

# Immobilisation and application of lipases in organic media

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Different methods of preparing lipases for use in organic media are critically reviewed. Solid lipase preparations can be made by typical immobilisation methods such as adsorption, entrapment, covalent coupling or cross-linking. Immobilisation is especially attractive for lipases because, in addition to the normal benefits of enzyme immobilisation, it can also lead to a considerable increase in catalytic activity, probably caused by conformational changes in the lipase molecules. Activation can be achieved, for example, using hydrophobic support materials or surfactants during the immobilisation procedure. Surfactants can also be used to solubilise lipases in organic media via the formation of hydrophobic ion pairs, surfactant-coated lipase or reversed micelles. Lipase preparation methods are discussed with regard to potential lipase inactivation and activation effects, mass transfer limitations, lipase stability and other features important for applications. The practical applications of lipases in organic media reviewed include ester synthesis, modification of triacylglycerols and phospholipids, fatty acid enrichment, enantiomer resolution, biodiesel production and acylation of carbohydrates and bioactive compounds.

## 1. Introduction

The natural function of lipases is to hydrolyse the ester bonds of triacylglycerols. These substrates are poorly soluble in water, and the reaction thus normally takes place at an

organic–aqueous interface at which lipases work very well. In fact, most of them express higher catalytic activity under such conditions than in aqueous solution with the dissolved substrate molecules; a phenomenon called interfacial activation.<sup>1–3</sup> The generally accepted hypothesis for the mechanism of interfacial activation is that the active site of the lipase in aqueous solution is covered by a flexible region of the enzyme molecule, often called the lid. Interaction with a hydrophobic phase can cause opening of the lid to make the active site accessible. This hypothesis is supported by crystal structures of lipases, some of which show a closed form of the lipase,<sup>4,5</sup> while others show an open form (Fig. 1).<sup>6</sup> Since interfacial activation can cause a dramatic increase in catalytic activity, it is of profound importance for all applications of lipases, and should always be considered when developing lipase immobilisation methods. It should be pointed out that there are individual variations among the lipases; there are reports on a lipase having two lids<sup>7</sup> while others lack the typical lid and show no interfacial activation.<sup>8,9</sup>

In general, lipases have very broad substrate specificity and are therefore used in a wide range of applications, both in research laboratories and in industry.<sup>11–13</sup> This review will focus on lipases of microbial origin, since this is the most widely used group for practical applications. While some mammalian lipases need colipases under certain conditions,<sup>11</sup> microbial lipases do not need any coenzymes. The use of lipases in organic media has expanded dramatically since the mid 1980s, allowing the efficient use of lipases in esterification

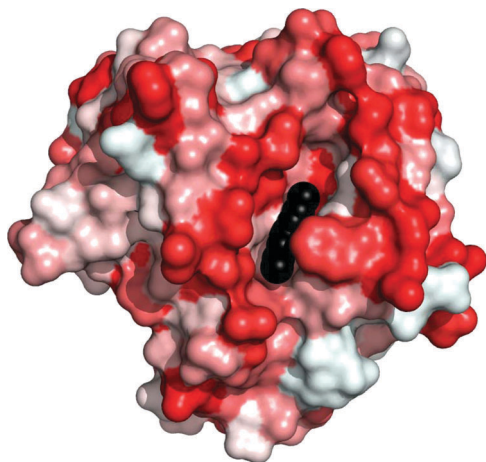
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*fundamentals of biocatalysis in organic media as well as the use of all kinds of biocatalysts in synthesis, especially to produce lipids, carbohydrates, peptides, surfactants and chiral building blocks.*



**Fig. 1** *Rhizomucor miehei* lipase (RML, PDB structure 4TGL). This form of the lipase is “open” and the co-crystallised inhibitor (black) is therefore visible in the active site. The intensity of red colour indicates hydrophobicity according to Eisenberg *et al.*<sup>10</sup> The large hydrophobic area around the active site can interact with a hydrophobic phase, thus stabilising this form of the enzyme. The figure was constructed using PyMOL.

and transesterification reactions, in addition to the traditional hydrolysis reactions. A major reason for this important development is that it is easier to achieve high activity and stability of lipases in organic media than most other enzymes. This review will focus on the use of lipases in organic reaction media, with organic solvents as a typical example. These may be “normal”, non-ionic solvents or ionic liquids. Furthermore, solvent-free mixtures, in which the substrates also act as the solvent, are attractive for many applications. Other reaction media which will not be covered in great detail are supercritical fluids. All these media have the common feature that the water content is low, and similar methodologies can be used to prepare enzymes for use in all of them.

Immobilisation is attractive for all types of enzymes, but for enzymes in organic media, in particular lipases, immobilisation often provides the extra advantage of an increase in catalytic activity compared to the non-immobilised enzyme. The literature on immobilised lipases is extensive and this review will focus on examples of particular interest rather than aiming for completeness.

### 1.1 Commonly used lipases

Although many different lipases have been described, most of the literature on the immobilisation and application of lipases

deals with a rather limited number of enzymes. The most commonly used lipases and the abbreviations used for them in this review are listed in Table 1. It should be noted that some of the lipases originate from microorganisms that have been re-classified and, therefore, they have different names in the literature. Literature reports on CRL should be read with special care since this lipase can contain at least 5 isoenzymes<sup>14</sup> of varying proportions, which are seldom specified in the enzyme preparations described in the literature. These isoenzymes have different substrate specificity and stability.<sup>15</sup> CALB has been used extensively as a catalyst in organic synthesis,<sup>16</sup> while TLL is used in large amounts in detergents and various synthetic applications.<sup>17</sup> RML is used for many applications in the conversion of fats and oils,<sup>18</sup> as well as in chemical processes.<sup>19</sup>

In addition to these commonly used lipases, many others have been described. Those isolated from microorganisms tolerant to organic solvents have the potential to be especially useful for applications in organic media.<sup>20,21</sup>

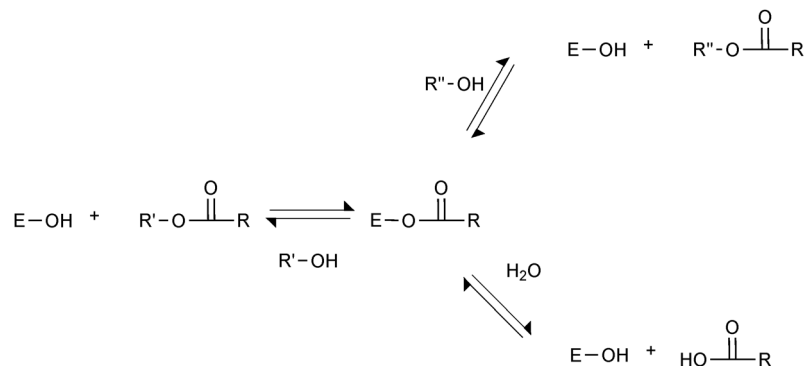
### 1.2 Lipase-catalysed reactions

The natural reaction of lipases is to hydrolyse ester bonds. This reaction involves nucleophilic attack of the active site serine on the carbonyl carbon of the ester bond, forming an acyl-enzyme intermediate, and the first product, which is an alcohol (Scheme 1). The acyl-enzyme intermediate is subsequently hydrolysed by water, forming the second product, which is a carboxylic acid. Because of the broad substrate specificity of lipases, many different compounds can act as acyl donors. Many esters are excellent acyl donors, but free carboxylic acids can also act in this way. Likewise, many nucleophiles, in addition to water, can deacylate acyl-enzyme intermediates; hydroxyl-containing compounds being the most important examples. This leads to esterification and transesterification reactions, which can become dominant in organic media. It is often so that many reactions occur simultaneously. The potential acyl donors present compete for reaction with the native enzyme, and the nucleophiles present compete for deacylation of the acyl-enzyme. In reaction mixtures with substrates containing different carboxylic acids and/or their esters, all of these can normally react to form their respective acyl-enzymes.

In addition to the reactions mentioned above, lipases are able to catalyse several other reactions. Amines are important alternative nucleophiles which cause the formation of amide products. Furthermore, lipases are excellent examples of “promiscuous” enzymes, catalysing reactions quite different from

**Table 1** Commonly used lipases

Abbreviation	Source organism	Comments, including other names
BCL	<i>Burkholderia cepacia</i>	Formerly <i>Pseudomonas cepacia</i> ; Lipase PS
CALB	<i>Candida antarctica</i>	Novozym 435 is a commonly used immobilised form. <i>C. antarctica</i> also contains another lipase (lipase A)
CRL	<i>Candida rugosa</i>	Formerly <i>C. cylindracea</i> . Contains isoenzymes!
RML	<i>Rhizomucor miehei</i>	Formerly <i>Mucor miehei</i> . Lipozyme RM IM is a commonly used immobilised form
ROL	<i>Rhizopus oryzae</i>	Several <i>Rhizopus</i> lipases are almost identical
TLL	<i>Thermomyces lanuginosus</i>	Formerly <i>Humicola lanuginosa</i> . Lipozyme TL IM is a commonly used immobilised form



**Scheme 1** Lipase-catalysed reactions. The lipase with its active site serine hydroxyl group (E–OH) can react with esters or carboxylic acids to form an acyl-enzyme, which in turn can react with various nucleophiles, such as alcohols and water.

the normal ones.<sup>22</sup> Lipase-catalysed aldol addition,<sup>23</sup> racemisation<sup>24</sup> and epoxidation<sup>25</sup> constitute a few interesting examples.

### 1.3 Effects of reaction conditions on lipase-catalysed reactions

The observed catalytic activity of enzymes in organic solvents is sometimes several orders of magnitude lower than in water, making practical applications unattractive. However, the situation can be drastically improved by choosing the appropriate conditions for biocatalysis in organic solvents, with the first step being to determine the cause of the differences in activity. Klibanov and co-workers carefully examined the factors behind the difference in activity in water and organic solvents, and found the following to be of prime importance:<sup>26</sup>

- Method of enzyme preparation (immobilisation, *etc.*)
- The quantity of water
- The kind of solvent
- The pH

Immobilisation and other ways of making enzyme preparations suitable for use in organic solvents, which is the main topic of this review, are discussed in Section 2. The effects of water are very important for lipases and other hydrolytic enzymes, and are discussed in Section 3. The effects of the solvent and pH are outside the scope of this review, but a few references are given below.

The solvent influences the rate of lipase-catalysed reactions in several ways. As in other enzyme-catalysed reactions, solvation of the substrate can have a profound influence on the observed reaction rate. Good solvation of the substrate in the bulk solvent decreases its free energy and, therefore, slows down its conversion.<sup>27</sup> However, even after correction for these effects, considerable differences remain between rates in different solvents.<sup>28,29</sup> These differences may be due to direct interactions between the solvent molecules and enzyme molecules. Molecular dynamics simulations have shown that the solvent has a profound effect on the flexibility of the lipase studied (CALB), which is likely to cause differences in catalytic activity.<sup>30</sup> In addition, molecular modelling studies have shown that opening of the lid can be induced by organic solvents,<sup>7,31,32</sup> and it is possible that the efficiency in the interfacial activation is different in different solvents. Another possible interaction between a lipase and a solvent is that the solvent can act as a

competitive inhibitor in the reaction catalysed. Some ketones have been found to be inhibitors of CALB, while hydrocarbons did not inhibit that enzyme.<sup>33</sup> In addition, solvents can cause complete inactivation of enzyme molecules, either due to solvent molecules dissolved in water or to the presence of an organic–aqueous interface, although the latter mechanism is generally not applicable to lipases, which, instead, often are activated by interfaces.<sup>34,35</sup> Stabilisation of CALB towards hydrophilic organic solvents has been achieved by rational design involving the creation of new internal hydrogen bonds in the lipase.<sup>36</sup>

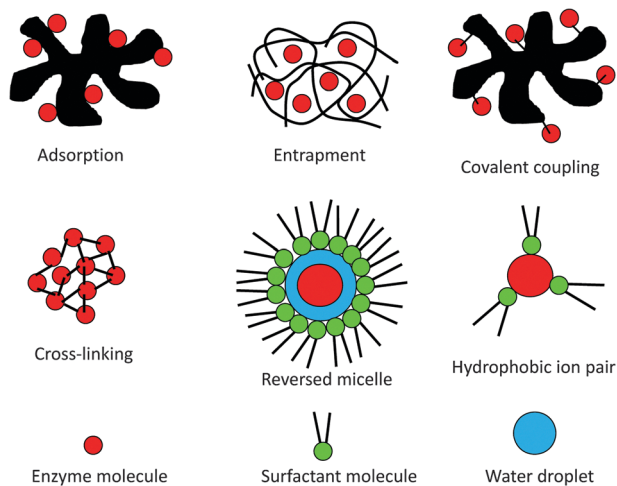
While choosing a solvent for a specified application, many aspects should be considered, including enzyme activity and stability, substrate solubility, equilibrium position, boiling point and toxicity. When all relevant characteristics of a solvent are not known, computational methods can give approximate values, quite useful for preliminary screening of solvents.<sup>37</sup>

The effect of pH on enzymatic reactions in organic solvents is seldom studied in detail. It is known that the protonation state of an enzyme is of vital importance for its catalytic activity in aqueous media and, most probably, also in organic solvents. Most researchers assume that controlling the pH in the aqueous solution used for enzyme preparation (immobilisation, *etc.*) is sufficient to keep it in an active protonation state when added to an organic medium. However, problems are known to occur in reactions involving acidic or basic substrates and products. These problems can sometimes be overcome using buffers adapted for use in organic media, such as organo-soluble buffers or solid-state buffers.<sup>38–40</sup>

## 2. Lipase immobilisation

Lipases can be immobilised using most of the methods developed for enzyme immobilisation in general.<sup>41,42</sup> The major types of immobilisation are illustrated in Fig. 2, and will be discussed below. It should be pointed out that a combination of immobilisation methods can be quite useful. One such example is adsorption followed by cross-linking of the adsorbed lipase to reduce the risk of enzyme leakage from the support.

Immobilisation facilitates enzyme reuse and product purification, thus dramatically improving process economy.



**Fig. 2** Schematic presentation of enzyme immobilisation methods and methods of using surfactants to solubilise enzymes in organic media.

However, immobilisation can also cause inactivation of the enzyme and, as in all cases of heterogeneous catalysis, mass transfer limitations can cause a decrease in the observed specific activity of the enzyme (moles of substrate converted per unit enzyme per unit time). The enzyme molecules in the middle of the immobilised enzyme particles are exposed to lower substrate concentration than in the bulk solution due to substrate concentration gradients, leading to a lower reaction rate. Mass transfer limitations are more severe, the larger the biocatalyst particles and the faster the reaction.<sup>43</sup>

## 2.1 Evaluation of immobilised lipase preparations

A large part of this review will be devoted to the discussion of different types of immobilised lipase preparations. To evaluate their performance critically, the following parameters will be considered.

- **Catalytic activity** – it is usually important to achieve a high specific activity, so that the enzyme is used as efficiently as possible. This parameter is influenced to a large extent by the method of immobilisation and is thus of special importance in this review.
- **Final yield** – this is an important parameter for practical applications. However, it is seldom influenced to any high degree by the immobilisation procedure, and is therefore not so relevant for the evaluation of immobilised preparations in most cases.
- **Operational stability** – operational stability is often of crucial importance, especially for large-scale applications. Good stability is required to achieve high productivity, *i.e.*, the amount of product that can be produced per unit weight of enzyme.

In this review, most attention will be devoted to the catalytic activity of the immobilised lipases, and other results will be mentioned when they are of special interest.

**2.1.1 Lipase assays.** Numerous analytic methods have been used to detect and quantify lipase activity.<sup>44</sup> Because of differences in substrate specificity between individual lipases, comparisons of catalytic activity depend greatly on which substrate is used in the assay and, for specialised applications, it is advisable to use an assay reaction as similar as possible to the intended application. Some of the reactions often used as model reactions in the evaluation of immobilised lipase preparations are listed in Table 2. Hydrolysis reactions are often carried out in aqueous phase. Triacetin and the shortest *p*-nitrophenyl esters have high water solubility, but in other cases substrate emulsions are formed. The hydrolysis of *p*-nitrophenyl esters is normally monitored spectrophotometrically, while triacylglycerol hydrolysis is normally monitored by continuous titration in a “pH stat” set-up. Transesterification with vinyl esters is very attractive because these reactions are virtually irreversible, making it possible to obtain high yields. This reaction produces acetaldehyde as a by-product, which can inactivate enzymes by reaction with their amino groups, but this effect can be reduced by the addition of another protein, such as bovine serum albumin (BSA),<sup>45</sup> or by using a proper immobilisation procedure.<sup>46</sup> Esterification and transesterification reactions are normally carried out in organic media.

