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Self-assembling doxorubicin-prodrug nanoparticles as siRNA drug delivery system for cancer treatment: *in vitro* and *in vivo*†

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Co-delivery of siRNAs and chemotherapeutic drugs to kill tumors have achieved superior tumor growth inhibition. However, due to siRNAs and chemotherapeutic drugs with different molecular properties, co-delivery carriers use more cationic materials to bind siRNAs and excessive inert materials to embed drugs, causing low drug-loading contents and systemic toxicity. To achieve this goal, doxorubicin (DOX) is chemically conjugated to stearoyl chloride (C18) through *N*-methyldiethanol amine (N) as cross-linker to form amphiphilic C18–N–DOX. C18–N–DOX contains a tertiary amine that can complex siRNAs at low pH (pH 3) and reduce the density of the positive charges on the surface of NPs at physiological pH (pH 7.4). C18–N–DOX, together with 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy-poly(ethylene glycol 2000) DSPE-PEG2000, self-assemble into DOX-prodrug nanoparticles (DOX-prodrug NPs), which bind siRNAs in citrate buffer (pH 3) to form DOX-prodrug NPs/siRNA. After replacing citrate buffer (pH 3) with PBS (pH 7.4), DOX-prodrug NPs/siRNA have slight negative charges due to complexed more siRNAs. In this study, clear evidence is shown that DOX-prodrug NPs can deliver siRNA to the same tumor cells both *in vitro* and *in vivo*. Moreover, DOX-prodrug NPs/siRNA show a great effect on inhibiting tumor cell growth both *in vitro* and *in vivo*. Therefore, the DOX-prodrug NPs are promising candidates as siRNAs delivery system for tumor therapy.

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Introduction

The death rate because of cancer continues to increase and is one of the leading causes of death in the world.¹ Co-delivery of two different drugs with different anticancer mechanisms to target cancer cells, especially co-delivery of nucleic acid and chemotherapeutic drugs, has attracted more attention and achieved better effects.^{2–5} To enable the therapeutic use of siRNAs and anticancer drugs, an effective siRNAs and anticancer drugs co-delivery system is required. Many researches about nanocarriers using anticancer drugs and siRNAs co-delivery to inhibit the growth of tumors have been highlighted recently, and they made great progress on therapeutic applications.^{6–10} The co-delivery platforms should be designed to overcome hurdles, such as enzymatic degradation, negative charges and large size of siRNAs, and the side effects of chemotherapy drugs. To achieve these goals, nanoparticle platforms composed of

different materials with different features provide advantages for siRNAs and chemotherapy drug co-delivery. Such drug co-delivery systems based on polymers,¹¹ liposomes¹² or inorganic materials¹³ always use more positively charged materials to bind siRNAs and large amounts of inert materials to embed the drugs. However, the introduction of too many carriers results in low drug-loading capacity and increases the metabolic burden and systemic toxicity.¹⁴

DOX, a most widely used anticancer drug, is crucial to the treatment of various types of cancers such as breast, ovarian, cervical and gastric cancers.^{15,16} DOX causes DNA damage and induction of apoptosis through inhibiting the progression of the enzyme topoisomerase II.¹⁷ Moreover, small interfering RNA (siRNA) can selectively hybridize with target mRNA and degrade the complementary target mRNA in a sequence-specific manner at a post-transcriptional level.^{18,19} Combination of two or more therapeutic drugs with different mechanisms can effectively inhibit tumor growth. Currently, many researchers have developed the most effective carriers for co-delivery of DOX and a therapeutic siRNA to inhibit tumor growth.^{20–23} However, the DOX loading efficiency was very low, and the loading content of DOX in previous studies was generally not greater than 15%.^{24,25}

Herein, we have successfully developed DOX-prodrug NPs for siRNA delivery to overcome the above-mentioned siRNA and drug delivery difficulties (Scheme 1). DOX was chemically conjugated to stearoyl chloride (C18) through *N*-methyldiethanolamine (N) as

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cross-linker to form amphiphilic C18-N-DOX. The C18-N-DOX self-assembled into DOX-prodrug NPs when stabilized with DSPE-PEG2000. These DOX-prodrug NPs were designed on the basis of the following reasons. (i) Tertiary amines with a positive charge density are highly pH dependent: at pH 3, with high cationic charge, they can bind siRNA, whereas at pH 7.4, they can reduce the positive charge density on the surface of NPs.^{26–30} (ii) The reduction of the amount of inert materials to embed DOX and enhance the DOX loading efficiency due to conjugated anticancer compounds. These features made DOX-prodrug NPs an excellent carrier for delivery of siRNA for cancer therapy. In this study, the ability of DOX-prodrug NPs to deliver siRNA into the same tumor cells was examined both *in vitro* and *in vivo*. We provide clear evidence that co-delivery of chemotherapeutic drugs and anti-cancer siRNAs by DOX-prodrug NPs cooperatively inhibited tumor growth in a combined manner.

Experimental section

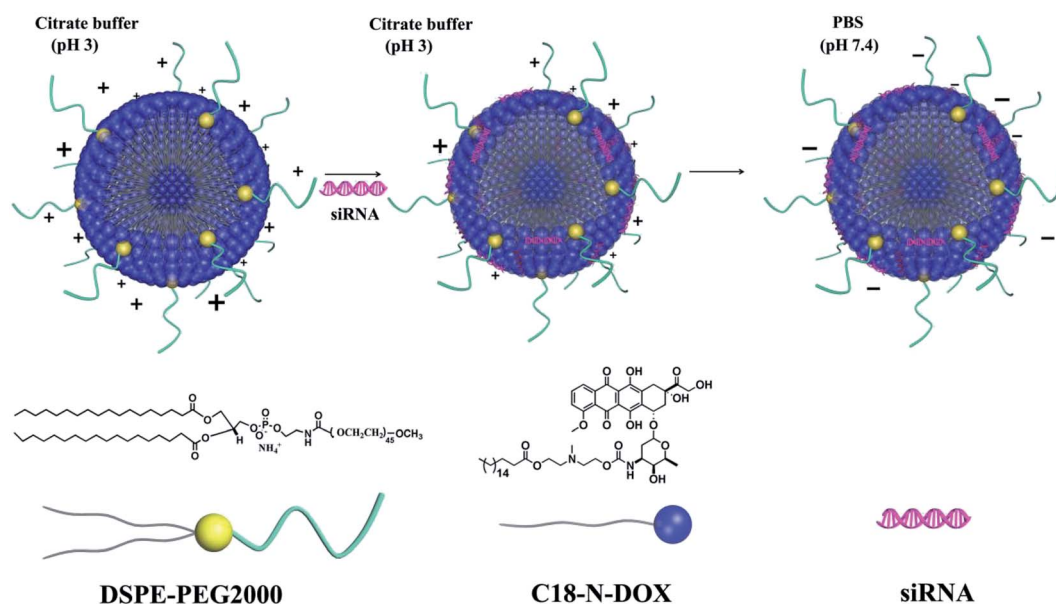
Materials

N-Methyldiethanolamine was purchased from Aladdin Co. Ltd., China. Pyridine was purchased from Alfa Aesar. DOX·HCl was obtained from Dalian Meilun Biotech Co. Ltd., (Dalian, China). Di-(*N*-succinimidyl)carbonate (DSC) and stearoyl chloride were obtained from TCI (Japan). Glo Lysis buffer and steady-Glo® Luciferase Assay System were obtained from Promega. 1,2-Distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy-poly(ethylene glycol 2000) (DSPE-PEG2000) was obtained from Shanghai Advanced Vehicle Technology Ltd. Co. Antibodies against human polo-like kinase 1 (Plk1) and β -actin were obtained from Santa Cruz Biotechnology. Goat anti-mouse IgG-HRP antibody, goat anti-rabbit IgG (H + L), BCA Protein

Assay Kit, Bradford Protein Assay and lysis buffer (RIPA) were purchased from Beyotime (China). siRNAs targeting human Plk1 (siPlk1) (sense strand, 5'-UGAAGAAGAU CACCCUCCUUAdTdT-3'; antisense strand, 5'-UAAGGAGGGU GAUCUUCUUCAdTdT-3') and scrambled siRNA (siNonsense) (sense strand, 5'-UUCUCCGAACGUGUCACGUDTdT-3'; antisense strand, 5'-ACGUGACACGUUCGGAGAAdTdT-3'), Luc-siRNA: sense: (5'-CCCUAUUCUCCUUCUUCGCDTdT-3', antisense: 5'-GCGAAGAAGGAGAAUAGGGdTdT-3'); Cy5-labeled siRNA: (sense strand: 5'-Cy5-CCUUGAGGCAUACUCAAAdTdT-3', antisense strand: 5'-UUUGAAGUAUGCCUCAAGGdTdT-3') were supplied by Su Zhou Ribo Life Science Co. Ltd. (Suzhou, China). *In situ* cell death detection kit, POD was obtained from Roche (Mannheim, Germany). 3-(4,5)-Dimethyl-2-thiazolyl-2,5-diphenyltetrazolium bromide (MTT) and 4',6-diamidino-2-phenylindole dihydrochloride (DAPI dihydrochloride) were obtained from TCI Shanghai. Dichloromethane was dried using calcium hydride.

Methods

Synthesis of C18-N-OH conjugates. *N*-Methyldiethanol amine (20 mL) and 98 mmol of pyridine were dissolved in anhydrous CH₂Cl₂. 4.88 g (40 mmol) stearoyl chloride was dissolved in 20 mL of anhydrous CH₂Cl₂ and was added drop-wise into the *N*-methyldiethanol amine and pyridine mixture solution at 0 °C. The reaction was carried out for 3 h at room temperature. The resultant solution was diluted by dichloromethane and washed three times with brine solution. Subsequently, the resultant solution was dried over anhydrous Na₂SO₄. The organic phase was collected and dried under vacuum after removing the solvent with a yield of 83%. ¹H NMR spectroscopy (600 MHz, CDCl₃ δ ppm) was carried out to



Scheme 1 Structural composition of DOX-prodrug NPs with DSPE-PEG2000 and C18-N-DOX. The DOX-prodrug NPs can complex siRNA in pH 3 citrate buffer. After replacing pH 3 citrate buffer with pH 7.4 PBS, the DOX-prodrug NPs/siRNA have slight negative charges on the surface of NPs.

