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Chiral phosphine-mediated intramolecular [3+2] annulation: enhanced enantioselectivity by achiral Brønsted acid

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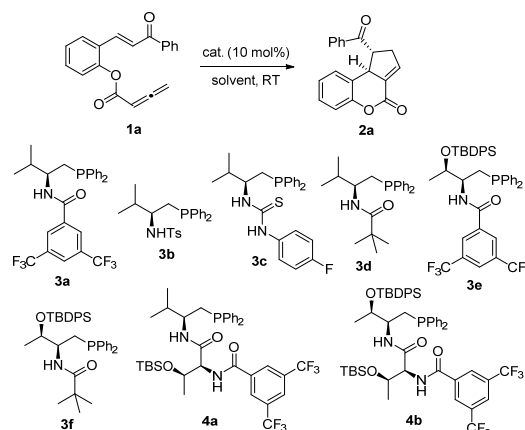
Enantioselective intramolecular [3+2] annulation of chalcones bearing an allene moiety has been successfully developed. The reaction was effectively promoted by amino acid-derived phosphines, in combination with achiral Brønsted acids. Dihydrocoumarin architectures were constructed in high yields and with excellent enantiomeric excesses. Theoretical studies via DFT calculations revealed that the hydrogen bonding network induced by achiral Brønsted acids/chiral phosphines could more efficiently distinguish two enantioselective pathways, thus leading to enhanced enantioselectivity.

In the past decade, asymmetric phosphine catalysis has emerged as an efficient approach for the construction of functionalized chiral carbocyclic structures.¹ Allenes are the most commonly investigated substrates in phosphine catalysis, due to their high and versatile reactivities, as well as their ready synthetic accessibility.² Since Lu's pioneer report on phosphine-catalyzed [3+2] cyclization of allenates with activated alkenes in 1995,³ a wide variety of asymmetric intermolecular annulation processes between allenates and activated alkenes have been developed, such as [3+2],⁴ [4+2],⁵ and [4+1]⁶ annulations, among others.⁷ On the other hand, phosphine-catalyzed intramolecular annulations are very rare. In 2003, Krische disclosed the first racemic version of intramolecular [3+2] annulation between enone and 2-alkynoate moieties, leading to the total synthesis of (±)-Hirsutene.⁸ Subsequently, Kwon reported phosphine-promoted intramolecular [3+2] cyclizations of 2-styrenyl allenates to form functionalized coumarins.⁹ Very recently, Fu developed an enantioselective intramolecular [3+2] cycloaddition of allenates and alkenes to create fused chiral ring scaffolds.¹⁰ In the past few years, our group has developed amino acid-based bifunctional phosphine catalysts, and demonstrated their applications in a wide range of enantioselective intermolecular annulation processes.¹¹ Attracted by the great potential of phosphine-catalyzed intramolecular processes for quick access to challenging chiral

skeletons, we became interested in such valuable transformations.

Intramolecular reactions proceed more readily than their intermolecular counterparts due to intrinsic entropy difference. In phosphine catalysis, however, while phosphine-mediated asymmetric intermolecular cyclizations are very common, there was only one reported enantioselective intramolecular annulation to date.¹⁰ The paucity of this important reaction type may be due to the crowdedness of the advanced intermediates formed upon phosphine activation. This results in inherent challenge to distinguish different transition states in a rather crowded and constrained environment. Herein, we document a highly enantioselective intramolecular [3+2] annulation of chalcones and allenates, promoted by a catalytic system combining chiral phosphines and achiral Brønsted acids, for highly diastereoselective and enantioselective construction of dihydrocoumarin architectures. We believe that introducing an additive molecule to interact with reaction partners synergistically may represent a novel and general approach to the discovery of asymmetric intramolecular processes in phosphine catalysis.