**2.1.2 What is a “free enzyme” in an organic medium?.** When evaluating methods of immobilisation for aqueous reaction media, it is common practice to compare the expressed activity of the immobilised preparation to that of the freely dissolved or “free enzyme”. This methodology is often used for applications in organic reaction media, but the situation there is drastically different. Although lipases have hydrophobic areas on their surface, they are normally insoluble in organic solvents. This means that in an organic solvent a non-immobilised (free) lipase is in the solid phase, for example, a powder obtained by freeze-drying. Such powders usually express low catalytic activity and they tend to aggregate, causing unfavourable conditions for mass transfer. It is thus not difficult to develop an immobilisation method that provides a lipase preparation with higher specific activity than the free enzyme, and indeed there are numerous reports in the literature on the “activation” of lipases. The activity of free enzymes in organic media is generally low, and it depends greatly on how it was prepared, which is not always well described. Data on lipase activation should thus be used with caution. It should be pointed out that despite these difficulties, there are excellent

**Table 2** Common lipase assays

Reaction	Most common detection method	Medium
Triacylglycerol hydrolysis	Titration, pH stat	Aqueous or emulsion
<i>p</i> -Nitrophenyl ester hydrolysis	Spectrophotometric	Aqueous, emulsion or organic
Esterification	Chromatographic (GC/HPLC)	Organic
Transesterification	Chromatographic (GC/HPLC)	Organic



lipase immobilisation methods that provide highly active catalysts, which are extremely useful tools in synthetic chemistry.

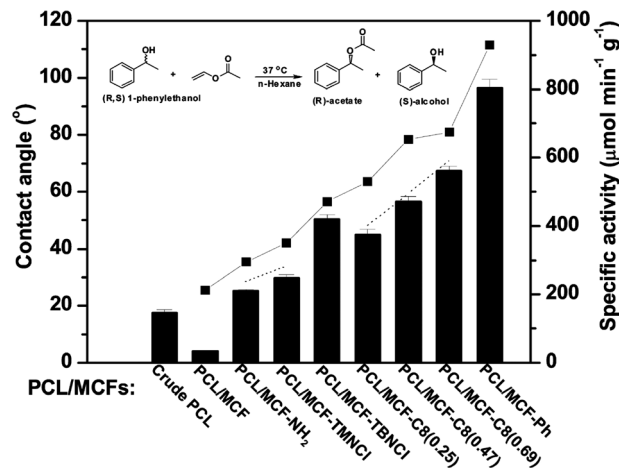
## 2.2 Adsorption

Physical adsorption is perhaps the simplest method of enzyme immobilisation. An enzyme solution, usually aqueous, is simply brought into contact with a supporting material and, provided the enzyme-support interactions are strong enough, adsorption will occur. In the case of lipases, hydrophobic interaction is most common, but ionic interactions with ion-exchange materials, *etc.*, can also be useful.<sup>47</sup> Because lipases adsorb spontaneously from aqueous solutions onto hydrophobic surfaces to a higher degree than most other proteins, this method can be used for simultaneous purification and immobilisation of lipases.<sup>48–50</sup> Interestingly, adsorption on the support often leads to enhancement of the catalytic activity of the lipase.<sup>49</sup> This is interpreted as being due to hydrophobic interactions between the support and part of the lipase molecule causing opening of the lid to yield the active conformation, in analogy with the interfacial activation caused by a hydrophobic substrate phase.

**2.2.1 Adsorption on inorganic supports.** Porous, inorganic supports were used already in the early development of biocatalysis in organic media. Kieselguhr, hydroxylapatite and alumina were used in lipase-catalysed conversions of triacylglycerols,<sup>51</sup> and different kinds of celite have been used as supports for a wide variety of enzymes, including many lipases.<sup>52</sup> If the interaction between the support and the enzyme is not strong enough for efficient adsorption, a mixture of aqueous enzyme solution and support can simply be dried to leave the enzyme deposited on the support surface. As long as the enzyme is not soluble in the reaction media used, it will remain on the support surface.

**2.2.2 Adsorption on mesoporous silica.** Considerable research has been directed towards finding optimal supports for lipase adsorption. Immobilisation on mesoporous silica has attracted special interest because of the possibility of tailoring these materials with respect to pore size, porosity, particles size, *etc.*<sup>53</sup> When RML was adsorbed on mesoporous silica with different pore sizes, the highest specific activity was observed with the largest pore size of 8.9 nm, which is about twice the diameter of the enzyme molecule.<sup>54</sup> The specific activity was reported to be about twice that of the free enzyme in the hydrolysis of *p*-nitrophenyl acetate, which indicates some kind of activation. In a previous study using controlled pore glass as support, it was shown that the catalytic activity of RML increased with increasing pore size up to 100 nm.<sup>55</sup>

The surface properties of mesoporous silica can be tailored using various silanes as building blocks or derivatisation agents. For lipases, it is of special interest to use silanes containing hydrophobic groups. The contact angle formed between the material and water has been demonstrated to be a useful parameter to characterise the surface hydrophobicity.<sup>56</sup> A series of mesoporous silica materials containing different functional groups has been prepared and evaluated with respect to contact angle and the catalytic activity of the



**Fig. 3** Surface hydrophobicity and specific activity of the adsorbed lipase (denoted PCL in the figure). A series of mesoporous silica materials (MCFs) was prepared with different functional groups. The hydrophobicity of the supports was characterised in terms of the contact angle of water (■). The specific lipase activity in the model reaction given at the top of the figure is depicted by bars. The specific activity clearly increased with increasing hydrophobicity (contact angle) of the surface. Reprinted with permission from Jin *et al.* *Langmuir* 2011, 27, 12016–12024. Copyright (2011) American Chemical Society.<sup>57</sup>

adsorbed lipases. The most hydrophobic material, which contained phenyl groups, had a contact angle of about 110 degrees and provided the highest catalytic activity for both CALB and BCL (denoted PCL in the figure) (Fig. 3).<sup>57</sup> Interestingly, the trend of increasing activity with increasing contact angle was found to be similar in a hydrolysis reaction in water and in a transesterification reaction in hexane.<sup>57</sup> In a previous study, controlled pore glass derivatised with various organo-silanes was used as a support for RML catalysing the esterification of oleic acid with 1-octanol, and the highest catalytic activity was observed with the most hydrophobic material, containing octadecyl groups.<sup>55</sup> Several other studies have confirmed an increase in catalytic activity with increasing hydrophobicity of the support. Among mesoporous organo-silicas, the most hydrophobic ones, containing phenyl groups, led to the highest activity of TLL in a transesterification reaction between 1-butanol and vinyl propionate in hexane.<sup>58</sup> Compared to pure silica, the introduction of methylene groups increased the activity of adsorbed ROL, catalysing a transesterification reaction between (*S*)-glycidol and vinyl butyrate in isoctane.<sup>59</sup> Likewise, functionalisation of mesoporous silica with octyl groups increased the catalytic activity of adsorbed BCL in a transesterification reaction between 1-phenylethanol and vinyl acetate in hexane.<sup>60</sup> Furthermore, octyl groups were found to provide a good microenvironment for CALB on a mesoporous silica in the acylation of ethanolamine with lauric acid in acetonitrile.<sup>61</sup>

In order to create pores of the desired size and shape in the mesoporous silica, template molecules, such as surfactants, are used in the preparation procedure. Normally, these are later removed by extraction with an organic solvent and/or by calcination at high temperature. However, it has been shown that it can sometimes be advantageous to leave some of the

template in the structure. The catalytic activity of BCL adsorbed on mesoporous silica was seen to increase with increasing amount of template (P123, a polyethylene oxide–polypropylene oxide block copolymer).<sup>62</sup> It thus seems that the remaining template provided a suitable microenvironment for the lipase in the otherwise non-derivatised silica, leading to activation in analogy with the hydrophobic groups in the derivatised silicas mentioned above.

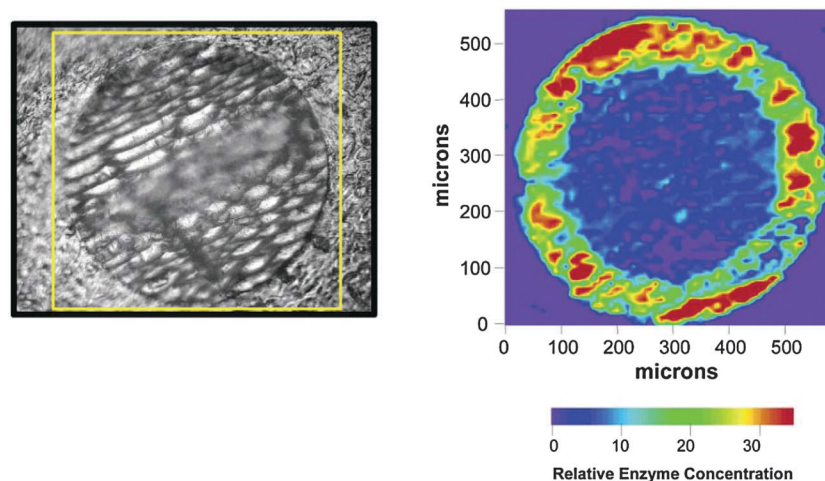
**2.2.3 Adsorption on organic polymers.** Several porous organic polymers have been used as supports for lipase immobilisation, and some immobilised preparations of this kind are commercially available. One frequently used form of CALB is Novozym 435, which contains the acrylate-based polymer Lewatit VP OC 1600 as support. It has a high enzyme loading and the major part of the enzyme is located in the outer part of the particles (Fig. 4). This preparation has been used in benchmarking of other CALB preparations for various applications. A porous styrene–divinylbenzene polymer has been evaluated as a CALB support for the esterification of acetic acid with 1-butanol in hexane.<sup>63</sup> Novozym 435 expressed 30% higher activity than the new preparation, but the latter retained its activity to a higher degree during reuse.<sup>63</sup> In a ring-opening ethanolysis reaction to produce a pharmaceutical intermediate, Novozym 435 was compared with several preparations of CALB on Sepabeads, most of which were made of polymethacrylate with different functionality.<sup>64</sup> The highest initial activity and by far the best operational stability were observed with the catalyst based on Sepabeads containing octadecyl groups. In the transesterification reaction between ethyl acrylate and a polyester, Novozym 435 was compared with a preparation containing CALB adsorbed on porous polypropylene (Accurel MP-1000).<sup>65</sup> Also in this case, the operational stability of the new preparation was better than that of Novozym 435, the half-lives being 180 and 324 h, respectively.<sup>65</sup>

Supports used for lipase adsorption are normally relatively hydrophobic materials, but adsorption of BCL on alginate has been shown to provide a preparation with good catalytic activity

and low enzyme leakage, even in aqueous media.<sup>67</sup> Furthermore, RML adsorbed on a strong anion-exchange resin expressed quite high activity. In this case, the lipase was adsorbed in the presence of a surfactant, which activated it, and the high catalytic activity remained when the surfactant was removed. This was interpreted as being due to fixing of the lipase in the open conformation by multipoint ionic interaction with the support.<sup>68</sup>

**2.2.4 Organic solvent rinsing as an alternative drying method.** Immobilisation of enzymes is normally carried out in water, and the preparation is normally dried before use in organic media, using, for example, vacuum-drying or freeze-drying. It has been shown that drying can cause severe inactivation of the enzyme. An alternative way to decrease the water content of the preparation is to rinse it with a water-miscible organic solvent. Rinsing with propanol before transfer to the reaction solvent has been shown to yield enzyme preparations with high initial catalytic activity.<sup>69</sup> This method was evaluated for RML catalysing the kinetic resolution of citronellol with vinyl acetate in a solvent-free system.<sup>70</sup> Poor catalytic performance was observed after freeze-drying of the immobilised RML, which correlated with a low  $\alpha$ -helical content observed using FT-IR. However, after propanol rinsing of immobilised RML, a preparation with an  $\alpha$ -helical content similar to that of the native enzyme and good catalytic performance was obtained.<sup>70</sup>

**2.2.5 Protein-coated microcrystals.** One way to obtain highly active enzyme preparations in organic media is to prepare protein- (enzyme-) coated microcrystals (PCMCs).<sup>71</sup> In this method, an aqueous enzyme solution is mixed with a concentrated solution of an excipient, which is normally an inorganic salt, but can be a sugar or amino acid. The mixed solution is slowly added to a water-miscible organic solvent to induce crystallisation of the excipient and precipitation of the enzyme on the surface of the crystals. The size of the crystals is normally in the range 0.1–5  $\mu\text{m}$ . This small size and the fact that the enzyme is located primarily on the surface allow



**Fig. 4** Visible light (left) and infrared (right) images of Novozym 435 (immobilised CALB) showing the uneven distribution of the lipase in the particles. Reprinted with permission from Mei *et al. Biomacromolecules* 2003, **4**, 70–74. Copyright (2003) American Chemical Society.<sup>66</sup>

efficient mass transfer of substrates and products. Propanol or ethanol with low water contents are good solvents for the preparation of PCMCs, but other solvents can also be used. After preparation, the PCMCs can be transferred to the desired solvent for enzymatic conversion.

PCMCs of lipases have been found to express activities up to 200 times higher than the same enzymes in powder form.<sup>71</sup> It has also been shown that CALB-PCMCs lost only 10% of their activity after storage as a suspension in propanol with 1% water for one year, while the corresponding preparation of a *Pseudomonas* lipase lost about 50% of its activity during the same time.<sup>72</sup> When different excipients and precipitating solvents were evaluated in the preparation of PCMCs from BCL, it was found that potassium sulphate and acetone provided the catalysts with the highest activity in the ethanolysis of a vegetable oil.<sup>73</sup> In most reported studies, the enzyme molecules in PCMCs were not cross-linked. However, cross-linking with glutaraldehyde has been evaluated, yielding cross-linked, protein-coated microcrystals. This procedure could be expected to provide a biocatalyst that is more resistant to enzyme leakage but, surprisingly, the catalytic activity has been observed to be higher than the corresponding PCMC without cross-linking for both CRL and BCL in four different organic solvents.<sup>74</sup>

**2.2.6 Effects of adsorption.** Effects of supports on lipase activity are often discussed in terms of interfacial activation. However, one should bear in mind that supports can also cause loss of competent active sites. Adsorption of CALB and TLL on fumed silica nanoparticles demonstrated interesting differences between the two enzymes. CALB is a “soft” enzyme, which unfolded at low surface coverage, while TLL is a “hard” enzyme, which was less prone to unfolding after adsorption.<sup>75</sup> Active-site titration studies showed that CALB retained 98% of its active sites when adsorbed on underivatized silica, while the proportion of sites remaining active after adsorption on octyl silica was in the range of 20–50%, depending on the conditions.<sup>76</sup> In this study, the specific activity measured in tributyrin hydrolysis was similar for the different silicas after correction for the inactivated lipase molecules. Adsorption on Lewatit VP OC 1600, the support used in Novozym 435, yielded a preparation with 82% active sites, while Novozym 435 itself, which has a high enzyme loading, was shown to have 34% remaining competent active sites.<sup>76</sup> In another study, it was shown that TLL adsorbed on porous polypropylene retained 93%

of its active sites.<sup>77</sup> Inactivation of the enzyme during adsorption can often be prevented by the presence of other proteins or other polymers, such as polyethylene glycol (PEG). BSA was successfully used in this way to prevent inactivation during adsorption on Celite<sup>78</sup> and on multi-walled carbon nanotubes.<sup>79</sup>

It is of interest to compare the reaction rates obtained using adsorbed lipases in organic media with those observed in emulsions, since this could provide information on the extent to which interfacial activation can be achieved by adsorption on a suitable support. Hydrolysis of tributyrin was chosen as a model reaction for such a comparison because it can be carried out either in a water–tributyrin emulsion with free lipase as catalyst, or in neat tributyrin with adsorbed lipase. CALB adsorbed on porous polypropylene expressed 49% of the activity in the emulsion system, while for TLL only 9.2% of the activity in emulsion was obtained with the adsorbed enzyme.<sup>80</sup> CALB is a lipase that does not show typical interfacial activation, and it is clear that it can be made to work well in the adsorbed form in an organic medium. The relatively poor activity of polypropylene-adsorbed TLL and the fact that almost all the competent active sites remain after this immobilisation method<sup>77</sup> indicate that rather incomplete interfacial activation was achieved. Thus, there seems to be room for further improvement of lipase adsorption methodology to achieve more efficient interfacial activation.