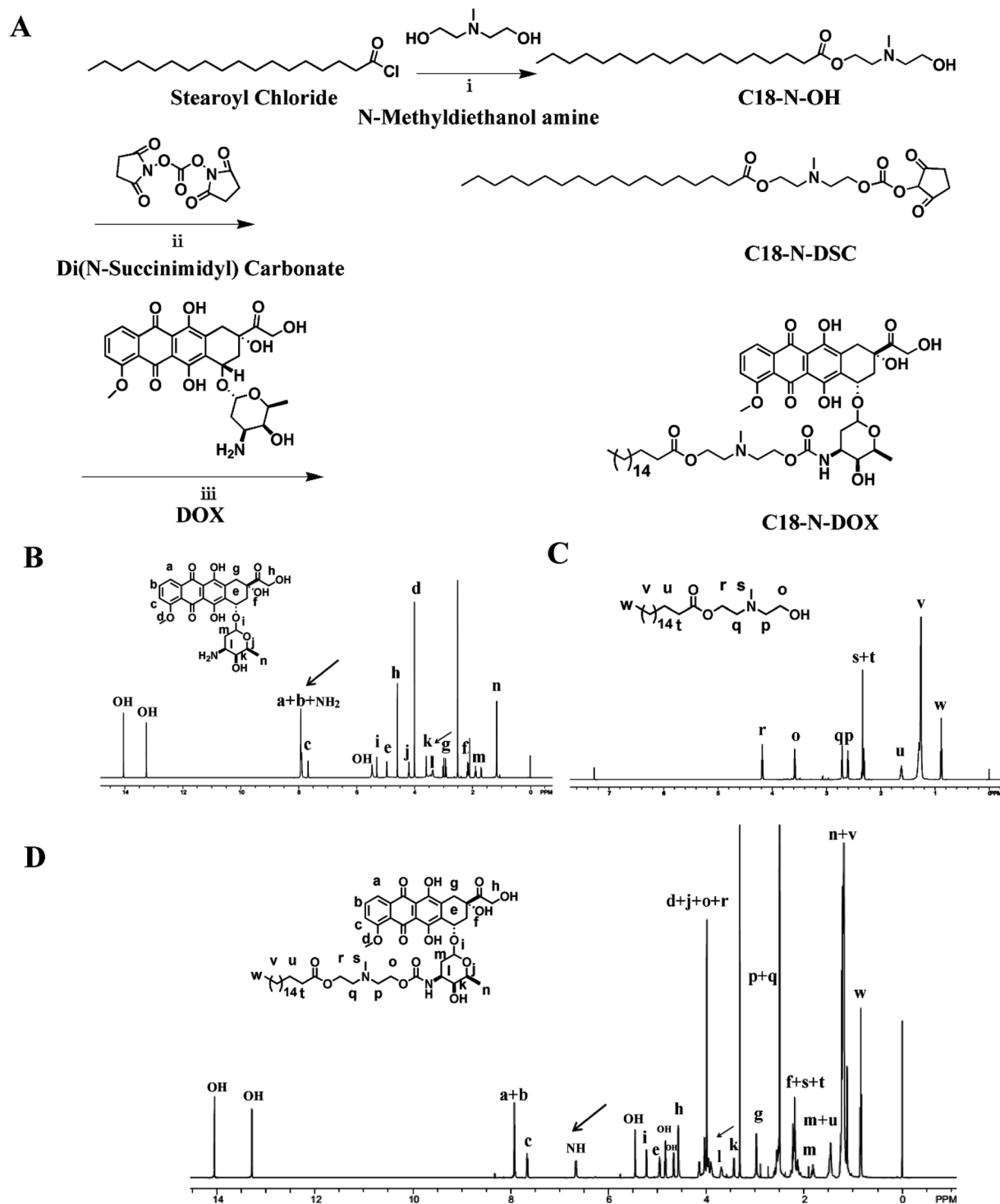


Fig. 1 Synthesis and characterization of C18-N-DOX. (A) Synthetic route of the C18-N-DOX. (B) ^1H NMR spectra of DOX (600 MHz, $\text{DMSO}-d_6$). (C) ^1H NMR spectra of C18-N-OH (600 MHz, CDCl_3). (D) ^1H NMR spectra of C18-N-DOX (600 MHz, $\text{DMSO}-d_6$).

characterize the C18-N-OH: δ 4.18 ($\text{O}=\text{C}-\text{O}-\text{CH}_2-\text{CH}_2$, t, $J = 5.7$ Hz, 2H), 3.63–3.56 ($\text{HO}-\text{CH}_2-\text{CH}_2$, m, 2H), 2.71 ($\text{N}-\text{CH}_2-\text{CH}_2$, t, $J = 5.7$ Hz, 2H), 2.62–2.59 ($\text{N}-\text{CH}_2-\text{CH}_2$, m, 2H), 2.36–2.29 (CH_3-N & $\text{O}-\text{CO}-\text{CH}_2$, m, 5H), 1.66–1.58 ($\text{CH}_2-\text{CH}_2-\text{CH}_2$, m, 2H), 1.32–1.24 ($\text{CH}_2-\text{CH}_2-\text{CH}_2$, m, 28H), 0.89 (CH_2-CH_3 , t, $J = 7.0$ Hz, 3H).

Synthesis of C18-N-DOX prodrugs. C18-N-OH (2 g, 5.2 mmol) was reacted with DSC (2.22 g, 8.7 mmol) that was dissolved in 20 mL of dichloromethane. The reaction was carried out for 6 h at room temperature. By precipitation in ice-chilled *n*-hexane, the resultant C18-N-DSC was retrieved with a yield of 75%. Further reactions were carried out by reacting

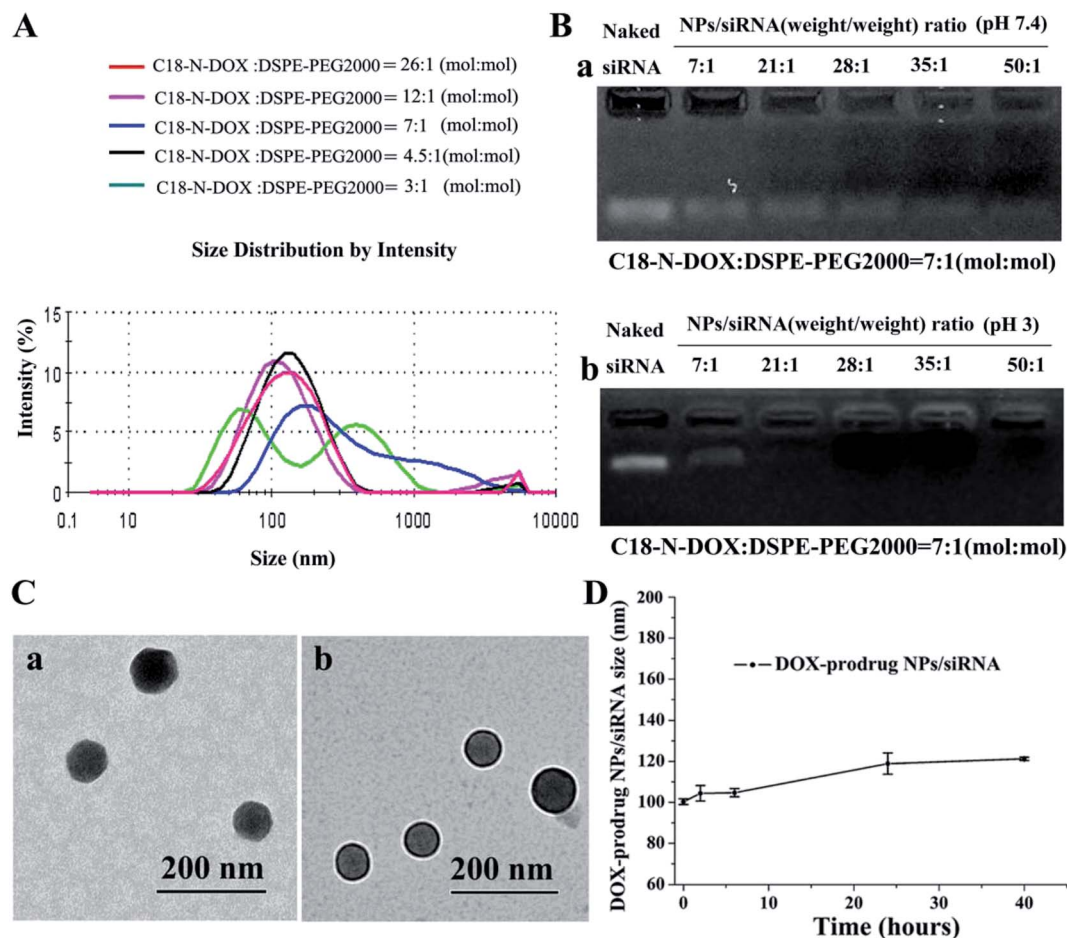


Fig. 2 (A) Size distribution of DOX-prodrug NPs constructed with different molar ratios of C18-N-DOX : DSPE-PEG2000. (B) Gel retardation assay of complexation efficiency of siRNA at various NPs/siRNA weight ratios. (a) The NPs were prepared and complexed with siRNA in pH 7.4 PBS. (b) The NPs were complexed siRNA in pH 3 citrate buffer. (C-a) The TEM image of DOX-prodrug NPs. (b) The TEM image of DOX-prodrug NPs/siRNA. (Scale bar: 200 nm). (D) The stability of DOX-prodrug NPs/siRNA in DMEM with 10% FBS.