Table 1 Screening of catalysts & additives for enantioselective intramolecular [3+2] annulation.^a



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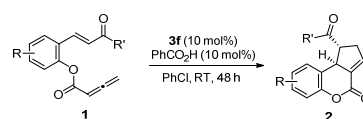


Entry	Catalyst	Additive (pK _a in H ₂ O)	Solvent	Yield [%] ^b	ee [%] ^c
1	MePPh ₂	-	toluene	85	-
2	3a	-	toluene	87	43
3	3b	-	toluene	73	12
4	3c	-	toluene	47	43
5	3d	-	toluene	90	65
6	3e	-	toluene	88	77
7	3f	-	toluene	90	81
8	4a	-	toluene	90	58
9	4b	-	toluene	90	87
10	3a	PhCO ₂ H (4.20)	toluene	85	66
11	3b	PhCO ₂ H (4.20)	toluene	75	28
12	3c	PhCO ₂ H (4.20)	toluene	45	73
13	3d	PhCO ₂ H (4.20)	toluene	87	84
14	3e	PhCO ₂ H (4.20)	toluene	87	94
15	3f	PhCO ₂ H (4.20)	toluene	90	98
16	4b	PhCO ₂ H (4.20)	toluene	91	86
17	3f	EtOH (15.7)	toluene	91	82
18	3f	PhOH (9.95)	toluene	88	84
19	3f	4-NO ₂ -PhOH (7.10)	toluene	90	98
20	3f	CH ₃ CO ₂ H (4.76)	toluene	88	98
21	3f	3-CF ₃ PhCO ₂ H (3.77)	toluene	75	95
22	3f	(PhO) ₂ P(O)OH (1.90)	toluene	77	92
23	3f	TFA (-0.25)	toluene	-	-
24	3f	CH ₃ SO ₃ H (-2.6)	toluene	-	-
25	3f	PhCO ₂ H (4.20)	EtOAc	72	93
26	3f	PhCO ₂ H (4.20)	CH ₂ Cl ₂	38	97
27	3f	PhCO ₂ H (4.20)	CHCl ₃	41	95
28	3f	PhCO ₂ H (4.20)	Ether	21	91
29	3f	PhCO ₂ H (4.20)	THF	48	88
30	3f	PhCO ₂ H (4.20)	xylene	83	92
31	3f	PhCO ₂ H (4.20)	PhCl	90	99

^a Reactions were performed with **1a** (0.15 mmol) and the catalyst (0.015 mmol) in toluene (1.5 mL) at room temperature for 24 h; when an additive (0.015 mmol) was added, the reaction time was 48 h. ^b Isolated yield for the major regioisomer. ^c Determined by HPLC analysis on a chiral stationary phase.

Substrate **1a**, which contains both chalcone and allene moieties, was chosen for initial investigation (Table 1). Achiral Ph₂PMe effectively promoted the desired [3+2] annulation and led to the formation of racemic tricyclic coumarin **2a** in 85% yield (entry 1). A series of L-valine-derived bifunctional phosphines were examined, and the amide functionality was found to be superior relative to sulfonamide and thiourea. Pivalamide **3d** was the best catalyst (entries 2–5). The threonine core¹³ again proved to be privileged, L-threonine-based **3f** increased the ee value to 81% (entries 6 and 7). Dipeptide phosphines further enhanced enantioselectivity as **4b** furnished **2a** in 87% ee (entry 9). Recently, Fu observed beneficial effects of adding a proton donor in asymmetric γ -addition reactions.¹⁴ To further improve the enantioselectivity of the annulation reaction, we decided to introduce an achiral Brønsted acid additive as an extra controlling element for asymmetric induction. We hypothesized that the cooperative interplay of the phosphine catalyst, the substrate and the acidic additive can add in structural dimension to potentially make the transition states less constrained for such an intramolecular process. To our delight, the addition of benzoic acid (10 mol%) led to substantial improvement in enantioselectivity for the reactions catalyzed by mono-amino acid-derived phosphines, despite prolonged reaction times (entries 10–15). Notably, the addition of benzoic acid did not affect the enantioselectivity of this reaction when **4b** was employed as the catalyst (entry 16). To provide a more comprehensive picture, more acidic additives were investigated (entries 17–24). The beneficial effects of Brønsted acid additives could be correlated to their acidities. Less acidic ethanol (pK_a 15.7) had little effect (entry 17), and phenol (pK_a 9.95) marginally increased the ee value (entry 18). *p*-Nitrophenol (pK_a 7.10), acetic acid (pK_a 4.76), and 3-(trifluoromethyl)benzoic acid (pK_a 3.77), which are similar to benzoic acid (pK_a 4.20), provided more enantioenriched product (entries 19–21). More acidic diphenylphosphoric acid (pK_a 1.90) led to slightly inferior results (entry 22). Too acidic trifluoroacetic acid (pK_a -0.25) or methanesulfonic acid (pK_a -2.6) inhibited the reaction, and no product was observed (entries 23–24). Taken together, the Brønsted acid additive needed to possess sufficient acidity to induce a better enantioselectivity, while too acidic additive was found detrimental to the reaction. A catalytic system consisting of **3f** and benzoic acid was then selected, and subsequent solvent screening was followed. It was revealed that chlorobenzene was the solvent of choice (entries 25–31). In the presence of phosphine **3f**, with benzoic acid as an additive, the [3+2] annulation of **1a** in chlorobenzene proceeded smoothly to afforded dihydrocoumarin **2a** in 90% yield and 99% ee.