## 2.3 Entrapment

**2.3.1 Entrapment in sol-gel materials.** Enzyme entrapment in silica-based materials is mainly carried out using a sol-gel methodology. An aqueous solution of the enzyme to be immobilised is mixed with hydrolysable, organic silane derivatives, such as tetramethoxysilane and alkyl trimethoxysilane (Fig. 5). A catalyst, such as sodium fluoride, is used to hydrolyse the silanes to create a sol of colloidal silicon dioxide particles, which are further linked in condensation reactions to form a gel.<sup>81,82</sup> In order to obtain satisfactory catalytic activity for lipases, silanes containing one or more alkyl (methyl to octadecyl) and/or aryl groups must be included in the reaction mixture.<sup>82,83</sup> The inclusion of *n*-butyl or iso-butyl groups has been recommended for most lipases.<sup>84</sup> In addition, it has been observed that the activity of the immobilised lipase can be further improved by the inclusion of an extra additive to the sol-gel reaction mixture, examples of which are polyvinyl alcohol, PEG and proteins.<sup>82</sup> It is probable that the additives protect the enzyme from inactivation in a similar way to the protection of

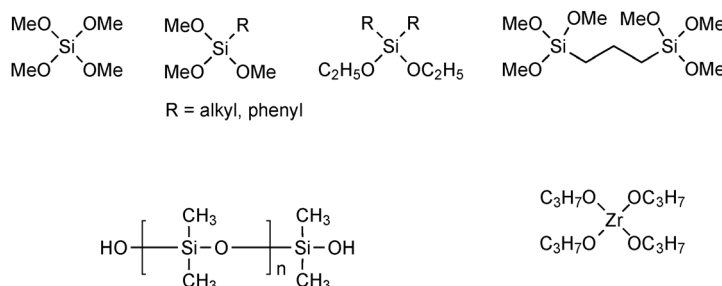


Fig. 5 Building blocks for sol-gel materials.

enzymes immobilised by adsorption or deposition on porous supports by proteins or other additives.<sup>78</sup> The gel is air-dried and then crushed to a suitable particle size, and washed with water and organic solvents. The particle size is seldom measured, but the observed specific activity has often been reported to decrease considerably with increasing enzyme loading, thus indicating mass transfer limitations.<sup>82,85</sup> The sol-gel-entrapped lipase was found to have much better operational stability than when the lipase was immobilised by adsorption on the same material,<sup>82</sup> probably due to leakage of lipase from the adsorbed preparation under the conditions used.

The sol-gel immobilisation method has been further developed. Considerable activation of several lipases has been achieved using more efficient additives, such as Celite, Tween 80 or a crown ether.<sup>84</sup> A further variant of the method is to carry out the sol-gel process in a supercritical fluid. Immobilisation of ROL in a silica-based sol-gel provided 5–7 times higher esterification activity when prepared in supercritical carbon dioxide, than when the corresponding material was prepared in aqueous solution.<sup>86</sup> It is difficult to judge whether this difference was due to differences in the number of competent active sites, different degrees of interfacial activation or to differences in particle morphology, *i.e.*, particle size, *etc.*

Zirconia-based sol-gels have been tested as an alternative to the silica-based ones, with the motivation that the former can be prepared under milder conditions.<sup>87</sup> However, the observed specific activity of the immobilised *Rhizopus* lipase was only 3.8% of that of the free enzyme in a hydrolysis reaction. One explanation of this may be that the hydrophobicity of the material was not sufficiently high since no alkyl groups were present in the building blocks.

**2.3.2 Entrapment in organic polymers.** A classical way to immobilise an enzyme is to carry out polymerisation and cross-linking in a solution containing monomers and the enzyme, so that the enzyme is entrapped in a polymer network. A certain degree of inactivation of the enzyme can be expected due to covalent enzyme modification and/or the high temperature during polymerisation. The entrapment of lipases in organic polymers has been studied rather little, perhaps because such good results have been obtained using adsorption on this kind of materials that most researchers have focussed on that. Nonetheless, entrapment can provide advantages such as reduced enzyme leakage. A recent development was the immobilisation of an enzyme in a co-network consisting of two polymer phases with nanodimensions. RML was immobilised in the co-network formed by two pre-polymers: the more hydrophilic poly(2-hydroxyethyl acrylate) and the more hydrophobic telechelic poly(2-ethyl-1,3-oxazoline).<sup>88</sup> Photopolymerisation was carried out in a solution containing the enzyme and the pre-polymers. The esterification activity (using lauric acid and octanol as substrates) was higher when a higher proportion of the hydrophobic polymer was used, which was interpreted as being due to facilitated substrate diffusion. Mass transfer limitations were evident at high enzyme loadings.<sup>88</sup>

Another way to entrap enzymes in organic polymer networks is to use electrospinning. Using this approach, *Rhizopus arrhizus*

lipase was entrapped in poly(vinyl alcohol) fibres.<sup>89</sup> The fibres were quite small, having a diameter of about 1  $\mu\text{m}$ , and were used to catalyse a transesterification reaction in a flow-through reactor.

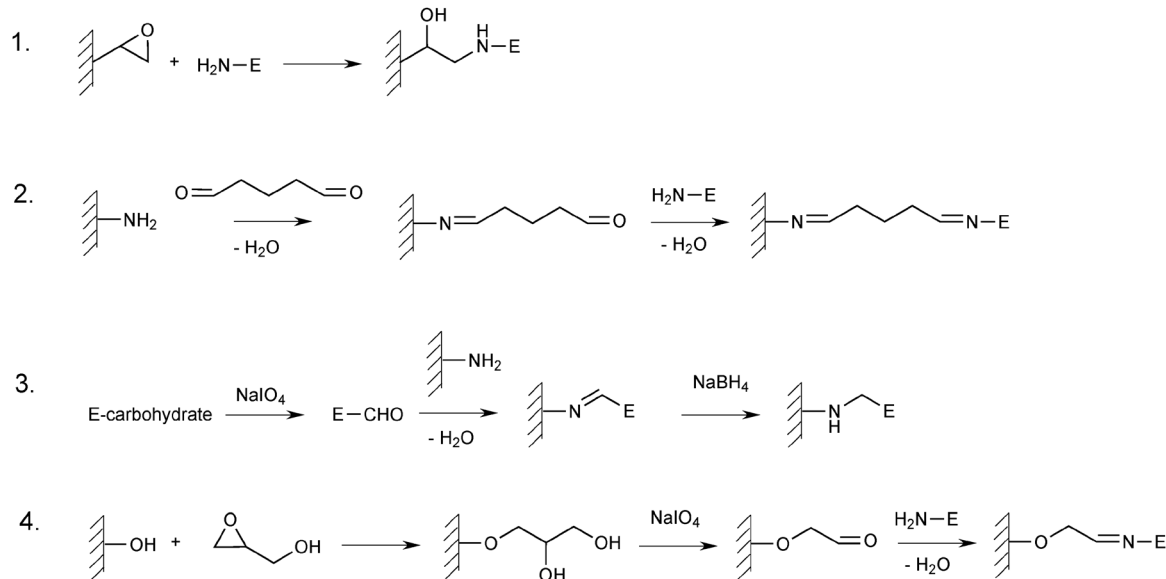
Yet another way to entrap enzymes in organic polymers is to use a thermosensitive polymer such as poly-*N*-isopropylacrylamide. In this method, pre-formed polymer particles were mixed with the aqueous enzyme solution, followed by an increase in temperature (typically to 50 °C) causing shrinkage of the polymer and entrapment of the enzyme. CALB has been immobilised in micron-sized poly-*N*-isopropylacrylamide hydrogel particles, and the particles were transferred to propanol and subsequently to hexane.<sup>90</sup> The shrinkage was reversible, so in water the enzyme could leak out of the particles, while the enzyme remained in the particles in the organic medium as it was not soluble in the reaction medium. Considerable inactivation was observed compared to a procedure involving only low temperature, but since CALB is quite thermostable it is likely that the increased temperature itself did not cause the inactivation and that the procedure could be modified to improve the activity retention and still achieve efficient immobilisation.

## 2.4 Covalent coupling

Covalent coupling to a solid support is the most typical way of immobilising enzymes, and a wide variety of such methods has been described.<sup>41</sup> Many methods described for other enzymes should also be applicable to lipases. Covalent immobilisation frequently causes a limited degree of inactivation of the enzyme, but inactivation can be severe if functional groups in the enzyme of critical importance for catalysis are covalently modified. When immobilising a new enzyme, it is usually a good strategy to try a few different immobilisation methods to ascertain which one is suitable for that particular enzyme. Immobilisation is sometimes carried out in the presence of substrates, substrate analogues or inhibitors, which interact reversibly with the active site, thereby preventing covalent modification in this region. In the case of lipases it might be an advantage to carry out immobilisation under conditions favouring the closed form to protect the active site, and after immobilisation convert it to the open form. However, when immobilisation is carried out by multipoint attachment, the immobilised enzyme cannot change conformation and therefore it should be in the open form during immobilisation, although there are risks of inactivation.

There are several commercially available activated support materials intended for covalent enzyme immobilisation. Some of them contain epoxy groups which are intended to react mainly with the amino groups of the enzyme to be immobilised. This can be done by mixing the material directly with an aqueous solution of the lipase. This straightforward way of immobilising CRL on Eupergit supports (acrylate-based materials) containing epoxy groups has been compared with two more complex ways of using the same supports (Scheme 2).<sup>91</sup> In both these methods, the support was pretreated with 1,2-diaminoethane to provide amino groups on the support surface. In one of them, this treatment was followed by glutaraldehyde





**Scheme 2** Covalent enzyme immobilisation methods. (1) Direct coupling to epoxy-activated support. (2) Glutaraldehyde-mediated immobilisation on a support containing amino groups. (3) Oxidation of the carbohydrate part of a glycosylated enzyme, and subsequent coupling to a support containing amino groups. (4) Glyoxyl activation of a hydroxyl group containing support and subsequent immobilisation of the enzyme.

treatment before coupling to the enzyme, and in the other, the carbohydrate groups of the enzyme were first oxidised by periodate to generate aldehyde groups, which could react with the amino groups of the pretreated support. The best retention of activity (about 43%) was observed after immobilisation on Eupergit C according to the latter method.<sup>91</sup>

Agarose-based materials have been widely used for enzyme immobilisation, and their activation using cyanogen bromide is a classical method. This can be carried out under mild conditions, with high activity retention for CRL, although lower than that obtained following adsorption on a hydrophobic support.<sup>92</sup> Immobilisation on glyoxyl-activated materials<sup>93</sup> has been used to achieve multipoint attachment, which can be very useful in obtaining high enzyme stability (Scheme 2). Coupling of CRL using this method provided a preparation with high thermostability, although the activity directly after immobilisation was lower than with the other methods.<sup>92</sup>

A clear advantage of covalent coupling compared to adsorption is that the enzyme remains on the support even under harsh conditions, such as in the presence of surfactants. Covalently immobilised TLL, which had been inactivated by heat or organic solvent, could thus be reactivated by treatment with a CTAB (cetyltrimethylammonium bromide) solution without enzyme leakage from the support.<sup>94</sup>

Interesting new developments in the area of covalent lipase immobilisation include immobilisation in nanoparticles. Immobilisation in a polyacrylamide nanogel was achieved by covalent acrylation of CRL using *N*-acryloxysuccinimide as reagent, followed by the addition of acrylamide and the induction of polymerisation.<sup>95</sup> The lipase-containing nanogel obtained had much better stability than native lipase in polar organic solvents, and this made it possible to use the biocatalyst for the acylation of dextran with vinyl decanoate in

dimethyl sulfoxide.<sup>95</sup> In a variant of this method, glycidyl methacrylate was used to acrylate CRL, leading to higher catalytic activity than with the original method.<sup>96</sup> The nanogel particles typically contained a single lipase molecule,<sup>95</sup> although aggregation of particles occurred under certain conditions.<sup>96</sup>

Covalent modification of enzymes is not only carried out to achieve immobilisation. Small molecules have been covalently coupled to enzymes to bring about stability of the enzyme towards organic solvents, as illustrated by the modification of BCL with pyromellitic anhydride.<sup>97</sup> In this case, chemical modification was followed by the formation of protein-coated microcrystals (see Section 2.2.5). Covalent modification with PEG has been used to achieve solubility of the modified enzymes in organic solvents.<sup>98</sup> However, it has been shown that, at least in some cases, the PEG-modified enzymes formed relatively large aggregates in organic solvents.<sup>99</sup> Recently, a comb-shaped PEG was used to covalently modify CRL to make it soluble in ionic liquids.<sup>100</sup> Interestingly, the activity in a transesterification reaction with vinyl acetate was much higher in ionic liquids than in other organic solvents. The water content in the ionic liquid system affected the catalytic activity to a large extent, with maximal activity around water saturation,<sup>100</sup> and thus a water activity close to 1, while CRL usually has a lower optimal water activity (see Section 3.1).

## 2.5 Cross-linking

Typical cross-linked preparations contain the enzyme as the main constituent, but cross-linking is also used in combination with other immobilisation methods, such as adsorption, to prevent enzyme leakage. As in the case of covalent coupling to supports, it is in most cases the amino groups of the enzyme that participate in bond formation, and glutaraldehyde is the most common cross-linking reagent. Hydration, oligomerisation

and aldol condensation reactions occur in an aqueous solution of glutaraldehyde,<sup>101</sup> and it is important to bear in mind that simple Schiff's base formation between amino groups and the aldehyde groups of glutaraldehyde is not usually the dominating mechanism, but rather coupling involving the hydrated or oligomerised forms. As a consequence, treatment with sodium borohydride or similar reagents to reduce Schiff's bases is usually not needed to stabilise the bonds after cross-linking with glutaraldehyde.

**2.5.1 Cross-linked enzyme crystals.** Preparations containing the highest density of enzyme molecules are made from enzyme crystals. These can be cross-linked to make them into more robust catalysts.<sup>102</sup> The high stability of cross-linked enzyme crystals (CLECs) is probably the result of strong protein-protein interactions within the enzyme crystal, enforced by the additional covalent cross-linking.<sup>103</sup> The preparation procedure starts with crystallisation of the enzyme, which is always a critical step. Large crystals, such as those desired for structure determination, are not needed for biocatalytic applications, because they would lead to unfavourable mass transfer conditions. Instead, crystal sizes of 50–150  $\mu\text{m}$  are normally preferred.<sup>104</sup> Smaller crystals are sometimes used, but when crystals of about 20  $\mu\text{m}$  were used in a scale-up study, physical loss of crystals was reported.<sup>105</sup> The purity of the enzyme must be relatively high for crystallisation to occur, but once it occurs crystallisation itself causes considerable further purification of the enzyme. It is common for more than one form of crystal to be obtained, and these can behave differently in biocatalytic applications, partly because mass transfer within the CLECs occurs mainly through the solvent channels and the size of the channels depends on the crystal form.<sup>103</sup>

The most common agent used for cross-linking of enzyme crystals is glutaraldehyde, but several other reagents have also been used.<sup>104</sup> In the case of lipases, it has been observed that treatment with surfactants can considerably increase the catalytic activity of CLECs.<sup>106</sup> It was shown that the main increase in activity was not due to differences in water content. Instead, it is likely that the surfactants caused activation due to at least partial conversion to the open conformation of the lipases (CRL and BCL), as has been observed in other types of preparations in several later studies (see Section 2.6). CALB-CLECs, about 20  $\mu\text{m}$  in size, have been used for the resolution of racemic 1-phenyl ethanol with vinyl acetate in supercritical carbon dioxide.<sup>107</sup> No mass transfer limitations were observed and the biocatalyst was used in three consecutive batches. The decrease in conversion to about 50% in the third batch indicates problems, but these were probably due to difficulties in maintaining the enzyme at constant water activity.<sup>107</sup>

**2.5.2 Cross-linked enzyme aggregates.** A type of catalyst closely related to CLECs is cross-linked enzyme aggregates (CLEAs). These enzyme aggregates are created by adding a precipitant to a solution of the enzyme, and cross-linking the aggregates to increase their stability. This approach was first used for penicillin acylase,<sup>108</sup> and has subsequently been used for a wide range of enzymes.<sup>109</sup> CLEAs are typically in the size

range 5–50  $\mu\text{m}$ .<sup>109</sup> Since the enzyme is the main constituent, the catalytic activity per unit volume is high and mass transfer limitations are therefore likely, especially in the larger particles when fast reactions are catalysed.