C18-N-DSC (184 mg, 0.35 mmol) with 200 mg (0.35 mmol) of DOX, which was dissolved in anhydrous dimethyl formamide (DMF) in the presence triethylamine (1.05 mmol). The reaction was allowed to proceed for 48 h under a nitrogen atmosphere. The crude product was purified by column chromatography on silica gel (200–300 mesh) eluted with 0–10% methanol gradient in dichloromethane. ^1H NMR spectroscopy (600 MHz, $\text{DMSO}-d_6$ δ ppm) was carried out to characterize the C18-N-DOX: δ 14.05 (*HO*-Ph, s, 1H), 13.29 (*HO*-Ph, s, 1H), 7.92 (*H*-Ph, d, J = 4.1 Hz, 2H), 7.69–7.64 (*H*-Ph, m, 1H), 6.66 (*-OCNH*-d, J = 7.9 Hz, 1H), 5.45 (*OH*, s, 1H), 5.22 (*-CH(O)*₂, d, J = 3.1 Hz, 1H), 4.96 (*Ph-CH-O*, d, J = 4.0 Hz, 1H), 4.84 (*OH*, t, J = 6.0 Hz, 1H), 4.67 (*OH*, d, J = 5.6 Hz, 1H), 4.57 (*O=C-CH-O* d, J = 6.0 Hz, 2H), 4.15 (*Me-CH-O* q, J = 6.3 Hz, 1H), 4.04 (*O=C-O-CH₂-CH₂*, t, J = 5.6 Hz, 2H), 3.99 (*CH₃O-*, s, 3H), 3.92 (*HO-CH₂-CH₂*, m, 2H), 3.69 (*O=C-NH-CHC₂*, d, J = 4.9 Hz, 1H), 3.44 (*HO-CHC₂*, d, J = 3.7 Hz, 1H), 2.98 (*Ph-CH₂-C*, s, 2H), 2.63–2.52 (*N-CH₂-CH₂*, m, 4H), 2.30–2.11 (*CH-CH₂-C* & *CH₃-N* & *O-CO-CH₂*, m, 7H), 1.83 (*C-CH₂-C*, td, J = 12.8, 3.6 Hz, 1H), 1.48 (*C-CH₂-C*, m, 3H), 1.23 (*C-CH₂-C*, m, 28H), 1.13

(*CH₃-C*, d, J = 6.5 Hz, 3H), 0.85 (*CH₂-CH₃*, dd, J = 7.0 Hz, 3H). The mass and molecular formula of C18-N-DOX were determined by a Fourier transform ion cyclotron resonance mass spectrometer (positive, Bruker, USA) m/z 955.51 [$\text{M} + \text{H}$]⁺, calcd for $\text{C}_{51}\text{H}_{74}\text{N}_2\text{O}_{15}$, 954.51; found, 954.51 (Fig. S1†).

DOX-prodrug NPs/siRNA complex formulation

DOX-prodrug NPs/siRNA complex formulations were prepared as previously described.³¹ C18-N-DOX and DSPE-PEG2000 were completely solubilized in ethanol at a molar ratio of 7 : 1. The C18-N-DOX and DSPE-PEG2000 mixture was added to an aqueous buffer (100 mM citrate, pH 3) with mixing to final ethanol and lipid concentrations of 35% (v/v) and 3 mg mL⁻¹, respectively. The siRNA (solubilized in a 100 mM citrate, pH 3, 35% ethanol) was added to the empty NPs. After a NPs/siRNA weight ratio of 21 : 1 was achieved, the mixture was incubated for 3 min at 55 °C to allow NP reorganization and encapsulation of the siRNA. The ethanol was then removed, and the external buffer was replaced with PBS

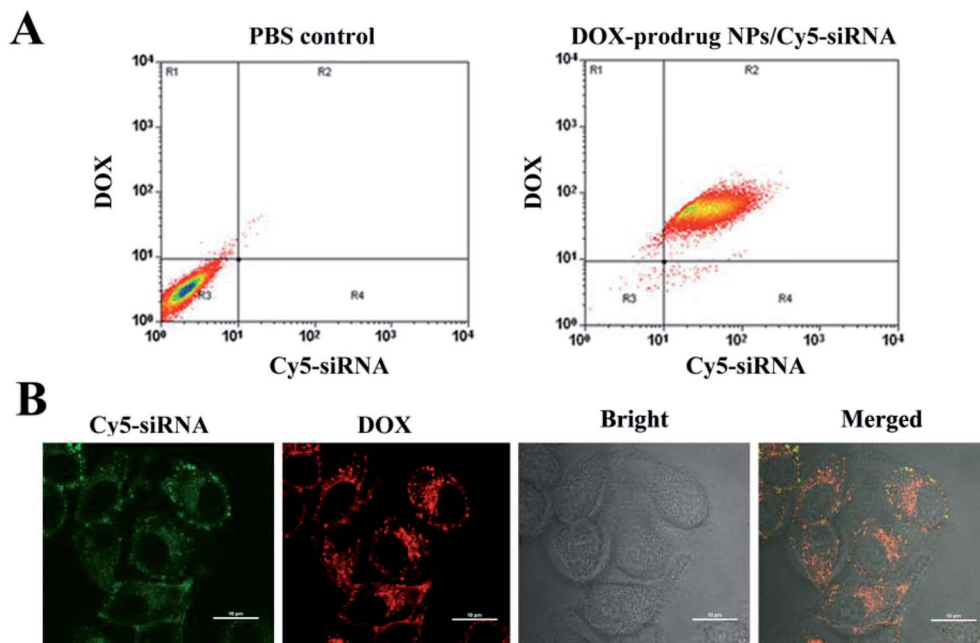


Fig. 3 (A) Cellular uptake of DOX-prodrug NPs/Cy5-siRNA for 2 h as analyzed by FACS. (B) CLSM image of intracellular distribution of DOX-prodrug NPs/Cy5-siRNA in HeLa cell after incubation of 2 h. Scale bar: 20 μm . DOX-prodrug NPs had DOX auto-fluorescence (red) and Cy5-siRNA stained as green. Both FACS and CLSM analyses were performed after incubating DOX-prodrug NPs/Cy5-siRNA with HeLa cells at NPs/siRNA weight ratio of 21 : 1.

(pH 7.4) by dialysis using a 10 000 molecular weight cutoff membrane.

Size, zeta potential and colloidal stability measurements

Particle size and zeta potential were determined using a Malvern Zetasizer NanoZS. Colloidal stability was measured by incubating DOX-prodrug NPs/siRNA in Dulbecco's modified Eagle medium (DMEM) with high glucose supplemented with 10% fetal bovine serum (FBS) at 37 °C under gentle stirring. At each time point, the mean diameters of hybrid NPs were monitored using DLS.

The morphology of DOX-prodrug NPs

The morphology of DOX-prodrug NPs was observed by transmission electron microscopy (TEM) (H-7650 TEM, Japan). These NPs were dripped onto 200 mesh copper grids coated with carbon and dyed with 2% phosphotungstic acid. To further ascertain the structure of DOX-prodrug NPs, cryo-transmission electron microscopy (FEI Tecnai 20 Cryo-TEM) was used to take images of NPs in a frozen state.

DOX, DOX-N-C18 and DOX-prodrug NPs loading into siRNA

DOX and DOX-N-C18 (1.5 μM) were prepared by incubating siRNA with increasing molar ratios. The DOX-prodrug NPs were prepared in pH 7.4 PBS by incubating siRNA with increasing molar ratios. The fluorescence spectra of DOX were scanned at an excitation wavelength of 480 nm by a microplate reader (Infinite M200 PRO, Tecan).

DOX equivalent loading and *in vitro* release of DOX from DOX-prodrug NPs

The loading efficiency (LE) was determined as the amount of DOX equivalents in the DOX-prodrug NPs:³²

$$LE = \frac{\text{amount of DOX equivalents in NPs}}{\text{amount of C18 - N - DOX} + \text{amount of DSPE-PEG2000}}$$

The release profiles of DOX from DOX-prodrug NPs were studied using a dialysis tube (MWCO 10 000) with stirring (200 rpm) at 37 °C in PBS (pH 7.4). The equivalent concentration of DOX 3 mg mL⁻¹, 1 mL DOX-prodrug NPs was dialyzed against 25 mL of release media. At desired time intervals, 1 mL release media was taken out and replenished with an equal volume of fresh media. The amount of DOX released was determined using a microplate reader (SpectraMax M5, Molecular Devices, CA) with excitation at 470 nm and emission at 590 nm.

Preparation of DOX-prodrug NPs/siRNA and gel retardation assay

To test the binding ability of DOX-prodrug NPs, gel electrophoresis was carried out. Gel electrophoresis was performed using 2% (w/v) agarose gel in TEA buffer with 0.5 $\mu\text{g mL}^{-1}$ of EtBr. DOX-prodrug NPs complexed with siRNA at NPs/siRNA weight ratios 7 : 1, 21 : 1, 28 : 1, 35 : 1 and 50 : 1 in citrate buffer (pH 3) and in PBS (pH 7.4), respectively, were prepared for electrophoresis. The electrophoretic mobility of DOX-prodrug NPs/siRNA was visualized on a UV transilluminator at an