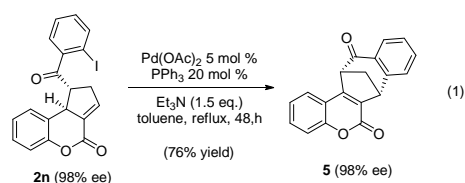
Table 2 The reaction scope.^a



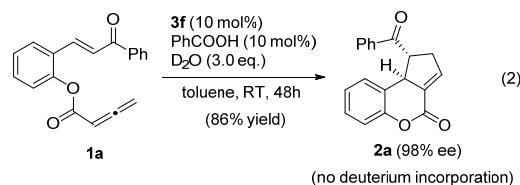
Entry	Structure	1 (R or R')	Yield [%] ^b	ee [%] ^c
1		1a (R = H)	90	99
2		1b (R = 4-Me)	86	98
3		1c (R = 5-OMe)	87	99
4		1d (R = 4-NO ₂)	84	95
5		1e (R = 2-Br)	90	98
6		1f (R = 5-Br)	92	98
7		1g (R = 4-Br)	89	98
8		1h (R = 4-Cl)	91	98
9		1i (R = 2,4-Cl)	89	98
10		1j (R' = 4-MePh)	86	98
11		1k (R' = 2-thiophenyl)	87	98
12		1l (R' = 4-F-Ph)	86	99
13		1m (R' = 3-Br-Ph)	87	99.5
14		1n (R' = 2-I-Ph)	85	98
15		1o (R' = Me)	-	-
16		1p	-	-

^a Reactions were performed with **1** (0.15 mmol) and **3f** (0.015 mmol) and benzoic acid (0.015 mmol) in chlorobenzene (1.5 mL) at room temperature for 48 h. ^b Isolated yield. ^c Determined by HPLC analysis on a chiral stationary phase.

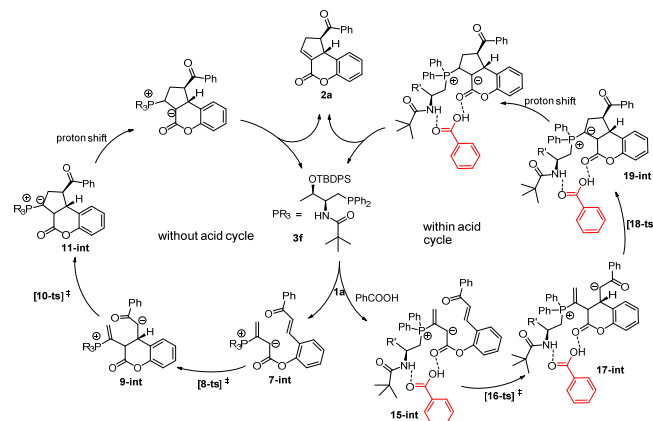
With the optimized reaction conditions in hand, we next investigated the scope of the reaction (Table 2). Both aromatic moieties in chalcone structures could be varied, regardless of electronic nature and substitution patterns of the aryl structures, and annulation products were obtained in high yields and with near perfect enantioselectivities (entries 1–14). In all the examples examined, only one diastereomer was detected. The employment of methyl-substituted enone **1o** or γ -methyl substituted allenolate **1p** did not lead to the desired product, presumably due to low reactivity of such substrates. The absolute configurations of the annulation products were assigned on the basis of X-ray crystal structural analysis of **2a**. The annulation product could be manipulated to form more complex ring structures. For instance, [3+2] annulation product **2n** underwent intramolecular Mizoroki–Heck coupling to yield a unique coumarin derivative **5** in 76% yield (eq. 1).



To further understand the role of benzoic acid in this cyclization process, we investigated the reaction with benzoic acid (10 mol%) as an additive in the presence of 3 equivalents D₂O (eq. 2). The reaction proceeded smoothly to afford the desired product in 86% yield and 98% ee. The ¹H NMR showed there was no deuterium incorporation in the product. This result suggests that benzoic acid is involved in the reaction in hydrogen bonding interactions, rather than facilitating the proton transfer process.