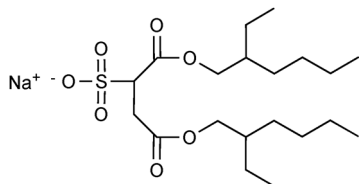
Typical precipitants in CLEA production are salts such as ammonium sulphate, and organic solvents, and the most common cross-linking agent is glutaraldehyde. The catalytic activity of CLEAs prepared from an enzyme varies considerably depending on the precipitation and cross-linking procedures. In a comparison of different methods for the preparation of CLEAs from different lipases, it was found that ammonium sulphate was the best precipitant for some enzymes, and 1,2-dimethoxyethane in other cases.<sup>110</sup> In several cases, the presence of surfactants, such as sodium dodecyl sulphate or Triton X-100, during the procedure increased the catalytic activity of the CLEAs, probably due to interfacial activation of the lipases. In another study involving several commercially available lipases, PEG200 was found to be the precipitant giving the most active CLEAs, but it should be pointed out that in a couple of examples (including TLL) simple acetone powders obtained without cross-linking were more active than the CLEAs.<sup>111</sup> As with other covalent enzyme modification processes, glutaraldehyde cross-linking can cause enzyme inactivation. In a study of CRL-CLEAs it was shown that CLEAs with maximal catalytic activity were obtained using 25 mM glutaraldehyde for cross-linking.<sup>112</sup> Considerable inactivation occurred when a higher glutaraldehyde concentration was used, while poor performance was observed at a lower concentration, probably because of insufficient cross-linking. In a study of BCL-CLEAs it was found that increased glutaraldehyde concentration caused increased thermal stability, but decreased the initial activity.<sup>113</sup> It has also been shown that glutaraldehyde cross-linking can cause a reduction in the number of competent active sites of penicillin acylase, and, the milder cross-linker dextran polyaldehyde can be used instead to avoid this.<sup>114</sup> In this case, Schiff's bases were formed between the enzyme and the reagent, and were reduced by sodium borohydride to increase the stability of the binding.

For enzymes with only a few lysine residues at their surface, such as CALB, cross-linking can be inefficient. In such cases, cross-linking can be increased by the addition of a polyamine<sup>109</sup> or another protein, such as BSA.<sup>115</sup>

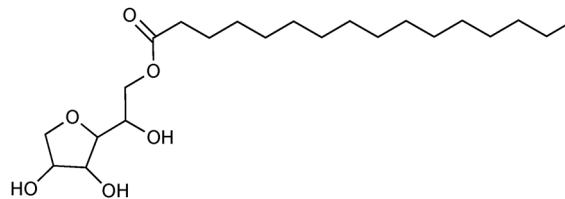
CLEA-silica composites can be prepared by introducing silanes in the CLEA production procedure.<sup>109</sup> This opens the way for the introduction of hydrophobic groups, which is of special interest for lipase immobilisation. It is thus possible that lipase preparations with even higher activity could be prepared, analogous to the promising results obtained with mesoporous silicas and sol-gel entrapment (see Sections 2.2.2 and 2.3.1).

## 2.6 Surfactant-based lipase preparations

In the sections above, it has been mentioned that surfactants can activate lipases in different immobilisation procedures, probably by increasing the fraction of the open, active lipase conformation. In addition, surfactants can be used as the main agent for the preparation of lipases for use in organic media.



**Fig. 6** The surfactant Aerosol OT, frequently used together with lipases in organic media to make hydrophobic ion pairs, surfactant-coated lipases and microemulsions.



**Fig. 7** Span 60, a surfactant frequently used for preparing surfactant-coated lipases.

Because of their high potential in lipase applications, these methods will be discussed here, although some of them do not involve immobilisation.

**2.6.1 Ion-paired lipases.** Lipases can be dissolved in organic solvents as hydrophobic ion pairs using surfactants.<sup>116</sup> These are prepared by extraction of the enzyme from an aqueous solution into a solution of an ionic surfactant in a suitable solvent. After screening a wide range of lipases and reaction conditions, it was found that *Mucor javanicus* lipase could be solubilised as ion pairs with the surfactant Aerosol OT (Fig. 6), and was effective for the acylation of the anticancer compound doxorubicin.<sup>116</sup> The sodium chloride concentration and pH during lipase solubilisation had a considerable influence on the observed catalytic activity. When the conditions were not favourable, the lipase could become inactivated during the ion pair formation, as shown for ion pairs of CRL and Aerosol OT.<sup>117</sup> It has been shown possible to solubilise CRL in a fluorinated solvent using a fluorinated surfactant, but the catalytic activity of the complex was not reported.<sup>118</sup>

**2.6.2 Surfactant-coated lipases.** There are many reports in the literature of “surfactant-coated” and “lipid-coated” lipases.<sup>119,120</sup> Since most of the lipids used are amphiphilic, the methods are closely related, and in this paper both types of preparations will be called surfactant-coated lipases. Most preparation procedures are relatively simple, and consist mainly of mixing an aqueous solution of the lipase with an aqueous solution or dispersion of the surfactant, often followed by sonication.<sup>121</sup> In one variant of the method, the surfactant was added as a solution in an organic solvent, ethanol being the recommended one.<sup>122</sup> The surfactant-coated lipase precipitates from the solution and is often collected by centrifugation. The solids are dried by vacuum-drying or freeze-drying. In one version, no centrifugation was carried out, and instead the solution containing the surfactant and the enzyme was freeze-dried directly.<sup>123</sup>

The most critical issue in the preparation of surfactant-coated lipases is the choice of surfactant, and many different ones have been tried. It should be noted that some of them contain ester bonds, which means that there is a risk that they will be degraded by the lipases used. From this point of view, surfactants without ester bonds are a safer choice, especially when the reaction is lengthy.

Sorbitan esters have frequently been used to prepare surfactant-coated lipase, sorbitan monostearate (Span 60, Fig. 7) being the most popular one. Sorbitan esters with shorter fatty

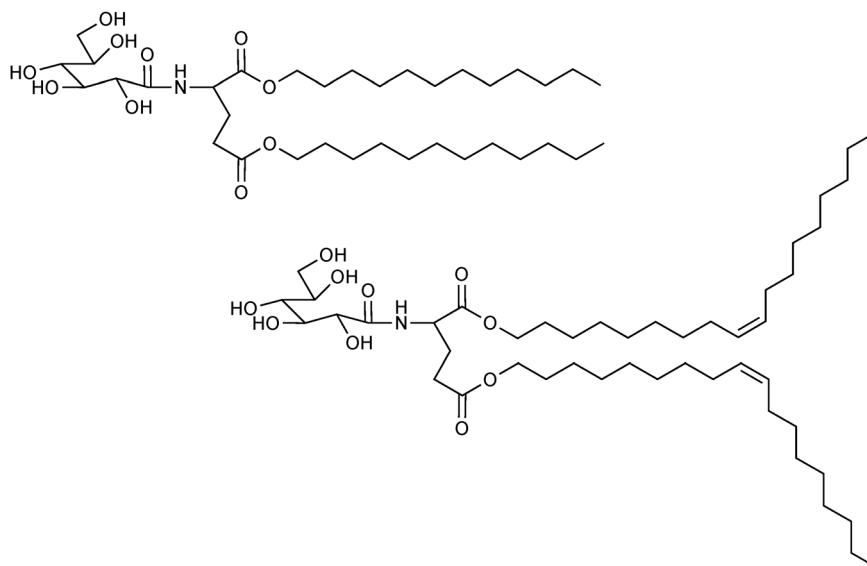
acids have also been tried, but they provided lipase complexes with lower catalytic activity.<sup>124</sup> The very hydrophobic sorbitan tri-esters with stearic acid or oleic acid (Span 65 and Span 85) have also been used. In the coating of CRL, Span 85 provided the biocatalyst with the highest esterification activity of nine surfactants tested, but Span 60 was not included in the comparison.<sup>125</sup> Other commercially available surfactants used to form complexes with lipases include Tween 80, Triton X-100<sup>126</sup> and propylene glycol stearate.<sup>127</sup>

In addition to the commercially available surfactants, special surfactants have been synthesized in research laboratories<sup>128</sup> and used for enzyme coating. Very good results have been obtained with esters of *N*-D-glucono-L-glutamic acid (Fig. 8).<sup>129</sup> In a comparison of different derivatives, the highest activity in an esterification reaction was obtained with dioleoyl *N*-D-glucono-L-glutamate (2C18Δ9GE).<sup>130</sup>

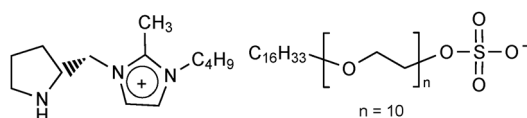
Most surfactants used for lipase coating are non-ionic, but the use of ionic surfactants has also been reported. BCL coated with an ionic surfactant was shown to be useful in dynamic kinetic resolution of secondary alcohols, since it was compatible with the ruthenium catalyst used for the racemisation reaction.<sup>123</sup> Lipases containing ionic surfactants can be called ion-paired lipases if they are dissolved, but if the solubility is not proven, they should rather be included in the surfactant-coated lipases.

Ionic liquids have been used to coat lipases in a similar way to surfactants. D-Pro-Me (Fig. 9) was found to be the most favourable ionic liquid for coating BCL for the catalysis of a transesterification reaction with vinyl acetate in diisopropyl ether.<sup>131</sup>

Conflicting reports are found in the literature on the solubility of surfactant-coated lipases in organic solvents. Lipases coated with 2C18Δ9GE were easily dissolved in isooctane, while those coated with Span 60 or dilauryl *N*-D-glucono-L-glutamate (2C12GE) were reported to be insoluble.<sup>122</sup> However, it has been claimed that lipases coated with 2C12GE and several related surfactants are freely soluble in most organic solvents such as isooctane, diisopropyl ether, ethyl acetate and dimethyl sulfoxide.<sup>119,132</sup> Good evidence of true solubilisation of single lipase molecules has been obtained using gel permeation chromatography. A *Rhizopus* lipase coated with 2C12GE was studied in dichloromethane and was shown to consist of enzyme molecules coated with about 150 surfactant molecules.<sup>129</sup> The solubility of complexes obtained with sorbitan monostearate was quite low in most dry organic solvents, but increased with increasing water content.<sup>133</sup> It is possible that



**Fig. 8** Two surfactants used for preparing surfactant-coated lipases. Above: dilauryl *N*-D-glucono-*L*-glutamate (2C12GE) and below: dioleoyl *N*-D-glucono-*L*-glutamate (2C18Δ9GE).



**Fig. 9** An ionic liquid used for coating lipase (abbreviated: D-Pro-Me).

the presence of salts or other components in some of the surfactant-coated lipases could have caused the apparent contradicting reports concerning solubility in organic solvents. Furthermore, reversed micelles or other structures might also have been formed when water was present.

A different way of forming surfactant-coated lipases is to solubilise the lipase in an Aerosol OT-based microemulsion, followed by drying.<sup>85</sup> The preparations obtained expressed good catalytic activity, although lower than preparations of the same lipases on porous polypropylene.

Surfactant-coated lipases not soluble in the reaction medium can be considered rather typical immobilised preparations with the surfactant as support material.<sup>85</sup> As with other immobilisation methods, lipase powder is often used for the comparison of catalytic activity, and the surfactant-coated lipases usually express considerably higher activity.<sup>85,121</sup>

**2.6.3 Lipases in microemulsions.** Microemulsions are thermodynamically stable mixtures of water, organic phase and surfactant, where the surfactant is present at the organic–aqueous interface. The most frequently used microemulsions for enzymatic conversions contain reversed micelles, but there are also examples of the use of microemulsions in which both phases are continuous. Lipases have been frequently studied in microemulsions, as reviewed several times.<sup>134,135</sup> Microemulsions can be prepared using three different methods:<sup>135,136</sup>

- Injection of an aqueous enzyme solution into an organic solution containing the surfactant

- Extraction of the enzyme from an aqueous solution into an organic phase containing the surfactant

- Dissolution of the enzyme from a solid phase into an already prepared microemulsion

One important advantage of microemulsions as media for enzymatic reactions is that hydrophobic substrates can be dissolved in the organic phase and hydrophilic ones in the aqueous phase. They are, therefore, suitable for reactions involving both types of substrates, such as the synthesis of sugar esters.<sup>137</sup> Mass transfer between the phases is rapid because of the dynamics and small dimensions of the systems. In most cases, the size of the reversed micelles is suitable for one enzyme molecule.

Most studies of enzymatic reactions in microemulsions have focussed on fundamental aspects and measurements of catalytic activity, while the isolation of reaction products has seldom been carried out. Product isolation is complicated by the presence of surfactants, but in some cases this problem can be solved by breaking the microemulsion by a moderate change in temperature.<sup>138</sup>

A drawback of some microemulsion systems is that enzyme inactivation can occur, due to the presence of the surfactant and the large interface between the organic and aqueous microphases. Structural changes compared to aqueous solutions have been detected using a variety of methods, such as circular dichroism spectroscopy, fluorescence spectroscopy and NMR.<sup>136</sup> The sensitivity to this kind of inactivation varies between the lipases<sup>139</sup> and depends on the location of the enzyme in the microemulsion.<sup>135,136</sup> When a *Rhizopus* lipase was solubilised in a microemulsion, the observed  $k_{\text{cat}}$  values of a hydrolysis reaction decreased with increasing surfactant concentration, which was interpreted as being due to unfavourable interaction with the surfactant layer.<sup>140</sup>

Aerosol OT has been used in the majority of the studies on lipases in microemulsions,<sup>134–136</sup> and isoctane is the most common organic solvent. However, it has been observed that



microemulsions can cause inactivation, as exemplified by cutinases, which lost their catalytic activity in Aerosol OT/isooctane-based microemulsions due to unfolding in minutes or hours, depending on the type of cutinase.<sup>141</sup> It has been shown that the addition of non-ionic surfactants, such as Spans, Tweens, short-chain PEG and alkyl glycosides can increase the activity of the *Chromobacterium viscosum* lipase in an Aerosol OT/isooctane-based microemulsion.<sup>142,143</sup> Interestingly, both the catalytic activity and stability of the same lipase were increased by the presence of aprotic solvents in the Aerosol OT/isooctane-based microemulsions, dimethyl sulfoxide being the best solvent.<sup>144</sup> The half-life was increased from 33 days without solvent to 125 days with dimethyl sulfoxide.

As an alternative to Aerosol OT, phospholipids have been evaluated in the preparation of microemulsions for lipases. Microemulsions based on different types of lecithin were shown to provide TLL with higher activity than Aerosol OT-based microemulsions.<sup>145</sup> In a study of a *Rhizopus* lipase in microemulsions, higher catalytic activity was observed when a mixture of Aerosol OT and lecithin was used, than when either surfactant was used alone.<sup>146</sup> Also, cutinase has been shown to be catalytically active in phosphatidylcholine-based microemulsions.<sup>147</sup>

In most cases hydrocarbons have been used as the organic phase in the microemulsions.<sup>134,135</sup> However, other solvents can be used, and an interesting recent development is the use of ionic liquids as the organic phase. It has been shown that  $V_{\max}$  in a hydrolysis reaction catalysed by BCL was higher in an AOT/ionic liquid-based microemulsion, than in the corresponding AOT/isooctane-based system.<sup>148</sup> The activities and stabilities of CRL, TLL and *Chromobacterium viscosum* lipase have been studied in microemulsions based on non-ionic surfactants (Tween 20 or Triton X-100) and the ionic liquid 1-butyl-3-methylimidazolium hexafluorophosphate ([bmim]PF<sub>6</sub>).<sup>139</sup> The longest enzyme half-lives were obtained in the microemulsions with low water content, and the *Chromobacterium* lipase generally exhibited better stability than CRL and TLL.<sup>139</sup>

Incorporation of nanoparticles into microemulsions was recently evaluated in a system based on Aerosol OT or CTAB with 1-hexanol as cosurfactant in isooctane. The addition of gold nanorods more than doubled the observed catalytic activity in both cases.<sup>149</sup>

**2.6.4 Microemulsion-based organogels.** Several microheterogeneous systems based on microemulsions have been evaluated as media for enzymatic reactions.<sup>135</sup> The most popular ones are microemulsion-based organogels.<sup>150</sup> In these, a polymer is introduced into the microemulsion to cause the formation of a gel. Gelatin is the most frequently used polymer, but agar and cellulose derivatives can also be used. Different surfactants can be used in the microemulsion, such as AOT, lecithin and Triton X-100.<sup>150,151</sup> The microemulsion-based organogels can easily be recovered after the reaction and reused, and they can thus be considered a kind of immobilised preparation.