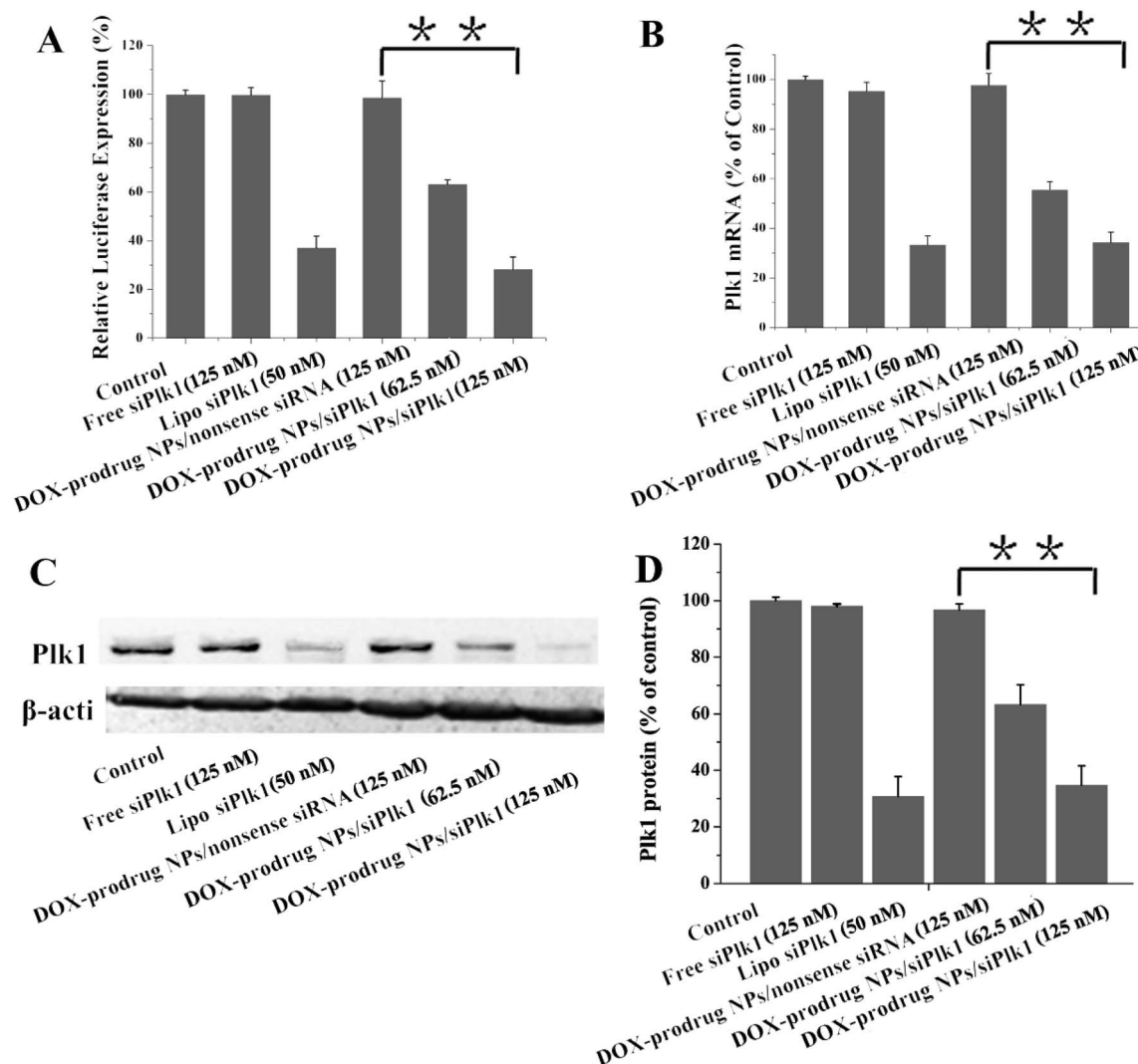


Fig. 4 (A) Knockdown of the expression of luciferase. (B) Knockdown of the expression of Plk1 mRNA. (C) The expression level of Plk1 protein. HeLa cells are incubated with DOX-prodrug NPs/siPlk1 for 48 h. Data are presented as a mean \pm SD, $n = 3$, $**p < 0.01$. HeLa cells are transfected with free siPlk1 and DOX-prodrug NPs/nonsense siRNA with 125 nM siRNA doses. The concentration of siPlk1 with Lipofectamine 2000 (Lipo/siPlk1) is 50 nM. HeLa cells are transfected with DOX-prodrug NPs with siPlk1 doses 62.5 nM and 125 nM, respectively.

excitation wavelength of 302 nm. The gel electrophoresis was applied for 10 min (100 V cm^{-1}).

Cell culture

The HeLa cells and HeLa-Luci cells were maintained in DMEM with high glucose supplemented with 10% FBS and 1% v/v penicillin-streptomycin at 37°C with 5% CO_2 .

Cellular DOX uptake and intracellular release of DOX

The cellular uptake of DOX-prodrug NPs was tested using a BD FACS Calibur flow cytometer, and intracellular release behaviors of DOX-prodrug NPs were followed with CLSM using HeLa cells. The cells were cultured in a 24-well plate and were incubated with DOX-prodrug NPs for 2 h, and the cells were washed twice with PBS, digested with trypsin solution, and analyzed on a BD Calibur (BD Biosciences USA).

The cells were cultured on microscope slides using DMEM supplemented with 10% FBS. The cells were incubated with DOX-loaded micelles for 2 or 24 h at 37°C . The culture medium was removed and the cells were rinsed three times with PBS. The fluorescence images were obtained using CLSM (Japan, Nikon).

In vitro analysis of DOX-prodrug NPs delivery siRNA into tumor cells

DOX-prodrug NPs containing Cy5-labeled siRNA was prepared as described above. HeLa cells (4×10^4 cells per well) were seeded in a 24-well culture plate. The DOX-prodrug NPs/Cy5-siRNA solution was incubated with HeLa cells for 2 h. After 2 h incubation, cells were washed three times with PBS, lysed with trypsin and analyzed on a BD FACS Calibur flow cytometer (BD Biosciences, USA).

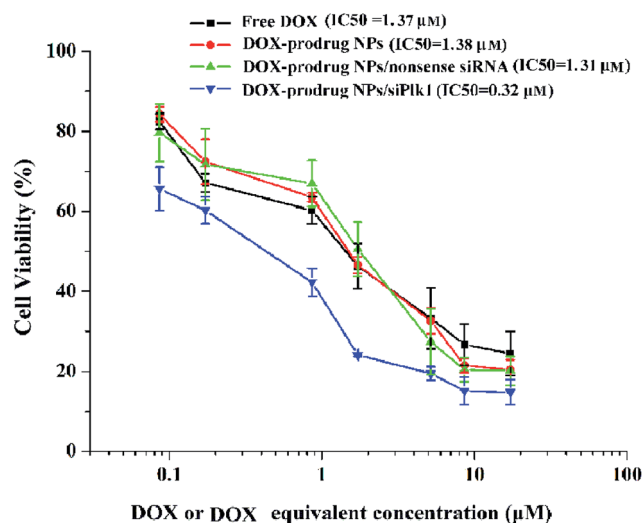


Fig. 5 Cell viability study of HeLa cells incubated with free DOX, DOX-prodrug NPs, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 with different DOX or DOX equivalent concentration. DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 with NPs/siRNA weight ratio 21 : 1. Data represent mean \pm SD, $n = 3$.

For CLSM observations, HeLa cells (4×10^4 cells per well) were seeded in a 35 mm glass bottom culture dish and incubated for 24 h at 37 °C in 5% CO₂, allowed to grow until 50% confluent and followed by adding the DOX-prodrug NPs/Cy5-siRNA solution. After 2 h of incubation, the cells were washed twice with PBS; the cells were visualized using A1RSi CLSM with a 60 \times objective (Japan, Nikon).

In vitro gene transfection and analysis of gene expression

HeLa-Luci cells were transfected with DOX-prodrug NPs/siLuc. HeLa-Luci cells were plated at a cell density of 4×10^4 cells per well in 24-well culture plates and allowed to adhere overnight. siRNA targeting luciferase or nonsense siRNA was complexed with DOX-prodrug NPs at the weight ratio of 1 : 21. Cells were incubated with DOX-prodrug NPs/siLuc for 12 h, after which the DOX-prodrug NPs/siLuc solutions were removed and replaced with completely fresh media. The luciferase assay was assessed after another 12 h post transfection using Centro XS³ LB 960 Microplate Luminometer (Berthold technologies, Germany). The cells were washed with PBS and digested by Glo lysis buffer for five minutes. 100 μ L of medium was added into 96-well plates and mixed with 100 μ L of steady-Glo[®] reagent, and then luminescence was measured in a luminometer.

HeLa cells transfected with DOX-prodrug NPs/siPlk1. HeLa cells were plated at a cell density of 4×10^4 cells per well in 12-

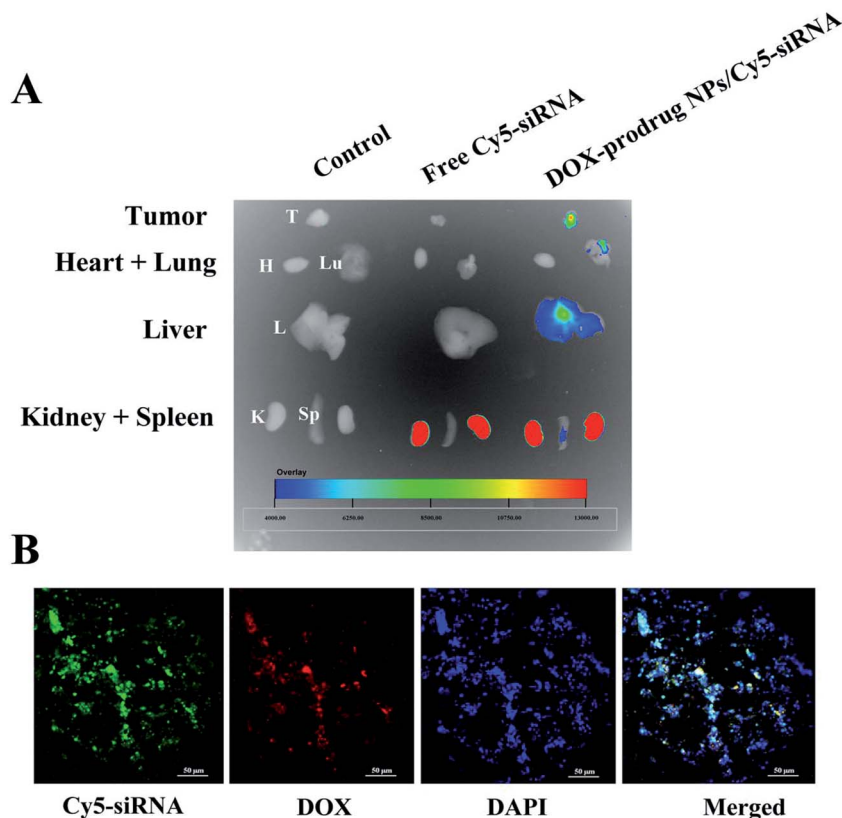


Fig. 6 (A) *In vivo* Cy5-siRNA distribution in the tumor and organs at 24 h after intravenous injection of Cy5-siRNA (1 mg kg^{-1}) into mice with DOX-prodrug NPs. (a) Control, (b) Free Cy5-siRNA, (c) DOX-prodrug NPs/Cy5-siRNA. Tumor (T), heart (H), lung (Lu), liver (L), kidney (K) and spleen (Sp). (B) CLSM images show the distribution of DOX and Cy5-siRNA in a tumor following intravenous injection of DOX-prodrug NPs/Cy5-siRNA. DOX (red), Cy5-siRNA (green) and cell nuclei are stained with DAPI (blue). Scale bar: 50 μ m.

