Substrate steered crystallization of naphthyl end-capped oligothiophenes into nanofibers: the influence of methoxy-functionalization†

Frank Balzer,†a Manuela Schiek,‡b Andreas Osadnik,b Ivonne Wallmann,b Jürgen Parisi,c Horst-Günter Rubahnb and Arne Lützenb

Naphthyl end-capped oligothiophenes are a class of materials well suited for high-performance organics based devices. The formation of nanofibers on muscovite mica from 2,5-bis(naphth-2-yl)thiophene (NaT), 5,5’-bis(naphth-2-yl)-2,2’-bithiophene (NaT2), and 5,5’-bis(naphth-2-yl)-2,2’:5’,2’’-terthiophene (NaT3) as well as of the methoxy-functionalized variants MONaT, MONaT2, and MONaT3 is investigated via atomic force microscopy, X-ray diffraction, polarized fluorescence microscopy, and fluorescence spectroscopy. From polarized fluorescence microscopy spatially resolved molecular orientations are deduced revealing a profound anisotropy. Fibers from lying molecules grow along distinct substrate directions. Methoxy-functionalization substantially increases the crystallization into aligned fibers. In air Ostwald ripening is observed. The morphological variations of the aggregates result in specific optical signatures, disclosed by temperature dependent and spatially resolved fluorescence spectra.

1 Introduction

Small molecule organic semiconductors have vast application potential as the active ingredients in (opto-) electronic devices.1–7 Their self-organization into nanofibers adds a peculiar attribute, making nanoscopic waveguides and lasers straightforward to achieve.8–12 Extending the π-electron system by increasing the molecules’ length together with functionalization is used to tune their properties13 leading to, e.g., effective frequency-doubling nanooaggregates.14,15 Since device performance critically depends on morphology and on optical and electronic properties of the constituents,16–21 the occurrence of different phases together with environmental stability is of major interest for any application.22

Naphthyl end-capped oligothiophenes are well suited for high-performance devices.23–25 End-capping has the potential to hinder polymerization, thus eventually improving charge-carrier transport and device stability.26,27 Substitution of the molecular terminals with electron donating or withdrawing groups allows formation of p- and n-type semiconductors.2 Functionalization might also improve nanofiber formation as demonstrated for para-quaterphenylene.28 In the past muscovite mica has often been chosen as the substrate since the basal (001) surface is anisotropic and charged.29,30 Here the vacuum growth of six such molecules on muscovite is investigated to test the hypothesis that methoxy functionalization improves fiber growth: 2,5-bis(naphth-2-yl)thiophene (NaT), 5,5’-bis(naphth-2-yl)-2,2’-bithiophene (NaT2), and 5,5’-bis(naphth-2-yl)-2,2’:5’,2’’-terthiophene (NaT3), and the methoxy-functionalized variants 2,5-bis(6-methoxy-naphth-2-yl)thiophene (MONaT), 5,5’-bis(6-methoxy-naphth-2-yl)-2,2’-bithiophene (MONaT2), and 5,5’-bis(6-methoxy-naphth-2-yl)-2,2’:5’,2’’-terthiophene (MONaT3). The molecules are sketched in Fig. 1.

2 Experimental

2.1 Synthesis

Tian et al. reported the synthesis of NaT2 and NaT3 by a Stille cross-coupling approach.23 Since we recently were able to employ Suzuki cross-coupling protocols for NaT, MONaT, NaT2 and MONaT2 thereby avoiding toxic organotin compounds,31,32 we used this strategy for NaT3 and MONaT3, too; Scheme S3 (ESI†) depicts the synthesis. Solvents were dried, distilled, and stored under argon according to standard procedures. 2-Naphthylboronic acid and...
5,5”-dibromo-2,2’:5’,2’”-terthiophene were purchased from commercial sources and used as received. Reactions were performed under an argon atmosphere in oven-dried glassware using standard Schlenk techniques. Products were purified by sublimation under high vacuum. Mass spectra were recorded on a Finnigan MAT-95XL. UV/Vis spectra were measured on a Jena Analytic Specord 200 spectrometer in a 1 cm quartz cuvette. Elemental analyses were carried out using a Heraeus Vario EL.

