Near infrared light-driven water oxidation in a molecule-based artificial photosynthetic device using an upconversion nano-photosensitizer†

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We provide the first demonstration of a near infrared light driven water oxidation reaction in a molecule-based artificial photosynthetic device using an upconversion nano-photosensitizer. One very attractive advantage of this system is that using NIR light irradiation does not cause significant photodamage, a serious problem in molecular based artificial photosynthesis under visible light irradiation.

Solar to fuel conversion using artificial photosynthetic devices has recently been considered to be a promising approach to produce sustainable energy.1,2 One of the options is solar driven water splitting by photoelectrochemical cells, resulting in the production of O2 and H2, which can be used as a clean fuel.3 The process consists of two half reactions: a proton reduction reaction at the photocathode and a water oxidation at the photoanode. Of these two half reactions, water oxidation is the more challenging process because it involves an endergonic four-electron process and therefore it often requires a large overpotential.5 In the photoanode design for photocatalytical water oxidation, effective catalyst and photoactive dye-sensitizer with broad-band light-harvesting function are needed to make this reaction as efficient as possible.5 Recently, we have successfully developed a novel photosensitizer Pt(II)-porphyrin (Pt(II)-TCPP) with a high potential of the radical cation/neutral redox couple that provides a driving force for favorable electron transfer between the catalyst and photo-oxidized Pt(II)-TCPP.6 Moreover, the photon capture ability is about three times higher than that of the widely used photosensitizer tris(2,2′-bipyridyl) ruthenium(II), [Ru(bpy)3]2+Pt(II)-TCPP can utilize ultraviolet-visible light <555 nm for water-splitting when it is suitably coupled to an active water oxidation catalyst. In order to further improve the photon capture ability and potentially increase the solar to fuel conversion efficiency, the general design strategy is to introduce a cascade of events including excitation energy transfer by an artificial antenna using a multichromophore system.7–9 However, the bottleneck of solar driven water oxidation efficiency is still the limited absorption spectral range of the photosensitizer. The solution is to extend the absorption of the artificial photosynthetic system to longer wavelengths. In particular, the near-infrared (NIR) region contains ~40% solar flux which usually remains unused.10

It is known that the light-harvesting units in photosystem II play a photo-protection role for avoiding photodamage by the safe thermal dissipation energy mechanisms under excess light.11–14 Likewise, improving the photostability is always a big concern in artificial photosynthetic device development for long-term activity.5 Although several mimicking models with the photo-protection function have been proposed, there is still a formidable challenge of further improving photocatalytic water oxidation.15–17 The advent of nanotechnology allows the integration of several photocatalytical elements in a nanoplatorm18,19 and can also lead to new approaches to minimizing the photodamage effect.

Herein, we constructed NaYF4:Yb3+,Er3+ upconversion nanoparticles (UCNPs) covalently conjugated with our recently developed Pt(II)-TCPP photosensitizers. This nano-photosensitizer (UCNPs/Pt(II)-TCPP) can be excited with NIR light (~920–980 nm) and utilizes the NIR photons to bring the Pt(II)-TCPP photosensitizer to its excited state. This is capable of driving photocatalytic water oxidation coupled to a Co4O4-cubane complex as water-oxidation catalyst in a neutral phosphate buffer solution (Fig. 1b).6 Furthermore, our nano-photosensitizer for NIR light driven water oxidation provides a novel design to prevent photodamage. For investigating the NIR-driven photocatalytic water oxidation activity, the conventional three component systems composed of a nano-photosensitizer, sacrificial electron acceptor and water oxidation catalyst were employed.20

Hydrophobic NaYF4:20% Yb3+,0.2% Er3+ UCNPs were used as the energy donor. They are in the hexagonal phase and possess a uniform morphology with an average diameter of
confirmed by FTIR absorption spectra (Fig. S3, ESI†). The hydrophilic NH₂-functionalized UCNPs were then prepared via a ligand exchange process using poly(allylamine) as a surface coating agent. To ensure that the majority of Pt(II)-TCPP molecules were firmly linked to UCNPs, a covalent conjugation strategy was followed that involved a cross-linking reaction between the amino group of the UCNPs and the carboxyl group of the Pt(II)-TCPP, see Fig. 1a. Changes in the sizes of the nanoparticles before and after conjugation with Pt(II)-TCPP could not be deduced from the TEM images (Fig. S1a and b, ESI†) since the polymer coating is not readily observable in TEM images. In order to directly visualize the polymer shell, the sample was stained with phosphotungstic acid, which increases the contrast and makes the shells appear as halos surrounding the nanoparticles (Fig. S1c, ESI†). The polymer shell thickness was thus measured to be about 4.5 nm (Fig. S1d, ESI†). Coupling of the UCNPs with Pt(II)-TCPP was also confirmed by FTIR absorption spectra (Fig. S3, ESI†). The change in the carbonyl region (1650–1710 cm⁻¹) indicates bond formation between the carboxylic acid group of Pt(II)-TCPP and the amino group of the nanoparticles. The loading capacity of Pt(II)-TCPP was then studied. Fig. S4 (ESI†) shows that the absorption intensities increased with the amount of added Pt(II)-TCPP, and saturated at 13% (w/w). Owing to the robust covalent bonding between Pt(II)-TCPP and NaYF₄:Yb³⁺,Er³⁺, we could bind at most ~2200 Pt(II)-TCPP molecules to each UCNPs.