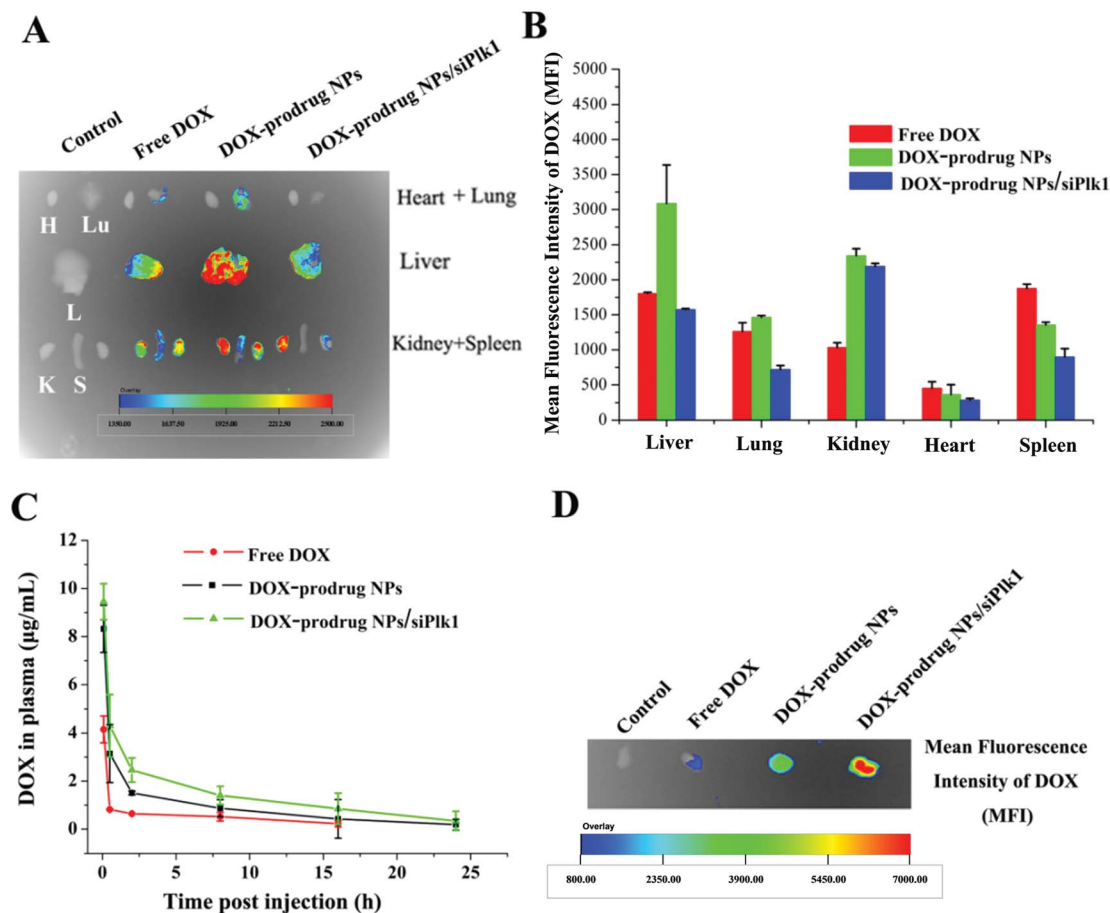


Fig. 7 *In vivo* DOX distribution in the organs after intravenous injection into mice with free DOX, DOX-prodrug NPs and DOX-prodrug NPs/siPlk1. (A) Fluorescent image of tissues distribution of free DOX, DOX-prodrug NPs and DOX-prodrug NPs/siPlk1 at 12 hour-post injection. Free DOX, DOX-prodrug NPs and DOX-prodrug NPs/siPlk1 were intravenously injected to ICR mice (DOX concentration of 4.1 mg kg^{-1}). (B) Quantitative analysis of DOX in tissue. (C) Blood retention kinetics of free DOX, siPlk1-NPs/DOX and siPlk1-PCNPs/DOX in ICR mice (DOX concentration of 4.1 mg kg^{-1}). Means \pm SD, $n = 4$. (D) Tumor distribution of DOX at 12 hour-post injection. Free DOX, DOX-prodrug NPs and DOX-prodrug NPs/siPlk1 were intravenously injected into HeLa-bearing BALB/c nude mice (DOX concentration of 4.1 mg kg^{-1}).

well culture plates and allowed to adhere overnight. siPlk1 or nonsense siRNA was complexed with DOX-prodrug NPs at the weight ratio of 1 : 21. The HeLa cells were transfected with PBS, free siPlk1, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 for 12 h, and then the culture medium was replaced with fresh DMEM medium. After incubation for another 12 h at 37°C , the mRNA levels of Plk1 were detected by quantitative real-time polymerase chain reaction (qRT-PCR).

qRT-PCR was performed as described previously.³³ Primers for Plk1 and GAPDH are as follows: Plk1-forward 5'-AGCCTGAGGCCGATACCTAC-3', Plk1-reverse 5'-ATTAGGAGTCCACACAGGGTCTTC-3'; GAPDH-forward 5'-TTCACCACCATGGAGAAGGC-3', GAPDH-reverse 5'-GGCATGGACTGTGGTCATGA-3'. The mRNA level of Plk1 was normalized to GAPDH.

For western blot analysis, the cells were lysed with 100 μL of lysis buffer (RIPA), and the supernatant was collected by centrifugation for 10 min at 4°C and 12 000g. The concentration of protein was measured using the BCA kit. Total 80 μg proteins were loaded on 12% SDS-polyacrylamide gel electrophoresis (SDS-PAGE), and then transferred to acetic

nitrocellulose membranes for 90 min (120 V). After sealing with 5% bovine serum albumin (BSA) in PBS with Tween-20 (PBST) for 1 h, the membranes were incubated with monoclonal antibodies against Plk1 overnight. After washing three times, the membranes were incubated with goat antimouse IgG-HRP antibody for 1 h, and the bands were visualized by 3,3'-diaminobenzidine tetrahydrochloride (DAB) staining (0.5 mg mL^{-1} with 0.1% H_2O_2).

In vitro cytotoxicity

In vitro cytotoxicity study of free DOX, DOX-prodrug NPs, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 was quantitatively measured by employing HeLa cells. The cells were seeded in a 96-well plate for 24 h, and then the medium was changed with various concentrations of free DOX or DOX-loaded NPs (range from 0.1 to $10 \mu\text{g mL}^{-1}$ in culture medium). *In vitro* cell viability was determined using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. At designed time intervals, the medium was removed and the

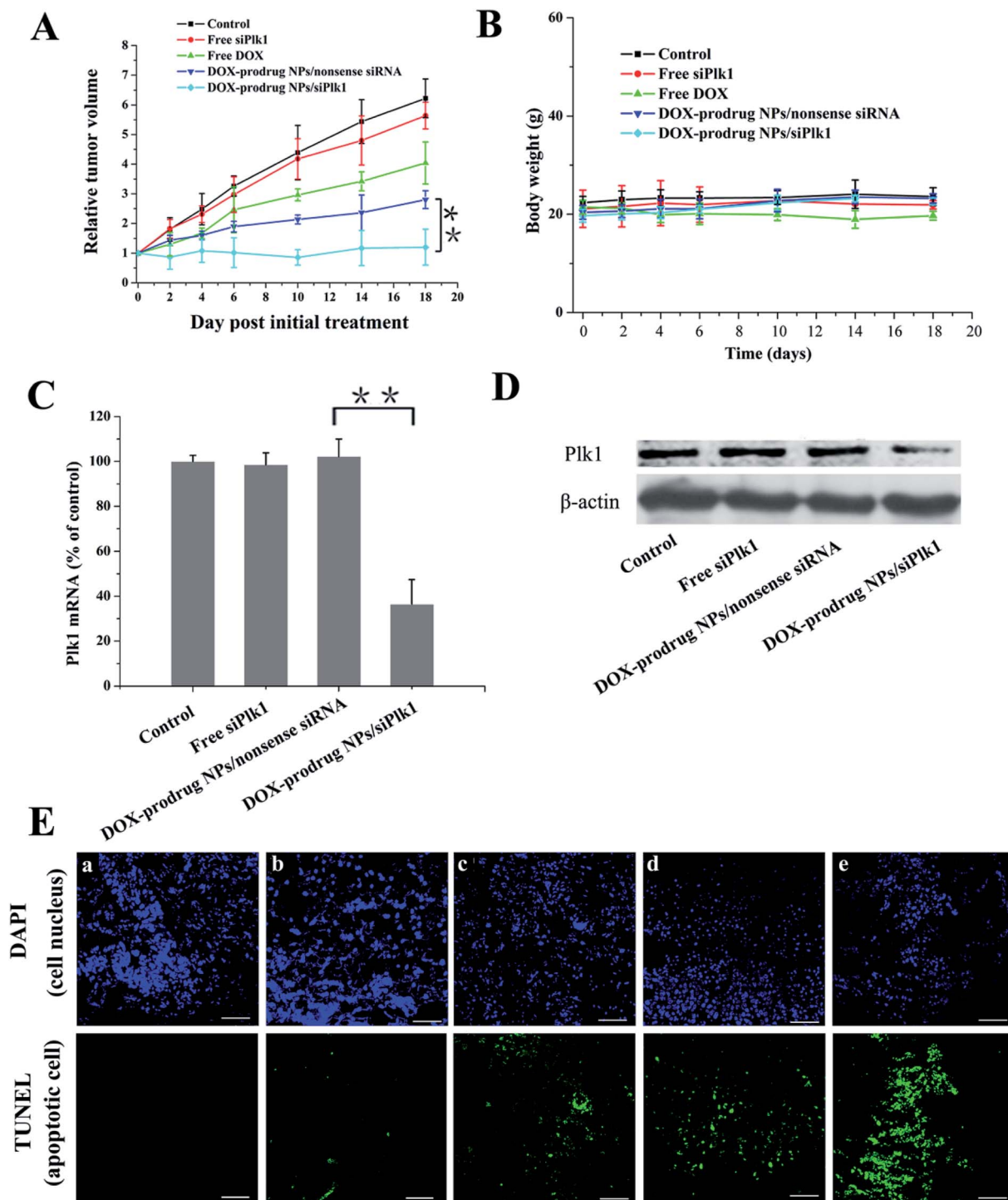


Fig. 8 (A) Antitumor efficacies *in vivo* after tail vein injection of PBS, free siPlk1, free DOX, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 on HeLa-bearing female BALB/c nude mice. (B) Changes in body weight, (C) RT-PCR analyses of Plk1 mRNA in tumor after injections of different formulations. (D) Western blot analyses of Plk1 protein in tumor after injections of different formulations. Each formulation was administered on days 0, 2, 4, and 6 by tail vein injection at a dose of 4.1 mg kg^{-1} DOX equivalent and at a dose of 0.5 mg kg^{-1} siRNA. The tumor tissues were collected for RT-PCR and Western blot analyses 24 h after the last injection. Means \pm SD, $n = 4$, $** p < 0.01$. (E) *In vivo* TUNEL analyses of tumor sections from mice receiving different formulations. In TUNEL analysis, green stains indicated apoptosis, DAPI was used to the stain cell nucleus (blue). (a) PBS, (b) free siPlk1, (c) free DOX, (d) DOX-prodrug NPs/nonsense siRNA, and (e) DOX-prodrug NPs/siPlk1.

wells were washed two times with PBS. Subsequently, $20 \mu\text{L}$ MTT was added to each well and incubated for 2 h. Then, medium was removed and $150 \mu\text{L}$ of DMSO was added. The

absorbance was measured at 570 nm using a plate reader. The percentage of cell viability was determined by comparing cells treated with various NPs to the untreated control cells.