## 2.7 Comparison of lipase preparation methods

Unfortunately, few direct comparisons of several immobilised lipase preparations have been made. It is difficult to compare

**Table 3** Specific activity of TLL in different preparations<sup>a</sup>

Lipase preparation method	Relative specific activity (%)
Adsorption on polypropene	100
Sol-gel entrapment	79
Coating with Span 60	38
Freeze-drying with KCl	11
Coating with Span 65	10
Freeze-drying with crown ether	10
Coating with Aerosol OT	2.1
Freeze-drying	1.1
Unmodified enzyme	0.25

<sup>a</sup> Reaction: TLL-catalysed esterification of 1-phenylethanol (50 mM) with caproic acid (100 mM) at a water activity of 0.33 in hexane. 100% relative specific activity corresponds to 6.8  $\mu\text{mol min}^{-1} \text{g protein}^{-1}$ . Data from Persson *et al.*<sup>85</sup>

the results obtained in different studies because they often differ in the reaction catalysed, the solvent, the substrate concentration, the reaction temperature, *etc.* In a typical report on a new lipase immobilisation method, the properties of the immobilised lipase were simply compared with that of “free lipase”, which is usually not sufficiently well defined as to allow direct comparisons. Excellent guidelines for reporting biocatalytic reactions including those using immobilised biocatalysts have been published,<sup>152</sup> but still many reports in the literature lack important information.

In one of the few comparative studies involving several immobilisation methods, an esterification reaction was catalysed by TLL prepared in different ways and used under identical reaction conditions (Table 3).<sup>85</sup> The specific activity of unmodified TLL powder (free enzyme) was low, as expected. Freeze-drying from an appropriate buffer solution led to an increase in activity, which was further increased when a crown ether or KCl was used as additive before freeze-drying. Even higher specific activity was observed after surfactant coating or sol-gel entrapment, and the highest specific activity was obtained when the enzyme was adsorbed on a porous polypropene support. It is worth noting that the most active preparation was 400 times more active than the unmodified TLL. Similar effects were observed with CRL, RML and BCL, although the differences were somewhat smaller: the activity of the polypropene-adsorbed lipase was between 20 and 110 times that of the lowest activity. However, in a study of a *Pseudomonas fluorescens* lipase adsorbed on different supports, the highest activity was observed for that adsorbed on mesoporous, underivatized silica, while the activity on porous polypropene was almost 3 times lower.<sup>153</sup> The reason for this discrepancy may be that the latter study was carried out at low enzyme loading,<sup>153</sup> which could have caused inactivation of this particular lipase on the polypropene surface.

It is clear that adsorption on porous hydrophobic supports is a very useful method for lipase immobilisation. A highly hydrophobic surface has been shown to be beneficial in several studies mentioned in this review, although there are contradicting observations. The hydrolytic activity of TLL was found to be higher when it was adsorbed on the underivatized silicon dioxide surface than when adsorbed on the corresponding

surface derivatised with octadecyl groups.<sup>154</sup> In the case of octadecyl-containing Sepabeads, the addition of polyethyleneimine to the preparation increased the initial reaction rate of RML and CRL when catalysing the esterification of lauric acid with octanol.<sup>155</sup> The addition of polyethyleneimine must have made the microenvironment of the lipases more hydrophilic, and it thus appears that the highest hydrophobicity is not always the best.

Concerning pore size, diameters around 100 nm seem to be advantageous, although few direct studies have been carried out on the importance of this parameter. Most mesoporous silicas are thus likely to have somewhat too narrow pores,<sup>53</sup> while some of the porous organic polymers used for lipase immobilisation have a considerable fraction of their pore volume in large enough pores, such as the polypropylene Accurel EP-100,<sup>156</sup> and Accurel MP-1004.<sup>157</sup>

In order to avoid mass transfer limitations, the diffusion of substrates and products should not be hindered and diffusion distances should not be too long. When enzyme molecules are present inside the particles, the particle size should be as small as possible. On the other hand, the preparation should be easy to handle, which often means that the particles should not be too small, so in reality a suitable compromise must be found. In most cases, the support particles used for lipase immobilisation are more or less spherical in shape. Alternative particle shapes include fibres. Electrospun polyacrylonitrile fibres with a diameter of around 400 nm constitute an interesting example.<sup>158</sup> Further examples of lipase adsorption on small particles include nanoparticles of fumed silica,<sup>75</sup> tin dioxide<sup>159</sup> or superparamagnetic Fe<sub>3</sub>O<sub>4</sub>,<sup>160</sup> as well as silica nanotubes.<sup>161</sup> These lipase preparations could be of interest in special applications, especially when mass transfer limitations would occur in other types of preparations.

In this review, lipase preparations are mainly compared with respect to the specific activity of the lipase, which is highly relevant if the enzyme is to be utilised as efficiently as possible. However, it should be pointed out that for some applications a high catalytic activity per unit weight of immobilised preparation, or per unit reactor volume, may be more important.

The surfactant-based methods have great potential to provide highly active lipase preparations, because not only can the lipases be activated, but in some cases the surfactant can lead to isolated lipase molecules dissolved in the organic medium as ion pairs, soluble surfactant-coated enzymes or in reversed micelles, thus allowing high mass transfer.

For industrial applications of immobilised enzymes, all factors influencing the costs should be considered. The costs of enzyme, support and other ingredients are thus important, as well as the operational stability of the lipase.<sup>162</sup>

### 3. Water dependence of lipase-catalysed reactions

Some water is always present, even when using organic solvents as reaction media for lipase-catalysed reactions, and that water

has a profound effect on the performance of the enzyme.<sup>163</sup> In early studies of biocatalysis in organic solvents, it was observed that the catalytic activity of enzymes correlated well with the amount of water bound to the enzyme.<sup>164</sup> However, it is difficult to measure and adjust the amount of water bound to the enzyme. Halling proposed the use of water activity to quantify the amount of water present in organic reaction mixtures for biocatalysis, since this parameter correlates well with the amount of water bound to the enzyme under a wide range of conditions, especially in water immiscible solvents.<sup>165,166</sup> The water activity varies between 0 in a completely dry system and 1 in a water-saturated system, and it is equal in all phases at equilibrium. The advantage of using the water activity is illustrated by the water dependence of an enzymatic reaction in a range of solvents. The optimal *water concentrations* in the different solvents are different, while the optimal *water activity* is largely the same.<sup>165</sup> Likewise, early observations on the effects of the support material on enzymatic activity indicated that the water absorbing capacity (called the aquaphilicity) of the support material influenced the catalytic activity of the immobilised enzyme to a large extent.<sup>167</sup> When reactions were carried out at fixed water activity, almost identical activity profiles were obtained on different supports,<sup>168</sup> although there may be deviations for some combinations of supports and enzymes.<sup>169</sup>

#### 3.1 Optimal water activity for lipases

It is important to have quantitative knowledge on the influence of water activity on enzyme activity since the effects are so large. Simple experiments can be used to determine this relationship, and the resulting curves showing enzyme activity as a function of water activity (water activity profiles) constitute important guidelines for practical applications. Ideally, the water activity dependence of kinetic constants (such as  $k_{\text{cat}}$  and  $K_{\text{m}}$ ) should be determined, but in most cases only the enzyme activities observed at a fixed substrate concentration are reported in the literature, and it should be kept in mind that the profiles can be shifted by a change in substrate concentration.<sup>28</sup>

There is a large variation among the enzymes concerning how the water activity in organic media influences their catalytic activity.<sup>170–172</sup> Some lipases, such as BCL, behave in a way similar to other enzymes, with maximal activity at high water activity. However, CRL has an optimum at intermediate water activity according to most reports, while RML, *Rhizopus* lipases and CALB show optimal activity at low water activity.<sup>173</sup> In the case of RML, catalytic activity has been detected down to a water activity of 0.0001.<sup>174</sup> Reported optimal water activities for lipases are listed in Table 4.

Water can thus have both positive and negative effects on the rate of lipase-catalysed reactions. Several such effects are listed below and will be further discussed in the following sections.

##### Positive effects of water.

- General activation due to increased internal flexibility of the enzyme
- Increased active site polarity
- Increased proton conductivity
- Functions as substrate (increases hydrolysis only)

**Table 4** Optimal water activity in lipase-catalysed reactions

Lipase	Optimal water activity	Substrates, medium	Ref.
BCL	0.69	Vinyl acetate + 1-phenylethanol, hexane	175
	0.75	Caproic acid + 1-phenylethanol, hexane	77
	0.97	Decanoic acid + dodecanol, diisopropyl ether	28
	0.97	Decanoic acid + dodecanol, hexane	172
CALB	0	Methyl propionate + propanol, gas phase	176
CRL	0.53	Ethyl decanoate + hexanol, diisopropyl ether	177
	0.56	Vinyl acetate + 1-phenylethanol, hexane	175
	0.75	Decanoic acid + dodecanol, diisopropyl ether	28
	0.77–0.97 <sup>a</sup>	Decanoic acid + dodecanol, hexane	172
RML	0.55	Decanoic acid + dodecanol, hexane	172
<i>Rhizopus</i> <sup>b</sup>	0.06	Ethyl decanoate + hexanol, diisopropyl ether	177
	0.19	Vinyl acetate + 1-phenylethanol, hexane	175
	0.32–0.55 <sup>a</sup>	Decanoic acid + dodecanol, hexane	172
	0.53	Decanoic acid + dodecanol, diisopropyl ether	28
TLL	0.33	Caproic acid + 1-phenylethanol, hexane	77
	0.77–0.97 <sup>a</sup>	Decanoic acid + dodecanol, hexane	172

<sup>a</sup> Different values depending on the support used. <sup>b</sup> From different *Rhizopus* strains.

### Negative effects of water.

- Inhibition (interference with substrate binding)
- Formation of a diffusion barrier for hydrophobic substrates
- Causes hydrolysis which competes with the desired reaction (esterification, transesterification)

### 3.2 Activation by water

Different amounts of water are bound to an enzyme molecule, depending on the water activity in the surroundings. Simulations of molecular dynamics have been used to model the hydration of a lipase (CALB) in the gas phase<sup>178</sup> and in an organic solvent.<sup>179</sup> In an organic medium with low water activity, single water molecules are bound to specific sites, while at higher water activity, networks of water molecules are formed on the enzyme surface.<sup>178</sup> In most cases, the bound water molecules have a positive effect on enzyme activity. Water has been described as a molecular lubricant of enzymes,<sup>170</sup> and the increased internal flexibility can be assumed to facilitate the movements necessary for catalysis.<sup>163</sup> Simulations of molecular dynamics for CALB indeed showed that water caused increased internal flexibility.<sup>178</sup> In addition, an increase in the amount of water in the region of the active site increases the local polarity, which stabilises polar transition states, thereby promoting enzymatic reactions having such transition states.<sup>180</sup> Another activating effect of water could be due to facilitation of proton conductivity.<sup>163</sup> While the positive lubricating effect can be expected to be common to most enzymes, the effects due to increased active site polarity and proton conductivity depend on the catalytic mechanism of the enzyme.

### 3.3 Inhibition by water

As mentioned above, most bound water molecules have a positive influence on enzyme activity. However, if water molecules bind in the active site they can interfere with binding of the substrate, thereby acting as a true competitive inhibitor.<sup>181</sup> This phenomenon has been studied in great detail for CALB-catalysed alcoholysis of methyl propionate with *n*-propanol in solid/gas systems,<sup>176,182</sup> and the inhibition constant for water

in terms of activity has been determined to be 0.128.<sup>176</sup> CALB has a higher affinity for the substrates in gas phase than in organic solvents,<sup>176</sup> but the data from the solid/gas systems are useful for predictions of the kinetics in organic solvents.<sup>183</sup>

If multilayers of water molecules are present between the bulk organic solution and the active site of the enzyme, this can form a diffusion barrier for hydrophobic substrates, which slows down the overall conversion rate.<sup>184</sup>

### 3.4 Water as substrate

Water is a substrate in *hydrolysis reactions*, and it is thus natural that increasing water activity has a positive effect on such reactions, although other effects discussed above sometimes dominate. On the other hand, when *esterification* or *transesterification* are the main reactions, water molecules can interfere by deacylating the acyl-enzyme. In the esterification reaction, this is a form of product inhibition leading to a reduction in the observed reaction rate. An increase in alcohol concentration favours esterification vs. hydrolysis, and the effect of water on the kinetics can thus be observed as an increase in the apparent  $K_m$  value for the alcohol.<sup>28</sup> Furthermore, the water activity profiles were shifted towards higher water activity with increasing substrate (alcohol) concentrations, due to the competition between water and alcohol.<sup>28</sup>

In the transesterification case, competing hydrolysis leads to separate products. Normally an increase in water concentration leads to an increase in the hydrolytic side reaction and a decrease in the rate of transesterification itself. The acyl donor is consumed in both the competing reactions and is thus consumed at a rate that is the sum of the two individual rates.

### 3.5 Water activity profiles of different types of reactions

The kinetics of lipase-catalysed esterification reactions have been studied in detail,<sup>28</sup> and a useful model has been constructed.<sup>185</sup> Likewise, the kinetics of alcoholysis reactions have been studied in great detail, especially in gas phase reactions.<sup>176,182</sup> Water activity profiles of esterification and transesterification reactions are often bell-shaped because of

the activating effect of water in the low water activity range and the competing hydrolytic reactions in the high water activity range.<sup>28,176</sup> Many lipases express good catalytic activity at low water activity, and under these conditions, other nucleophiles can compete successfully with water for deacylation of the acyl-enzyme, explaining the observation that lipases are much more efficient catalysts in transesterification reactions, than other hydrolytic enzymes in corresponding reactions, such as glycosidases in transglycosylation reactions.<sup>177</sup>

Intuitively, one would expect transesterification and hydrolysis reactions to have quite different dependences on the water activity. However, if the acylation step is rate determining and alcohol inhibition is of less importance, the two reactions can actually have almost identical water activity profiles.<sup>186</sup>

Water clearly has competing effects in hydrolysis reactions. Water acts as a substrate, which causes an increase in the reaction rate with increasing water activity, while the inhibiting effect of water acts in the other direction. As a result, bell-shaped activity profiles are often observed with a maximum at intermediate water activity.<sup>173</sup> In extreme cases, the inhibiting effects dominate, and the fastest hydrolysis reaction occurs at the lowest water activity. CALB-catalysed hydrolysis of lutein esters is one such example.<sup>184</sup> This behaviour is more likely to occur when low substrate concentrations are used, as it is then easier for water to act as a competitive inhibitor. Furthermore, the lipase used should be one with high activity at low water activity.

### 3.6 Effects of water on stereoselectivity

Water can influence the stereoselectivity of reactions by binding in substrate-binding pockets (stereospecificity pockets), thereby selectively interfering with the binding of one of the enantiomers of the substrate.<sup>187</sup> In the case of CALB, molecular modelling has been combined with detailed experimental studies to show that the binding of a single water molecule was of crucial importance in determining the enantioselectivity in the reaction.<sup>188</sup>

### 3.7 Control of water activity

Because the water activity of the organic reaction medium is of great importance in lipase catalysis, there is a need to measure and control water activity in such media, and there are a number of methods to do this.<sup>189</sup> In small-scale reactions, the water activity can be controlled by equilibration with saturated solutions of inorganic salts.<sup>189,190</sup> Normally, equilibration occurs *via* a gas phase, but the process can be speeded up by shortening the diffusion distance for water. Thus, the saturated salt solution can be contacted with the reaction mixture by pumping it through silicon tubing passing through the reactor.<sup>191</sup> An alternative way of controlling the water activity in organic reaction media is to add pairs of salt hydrates that act as a buffer of the water activity.<sup>192</sup> In this method, it is important to ensure that the salt used is compatible with the enzyme, since it is added directly to the reaction mixture.