The design of this nano-photosensitizer is based on energy transfer from upconversion luminescent (UCL) states of UCNPs to photosensitizers. Effective energy transfer can be achieved by properly choosing a photosensitizer of which the absorption matches a desired UCL band of UCNPs and by shortening the interaction distance between the energy donor and the acceptor.²¹⁻²³ Hybrid upconversion nano-photosensitizers have been successfully applied in different fields.²⁴⁻²⁶ Recently, improved solar water-splitting efficiency in semiconductor photoelectrode film incorporating UCNPs was also reported.²⁷,²⁸ In the present study, a photosensitizing molecule, Pt(II)-TCPP, was chosen because its absorption spectrum overlaps with the green UCL band (520–570 nm) (see Fig. 2a).

![Fig. 1](https://example.com/fig1.png)

**Fig. 1** (a) Construction of the NaYF₄:Yb³⁺,Er³⁺ UCNPs/Pt(II)-TCPP nano-photosensitizer. (b) Energy scheme of UCNPs/Pt(II)-TCPP and water oxidation catalyst.

![Fig. 2](https://example.com/fig2.png)

**Fig. 2** (a) Spectral overlap between the emission of the donor UCNPs and the absorption of the acceptor Pt(II)-TCPP in pH = 7.0, 0.1 M phosphate buffer. (b) Emission spectra of NH₂-functionalized UCNPs and UCNPs/Pt(II)-TCPP nano-photosensitizer under excitation at 980 nm (normalized by the intensity at 650 nm).
of UCL. For comparison we have also set up a model of radiative energy transfer by mixing UCNPs and Pt(II)-TCPP. The decay time at 540 nm shows almost no change (Fig. S5c, ESIT). In other words, the efficient energy transfer from UCNPs to Pt(II)-TCPP in the nano-photosensitizer ensures an effective photogeneration of excited Pt(II)-TCPP under NIR excitation.

Photocatalytic water oxidation experiments were carried out in solutions containing $1.83 \times 10^{-3}$ M UCNPs/Pt(II)-TCPP nano-photosensitizer as evaluated by Pt(II)-TCPP absorption, 0.15 M Na$_2$S$_2$O$_8$ and 1.5 x $10^{-4}$ M catalysts Co$_4$O$_4$-cubane in phosphate buffer solution (0.1 M, pH = 7.0) at room temperature. Photocatalytic oxygen generation was monitored through the detection of dissolved O$_2$ using a Clark-type electrode. A 980 nm continuous diode laser was used as the irradiation source. The result of light driven oxygen formation is shown in Fig. 3a. Control experiments were carried out in which each individual component of the system was removed. Significant oxygen generation was observed only when all three components were present (Fig. S6, ESIT). A comparison was made between the covalently conjugated UCNPs/Pt(II)-TCPP nano-photosensitizer and the mixture as indicated in Fig. 3a. The maximum turnover frequency TOF$_{\text{max}}$ of the catalyst was observed to be $6.6 \times 10^{-4}$ mol O$_2$ (mol of Co$_4$O$_4$-cubane)$^{-1}$ s$^{-1}$ in the nano-photosensitizer (excitation power 750 mW). However, the TOF$_{\text{max}}$ was reduced to $9.6 \times 10^{-5}$ mol O$_2$ (mol of Co$_4$O$_4$-cubane)$^{-1}$ s$^{-1}$ in the mixture of UCNPs and Pt(II)-TCPP. These results indicate that energy transfer in the covalently conjugated nano-photosensitizer significantly improves the light driven water oxidation activity, compared to the re-absorption process only in the mixture of UCNPs and Pt(II)-TCPP under the same excitation conditions. A further control experiment was conducted using Pt(II)-TCPP as the unique photosensitizer. Because Pt(II)-TCPP did not show any electronic absorption in the NIR range, there was, as expected, no oxygen evolution under the excitation of 980 nm light.

In order to investigate whether the TOF values are limited by the photon absorption rate or the inherent catalytic activity of the WOC, the light driven water oxidation activities were measured at different excitation powers (250 mW, 500 mW and 750 mW) of incident 980 nm laser light. The results are shown in Fig. 3b. The obtained values of TOF$_{\text{max}}$ are $2.4 \times 10^{-4}$, $4.1 \times 10^{-4}$ and $6.6 \times 10^{-4}$ mol O$_2$ (mol of Co$_4$O$_4$-cubane)$^{-1}$ s$^{-1}$ under 250 mW, 500 mW and 750 mW, respectively, i.e. TOF increases with increasing excitation power. This result shows that NIR indirect excitation of Pt(II)-TCPP can keep up with the catalytic water oxidation cycle in this excitation power range. The overall quantum yield of NIR photons induced O$_2$ generations is $\sim 9 \times 10^{-5}$% in UCNPs/Pt(II)-TCPP nanophotosensitizer under excitation with three different powers of 980 nm NIR-laser (for details of the calculation see the ESIT).