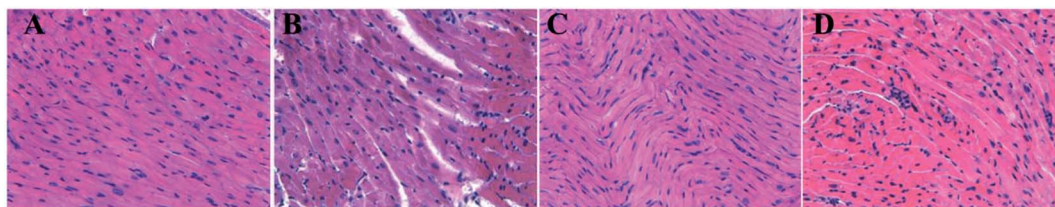


Fig. 9 Histopathology of H&E-stained myocardial tissue from HeLa-bearing nude mice treated with different formulations. All images were analyzed by microscopy at 400 \times magnification. (A) PBS, (B) free DOX, (C) DOX-prodrug NPs/nonsense siRNA, and (D) DOX-prodrug NPs/siPlk1.

Mouse tumor models

All protocols for this animal study conformed to the Guide for the Care and Use of Laboratory Animals. All procedures involving experimental animals were performed in accordance with protocols approved by the Committee for Animal Research of Peking University, China. HeLa (2×10^6) cells were subcutaneously injected into the armpit of the 5 week-old female BALB/c nude mice. Tumors were allowed to grow to a uniform volume ($\sim 50 \text{ mm}^3$).

In vivo fluorescence imaging and drug distribution in tumor tissues

HeLa-bearing female mice were intravenously injected with PBS (pH 7.4) (200 μL), free Cy5-siRNA (1 mg kg^{-1}) and DOX-prodrug NPs/Cy5-siRNA (at Cy5-siRNA concentration of mg kg^{-1} , in PBS (pH 7.4)) through the tail vein. 12 hours later, the mice were sacrificed and tissues were harvested. The tissues were imaged by the Kodak *in vivo* imaging system. For CLSM analysis, the tumor tissues were collected and fixed in 4% paraformaldehyde, and then cross-sectioned using a vibration microtome. In order to stain the cell nucleus, the sectioned tissues were incubated in DAPI ($0.5 \mu\text{g mL}^{-1}$) solution in saline for 0.5 h at room temperature. Confocal imaging of tumor tissues was performed using A1Rsi CLSM (Japan, Nikon) equipped with a 40 \times oil objective and filter sets (DAPI (Ex341/Em461), DOX (Ex480/Em580) and Cy5-siRNA (Ex649/Em680)).

Tissue distribution

Free DOX (at 4.1 mg kg^{-1} , 200 μL), DOX-prodrug NPs and DOX-prodrug NPs/siPlk1 (at 4.1 mg kg^{-1} , 200 μL) were intravenously injected into ICR mice *via* the tail vein using a syringe. 12 hours after injection, the mice were sacrificed and the tissues were harvested. To determine the tissue distributions of DOX-prodrug NPs and DOX-prodrug NPs/siPlk1, DOX fluorescence in the specimen was imaged by the Kodak *in vivo* imaging system with excitation at 470 nm and emission at 590 nm. Quantitative analysis for the tissue distribution of micelles was carried out using the Living Imaging Software.

Blood pharmacokinetics

To determine pharmacokinetics, either DOX $\cdot\text{HCl}$ (at 4.1 mg kg^{-1} , 200 μL), DOX-prodrug NPs or DOX-prodrug NPs/siPlk1 (at 4.1 mg kg^{-1} , 200 μL) was intravenously injected into ICR mice through the tail vein using a syringe.³⁴ A blood sample (20 μL) was

collected from the tail vein at different time points post-injection and mixed with K3-EDTA (0.5 μL , an anticoagulation agent). To extract DOX, acetone (60 μL) was added to the blood, vortexed, and then the solution was centrifuged (5000g, 10 min). The supernatant was collected and stored at -80°C until the time of analysis. The solution was loaded onto 96-well plate in triplicate (50 μL per well). Fluorescence was measured using a microplate reader (SpectraMax M5, Molecular Devices, CA) with excitation at 470 nm and emission at 590 nm. A linear standard curve of DOX ranging $10\text{--}0.1 \mu\text{g mL}^{-1}$ was created and used for measuring the concentration of DOX in blood. The dataset was analysed using PKSolver software. The data of free DOX fit to a one-compartment and the data of DOX-prodrug NPs and DOX-prodrug NPs/siPlk1 fit a non-compartmental pharmacokinetic model. All data were expressed as mean \pm SD ($n = 4$).

Antitumor effects

The anti-cancer effect of DOX or DOX-loaded NPs was evaluated by measuring the tumor volume in a double-blinded manner. After reaching a tumor volume of $\sim 50 \text{ mm}^3$, the tumor HeLa-bearing mice were randomized into five groups. The mice received PBS (200 μL), free DOX (4.1 mg kg^{-1} , 200 μL), free siPlk1 (0.5 mg kg^{-1} , 200 μL), DOX-prodrug NPs/nonsense siRNA (at 4.1 equivalent DOX mg kg^{-1} and at 0.5 mg kg^{-1} siRNA, 200 μL) and DOX-prodrug NPs/siPlk1 (at 4.1 equivalent DOX mg kg^{-1} and at 0.5 mg kg^{-1} siRNA, 200 μL) through tail vein injection at day 0, 2, 4 and 6 post initial treatment. Tumor size and body weight were measured. Tumor volume was measured as follows:

$$V = (a \times b^2)/2$$

where a and b represent the major and minor axes of a tumor, respectively. The lengths of the axes were measured using a caliper. Tumor volume in each group was compared by relative tumor volume:³⁵

$$\text{Relative tumor volume} = \frac{\text{tumor volume}}{\text{initial tumor volume before treatment}}$$

Measurements of Plk1 expression in tumor tissue

Tumor tissues were collected 24 h after the last treatment, and digested by an RNeasy mini kit. The level of Plk1 mRNA was analyzed by qRT-PCR as described above. To determine the Plk1

protein expression in tumor tissue after treatments, tumor tissues were collected 24 h after the last injection. The tumor tissues were lysed, and the total 80 μg protein was collected and analyzed by western blot assay as described above.

In vivo TUNEL assay

The mice were sacrificed 18 days after the first injection, and tumor tissues were collected and fixed in 4% paraformaldehyde overnight at 4 °C. A TUNEL assay was performed on the excised tumor tissues using *in situ* cell death detection kit, *i.e.* POD (Roche) according to the manufacturer's protocol. Tumor sections were transferred onto the glass slide and deparaffinized. 50 μL of proteinase K (50 $\mu\text{g mL}^{-1}$) in TBS (pH 8.0) was added onto the slides and incubated for 30 min at room temperature. After rinsing three times with PBS, the slides were incubated with TUNEL reaction mixtures for 60 min at 37 °C in a humidified atmosphere in the dark, and the slides were washed with PBS. The 50 μL TUNEL reaction mixtures were mixed with 2 μL enzyme solution and 48 μL label solution. After rinsing with three times PBS, the slides could be analyzed in a drop of PBS under CLSM by using 488 nm excitation and 530 nm emission at this state. The cells with green fluorescence were defined as apoptotic cells, and DAPI was used to stain cell nuclei.

Cardiac toxicity study

The heart tissues of each mouse were collected, fixed, and processed thereafter for H&E staining. Images of cardiac tissue sections were collected using a Nikon light microscope with 400 \times magnification.

Statistical analysis

Statistical analysis was performed using the Student's *t*-test with $p < 0.05$ as significant difference. The experimental results were given in the format of mean, mean \pm SD in the figures.

Results and discussion

Synthesis and characterization of C18-N-DOX

In the present work, we synthesized C18-N-DOX. The chemical structure of C18-N-DOX is shown in Fig. 1A. First, stearoyl chloride was reacted with *N*-methyldiethanolamine to form C18-N-OH. C18-N-OH was then coupled with DOX through DSC to form carbamate-linked C18-N-DOX. The formation of DOX, C18-N-OH or C18-N-DOX was first confirmed by $^1\text{H-NMR}$ spectroscopy with all the characteristic peaks and the integration values of DOX, C18-N-OH and C18-N-DOX as indicated in Fig. 1B, C and D, respectively. The reaction at the NH_2 group of DOX was confirmed by the appearance of NH at δ 6.67 ppm. Moreover, the proton at the 1 position of DOX shifted from δ 3.34 ppm to δ 3.70 ppm during the conjugation, indicating that the DOX and C18-N-DSC reaction had occurred. The resultant C18-N-DOX was further examined by high-resolution mass spectroscopy to determine its mass and molecular formula. As shown in Fig. S1,[†] the result was consistent with the expected formula of the C18-N-DOX. The mass and molecular formula of

C18-N-DOX were determined using a Fourier transform ion cyclotron resonance mass spectrometer (positive, Bruker, USA) m/z 955.51 $[\text{M} + \text{H}]^+$, calcd for $\text{C}_{51}\text{H}_{74}\text{N}_2\text{O}_{15}$, 954.51; found: 954.51. These data indicated that C18-N-DOX had been synthesized.