Good sensors for direct measurement of the water activity in a gas phase are commercially available. Such a sensor can be

used to measure the water activity in the gas phase of a bioreactor, and the data can be used to adjust the water activity in the desired direction. The system works best with a gas flow through the reactor, which facilitates water activity equilibration between the phases, and the gas flow can be either dry, to decrease the water activity, or water saturated to supply more water to the reactor.<sup>193</sup> The whole set-up should preferably be computer controlled.

## 4. Applications involving lipase-catalysed reactions

### 4.1 Ester synthesis

The majority of applications of lipases in organic media are in the preparation of esters, and a wide variety of esters has indeed been prepared.<sup>120</sup> This first section focuses on the synthesis of esters from monovalent carboxylic acids and monovalent alcohols, while more complex examples are presented in later sections.

Esters can be prepared using chemical catalysts, but lipase catalysis is preferable for some applications. For example, there is great interest in the lipase-catalysed synthesis of flavour and fragrance esters for food applications because the products can be labelled natural, which is not the case when a chemical catalyst is used. Typical esters in this group include esters of acetic, butyric and caproic acid, and alcohols such as ethanol, butanol and isopentanol, as well as more complex ones such as geraniol.<sup>194,195</sup> Short-chain carboxylic acids are relatively polar and tend to partition to the microenvironment around the lipase and decrease the local pH, which can cause a reduction in catalytic activity. It has been observed that the activity of Novozym 435 was quite low with acrylic acid as acyl donor.<sup>196</sup> In a study of Novozym 435-catalysed esterification reactions involving several carboxylic acids it was found that high activity was obtained with propionic and butyric acid, but low activity with acetic acid and several substituted carboxylic acids, such as malic acid and 2-chlorobutyric acid.<sup>197</sup> It was noted that the substituted carboxylic acids had lower  $pK_a$  values, which may be the reason for the rate reducing effect. Further indications that the reduced activity was caused by the acidity are the positive effects of adding bases such as triethylamine to the reaction mixtures.<sup>196,197</sup> A practical way to avoid the problem is to use esters of the carboxylic acids as acyl donors instead.<sup>197,198</sup> The fact that this approach works shows that steric factors are not the major problem with these acids.

Esters composed of long-chain carboxylic acids and long-chain alcohols are called wax esters. Enzymatic production is used especially for wax esters intended for use in personal care products. Almost quantitative conversion can be achieved from equimolar mixtures of reactants, provided that water is efficiently removed from the reaction mixture, which can be achieved by blowing dry air through a stirred tank reactor,<sup>199</sup> or by using a bubble column reactor.<sup>200</sup> In wax ester synthesis, all the reactants have a sufficiently low volatility to make selective water removal easy, but in esterification involving short-chain



alcohols and/or volatile solvents, extra precautions must be taken. In the solvent-free esterification between isopropanol and myristic acid, water and isopropanol were removed by azeotropic distillation at reduced pressure,<sup>201</sup> and dry isopropanol was added to compensate for the alcohol removed. Distillation has also been used to remove water in esterification with short-chain alcohols in hydrocarbons such as hexane, thereby increasing the esterification yields.<sup>202</sup> The hydrocarbon solvent that evaporated was condensed and recirculated after being passed through a drying agent. The condensate can also be allowed to phase separate to remove most of the water (together with some alcohol), before returning the hydrocarbon solvent to the reactor *via* a drying column.

Lactic acid constitutes an interesting substrate in esterification reactions since it contains both a carboxyl group and a hydroxyl group. CALB in the form of Novozym 435 was shown to be an efficient catalyst for making esters from alcohols and lactic acid, but not when using lactic acid as a nucleophile, and lactic acid esters were, therefore, produced without interfering oligomerisation reactions.<sup>203</sup> In other cases, where oligomerisation or polycondensation is desired to yield polyesters, this can be efficiently carried out using lipases as catalysts, as recently reviewed.<sup>204</sup>

## 4.2 Triacylglycerols

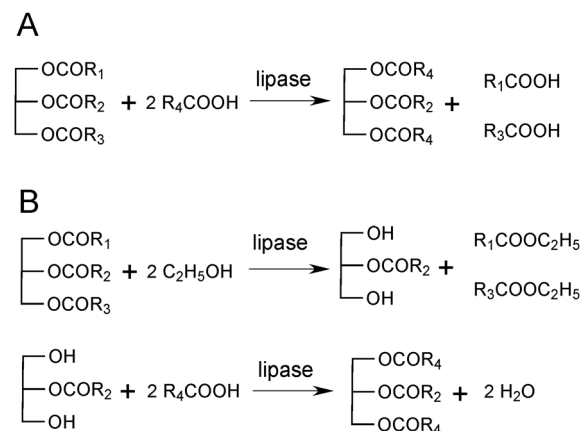
Lipases are excellent tools for the synthesis of triacylglycerols or the modification of existing triacylglycerols in organic media.<sup>205</sup> Lipases express various types of specificity with respect to triacylglycerol substrates. The regiospecificity is of special importance since many lipases are specific for acyl residues in the *sn*-1 and *sn*-3 positions on the glycerol backbone. Specificities for fatty acid chain length and unsaturation must also be kept in mind, but have been utilised to a minor extent for triacylglycerol production. Stereospecificity, which is important in other applications, has been exploited in the synthesis of chiral triacylglycerols, although to a rather limited extent.<sup>206</sup> *sn*-1,3-specific lipases are excellent tools for the production of triacylglycerols with different fatty acid compositions in the *sn*-1 and *sn*-3 positions compared with the *sn*-2 position. Lipases are now also being used for fatty acid exchange reactions in triacylglycerols requiring no regiospecificity, an important example being interesterification (the exchange of fatty acids between different triacylglycerol molecules) of margarine fats (see Section 4.2.2).

**4.2.1 Structured lipids.** Triacylglycerols are important food components. Natural triacylglycerols seldom have the same fatty acid compositions in different positions of the molecules. Furthermore, fatty acids at different positions are metabolised differently in the human digestion. The fatty acids in the *sn*-1 and 3 positions are hydrolysed before they are taken up, while those in the *sn*-2 position are normally taken up directly as 2-monoacylglycerol, without hydrolysis. In the industrial production of fats and oils, it is thus often of interest to prepare products with different fatty acid compositions in different positions. Products with tailored fatty acid composition in the different positions are often called “structured lipids”. The first industrial work in this area concerned the production of cocoa

butter substitutes. A typical approach was to make products from stearic acid and the mid fraction of palm oil (rich in the triacylglycerol having oleic acid in the *sn*-2 position and palmitic acid in the *sn*-1,3 positions). The lipase was immobilised by adsorption on kieselguhr, and petroleum ether was used as solvent.<sup>207</sup> The lipase successfully replaced a suitable fraction of the palmitic acid residues with stearic acid to obtain a product with melting properties virtually identical to natural cocoa butter.

Many other examples of lipase-catalysed preparation of structured lipids have been presented, as reviewed by Xu.<sup>208</sup> The fatty acid to be incorporated is normally added as a free fatty acid, and the reaction is called acidolysis (Scheme 3), but fatty acid esters<sup>209</sup> or triacylglycerols<sup>207</sup> can also be used as acyl donors. In order to achieve a very high proportion of the new fatty acid in the *sn*-1,3 positions, a high excess of this fatty acid must be used. One way to obtain even purer products is to use a two-step process in which the fatty acids in the *sn*-1,3 positions of the original substrate are removed, followed by isolation of the 2-monoacylglycerol, before the new fatty acid is introduced in the second step (Scheme 3).<sup>210</sup> The first step, the conversion of a triacylglycerol to a 2-monoacylglycerol, is best carried out by alcoholysis using ethanol.<sup>211</sup> It is important to minimise acyl migration in both the one-step acidolysis reaction and the two-step process, as this can lead to products with fatty acids in the wrong positions. Parameters of crucial importance are the choice of support used for lipase immobilisation, the solvent, and the water activity of the reaction medium.<sup>211–213</sup> In one case, the use of ethyl esters as acyl donors was shown to give less acyl migration than free fatty acids.<sup>209</sup>

Important structured lipids include human milk fat substitutes, which contain a large proportion of palmitic acid in the *sn*-2 position and oleic acid in the *sn*-1,3 positions.<sup>214,215</sup> Long-chain omega-3 fatty acids have been incorporated into structured lipids because of their health-promoting properties. In most cases they are present in the *sn*-2 position, but structured lipids with these fatty acids in the *sn*-1,3 positions have also recently been produced.<sup>209</sup>



**Scheme 3** Lipase-catalysed preparation of structured lipids. (A) One-step acidolysis. (B) Two-step process. In the first step fatty acids in the *sn*-1,3 positions are removed by alcoholysis (as shown above) or by hydrolysis. After isolation, the 2-monoacylglycerol is esterified with the fatty acid to be incorporated.

**4.2.2 Interesterification of triacylglycerols.** In most industrial enzyme processes, the selectivity of enzyme catalysis is a major competitive advantage compared to alternative methods. However, in the case of triacylglycerols, lipase-catalysed interesterification has proven to be competitive despite the fact that no selectivity is needed. Interesterification of margarine fats is an important example of this.<sup>216</sup> Margarine is normally made from two or more ingredients, usually one high-melting and one low-melting, and the exchange of fatty acids between the triacylglycerol molecules is needed to obtain a homogeneous product. In a chemical process, complete randomisation of the fatty acids is achieved using sodium methylate as catalyst. The corresponding lipase-catalysed interesterification reaction is competitive because a cost-effective immobilised lipase preparation has been developed and because the enzymatic process gives a higher yield, less waste and lower product purification cost.<sup>217,218</sup> In the interesterification reaction, product molecules with all combinations of the fatty acids present can be obtained, some of which are not naturally occurring to any significant extent.<sup>219</sup>

The operational stability of the lipase is of key importance for process economy, and it has been shown that lipase stability depends on the purity of the substrate oil. Acidic contaminants and lipid oxidation products are thus detrimental to stability.<sup>218,220</sup>

The enzymatic interesterification process has so far mainly been used to prepare products resembling those obtained with the chemical method, thus completely randomised in all positions. However, the lipases used are more or less *sn*-1,3-specific and randomisation in the *sn*-1,3 positions is therefore much more rapid than in the *sn*-2 position.<sup>216,221</sup> Almost completely randomised products can often be obtained with an extended reaction time due to acyl migration and/or incomplete *sn*-1,3 specificity. It should be pointed out that the *sn*-1,3 specificity of lipases should be regarded as an opportunity rather than a problem, since this makes it possible to produce a range of products with a high degree of randomisation in the *sn*-1 and 3 positions, and a degree of randomisation in the *sn*-2 position that can be controlled by choosing the appropriate reaction conditions.

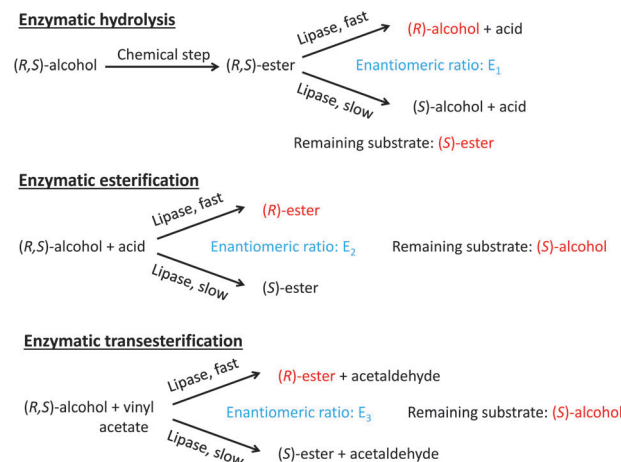
### 4.3 Enantiomer resolution

Lipases are the most frequently used biocatalysts in organic synthesis. Most applications concern the synthesis of chiral intermediates by kinetic resolution or desymmetrization reactions.<sup>222–224</sup> In the kinetic resolution of a racemic mixture, the lipase converts one of the enantiomers into a product that can easily be separated from the unreacted isomer. In the ideal case, the enzyme converts just one of the enantiomers, but in reality the other enantiomer is often converted, but at a lower rate. The success of kinetic resolution depends critically on the specificity of the lipase, which is best described as the enantiomeric ratio, defined as the ratio of the specificity constants of the enzymatic conversion of the two enantiomers. A quantitative analysis of the influence of the enantiomeric ratio and the conversion in the reaction on the enantiomeric excess of the product and the remaining substrate has been presented by Sih and co-workers.<sup>225</sup>

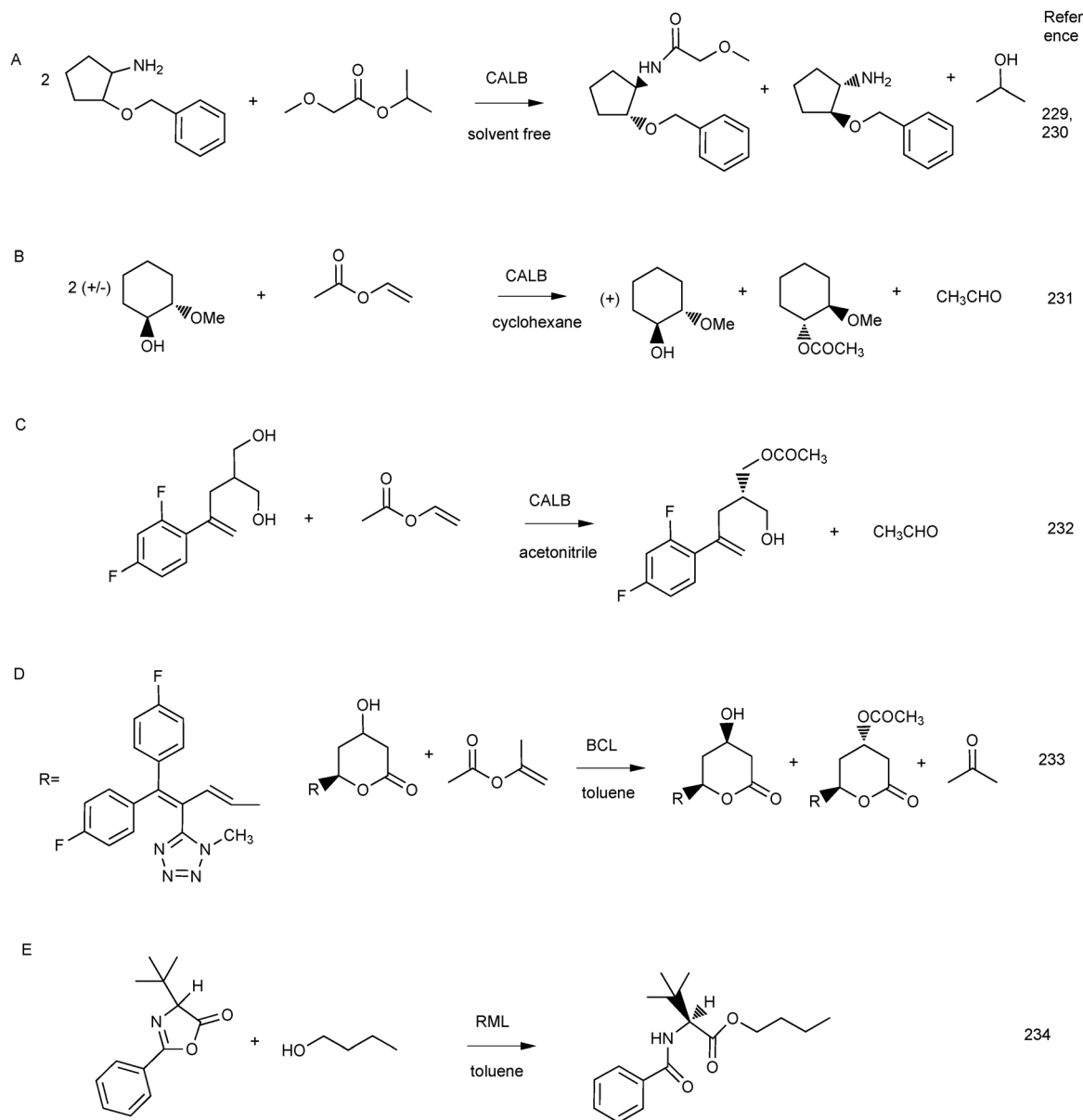
The traditional method of using hydrolases for kinetic resolution is to carry out hydrolysis reactions, in the case of lipases, the hydrolysis of esters. However, in organic media the lipases can also be used to catalyse esterification and transesterification reactions. Unless the equilibrium position is shifted far towards the product side, the reversibility of these reactions can cause a reduction in the enantiomeric excess.<sup>226</sup> On the other hand, the fact that the same lipase can be used to catalyse three types of reactions (hydrolysis, esterification and transesterification) is a great advantage since this increases the chance of finding a reaction with a sufficiently high enantiomeric ratio to be practically useful (Scheme 4). Furthermore, the problems associated with reversibility can be avoided by the use of vinyl or isopropenyl esters as acyl donors, as they give unstable alcohols as side products, thereby making the reactions practically irreversible.<sup>227</sup>

Lipase-catalysed reactions can be scaled up to industrial scale:<sup>228</sup> for example, the BASF process for the production of chiral amines (Scheme 5A). In this case, one enantiomer of the racemic amine is acylated using an alkyl methoxyacetate as acyl donor and an immobilised *Burkholderia* lipase or CALB as catalyst. Several different amines can be resolved using this process. In a few other examples, vinyl acetate or isopropenyl acetate are used as acyl donors in stereoselective acylation to yield chiral building blocks that are useful as intermediates in the production of pharmaceuticals (Scheme 5B–D). The ring opening of an oxazolin with butanol in Scheme 5E is a step in the synthesis of *L*-tert-leucine. All reactions shown in Scheme 5 are catalysed by immobilised lipases and are carried out in organic solvents or solvent free mixtures.