Photostability is a major concern for the performance of photosensitizers for photocatalytic water oxidation. UCNPs, which act as a nanotransducer to convert NIR light to visible wavelengths, afford an indirect, but local, light source which ensures that the excitation NIR light does not interact with any organic molecules in solution until it encounters the UCNPs/Pt(II)-TCPP nano-photosensitizer, different from the scenario directly using visible light irradiation. The photon energy can be effectively utilized since the surface of each UCNP is anchored with plenty of photosensitizers to accept the upconverted energy from the UCNPs, and thus can effectively avoid photodamage and greatly prolong the service life of the device. As shown in Fig. 4, 1.5 mL of a pH 7.0, 0.1 M phosphate buffer solution containing Na$_2$S$_2$O$_8$ (0.15 M), UCNPs/Pt(III)-TCPP (1.83 x $10^{-3}$ M) and Co$_4$O$_4$-cubane (1.5 x $10^{-4}$ M) was irradiated under 980 nm laser (indirect irradiation) and 532 nm laser (direct irradiation) for comparison. The power of the laser was 300 mW for 980 nm and 100 mW for 532 nm, respectively, which led to almost equal oxygen generation rates (Fig. 4a). After irradiation for 20 min, UV-Vis absorption spectra were recorded to evaluate the photostability, as shown in Fig. 4b. The UV-Vis absorption spectrum of the sample after 980 nm irradiation did not show any change. In contrast, the UV-Vis absorption spectrum of the sample was completely changed upon 532 nm irradiation, indicating severe photodamage under direct excitation. The photos of the samples before and after light irradiation of the two different wavelengths (inset in Fig. 4b and Fig. S7, ESIT) provide a vivid illustration of the difference in photostability under different conditions.

To understand this phenomenon we need to go into the working mechanism of the photosynthetic device. The energy
level diagram is shown in Fig. 1b including combinations of water oxidation catalyst, nano-photosensitizer and sacrificial electron acceptor \([\text{Na}_2\text{S}_2\text{O}_7]\) in neutral phosphate buffer. Under the excitation of 980 nm, Pt(II)-TCPP molecules are prepared in the excited state via energy transfer from UCNPs. Highly exothermic electron transfer from photogenerated Pt(II)-TCPP to \(\text{S}_2\text{O}_7^{2-}\) in buffer solution results in the formation of Pt(II)-porphyrin radical cations. This species is thermodynamically capable of driving the water oxidation catalyst to oxidize water to \(\text{O}_2\). The UCNPs/Pt(II)-TCPP nano-photosensitizer solution without the addition of sacrificial electron acceptor (sodium persulfate) retains a similar absorption spectra under light irradiation of both excitation wavelengths (see Fig. S8, ES†). This indicates that the photodamage paths are mostly related to the radical cations of Pt(II)-TCPP. The reduction of the radical cation of the photosensitizer by the water oxidation catalyst is rate limiting in general. Therefore side reactions of the radical cations may be relatively enhanced at high incident light power. The porphyrin radical cation in water shows a broad and intense absorption in the UV-Visible range and has a lifetime that can be up to seconds.10,31 Visible light (e.g. 532 nm) may cause photochemical degradation via the excited state of the metalloporphyrin radical cation. Conversely, photoabsorption of porphyrin radical cation can be excluded at 980 nm. Since most of the upconverted 540 nm band contributes to bringing the photosensitizers to the excited states due to the sufficient energy transfer between the UCNPs and photosensitizers, the residual upconverted 540 nm band is much weaker than the direct 532 nm laser irradiation for the photodamage of the radical cations, which might be responsible for the significantly enhanced photostability of UCNPs/Pt(II)-TCPP nano-photosensitizers under NIR excitation.

In conclusion, we have demonstrated a novel NIR driven nano-photosensitizer, integrating UCNPs with Pt(II)-TCPP. The excited state of this sensitizer has sufficient oxidizing power to drive the water splitting reaction when an appropriate catalyst is present. To the best of our knowledge, this is the first demonstration of a light driven water oxidation reaction using only near-infrared photons in a molecule-based artificial photosynthetic device. Furthermore, the UCNPs/Pt(II)-TCPP nano-photosensitizer also significantly improves the photostability of the solution under NIR light excitation compared to the scenario of visible light excitation. The upconversion efficiency should be further improved for practical applications in actual solar driven catalysis. This can be achieved by manipulating emission and excitation processes through structure design or plasmonic coupling.22,23 Consequently, harvesting NIR photons for light driven water oxidation by artificial photosynthesis can be achieved under solar irradiation.

Notes and references