Preparation and characterization of DOX-prodrug NPs and DOX-prodrug NPs/siPlk1

After the successful synthesis of C18-N-DOX, the self-assembly abilities of C18-N-DOX were evaluated. C18-N-DOX alone could self-assemble in water, but these NPs were easy to aggregate in PBS (pH 7.4). Hence, DSPE-PEG2000, which had been approved to be used in clinic by the Food and Drug Administration (FDA), was added to stabilize NPs by steric hindrance. C18-N-DOX together with DSPE-PEG2000 self-assembled into DOX-prodrug NPs. As shown in Fig. 2A, DOX-prodrug NPs, which were constructed with C18-N-DOX : DSPE-PEG2000 at molar ratios of 26 : 1, 12 : 1 and 7 : 1, had no obvious difference in size, but those constructed with C18-N-DOX : DSPE-PEG2000 at molar ratios of 26 : 1 and 12 : 1 were not stable in PBS (pH 7.4). DOX-prodrug NPs, which were constructed with C18-N-DOX : DSPE-PEG2000 at molar ratios of 4.5 : 1 and 3 : 1, had large sizes and a wide polydispersity index (PDI). Therefore, DOX-prodrug NPs, which were constructed with C18-N-DOX : DSPE-PEG2000 at a molar ratio of 7 : 1 were chosen as our following experimental condition.

Many studies have reported that the CG pairs of the DNA motif provides loading sites for DOX.^{36,37} The authors demonstrated this by measuring the fluorescence intensity of DOX. When a fixed concentration of DOX was incubated with an increasing molar ration of the DNA, the fluorescence intensity of DOX was decreased due to the initiation of Förster resonance energy transfer between DOX molecules when loaded into DNA.³⁸ siRNA with double strands contains CG base pairs, and it is speculated that DOX may load into siRNA. To examine whether the DOX-prodrug NPs have another way to bind siRNA, the fluorescence spectra of the free DOX and DOX-prodrug NPs were measured. As shown in Fig. S2,[†] the intensity of fluorescence of DOX decreased, suggesting that free DOX intercalated into siRNA. As shown in Fig. S3,[†] the intensity fluorescence of C18-N-DOX decreased slightly. It was suggested that DOX modified with C18 inhibited its ability to intercalate in siRNA. Daunomycin and doxorubicin are anthracycline anticancer drug that use the same mechanism to kill tumors that bind to DNA and block its replication. Daunomycin complexes with DNA through two bonds: one at the chromophore and the other at the amino-sugar. The amino-sugar closes to a sugar-phosphate chain enables the ionized amino group to interact strongly with the second DNA phosphate.³⁹ Kinetic studies of daunomycin intercalating in DNA by Richard Lavery and co-workers suggested that the optimal pathway involves initial binding to the minor groove by its amino sugar and that an activated process causes the drug to rotate and to partially insert its planar anthraquinone moiety into a wedge formed between two successive base pairs.⁴⁰ Our experiment conjugated DOX with C18 by carbamate linkage, which the amino group cannot

ionize. Therefore, C18-N-DOX may decrease the ability of DOX to intercalate in siRNA, since the carbamate bond did not strongly interact with the siRNA phosphate and inhibited the DOX initial binding to siRNA. To further confirm this, the DOX-prodrug NPs were prepared in pH 7.4 PBS in order to avoid the effect of cationic charges. The fluorescence spectra assay (Fig. S4†) and the gel electrophoresis assay (Fig. 2B(a)) indicated that the ability of DOX-prodrug NPs binding siRNA in pH 7.4 PBS was very weak. It has been reported that tertiary amines possess positive charge density in low pH and have the ability to complex siRNA.^{26–30} DOX-prodrug NPs/siRNA were made using a previously reported method with some modification.³¹ As shown in Fig. 2B(b), we found that DOX-prodrug NPs constructed at a C18-N-DOX : DSPE-PEG2000 molar ratio of 7 : 1 had strong ability to bind siRNA in citrate buffer (pH 3), which completely complexed siRNA at a NPs/siRNA weight ratio of 21 : 1, leading to no free siRNA band in the gel. These results coincided with the previous report that all primary amines and tertiary amines are protonated (pH < 4).²⁶ These results showed that DOX-prodrug NPs mainly complexed siRNA through electrostatic interaction. The equivalent DOX loading efficiency of DOX-prodrug NPs was 39.8%. The DOX-prodrug NPs and the DOX-prodrug NPs/siRNA were prepared and characterized by TEM. As shown in Fig. 2C(a), DOX-prodrug NPs were spherical micelles with unimodal size distribution. The Cryo-TEM image of DOX-prodrug NPs also confirmed DOX-prodrug NPs were spherical micelles (Fig. S5†). Compared with the morphology of DOX-prodrug NPs, TEM showed that the DOX-prodrug NPs/siRNA had high electronic intensity in periphery, suggesting that siRNAs were complexed at the surface of DOX-prodrug NPs through electrostatic interaction (Fig. 2C(b)). The resultant DOX-prodrug NPs/siRNA had a mean diameter of approximately 100 nm, similar in size to the DOX-prodrug NPs. However, the zeta potential of DOX-prodrug NPs (−1.2 mV) and DOX-prodrug NPs/siRNA (−7.9 mV) in PBS (pH 7.4) had some different values, indicating that DOX-prodrug NPs could complex with the siRNA. The stability of DOX-prodrug NPs/siRNA was investigated in DMEM containing 10% FBS. As shown in Fig. 2D, after 40 h incubation the size of DOX-prodrug NPs/siRNA slightly increased from 100.2 nm to 121.2 nm. This result suggested that DOX-prodrug NPs/siRNA had better colloidal stability in 10% FBS. These findings are important because the slight negative surface charge will enhance the colloidal stability and prolong the NPs' residence time in the body, as it prevents NPs from protein adsorption and aggregation.⁴¹ Incubation of DOX-prodrug NPs in PBS (pH 7.4) showed the evidence for slow release of DOX (Fig. S6†). After 4 days of incubation, about 12.5% of the total drug content was liberated from DOX-prodrug NPs. This suggested that DOX-prodrug NPs had an enhanced stability in a physiological environment. The sustained release of DOX-prodrug NPs is likely due to retarded hydrolysis and drug diffusion within the hydrophobic core.⁴²

In vitro analysis of delivery of siRNA into tumor cells

Previous reports indicated that the carbamate bond is liable to acid-catalyzed hydrolysis in tumor cells.^{43,44} In our study, DOX

was conjugated with C18-N-DSC by the carbamate bond. Therefore, the intracellular DOX release was investigated by CLSM, due to the auto-fluorescence of DOX. Fig. S7† shows the absorption spectrum of free DOX, as well as C18-N-DOX, near 485 nm using ultraviolet spectrophotometer. Thus, DOX conjugated with C18-N-OH cannot change the absorbance peak of DOX. As shown in Fig. S8a,† when cells were incubated with DOX-prodrug NPs and DOX-prodrug NPs/anticancer siRNA, considerable fluorescence intensity was detected, mainly in the cytoplasm within 2 h incubation. However, cellular fluorescence was much higher, and part of the DOX molecules were localized in the cell nuclei after 24 h incubation (Fig. S8b†). This result suggested that DOX was released from C18-N-DOX and entered into cell nuclei to kill tumor cells. DOX localized in the cell nuclei is likely intercalated into DNA strands; therefore, it shows its toxicity against tumor cells.

To demonstrate simultaneous delivery, DOX-prodrug NPs/Cy5-siRNA containing C18-N-DOX and Cy5-siRNA were prepared as described above. Cells were incubated with DOX-prodrug NPs/Cy5-siRNA for 2 h. Fluorescence-activated cell sorting (FACS) analysis showed that the cells were located only in the double-positive quadrant, indicating the DOX-prodrug NPs indeed delivered siRNAs into the cells (Fig. 3A). Simultaneous delivery was corroborated by CLSM, which showed colocalization of the C18-N-DOX (red) and Cy5-siRNA (green) fluorescence distributed in the cytoplasm (Fig. 3B). These results indicated that the DOX-prodrug NPs delivered siRNA into the cells.

In vitro gene-silencing ability

The ability of DOX-prodrug NPs binding siLuc to silence gene expression was performed. The siLucs were complexed with DOX-prodrug NPs to transfect HeLa-Luci cells. As shown in Fig. 4A, DOX-prodrug NPs/siLuc efficiently downregulated the Luc expression. Next, the siPlk1 were chosen as model siRNA drug to kill the tumor. Since siPlk1 could downregulate Plk1 protein expression and prolong the cells in G₂/M phase arrest, which promoted cell apoptosis and induced cell death, it was used as a model siRNA drug in our work.^{45–47} In this study, the HeLa cell line as an *in vitro* model system was used to test DOX-prodrug NPs/siPlk1 for knockdown the expression of Plk1 due to over-expression of Plk1 in HeLa tumor types. Herein, the ability of downregulating therapeutic target mRNA level by siPlk1 delivered by DOX-prodrug NPs was assessed by RT-PCR. As shown in Fig. 4B, DOX-prodrug NPs complexed with 125 nM siPlk1 could significantly silence Plk1 gene expression in HeLa cells, leading to approximately 67.2% knockdown of Plk1 mRNA, reaching a level similar to that of Lipofectamin2000 (Lipo/siPlk1) (68.8% of the PBS control). However, negative controls, including treatments with PBS, free siPlk1 and DOX-prodrug NPs/nonsense siRNA, showed no knockdown efficiency. Downregulation of Plk1 mRNA expression was subsequently accompanied by decreased Plk1 protein expression. Following transfection with DOX-prodrug NPs/siPlk1 and DOX-prodrug NPs/nonsense siRNA, Plk1 protein expression levels were detected by western blot analysis. DOX-prodrug NPs/siPlk1

significantly enhanced silencing of Plk1 protein expression compared with DOX-prodrug NPs/nonsense siRNA (Fig. 4C and D). The free siPlk1 and DOX-prodrug NPs/nonsense siRNA did not downregulate Plk1 protein expression in HeLa cells. These findings indicated that DOX-prodrug NPs could be exploited as an efficient delivery system for therapeutic siRNAs.