For the synthesis of NaT3/MONaT3 a two-neck flask equipped with a condenser was charged with 5,5”-dibromo-2,2’:5’,2’”-terthiophene (200 mg, 0.49 mmol), 2-naphthylboronic acid (178 mg, 1.03 mmol) and [Pd(PPh3)4] (29 mg, 0.025 mmol). THF (50 mL) was added and the reaction mixture was refluxed for 50 h. After allowing to cool to room temperature, the precipitate was collected and washed with CH2Cl2 and H2O (2 × 50 mL each). The crude product was sublimed in high-vacuum to give the desired compound.

Treatment of the terthiophene with 2-naphthylboronic acid (178 mg, 0.13 mmol) gave NaT3 after sublimation in high-vacuum (1.7 × 10⁻⁶ mbar, sand bath temperature 290–313 °C). Yield: 210 mg (86%). UV/Vis (CH2Cl2): λmax = 415 nm, fluorescence (CH2Cl2): λmax = 475 nm; EI-MS (m/z (%)): 500.1 (100) [M]+, 250.1 (20), [M]+; HRMS (Ei, m/z): [M]+ calc. for C32H20S3: 574.0727; found: 574.0729; anal. calc. for C32H20S3: C: 76.76; H: 4.03; S: 19.21; found: C: 76.72; H: 4.10; S: 18.99.

Treatment of the terthiophene with 6-methoxynaphthylboronic acid (210 mg, 0.13 mmol) gave MONaT3 after sublimation in high-vacuum (4.2 × 10⁻⁷ mbar, sand bath temperature 282–350 °C). Yield: 264 mg (96%). UV/Vis (CH2Cl2): λmax = 391 nm, fluorescence (CH2Cl2): λmax = 488 nm; EI-MS (m/z (%)): 560.1 (100) [M]+, [M]+; HRMS (Ei, m/z): [M]+ calc. for C34H24O2S3: 560.0936; found: 560.0938; anal. calc. for C34H24O2S3: C: 76.84; H: 4.32; O: 5.77; S: 13.09.

2.2 Sample preparation

Muscovite mica sheets (grade V-4, Structure Probe, Inc.) are cleaved in air, mounted onto a sample holder, and transferred into a vacuum chamber (base pressure 1 × 10⁻⁸ mbar) within a few seconds. After heating (sample holder temperature Tsub = 435 K) to desorb contaminants a LEED pattern is observed, distorted by the surface electric fields. The molecules are deposited by thermal sublimation from a Knudsen cell. During deposition the pressure rises to approximately 3 × 10⁻⁷ mbar. Both the nominal film thickness d as well as the deposition rate (0.2–0.4 Å s⁻¹) are monitored using a quartz microbalance (Inficon XTC/2). The substrate can be heated by a filament placed underneath. Note that all temperatures stated are sample holder temperatures.

2.3 Sample characterization

All characterization is performed under ambient conditions, except the low temperature fluorescence spectroscopy, which is done in high vacuum. After deposition the samples are investigated by atomic force microscopy (AFM, JPK Nanowizard) in intermittent contact mode. To determine contact planes X-ray diffraction is performed using a PANalytical X’PertPro MPD diffractometer operating in Bragg–Brentano geometry and using Cu-Kα radiation (λ = 1.542 Å). The nanofibers are transferred to a cover glass slip to suppress distracting mica diffraction peaks, which is then mounted on a sample spinner to eliminate preferred orientation effects. Fluorescence microscope images are obtained using a Nikon Eclipse ME-600 epifluorescence microscope equipped with a high-pressure Hg-lamp (excitation wavelength λexc ≈ 365 nm). For the analysis of the polarization of the emitted fluorescence the samples are mounted on a computer controlled rotational stage (Thorlabs PRM128) attached to the microscope table, and the fluorescence is observed using a camera (PixelLINK PL-B873-CU) through a sheet polarizer with its transmission axis along the east-west direction to minimize depolarization artifacts from the setup. The sample is rotated in steps of Δφ = 5° over 360° and images are taken. By image processing the rotation of the sample is revoked and image drifts are corrected. From the intensity variation of each pixel at position (x, y), i.e. from I(n) with n = 0, 1, . . . , (N = 73) − 1 the second discrete Fourier coefficient I(2)(x, y) is calculated via