A key issue in the practical use of enantiomer resolution is the utilisation of not only the desired enantiomer, which is the product, but also the other enantiomer, which constitutes 50% of the starting material.<sup>235</sup> This can be achieved by batch-wise racemisation, but even better with continuous racemisation of



**Scheme 4** Lipase-catalysed reactions used for the resolution of the two enantiomers of a racemic alcohol. The two main products in each case are given in red (the reaction product and the remaining substrate). The lipase converts the *R*-enantiomer of the substrate faster than the *S* enantiomer in all cases, but the enantiomeric ratio (*E*) can be different in each case.



**Scheme 5** Examples of lipase-catalysed reactions used on a large scale for the production of chiral building blocks.

the substrate during enzymatic conversion. The latter approach is called dynamic kinetic resolution, and can be used to convert a racemic substrate into an enantiomerically pure product. Ruthenium, rhodium and iridium complexes have been shown to be useful for the racemisation of alcohols during their conversion to esters,<sup>236,237</sup> and a palladium catalyst can be used in the resolution of amines.<sup>228</sup> It is of vital importance that the metal-organic catalyst does not racemise the esters and does not inactivate the lipase. Typical reaction conditions for CALB involve isopropenyl acetate as acyl donor, a ruthenium-based catalyst, and toluene as solvent.<sup>237</sup>

#### 4.4 Fatty-acid enrichment

In analogy with the kinetic resolution of enantiomers, enzymes can also be used for the enrichment of other isomers or closely

related substances, provided that they have the appropriate substrate specificity. The basic principle is that the substance to be enriched is converted considerably faster or slower than the other substances in the starting mixture. An important example is the lipase-catalysed enrichment of fatty acids. The specificity of lipases for fatty acids depends on chain length, the number and position of double bonds, as well as other structural features such as further substitution (branching, hydroxylation, *etc.*) of the fatty acid.

The main driver for research in enzymatic fatty acid enrichment is the interest in long-chain omega-3 fatty acids, such as eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA). These are present primarily in marine oils and have been shown to have considerable health-promoting effects, and there is thus an interest in products with higher proportions of these

fatty acids (nutraceuticals) than in natural oils. Lipases can be useful tools since many of them discriminate strongly against these fatty acids compared to other fatty acids normally present. Enzymatic processing is attractive due to the mild reaction conditions, although other separation methods such as urea fractionation and distillation still dominate. Lipase-catalysed enrichment of long-chain omega-3 fatty acids was recently reviewed.<sup>238</sup>

In practical applications, the starting material for fatty acid enrichment is typically a fat or oil containing mainly triacylglycerols, which can be used directly as substrate for the lipase-catalysed reaction. The reaction mixture should preferably be liquid, and organic solvents are thus sometimes used to dissolve high-melting fats, while solvent-free mixtures are often used for low-melting substrates. The reactions are normally carried out as hydrolysis or alcoholysis reactions.

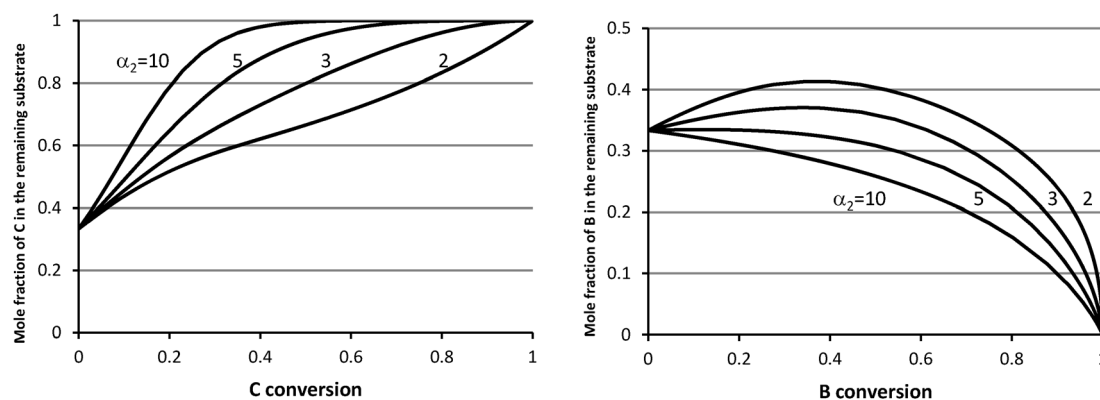
The lipase fatty acid selectivity is often expressed in terms of competitive factors, which are ratios of the specificity factors of a pair of substrates, analogous to the enantiomeric ratio for enantiomer resolution (see Section 4.3). One fatty acid is selected as the reference substrate and the specificity of the enzyme for other fatty acids is related to the specificity to the reference. Several competitive factors for EPA and DHA in the range 10–100 have been published, showing that lipase-catalysed enrichment of these fatty acids can be quite efficient.<sup>239–241</sup> When carrying out enrichment using triacylglycerols as substrates, it is important to bear in mind that the regioselectivity of the lipase also influences the outcome.<sup>242</sup> Depending on the distribution of fatty acids within the triacylglycerols, it may thus be advantageous to choose either an *sn*-1,3-specific lipase or a nonregiospecific one. In order to achieve high degrees of enrichment, it can be beneficial to carry out repeated enzymatic steps with separation steps in between. Using repeated hydrolysis of salmon oil, the content of long-chain omega-3 fatty acids was increased from 16 to 51%.<sup>243</sup> In the enrichment of EPA and DHA from squid oil, ethanolysis of the oil without

extra solvent was quite efficient, increasing the combined content of EPA and DHA from 31 to 51% in a single enzymatic step.<sup>244</sup>

Although enrichment starting directly from the natural oil can be attractive, it has been shown that higher competitive factors, and therefore more efficient enrichment, can be achieved when free fatty acids or simple fatty acid esters are used as substrates.<sup>241</sup> The full fatty acid selectivity is only expressed when each substrate molecule contains just one fatty acid residue. It has been found that esterification provides the best enrichment for some combinations of enzymes and substrates, while hydrolysis is more selective in other cases.<sup>245,246</sup> Almost all lipases discriminate against the long-chain omega-3 fatty acids, but lipases originating from fish intestines hydrolysed EPA and DHA from triacylglycerols faster than more saturated fatty acids.<sup>247</sup>

It has been shown that the methodology for quantitative analysis of enantiomer resolution developed by Sih and co-workers can be developed further to include multisubstrate mixtures.<sup>246</sup> The competitive factors can easily be determined from experiments using initial rate measurements in mixtures of the substrates of interest. Based on the competitive factors, a strategy can be developed for the enrichment of a certain fatty acid with a certain purity (Fig. 10). If high purity is desired, it is beneficial to use lipases with higher specificity for the fatty acids to be removed, so that the fatty acid of interest is enriched in the remaining substrate fraction.

The discrimination against long-chain omega-3, compared to other fatty acids, is clearly efficient enough for the method to be practically useful. Other interesting examples of enzymatic fatty acid enrichment include erucic acid<sup>248</sup> and gamma-linolenic acid.<sup>249</sup> Interestingly, the fatty acid selectivity of lipases can be modified by site-directed mutagenesis.<sup>250</sup> A lipase with increased specificity for trans fatty acids has been created, with the potential application to remove these undesired fatty acids from food lipids.<sup>251</sup> To achieve increased fatty



**Fig. 10** Simulation of fatty acid enrichment in an equimolar mixture of three fatty acids A, B and C. The competitive factors (ratios of specificity constants) compared to the slowest reacting fatty acid (C) are equal to 10 for the fastest reacting one (A), and between 2 and 10 for the one of intermediate reactivity (B) (denoted  $\alpha_2$  and shown next to the curves). The diagrams show the mole fraction of C (left) and B (right) as a function of the conversion of these fatty acids. The diagram to the left shows that C can be enriched to high purity and that this is reached at a low degree of conversion (which corresponds to a high recovery of the remaining substrate C) when the value of the competitive factor is high. Reprinted with permission from Kaki and Adlercreutz. *Biotechnology and Bioengineering* 2013, 110, 78–86. Copyright (2012) Wiley Periodicals, Inc.<sup>246</sup>



acid selectivity based on fatty acid chain length, Pleiss and co-workers constructed a set of mutants of *C. rugosa* lipase 1.<sup>252</sup> Some of the mutations caused blocking of the active site so that fatty acids longer than a critical length (C10 or C14) were strongly discriminated against.<sup>252</sup> This strategy has great potential in the development of more efficient lipases for the enrichment of long-chain fatty acids.

#### 4.5 Biodiesel

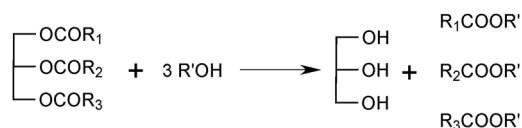
The main constituent of biodiesel is alkyl esters of fatty acids, most commonly methyl esters. Biodiesel is used as fuel and has the advantage of being produced from renewable resources. Furthermore, emissions of hydrocarbons and particulate matter from biodiesel are considerably lower than from petrochemically derived diesel.<sup>253</sup> Biodiesel is produced by the alcoholysis of triacylglycerol-rich fats or oils, using acidic, alkaline or enzymatic catalysts (Scheme 6). In industry, alkaline catalysis dominates because it is fast and cheap.<sup>253,254</sup> Enzymatic biodiesel production has the advantage that oils with high contents of free fatty acids or water can be processed directly, while they require pretreatment prior to alkaline-catalysed processing.<sup>254</sup>

Lipase-catalysed transesterification for biodiesel production was recently reviewed.<sup>254–257</sup> Since triacylglycerols are the normal substrates, many lipases express high activity in biodiesel production. CALB, as well as other *Candida* and *Pseudomonas* lipases, are among the most commonly used enzymes, often in the immobilised form.<sup>258</sup> The reactions are carried out in solvent-free systems or with the addition of organic solvents.<sup>254,259</sup> Immobilised CALB (Novozym 435) can be used for biodiesel production at very low water contents, while other lipases have been used with as much as 10% added water.<sup>258,260</sup> The presence of relatively large amounts of water increases the formation of free fatty acids, which are undesirable in the final product.

Although biodiesel production is the major target for the alcoholysis of triacylglycerols, other applications of the same reactions are of interest. The production of ethyl esters from fish oils constitutes an important example.<sup>244,261</sup>

The alcoholysis of triacylglycerols may appear simple, but the reaction suffers from several problems, which must be solved before enzymatic processing can become efficient and cheap enough to compete with chemical processing. A few of the most important process issues are discussed below.

**4.5.1 Inhibitory effects of alcohol.** Alcohols are known to be competitive inhibitors of lipases, which is a problem since biodiesel production is often carried out at relatively high substrate concentrations, ideally in solvent-free systems. Furthermore, short-chain alcohols can irreversibly inactivate lipases, and the way in which the alcohol is added is therefore important.



**Scheme 6** Alcoholysis of a triacylglycerol to produce esters (biodiesel).

When the most commonly used alcohol methanol is added to a triacylglycerol oil, only about half of the stoichiometric amount can be dissolved.<sup>261</sup> If more methanol is added a separate phase is formed, which is detrimental to the enzyme. Stepwise addition of methanol, adding about 1/3 of the stoichiometric amount each time, has been shown to dramatically increase enzyme stability, making it possible to use the lipase for more than 100 days.<sup>261</sup> An alternative strategy to reduce the negative effects of methanol is to absorb it in silica gel from which it is gradually released during the reaction.<sup>262</sup> The use of ethanol instead of methanol for biodiesel production has attracted considerable interest, especially in enzymatically catalysed processes.<sup>261,263,264</sup> Ethanol has the advantage of being produced from renewable resources, in contrast to petrochemically derived methanol and, furthermore, causes less inactivation of lipases than methanol.

**4.5.2 Selectivity.** Biodiesel production requires no regio or fatty acid selectivity of the lipase. It would be ideal if the fatty acids in all positions reacted equally well to form alkyl esters. The fatty acid specificity of lipases does not create significant problems, since the fatty acids are strongly discriminated against by the lipases used (such as long-chain omega-3 fatty acids) are not present in significant amounts in the substrates commonly used. It has been shown that several different natural oils can be converted with similar efficiency.<sup>263</sup> On the other hand, the *sn*-1,3 specificity of lipases can lead to reduced conversion, creating 2-monoacylglycerols as by-products. This was the proposed reason for the lower conversion obtained with the *sn*-1,3-specific enzyme Lipozyme TL IM, compared to the less regioselective one Novozym 435.<sup>263</sup> As different lipases convert different substrate molecules present in the reaction mixture at different rates, it can be advantageous to use a combination of lipases to catalyse the process, for example, a combination of Lipozyme TL IM and Novozym 435.<sup>265</sup> An alternative method of increasing the conversion of triacylglycerols in reactions catalysed by *sn*-1,3-specific lipases is to enhance acyl migration. This reaction, which occurs spontaneously, is influenced by the reaction medium and can be catalysed by acids, bases, *etc.* The combined use of an immobilised lipase and silica gel to catalyse acyl migration was shown to be efficient for biodiesel production from soybean oil.<sup>266</sup> Another way of increasing the biodiesel yield was found to be immobilisation of ROL on an anion-exchange resin, which has the dual function of acting as a support for the lipase and catalysing acyl migration.<sup>267</sup>

**4.5.3 Glycerol formation.** The glycerol formed as a by-product in biodiesel production can constitute both a problem and an opportunity. Enzymatic biodiesel production often yields glycerol of high quality, which can be used as a starting material for a large number of products, and can thus have a very positive effect on process economy.<sup>256</sup> On the other hand, the formation of glycerol can cause clogging of the immobilised enzyme particles, leading to severe deterioration in process performance.<sup>264</sup> Clogging is caused by the formation of a separate glycerol phase, and this can be prevented by the use of an organic solvent, which increases the miscibility of glycerol

and oil, but this adds to the process costs. It has also been shown that the use of hydrophobic supports for lipase immobilisation in combination with effective agitation can prevent biocatalyst clogging due to glycerol formation.<sup>162,264</sup> Another way of reducing the problems caused by glycerol is to carry out the reaction stepwise, for example, in a series of packed-bed reactors, and remove the glycerol in a separate settler after each reactor.<sup>261</sup> Another attractive option is to remove the glycerol continuously from the reaction mixture using a membrane reactor.<sup>268</sup> Interestingly, the membrane system can also be used for the continuous feeding of methanol, thereby dealing with two problematic substances at once.

