(^67\) The detailed procedures for the preparation of the polycrystalline samples of CH\(_3\)NH\(_2\)PbI\(_3\), HC(NH\(_2\))\(_2\)PbI\(_3\), CH\(_3\)NH\(_2\)SnI\(_3\), HC(NH\(_2\))\(_2\)SnI\(_3\), CH\(_3\)NH\(_2\)PbBr\(_3\), and HC(NH\(_2\))\(_2\)PbBr\(_3\) can be found in the ESI.\(^\dagger\)

### 2.2 X-ray scattering data collection and modeling

For the synchrotron total scattering measurements, samples of fine powder, obtained by the means described above, were transferred into Kapton capillaries (0.81 mm OD, 0.8 mm ID) and tightly compacted to ensure a maximum packing fraction. Both ends of the capillaries were sealed with epoxy and stored in a N\(_2\) atmosphere prior to the measurement.

The synchrotron X-ray total scattering measurements were recorded on the 11-ID-B beam line at the Advanced Photon Source located at Argonne National Laboratory. The 2D scattering data were collected on a Perkin-Elmer amorphous Si-based area detector. A photon wavelength of 0.2114 Å (58.66 keV) was used for MAPbI\(_3\) (collected from 360 K to 300 K), FAPbI\(_3\) (collected from 480 K to 300 K to ensure conversion from the yellow δ phase to the black perovskite phase, and verified by analysis of the reciprocal space data, shown in Fig. S1† at 360 K, MAPbBr\(_3\) (collected from 360 K to 300 K), and FAPbBr\(_3\) (collected from 360 K to 300 K). A photon wavelength of 0.1430 Å...
(86.70 keV) was used for MASnI$_3$ (collected from 360 K to 300 K) and FASnI$_3$ (collected from 360 K to 300 K) to avoid the Sn fluorescence edge at 29.21 keV. The data were collected every 2 minutes upon cooling at a rate of 6 K min$^{-1}$. Fit2D$^{49}$ was utilized to integrate the 2D data to the 1D diffraction patterns. Corrections to obtain the $S(Q)$ and subsequent Fourier transform with a $Q_{\text{max}}$ of 23 Å$^{-1}$ and an $r$-grid of 0.01 Å to obtain the X-ray pair distribution function (PDF, $G(r)$) were performed using the program PDFget2X$.^{49}$ These parameters were chosen to optimize the $r$-resolution while minimizing the Fourier termination ripples satisfactorily for all samples across the series, and an example of the optimization process is shown in Fig. 5$^2$ for FASnI$_3$. The instrumental parameters used in the fits were $Q_{\text{broad}} = 0.06$ Å$^{-1}$ and $Q_{\text{damp}} = 0.01$ Å$^{-1}$, as determined from a CeO$_2$ standard.

For all samples, the A-site cations were modeled as a pseudo-atom with an equivalent scattering power (K for CH$_3$NH$_3$ and Mn for CH(NH$_2$)$_3$) placed in the center of the A site at $(0, 0, 0)$ and given a large (between 0.2 and 0.4 Å$^3$) atomic displacement parameter (ADP). For all fit ranges, the fits of the XPDF data were first performed against the cubic $Pmnm$ model to obtain the lattice parameters. The ADPs for the A- and B-site cations were refined isotropically, while the ADPs for the halide atoms were allowed to refine anisotropically. The cubic space groups were subsequently transformed into the respective $I4cm$ and $R3m$ space groups using the “TRANSTRU” tool on the Bilbao Crystallographic Server.$^{70-72}$ Fits against the $I4cm$ model were first performed over an $r$-range of 2 Å to 25 Å. The lattice parameters were fixed to the transformed values from the cubic fit. The halide positions and anisotropic ADPs were allowed to refine, while the A-site ADPs were fixed to those obtained from the cubic fit. The B-site ADPs were fixed to 0.008 Å$^2$. For fits against the remaining $r$-ranges (2 Å to 5 Å and all incremental fits), the lattice parameters were fixed to the values obtained from the transformed structures, the halide ADPs and displacements were fixed to the values obtained from the 2 Å to 25 Å fits while the B-site displacement in the 4a Wyckoff position $(0, 0, z)$ was allowed to refine. Fits against the $R3m$ model were first performed over an $r$-range of 2 Å to 25 Å. The lattice parameters were fixed to the transformed values from the cubic fit. The halide anisotropic ADPs were allowed to refine, while the A-site ADPs were fixed to those obtained from the cubic fit. The B-site ADPs were fixed to 0.008 Å$^2$. For fits against the remaining $r$-ranges (2 Å to 5 Å and all incremental fits), the lattice parameters were fixed to the values obtained from the transformed structures, the halide ADPs were fixed to the values obtained from the 2 Å to 25 Å fits while the B-site displacement in the 3a Wyckoff position $(0, 0, z)$ was allowed to refine.