Inhibition of cancer cell proliferation *in vitro*

As in the previous design, the DOX-prodrug NPs could deliver siRNAs. siPlk1 could inhibit cell proliferation through inducing cell apoptosis. From the above RT-PCR and western blot analysis, DOX-prodrug NPs/siPlk1 had the most efficient siPlk1 silencing ability. In follow-up experiments, the cooperation effects were assessed *in vitro* by IC₅₀. An MTT assay was employed to assess the antitumor activities of these NPs *in vitro*. The MTT data showed that DOX-prodrug NPs/siPlk1 could obviously inhibit the proliferation of the HeLa cells, as shown by a 4-fold decrease in IC₅₀ values from the nonsense siRNA control (IC₅₀ = 1.31 μ M) to the combination siPlk1 with DOX-prodrug NPs treatment (IC₅₀ = 0.32 μ M) at 48 h (Fig. 5). Taken together, these data indicated that the DOX-prodrug NPs/siPlk1 exerted the stronger combined inhibiting effect on HeLa cell growth.

Tumor growth suppression *in vivo*

To examine the combined effects of DOX and siPlk1, tumor growth inhibitory effects were studied *in vivo*. To inhibit the tumor growth by DOX-prodrug NPs/siPlk1, DOX-prodrug NPs/siPlk1 should efficiently target the tumor sites. Firstly, we used DOX-prodrug NPs to bind Cy5-siRNA, which is used as an *in vivo* tracer in living organisms. PBS (pH 7.4, 200 μ L), free Cy5-siRNA (at siRNA dose of 1 mg kg⁻¹) and DOX-prodrug NPs/Cy5-siRNA (at siRNA dose of 1 mg kg⁻¹) were injected *via* tail vein in HeLa-bearing BALB/c nude mice. The organs of the mice were collected and observed by an *in vivo* imaging system. As shown in Fig. 6A, the Cy5-siRNA fluorescence was detected at the tumor site in mice injected with DOX-prodrug NPs/Cy5-siRNA, demonstrating the accumulation of DOX-prodrug NPs/Cy5-siRNA in tumor tissues by the enhanced permeability and retention (EPR) effect. Moreover, a strong signal for both DOX-prodrug NPs/Cy5-siRNA and free Cy5-siRNA was observed in the kidney, reflecting renal clearance of NPs and free siRNA. This result coincided with the previous report that free siRNA molecules in the bloodstream are subjected to rapid clearance from the blood through liver accumulation and renal filtration.⁴⁸ A high intensity of DOX-prodrug NPs/Cy5-siRNA in the liver was observed, indicating that intravenously injected particles were scavenged and cleared from circulation by the reticuloendothelial system.⁴⁹ The tumor distribution of DOX-prodrug NPs/Cy5-siRNA was confirmed by frozen tumor tissue sections observed by CLSM. As shown in Fig. 6B, Cy5-siRNA fluorescence (green) and DOX fluorescence (red) were observed in tumor tissue. Taken together, these data indicated that DOX-prodrug NPs could simultaneously deliver into the tumor site by the EPR effect.

Due to DOX self-fluorescence, DOX-prodrug NPs, DOX-prodrug NPs/siPlk1 and free DOX were used to evaluate the tissue distribution. The tissue distribution of DOX-prodrug NPs/siPlk1, DOX-prodrug NPs and free DOX were examined 12 h after the intravenous injection in ICR mice. The organs of the mice were collected and observed by an *in vivo* imaging system. A strong signal for both DOX-prodrug NPs/siPlk1 and DOX-prodrug NPs was observed in the kidney, reflecting renal clearance of NPs. A higher intensity of DOX-prodrug NPs in the liver was observed than DOX-prodrug NPs/siPlk1, indicating that the liver readily captured DOX-prodrug NPs that had separated from DOX during blood circulation (Fig. 7A and B). The plasma DOX concentration was measured as a function of time post-injection. To measure the retention time of DOX in blood *via* NP delivery, free DOX, DOX-prodrug NPs and DOX-prodrug NPs/siPlk1 were injected into mice at the same concentration of 4.1 mg kg⁻¹ DOX. A one- and non-compartmental pharmacokinetic model was used to fit the plasma concentration–time profiles (Fig. 7C). The DOX-prodrug NPs/siPlk1 delivery extended the plasma half-time of DOX to \approx 12.5 h as compared with free DOX (\approx 0.18 h). The DOX-prodrug NP delivery extended the plasma half-time of DOX to \approx 8.2 h. The tumor distribution of DOX-prodrug NPs/siPlk1, DOX-prodrug NPs and free DOX were observed 12 h after the intravenous injection in HeLa-bearing BALB/c nude mice. As shown in Fig. 7D, the DOX fluorescence was detected at the tumor in mice injected with DOX-prodrug NPs/siPlk1, DOX-prodrug NPs and the free DOX. However, the strongest DOX fluorescence was observed in the tumor that had been injected with DOX-prodrug NPs/siPlk1, indicating that there was more accumulation of DOX than DOX-prodrug NPs and free DOX in the tumor tissues. Taken together, these findings demonstrate that DOX-prodrug NPs/siPlk1 were able to successfully deliver DOX and siPlk1 to target tumors *in vivo* and could extensively prolong the retention time of DOX in blood. It is speculated that the negative surface charge of DOX-prodrug NPs/siPlk1 prevented nonspecific protein adsorption and aggregation of DOX-prodrug NPs/siPlk1 *in vivo*; thus, more DOX-prodrug NPs/siPlk1 accumulated in the tumor through the EPR effect, and the DOX-prodrug NPs/siPlk1 had a long retention time of DOX. Long-circulating DOX-prodrug NPs/siPlk1 provided more chances for siPlk1-PCNPs/DOX accumulation in the tumor sites.

Next, we examined the antitumor growth effect of DOX-prodrug NPs/siPlk1 after accumulation in the tumor site. Free siPlk1, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 were intravenously injected at days 0, 2, 4 and 6. As shown in Fig. 8A, complete tumor regression was observed in the DOX-prodrug NPs/siPlk1 group, which inhibited the average tumor volume by 5.1-fold compared to the PBS control. Partial tumor regression was seen in free DOX and DOX-prodrug NPs/nonsense siRNA group, which suppressed the tumor volume by 1.6-fold and 2.2-fold, respectively, compared with the PBS control. Moreover, compared with free DOX, DOX-prodrug NPs/nonsense siRNA had high antitumor activity, due to DOX-prodrug NPs/nonsense siRNA delivering more DOX to the tumor than free DOX, which was confirmed

by distribution of DOX fluorescence in tumor tissue (Fig. 7D). From this result, we found that siPlk1 enhanced DOX anti-tumor therapy through silencing of Plk1 gene expression. The tumor continued to grow, and no obvious inhibition of tumor growth was observed in HeLa-bearing mice injected with free siPlk1. Free siRNA molecules were subjected to rapid clearance from the blood through liver accumulation and renal filtration.⁴⁸ Therefore, free siPlk1 had no obvious decrease in the tumor volume. As shown in Fig. 8B, no obvious body weight loss was observed for the mice treated with DOX-prodrug NPs/siPlk1 or DOX-prodrug NPs/nonsense siRNA. A slight body weight loss was observed in the mice group treated with free DOX. Taken together, these data indicate the reduced toxic side effects of the DOX-prodrug NPs. To demonstrate that inhibited tumor growth by DOX-prodrug NPs/siPlk1 was related to Plk1 downregulation in tumor cells, the tumors were excised 24 h after the last injection. Plk1 mRNA expression was analyzed by RT-PCR. Xenografts from mice treated by DOX-prodrug NPs/siPlk1 containing siPlk1 reduced Plk1 mRNA levels ($\approx 67.4\%$ of the PBS control). Based on the RT-PCR data (Fig. 8C), HeLa-bearing mice injected with free siPlk1 and DOX-prodrug NPs/nonsense siRNA did not downregulate the Plk1 mRNA level. Tumor Plk1 protein expression was analyzed by western blot analyses. Western blot analyses of Plk1 protein levels in tumor tissues (Fig. 8D) revealed a significant reduction in Plk1 protein levels when the mice were treated with DOX-prodrug NPs/siPlk1. In contrast, there was no decrease in Plk1 protein levels after treatments with free siPlk1 and DOX-prodrug NPs/nonsense siRNA compared to the treatment with PBS.

DOX and siPlk1 were proven to inhibit the growth of cancer by inducing apoptosis in tumors. To examine whether cell apoptosis had induced the decrease of the tumor volume, the tumor tissues were collected for TUNEL analyses 24 h after the last injection. The combined therapy significantly increased TUNEL-positive tumor cells, compared with free siPlk1 and DOX-prodrug NPs/nonsense siRNA treatment (Fig. 8E). Notably, DOX-prodrug NPs/siPlk1 injection achieved the highest cell apoptosis and remarkably decreased the percentage of proliferating tumor cells in the studied tumor tissue section (Fig. 8E(e)). These data indicated that DOX-prodrug NPs/siPlk1 showed an enhanced efficiency for tumor treatments by inducing apoptosis and prohibiting the proliferation of tumor cells.

DOX is a highly effective and widely used chemotherapeutic drug to cure various types of cancer; however, its effectiveness is limited by its cardiac toxicity. In order to further evaluate the cardiotoxicity of DOX and DOX-prodrug NPs treatment, the histological analysis of the cardiac tissues were tested. As shown in Fig. 9, histological examinations did not show any myocardial lesions in the group of mice treated with free DOX, DOX-prodrug NPs, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1, suggesting that the treatment with free DOX, DOX-prodrug NPs, DOX-prodrug NPs/nonsense siRNA and DOX-prodrug NPs/siPlk1 at the experimental dosage did not damage the heart of the mice during the experimental period.

Conclusions

In summary, we developed DOX-prodrug NPs for siRNA delivery. The conjugated DOX and pH-responsive tertiary amine with DOX-prodrug NPs could successfully enhance the DOX loading efficiency, decrease the inert materials necessary to embed DOX and reduce the cationic charge densities on the surface of the NPs. We have demonstrated that the DOX-prodrug NPs significantly downregulated the expression levels of target genes (siPlk1) *in vitro* and *in vivo*. In animal experiments, the DOX-prodrug NPs/siPlk1 significantly inhibited tumor growth in a combined manner. This finding suggested that the DOX-prodrug NPs had the potential for delivery of siRNA and provides a promising nanomedicinal approach for cancer treatment.

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