#### 4.6 Phospholipid conversion

Because of their chirality, it is attractive to use natural starting materials in the preparation of specific glycerophospholipids.<sup>269</sup> The fatty acids and/or the polar group can be replaced using chemical or enzymatic methods to obtain the desired lipid. Lipases are often able to convert phospholipids, and many *sn*-1,3-specific lipases express specificity for the *sn*-1 position of glycerophospholipids, thus constituting an attractive alternative to phospholipase A<sub>1</sub>. The most frequently used lipases are RML,<sup>270</sup> ROL<sup>271</sup> and other *Rhizopus* lipases.<sup>272</sup> The lipase can be used to catalyse the hydrolysis of a diacyl glycerophospholipid to the corresponding 2-monoacyl lipid, or to add a fatty acid to a 2-monoacyl glycerophospholipid in an esterification or transesterification reaction.<sup>271,273,274</sup> Lipase-catalysed incorporation of fatty acids in the *sn*-1 position normally gives higher yields than the corresponding incorporation in the *sn*-2 position, catalysed by phospholipase A<sub>2</sub>, partly because lipases can be used at lower water activity, and partly because the equilibrium position for incorporation in the *sn*-1 position is more favourable.<sup>275</sup> Lipase-catalysed conversion has been carried out in toluene,<sup>276</sup> hexane<sup>272</sup> and in a solvent-free system.<sup>270</sup> Enzymes are especially attractive when handling sensitive fatty acids such as the polyunsaturated ones,<sup>270,277</sup> but the choice of lipase is critical since many lipases discriminate strongly against some of these fatty acids. In some cases, chemoenzymatic approaches have been found to be the best alternative.<sup>278</sup>

#### 4.7 Carbohydrate modification

**4.7.1 Sugar esters.** Sugar esters are attractive surfactants. They can be synthesized chemically, but the use of lipase catalysis makes regioselective acylation possible under mild conditions. Lipase-catalysed synthesis of sugar esters was recently reviewed.<sup>279</sup> CALB has been used in most studies with acylation occurring predominantly on the primary hydroxyl groups, but prolonged incubation can cause the formation of diesters. Using CALB as catalyst, 98% monoacylation was obtained using glucose as substrate, while the selectivities were considerably lower for mannose and galactose.<sup>280</sup> Reactions have been carried out as reversed hydrolysis reactions or transesterification reactions with esters as acyl donors. In both cases, the reactants have quite different solubility properties, and a key issue is to find a suitable reaction medium. The water

content should be low to avoid hydrolysis, but few solvents other than water dissolve enough carbohydrates to make the reactions efficient. The problems associated with solubility generally increase with increasing size of the carbohydrate and, therefore, there are procedures that work well for monosaccharides but not for longer carbohydrates.

Several different approaches have been used to make the carbohydrate more hydrophobic and thereby more soluble in relatively nonpolar solvents. One attractive approach is to convert the carbohydrate to an acetal. Acetals resulting from acetone and monosaccharides were successfully acylated in a solvent-free system, and after the enzymatic reaction, the acetal groups were removed by mild acid-catalysed hydrolysis.<sup>281</sup> The methodology has been extended to be applicable to disaccharides. Both lactose and maltose were converted to their monoesters *via* triacetals, in which one of the primary hydroxyls was protected.<sup>282</sup> A second method of making carbohydrates more hydrophobic and thus promoting their esterification is to convert them to complexes with hydrophobic boronic acids.<sup>283</sup> Glucose was acylated in the presence of butyl or phenyl boronic acid, but among the disaccharides tested only Palatinose™ was converted. After the enzymatic conversion, the boronic acid complexes were easily hydrolysed with water. Yet another approach is to use alkyl glycosides as substrates instead of pure carbohydrates. Efficient solvent-free esterification of ethyl *D*-glucopyranoside was carried out using CALB as catalyst<sup>284</sup> and, as alkyl glucoside esters are good surfactants, the ethyl group was maintained in the final product.

Direct condensation of carbohydrates and carboxylic acids is possible even without derivatisation or complex formation. Glucose was acylated with octanoic acid in acetonitrile using CALB as catalyst.<sup>285</sup> Glucose had limited solubility in the solvent, but dissolved during the course of the reaction. The limited solubility of the carbohydrate in the reaction medium was thus sufficient to make the reaction possible, and this approach was further developed by decreasing the solvent volume to make it an adjuvant. Systems containing a small amount of a solvent such as acetone or dioxane, while the main part was the undissolved carbohydrate, have been successfully used for acylation of glucose, mannose, sorbitol and mannitol with a range of fatty acids.<sup>286</sup> Studies of glucose acylation in 2-methyl-2-butanol catalysed by CALB have shown that the reaction rate is highly influenced by the glucose concentration in the reaction medium, and it is thus advantageous to use supersaturated glucose solutions.<sup>287</sup> Detailed kinetic analysis of this reaction showed that the initial reaction rate decreased with increasing water concentration, as in other cases of CALB-catalysed esterification.<sup>288</sup>

Some ionic liquids are good solvents for carbohydrates and they are therefore of interest as media for carbohydrate acylation. In the acylation of glucose with fatty acid vinyl esters, the best results were obtained in mixtures of ionic liquids and *t*-butanol.<sup>289,290</sup> High conversion (87%) was achieved in the RML-catalysed acylation of *D*-galactose with oleic acid in a mixture of ionic liquid and dimethyl sulfoxide.<sup>291</sup> However, the ionic liquids that are the best solvents for carbohydrates

often interact too strongly with enzymes, causing their inactivation. The method of using supersaturated carbohydrate solutions in ionic liquids with moderate ability to dissolve carbohydrates is a practical approach.<sup>292</sup> The supersaturated solutions can be obtained by adding an aqueous carbohydrate solution to the ionic liquid and subsequently removing water. Ultrasound has been found to further enhance the reaction rate in the supersaturated solutions, possibly by improving the mass transfer in these viscous systems.<sup>293</sup>

As mentioned above, acylation of longer carbohydrates is considerably more difficult than the corresponding reaction with monosaccharides. Acylation of monosaccharides by CALB was successful in a *t*-butanol-pyridine mixture, while only limited acylation of maltose occurred, and other di- and trisaccharides were completely unreactive.<sup>294</sup> However, acylation of maltose, leucrose, maltotriose<sup>295</sup> and sucrose<sup>296</sup> was achieved using TLL as catalyst, vinyl esters as acyl donors and 2-methyl-2-butanol/dimethyl sulfoxide as solvent. Interestingly, immobilisation of TLL in silica granules led to highly selective monoacylation of sucrose, while diacylation was prominent using TLL immobilised on porous polypropylene.<sup>297</sup>

**4.7.2 Acylation of starch.** Enzymatic acylation of polysaccharides is a difficult task because of the different solubility properties of the reactants. Due to the increasing interest in making products from renewable resources, considerable effort has been devoted to the acylation of polysaccharides that are abundant in nature, especially starch.<sup>298</sup> Literature reports on starch acylation should, however, be read with caution since the most common methods of determining the degree of esterification have been shown to be unreliable.<sup>299</sup> Regardless of this, direct esterification of starch with decanoic acid can be carried out using TLL as catalyst. The starch was gelatinised with a small amount of water before the addition of the enzyme and acid.<sup>299</sup> The degree of esterification was below 0.02, but sufficient to afford the modified starch product useful properties. Starch acylation has also been carried out using carboxylic acids with terminal triple bonds, thus providing good opportunities for further derivatisation of the material.<sup>300</sup> A degree of esterification of up to 0.8 has been achieved using starch nanoparticles and vinyl esters as substrates and toluene as solvent.<sup>301</sup> The procedure involved the preparation of a micro-emulsion with starch particles in reversed micelles, followed by drying. The starch particles were covered with a surfactant (Aerosol OT), which apparently made them accessible to the immobilised lipase (Novozym 435).

#### 4.8 Increasing the lipophilicity of bioactive compounds

Many bioactive compounds of potential interest for practical use as antioxidants, nutraceuticals, *etc.*, have poor solubility in nonpolar media. One way to increase their solubility is to modify them by attaching hydrophobic groups. Compounds with hydroxyl and/or carboxyl groups can then be converted into esters using long-chain carboxylic acids or long-chain alcohols. Since many of the compounds are quite sensitive, mild reaction conditions must be used, and lipases are therefore attractive catalysts for the esterification reactions.

This topic will be discussed only briefly here, since several excellent reviews have recently been published.

The use of enzymes, primarily lipases but also proteases, for the acylation of natural glycosides, with ginsenosides and cardioactive glycosides as interesting examples, has been reviewed.<sup>302</sup> The more general use of lipases in conversions to increase the lipophilicity of target compounds has been reviewed, with emphasis on the modification of carbohydrates, amino acids and phenolics.<sup>303</sup> Phenolic acids constitute an interesting group of antioxidants, and several studies have concerned their lipase-catalysed esterification with alcohols of different chain length.<sup>304</sup> A typical reaction mixture contains a hydrophilic substrate (the bioactive compound) and a hydrophobic substrate (the reagent), and the same types of problems as in the acylation of carbohydrates (see previous section) thus arise. Solvents frequently used are tertiary alcohols and short-chain ketones.<sup>303,305</sup> The use of a highly concentrated substrate solution with a small addition of dimethyl sulfoxide as solvent or adjuvant constitutes an interesting system used in the esterification of naringin with palmitic acid.<sup>306</sup>

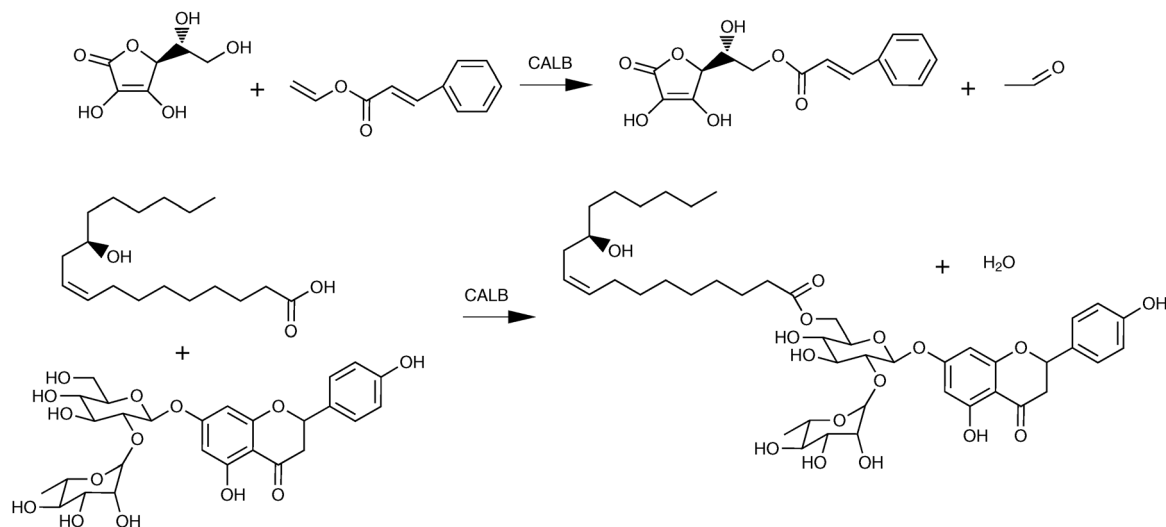
Products with different properties can be obtained, depending on the length of the hydrophobic chain attached to the parent molecule. In the case of cardioactive glycosides, it was shown that the pharmacokinetic properties of the substances varied considerably depending on their lipophilicity.<sup>302</sup> In the case of antioxidants it was shown that the antioxidative capacity often increased with increasing length of the added hydrophobic chain up to a certain critical length, after which the capacity decreased considerably.<sup>307</sup> For alkyl esters of chlorogenic acid, the best performance in protecting fibroblast cells from oxidative stress was obtained with a chain length of 12 carbons,<sup>308</sup> while in other cases 4 or 8 carbon atoms have been found to be optimal.<sup>307</sup>

There are several reports on the use of lipases to catalyse the preparation of esters containing two building blocks with interesting, often health-promoting, properties. The antioxidants rutin and vanillyl alcohol were acylated with a mixture of fatty acids originating from fish, which were rich in long-chain omega-3 fatty acids.<sup>309</sup> Naringin was converted to naringin 6''-ricinoleate using ricinoleic acid or, even better, castor oil, as acyl donor (Scheme 7).<sup>305</sup> L-Ascorbic acid acylated with palmitic acid is a commercial product, and the ester with cinnamic acid was recently prepared with the intention of creating an efficient antioxidant (Scheme 7).<sup>310</sup> Vinyl cinnamate was found to be the best acyl donor and a yield of 68% was obtained. Immobilised CALB was used as catalyst in these last three reactions.

## 5. Concluding remarks

### 5.1 In which applications are lipases attractive?

Lipase-catalysed reactions have proven to be better than other approaches for the preparation of many products. The most thoroughly studied applications are those that have been realised on an industrial scale. Most industrial applications of lipases for synthesis concern chiral products, in which the



**Scheme 7** Lipase-catalysed coupling of pairs of bioactive compounds: ascorbic acid and vinyl cinnamate (upper reaction),<sup>310</sup> ricinoleic acid and naringin (lower reaction).<sup>305</sup>

*stereoselectivity* of enzymes in general and lipases in particular is extremely valuable. Likewise, the *regioselectivity* of lipases makes them the natural choice as catalysts for the production of structured lipids. Another important advantage of enzyme catalysis is the *mild reaction conditions* which, in many cases, lead to fewer side reactions, higher product yields and less waste. Product purification is simplified by the reduction in by-products. This has a positive impact on process economy which, in many cases, is sufficient to compensate for the cost of the enzyme. Furthermore, enzymatic methods often have considerably less environmental impact than alternative methods, which is naturally desirable. The mild reaction conditions are more important when handling sensitive substrates and products. However, lipase catalysis has also proven to be advantageous from an economic point of view when handling relatively stable compounds, such as in the interesterification of margarine fats. Enzymatic ester synthesis is another example of a process that does not require high selectivity, but is still competitive on industrial scale. Considerable effort is currently being devoted to making enzymatic production of biodiesel competitive with chemical methods.

## 5.2 Which is the best method of lipase immobilisation?

The following are important in obtaining an immobilised lipase preparation that uses the enzyme as efficiently as possible.

- No enzyme inactivation should occur during immobilisation
- No enzyme leakage should occur after immobilisation
- The lipase should be present in fully activated form
- Mass transfer limitations should be negligible

The first two are sometimes difficult to combine. Covalent coupling to a support or covalent cross-linking are the best ways to avoid enzyme leakage, but they often cause enzyme inactivation. For most applications of lipases in organic media, non-covalent immobilisation is sufficient because the lipase is not soluble in the reaction medium, but remains in the

immobilised preparation. However, if the reaction mixture contains surface active compounds, there is a risk of enzyme leakage, and covalent immobilisation is recommended. In some cases, the best approach may be not to immobilise the lipase, but to use surfactants to form hydrophobic ion pairs, surfactant-coated lipases or microemulsions. However, in these cases there is a need for a more sophisticated separation step to separate the enzyme from the product mixture.

Immobilisation is of special importance where lipases are concerned because, in addition to the normal benefits of enzyme immobilisation, it can also lead to a considerable increase in lipase activity, probably due to conformational changes in the lipase molecules. Although dramatic activation effects have been demonstrated following adsorption on hydrophobic supports and treatment with surfactants, it has not been convincingly shown that maximal activation has been achieved. It is thus recommended that research be continued to develop even better immobilisation methods, allowing full lipase activation to be achieved. It may well be that the flexibility of lipases acting at the organic–aqueous interface of an emulsion is of importance in achieving maximal activity, and that this is more difficult to achieve in an immobilised preparation.

Mass transfer limitations can cause severe reductions in catalytic activity when diffusion is too slow compared to the reaction being catalysed. However, some of the porous materials evaluated as lipase supports have a particle size, porosity and surface area that make efficient conversions with negligible mass transfer limitations possible for normal lipase-catalysed reactions. To enable even faster reactions without mass transfer limitations, it may be advantageous to use even more dispersed lipase molecules, such as lipase on nanoparticles or lipase solubilised in the organic medium using surfactants.

Additional factors are important for the large-scale application of lipases. The cost of supporting materials and other costs associated with the preparation of the lipase must be



considered more critically than in small-scale work. In addition, the immobilised preparation must be suitable for use in the intended reactor, which may be a stirred-tank reactor, a packed-bed reactor or some other type. The particle size and shape, as well as the resistance to shear, compression, *etc.*, should also be taken into consideration. Furthermore, the operational stability is important since it determines how much product can be produced per unit weight of enzyme. Existing industrial applications have shown that lipases can remain active for months of continuous use which, of course, is beneficial for process economy.

Finally, it should be pointed out that each lipase has its own unique properties, and that fine-tuning of any methodology employing lipases to suit the individual enzyme will be fruitful. There are considerable differences between lipases, for example, concerning activation and inactivation during immobilisation, and activation by surfactants. Protein engineering of lipases and the further improvement of lipase preparations and reaction methodology have great potential to generate even better bioconversions in the future.

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