3 Results & discussion

Dynamic octahedral rotations have been observed through local techniques at elevated temperatures in several halide perovskite systems$^{29-37}$ which may be active in conjunction with dynamic off-centering of the B-site cations. To investigate the interplay between the octahedral rotations and B-site stereochemical activity (and subsequent off-centering), three crystallographic models (Fig. 1) were chosen to fit against the X-ray PDF data over the various $r$-ranges: cubic $Pm3m$, which allows neither octahedral rotations nor B-site off-centering; tetragonal $I4cm$, which allows for simultaneous octahedral rotations and B-site off-centering along the $c$-axis; rhombohedral $R3m$, which allows for no octahedral rotations but does allow for B-site off-centering along the [111] crystallographic direction. The space groups chosen to model the local symmetry of the PDF data were based on the crystallographic structures with prototypical ferroic displacements in perovskite systems. In an effort to qualitatively describe the local structure, we have chosen known models that systematically lower the symmetry without drastically increasing the number or correlation of refined parameters. We have previously reported the $R3m$ structure as an approximate description of the dynamic off-centering of Sn$^{2+}$ in CsSnBr$_3$ (in comparison to the $Pm3m$, $P4mm$ and $Amma$ models),$^{39}$ while the local coexistence of rotations and Pb$^{2+}$ off-centering described by $I4cm$ has been reported for crystallographically cubic MAPb$_3$.$^{37}$

The X-ray PDF data was analyzed from 300 K to 360 K (480 K for FAPbI$_3$), shown in Fig. 2. The temperature at which the cubic perovskite phase is present varies for each composition: for MASnI$_3$, $T > 275$ K,$^{71}$ for FASnI$_3$, $T > 250$ K,$^{46}$ for MAPbI$_3$, $T > 327$ K,$^{74}$ for FAPbI$_3$, $T > 285$ K,$^{64}$ for MAPbBr$_3$, $T > 237$ K,$^{74}$ and for FAPbBr$_3$, $T > 265$ K (unpublished reference). Therefore, quantitative studies were only performed in the known cubic phase regimes of each sample. Qualitatively, for all compositions the first B–I peak becomes broader and more asymmetric upon warming, and the effect is most pronounced for the Sn$^{2+}$ samples. Peak broadening and asymmetry are expected to further increase with higher collection temperatures, which is evidenced in FAPbI$_3$, the only composition collected up to 480 K.

Fits against the X-ray PDF for each sample were performed carefully to avoid excessive correlation of the refined parameters, and the modeling is described in detail in the experimental section. To verify that the samples are crystallographically cubic, the X-ray PDF data were fit over an $r$-range of 10 Å to 25 Å against the cubic $Pm3m$ model at the various reported cubic phase temperatures, and the representative fits for each sample are shown in the ESI Fig. S3.$^{†}$ Reasonable goodness-of-fit ($R_w$) values (between 8 and 12%) were obtained for all compositions, suggesting that the data are well described by the expected cubic symmetry as we approach the average, crystallographic length scale. The corresponding reciprocal space data from the total scattering experiment, shown in Fig. S1$^+$ at 360 K, is additionally consistent with the cubic perovskite structure and does not indicate the presence of any impurity phases.