$$I(n) = \frac{1}{N} \sum_{m=0}^{N-1} I(n) e^{-i2\pi mn/N}.$$ 

The polarization angle

$$\phi_{pol}(x, y) = \arg(I(2)(x, y))$$

provides the mean molecular orientation within that pixel.

Fluorescence spectra are taken after excitation either with a cw HeCd laser (Kimmon Koha Co., excitation wavelength 325 nm, spot size 1.5 mm, irradiance 30 mW cm⁻²) by an Acton Research SpectraPro-150 Spectrograph and a cooled CCD camera (Pixis 100, Princeton Instruments), or after excitation with a high-pressure Hg-lamp by a CCD spectrometer (Ocean Optics Maja) coupled via an optical fiber (core diameter 200 μm) to the camera port of an inverted microscope (Nikon Eclipse TE-300). For the laser based measurements samples are cooled in a He cryostat (Sumitomo SHI-4) from room temperature down to 8 K.
3 Results and discussion

3.1 Morphology and growth

Atomic force microscope (AFM) images together with fluorescence microscope images of the molecules deposited on muscovite are shown in Fig. 2 and 3. Deposition temperatures have been chosen so that the formation of nanofibers (heights of several ten to a few hundred nm) is observed. For the case of NaT2 and for all methoxy-functionalized variants well-defined growth directions are found. To various extents nanoclusters (heights of several ten nm, diameters below 500 nm) and flat islands (height several nm, diameter up to several ten µm and monomolecular step heights) are also formed; they are denoted in Fig. 5. Both, fibers and clusters fluoresce strongly after normal incidence UV excitation, while the flat islands appear at least an order of magnitude darker, see the inset in Fig. S1(b) (ESI†). From this it is concluded that the fibers and clusters are composed of “lying” molecules with their long axis including a small angle with the surface, whereas the flat islands are made from upright standing molecules.

To quantify this, X-ray diffraction (XRD) measurements have been performed exemplarily for a 150 nm thick NaT2 film. In Fig. 4 the pattern is indexed according to the bulk crystal structure.23 The (k00) peaks stem from upright molecules in the flat islands, the peak at 2θ = 19.3° stems from lying molecules with their (111) plane parallel to the substrate. No obvious substrate induced phases are observed as, e.g., for p-6P on SiO2.39

The obtained morphology sensitively depends on the nominal film thickness d and on sample temperature Tsub during deposition. The thickness dependence for NaT3 is shown in Fig. S1 in the ESI.12 First only clusters are observed, whereas for values of d ≳ 1 nm fibers and flat islands are found. The flat islands increasingly fill out the areas in between the fibers and consume the clusters. Fibers get longer and more isolated with increasing Tsub up to a certain maximal temperature, where no adsorption is observed anymore. For lower Tsub flat islands together with a dense fiber network are observed. Such a behavior has been observed numerous times12,40,41 and seems to be generic for fiber growth on muscovite. As a general trend for the non-methoxy-functionalized molecules it is found that the longer the molecule, the more fibers grow, but the less flat islands form. This has also been observed for other rod-like molecules before.42 It is attributed to the increasing interaction of the molecular backbone with the substrate with increasing molecule length. This forces the molecules to lie down on the substrate.16 The extent of condensation into flat islands is reduced with increasing Tsub. This dependence decreases even more for the methoxy-functionalized ones.
3.2 Aging