The mechanism of **3f**-catalyzed intramolecular [3+2] cyclization of chalcone allenolate is proposed in Scheme 1. Initial nucleophilic attack of **3f** to the allenolate **1a** forms a zwitterionic intermediate **7-int**. Without acid as additive, **7-int** undergoes intramolecular Michael addition via transition state **8-ts** to afford intermediate **9-int**. An intramolecular Michael addition of **9-int** occurs via transition state **10-ts** to give intermediate **11-int**, followed by proton shift and elimination to yield product **2a** and to release catalyst **3f**. In this case, the ee value of the product is 81%. In the presence of an acidic additive, such as benzoic acid, hydrogen bond network is formed between zwitterionic intermediate **7-int** and benzoic acid to give complex **15-int**, and the subsequent intramolecular Michael addition takes place via transition state **16-ts** to form complex **17-int**. The enantioselectivity was improved to 98% in this pathway.



Scheme 1. Proposed mechanism for the **3f**-catalyzed intramolecular [3+2] cyclization.

In order to rationalize the origin of enantioselectivity¹⁵ and the effects of the acid additive in the annulation, density functional theory (DFT) calculations were carried out with GAUSSIAN 09 programs.¹⁶ The computed Gibbs free energy profiles of the intramolecular [3+2] cyclization of **1a** catalyzed by **3f** are shown in Figure 1 (a), and optimized structures of selected transition states are shown in Figure 1 (b). This multistep cyclization process starts from nucleophilic attack of **3f** to the allenolate **1a** via transition state **6-ts**, which requires an 18.6 kcal/mol energy barrier to form the zwitterionic



intermediate **7-int**. The key enantio-differentiated cyclization then occurs via two possible pathways: the *Si*-face attack occurs through **8-ts-si** requires a 2.5 kcal/mol barrier, generating intermediate **9-int-si**. The subsequent ring closure occurs via transition state **10-ts-si** to form the intermediate **11-int-si**. The relative free energy of **10-ts-si** is 11.3 kcal/mol lower than that of **8-ts-si**. The alternative *Re*-face attack proceeds via transition state **8-ts-re** with a barrier of 1.5 kcal/mol, which is 1.0 kcal/mol lower than that of **8-ts-si**. The above calculations suggest that the enantioselectivity is determined by the cyclization step and predicts an ee value of 69%, based on the energy difference between transition states **8-ts-re** and **8-ts-si**. This is in good agreement with the experimental result, where the product **2a-re** was formed preferentially. Analysis of two transition states in Figure 2b reveals the origin of the enantioselectivity, in the geometry of **8-ts-si**, the C...C distance of 3.86 Å suggest the repulsion between the phenyl group of the reactant and the *tert*-butyl moiety of the phosphine catalyst, resulting in a higher transition state barrier.

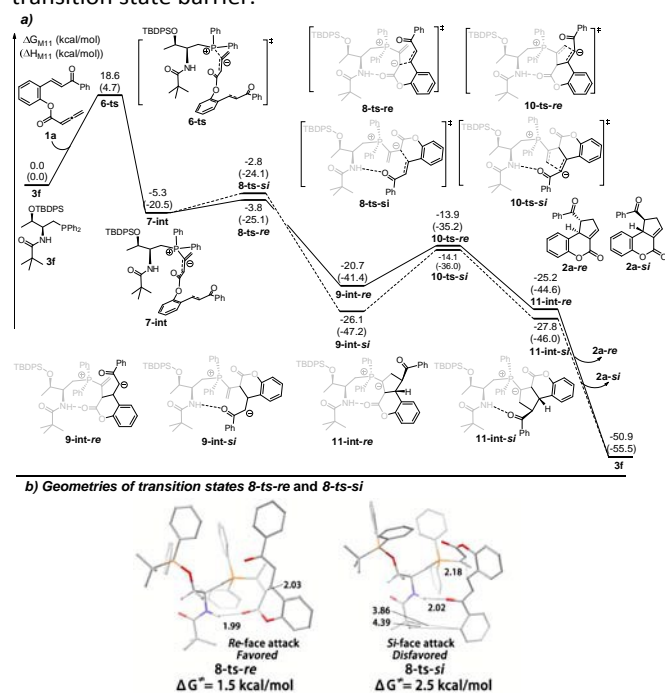


Figure 1. The energy surface of intramolecular [3+2] cyclization catalyzed by phosphine catalyst **3f** and geometries of transition states **8-ts-re** and **8-ts-si**.