Fits of the X-ray PDF data at 360 K against the candidate space group models over a 2.0 Å to 5.0 Å range indicate the poorest fit for all samples against the cubic $Pm3m$ model. Fits for the most extreme cases, FASnI$_3$ (the most distorted B–I peak) and MAPbI$_3$ (the least distorted B–I peak), are shown in Fig. 3. For both Sn$^{2+}$ samples, the best description of the Sn–I correlation is with the $R3m$ model, indicating off-centering best described by rhombohedral symmetry. It should be noted that the goodness-of-fit for the Sn$^{2+}$ compositions is heavily influenced by the fit to the I–I correlations due to the stronger
scattering power of I vs. Sn (in comparison to I vs. Pb). This appears to result in a poorer fit in the Sn–I correlation of these samples; however, this does not change the result that the local symmetry of the Sn\(^{2+}\) compositions is best modeled with a rhombohedral off-centering of Sn\(^{2+}\). It should also be noted that the use of anisotropic displacement parameters is necessary to fit the I–I correlation around 4.5 Å. However, the implementation of the large anisotropic displacements of the halides perpendicular to the B–I bond does not account for the observed peak asymmetry of the first B–X correlation, even with highly exaggerated anisotropic components, as we demonstrated for CsSnBr\(_3\).\(^{29}\) For both the Pb\(^{2+}\) samples, a similar description of the Pb–I correlation is obtained with both the I\(_4\)cm and R3m models. This indicates that cation off-centering is present in the Pb\(^{2+}\) samples; however, it is minor compared to that of the Sn\(^{2+}\) samples, and complicated by a greater degree of correlation with the refined halide parameters. Regardless, the crystallographic cubic phase is an insufficient model of the local symmetry of the Sn\(^{2+}\) and Pb\(^{2+}\) coordination environment for all compositions.

For both the Pb\(^{2+}\) samples, a similar description of the Pb–I correlation is obtained with both the I\(_4\)cm and R3m models. This indicates that cation off-centering is present in the Pb\(^{2+}\) samples; however, it is minor compared to that of the Sn\(^{2+}\) samples, and complicated by a greater degree of correlation with the refined halide parameters. Regardless, the crystallographic cubic phase is an insufficient model of the local symmetry of the Sn\(^{2+}\) and Pb\(^{2+}\) coordination environment for all compositions.

To investigate the coherence length of distortions in the samples, 10 Å incremental fits of the PDF data at 360 K were performed (r-ranges = 1 Å to 10 Å, 5 Å to 15 Å, 10 Å to 20 Å, and...
Fig. 4 Goodness-of-fit parameters ($R_w$) as a function of r-max for 10 Å incremental fits of the X-ray PDF data at 360 K against the various space group models for (a) MASnI$_3$, (b) FASnI$_3$, (c) MAPbI$_3$, and (d) FAPbI$_3$. Models that allow B-site displacements ([I4cm] and [R3m]) have lower $R_w$ values with an r-max of 5 Å for all samples, while cubic [Pm3m] results in lower $R_w$ values for all fits with an r-max of 10 Å and above.

Fig. 5 X-ray pair distribution functions for (a) MASnI$_3$, (b) FASnI$_3$, (c) MAPbI$_3$, and (d) FAPbI$_3$ at 360 K of the nearest B–I correlation. (e) Refined displacements of the B-site cation in space group [R3m] as a function of the temperature indicate large displacements for Sn$^{2+}$ iodides and minimal displacements for Pb$^{2+}$ iodides. Refined displacements for the APbBr$_3$ analogs indicate moderate off-centering. Reported Sn$^{2+}$ displacements in CsSnBr$_3$ are overlaid for comparison. The dashed lines in (a–d) indicate the distinct bond lengths extracted from modeling the local structure in the space group [R3m] (111] displacement).