It is crucial that all morphological characterization is done immediately after transfer to ambient conditions since some of the morphological features become unstable: the samples undergo aging. Flat islands and fibers are rather static, but clusters tend to vanish as shown in Fig. 5: a sample of NaT3 is prepared in vacuum, and imaged under ambient conditions minutes after venting. Clusters, fibers, and flat islands are observed. After 110 hours, the same area is imaged again. Many of the small clusters have vanished, especially those situated close to fibers, resulting in denuded zones. The size of these zones is increasing with time. Some remaining clusters are getting taller and narrow fibers start vanishing. This is Ostwald ripening, i.e. the growth of larger entities at the expense of smaller ones with the same constitution. Here the small clusters and the fibers are both composed of lying molecules with the same crystalline phase. There is no evidence that flat islands rearrange to be absorbed by the fibers or vice versa, but clusters loose material to flat islands, too, i.e. shrink via quasi-Ostwald ripening.

3.3 Polarization analysis

The emitted fluorescence from the fibers is highly anisotropic, and the fibers are birefringent and bireflectant. For single fibers the fluorescence intensity observed through a linear polarizer follows a cos^2 dependence, \( \phi \) being the polarizer angle. Since the molecules are rod-like and since they crystallize in a herringbone manner, the polarizer angle \( \phi_{pol} \) for which the maximum fluorescence intensity is observed matches the long molecular axis of NaT, MONaT, NaT3, and MONaT3 as long as no depolarization takes place. For NaT2 and MONaT2 the match is only approximate since one cleavage plane to the next. For unidirectionally growing islands, the four fiber directions clearly define the mirror symmetry of the substrate. They change with time. Some remaining clusters are getting taller and narrow fibers start vanishing. This is Ostwald ripening, i.e. the growth of larger entities at the expense of smaller ones with the same constitution. Here the small clusters and the fibers are both composed of lying molecules with the same crystalline phase. There is no evidence that flat islands rearrange to be absorbed by the fibers or vice versa, but clusters loose material to flat islands, too, i.e. shrink via quasi-Ostwald ripening. For NaT the molecules are preferentially aligned along \([100]\) and \([\langle 110 \rangle] \). The hs-directions are determined by a perpendicular axis to the two crossed polarizers and are depicted by solid white lines in Fig. 6 and 7. Along one of the \([\langle 110 \rangle] \) directions, \([\langle 110 \rangle] \) tilted \( SiO_4 \) tetrahedra form grooves and define the mirror symmetry of the substrate. They change direction from \([110]\) to \([1\overline{1}0]\) and back as one advances from one cleavage plane to the next. For unidirectionally growing fibers such as the ones from \( p-6P \) this results in two types of domains of mutually parallel fiber orientations.

For NaT the molecules are preferentially aligned along \([100]\) and—deduced from the mirror symmetry—along the non-grooved \([110]\) direction. They are perpendicular to the local long fiber axis, i.e. \( \beta_{mol} = 90^\circ \). For NaT2 and NaT3, Fig. 6(b, c, e and f), the fibers are not exactly aligned along the hs-directions, but the four fiber directions clearly correspond to four different polarization directions, resulting in two fiber types. The orientational angle \( \beta_{mol} \) takes on different values: for fibers along \([\langle 110 \rangle] \) \( \beta_{mol} = 66^\circ \pm 4^\circ \) (NaT2) and \( 74^\circ \pm 5^\circ \) (NaT3), compared to \( 59^\circ \pm 5^\circ \) (NaT2) and \( 66^\circ \pm 5^\circ \) (NaT3) for the non-grooved directions. These different fiber types might be related either to different polymorphs, or to different contact

![Fig. 5](image-url) AFM images of an aging NaT3 sample.