We next set out to understand the enhancement of enantioselectivity with addition of benzoic acid. As the proton transfer process takes place after the key C–C bond formation, we were thus focusing on the involvement of benzoic acid in hydrogen bonding interactions to understand the observed enhancement of enantioselectivity. As shown in Figure 2 (a), a hydrogen-bonding complex **13-int** is formed from the active catalyst **3f** and benzoic acid **12** with a free energy increase of 7.4 kcal/mol. The nucleophilic addition of phosphine takes place via transition state **14-ts** with an overall barrier of 22.7 kcal/mol, and generates intermediate **15-int**. Similarly, from

intermediate **15-int**, the intramolecular Michael addition can then occur via two possible pathways: the *Si*-face attack pathway leads to the formation of **2a-si** and *Re*-face attack pathway gives the product **2a-re**. In both pathways, the benzoic acid forms two hydrogen bonds with the amide moiety of the catalyst and the ester group. With the presence of benzoic acid and two induced hydrogen bonding interactions, the two possible transition states, i.e. the *Si*-face attack pathway via **16-ts-si** and the *Re*-face attack pathway via **16-ts-re**, are better differentiated. The calculated Gibbs free energy difference of 3.0 kcal/mol predicts an enantiomeric excess of 99%, fully consistent with experimental results. The geometries of transition states for *Re*-face and *Si*-face attack involving benzoic acid were illustrated in Figure 2b. In transition state **16-ts-si**, when the hydrogen bonds are formed, the phosphorus bearing two phenyl groups is rotated and gets closer to the *tert*-butyl moiety of the phosphine catalyst. The C...C distance of 3.93 Å, indicating that the steric repulsion resulting in a higher transition state barrier.

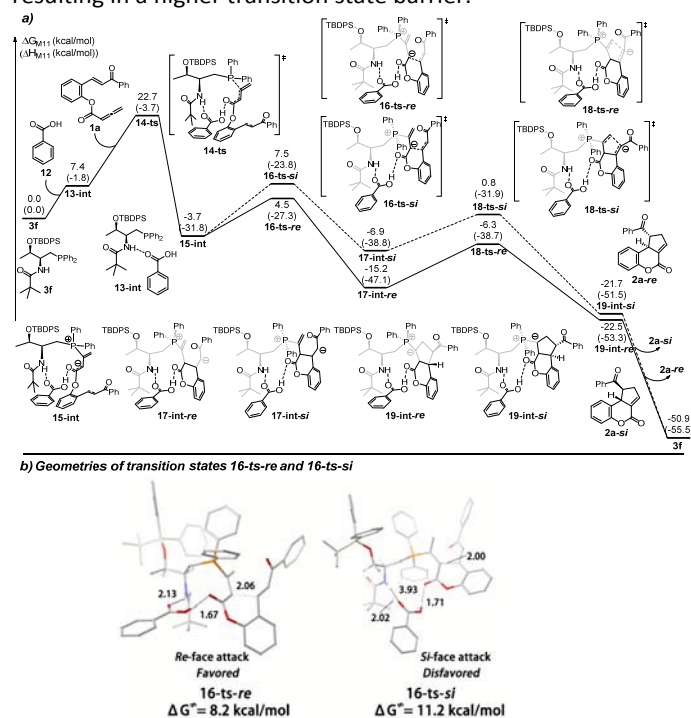


Figure 2. The energy surface of intramolecular [3+2] cyclization cooperatively catalyzed by phosphine catalyst with benzoic acid and geometries of transition states **16-ts-re** and **16-ts-si**.

Conclusions

In summary, we have developed an enantioselective intramolecular [3+2] annulation of chalcones with allenes by employing a catalytic system combining chiral bifunctional phosphines and achiral Brønsted acids. Highly functionalized dihydrocoumarin scaffolds were obtained in high yields and with excellent enantioselectivities. Our DFT calculations revealed that the key hydrogen bonding network introduced by the achiral Brønsted acid additives was crucial for observed enantioselectivity. The method described in this report may represent a general approach for the discovery of more



phosphine-catalyzed enantioselective intramolecular processes. We are currently investigating in this direction, and our discoveries will be reported in due course.

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- See the SI for the details of DFT calculations.