4 Conclusions

We have shown through the analysis of pair distribution functions calculated from X-ray scattering experiments that dynamic, temperature-activated B-site cation off-centering displacements occur at and above ambient temperature in the
hybrid halide perovskites MASnI$_3$, FASnI$_3$, MAPbI$_3$, FAPbI$_3$, MAPbBr$_3$, and FAPbBr$_3$ as a consequence of the lone pair stereochemical activity. The propensity for stereochemical activity can be tuned through chemical substitution on all sites of the ABX$_3$ perovskite structure: the substitution of a larger A-site cation (FA$^+$ for MA$^+$), a lighter B-site cation (Sn$^{2+}$ for Pb$^{2+}$), and a harder anion (Br$^-$ for I$^-$) all enhance the magnitude of these displacements. This tendency arises directly from the inherent instability of high-symmetry coordination for ns$^2$p$^0$ cations with significant impact on the properties.$^{28}$

Importantly, these observations are consistent with the emerging hypothesis that the remarkable defect-tolerance of these semiconductors is related to the lattice polarizability, and does not require the strongly dipolar [CH$_3$NH$_3$]$^+$ cation of the hybrid compositions. Recent reports have focused on the possibility of large$^{60}$ and small$^{29}$ polaron formation, and the measurements of lifetimes and recombination constants for both hot$^{28}$ and band-edge$^{24}$ carriers point to important differences and similarities across compositions. Zhu and coworkers find that hot carriers are much longer lived in MAPbBr$_3$ and FAPbBr$_3$ than in CsPbBr$_3$ and ascribe this to the dipolar molecular cations.$^{28}$ However, this ignores the negligible FA dipole moment (0.21 D)$^{28}$ compared to that of MA (2.29 D)$^{28}$ and the fact that, unlike the hybrid compositions, CsPbBr$_3$ is tilted (orthorhombic, $a^b b^c$) rather than cubic at room temperature,$^{21,80}$ which will affect the lattice dynamics and polarizability both directly and via the suppression of the lone pair stereochemical activity through reduced orbital overlap. In a separate report, Zhu and coworkers find extremely low trapping and recombination constants for the band-edge carriers in all three compositions, suggesting the importance of the lead–halogen sublattice, rather than the molecular cations, for defect tolerance.$^{23}$ Additionally, the large static dielectric response of the halide perovskites is well known$^{63,81-85}$ and likely contributes to the effective screening of charged defects,$^{86}$ as has been postulated for thallium halides$^{86}$ and demonstrated for doped complex oxides.$^{59}$ Our findings are consistent with these ideas, suggesting that the desired optoelectronic properties are in large part a consequence of the behavior of the metal–halogen network.

An analogous system where proximal instabilities impact the transport properties may be seen in SrTiO$_3$. This d$^0$ system—which has attracted renewed attention in recent years, because of the rich electrical transport phenomena doped variants display, particularly in thin film form$^{86}$—is also subject to off-centering instabilities due to second-order Jahn–Teller effects.$^{87}$ However, due to the balance of size effects and the perovskite tolerance factor, the expected off-centering transition is pushed down to low enough temperatures that quantum fluctuations suppress any phase transition to a structure with distorted TiO$_6$ octahedra.$^{88}$ The dielectric constant is anomalously high, however, resulting in unusual transport behavior in the doped phases.$^{89,90}$ Most notably, polaronic effects, as in the halide perovskites, have been implicated in yielding a measured effective mass that appears larger than one would expect from band structure calculations.$^{91}$

The elevated polarizability conferred by the proximal instability in Sn$^{2+}$ and Pb$^{2+}$ halide perovskites, together with the shallow nature of the defect states due to the antibonding character of the valence band$^{92-94}$ and the possible separation of the excited carriers in reciprocal space due to the spin–orbit interactions,$^{95-97}$ is proposed to imbue these materials with their remarkable defect-tolerance. Actively profiting from the phenomena of proximal instabilities due to lone pairs offers a new paradigm for the chemical design of defect-tolerant semiconductors: other compounds with lone pair-bearing ions in high symmetry environments may exhibit similarly favorable transport and recombination properties.

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### References