![Fig. 6](image-url) In the upper panel the correlation between the polarization angle \( \phi_{pol} \) and the local fiber orientation \( \theta_{orient} \) is shown. Lines denote substrate hs-directions. The lower panel demonstrates the correlation between the orientational angle \( \beta_{mol} \) and \( \theta_{orient} \).
faces of the fibers with the bulk crystal structure. Several low-
index faces are possible which result in values for $\beta_{\text{mol}}$ between 60° and 75°. For NaT2 XRD has shown that molecules with their (111) face parallel to the substrate exist. Such nanofibers would have $\beta_{\text{mol}} \approx 70°$, well within the error bar for one of the fiber types determined optically. Good candidates for the other type are (010) ($\beta_{\text{mol}} \approx 66°$), (110) ($\beta_{\text{mol}} \approx 65°$), and (210) ($\beta_{\text{mol}} \approx 61°$). In a XRD powder pattern all these lead to peaks about two orders of magnitude weaker than the (111) peak, making them here undetectable for XRD. The occurrence of different values of $\beta_{\text{mol}}$ and of different contact faces is not new. Similar observations have been made for, e.g., p-6P, $\alpha$-quaterthiophene, and $\alpha$-sexithiophene on various substrates, thus limiting their applicability for devices based on ensembles of fibers.

In contrast, for the methoxy-functionalized variants only two fiber orientations are detected. Molecule orientations within the fibers are $\beta_{\text{mol}} \approx 90°$, in agreement with previous observations that methoxy functionalization favours crystallization into an orthorhombic structure. Molecules orient close to [100] and (110)$_{\text{ng}}$. For MONaT2 a small amount of four additional needle orientations is observed, which corresponds to the winglets forming at the end of the main fibers. Their polarisation properties are the same as for the associated main fiber, but since their growth direction is rotated by 45° the value of $\beta_{\text{mol}}$ is also 45°. For MONaT3 for lower $T_{\text{sub}}$ only a single fiber orientation is realized, growing exactly along (110)$_{\text{g}}$.

In all cases (except for MONaT3 at low temperatures) the transition dipoles are oriented almost along the two non-grooved hs-directions, Fig. 8. For $\beta_{\text{mol}} \approx 90°$ this results in two fiber orientations, for $\beta_{\text{mol}} \neq 90°$ in four fiber orientations. In the past this has been explained by either electric dipole fields on the substrate surface or by the surface corrugation. Both can be avoided by choosing phlogopite mica instead of muscovite as a growth substrate. Then all three molecular orientations together with six fiber orientations are realized, as shown for NaT3 in Fig. S2 (ESI†). For a detailed analysis and comparison either the lattice mismatch or adsorption energies have to be calculated, both requiring the so far unknown molecular crystal structures.

3.4 Fluorescence spectroscopy

The observed polarized fluorescence of the films after UV excitation makes them suitable for polarized light emitting devices. The longer the molecule and thus the larger the conjugation length, the more red-shifted the fluorescence becomes; the color changes from bluegreen (NaT and MONaT) to yellowgreen (NaT3 and MONaT3). Often the optical properties of thin films from small organic molecules strongly depend on morphology and defects, which in turn affects the performances of optoelectronic devices. Therefore temperature dependent and spatially resolved fluorescence spectra have been collected.
In Fig. 9 temperature dependent spectra are presented. The sample polar angle is adjusted so that the highest amount of fluorescence is detected. At room temperature for all molecules spectra with vibronic progressions are found ("component I"). Methoxy functionalization leads for NaT/MONaT and NaT2/MONaT2 to a red-shift of 0.33 eV and 0.13 eV, respectively. For NaT3/MONaT3 the peak positions are basically unchanged. The total fluorescence intensities increase with decreasing temperature by factors of 2 to 5, hinting at a thermally activated process with two competitive decay channels.58,61 Relative peak intensities between samples can vary by up to 50%, possibly related to disorder.62 An overview of the band positions measured at room temperature is given in Tables S2 and S3 in the ESI† ("component I"). The highest energy peaks, especially for NaT2, are distorted, probably due to reabsorption of the emitted fluorescence; the known bandgaps are 2.5 eV and 2.4 eV for NaT2 and NaT3, respectively.23 The energetic differences of the observed peaks of 0.18/C6/0.015 eV correspond to the C=Q/C stretch vibration at 0.19 eV and to the breathing mode of the thiophene rings at 0.18 eV,63,64 except for the distorted NaT peak.65 These peaks do not shift with decreasing temperature; apparent peak shifts are induced by new peaks evolving ("components II + III"), increasing in intensity with respect to component I with decreasing temperature. The energetic differences between the peaks of a single component are again 0.18 ± 0.015 eV, Tables S2 and S3 (ESI†).

Spatially resolved fluorescence spectra for the three unfunctionalized molecules (λexc = 365 nm) are shown in Fig. 10. For the fluorescence from fibers (red solid lines) a vibronic progression with peaks at the exact positions as for the temperature dependent spectra are found. The areas from which the spectra are taken are shown as red squares on the right hand side. Flat islands or areas with many small clusters show much less detailed spectra (green dashed lines). Peak positions are redshifted compared

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**Fig. 9** Temperature dependent fluorescence spectra on muscovite. Positions of different peak families (‘I’, ‘II’, and ‘III’) are marked.

**Fig. 10** Left: spatially resolved fluorescence spectra. Right: corresponding fluorescence microscope images (together with an AFM image for NaT). Due to the large number of fibers that grow for NaT3 only a spectrum for fibers is shown. The peak positions of component I from Fig. 9 are marked for all spectra, and for NaT and NaT2 the positions of component II are also marked.
to the fiber spectra. The reason for this might lie in fluorescence from different crystal faces,66 structural defects,67 a decrease of order, or a different type of aggregates (J-vs. H-aggregates).68 For NaT2 the positions of these peaks agree within 0.050 eV with the additional peaks observed during cooling of the samples in Fig. 9; for comparison the peak positions of component II are marked. Therefore we conclude that the additional families of fluorescence peaks observed in the temperature dependent spectra have their origin in different types of aggregates. Such additional peaks are not uncommon and were related to trap states at the interface or morphological defects.66,67,68,69 or to minor amounts of contamination from the synthesis of the molecules.69 Other possible causes such as different crystal structures induced by reversible structural phase transitions during cooling are ruled out due to the appearance even at room temperature.

4 Conclusions

A profound understanding of the structure of nanofibers, of their aging, and of their optical properties is an important prerequisite for the future design of reliable organic nanofiber based devices. Based on the occurrence of linearly aligned clusters and of denuded zones around embryonic fibers it has been proposed in the past that linear defects in the wetting layer serve as nucleation sites,70 and that these clusters move and agglomerate into nanofibers as soon as a critical coverage or a critical cluster size is reached.40,71 Since at room temperature the clusters are not mobile at all but loose molecules via Ostwald ripening and since the size of the denuded zones increases with storage time in air it is tempting to conclude in retrospect that also during initial fiber growth the clusters are not moving, but that fiber growth takes place by incorporating existing clusters as well as by transport of single molecules over the surface. This supports recent complementary experiments for para-hexaphenylene on mica22 and for rubicene on silicon dioxide23 where a dewetting process4 after the contact with water vapor during venting is made responsible for the formation of similar clusters.

A polarization analysis of the emitted fluorescence is an excellent tool to characterize and to differentiate between dissimilar fibers; this is not necessarily possible or feasible with XRD. That way it has been shown that the alignment of the molecules and their crystallization with different contact faces is steered by the growth direction and thus by the muscovite surface anisotropy. Methoxy functionalization in all cases leads to fibers with molecules oriented perpendicular to the long fiber axis. The formation of well-defined fibers is vastly improved, but the number of realized fiber orientations is reduced, which finally results in a more regularly decorated substrate. Such a strategy to improve fiber growth should also be suitable for other thiophenes and phenylenes.

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